## The Annotated Encoder Decoder

A PyTorch tutorial implementing Bahdanau et al. (2015)

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## The Annotated Encoder-Decoder with Attention

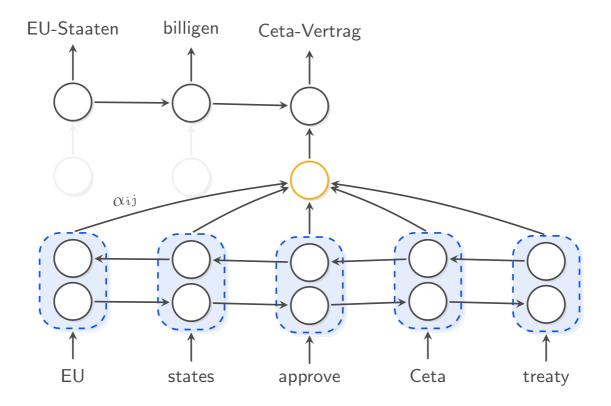
Recently, Alexander Rush wrote a blog post called The Annotated Transformer, describing the Transformer model from the paper Attention is All You Need. This post can be seen as a **prequel** to that: we will implement an Encoder-Decoder with Attention using (Gated) Recurrent Neural Networks, very closely following the original attention-based neural machine translation paper "Neural Machine Translation by Jointly Learning to Align and Translate" of Bahdanau et al. (2015).

The idea is that going through both blog posts will make you familiar with two very influential sequence-to-sequence architectures. If you have any comments or suggestions, please let me know: @BastingsJasmijn.

Click here to open this notebook in Google Colab.

## Model Architecture

We will model the probability  $p(Y\mid X)$  of a target sequence  $Y=(y_1,\ldots,y_N)$  given a source sequence  $X=(x_1,\ldots,x_M)$  directly with a neural network: an Encoder-Decoder.



### Encoder

The encoder reads in the source sentence (at the bottom of the figure) and produces a sequence of hidden states  $\mathbf{h}_1, \dots, \mathbf{h}_M$ , one for each source word. These states should capture the meaning of a word in its context of the given sentence.

We will use a bi-directional recurrent neural network (Bi-RNN) as the encoder; a Bi-GRU in particular.

First of all we **embed** the source words. We simply look up the **word embedding** for each word in a (randomly initialized) lookup table. We will denote the word embedding for word i in a given sentence with  $\frac{x}{i}$ . By embedding words, our model may exploit the fact that certain words (e.g. *cat* and *dog*) are semantically similar, and can be processed in a similar way.

Now, how do we get hidden states  $\mathbf{h}_1, \dots, \mathbf{h}_M$ ? A forward GRU reads the source sentence left-to-right, while a backward GRU reads it right-to-left. Each of them follows a simple recursive formula:  $\mathbf{h}_j = \mathrm{GRU}(\mathbf{x}_j, \mathbf{h}_{j-1})$  i.e. we obtain the next state from the previous state and the current input word embedding.

The hidden state of the forward GRU at time step j will know what words **precede** the word at that time step, but it doesn't know what words will follow. In contrast, the backward GRU will only know what words **follow** the word at time step j. By **concatenating** those two hidden states (*shown in blue in the figure*), we get  $\mathbf{h}_j$ , which captures word j in its full sentence context.

### Decoder

The decoder (at the top of the figure) is a GRU with hidden state  $\infty$  similar formula to the encoder, but takes one extra input  $\mathbf{c}_i$  (shown in yellow).

$$\mathbf{s}_i = f(\mathbf{s}_{i-1}, \mathbf{y}_{i-1}, \mathbf{c}_i)$$

Here,  $\mathbf{y}_{i-1}$  is the previously generated target word (*not shown*).

At each time step, an **attention mechanism** dynamically selects that part of the source sentence that is most relevant for predicting the current target word. It does so by comparing the last decoder state with each source hidden state. The result is a context vector \$\mathbf{c\_i}\$ (shown in yellow). Later the attention mechanism is explained in more detail.

After computing the decoder state \$\mathbf{s}\_i\$, a non-linear function \$g\$ (which applies a softmax) gives us the probability of the target word \$y\_i\$ for this time step:

$$p(y_i \mid y_{< i}, x_1^M) = g(\mathbf{s}_i, \mathbf{c}_i, \mathbf{y}_{i-1})$$

Because g applies a softmax, it provides a vector the size of the output vocabulary that sums to 1.0: it is a distribution over all target words. During test time, we would select the word with the highest probability for our translation.

Now, for optimization, a cross-entropy loss is used to maximize the probability of selecting the correct word at this time step. All parameters (including word embeddings) are then updated to maximize this probability.

## **Prelims**

This tutorial requires **PyTorch >= 0.4.1** and was tested with **Python 3.6**.

Make sure you have those versions, and install the packages below if you don't have them yet.

#!pip install torch numpy matplotlib sacrebleu

```
%matplotlib inline
import numpy as np
import torch
import torch.nn as nn
import torch.nn.functional as F
import math, copy, time
import matplotlib.pyplot as plt
from torch.nn.utils.rnn import pack_padded_sequence, pad_packed_sequence
from IPython.core.debugger import set_trace

# we will use CUDA if it is available
USE_CUDA = torch.cuda.is_available()
DEVICE=torch.device('cuda:0') # or set to 'cpu'
print("CUDA:", USE_CUDA)
print(DEVICE)
```

```
seed = 42
np.random.seed(seed)
torch.manual_seed(seed)
torch.cuda.manual_seed(seed)

CUDA: True
cuda:0
```

## Let's start coding!

### Model class

Our base model class EncoderDecoder is very similar to the one in The Annotated Transformer.

One difference is that our encoder also returns its final states (encoder\_final below), which is used to initialize the decoder RNN. We also provide the sequence lengths as the RNNs require those.

```
class EncoderDecoder(nn.Module):
    A standard Encoder-Decoder architecture. Base for this and many
    other models.
    def __init__(self, encoder, decoder, src_embed, trg_embed, generator):
        super(EncoderDecoder, self).__init__()
        self.encoder = encoder
        self.decoder = decoder
        self.src_embed = src_embed
        self.trg_embed = trg_embed
        self.generator = generator
    def forward(self, src, trg, src_mask, trg_mask, src_lengths, trg_lengths):
        """Take in and process masked src and target sequences."""
        encoder_hidden, encoder_final = self.encode(src, src_mask, src_lengths)
        return self.decode(encoder_hidden, encoder_final, src_mask, trg, trg_mask)
    def encode(self, src, src_mask, src_lengths):
        return self.encoder(self.src_embed(src), src_mask, src_lengths)
    def decode(self, encoder_hidden, encoder_final, src_mask, trg, trg_mask,
               decoder_hidden=None):
        return self.decoder(self.trg_embed(trg), encoder_hidden, encoder_final,
                            src_mask, trg_mask, hidden=decoder_hidden)
```

To keep things easy we also keep the **Generator** class the same. It simply projects the preoutput layer (x in the **forward** function below) to obtain the output layer, so that the final dimension is the target vocabulary size.

```
class Generator(nn.Module):
    """Define standard linear + softmax generation step."""
    def __init__(self, hidden_size, vocab_size):
        super(Generator, self).__init__()
        self.proj = nn.Linear(hidden_size, vocab_size, bias=False)

def forward(self, x):
    return F.log_softmax(self.proj(x), dim=-1)
```

### Encoder

Our encoder is a bi-directional GRU.

Because we want to process multiple sentences at the same time for speed reasons (it is more effcient on GPU), we need to support **mini-batches**. Sentences in a mini-batch may have different lengths, which means that the RNN needs to unroll further for certain sentences while it might already have finished for others:

You can see that, when computing hidden states for this mini-batch, for sentence #2 and #3 we will need to stop updating the hidden state after we have encountered "3". We don't want to incorporate the padding values (1s).

Luckily, PyTorch has convenient helper functions called pack\_padded\_sequence and pad\_packed\_sequence. These functions take care of masking and padding, so that the resulting word representations are simply zeros after a sentence stops.

The code below reads in a source sentence (a sequence of word embeddings) and produces the hidden states. It also returns a final vector, a summary of the complete sentence, by concatenating the first and the last hidden states (they have both seen the whole sentence, each in a different direction). We will use the final vector to initialize the decoder.

```
class Encoder(nn.Module):
    """Encodes a sequence of word embeddings"""
    def __init__(self, input_size, hidden_size, num_layers=1, dropout=0.):
        super(Encoder, self).__init__()
        self.num_layers = num_layers
        self.rnn = nn.GRU(input_size, hidden_size, num_layers,
                          batch_first=True, bidirectional=True, dropout=dropout)
    def forward(self, x, mask, lengths):
        Applies a bidirectional GRU to sequence of embeddings x.
        The input mini-batch x needs to be sorted by length.
        x should have dimensions [batch, time, dim].
        packed = pack_padded_sequence(x, lengths, batch_first=True)
        output, final = self.rnn(packed)
        output, _ = pad_packed_sequence(output, batch_first=True)
        # we need to manually concatenate the final states for both directions
        fwd_final = final[0:final.size(0):2]
        bwd_final = final[1:final.size(0):2]
        final = torch.cat([fwd_final, bwd_final], dim=2) # [num_layers, batch, 2']
        return output, final
```

### Decoder

The decoder is a conditional GRU. Rather than starting with an empty state like the encoder, its initial hidden state results from a projection of the encoder final vector.

