

# Generically Automating Separation Logic by Functors, Homomorphisms, and Modules

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## Motivation: Scalability Issue in Separation Logic (SL)

- We use **predicates** to represent **data structures**.
- Abstract predicates **hide** complexities from internal implementations.
- *But...* complexities are merely **invisible** 😞, not eliminated.

## Motivation: Scalability Issue in Separation Logic (SL)

*But...* complexities are merely **invisible** 😞, not eliminated.

- Current reasoning mechanisms have to unfold them if necessary.
- If you unfold predicates, all the hidden complexities come out.  
Expression explosion! 💣
- Unfolding is bad 👎. Compositional reasoning is good 👍.

## Motivation: Scalability Issue in Separation Logic (SL)

Compositional reasoning relies on reasoning rules of predicates.

Where do we get the reasoning rules?

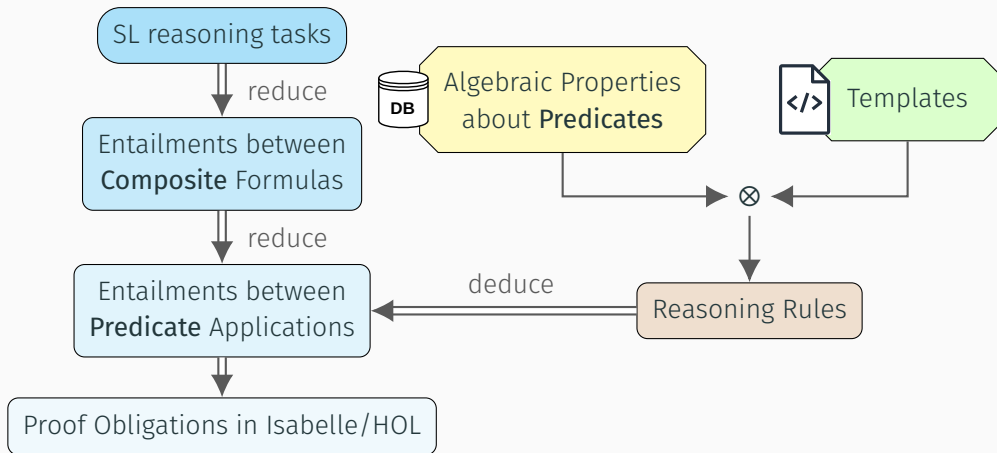
- Manually proven? People are lazy. 😞
- Automatically generated? Hey! Look at our work! 🤖

Data structures are diverse. How do we generically generate their reasoning rules?

Algebraic approach:

1. Identify **fundamental** algebraic properties about **predicates**.
2. Provide reasoning rule **templates** parameterized over the algebra axioms.
3. Derive the properties of predicates compositionally.

# Reasoning Scheme



An **SL predicate** implies a **Data Refinement** relation.

$$\text{Predicate } \text{Array}(\text{addr}, l) \Rightarrow \left\{ \begin{array}{ll} \text{Refinement relation:} & \text{Array}_{\text{addr}} \\ \text{Abstract object:} & l \\ \text{Concrete object:} & \text{the memory heaps} \end{array} \right.$$

$$\text{Entailment } \text{Ref}(\text{addr}, v) \longrightarrow \text{Array}(\text{addr}, [v])$$

$\Rightarrow$  Transformation of abstraction from one refinement relation to another

Notation

$l \vDash \text{Array}_{addr} \equiv \text{Array}(addr, l)$ , to distinguish  $\left\{ \begin{array}{l} \text{abstraction and} \\ \text{refinement relation.} \end{array} \right.$

Notation

$$\left( T \xrightarrow{f} U \right) \equiv \forall x \in \text{dom}(f). x \vDash T \longrightarrow f(x) \vDash U$$

$$\text{Ref}(addr, v) \longrightarrow \text{Array}(addr, [v]) \equiv \left( \text{Ref}_{addr} \xrightarrow{\lambda x. [x]} \text{Array}_{addr} \right)$$



Inspiration

$l \varepsilon \text{Array}_{addr}(T)$ , predicate  $T$  for the refinement of elements.

