

Homogeneous Electron gas

Morten Hjorth-Jensen

Department of Physics and Center of Mathematics for Applications
University of Oslo, N-0316 Oslo, Norway and
National Superconducting Cyclotron Laboratory, Michigan State University, East
Lansing, MI 48824, USA

June 28, 2013

The electron gas

The electron gas is perhaps the only realistic model of a system of many interacting particles that allows for a solution of the Hartree-Fock equations on a closed form. Furthermore, to first order in the interaction, one can also compute on a closed form the total energy and several other properties of a many-particle systems. The model gives a very good approximation to the properties of valence electrons in metals. The assumptions are

- ▶ System of electrons that is not influenced by external forces except by an attraction provided by a uniform background of ions. These ions give rise to a uniform background charge. The ions are stationary.
- ▶ The system as a whole is neutral.
- ▶ We assume we have N_e electrons in a cubic box of length L and volume $\Omega = L^3$. This volume contains also a uniform distribution of positive charge with density $N_e e / \Omega$.

The electron gas

This is a homogeneous system and the one-particle wave functions are given by plane wave functions normalized to a volume Ω for a box with length L (the limit $L \rightarrow \infty$ is to be taken after we have computed various expectation values)

$$\psi_{\mathbf{k}\sigma}(\mathbf{r}) = \frac{1}{\sqrt{\Omega}} \exp(i\mathbf{k}\mathbf{r})\xi_{\sigma}$$

where \mathbf{k} is the wave number and ξ_{σ} is a spin function for either spin up or down

$$\xi_{\sigma=+1/2} = \begin{pmatrix} 1 \\ 0 \end{pmatrix} \quad \xi_{\sigma=-1/2} = \begin{pmatrix} 0 \\ 1 \end{pmatrix}.$$

We assume that we have periodic boundary conditions which limit the allowed wave numbers to

$$k_i = \frac{2\pi n_i}{L} \quad i = x, y, z \quad n_i = 0, \pm 1, \pm 2, \dots$$

We assume first that the electrons interact via a central, symmetric and translationally invariant interaction $V(r_{12})$ with $r_{12} = |\mathbf{r}_1 - \mathbf{r}_2|$. The interaction is spin independent. The total Hamiltonian consists then of kinetic and potential energy

$$\hat{H} = \hat{T} + \hat{V}.$$

The operator for the kinetic energy can be written as

$$\hat{T} = \sum_{\mathbf{k}\sigma} \frac{\hbar^2 k^2}{2m} a_{\mathbf{k}\sigma}^{\dagger} a_{\mathbf{k}\sigma}.$$

The electron gas

The Hamilton operator is given by

$$\hat{H} = \hat{H}_{el} + \hat{H}_b + \hat{H}_{el-b},$$

with the electronic part

$$\hat{H}_{el} = \sum_{i=1}^N \frac{p_i^2}{2m} + \frac{e^2}{2} \sum_{i \neq j} \frac{e^{-\mu |\mathbf{r}_i - \mathbf{r}_j|}}{|\mathbf{r}_i - \mathbf{r}_j|},$$

where we have introduced an explicit convergence factor (the limit $\mu \rightarrow 0$ is performed after having calculated the various integrals). Correspondingly, we have

$$\hat{H}_b = \frac{e^2}{2} \int \int d\mathbf{r} d\mathbf{r}' \frac{n(\mathbf{r}) n(\mathbf{r}') e^{-\mu |\mathbf{r} - \mathbf{r}'|}}{|\mathbf{r} - \mathbf{r}'|},$$

which is the energy contribution from the positive background charge with density $n(\mathbf{r}) = N/\Omega$. Finally,

$$\hat{H}_{el-b} = -\frac{e^2}{2} \sum_{i=1}^N \int d\mathbf{r} \frac{n(\mathbf{r}) e^{-\mu |\mathbf{r} - \mathbf{x}_i|}}{|\mathbf{r} - \mathbf{x}_i|},$$

is the interaction between the electrons and the positive background.

