

Simultaneous Elicitation of Committee and Voters' Preferences

B. Napolitano¹, O. Cailloux¹ and P. Viappiani²

¹ LAMSADE, Université Paris-Dauphine, Paris, France

² LIP6, Sorbonne Université, Paris, France

Advances in Economic Design : Games, voting, information and measurement

28 November 2019

LAMSADE

UMR CNRS 7243

laboratoire d'analyse et modélisation de systèmes pour l'aide à la décision

Scenario

Setting: Incompletely specified profile and positional scoring rule

(Head of the)
Committee

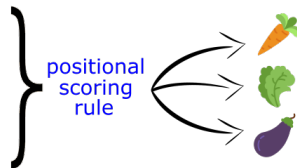
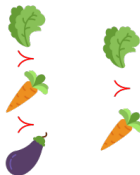


$$w_1 > w_2 \geq w_3$$

$$\begin{array}{c} \parallel \\ 1 \end{array} \qquad \begin{array}{c} \parallel \\ 0 \end{array}$$

Voters

Mickey Donald Goofy



Scenario

Setting: Incompletely specified profile and positional scoring rule

(Head of the)

Committee



$$w_1 > w_2 \geq w_3$$

$$\begin{array}{ccc} \parallel & & \parallel \\ 1 & & 0 \end{array}$$

Voters

Mickey Donald Goofy



positional
scoring
rule



Goal: Development of an incremental elicitation protocol based on minimax regret

Motivation and approach

Who?

- Imagine to be an *external observer* helping with the voting procedure

Motivation and approach

Who?

- Imagine to be an *external observer* helping with the voting procedure

Why?

- Voters: difficult or costly to order *all* alternatives
- Committee: difficult to *specify* a voting rule precisely and abstractly

Motivation and approach

Who?

- Imagine to be an *external observer* helping with the voting procedure

Why?

- Voters: difficult or costly to order *all* alternatives
- Committee: difficult to *specify* a voting rule precisely and abstractly

How?

- *Minimax regret*: given the current knowledge, the alternatives with the lowest worst-case regret are selected as tied winners

Related Works

Incomplete profile

- and known weights: Minimax regret to produce a robust winner approximation (*Lu and Boutilier 2011*, [2]; *Boutilier et al. 2006*, [1])

Uncertain weights

- and complete profile: dominance relations derived to eliminate alternatives always less preferred than others (*Stein et al. 1994*, [3])
- in positional scoring rules (*Viappiani 2018*, [4])

Context

A alternatives, $|A| = m$

N voters

$P = (\succ_j, j \in N)$, $P \in \mathcal{P}$ complete preferences profile

$W = (\mathbf{w}_r, 1 \leq r \leq m)$, $W \in \mathcal{W}$ (convex) scoring vector that the committee has in mind

Context

A alternatives, $|A| = m$

N voters

$P = (\succ_j, j \in N)$, $P \in \mathcal{P}$ complete preferences profile

$W = (\mathbf{w}_r, 1 \leq r \leq m)$, $W \in \mathcal{W}$ (convex) scoring vector that the committee has in mind

W defines a Positional Scoring Rule $f_W(P) \subseteq A$ using scores $s^{W,P}(a)$, $\forall a \in A$

Context

A alternatives, $|A| = m$

N voters

$P = (\succ_j, j \in N), P \in \mathcal{P}$ complete preferences profile

$W = (\mathbf{w}_r, 1 \leq r \leq m), W \in \mathcal{W}$ (convex) scoring vector that the committee has in mind

W defines a Positional Scoring Rule $f_W(P) \subseteq A$ using scores $s^{W,P}(a), \forall a \in A$

P and W exist in the minds of voters and committee but unknown to us

Questions

Questions

Questions to the voters

Comparison queries that ask a particular voter to compare two alternatives $a, b \in A$

$$a \succ_j b \quad ?$$

Questions

Questions to the voters

Comparison queries that ask a particular voter to compare two alternatives $a, b \in A$

$$a \succ_j b \quad ?$$

Questions to the committee

Queries relating the difference between the importance of consecutive ranks from r to $r + 2$

$$w_r - w_{r+1} \geq \lambda(w_{r+1} - w_{r+2}) \quad ?$$

Our Knowledge

The answers to these questions define C_P and C_W that is our knowledge about P and W

Our Knowledge

The answers to these questions define C_P and C_W that is our knowledge about P and W

- $C_P \subseteq \mathcal{P}$ constraints on the profile given by the voters

Our Knowledge

The answers to these questions define C_P and C_W that is our knowledge about P and W

- $C_P \subseteq \mathcal{P}$ constraints on the profile given by the voters
- $C_W \subseteq \mathcal{W}$ constraints on the voting rule given by the committee

Minimax Regret

Given $C_P \subseteq \mathcal{P}$ and $C_W \subseteq \mathcal{W}$:

$$\text{PMR}^{C_P, C_W}(a, b) = \max_{P \in C_P, W \in C_W} s^{P, W}(b) - s^{P, W}(a)$$

is the maximum difference of score between a and b under all possible realizations of the full profile *and* weights

Minimax Regret

Given $C_P \subseteq \mathcal{P}$ and $C_W \subseteq \mathcal{W}$:

$$\text{PMR}^{C_P, C_W}(a, b) = \max_{P \in C_P, W \in C_W} s^{P, W}(b) - s^{P, W}(a)$$

is the maximum difference of score between a and b under all possible realizations of the full profile *and* weights

We care about the worst case loss: *maximal regret* between a chosen alternative a and best real alternative b .