### **Training**

In **forward** you can find a for-loop that computes the decoder hidden states one time step at a time. Note that, during training, we know exactly what the target words should be! (They are in **trg\_embed**.) This means that we are not even checking here what the prediction is! We simply feed the correct previous target word embedding to the GRU at each time step. This is called teacher forcing.

The **forward** function returns all decoder hidden states and pre-output vectors. Elsewhere these are used to compute the loss, after which the parameters are updated.

### Prediction

For prediction time, for forward function is only used for a single time step. After predicting a word from the returned pre-output vector, we can call it again, supplying it the word embedding

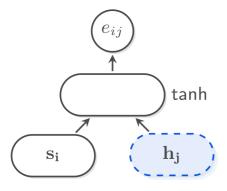
```
class Decoder(nn.Module):
    """A conditional RNN decoder with attention."""
    def __init__(self, emb_size, hidden_size, attention, num_layers=1, dropout=0.5
                 bridge=True):
        super(Decoder, self).__init__()
        self.hidden_size = hidden_size
        self.num_layers = num_layers
        self.attention = attention
        self.dropout = dropout
        self.rnn = nn.GRU(emb_size + 2*hidden_size, hidden_size, num_layers,
                          batch_first=True, dropout=dropout)
        # to initialize from the final encoder state
        self.bridge = nn.Linear(2*hidden_size, hidden_size, bias=True) if bridge €
        self.dropout_layer = nn.Dropout(p=dropout)
        self.pre_output_layer = nn.Linear(hidden_size + 2*hidden_size + emb_size,
                                          hidden_size, bias=False)
    def forward_step(self, prev_embed, encoder_hidden, src_mask, proj_key, hidden)
        """Perform a single decoder step (1 word)"""
        # compute context vector using attention mechanism
        query = hidden[-1].unsqueeze(1) # [#layers, B, D] -> [B, 1, D]
        context, attn_probs = self.attention(
            query=query, proj_key=proj_key,
            value=encoder_hidden, mask=src_mask)
        # update rnn hidden state
        rnn_input = torch.cat([prev_embed, context], dim=2)
        output, hidden = self.rnn(rnn_input, hidden)
        pre_output = torch.cat([prev_embed, output, context], dim=2)
        pre_output = self.dropout_layer(pre_output)
        pre_output = self.pre_output_layer(pre_output)
        return output, hidden, pre_output
    def forward(self, trg_embed, encoder_hidden, encoder_final,
                src_mask, trg_mask, hidden=None, max_len=None):
        """Unroll the decoder one step at a time."""
        # the maximum number of steps to unroll the RNN
        if max_len is None:
            max_len = trg_mask.size(-1)
```

```
# initialize decoder hidden state
    if hidden is None:
        hidden = self.init_hidden(encoder_final)
   # pre-compute projected encoder hidden states
    # (the "keys" for the attention mechanism)
   # this is only done for efficiency
    proj_key = self.attention.key_layer(encoder_hidden)
   # here we store all intermediate hidden states and pre-output vectors
   decoder_states = []
   pre_output_vectors = []
   # unroll the decoder RNN for max_len steps
    for i in range(max_len):
        prev_embed = trg_embed[:, i].unsqueeze(1)
        output, hidden, pre_output = self.forward_step(
          prev_embed, encoder_hidden, src_mask, proj_key, hidden)
        decoder_states.append(output)
        pre_output_vectors.append(pre_output)
   decoder_states = torch.cat(decoder_states, dim=1)
    pre_output_vectors = torch.cat(pre_output_vectors, dim=1)
    return decoder_states, hidden, pre_output_vectors # [B, N, D]
def init_hidden(self, encoder_final):
    """Returns the initial decoder state,
   conditioned on the final encoder state."""
   if encoder_final is None:
        return None # start with zeros
   return torch.tanh(self.bridge(encoder_final))
```

### **Attention**

At every time step, the decoder has access to *all* source word representations  $\mathbf{h}_1,\ldots,\mathbf{h}_M$ . An attention mechanism allows the model to focus on the currently most relevant part of the source sentence. The state of the decoder is represented by GRU hidden state  $\mathbf{s}_i$ . So if we want to know which source word representation(s)  $\mathbf{h}_j$  are most relevant, we will need to define a function that takes those two things as input.

Here we use the MLP-based, additive attention that was used in Bahdanau et al.:



We apply an MLP with tanh-activation to both the current decoder state  $\mathbf{s_i}$  (the *query*) and each encoder state  $\mathbf{h_j}$  (the *key*), and then project this to a single value (i.e. a scalar) to get the *attention energy*  $e_{ij}$ .

Once all energies are computed, they are normalized by a softmax so that they sum to one:

$$lpha_{ij} = \operatorname{softmax}(\mathbf{e}_i)[j]$$
 
$$\sum_j lpha_{ij} = 1.0$$

The context vector for time step \$i\$ is then a weighted sum of the encoder hidden states (the values):  $\mathbf{c}_i = \sum_j \alpha_{ij} \mathbf{h}_j$ 

```
class BahdanauAttention(nn.Module):
    """Implements Bahdanau (MLP) attention"""
    def __init__(self, hidden_size, key_size=None, query_size=None):
        super(BahdanauAttention, self).__init__()
        # We assume a bi-directional encoder so key_size is 2*hidden_size
        key_size = 2 * hidden_size if key_size is None else key_size
        query_size = hidden_size if query_size is None else query_size
        self.key_layer = nn.Linear(key_size, hidden_size, bias=False)
        self.query_layer = nn.Linear(query_size, hidden_size, bias=False)
        self.energy_layer = nn.Linear(hidden_size, 1, bias=False)
        # to store attention scores
        self.alphas = None
    def forward(self, query=None, proj_key=None, value=None, mask=None):
        assert mask is not None, "mask is required"
        # We first project the query (the decoder state).
        # The projected keys (the encoder states) were already pre-computated.
        query = self.query_layer(query)
        # Calculate scores.
        scores = self.energy_layer(torch.tanh(query + proj_key))
```

```
# Mask out invalid positions.
# The mask marks valid positions so we invert it using `mask & O`.
scores.data.masked_fill_(mask == 0, -float('inf'))

# Turn scores to probabilities.
alphas = F.softmax(scores, dim=-1)
self.alphas = alphas

# The context vector is the weighted sum of the values.
context = torch.bmm(alphas, value)

# context shape: [B, 1, 2D], alphas shape: [B, 1, M]
return context, alphas
```

## **Embeddings and Softmax**

We use learned embeddings to convert the input tokens and output tokens to vectors of dimension emb\_size .

We will simply use PyTorch's nn.Embedding class.

### **Full Model**

Here we define a function from hyperparameters to a full model.

```
def make_model(src_vocab, tgt_vocab, emb_size=256, hidden_size=512, num_layers=1,
   "Helper: Construct a model from hyperparameters."

attention = BahdanauAttention(hidden_size)

model = EncoderDecoder(
    Encoder(emb_size, hidden_size, num_layers=num_layers, dropout=dropout),
    Decoder(emb_size, hidden_size, attention, num_layers=num_layers, dropout=c
    nn.Embedding(src_vocab, emb_size),
    nn.Embedding(tgt_vocab, emb_size),
    Generator(hidden_size, tgt_vocab))

return model.cuda() if USE_CUDA else model
```

## Training

This section describes the training regime for our models.

We stop for a quick interlude to introduce some of the tools needed to train a standard encoder decoder model. First we define a batch object that holds the src and target sentences for training, as well as their lengths and masks.

## Batches and Masking

```
class Batch:
    """Object for holding a batch of data with mask during training.
    Input is a batch from a torch text iterator.
    def __init__(self, src, trg, pad_index=0):
        src, src_lengths = src
        self.src = src
        self.src_lengths = src_lengths
        self.src_mask = (src != pad_index).unsqueeze(-2)
        self.nseqs = src.size(0)
        self.trg = None
        self.trg_y = None
        self.trg_mask = None
        self.trg_lengths = None
        self.ntokens = None
        if trg is not None:
            trg, trg_lengths = trg
            self.trg = trg[:, :-1]
            self.trg_lengths = trg_lengths
            self.trg_y = trg[:, 1:]
            self.trg_mask = (self.trg_y != pad_index)
            self.ntokens = (self.trg_y != pad_index).data.sum().item()
        if USE CUDA:
            self.src = self.src.cuda()
            self.src_mask = self.src_mask.cuda()
            if trg is not None:
                self.trg = self.trg.cuda()
                self.trg_y = self.trg_y.cuda()
                self.trg_mask = self.trg_mask.cuda()
```

## **Training Loop**

The code below trains the model for 1 epoch (=1 pass through the training data).

```
def run_epoch(data_iter, model, loss_compute, print_every=50):
    """Standard Training and Logging Function"""
    start = time.time()
    total_tokens = 0
    total_loss = 0
    print_tokens = 0
    for i, batch in enumerate(data_iter, 1):
        out, _, pre_output = model.forward(batch.src, batch.trg,
                                            batch.src_mask, batch.trg_mask,
                                            batch.src_lengths, batch.trg_lengths)
        loss = loss_compute(pre_output, batch.trg_y, batch.nseqs)
        total_loss += loss
        total tokens += batch.ntokens
        print_tokens += batch.ntokens
        if model.training and i % print_every == 0:
            elapsed = time.time() - start
            print("Epoch Step: %d Loss: %f Tokens per Sec: %f" %
                    (i, loss / batch.nseqs, print_tokens / elapsed))
            start = time.time()
            print_tokens = 0
    return math.exp(total_loss / float(total_tokens))
```

## Training Data and Batching

We will use torch text for batching. This is discussed in more detail below.