Yes

$\text{Array}_{addr} : \text{Predicate} \rightarrow \text{Predicate}$ .

Moreover

Transformation  $(T \xrightarrow{f} U)$  is our reasoner's core.

Inspiration

A subtyping rule that transforms  $T$ ?

Inspiration  
Subtyping Rule

$$\frac{\mathbb{Z} \times \mathbb{Z} \xrightarrow{\lambda(n,d). \frac{n}{d}} \mathbb{Q}}{\text{Array}_{addr}(\mathbb{Z} \times \mathbb{Z}) \xrightarrow{\text{map}(\lambda(n,d). \frac{n}{d})} \text{Array}_{addr}(\mathbb{Q})}$$

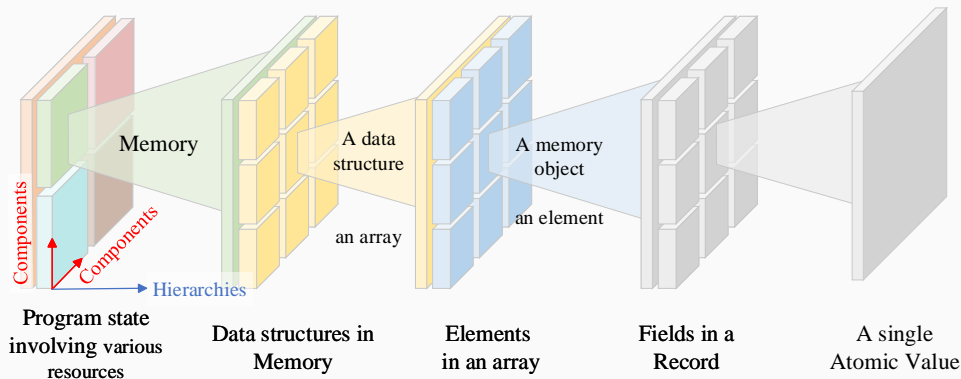
## Definition (Transformation Functor)

$\text{Functor}(F, m) \triangleq$  For any predicates  $T, U$  and any  $x, f$ ,

$$\frac{T \xrightarrow{f} U}{F(T) \xrightarrow{m(f)} F(U)}$$

Our SL specify not only  
memory heaps but also  
any concrete objects  
like a pair of integers

# Functor - Benefit



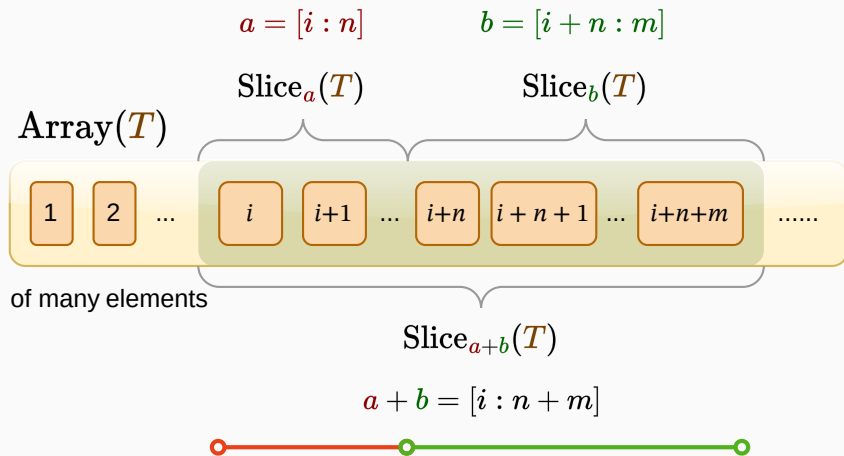
$$\frac{T \xrightarrow{f} U}{F(T) \xrightarrow{m(f)} F(U)}$$

Reduces the reasoning  
from containers to their elements.

