

# Mathematical Preliminaries

- Sets
- Graphs
- Proof Techniques

# SETS

A set is a collection of elements

$$A = \{1, 2, 3\}$$

$$B = \{train, bus, bicycle, airplane\}$$

We write

$$1 \in A$$

$$ship \notin B$$

# Set Representations

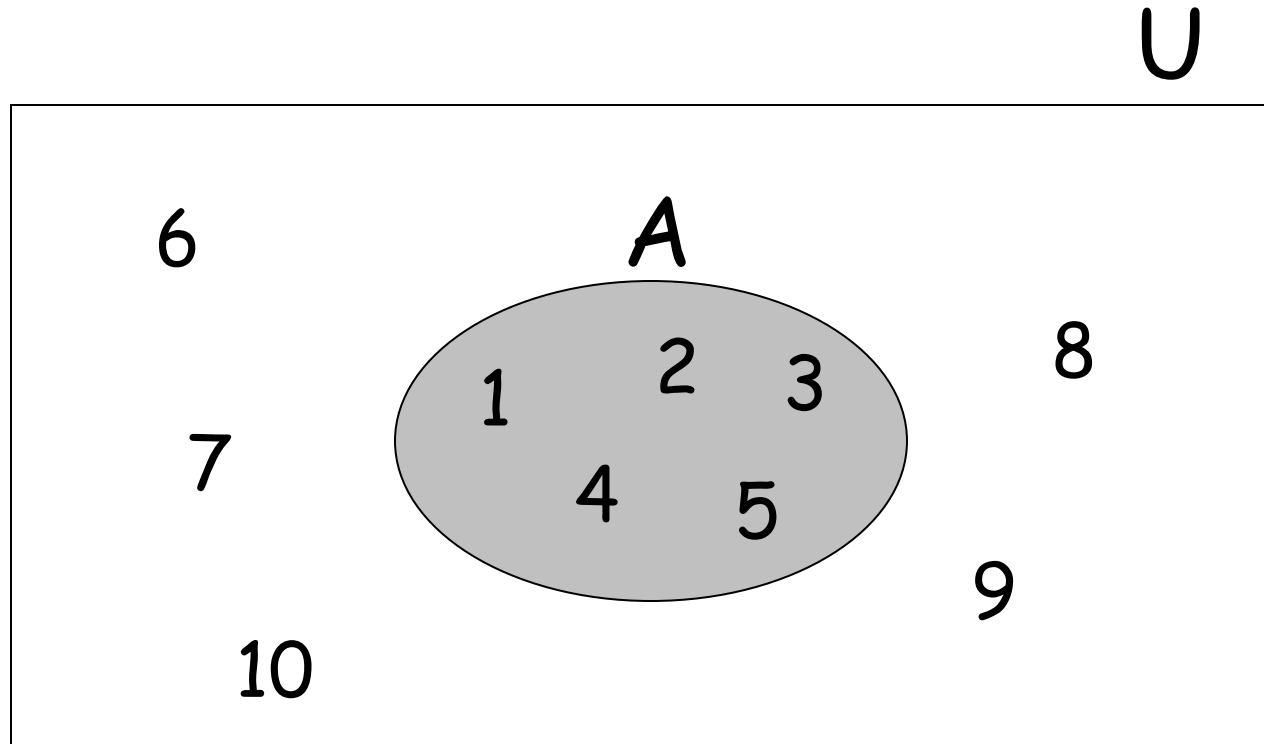
$$C = \{ a, b, c, d, e, f, g, h, i, j, k \}$$