## Optimizer

We will use the Adam optimizer with default settings ( $\beta_1=0.9$ ,  $\beta_2=0.999$  and  $\epsilon=10^{-8}$ ).

We will use 0.0003 as the learning rate here, but for different problems another learning rate may be more appropriate. You will have to tune that.

## A First Example

We can begin by trying out a simple copy-task. Given a random set of input symbols from a small vocabulary, the goal is to generate back those same symbols.

## Synthetic Data

```
def data_gen(num_words=11, batch_size=16, num_batches=100, length=10, pad_index=0,
    """Generate random data for a src-tgt copy task."""
    for i in range(num_batches):
        data = torch.from_numpy(
            np.random.randint(1, num_words, size=(batch_size, length)))
        data[:, 0] = sos_index
        data = data.cuda() if USE_CUDA else data
        src = data[:, 1:]
        trg = data
        src_lengths = [length-1] * batch_size
        trg_lengths = [length] * batch_size
        yield Batch((src, src_lengths), (trg, trg_lengths), pad_index=pad_index)
```

## **Loss Computation**

```
class SimpleLossCompute:
    """A simple loss compute and train function."""
    def __init__(self, generator, criterion, opt=None):
        self.generator = generator
        self.criterion = criterion
        self.opt = opt
    def __call__(self, x, y, norm):
        x = self.generator(x)
        loss = self.criterion(x.contiguous().view(-1, x.size(-1)),
                              y.contiguous().view(-1))
        loss = loss / norm
        if self.opt is not None:
            loss.backward()
            self.opt.step()
            self.opt.zero_grad()
        return loss.data.item() * norm
```

## Printing examples

To monitor progress during training, we will translate a few examples.

We use greedy decoding for simplicity; that is, at each time step, starting at the first token, we choose the one with that maximum probability, and we never revisit that choice.

```
def greedy_decode(model, src, src_mask, src_lengths, max_len=100, sos_index=1, eos
    """Greedily decode a sentence."""
    with torch.no_grad():
        encoder_hidden, encoder_final = model.encode(src, src_mask, src_lengths)
        prev_y = torch.ones(1, 1).fill_(sos_index).type_as(src)
        trg_mask = torch.ones_like(prev_y)
    output = []
    attention_scores = []
    hidden = None
    for i in range(max_len):
        with torch.no_grad():
            out, hidden, pre_output = model.decode(
              encoder_hidden, encoder_final, src_mask,
              prev_y, trg_mask, hidden)
            # we predict from the pre-output layer, which is
            # a combination of Decoder state, prev emb, and context
            prob = model.generator(pre_output[:, -1])
        _, next_word = torch.max(prob, dim=1)
        next_word = next_word.data.item()
        output.append(next_word)
        prev_y = torch.ones(1, 1).type_as(src).fill_(next_word)
        attention_scores.append(model.decoder.attention.alphas.cpu().numpy())
    output = np.array(output)
    # cut off everything starting from </s>
    # (only when eos_index provided)
    if eos_index is not None:
        first_eos = np.where(output==eos_index)[0]
        if len(first_eos) > 0:
            output = output[:first_eos[0]]
    return output, np.concatenate(attention_scores, axis=1)
def lookup_words(x, vocab=None):
    if vocab is not None:
        x = [vocab.itos[i]  for i  in x]
    return [str(t) for t in x]
```

```
src_eos_index=None,
               trg_eos_index=None,
               src_vocab=None, trg_vocab=None):
"""Prints N examples. Assumes batch size of 1."""
model.eval()
count = 0
print()
if src_vocab is not None and trg_vocab is not None:
    src_eos_index = src_vocab.stoi[EOS_TOKEN]
    trg_sos_index = trg_vocab.stoi[SOS_TOKEN]
    trg_eos_index = trg_vocab.stoi[EOS_TOKEN]
else:
    src_eos_index = None
    trg_sos_index = 1
    trg_eos_index = None
for i, batch in enumerate(example_iter):
    src = batch.src.cpu().numpy()[0, :]
    trg = batch.trg_y.cpu().numpy()[0, :]
    # remove </s> (if it is there)
    src = src[:-1] if src[-1] == src_eos_index else src
    trg = trg[:-1] if trg[-1] == trg_eos_index else trg
    result, _ = greedy_decode(
      model, batch.src, batch.src_mask, batch.src_lengths,
      max_len=max_len, sos_index=trg_sos_index, eos_index=trg_eos_index)
    print("Example #%d" % (i+1))
    print("Src : ", " ".join(lookup_words(src, vocab=src_vocab)))
    print("Trg : ", " ".join(lookup_words(trg, vocab=trg_vocab)))
    print("Pred: ", " ".join(lookup_words(result, vocab=trg_vocab)))
    print()
    count += 1
    if count == n:
        break
```

## Training the copy task

```
def train_copy_task():
    """Train the simple copy task."""
    num_words = 11
    criterion = nn.NLLLoss(reduction="sum", ignore_index=0)
    model = make_model(num_words, num_words, emb_size=32, hidden_size=64)
    optim = torch.optim.Adam(model.parameters(), lr=0.0003)
```

```
eval_data = list(data_gen(num_words=num_words, batch_size=1, num_batches=100))
    dev_perplexities = []
    if USE_CUDA:
        model.cuda()
    for epoch in range(10):
        print("Epoch %d" % epoch)
        # train
        model.train()
        data = data_gen(num_words=num_words, batch_size=32, num_batches=100)
        run_epoch(data, model,
                  SimpleLossCompute(model.generator, criterion, optim))
        # evaluate
        model.eval()
        with torch.no_grad():
            perplexity = run_epoch(eval_data, model,
                                   SimpleLossCompute(model.generator, criterion, N
            print("Evaluation perplexity: %f" % perplexity)
            dev_perplexities.append(perplexity)
            print_examples(eval_data, model, n=2, max_len=9)
    return dev_perplexities
# train the copy task
dev_perplexities = train_copy_task()
def plot_perplexity(perplexities):
    """plot perplexities"""
    plt.title("Perplexity per Epoch")
    plt.xlabel("Epoch")
    plt.ylabel("Perplexity")
    plt.plot(perplexities)
plot_perplexity(dev_perplexities)
```

```
/home/jb/envs/pytorch/lib/python3.6/site-packages/torch/nn/modules/rnn.py:38: User
   "num_layers={}".format(dropout, num_layers))

Epoch 0
Epoch Step: 50 Loss: 19.887581 Tokens per Sec: 7748.957397
Epoch Step: 100 Loss: 17.856726 Tokens per Sec: 7925.338918
Evaluation perplexity: 7.172198
```

### Example #1

Src: 4 8 5 7 10 3 7 8 5 Trg: 4 8 5 7 10 3 7 8 5 Pred: 8 3 7 5 8 3 7 5 8

### Example #2

Src: 8 8 3 6 5 2 8 6 2
Trg: 8 8 3 6 5 2 8 6 2
Pred: 8 8 8 8 8 8 8 8 8

### Epoch 1

Epoch Step: 50 Loss: 15.715487 Tokens per Sec: 8662.903188 Epoch Step: 100 Loss: 12.368280 Tokens per Sec: 7860.172940

Evaluation perplexity: 3.709498

### Example #1

Src: 4 8 5 7 10 3 7 8 5 Trg: 4 8 5 7 10 3 7 8 5 Pred: 4 8 7 5 10 8 7 5 7

### Example #2

Src: 8 8 3 6 5 2 8 6 2 Trg: 8 8 3 6 5 2 8 6 2 Pred: 8 8 5 6 2 6 8 2 5

### Epoch 2

Epoch Step: 50 Loss: 9.246480 Tokens per Sec: 7971.095313 Epoch Step: 100 Loss: 7.701921 Tokens per Sec: 7876.198908

Evaluation perplexity: 2.303158

### Example #1

Src: 4 8 5 7 10 3 7 8 5 Trg: 4 8 5 7 10 3 7 8 5 Pred: 4 8 7 3 10 5 8 7 5

### Example #2

Src: 8 8 3 6 5 2 8 6 2 Trg: 8 8 3 6 5 2 8 6 2 Pred: 8 8 5 6 2 6 8 5 2

### Epoch 3

Epoch Step: 50 Loss: 6.166847 Tokens per Sec: 8069.631171 Epoch Step: 100 Loss: 5.673258 Tokens per Sec: 7855.858586