## Example: Cutting and Merging Slices

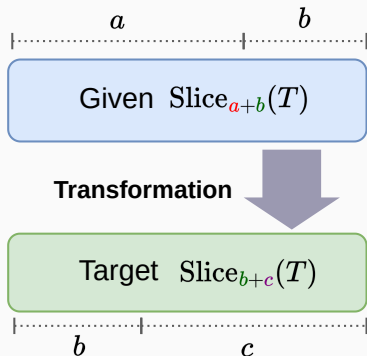
$$\text{Slice}_{a+b}(T) \xrightarrow{?} \text{Slice}_{b+c}(T)$$

## Example: Cutting and Merging Slices



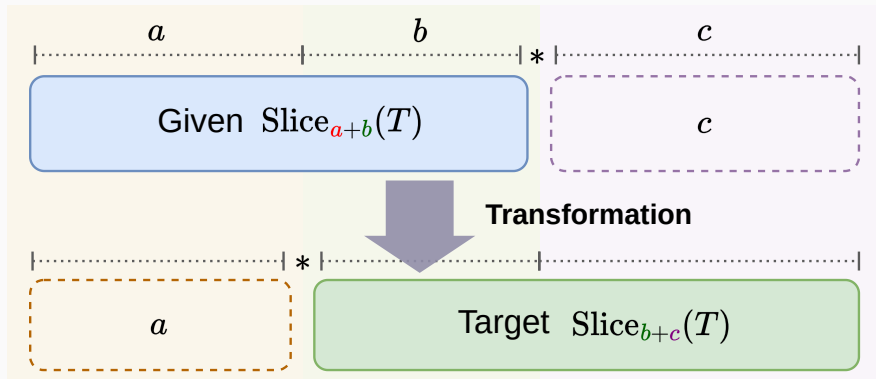
## Example: Cutting and Merging Slices

$$\text{Slice}_{a+b}(T) \xrightarrow{?} \text{Slice}_{b+c}(T)$$



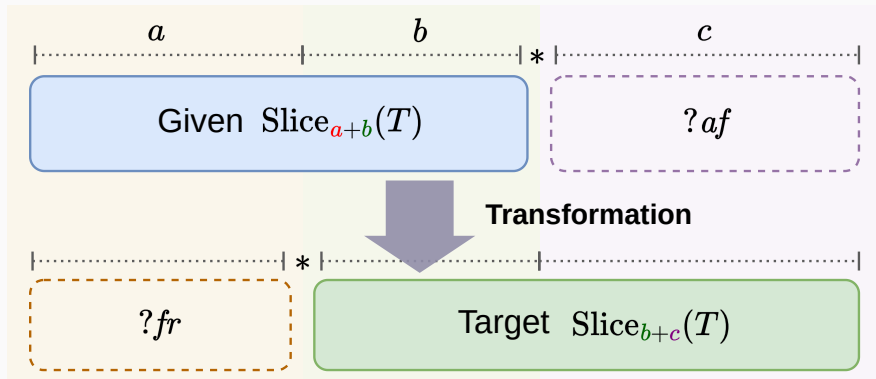
## Example: Cutting and Merging Slices

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## Example: Cutting and Merging Slices