$$C = \{ a, b, \dots, k \} \longrightarrow \textit{finite set}$$

$$S = \{ 2, 4, 6, \dots \} \longrightarrow \textit{infinite set}$$

$$S = \{ j : j > 0, \text{ and } j = 2k \text{ for some } k > 0 \}$$

$$A = \{1, 2, 3, 4, 5\}$$



Universal Set: all possible elements

$$U = \{1, \dots, 10\}$$

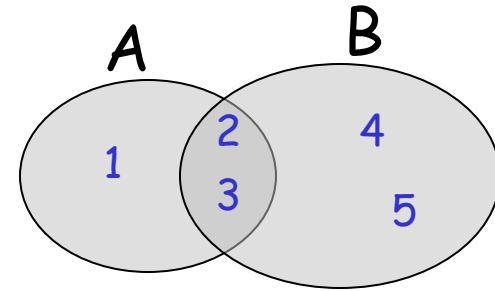
# Set Operations

$$A = \{1, 2, 3\}$$

$$B = \{2, 3, 4, 5\}$$

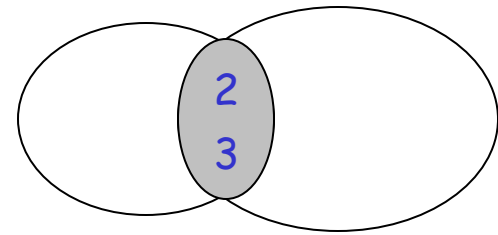
- Union

$$A \cup B = \{1, 2, 3, 4, 5\}$$



- Intersection

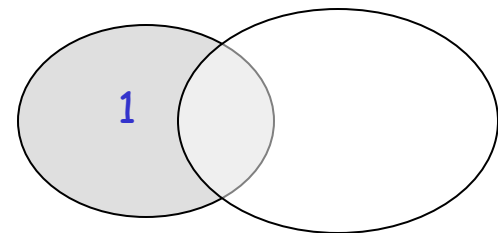
$$A \cap B = \{2, 3\}$$



- Difference

$$A - B = \{1\}$$

$$B - A = \{4, 5\}$$

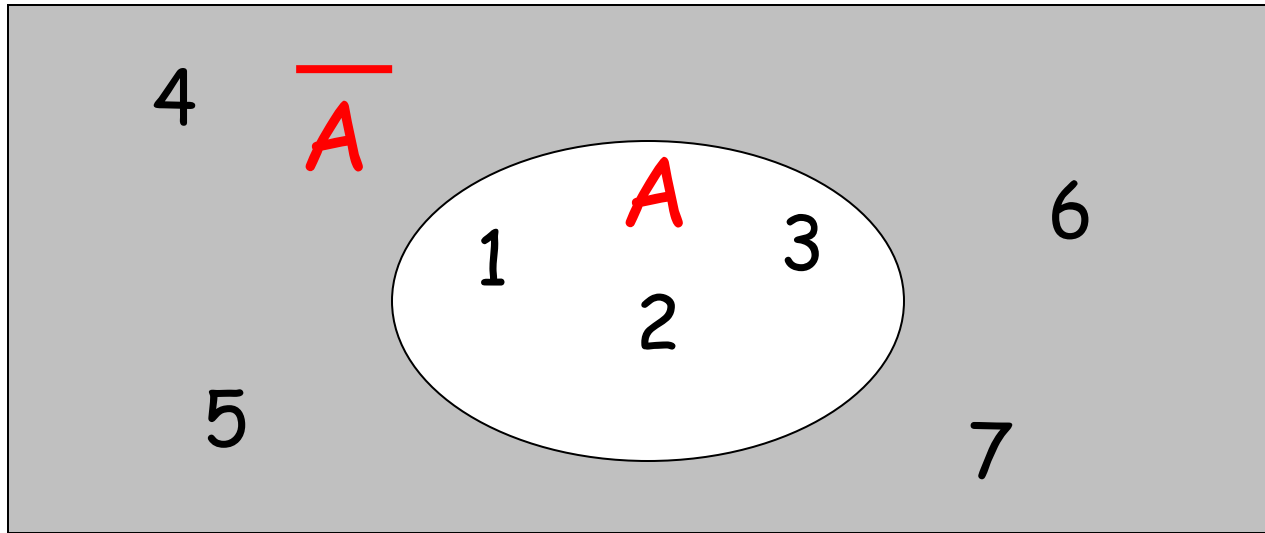


Venn diagrams

- Complement

Universal set =  $\{1, \dots, 7\}$

$$A = \{1, 2, 3\} \longrightarrow \overline{A} = \{4, 5, 6, 7\}$$

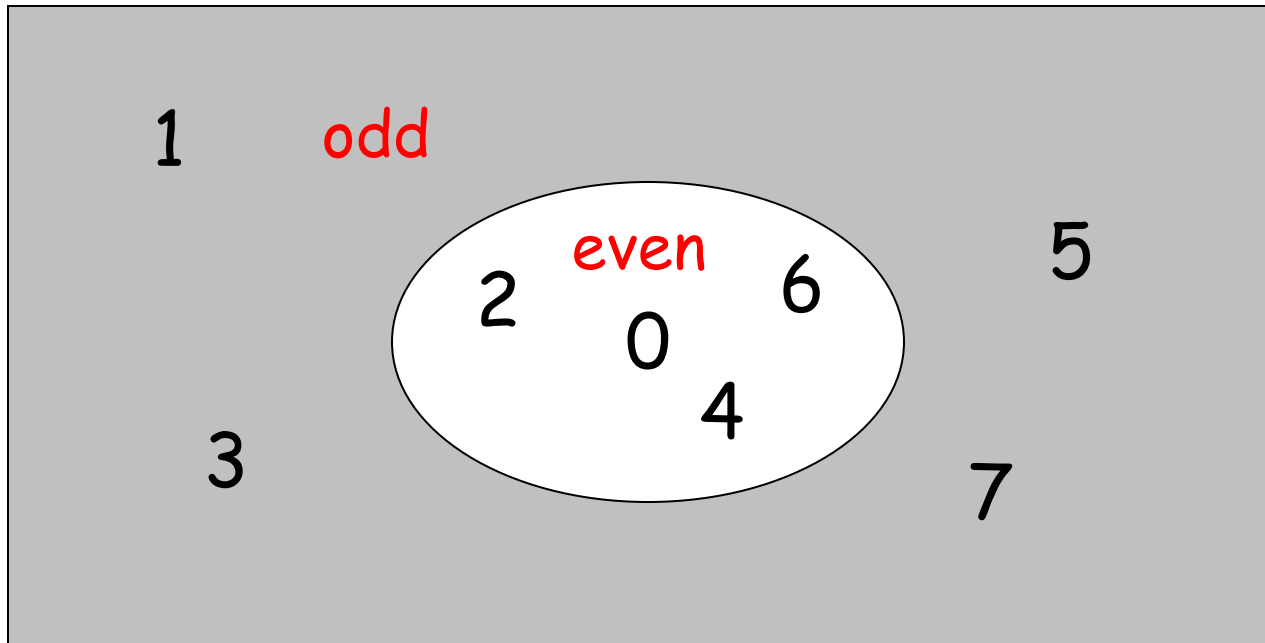


$$\overline{\overline{A}} = A$$

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$$\{ \text{even integers} \} = \{ \text{odd integers} \}$$

Integers



# DeMorgan's Laws

$$\overline{A \cup B} = \bar{A} \cap \bar{B}$$

$$\overline{A \cap B} = \bar{A} \cup \bar{B}$$



# Empty, Null Set: $\emptyset$

$$\emptyset = \{ \}$$

$$S \cup \emptyset = S$$

$$S \cap \emptyset = \emptyset$$

$$S - \emptyset = S$$

$$\emptyset - S = \emptyset$$

$$\overline{\emptyset} = \text{Universal Set}$$

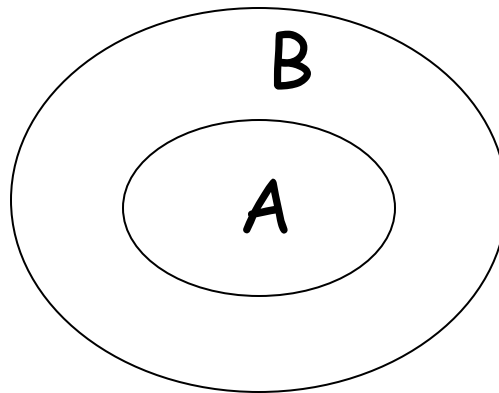
# Subset

$$A = \{1, 2, 3\}$$

$$B = \{1, 2, 3, 4, 5\}$$

$$A \subseteq B$$

Proper Subset:  $A \subset B$

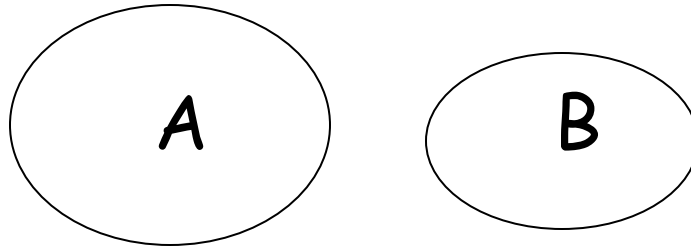


# Disjoint Sets

$$A = \{ 1, 2, 3 \}$$

$$B = \{ 5, 6 \}$$

$$A \cap B = \emptyset$$



# Set Cardinality

- For finite sets

$$A = \{ 2, 5, 7 \}$$

$$|A| = 3 \quad \text{qwmassett}$$

(set size)

# Powersets

A powerset is a set of sets

$$S = \{ a, b, c \}$$

Powerset of  $S$  = the set of all the subsets of  $S$

$$2^S = \{ \emptyset, \{a\}, \{b\}, \{c\}, \{a, b\}, \{a, c\}, \{b, c\}, \{a, b, c\} \}$$