The electron gas

One can show that the Hartree-Fock energy can be written as

$$\epsilon_k^{HF} = \frac{\hbar^2 k^2}{2m_e} - \frac{e^2}{\Omega^2} \sum_{k' \leq k_F} \int d\mathbf{r} e^{i(\mathbf{k}' - \mathbf{k})\mathbf{r}} \int d\mathbf{r}' \frac{e^{i(\mathbf{k} - \mathbf{k}')\mathbf{r}'}}{|\mathbf{r} - \mathbf{r}'|}$$

resulting in

$$\epsilon_k^{HF} = \frac{\hbar^2 k^2}{2m_e} - \frac{e^2 k_F}{2\pi} \left[2 + \frac{k_F^2 - k^2}{kk_F} \ln \left| \frac{k + k_F}{k - k_F} \right| \right]$$

The electron gas

The energy can be rewritten in terms of the density

$$n = \frac{k_F^3}{3\pi^2} = \frac{3}{4\pi r_s^3},$$

where $n = N_e/\Omega$, N_e being the number of electrons, and r_s is the radius of a sphere which represents the volume per conducting electron. It is convenient to use the Bohr radius $a_0 = \hbar^2/e^2 m_e$. For most metals we have a relation $r_s/a_0 \sim 2 - 6$.

The electron gas, total energy

We have

$$\hat{H}_b = \frac{e^2}{2} \frac{N_e^2}{\Omega} \frac{4\pi}{\mu^2},$$

and

$$\hat{H}_{el-b} = -e^2 \frac{N_e^2}{\Omega} \frac{4\pi}{\mu^2}.$$

The final Hamiltonian can be written as

$$H = H_0 + H_I,$$

with

$$H_0 = \sum_{\mathbf{k}\sigma} \frac{\hbar^2 k^2}{2m_e} a_{\mathbf{k}\sigma}^\dagger a_{\mathbf{k}\sigma},$$

and

$$H_I = \frac{e^2}{2\Omega} \sum_{\sigma_1 \sigma_2} \sum_{\mathbf{q} \neq 0, \mathbf{k}, \mathbf{p}} \frac{4\pi}{q^2} a_{\mathbf{k}+\mathbf{q}, \sigma_1}^\dagger a_{\mathbf{p}-\mathbf{q}, \sigma_2}^\dagger a_{\mathbf{p} \sigma_2} a_{\mathbf{k} \sigma_1}.$$

The electron gas, total energy

We can calculate $E_0/N_e = \langle \Phi_0 | H | \Phi_0 \rangle / N_e$ for for this system to first order in the interaction. Using

$$\rho = \frac{k_F^3}{3\pi^2} = \frac{3}{4\pi r_0^3},$$

with $\rho = N_e/\Omega$, r_0 being the radius of a sphere representing the volume an electron occupies and the Bohr radius $a_0 = \hbar^2/e^2 m$, that the energy per electron can be written as

$$E_0/N_e = \frac{e^2}{2a_0} \left[\frac{2.21}{r_s^2} - \frac{0.916}{r_s} \right].$$

Here we have defined $r_s = r_0/a_0$ to be a dimensionless quantity.

The electron gas, total energy

We can calculate the following part of the Hamiltonian using a convergence factor

$$\hat{H}_b = \frac{e^2}{2} \iint \frac{n(\mathbf{r})n(\mathbf{r}')e^{-\mu|\mathbf{r}-\mathbf{r}'|}}{|\mathbf{r}-\mathbf{r}'|} d^3\mathbf{r} d^3\mathbf{r}',$$

where $n(\mathbf{r}) = N_e/\Omega$, the density of the positive background charge. We define $\mathbf{r}_{12} = \mathbf{r} - \mathbf{r}'$, resulting in $d^3\mathbf{r}_{12} = d^3\mathbf{r}$, and allowing us to rewrite the integral as

$$\hat{H}_b = \frac{e^2 N_e^2}{2\Omega^2} \iint \frac{e^{-\mu|\mathbf{r}_{12}|}}{|\mathbf{r}_{12}|} d^3\mathbf{r}_{12} d^3\mathbf{r}' = \frac{e^2 N_e^2}{2\Omega} \int \frac{e^{-\mu|\mathbf{r}_{12}|}}{|\mathbf{r}_{12}|} d^3\mathbf{r}_{12}.$$