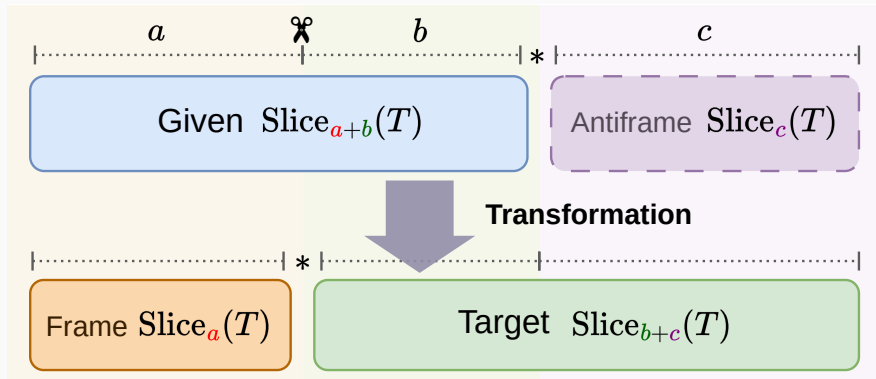
$$\text{Slice}_{a+b}(T) * ?af \xrightarrow{?} \text{Slice}_{b+c} * ?fr(T)$$





## Example: Cutting and Merging Slices

$$\text{Slice}_{a+b}(T) * \text{Slice}_c(T) \xrightarrow{\begin{array}{l} \lambda(x_{ab}, x_c). \text{ let } (x_a, x_b) = \text{cut}_{a,b}(x_{ab}) \\ \text{ in } (x_a, \text{cat}_{b,c}(x_b, x_c)) \end{array}} \text{Slice}_{b+c}(T) * \text{Slice}_a(T)$$



# Generalized Cutting and Concatenation

Formalization

$$\text{Slice}_{a+b} \xrightleftharpoons[\text{cut}_{a,b}]{\text{cat}_{a,b}} \text{Slice}_a * \text{Slice}_b$$

$$P * Q \triangleq \lambda(x, y). P(x) * Q(y)$$

Generalization

$$\text{Distributivity}(F, \text{cut}, \text{cat}) \triangleq \left( F_{a+b}(T) \xrightleftharpoons[\text{cat}_{a,b}]{\text{cut}_{a,b}} F_a(T) * F_b(T) \right)$$

for any  $a, b$  denoting domains

Why do we call it “Distributivity”?

# Modules over Rings

## Usual Notation

## Semimodule of Predicates

Partial Semiring:

Commutative monoid:

Scalar multiplication:

$\left( \begin{array}{c} \{a, b, \dots\} \\ \{x, y, \dots\} \\ \text{Juxtaposition} \end{array} \right)$

$\left( \begin{array}{c} \text{The domain of } a, b, \text{ with } +, \cdot \\ \text{SL predicates, with } * \\ F : \{a, b, \dots\} \times \text{Predicate} \rightarrow \text{Predicate} \end{array} \right)$

Laws

Distributivity:  $\left\{ \begin{array}{l} \end{array} \right.$

$$(a + b)x = ax + bx$$

$$a(x \cdot y) = ax \cdot ay$$

Associativity:

$$(ab)m = a(bm)$$

Identity:

$$1m = m$$

Zero:

$$0m = \varepsilon$$

$$F_{a+b}(T) \Longleftrightarrow F_a(T) * F_b(T)$$

$$F_a(T * U) \Longleftrightarrow F_a(T) * F_a(U)$$

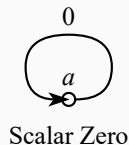
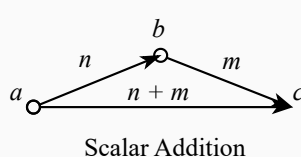
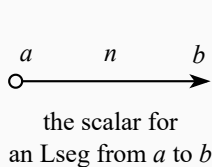
$$F_a(F_b(T)) \Longleftrightarrow F_{a \cdot b}(T)$$

$$F_1(T) \Longleftrightarrow T$$

$$F_0(T) \Longleftrightarrow \text{Empty}$$

## Example: Linked List Segment

- $l \models \text{Lseg}_{a \circ \rightarrow b}^n$  for head address  $a$ , tail address  $b$  and length  $n$ .
- Scalar defined as follows,