Evaluation perplexity: 1.775795

### Example #1

Src : 4 8 5 7 10 3 7 8 5 Trg : 4 8 5 7 10 3 7 8 5 Pred: 4 8 7 5 10 3 7 8 5

### Example #2

Src: 8 8 3 6 5 2 8 6 2
Trg: 8 8 3 6 5 2 8 6 2
Pred: 8 8 3 6 5 2 8 6 8

### Epoch 4

Epoch Step: 50 Loss: 4.830031 Tokens per Sec: 8094.515152 Epoch Step: 100 Loss: 4.152125 Tokens per Sec: 7999.315744

Evaluation perplexity: 1.572305

### Example #1

Src: 4 8 5 7 10 3 7 8 5 Trg: 4 8 5 7 10 3 7 8 5 Pred: 4 8 5 7 10 3 7 8 5

### Example #2

Src: 8 8 3 6 5 2 8 6 2
Trg: 8 8 3 6 5 2 8 6 2
Pred: 8 8 3 6 5 2 8 6 2

### Epoch 5

Epoch Step: 50 Loss: 3.638369 Tokens per Sec: 8112.868501 Epoch Step: 100 Loss: 3.784709 Tokens per Sec: 7843.288141

Evaluation perplexity: 1.433951

### Example #1

Src: 4 8 5 7 10 3 7 8 5 Trg: 4 8 5 7 10 3 7 8 5 Pred: 4 8 7 5 3 10 7 8 7

### Example #2

Src: 8 8 3 6 5 2 8 6 2
Trg: 8 8 3 6 5 2 8 6 2
Pred: 8 8 3 6 5 2 8 6 2

### Epoch 6

Epoch Step: 50 Loss: 2.802792 Tokens per Sec: 8128.952327 Epoch Step: 100 Loss: 2.403310 Tokens per Sec: 7893.746819

Evaluation perplexity: 1.284198

### Example #1

Src : 4 8 5 7 10 3 7 8 5 Trg : 4 8 5 7 10 3 7 8 5 Pred: 4 8 5 7 10 3 7 8 5

### Example #2

Src : 8 8 3 6 5 2 8 6 2 Trg : 8 8 3 6 5 2 8 6 2 Pred: 8 8 3 6 5 2 8 6 2

### Epoch 7

Epoch Step: 50 Loss: 2.174423 Tokens per Sec: 8181.341663 Epoch Step: 100 Loss: 1.838792 Tokens per Sec: 7833.160747

Evaluation perplexity: 1.173110

### Example #1

Src: 4 8 5 7 10 3 7 8 5 Trg: 4 8 5 7 10 3 7 8 5 Pred: 4 8 5 7 10 3 7 8 5

### Example #2

Src: 8 8 3 6 5 2 8 6 2
Trg: 8 8 3 6 5 2 8 6 2
Pred: 8 8 3 6 5 2 8 6 2

### Epoch 8

Epoch Step: 50 Loss: 1.226522 Tokens per Sec: 8267.548130 Epoch Step: 100 Loss: 1.090876 Tokens per Sec: 7842.856308

Evaluation perplexity: 1.123090

### Example #1

Src: 4 8 5 7 10 3 7 8 5 Trg: 4 8 5 7 10 3 7 8 5 Pred: 4 8 5 7 10 3 7 8 5

### Example #2

Src: 8 8 3 6 5 2 8 6 2
Trg: 8 8 3 6 5 2 8 6 2
Pred: 8 8 3 6 5 2 8 6 2

### Epoch 9

Epoch Step: 50 Loss: 1.216270 Tokens per Sec: 8181.132215 Epoch Step: 100 Loss: 0.636999 Tokens per Sec: 7866.309111

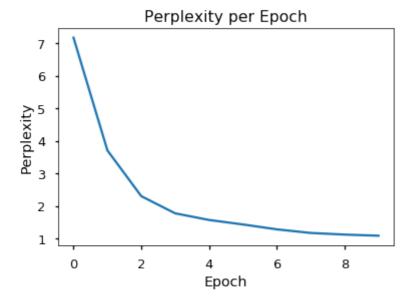
Evaluation perplexity: 1.088564

### Example #1

Src: 4 8 5 7 10 3 7 8 5 Trg: 4 8 5 7 10 3 7 8 5 Pred: 4 8 5 7 10 3 7 8 5

### Example #2

Src : 8 8 3 6 5 2 8 6 2 Trg : 8 8 3 6 5 2 8 6 2 Pred: 8 8 3 6 5 2 8 6 2



You can see that the model managed to correctly 'translate' the two examples in the end.

Moreover, the perplexity of the development data nicely went down towards 1.

## A Real World Example

Now we consider a real-world example using the IWSLT German-English Translation task. This task is much smaller than usual, but it illustrates the whole system.

The cell below installs torch text and spacy. This might take a while.

```
#!pip install git+git://github.com/pytorch/text spacy
#!python -m spacy download en
#!python -m spacy download de
```

## **Data Loading**

We will load the dataset using torchtext and spacy for tokenization.

This cell might take a while to run the first time, as it will download and tokenize the IWSLT data.

For speed we only include short sentences, and we include a word in the vocabulary only if it occurs at least 5 times. In this case we also lowercase the data.

If you have **issues** with torch text in the cell below (e.g. an ascii error), try running export LC\_ALL="en\_US.UTF-8" before you start jupyter notebook.

```
# For data loading.

from torchtext import data, datasets
```

```
if True:
    import spacy
    spacy_de = spacy.load('de')
    spacy_en = spacy.load('en')
    def tokenize_de(text):
        return [tok.text for tok in spacy_de.tokenizer(text)]
    def tokenize_en(text):
        return [tok.text for tok in spacy_en.tokenizer(text)]
    UNK TOKEN = "<unk>"
    PAD TOKEN = "<pad>"
    SOS_TOKEN = "<s>"
    EOS_TOKEN = "</s>"
    LOWER = True
    # we include lengths to provide to the RNNs
    SRC = data.Field(tokenize=tokenize_de,
                     batch_first=True, lower=LOWER, include_lengths=True,
                     unk_token=UNK_TOKEN, pad_token=PAD_TOKEN, init_token=None, ed
    TRG = data.Field(tokenize=tokenize_en,
                     batch_first=True, lower=LOWER, include_lengths=True,
                     unk_token=UNK_TOKEN, pad_token=PAD_TOKEN, init_token=SOS_TOKE
    MAX_LEN = 25 # NOTE: we filter out a lot of sentences for speed
    train_data, valid_data, test_data = datasets.IWSLT.splits(
        exts=('.de', '.en'), fields=(SRC, TRG),
        filter_pred=lambda x: len(vars(x)['src']) <= MAX_LEN and</pre>
            len(vars(x)['trg']) <= MAX_LEN)</pre>
    MIN_FREQ = 5 # NOTE: we limit the vocabulary to frequent words for speed
    SRC.build_vocab(train_data.src, min_freq=MIN_FREQ)
    TRG.build_vocab(train_data.trg, min_freq=MIN_FREQ)
    PAD_INDEX = TRG.vocab.stoi[PAD_TOKEN]
```

### Let's look at the data

It never hurts to look at your data and some statistics.

```
def print_data_info(train_data, valid_data, test_data, src_field, trg_field):
    """ This prints some useful stuff about our data sets. """

print("Data set sizes (number of sentence pairs):")
print('train', len(train_data))
print('valid', len(valid_data))
print('test', len(test_data), "\n")
```

```
print("First training example:")
    print("src:", " ".join(vars(train_data[0])['src']))
    print("trg:", " ".join(vars(train_data[0])['trg']), "\n")
    print("Most common words (src):")
    print("\n".join(["%10s %10d" % x for x in src_field.vocab.freqs.most_common(16)
    print("Most common words (trg):")
    print("\n".join(["%10s %10d" % x for x in trg_field.vocab.freqs.most_common(16
    print("First 10 words (src):")
    print("\n".join(
        '%02d %s' % (i, t) for i, t in enumerate(src_field.vocab.itos[:10])), "\n'
    print("First 10 words (trg):")
    print("\n".join(
        '%02d %s' % (i, t) for i, t in enumerate(trg_field.vocab.itos[:10])), "\n'
    print("Number of German words (types):", len(src_field.vocab))
    print("Number of English words (types):", len(trg_field.vocab), "\n")
print_data_info(train_data, valid_data, test_data, SRC, TRG)
Data set sizes (number of sentence pairs):
train 143116
valid 690
test 963
First training example:
src: david gallo : das ist bill lange . ich bin dave gallo .
trg: david gallo : this is bill lange . i 'm dave gallo .
Most common words (src):
               138325
               105944
       und
               41839
       die
                40809
       das
                33324
       sie
                33035
       ich
                31153
       ist
                31035
        es
                27449
       wir
                25817
Most common words (trg):
               137259
                91619
                73344
       the
       and
                50273
                42798
        to
```

```
а
                 39573
        of
                 39496
                 33524
         i
        it
                 32921
                 32643
      that
First 10 words (src):
00 <unk>
01 <pad>
02 </s>
03 .
04 ,
05 und
06 die
07 das
08 sie
09 ich
First 10 words (trg):
00 <unk>
01 <pad>
02 <s>
03 </s>
04 .
05 ,
06 the
07 and
08 to
09 a
Number of German words (types): 15761
Number of English words (types): 13003
```

## **Iterators**

Batching matters a ton for speed. We will use torch text's BucketIterator here to get batches containing sentences of (almost) the same length.