Observation:  $|2^S| = 2^{|S|} \quad (8 = 2^3)$

# Cartesian Product

$$A = \{ 2, 4 \}$$

$$B = \{ 2, 3, 5 \}$$

$$A \times B = \{ (2, 2), (2, 3), (2, 5), (4, 2), (4, 3), (4, 5) \}$$

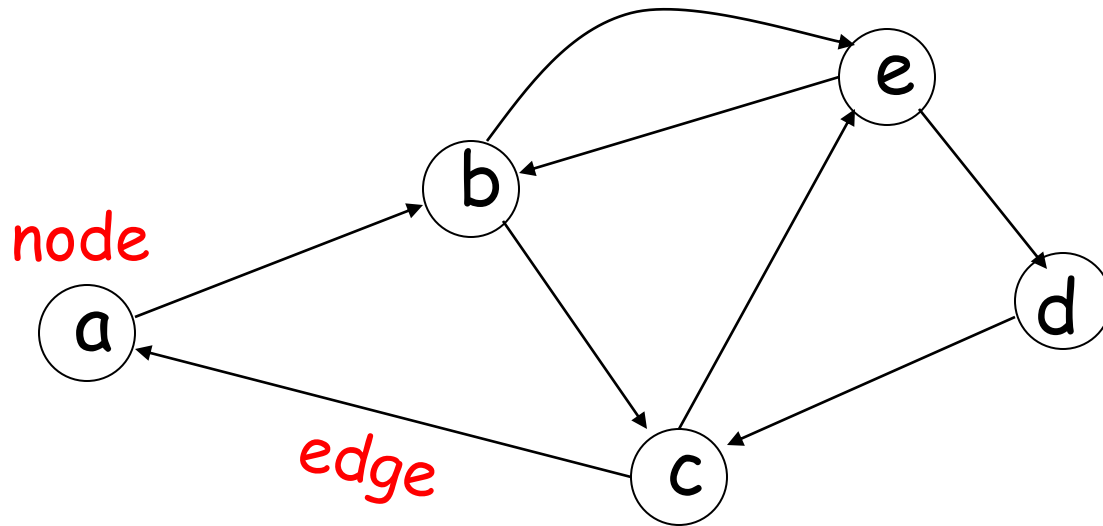
$$|A \times B| = |A| |B|$$

Generalizes to more than two sets

$$A \times B \times \dots \times Z$$

# GRAPHS

## A directed graph



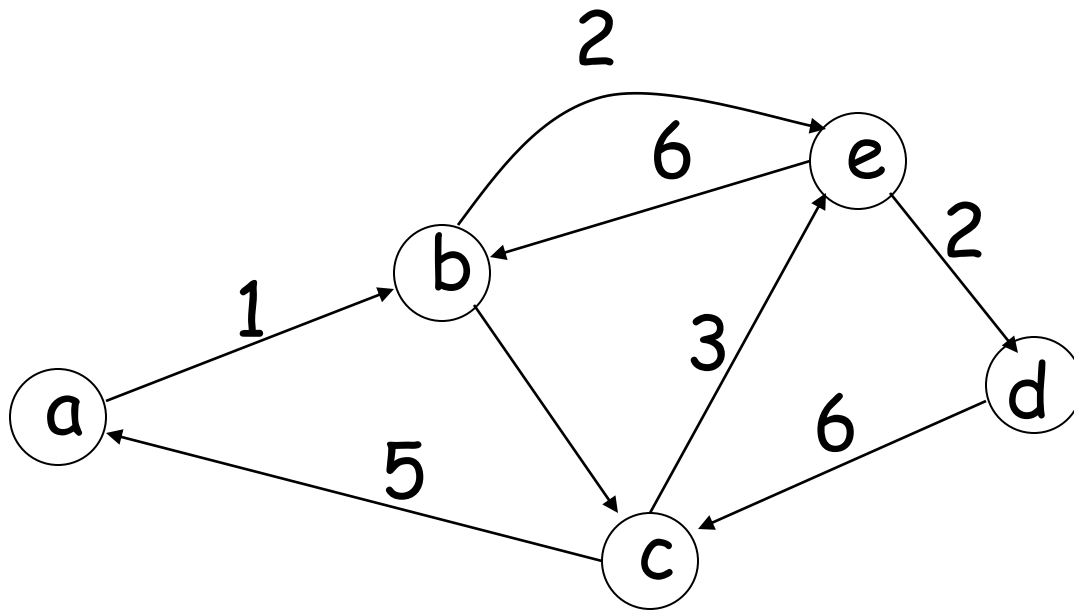
- Nodes (Vertices)

$$V = \{ a, b, c, d, e \}$$

- Edges

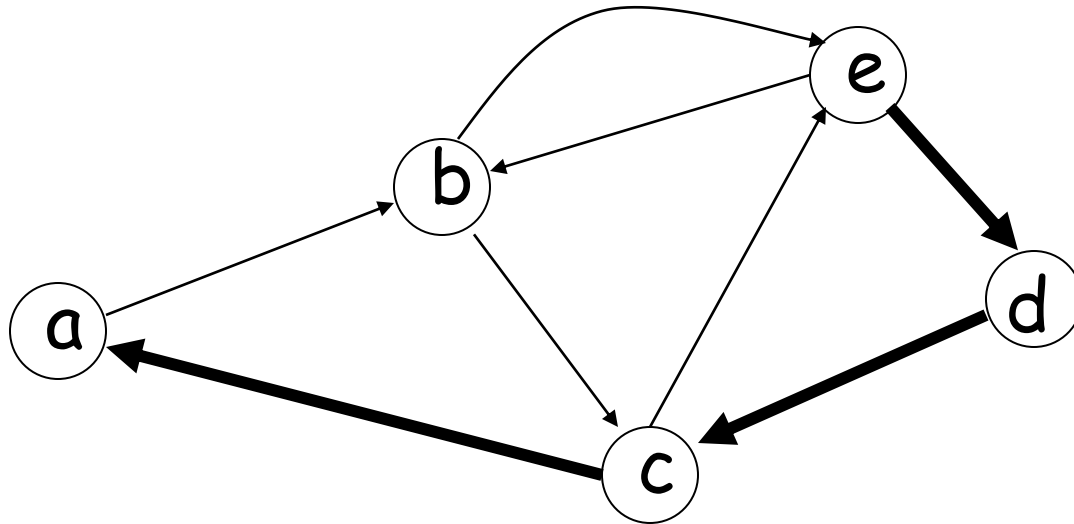
$$E = \{ (a,b), (b,c), (b,e), (c,a), (c,e), (d,c), (e,b), (e,d) \}$$

