

# Algorithm Design and Analysis

## Assignment 4

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1. Construct  $f(i, j)$ : the function returns a bool value to show whether  $C[1 : i + j]$  is a shuffle of  $A[1 : i]$  and  $B[1 : j]$ .

$$f(i, j) = f(i - 1, j)_{A[i]=C[i+j]} \vee f(i, j - 1)_{B[j]=C[i+j]} \vee false_{A[i] \neq C[i+j] \wedge B[j] \neq C[i+j]}$$

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**Algorithm 1** Determine whether  $C$  is a shuffle of  $A$  and  $B$

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**Input:** strings  $A$ ,  $B$ ,  $C$

**Output:** Whether  $C$  is a shuffle of  $A$  and  $B$ .

- 1:  $f(0, 0) \leftarrow true$
  - 2: **for**  $i$  from 1 to  $n$ :
  - 3:     **for**  $j$  from 1 to  $m$ :
  - 4:          $f(i, j) \leftarrow f(i - 1, j)_{A[i]=C[i+j]} \vee f(i, j - 1)_{B[j]=C[i+j]} \vee false_{A[i] \neq C[i+j] \wedge B[j] \neq C[i+j]}$
  - 5: **return**  $f(n, m)$
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Its time complexity is  $O(nm)$ .

2. (a) Construct  $f(x, y)$ : the maximal profit players get from  $(1, 1)$  to  $(x, y)$ .

$$f(x, y) = \max\{f(x - 1, y) + v(x, y) - 1, f(x, y - 1) + v(x, y) - 1\}$$

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**Algorithm 2** Maximize the player's profit

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**Input:**  $n$  gifts  $(x_i, y_i)$ ,  $m$

**Output:** the maximum profit.

- 1:  $v(x, y) \leftarrow 0$  if gifts are not on  $(x, y)$
  - 2:  $v(x_i, y_i) \leftarrow v_i$
  - 3:  $f(1, 1) \leftarrow 0, f(i, 0) = f(0, j) \leftarrow -\infty$
  - 4: **for**  $i$  from 1 to  $m$ :
  - 5:     **for**  $j$  from 1 to  $m$ :
  - 6:          $f(i, j) \leftarrow \max\{f(i - 1, j) + v(i, j) - 1, f(i, j - 1) + v(i, j) - 1\}$
  - 7: **return**  $\max\{f(i, j)\}$ .
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**Prove of correctness:** Assume we move further than 1 cost from  $(a, b)$  to  $(c, d)$ . Then the cost will be greater than  $(c - a) + (d - b)$ , which is the cost of going step by step. Since we can only receive  $v_{(c,d)}$  rather than all gifts along the way from  $(a, b)$  to  $(c, d)$ , going step by step is an optimal choice.

There are many ways to go to  $(i, j)$  from  $(1, 1)$  step by step, and  $\max\{f(i, j)\}$  selects the one with maximal profit.

(b) Construct  $f(i)$ : the maximal profit we can get from the first  $i$  gifts.

$$f(i) = \max\{f(i), (f(j) + v_i - (x_i - x_j) - (y_i - y_j))_{x_j \leq x_i \wedge y_j \leq y_i}\}, j = 1, \dots, i - 1$$

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**Algorithm 3** Maximize the player's profit

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**Input:**  $n$  gifts  $(x_i, y_i)$ ,  $m$

**Output:** the maximum profit.

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1: for  $i$  from 1 to  $n$ :
2:    $f(i) \leftarrow v_i - x_i - y_i + 2$ 
3: for  $i$  from 1 to  $n$ :
4:   for  $j$  from 1 to  $i - 1$ :
5:      $f(i) = \max\{f(i), (f(j) + v_i - (x_i - x_j) - (y_i - y_j))_{x_j \leq x_i \wedge y_j \leq y_i}\}$ 
6: return  $\max\{f(i)\}$ 

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**Prove of correctness:** Assume we always have the maximal profit during  $i - 1$ -th iteration. Suppose in  $i$ -th iteration, if  $(x_j, y_j)$  is in the *Upper-left* or *Lower-right* of  $(x_i, y_i)$ ,  $f(j)$  will keep its value; If it is in the *Lower-left* of  $(x_i, y_i)$ , assume  $f(i) < f_{OPT}(i)$ . Then we know that  $(x_j, y_j)$  causes the update of  $f_{OPT}(i)$ . Since we cannot go from  $(x_i, y_i)$  to  $(x_j, y_j)$ ,  $f_{OPT}(j)$  is still maximal. Thus:

$$f_{OPT}(i) = \max\{f(i), (f(j) + v_i - (x_i - x_j) - (y_i - y_j))\}$$

Thus it causes a contradiction. The algorithm always finds the maximal profit of  $(x_i, y_i)$ .