### Note on sorting batches for RNNs in PyTorch

For effiency reasons, PyTorch RNNs require that batches have been sorted by length, with the longest sentence in the batch first. For training, we simply sort each batch. For validation, we would run into trouble if we want to compare our translations with some external file that was not sorted. Therefore we simply set the validation batch size to 1, so that we can keep it in the original order.

## Training the System

Now we train the model.

On a Titan X GPU, this runs at ~18,000 tokens per second with a batch size of 64.

```
def train(model, num_epochs=10, lr=0.0003, print_every=100):
    """Train a model on IWSLT"""
    if USE_CUDA:
        model.cuda()
    # optionally add label smoothing; see the Annotated Transformer
    criterion = nn.NLLLoss(reduction="sum", ignore_index=PAD_INDEX)
    optim = torch.optim.Adam(model.parameters(), lr=lr)
    dev_perplexities = []
    for epoch in range(num_epochs):
        print("Epoch", epoch)
        model.train()
        train_perplexity = run_epoch((rebatch(PAD_INDEX, b) for b in train_iter),
                                     model,
                                     SimpleLossCompute(model.generator, criterion,
                                     print_every=print_every)
        model.eval()
        with torch.no_grad():
            print_examples((rebatch(PAD_INDEX, x) for x in valid_iter),
                           model, n=3, src_vocab=SRC.vocab, trg_vocab=TRG.vocab)
            dev_perplexity = run_epoch((rebatch(PAD_INDEX, b) for b in valid_iter)
                                       model,
                                       SimpleLossCompute(model.generator, criterio
```

```
model = make_model(len(SRC.vocab), len(TRG.vocab),
                   emb_size=256, hidden_size=256,
                   num_layers=1, dropout=0.2)
dev_perplexities = train(model, print_every=100)
Epoch 0
/home/jb/envs/pytorch/lib/python3.6/site-packages/torch/nn/modules/rnn.py:38: User
  "num_layers={}".format(dropout, num_layers))
Epoch Step: 100 Loss: 22.353386 Tokens per Sec: 16007.731248
Epoch Step: 200 Loss: 34.410126 Tokens per Sec: 16368.906298
Epoch Step: 300 Loss: 44.763870 Tokens per Sec: 16586.324787
Epoch Step: 400 Loss: 57.584606 Tokens per Sec: 16717.486756
Epoch Step: 500 Loss: 40.508701 Tokens per Sec: 16486.886104
Epoch Step: 600 Loss: 51.919121 Tokens per Sec: 16529.862635
Epoch Step: 700 Loss: 82.279633 Tokens per Sec: 16973.462052
Epoch Step: 800 Loss: 35.026432 Tokens per Sec: 16724.939524
Epoch Step: 900 Loss: 63.407204 Tokens per Sec: 16606.524355
Epoch Step: 1000 Loss: 37.909828 Tokens per Sec: 19105.497130
Epoch Step: 1100 Loss: 90.584244 Tokens per Sec: 19643.264684
Epoch Step: 1200 Loss: 84.000832 Tokens per Sec: 19468.084935
Epoch Step: 1300 Loss: 54.331242 Tokens per Sec: 19679.282614
Epoch Step: 1400 Loss: 49.921040 Tokens per Sec: 19629.820942
Epoch Step: 1500 Loss: 21.851797 Tokens per Sec: 19565.639729
Epoch Step: 1600 Loss: 55.154270 Tokens per Sec: 19515.738007
Epoch Step: 1700 Loss: 40.758137 Tokens per Sec: 19486.791554
Epoch Step: 1800 Loss: 50.094219 Tokens per Sec: 19761.236905
Epoch Step: 1900 Loss: 90.545143 Tokens per Sec: 19447.650965
Epoch Step: 2000 Loss: 22.882494 Tokens per Sec: 19539.331538
Epoch Step: 2100 Loss: 99.448174 Tokens per Sec: 19278.704892
Epoch Step: 2200 Loss: 16.793839 Tokens per Sec: 19183.702688
Example #1
      als ich 11 jahre alt war , wurde ich eines morgens von den <unk> heller fre
Src :
      when i was 11 , i remember waking up one morning to the sound of joy in my
      when i was born years old , i was a <unk> of the <unk> of the <unk> .
Example #2
Src : mein vater hörte sich auf seinem kleinen , grauen radio die <unk> der bbc a
      my father was listening to bbc news on his small , gray radio .
```

print("Validation perplexity: %f" % dev\_perplexity)

dev\_perplexities.append(dev\_perplexity)

return dev\_perplexities

Pred: my father was on his <unk> , the <unk> of the <unk> .

### Example #3

er sah sehr glücklich aus , was damals ziemlich ungewöhnlich war , da ihn 🕻 there was a big smile on his face which was unusual then , because the news

he was very interested in the way , what was pretty much more , and then it

Validation perplexity: 31.839708

Epoch Step: 100 Loss: 4.451122 Tokens per Sec: 19110.156367 Epoch Step: 200 Loss: 11.262838 Tokens per Sec: 19538.253630 Epoch Step: 300 Loss: 55.240711 Tokens per Sec: 19584.509548 Epoch Step: 400 Loss: 54.733456 Tokens per Sec: 19787.183104 Epoch Step: 500 Loss: 38.923244 Tokens per Sec: 19385.772613 Epoch Step: 600 Loss: 63.162933 Tokens per Sec: 19013.165752 Epoch Step: 700 Loss: 47.323864 Tokens per Sec: 18863.104141 Epoch Step: 800 Loss: 43.414978 Tokens per Sec: 19258.337491 Epoch Step: 900 Loss: 87.750214 Tokens per Sec: 19179.949782 Epoch Step: 1000 Loss: 39.787056 Tokens per Sec: 19110.748464 Epoch Step: 1100 Loss: 78.177170 Tokens per Sec: 19272.044197 Epoch Step: 1200 Loss: 37.122997 Tokens per Sec: 19194.535740 Epoch Step: 1300 Loss: 26.103378 Tokens per Sec: 19337.967366 Epoch Step: 1400 Loss: 78.804855 Tokens per Sec: 19018.413406 Epoch Step: 1500 Loss: 61.593956 Tokens per Sec: 19259.272095 Epoch Step: 1600 Loss: 81.611786 Tokens per Sec: 19259.527179 Epoch Step: 1700 Loss: 28.692696 Tokens per Sec: 19230.891840 Epoch Step: 1800 Loss: 84.163223 Tokens per Sec: 19071.272023 Epoch Step: 1900 Loss: 36.782116 Tokens per Sec: 19209.383788 Epoch Step: 2000 Loss: 56.666332 Tokens per Sec: 19127.522297 Epoch Step: 2100 Loss: 5.576357 Tokens per Sec: 18957.458966 Epoch Step: 2200 Loss: 38.791512 Tokens per Sec: 19166.811446

### Example #1

als ich 11 jahre alt war , wurde ich eines morgens von den <unk> heller fre when i was 11 , i remember waking up one morning to the sound of joy in my

when i was 11 years old , i was a <unk> of the <unk> <unk> . Pred:

### Example #2

mein vater hörte sich auf seinem kleinen , grauen radio die <unk> der bbc a Src :

my father was listening to bbc news on his small , gray radio .

Pred: my father was on his <unk> , in the little <unk> , the <unk> of the <unk>

### Example #3

er sah sehr glücklich aus , was damals ziemlich ungewöhnlich war , da ihn d there was a big smile on his face which was unusual then , because the news

he saw very happy , what was pretty much , and it was the <unk> of the <unk

Validation perplexity: 19.906190

Epoch 2

Epoch Step: 100 Loss: 58.981544 Tokens per Sec: 19121.747106

```
Epoch Step: 200 Loss: 34.874680 Tokens per Sec: 19689.768904
Epoch Step: 300 Loss: 27.895102 Tokens per Sec: 19751.401628
Epoch Step: 400 Loss: 52.931011 Tokens per Sec: 16369.447354
Epoch Step: 500 Loss: 77.191933 Tokens per Sec: 16337.808093
Epoch Step: 600 Loss: 65.645668 Tokens per Sec: 16307.871308
Epoch Step: 700 Loss: 7.141161 Tokens per Sec: 16420.432824
Epoch Step: 800 Loss: 76.990250 Tokens per Sec: 17512.558218
Epoch Step: 900 Loss: 43.835995 Tokens per Sec: 16399.672659
Epoch Step: 1000 Loss: 68.026192 Tokens per Sec: 16598.504664
Epoch Step: 1100 Loss: 23.746111 Tokens per Sec: 16368.137311
Epoch Step: 1200 Loss: 42.117832 Tokens per Sec: 16324.872475
Epoch Step: 1300 Loss: 47.894409 Tokens per Sec: 16532.223380
Epoch Step: 1400 Loss: 43.772861 Tokens per Sec: 16472.315811
Epoch Step: 1500 Loss: 60.978756 Tokens per Sec: 16368.088307
Epoch Step: 1600 Loss: 59.143227 Tokens per Sec: 16553.220745
Epoch Step: 1700 Loss: 34.091373 Tokens per Sec: 16557.579342
Epoch Step: 1800 Loss: 11.551711 Tokens per Sec: 16639.281663
Epoch Step: 1900 Loss: 40.060520 Tokens per Sec: 16666.679672
Epoch Step: 2000 Loss: 21.947863 Tokens per Sec: 16403.240568
Epoch Step: 2100 Loss: 12.891315 Tokens per Sec: 16656.630033
Epoch Step: 2200 Loss: 12.300262 Tokens per Sec: 16592.045153
```

### Example #1

Src : als ich 11 jahre alt war , wurde ich eines morgens von den <unk> heller fr $\in$  Trg : when i was 11 , i remember waking up one morning to the sound of joy in my Pred: when i was 11 years old , i was a <unk> of the <unk> of the <unk> .