# Labeled Graph





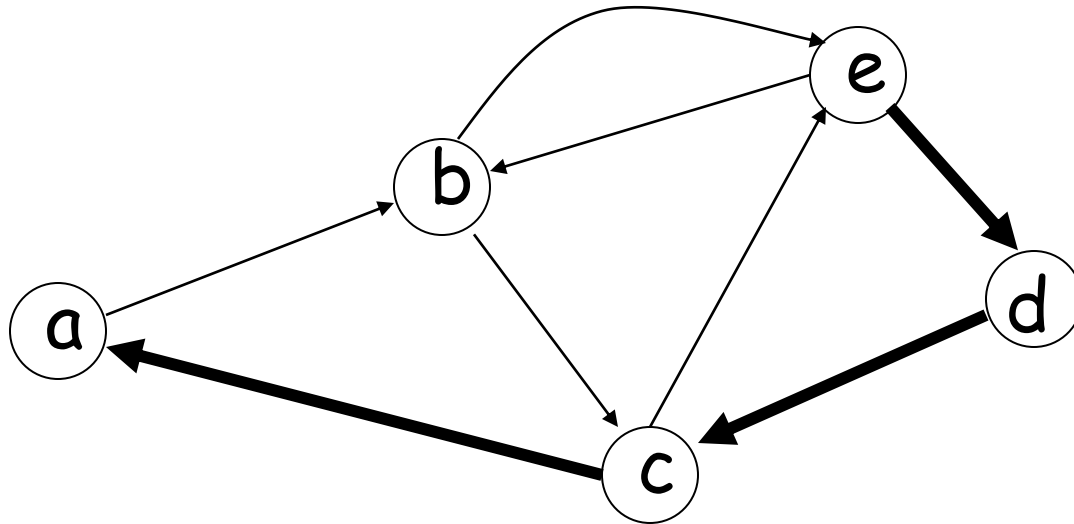
# Walk



Walk is a sequence of adjacent edges

$(e, d), (d, c), (c, a)$

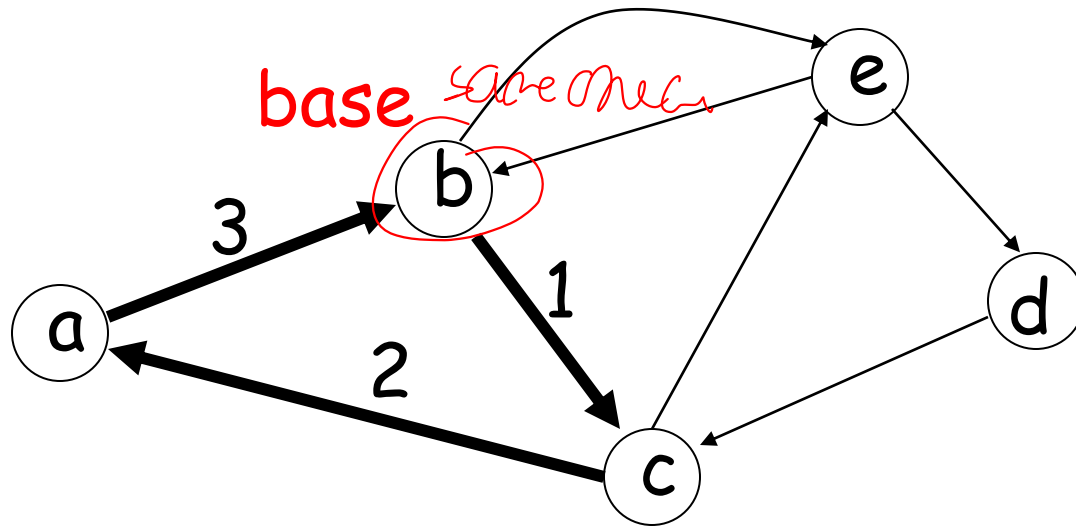
# Path



Path is a walk where no edge is repeated

Simple path: no node is repeated

# Cycle

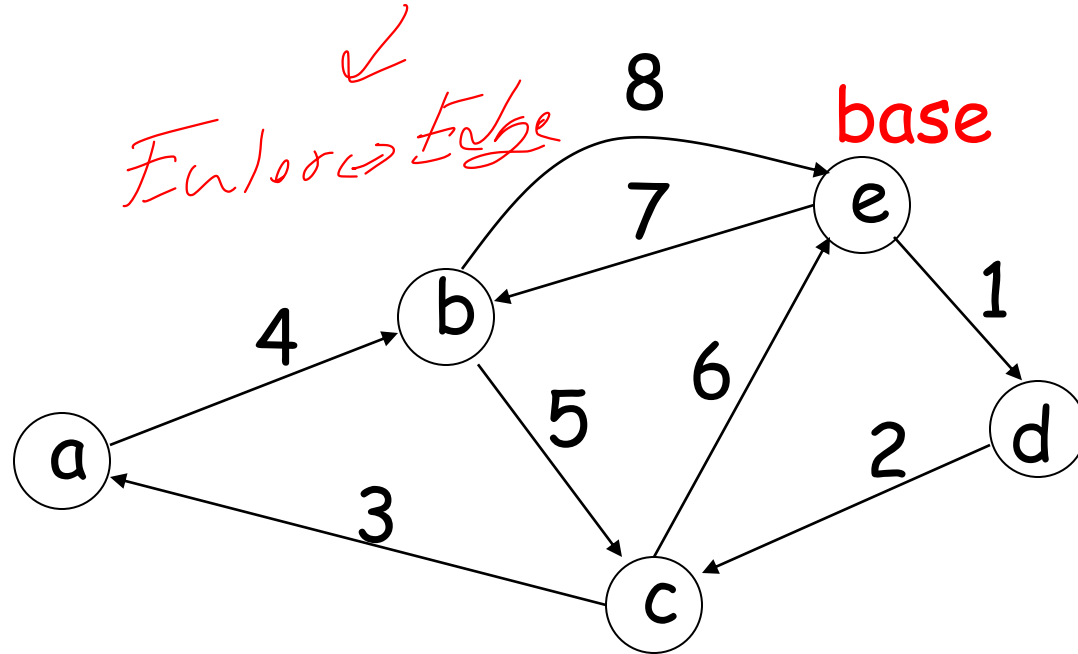


Cycle: a walk from a node (base) to itself

↓ general path

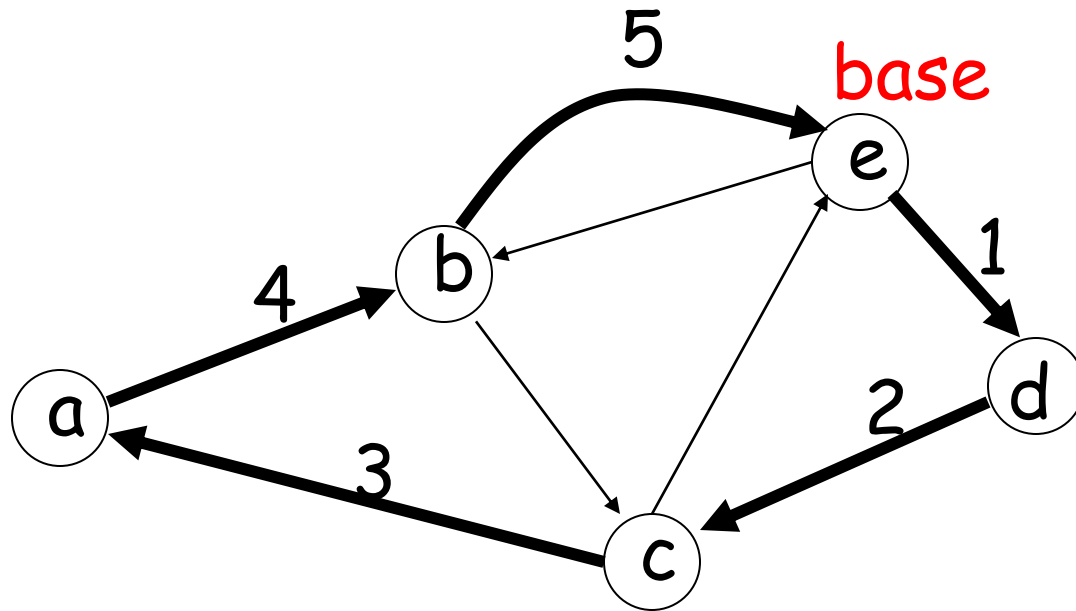
Simple cycle: only the base node is repeated