### Laws

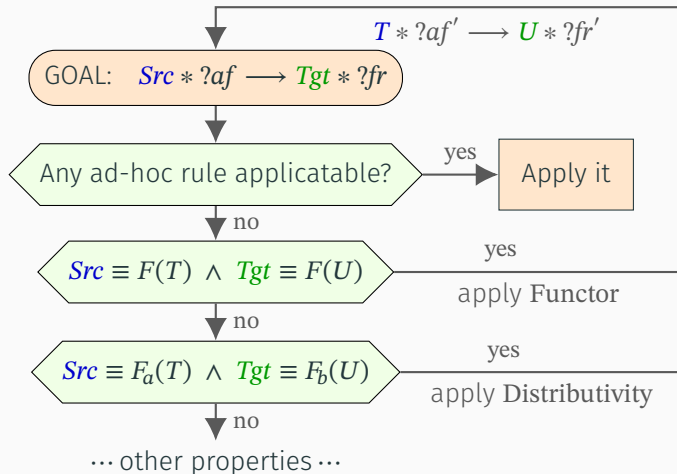
Distributivity

$$\left( \begin{array}{c} \text{Lseg}_{a \circ \rightarrow b}^n * \text{Lseg}_{b \circ \rightarrow c}^m \xrightarrow{\text{cat}} \text{Lseg}_{a \circ \rightarrow c}^{n+m} \\ \exists b. \text{Lseg}_{a \circ \rightarrow b}^n * \text{Lseg}_{b \circ \rightarrow c}^m \xleftarrow{\text{cut}} \text{Lseg}_{a \circ \rightarrow c}^{n+m} \end{array} \right)$$

Identity  $\text{Lseg}_{a \circ \rightarrow b}^1 \iff \text{Node}_{a,b}$

Zero  $\text{Lseg}_{a \circ \rightarrow a}^0 \iff \text{Empty}$

# Sketch of Algebra-driven Reasoner



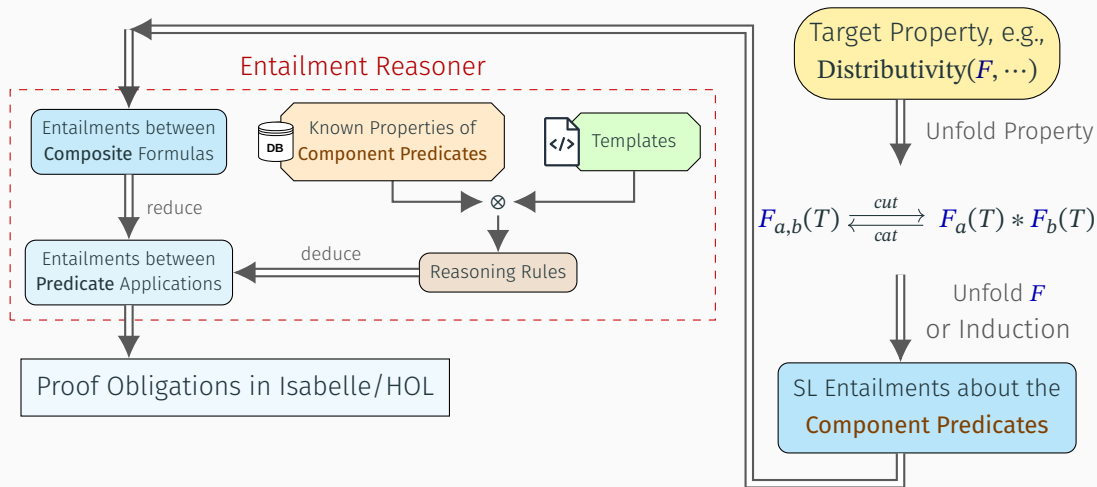
1. Identify **fundamental** algebraic properties.
2. Provide reasoning rule **templates** parameterized by the properties.
3. **Derive the properties of predicates compositionally.**