### Example #2

Src : mein vater hörte sich auf seinem kleinen , grauen radio die <unk> der bbc  $\epsilon$  Trg : my father was listening to bbc news on his small , gray radio .

Pred: my father was on his little , <unk> , <unk> the <unk> of the bbc .

### Example #3

Src : er sah sehr glücklich aus , was damals ziemlich ungewöhnlich war , da ihn c Trg : there was a big smile on his face which was unusual then , because the news Pred: he looked very happy to what was pretty much more , because it was the <unk

### Validation perplexity: 15.555337

### Epoch 3

Epoch Step: 100 Loss: 36.178066 Tokens per Sec: 16064.364293
Epoch Step: 200 Loss: 20.046204 Tokens per Sec: 16557.065342
Epoch Step: 300 Loss: 53.514584 Tokens per Sec: 16375.767859
Epoch Step: 400 Loss: 29.280447 Tokens per Sec: 16687.195842
Epoch Step: 500 Loss: 64.491814 Tokens per Sec: 16491.438857
Epoch Step: 600 Loss: 62.286755 Tokens per Sec: 16443.863308
Epoch Step: 700 Loss: 60.861393 Tokens per Sec: 16303.304238
Epoch Step: 800 Loss: 25.101744 Tokens per Sec: 16437.206262
Epoch Step: 900 Loss: 41.884624 Tokens per Sec: 16712.862598
Epoch Step: 1000 Loss: 65.880905 Tokens per Sec: 16406.042864
Epoch Step: 1100 Loss: 34.799385 Tokens per Sec: 16257.804744

```
Epoch Step: 1200 Loss: 57.244125 Tokens per Sec: 16403.685499
Epoch Step: 1300 Loss: 6.766514 Tokens per Sec: 16262.412676
Epoch Step: 1400 Loss: 31.528254 Tokens per Sec: 16723.894609
Epoch Step: 1500 Loss: 4.534189 Tokens per Sec: 16512.533272
Epoch Step: 1600 Loss: 50.852787 Tokens per Sec: 16820.837828
Epoch Step: 1700 Loss: 30.657820 Tokens per Sec: 16574.791159
Epoch Step: 1800 Loss: 75.787910 Tokens per Sec: 16441.350335
Epoch Step: 1900 Loss: 23.563347 Tokens per Sec: 16836.284727
Epoch Step: 2000 Loss: 10.594786 Tokens per Sec: 16508.617285
Epoch Step: 2200 Loss: 40.561062 Tokens per Sec: 16624.360367
```

### Example #1

Src : als ich 11 jahre alt war , wurde ich eines morgens von den <unk> heller fr $\epsilon$  Trg : when i was 11 , i remember waking up one morning to the sound of joy in my

Pred: when i was 11 11 years old , i was a <unk> of the <unk> <unk> joy .

### Example #2

Src : mein vater hörte sich auf seinem kleinen , grauen radio die <unk> der bbc  $\epsilon$ 

Trg : my father was listening to bbc news on his small , gray radio .

Pred: my father was on his little , <unk> , <unk> , the <unk> of the bbc .

### Example #3

Src : er sah sehr glücklich aus , was damals ziemlich ungewöhnlich war , da ihn c Trg : there was a big smile on his face which was unusual then , because the news Pred: he saw very happy , what was pretty much , because it was the <unk> .

Validation perplexity: 13.563748

Epoch 4

Epoch Step: 100 Loss: 9.601490 Tokens per Sec: 16309.901017 Epoch Step: 200 Loss: 13.329712 Tokens per Sec: 16693.352689 Epoch Step: 300 Loss: 61.213333 Tokens per Sec: 16774.275779 Epoch Step: 400 Loss: 37.759483 Tokens per Sec: 16628.037095 Epoch Step: 500 Loss: 35.616104 Tokens per Sec: 16677.874896 Epoch Step: 600 Loss: 58.753849 Tokens per Sec: 16452.736708 Epoch Step: 700 Loss: 11.741160 Tokens per Sec: 16615.759446 Epoch Step: 800 Loss: 24.230316 Tokens per Sec: 16804.673563 Epoch Step: 900 Loss: 27.786499 Tokens per Sec: 16373.396939 Epoch Step: 1000 Loss: 65.063515 Tokens per Sec: 16520.381173 Epoch Step: 1100 Loss: 34.756481 Tokens per Sec: 16492.656502 Epoch Step: 1200 Loss: 43.993877 Tokens per Sec: 17075.912389 Epoch Step: 1300 Loss: 36.514729 Tokens per Sec: 16812.641454 Epoch Step: 1400 Loss: 58.995735 Tokens per Sec: 16535.979640 Epoch Step: 1500 Loss: 29.516464 Tokens per Sec: 16500.141569 Epoch Step: 1600 Loss: 10.143467 Tokens per Sec: 16613.933279 Epoch Step: 1700 Loss: 53.287037 Tokens per Sec: 16756.922926 Epoch Step: 1800 Loss: 24.687494 Tokens per Sec: 16477.783348 Epoch Step: 1900 Loss: 21.578268 Tokens per Sec: 16808.344988 Epoch Step: 2000 Loss: 60.965946 Tokens per Sec: 16651.623717 Epoch Step: 2100 Loss: 18.895075 Tokens per Sec: 16636.292649 Epoch Step: 2200 Loss: 53.253704 Tokens per Sec: 16642.799323

### Example #1

Src : als ich 11 jahre alt war , wurde ich eines morgens von den <unk> heller fr $\epsilon$  Trg : when i was 11 , i remember waking up one morning to the sound of joy in my

Pred: when i was 11 years old , i was a <unk> of the <unk> <unk> joy .

### Example #2

Src : mein vater hörte sich auf seinem kleinen , grauen radio die <unk> der bbc a

Trg : my father was listening to bbc news on his small , gray radio .

Pred: my dad listened on his little , <unk> radio <unk> the bbc of the bbc .

### Example #3

Src: er sah sehr glücklich aus , was damals ziemlich ungewöhnlich war , da ihn c Trg: there was a big smile on his face which was unusual then , because the news Pred: he saw a happy very happy , which was pretty much , because he was the most

Validation perplexity: 12.664111

### Epoch 5

Epoch Step: 100 Loss: 21.919912 Tokens per Sec: 16266.471497 Epoch Step: 200 Loss: 31.320656 Tokens per Sec: 16527.955427 Epoch Step: 300 Loss: 40.778984 Tokens per Sec: 16517.710752 Epoch Step: 400 Loss: 63.466324 Tokens per Sec: 16770.294841 Epoch Step: 500 Loss: 49.329956 Tokens per Sec: 16694.936223 Epoch Step: 600 Loss: 52.290169 Tokens per Sec: 16755.442966 Epoch Step: 700 Loss: 51.911785 Tokens per Sec: 16768.565847 Epoch Step: 800 Loss: 25.005857 Tokens per Sec: 16813.186507 Epoch Step: 900 Loss: 50.679825 Tokens per Sec: 17109.031968 Epoch Step: 1000 Loss: 13.069316 Tokens per Sec: 16692.984251 Epoch Step: 1100 Loss: 12.595688 Tokens per Sec: 16546.293379 Epoch Step: 1200 Loss: 46.846031 Tokens per Sec: 16491.379305 Epoch Step: 1300 Loss: 30.238283 Tokens per Sec: 16558.196936 Epoch Step: 1400 Loss: 23.865877 Tokens per Sec: 16556.353749 Epoch Step: 1500 Loss: 42.451859 Tokens per Sec: 16784.645679 Epoch Step: 1600 Loss: 37.048477 Tokens per Sec: 16651.129133 Epoch Step: 1700 Loss: 17.043219 Tokens per Sec: 16655.630464 Epoch Step: 1800 Loss: 17.227308 Tokens per Sec: 16688.568658 Epoch Step: 1900 Loss: 23.672441 Tokens per Sec: 16609.439477 Epoch Step: 2000 Loss: 19.385946 Tokens per Sec: 16586.442474 Epoch Step: 2100 Loss: 25.717686 Tokens per Sec: 16879.694187 Epoch Step: 2200 Loss: 22.427767 Tokens per Sec: 16844.504307

### Example #1

Src : als ich 11 jahre alt war , wurde ich eines morgens von den <unk> heller fr $\in$  Trg : when i was 11 , i remember waking up one morning to the sound of joy in my Pred: when i was 11 years old , i was <unk> by the morning of joy .