Here we have used that $\int d^3\mathbf{r} = \Omega$. We change to spherical coordinates and the lack of angle dependencies yields a factor 4π , resulting in

$$\hat{H}_b = \frac{4\pi e^2 N_e^2}{2\Omega} \int_0^\infty r e^{-\mu r} dr.$$

The electron gas, total energy

Solving by partial integration

$$\int_0^\infty r e^{-\mu r} dr = \left[-\frac{r}{\mu} e^{-\mu r} \right]_0^\infty + \frac{1}{\mu} \int_0^\infty e^{-\mu r} dr = \frac{1}{\mu} \left[-\frac{1}{\mu} e^{-\mu r} \right]_0^\infty = \frac{1}{\mu^2},$$

gives

$$\hat{H}_b = \frac{e^2}{2} \frac{N_e^2}{\Omega} \frac{4\pi}{\mu^2}.$$

The next term is

$$\hat{H}_{el-b} = -e^2 \sum_{i=1}^N \int \frac{n(\mathbf{r}) e^{-\mu|\mathbf{r}-\mathbf{x}_i|}}{|\mathbf{r}-\mathbf{x}_i|} d^3\mathbf{r}.$$

Inserting $n(\mathbf{r})$ and changing variables in the same way as in the previous integral $\mathbf{y} = \mathbf{r} - \mathbf{x}_i$, we get $d^3\mathbf{y} = d^3\mathbf{r}$. This gives

$$\hat{H}_{el-b} = -\frac{e^2 N_e}{\Omega} \sum_{i=1}^N \int \frac{e^{-\mu|\mathbf{y}|}}{|\mathbf{y}|} d^3\mathbf{y} = -\frac{4\pi e^2 N_e}{\Omega} \sum_{i=1}^N \int_0^\infty y e^{-\mu y} dy.$$

The answer is

$$\hat{H}_{el-b} = -\frac{4\pi e^2 N_e}{\Omega} \sum_{i=1}^N \frac{1}{\mu^2},$$

which gives

$$\hat{H}_{el-b} = -e^2 \frac{N_e^2}{\Omega} \frac{4\pi}{\mu^2}.$$

The electron gas, total energy

Finally, we need to evaluate \hat{H}_{el} . This term reads

$$\hat{H}_{el} = \sum_{i=1}^{N_e} \frac{\hat{\mathbf{p}}_i^2}{2m_e} + \frac{e^2}{2} \sum_{i \neq j} \frac{e^{-\mu|\mathbf{r}_i - \mathbf{r}_j|}}{|\mathbf{r}_i - \mathbf{r}_j|}.$$

The last term represents the repulsion between two electrons. It is a central symmetric interaction and is translationally invariant. The potential is given by the expression

$$v(|\mathbf{r}|) = e^2 \frac{e^{\mu|\mathbf{r}|}}{|\mathbf{r}|}, .$$

The electron gas, total energy

The results becomes

$$\int v(|\mathbf{r}|) e^{-i\mathbf{q}\cdot\mathbf{r}} d^3\mathbf{r} = e^2 \int \frac{e^{\mu|\mathbf{r}|}}{|\mathbf{r}|} e^{-i\mathbf{q}\cdot\mathbf{r}} d^3\mathbf{r} = e^2 \frac{4\pi}{\mu^2 + q^2},$$

which gives us

$$\begin{aligned}\hat{H}_{el} &= \sum_{\mathbf{k}\sigma} \frac{\hbar^2 k^2}{2m} \hat{a}_{\mathbf{k}\sigma}^\dagger \hat{a}_{\mathbf{k}\sigma} + \frac{e^2}{2\Omega} \sum_{\sigma\sigma'} \sum_{\mathbf{k}\mathbf{p}\mathbf{q}} \frac{4\pi}{\mu^2 + q^2} \hat{a}_{\mathbf{k}+\mathbf{q},\sigma}^\dagger \hat{a}_{\mathbf{p}-\mathbf{q},\sigma'}^\dagger \hat{a}_{\mathbf{p}\sigma'} \hat{a}_{\mathbf{k}\sigma} \\ &= \sum_{\mathbf{k}\sigma} \frac{\hbar^2 k^2}{2m_e} \hat{a}_{\mathbf{k}\sigma}^\dagger \hat{a}_{\mathbf{k}\sigma} + \frac{e^2}{2\Omega} \sum_{\sigma\sigma'} \sum_{\substack{\mathbf{k}\mathbf{p}\mathbf{q} \\ q \neq 0}} \frac{4\pi}{q^2} \hat{a}_{\mathbf{k}+\mathbf{q},\sigma}^\dagger \hat{a}_{\mathbf{p}-\mathbf{q},\sigma'}^\dagger \hat{a}_{\mathbf{p}\sigma'} \hat{a}_{\mathbf{k}\sigma} + \\ &\quad \frac{e^2}{2\Omega} \sum_{\sigma\sigma'} \sum_{\mathbf{k}\mathbf{p}} \frac{4\pi}{\mu^2} \hat{a}_{\mathbf{k},\sigma}^\dagger \hat{a}_{\mathbf{p},\sigma'}^\dagger \hat{a}_{\mathbf{p}\sigma'} \hat{a}_{\mathbf{k}\sigma},\end{aligned}$$