- Predicates are built compositionally

$$T(x) \triangleq \cdots y_1 \circ U_1 * y_2 \circ U_2 \cdots y_3 \circ U_3 \vee y_4 \circ U_4 \cdots$$

- Derive **properties** from known properties of **their components**
  - **without unfolding** the components

# Compositionally Deriving Properties





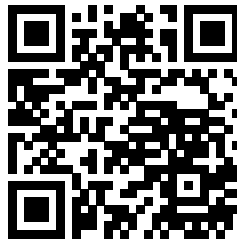
# Experiments

Case	Manual.R	Anot	Fold	Othr	Ovh	LoC	Time	...
Link-List	0	0.19	0.19	0	0.24	67	$0.3s + 0.7_{\min} + 8s$	...
Quicksort	0	0.39	0	0	0.72	18	$0.5s + 3.4_{\min} + 50s$	
Dynamic Array	0	0.19	0.18	0	0.24	62	$2.5s + 3.1_{\min} + 53s$	
Strassen Matrix	2	0.30	0.11	0.11	0.62	104	$3.0s + 4.2_{\min} + 67s$	
AVL Tree	0	0.31	0.31	0	1.30	152	$7.5s + 9.8_{\min} + 223s$	
Bucket Hash	0	0.31	0.09	0.04	0.51	113	$3.7s + 6.3_{\min} + 23s$	
...				... ..				

Unfolding is still necessary for revealing internal data representations.

# Summary

- Functor ✓
- Separating Homomorphism
- Modules over Rings
  - Distributivity ✓
  - Associativity
  - Identity
  - Zero



<https://github.com/xqyww123/phi-system>  
xu@qiyuan.me

- More Automation
  - The automation of FOL proof obligations
  - Neural Theorem Proving?
- Stronger Expressiveness
  - The natural definitions of predicates do not allow SepHom
  - Fictional Separation
  - A fictional modality  $\approx$  Iris, the higher-order ghost SL.

Thanks for Your Attention



<https://github.com/xqyww123/phi-system>

# Separating Homomorphism (SepHom)

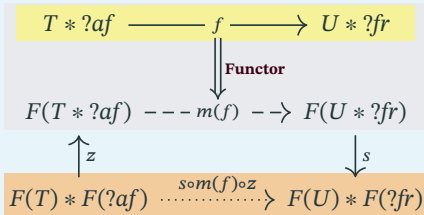
Functor + **SepHom** reduces *bi-abductive* reasoning from containers to their elements.

## Definition (Separating Homomorphism)

$$\text{SepHom}(F, s, z) \triangleq \forall T, U. \quad \forall x. x \circ F(T * U) \longrightarrow s(x) \circ F(T) * F(U) \\ \wedge \forall x. x \circ F(T) * F(U) \longrightarrow z(x) \circ F(T * U)$$

where  $(T * U)(a, b) \triangleq T(a) * U(b)$  is predicate separating conjunction.

## bi-Abductive Reasoning over Functors



If  $\text{Functor}(F, m, d)$  and  $\text{SepHom}(F, s, z)$  hold,

$$\forall a \in d(z(x)). a \circ T * ?af \longrightarrow f(a) \circ U * ?fr$$

$$x \circ F(T) * F(?af) \longrightarrow g(a) \circ F(U) * F(?fr)$$

where  $g = (s \circ m(f) \circ z)$

# Separating Homomorphism (SepHom)

Functor + **SepHom** reduces *bi-abductive* reasoning from containers to their elements.

## Definition (Separating Homomorphism)

$$\text{SepHom}(F, s, z) \triangleq \forall T, U. \quad \forall x. x \circ F(T * U) \longrightarrow s(x) \circ F(T) * F(U) \\ \wedge \forall x. x \circ F(T) * F(U) \longrightarrow z(x) \circ F(T * U)$$

where  $(T * U)(a, b) \triangleq T(a) * U(b)$  is **predicate separating conjunction**.