# Euler Tour



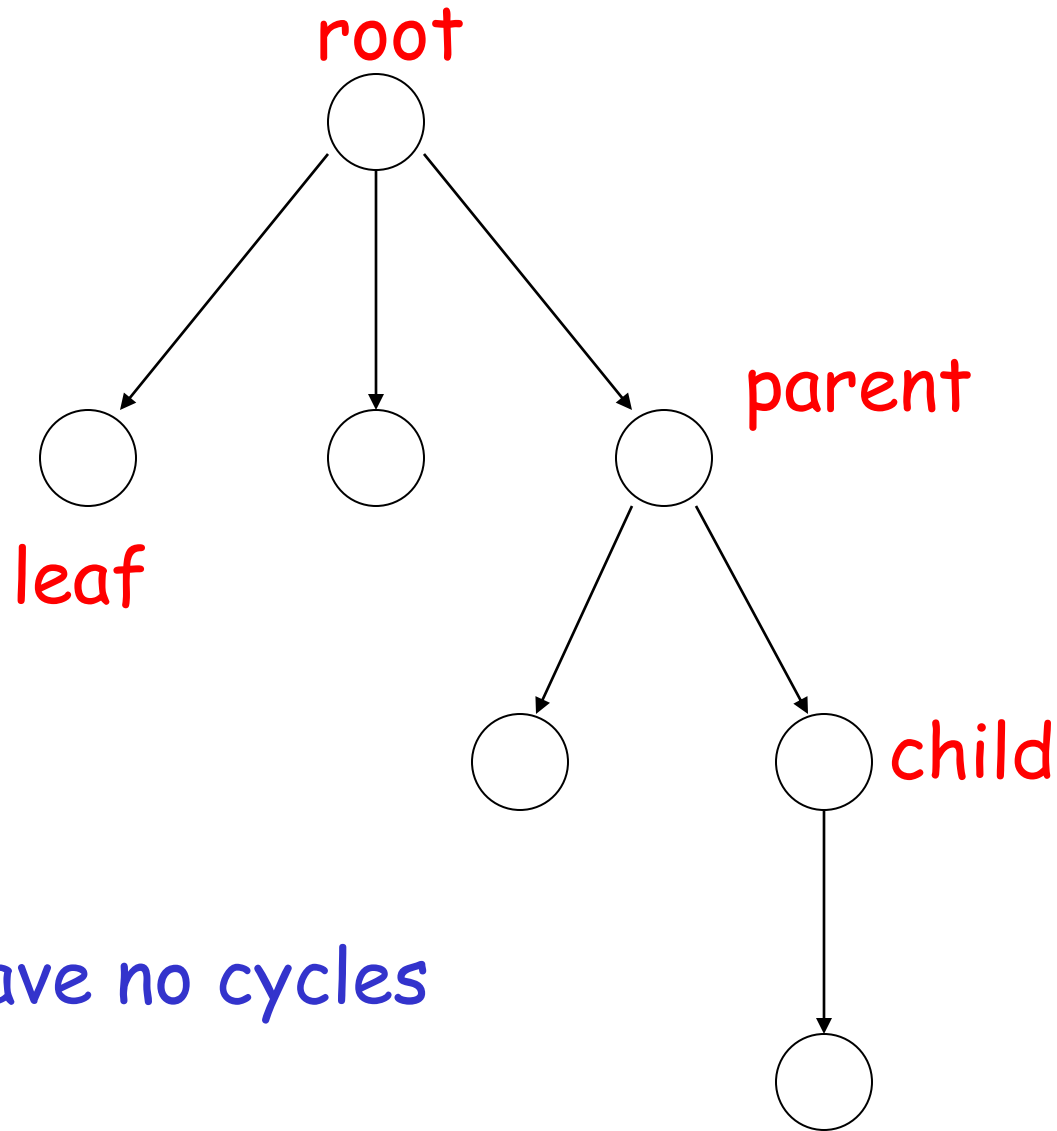
A cycle that contains each edge once

# Hamiltonian Cycle

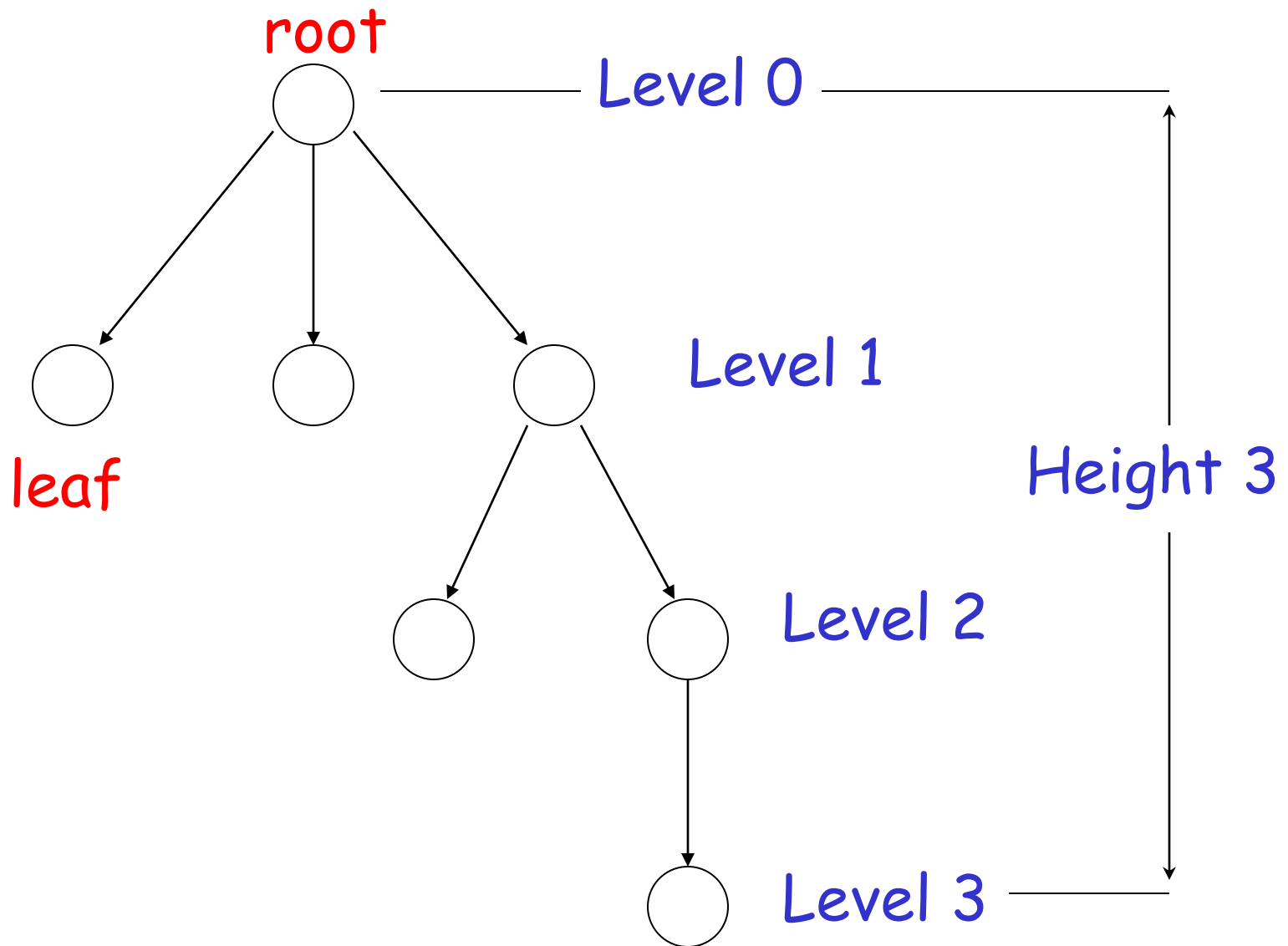


A simple cycle that contains all nodes

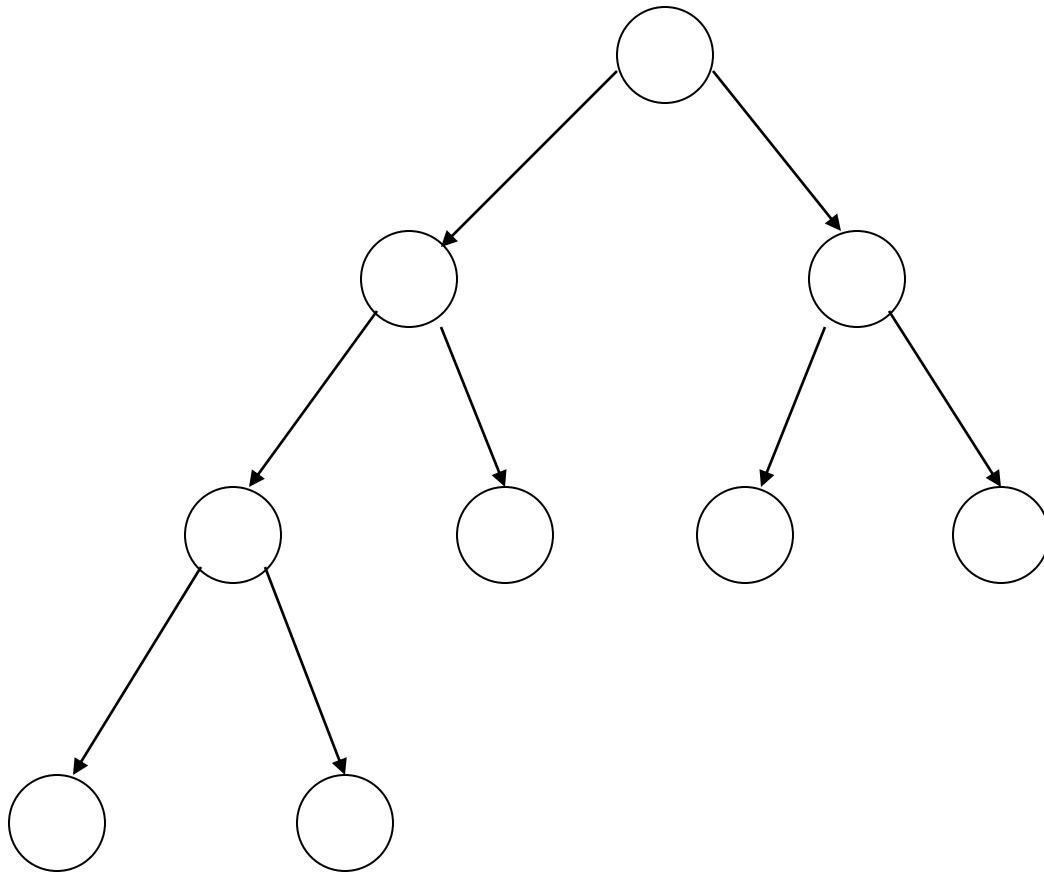
# Trees



Trees have no cycles



# Binary Trees





# PROOF TECHNIQUES

- Proof by induction
- Proof by contradiction

# Induction

We have statements  $P_1, P_2, P_3, \dots$  အသွယ်အဝိုင်း  $\rightarrow$  ခြား

If we know

- for some  $b$  that  $P_1, P_2, \dots, P_b$  are true
- for any  $k \geq b$  that