Minimax Regret

Given $C_P \subseteq \mathcal{P}$ and $C_W \subseteq \mathcal{W}$:

$$\text{PMR}^{C_P, C_W}(a, b) = \max_{P \in C_P, W \in C_W} s^{P, W}(b) - s^{P, W}(a)$$

is the maximum difference of score between a and b under all possible realizations of the full profile *and* weights

We care about the worst case loss: *maximal regret* between a chosen alternative a and best real alternative b .

We select the alternative which minimizes the maximal regret

Pairwise Max Regret Computation

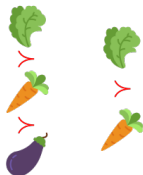
The computation of $\text{PMR}^{C_P, C_W}(\text{broccoli}, \text{eggplant})$ can be seen as a game in which an adversary both:

Pairwise Max Regret Computation

The computation of $\text{PMR}^{C_P, C_W}(\text{broccoli}, \text{eggplant})$ can be seen as a game in which an adversary both:

- chooses a complete profile $\mathbf{P} \in \mathcal{P}$

Mickey Donald Goofy



Mickey Donald Goofy



- chooses a feasible weight vector $\mathbf{W} \in \mathcal{W}$

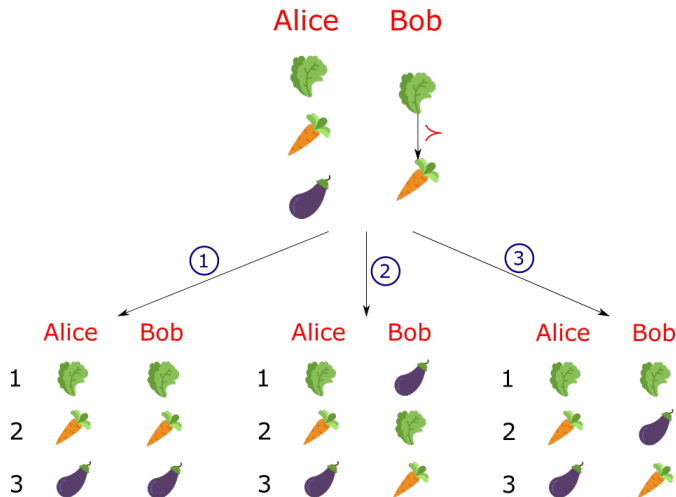
$$(1, ?, 0) \longrightarrow (1, 0, 0)$$

in order to maximize the difference of scores

Computing Minimax Regret: Example

Profile completion

Consider the following partial profile



Computing Minimax Regret: Example

Weight selection

Consider the following constraints on the scoring vector given by the committee

$$w_1 \geq 2 \cdot w_2$$

$$w_2 > w_3$$

$$w_1 - w_2 \geq w_2 - w_3$$

Computing Minimax Regret: Example

Minimax computing

$$\text{MR}(\text{carrot}) = \max \left\{ \begin{array}{l} \text{PMR}(\text{carrot, broccoli}) = 19 \rightarrow v = \textcircled{3} \quad w = \{10, 1, 0\} \\ \text{PMR}(\text{carrot, eggplant}) = 9 \rightarrow v = \textcircled{2} \quad w = \{10, 1, 0\} \end{array} \right.$$

$$\text{MR}(\text{broccoli}) = \boxed{-1}$$

$$\text{MR}(\text{eggplant}) = 20$$

$$\boxed{\text{MMR}} = -1 \rightarrow \text{winner } \text{broccoli}$$

Elicitation strategies

Elicitation strategies

- **Random:** equiprobably draws a question among the set of the possible ones;

Elicitation strategies

- **Random:** equiprobably draws a question among the set of the possible ones;
- **Extreme completions:** choses the question that reduces the most the uncertainty;

Elicitation strategies

- **Random:** equiprobably draws a question among the set of the possible ones;
- **Extreme completions:** choses the question that reduces the most the uncertainty;
- **Pessimistic:** selects the question that leads to minimal regret in the worst case;

Elicitation strategies

- **Random:** equiprobably draws a question among the set of the possible ones;
- **Extreme completions:** choses the question that reduces the most the uncertainty;
- **Pessimistic:** selects the question that leads to minimal regret in the worst case;
- **Two phase:** it asks a predefined sequence of questions to the committee and then it only asks questions about the voters.

Thank You!



C. Boutilier, R. Patrascu, P. Poupart, and D. Schuurmans.
Constraint-based Optimization and Utility Elicitation using the
Minimax Decision Criterion.
Artificial Intelligence, 170(8–9):686–713, 2006.



Tyler Lu and Craig Boutilier.
Robust approximation and incremental elicitation in voting protocols.
In *Proceedings of IJCAI 2011*, pages 287–293, 2011.



William E. Stein, Philip J. Mizzi, and Roger C. Pfaffenberger.
A stochastic dominance analysis of ranked voting systems with
scoring.
European Journal of Operational Research, 74(1):78 – 85, 1994.



Paolo Viappiani.
Positional scoring rules with uncertain weights.
In *Scalable Uncertainty Management - 12th International Conference, SUM 2018, Milan, Italy, October 3-5, 2018, Proceedings*, pages 306–320, 2018.