### Example #2

Src : mein vater hörte sich auf seinem kleinen , grauen radio die <unk> der bbc  $\epsilon$  Trg : my father was listening to bbc news on his small , gray radio .

Pred: my father listened on his little , gray radio waves the bbc of the bbc .

### Example #3

er sah sehr glücklich aus , was damals ziemlich ungewöhnlich war , da ihn d there was a big smile on his face which was unusual then , because the news

he saw a very happy ending , which was pretty unusual , since then they wer

Validation perplexity: 12.246438

Epoch Step: 100 Loss: 19.048712 Tokens per Sec: 19024.102757 Epoch Step: 200 Loss: 31.636736 Tokens per Sec: 19387.779254 Epoch Step: 300 Loss: 15.952754 Tokens per Sec: 19559.196457 Epoch Step: 400 Loss: 24.849632 Tokens per Sec: 18968.450791 Epoch Step: 500 Loss: 47.227837 Tokens per Sec: 19009.957585 Epoch Step: 600 Loss: 8.887992 Tokens per Sec: 19024.581918 Epoch Step: 700 Loss: 58.158920 Tokens per Sec: 16834.343585 Epoch Step: 800 Loss: 32.257362 Tokens per Sec: 16725.454783 Epoch Step: 900 Loss: 5.977044 Tokens per Sec: 16398.470679 Epoch Step: 1000 Loss: 51.871101 Tokens per Sec: 16302.492231 Epoch Step: 1100 Loss: 44.715164 Tokens per Sec: 16505.477988 Epoch Step: 1200 Loss: 4.128096 Tokens per Sec: 19255.909773 Epoch Step: 1300 Loss: 53.065189 Tokens per Sec: 19016.853318 Epoch Step: 1400 Loss: 23.775473 Tokens per Sec: 18877.681861 Epoch Step: 1500 Loss: 15.587101 Tokens per Sec: 18916.694718 Epoch Step: 1600 Loss: 59.449795 Tokens per Sec: 19166.565245 Epoch Step: 1700 Loss: 48.393402 Tokens per Sec: 18836.264938 Epoch Step: 1800 Loss: 45.651253 Tokens per Sec: 18823.983316 Epoch Step: 1900 Loss: 51.898994 Tokens per Sec: 19015.027947 Epoch Step: 2000 Loss: 16.392334 Tokens per Sec: 19180.065119 Epoch Step: 2100 Loss: 20.312500 Tokens per Sec: 19059.061076

### Example #1

Src : als ich 11 jahre alt war , wurde ich eines morgens von den <unk> heller fre when i was 11 , i remember waking up one morning to the sound of joy in my

when i was 11 , i was a <unk> of the <unk> <unk> joy . Pred:

Epoch Step: 2200 Loss: 41.126842 Tokens per Sec: 19110.648056

#### Example #2

mein vater hörte sich auf seinem kleinen , grauen radio die <unk> der bbc a Src:

my father was listening to bbc news on his small , gray radio .

Pred: my father listened to his little , <unk> radio shack the <unk> of the bbc

### Example #3

er sah sehr glücklich aus , was damals ziemlich ungewöhnlich war , da ihn d there was a big smile on his face which was unusual then , because the news

he looked very happy , which was pretty unusual , and then they had the new

Validation perplexity: 12.045694

Epoch 7

Epoch Step: 100 Loss: 22.484320 Tokens per Sec: 19136.387726

```
Epoch Step: 200 Loss: 54.793003 Tokens per Sec: 19562.003455
Epoch Step: 300 Loss: 52.516510 Tokens per Sec: 19494.585192
Epoch Step: 400 Loss: 25.631699 Tokens per Sec: 19127.415568
Epoch Step: 500 Loss: 15.818419 Tokens per Sec: 18909.082434
Epoch Step: 600 Loss: 40.660767 Tokens per Sec: 19063.824782
Epoch Step: 700 Loss: 21.253407 Tokens per Sec: 19011.780769
Epoch Step: 800 Loss: 9.494976 Tokens per Sec: 19032.447976
Epoch Step: 900 Loss: 21.503059 Tokens per Sec: 19120.646494
Epoch Step: 1000 Loss: 34.198826 Tokens per Sec: 18751.274337
Epoch Step: 1100 Loss: 21.471136 Tokens per Sec: 19119.629059
Epoch Step: 1200 Loss: 45.433662 Tokens per Sec: 19158.978952
Epoch Step: 1300 Loss: 48.697639 Tokens per Sec: 18852.568454
Epoch Step: 1400 Loss: 48.406239 Tokens per Sec: 19090.121092
Epoch Step: 1500 Loss: 10.506186 Tokens per Sec: 18996.606224
Epoch Step: 1600 Loss: 22.061657 Tokens per Sec: 18889.519602
Epoch Step: 1700 Loss: 11.148299 Tokens per Sec: 19179.133196
Epoch Step: 1800 Loss: 16.580446 Tokens per Sec: 19184.709044
Epoch Step: 1900 Loss: 20.219671 Tokens per Sec: 18889.205997
Epoch Step: 2000 Loss: 21.245464 Tokens per Sec: 18869.151894
Epoch Step: 2100 Loss: 29.567142 Tokens per Sec: 18825.496347
Epoch Step: 2200 Loss: 22.790722 Tokens per Sec: 18923.950021
```

### Example #1

Src : als ich 11 jahre alt war , wurde ich eines morgens von den <unk> heller fr $\in$  Trg : when i was 11 , i remember waking up one morning to the sound of joy in my Pred: when i was 11 years old , i was <unk> a <unk> of the <unk> <unk> joy .

### Example #2

Src : mein vater hörte sich auf seinem kleinen , grauen radio die <unk> der bbc  $\epsilon$  Trg : my father was listening to bbc news on his small , gray radio .

Pred: my father listened to his little , <unk> radio <unk> the <unk> of the bbc

### Example #3

Src : er sah sehr glücklich aus , was damals ziemlich ungewöhnlich war , da ihn c Trg : there was a big smile on his face which was unusual then , because the news Pred: he looked very happy , which was pretty unusual , because he was going to p

### Validation perplexity: 11.837098

### Epoch 8

Epoch Step: 100 Loss: 49.162842 Tokens per Sec: 19241.082862
Epoch Step: 200 Loss: 35.163906 Tokens per Sec: 19633.028114
Epoch Step: 300 Loss: 10.108455 Tokens per Sec: 17179.927672
Epoch Step: 400 Loss: 12.883712 Tokens per Sec: 16510.876579
Epoch Step: 500 Loss: 32.006828 Tokens per Sec: 16459.413702
Epoch Step: 600 Loss: 21.056961 Tokens per Sec: 16640.683528
Epoch Step: 700 Loss: 5.884560 Tokens per Sec: 16567.539919
Epoch Step: 800 Loss: 17.562445 Tokens per Sec: 16529.548052
Epoch Step: 900 Loss: 25.654568 Tokens per Sec: 16629.045928
Epoch Step: 1000 Loss: 30.116678 Tokens per Sec: 16519.515326
Epoch Step: 1100 Loss: 49.594883 Tokens per Sec: 16766.220937

```
Epoch Step: 1200 Loss: 35.545147 Tokens per Sec: 16729.972737 Epoch Step: 1300 Loss: 12.314122 Tokens per Sec: 16479.824355 Epoch Step: 1400 Loss: 5.982590 Tokens per Sec: 16592.352361 Epoch Step: 1500 Loss: 23.507740 Tokens per Sec: 16396.264595 Epoch Step: 1600 Loss: 36.874157 Tokens per Sec: 16554.722618 Epoch Step: 1700 Loss: 13.514697 Tokens per Sec: 16605.822594 Epoch Step: 1800 Loss: 6.016938 Tokens per Sec: 16390.681327 Epoch Step: 1900 Loss: 44.648132 Tokens per Sec: 16575.965569 Epoch Step: 2000 Loss: 21.025373 Tokens per Sec: 16395.313089 Epoch Step: 2200 Loss: 29.033810 Tokens per Sec: 16528.855537
```

### Example #1

Src : als ich 11 jahre alt war , wurde ich eines morgens von den <unk> heller fre Trg : when i was 11 , i remember waking up one morning to the sound of joy in my

Pred: when i was 11 years old , i was <unk> a <unk> of the <unk> <unk> joy .

### Example #2

Src : mein vater hörte sich auf seinem kleinen , grauen radio die <unk> der bbc æ

Trg : my father was listening to bbc news on his small , gray radio .