where in the last sum we have split the sum over \mathbf{q} in two parts, one with $\mathbf{q} \neq 0$ and one with $\mathbf{q} = 0$. In the first term we also let $\mu \rightarrow 0$.

The electron gas, total energy

The last term has the following set of creation and annihilation operators

$$\hat{a}_{\mathbf{k},\sigma}^\dagger \hat{a}_{\mathbf{p},\sigma'}^\dagger \hat{a}_{\mathbf{p}\sigma'} \hat{a}_{\mathbf{k}\sigma} = -\hat{a}_{\mathbf{k},\sigma}^\dagger \hat{a}_{\mathbf{p},\sigma'}^\dagger \hat{a}_{\mathbf{k}\sigma} \hat{a}_{\mathbf{p}\sigma'} = -\hat{a}_{\mathbf{k},\sigma}^\dagger \hat{a}_{\mathbf{p}\sigma'} \delta_{\mathbf{p}\mathbf{k}} \delta_{\sigma\sigma'} + \hat{a}_{\mathbf{k},\sigma}^\dagger \hat{a}_{\mathbf{k}\sigma} \hat{a}_{\mathbf{p},\sigma'}^\dagger \hat{a}_{\mathbf{p}\sigma'},$$

which gives

$$\sum_{\sigma\sigma'} \sum_{\mathbf{k}\mathbf{p}} \hat{a}_{\mathbf{k},\sigma}^\dagger \hat{a}_{\mathbf{p},\sigma'}^\dagger \hat{a}_{\mathbf{p}\sigma'} \hat{a}_{\mathbf{k}\sigma} = \hat{N}^2 - \hat{N},$$

where we have used the expression for the number operator. The term to the first power in \hat{N} goes to zero in the thermodynamic limit since we are interested in the energy per electron E_0/N_e . This term will then be proportional with $1/(\Omega\mu^2)$. In the thermodynamical limit $\Omega \rightarrow \infty$ we can set this term equal to zero.

The electron gas, total energy

We then get

$$\hat{H}_{el} = \sum_{\mathbf{k}\sigma} \frac{\hbar^2 k^2}{2m} \hat{a}_{\mathbf{k}\sigma}^\dagger \hat{a}_{\mathbf{k}\sigma} + \frac{e^2}{2\Omega} \sum_{\sigma\sigma'} \sum_{\mathbf{k}\mathbf{p}\mathbf{q}} \frac{4\pi}{q^2} \hat{a}_{\mathbf{k}+\mathbf{q},\sigma}^\dagger \hat{a}_{\mathbf{p}-\mathbf{q},\sigma'}^\dagger \hat{a}_{\mathbf{p}\sigma'} \hat{a}_{\mathbf{k}\sigma} + \frac{e^2}{2} \frac{N_e^2}{\Omega} \frac{4\pi}{\mu^2}.$$

The total Hamiltonian is $\hat{H} = \hat{H}_{el} + \hat{H}_b + \hat{H}_{el-b}$. Collecting all our terms we end up with

$$\hat{H}_0 = \sum_{\mathbf{k}\sigma} \frac{\hbar^2 k^2}{2m_e} \hat{a}_{\mathbf{k}\sigma}^\dagger \hat{a}_{\mathbf{k}\sigma},$$

and

$$\hat{H}_I = \frac{e^2}{2\Omega} \sum_{\sigma\sigma'} \sum_{\mathbf{k}\mathbf{p}\mathbf{q}} \frac{4\pi}{q^2} \hat{a}_{\mathbf{k}+\mathbf{q},\sigma}^\dagger \hat{a}_{\mathbf{p}-\mathbf{q},\sigma'}^\dagger \hat{a}_{\mathbf{p}\sigma'} \hat{a}_{\mathbf{k}\sigma},$$