$$P_1, P_2, \dots, P_k \text{ imply } P_{k+1}$$

Then

Every  $P_i$  is true

# Proof by Induction

- Inductive basis

Find  $P_1, P_2, \dots, P_b$  which are true

- Inductive hypothesis

Let's assume  $P_1, P_2, \dots, P_k$  are true,  
for any  $k \geq b$

- Inductive step

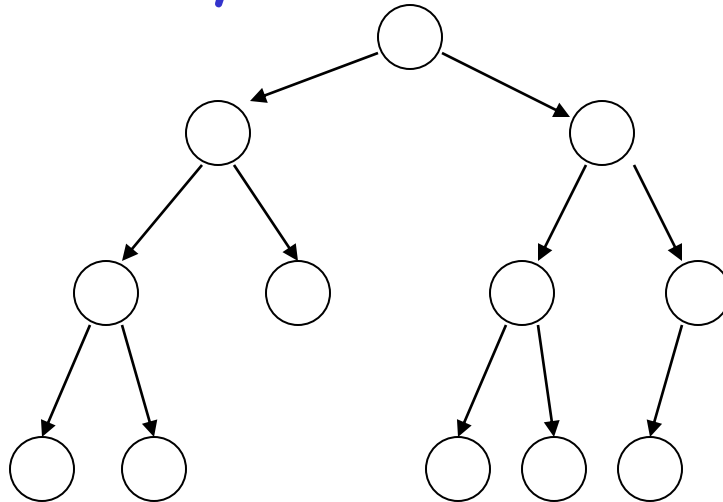
Show that  $P_{k+1}$  is true

# Example

**Theorem:** A binary tree of height  $n$   
has at most  $2^n$  leaves.

**Proof by induction:**

let  $L(i)$  be the maximum number of  
leaves of any subtree at height  $i$



We want to show:  $L(i) \leq 2^i$

- Inductive basis

$$L(0) = 1 \quad (\text{the root node}) \quad \bigcirc$$

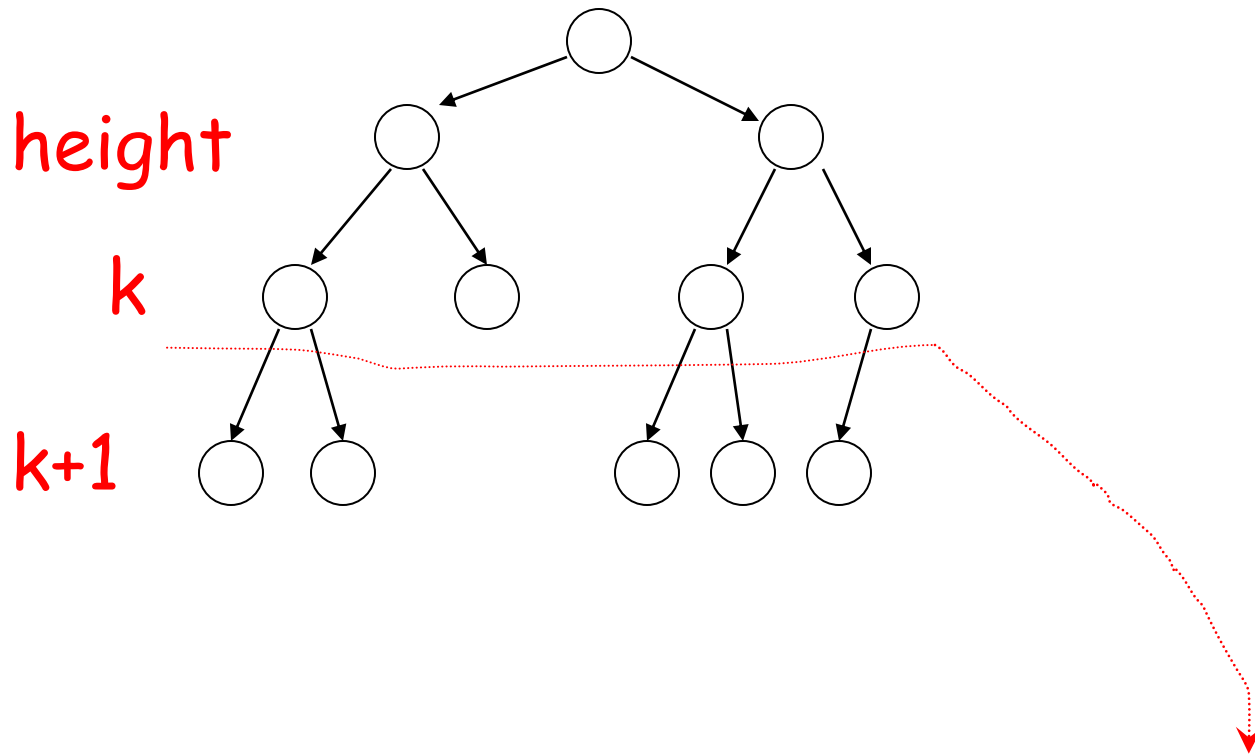
- Inductive hypothesis

Let's assume  $L(i) \leq 2^i$  for all  $i = 0, 1, \dots, k$

- Induction step

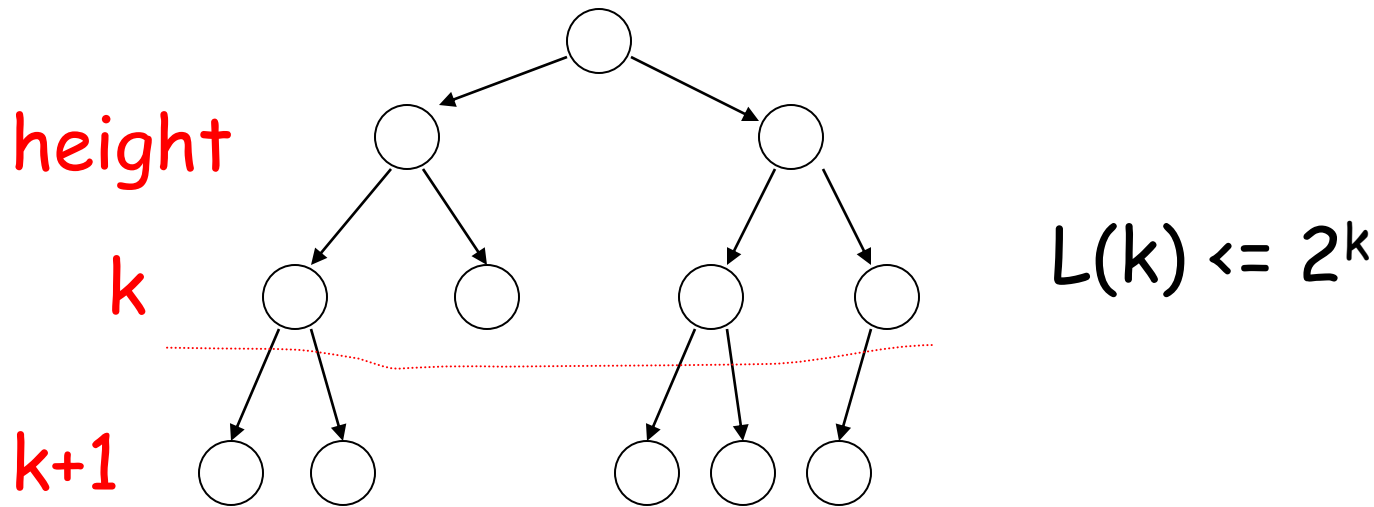
we need to show that  $L(k + 1) \leq 2^{k+1}$

# Induction Step



From Inductive hypothesis:  $L(k) \leq 2^k$

# Induction Step



$$L(k+1) \leq 2 * L(k) \leq 2 * 2^k = 2^{k+1}$$

(we add at most two nodes for every leaf of level  $k$ )

# Proof by Contradiction

anti-assumption

We want to prove that a statement  $P$  is true

- we assume that  $P$  is false
- then we arrive at an incorrect conclusion
- therefore, statement  $P$  must be true

↓  
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# Example

Theorem:  $\sqrt{2}$  is not rational

Proof:

Assume by contradiction that it is rational

$$\sqrt{2} = n/m$$

$n$  and  $m$  have no common factors

We will show that this is impossible

$$\sqrt{2} = n/m \quad \longrightarrow \quad 2 m^2 = n^2$$

Therefore,  $n^2$  is even  $\longrightarrow$   $n$  is even  
 $n = 2 k$

$$2 m^2 = 4 k^2 \quad \longrightarrow \quad m^2 = 2 k^2 \quad \longrightarrow \quad m \text{ is even} \\ m = 2 p$$

Thus,  $m$  and  $n$  have common factor 2

**Contradiction!**

# Languages

A language is a set of strings

String: A sequence of letters

Examples: "cat", "dog", "house", ...