Pred: my father listened to his little , gray radio shack , the radio of the bbc

### Example #3

Src : er sah sehr glücklich aus , was damals ziemlich ungewöhnlich war , da ihn c Trg : there was a big smile on his face which was unusual then , because the news Pred: he looked very happy , which was pretty unusual , because he was the news c

Validation perplexity: 11.868392

```
Epoch 9
```

Epoch Step: 100 Loss: 33.819195 Tokens per Sec: 16155.433696 Epoch Step: 200 Loss: 26.771244 Tokens per Sec: 16447.243194 Epoch Step: 300 Loss: 22.235714 Tokens per Sec: 16557.847083 Epoch Step: 400 Loss: 16.233931 Tokens per Sec: 16802.777289 Epoch Step: 500 Loss: 34.811615 Tokens per Sec: 16637.208199 Epoch Step: 600 Loss: 11.960271 Tokens per Sec: 16478.541533 Epoch Step: 700 Loss: 32.807648 Tokens per Sec: 16526.645827 Epoch Step: 800 Loss: 25.779436 Tokens per Sec: 16572.304586 Epoch Step: 900 Loss: 18.101871 Tokens per Sec: 16472.573763 Epoch Step: 1000 Loss: 34.465992 Tokens per Sec: 16489.131609 Epoch Step: 1100 Loss: 47.311241 Tokens per Sec: 16501.563937 Epoch Step: 1200 Loss: 22.709623 Tokens per Sec: 16416.828638 Epoch Step: 1300 Loss: 45.883862 Tokens per Sec: 16338.132985 Epoch Step: 1400 Loss: 21.321081 Tokens per Sec: 16680.505744 Epoch Step: 1500 Loss: 11.126824 Tokens per Sec: 16636.646687 Epoch Step: 1600 Loss: 32.759712 Tokens per Sec: 16440.968759 Epoch Step: 1700 Loss: 19.354910 Tokens per Sec: 16476.318234 Epoch Step: 1800 Loss: 14.631118 Tokens per Sec: 16490.663260 Epoch Step: 1900 Loss: 2.233373 Tokens per Sec: 16390.177497 Epoch Step: 2000 Loss: 42.503407 Tokens per Sec: 16498.365808 Epoch Step: 2100 Loss: 35.935966 Tokens per Sec: 16257.764127

Epoch Step: 2200 Loss: 37.685387 Tokens per Sec: 16498.916279

### Example #1

Src : als ich 11 jahre alt war , wurde ich eines morgens von den <unk> heller fr $\in$  Trg : when i was 11 , i remember waking up one morning to the sound of joy in my

Pred: when i was 11 , i was a <unk> of <unk> <unk> joy .

### Example #2

Src : mein vater hörte sich auf seinem kleinen , grauen radio die <unk> der bbc a

Trg : my father was listening to bbc news on his small , gray radio .

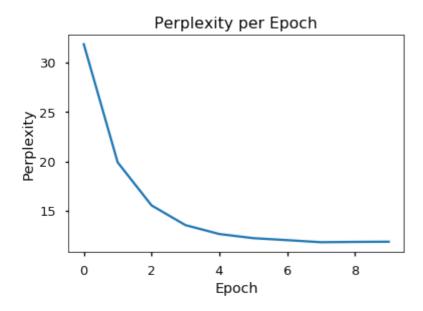
Pred: my father listened to his little , gray radio shack the bbc of the bbc .

### Example #3

Src: er sah sehr glücklich aus , was damals ziemlich ungewöhnlich war , da ihn c Trg: there was a big smile on his face which was unusual then , because the news Pred: he looked very happy , which was pretty unusual since then , they were <un

Validation perplexity: 11.886973

plot\_perplexity(dev\_perplexities)



## Prediction and Evaluation

Once trained we can use the model to produce a set of translations.

If we translate the whole validation set, we can use SacreBLEU to get a BLEU score, which is the most common way to evaluate translations.

### Important sidenote

Typically you would use SacreBLEU from the **command line** using the output file and original (possibly tokenized) development reference file. This will give you a nice version string that shows how the BLEU score was calculated; for example, if it was lowercased, if it was tokenized (and how), and what smoothing was used. If you want to learn more about how BLEU scores are (and should be) reported, check out this paper.

However, right now our pre-processed data is only in memory, so we'll calculate the BLEU score right from this notebook for demonstration purposes.

We'll first test the raw BLEU function:

```
import sacrebleu
```

```
# this should result in a perfect BLEU of 100%
hypotheses = ["this is a test"]
references = ["this is a test"]
bleu = sacrebleu.raw_corpus_bleu(hypotheses, [references], .01).score
print(bleu)
```

```
100.00000000000004
```

```
# here the BLEU score will be lower, because some n-grams won't match
hypotheses = ["this is a test"]
references = ["this is a fest"]
bleu = sacrebleu.raw_corpus_bleu(hypotheses, [references], .01).score
print(bleu)
```

```
22.360679774997894
```

Since we did some filtering for speed, our validation set contains 690 sentences. The references are the tokenized versions, but they should not contain out-of-vocabulary UNKs that our network might have seen. So we'll take the references straight out of the valid\_data object:

```
len(valid_data)
```

```
690
```

```
references = [" ".join(example.trg) for example in valid_data]
print(len(references))
print(references[0])
```

```
690 when i was 11 , i remember waking up one morning to the sound of joy in my house .
```

```
references[-2]
```

```
"i 'm always the one taking the picture ."
```

### Now we translate the validation set!

This might take a little bit of time.

Note that <code>greedy\_decode</code> will cut-off the sentence when it encounters the end-of-sequence symbol, if we provide it the index of that symbol.

```
hypotheses = []
alphas = [] # save the last attention scores
for batch in valid_iter:
  batch = rebatch(PAD_INDEX, batch)
  pred, attention = greedy_decode(
    model, batch.src, batch.src_mask, batch.src_lengths, max_len=25,
    sos_index=TRG.vocab.stoi[SOS_TOKEN],
    eos_index=TRG.vocab.stoi[EOS_TOKEN])
  hypotheses.append(pred)
  alphas.append(attention)
```

```
# we will still need to convert the indices to actual words!
hypotheses[0]
```

```
array([ 70, 11, 24, 1460, 5, 11, 24, 9, 0, 10, 0, 0, 1806, 4])
```

```
hypotheses = [lookup_words(x, TRG.vocab) for x in hypotheses]
hypotheses[0]
```

```
['when',
   'i',
   'was',
   '11',
   ',',
   'i',
   'was',
```

```
'a',
 '<unk>',
 'of',
 '<unk>',
 '<unk>',
 'joy',
 '.']
# finally, the SacreBLEU raw scorer requires string input, so we convert the lists
hypotheses = ["".join(x) for x in hypotheses]
print(len(hypotheses))
print(hypotheses[0])
690
when i was 11 , i was a \langle unk \rangle of \langle unk \rangle joy .
# now we can compute the BLEU score!
bleu = sacrebleu.raw_corpus_bleu(hypotheses, [references], .01).score
print(bleu)
23.4681520210298
```

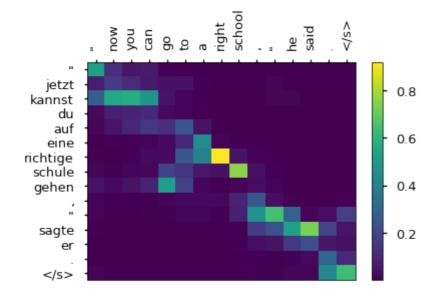
### **Attention Visualization**

We can also visualize the attention scores of the decoder.

```
def plot_heatmap(src, trg, scores):
    fig, ax = plt.subplots()
    heatmap = ax.pcolor(scores, cmap='viridis')
    ax.set_xticklabels(trg, minor=False, rotation='vertical')
    ax.set_yticklabels(src, minor=False)
    # put the major ticks at the middle of each cell
    # and the x-ticks on top
    ax.xaxis.tick_top()
    ax.set_xticks(np.arange(scores.shape[1]) + 0.5, minor=False)
    ax.set_yticks(np.arange(scores.shape[0]) + 0.5, minor=False)
    ax.invert_yaxis()
    plt.colorbar(heatmap)
    plt.show()
```

```
# This plots a chosen sentence, for which we saved the attention scores above.
idx = 5
src = valid_data[idx].src + ["</s>"]
trg = valid_data[idx].trg + ["</s>"]
pred = hypotheses[idx].split() + ["</s>"]
pred_att = alphas[idx][0].T[:, :len(pred)]
print("src", src)
print("ref", trg)
print("pred", pred)
plot_heatmap(src, pred, pred_att)
```

```
src ['"', 'jetzt', 'kannst', 'du', 'auf', 'eine', 'richtige', 'schule', 'gehen', '
ref ['"', 'you', 'can', 'go', 'to', 'a', 'real', 'school', 'now', ',', '"', 'he',
pred ['"', 'now', 'you', 'can', 'go', 'to', 'a', 'right', 'school', ',', '"', 'he'
```



# Congratulations! You've finished this notebook.

What didn't we cover?

- Subwords / Byte Pair Encoding [paper] [github] let you deal with unknown words.
- You can implement a multiplicative/bilinear attention mechanism instead of the additive one used here.
- We used greedy decoding here to get translations, but you can get better results with beam search.
- The original model only uses a single dropout layer (in the decoder), but you can experiment with adding more dropout layers, for example on the word embeddings and the source word representations.
- You can experiment with multiple encoder/decoder layers.
- Experiment with a benchmarked and improved codebase: Joey NMT

If this was useful to your research, please consider citing:

J. Bastings. 2018. The Annotated Encoder-Decoder with Attention. https://bastings.github.io/annotated\_encoder\_decoder/

Or use the following Bibtex:

```
@misc{bastings2018annotated,
   title={The Annotated Encoder-Decoder with Attention},
   author={Bastings, J.},
   journal={https://bastings.github.io/annotated\_encoder\_decoder/},
   year={2018}
}
```

### annotated\_encoder\_decoder is maintained by bastings.

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