## bi-Abductive Reasoning over Functors

$$\begin{array}{ccc} T * ?af & \xrightarrow{\quad f \quad} & U * ?fr \\ & \Downarrow \text{Functor} & \\ F(T * ?af) & \dashrightarrow m(f) \dashrightarrow & F(U * ?fr) \\ \uparrow z & & \downarrow s \\ F(T) * F(?af) & \xrightarrow{\quad som(f) \circ z \quad} & F(U) * F(?fr) \end{array}$$

## Correspondence in Category Theory

Functor + SepHom  $\Rightarrow$  lax monoidal functor  
taking the **predicate separating conjunction** as  
the **tensor operator**.

# Separating Homomorphism - Example

## Example - Records

Assume  $\text{Field}_a(T)$  denote the type of a field named  $a$  and its value has type  $T$ .

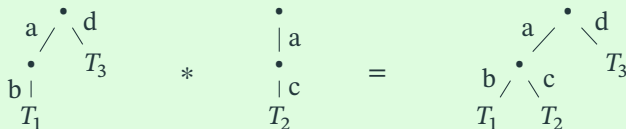
**Notation.**  $\{a: T\} \equiv \text{Field}_a(T)$

Use separation  $(*)$  to conjunct record fields.

**Notation.**  $\{a: T, b: U\} \equiv \{a: T\} * \{b: U\} \equiv \text{Field}_a(T) * \text{Field}_b(U)$ .

We know a **nested record** forms a tree labeled by field names.

$$\{a: \{b: T_1\}, d: T_3\} * \{a: \{c: T_2\}\} = \{a: \{b: T_1, c: T_2\}, d: T_3\}$$



$$\text{SepHom: } \{a: \{b: T_1\}\} * \{a: \{c: T_2\}\} = \{a: \{b: T_1\} * \{c: T_2\}\} \equiv \{a: \{b: T_1, c: T_2\}\}.$$

# Separating Homomorphism - More Examples

## Examples

- References to records.

Assume  $\text{Ref}_{addr}(T)$  is the type for a reference to a memory object at address  $addr$  and this memory object has type  $T$ . On certain memory model,

$$\text{Ref}_{addr}(T * U) \longleftrightarrow \text{Ref}_{addr}(T) * \text{Ref}_{addr}(U)$$

- Arrays as a sequence of memory objects,

$$\text{Array}(T * U) \longleftrightarrow \text{Array}(T) * \text{Array}(U)$$

- For more advanced data structures, sadly, fictional separation is required.

\* Symbol ( $\longleftrightarrow$ ) denotes existing a forward and a backward transformation.



## Modules over Rings - More Examples

- $\{a: \{b: T\}\} \iff \{a.b: T\}$  allows one rule

$$\{x : \text{Ref}_{\text{addr}}\{\text{field}: T\}\} \text{load}(\text{addr}, \text{field}) \{ \dots \}$$

to access any field at any deep level, e.g., to access  $\text{addr}.a.b$  in  $\text{addr} \mapsto \{a: \{b: T_1, c: T_2\}, d: T_3\}$ , where we instantiate  $\text{field}$  to  $a.b$ .

- Permission modality ( $s \oplus T$ )

$$s \oplus (t \oplus T) \Rightarrow (s \cdot t) \oplus T \quad (s + t) \oplus T \Rightarrow (s \oplus T) * (t \oplus T) \quad 1 \oplus T \Rightarrow T \quad 0 \oplus T \Rightarrow \text{Empty}$$

- Separating quantifier  $*_{i \in \{1, \dots, n\}} T_i \triangleq T_1 * \dots * T_n$  whose scalar is its **domain**.

$$*_{i \in s \uplus t} T_i \Rightarrow *_{i \in s} T_i * *_{i \in t} T_i \quad *_{i \in s} *_{j \in t} T_{i,j} \Rightarrow *_{(i,j) \in s \times t} T_{i,j}$$