Defined over an alphabet:

$$\Sigma = \{a, b, c, \dots, z\}$$

# Alphabets and Strings

We will use small alphabets:  $\Sigma = \{a, b\}$

## Strings

*a*

*ab*

*abba*

*baba*

*aaabbbbaabab*

$u = ab$

$v = bbbbaaa$

$w = abba$

# String Operations

$$w = a_1 a_2 \cdots a_n$$

*abba*

$$v = b_1 b_2 \cdots b_m$$

*bbbbaaa*

Concatenation *ditto*

$$wv = a_1 a_2 \cdots a_n b_1 b_2 \cdots b_m$$

*abbabbbbaaa*

$$w = a_1 a_2 \cdots a_n$$

*ababaaaabbb*

Reverse

*reverse*

$$w^R = a_n \cdots a_2 a_1$$

*bbbaaababa*

# String Length

$$w = a_1 a_2 \cdots a_n$$

Length:  $|w| = n$  *length*

Examples:  $|abba| = 4$

$$|aa| = 2$$

$$|a| = 1$$



# Length of Concatenation

$$|uv| = |u| + |v|$$

Example:  $u = aab, |u| = 3$

$v = abaab, |v| = 5$

$$|uv| = |aababaab| = 8$$

$$|uv| = |u| + |v| = 3 + 5 = 8$$

# Empty String

A string with no letters:  $\lambda$

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Observations:  $|\lambda| = 0$

$$\lambda w = w\lambda = w$$

$$\lambda abba = abba\lambda = abba$$

# Substring

Substring of string:

a subsequence of consecutive characters

String

abbab

abbab

abbab

abbab

Substring

ab

abba

b

bbab

# Prefix and Suffix

substrings

*abbab*

substrings

Prefixes

Suffixes

$\lambda$

*abbab*

*a*

*bbab*

*ab*

*bab*

*abb*

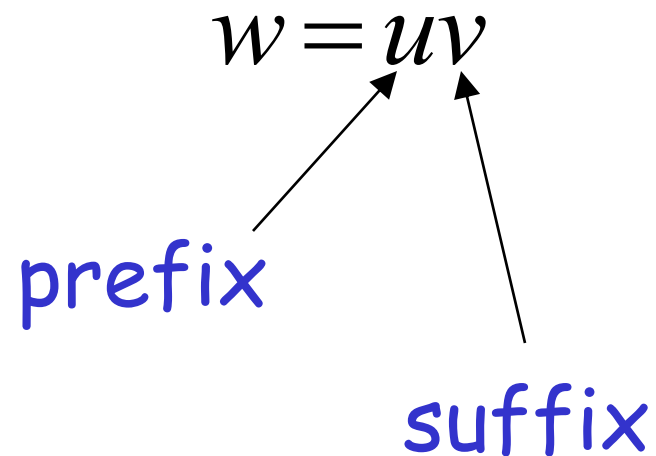
*ab*

*abba*

*b*

*abbab*

$\lambda$



# Another Operation

$$w^n = \underbrace{ww \cdots w}_n \quad \text{การทำซ้ำ}$$

Example:  $(abba)^2 = abbaabba$

Definition:  $w^0 = \lambda$   $\rightarrow$  ว่าง 0 ครั้ง = empty

$$(abba)^0 = \lambda$$

# The \* Operation

$\Sigma^*$ : the set of all possible strings from alphabet  $\Sigma$

$$\Sigma = \{a, b\}$$

$$\Sigma^* = \{\lambda, a, b, aa, ab, ba, bb, aaa, aab, \dots\}$$

$$\Sigma^0 = \{\lambda\}$$

$$\Sigma^1 = \{a, b\}$$

$$\Sigma^2 = \{aa, ab, ba, bb\}$$

$$\{a, b\} \circ \{a, b\}$$

# The + Operation

$\Sigma^+$  : the set of all possible strings from alphabet  $\Sigma$  except  $\lambda$

$$\Sigma = \{a, b\}$$

$$\Sigma^* = \{\lambda, a, b, aa, ab, ba, bb, aaa, aab, \dots\}$$

$$\Sigma^+ = \Sigma^* - \lambda$$

$$\Sigma^+ = \{a, b, aa, ab, ba, bb, aaa, aab, \dots\}$$

# Languages

A language is any subset of  $\Sigma^*$

Example:  $\Sigma = \{a, b\}$

$$\Sigma^* = \{\lambda, a, b, aa, ab, ba, bb, aaa, \dots\}$$

Languages:  $\{\lambda\}$

$$\{a, aa, aab\}$$

$$\{\lambda, abba, baba, aa, ab, aaaaaa\}$$



Note that:

Sets

$$\emptyset = \{\} \neq \{\lambda\}$$

Set size

$$|\{\}| = |\emptyset| = 0$$

Set size

$$|\{\lambda\}| = 1$$

String length

$$|\lambda| = 0$$

# Another Example

An infinite language  $L = \{a^n b^n : n \geq 0\}$

$\lambda$   
 $ab$   
 $aabb$   
 $aaaaabbbbb$

}  $\in L$        $abb \notin L$

# Operations on Languages

## The usual set operations

$$\{a, ab, aaaa\} \cup \{bb, ab\} = \{a, ab, bb, aaaa\}$$

$$\{a, ab, aaaa\} \cap \{bb, ab\} = \{ab\}$$

$$\{a, ab, aaaa\} - \{bb, ab\} = \{a, aaaa\}$$

Complement:  $\bar{L} = \Sigma^* - L$

$$\overline{\{a, ba\}} = \{\lambda, b, aa, ab, bb, aaaa, \dots\}$$

# Reverse

Definition:  $L^R = \{w^R : w \in L\}$

Examples:  $\{ab, aab, baba\}^R = \{ba, baa, abab\}$

$$L = \{a^n b^n : n \geq 0\}$$

$$L^R = \{b^n a^n : n \geq 0\}$$

# Concatenation

Definition:  $L_1L_2 = \{xy : x \in L_1, y \in L_2\}$

Example:  $\{a, ab, ba\}\{b, aa\}$

$$= \{ab, aaa, abb, abaa, bab, baaa\}$$

# Another Operation

Definition:  $L^n = \underbrace{LL \cdots L}_n$

$$\{a,b\}^3 = \{a,b\}\{a,b\}\{a,b\} = \\ \{aaa, aab, aba, abb, baa, bab, bba, bbb\}$$

Special case:  $L^0 = \{\lambda\}$

$$\{a, bba, aaa\}^0 = \{\lambda\}$$

# More Examples

$$L = \{a^n b^n : n \geq 0\}$$

$$L^2 = \{a^n b^n a^m b^m : n, m \geq 0\}$$

$$aabbbaaabb \in L^2$$

# Star-Closure (Kleene \*)



Definition:  $L^* = L^0 \cup L^1 \cup L^2 \dots$

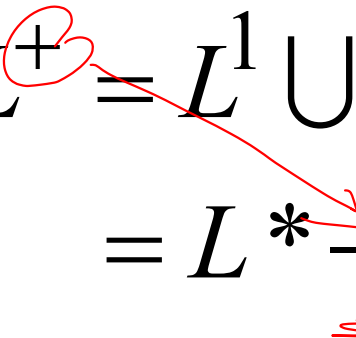
Example:

$$\{a, bb\}^* = \left\{ \begin{array}{l} \lambda, \\ a, bb, \\ aa, abb, bba, bbbb, \\ aaa, aabb, abba, abbbb, \dots \end{array} \right\}$$



# Positive Closure

Definition:  $L^+ = L^1 \cup L^2 \cup \dots$   
 $= L * \underline{\underline{\lambda}}$



$$\{a, bb\}^+ = \left\{ \begin{array}{l} a, bb, \\ aa, abb, bba, bbbb, \\ aaa, aabb, abba, abbbb, \dots \end{array} \right\}$$