(c) I guess the answer is false. It is difficult enough to solve *Upper-right* problem in polynomial-time.

3. (a) Construct  $f(i, j)$ : whether there is a subset  $S = \{a_1, \dots, a_i\}$  with sum exactly  $j$ .

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**Algorithm 4** Determine the subset

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**Input:**  $T, k$

**Output:** whether there is a subset  $S = \{a_1, \dots, a_i\}$  with sum exactly  $j$ .

- 1:  $f(0, j) \leftarrow false$  for all  $j = 1, \dots, k$
  - 2:  $f(0, 0) \leftarrow true$
  - 3: **for**  $i$  from 1 to  $n$ :
  - 4:     **for**  $j$  from 1 to  $k$ :
  - 5:          $f(i, j) \leftarrow f(i-1, j) \vee (f(i-1, j-a_i) \wedge (j \geq a_i))$
  - 6: **return**  $f(n, k)$
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Its time complexity is  $O(kn)$ .

- (b) Construct  $a'_i = \lfloor \frac{a_i}{K} \rfloor$  for all  $a_i$ ,  $k' = \lfloor \frac{k}{K} \rfloor$ , where  $K \in \mathbb{N}$  is big enough.

Then we employ the algorithm in (a) again and we receive an approximate solution  $S'$ .

**Prove of correctness:** Suppose there is a subset  $S$  such that  $\sum_{a_i \in S} a_i = k$ . Then we have:

$$k' - n \leq \sum_{a_i \in S} \left( \frac{a_i}{K} - 1 \right) \leq \sum_{a_i \in S} a'_i \leq \sum_{a_i \in S} \frac{a_i}{K} \leq k' + 1$$

Thus  $\sum_{a'_i \in S'} a'_i \in [k' - n, k' + 1]$ .

$$k - (n+1)K \leq K(k' - n) \leq \sum_{a'_i \in S'} a_i \leq \sum_{a'_i \in S'} Ka'_i + nK \leq k + (n+1)K$$

Hence let  $\epsilon = \frac{(n+1)K}{k}$ , we have  $\sum_{a'_i \in S'} a_i \in [(1-\epsilon)k, (1+\epsilon)k]$ .

**Time complexity:**  $O(n \cdot \frac{k}{K}) = O(\frac{n^2}{\epsilon})$ .

4. (a) Construct  $f(v, true)$ : the number of independent sets including  $v$  in the subtree of  $v$ .  
 $f(v, false)$ : the number of independent sets not including  $v$  in the subtree of  $v$ .

$$f(v, true) = \prod_{u \in children(v)} f(u, false)$$

$$f(v, false) = \prod_{u \in children(v)} (f(u, false) + f(u, true))$$

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**Algorithm 5** Find the number of independent sets in  $G$

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**Input:**  $G, n$

**Output:** the number of independent sets in  $G$

- 1:  $f(u, false) \leftarrow 1, f(u, true) \leftarrow 1$  for all  $u$  is a leaf.
  - 2: **for** all internal vertex  $v$  in level order:
  - 3:      $f(v, true) \leftarrow \prod_{u \in children(v)} f(u, false)$
  - 4:      $f(v, false) \leftarrow \prod_{u \in children(v)} (f(u, false) + f(u, true))$
  - 5: **return**  $f(r, true) + f(r, false)$  where  $r$  is the root of  $G$ .
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**Prove of correctness:** For the leaves the number of independent sets is 2.

Assume after  $i - 1$ -th iteration all vertex with  $i - 1$  distance from leaves have got the number of independent sets.

In  $i$ -th iteration, choose a leaf's  $i$ -th ancestor  $u$ . Since the subtrees rooted in its children are independent, we only need to consider whether choose  $u$  or not. If  $u$  is selected, all its children are not. Otherwise its children can be selected or not.

**Time complexity:** Since each vertex  $v$  is processed once and each  $f(u, true)$  and  $(u, false)$  is looked up for once: from its parent. The overall complexity is  $O(n)$ .

- (b) Construct  $f(v, true)$ : size of maximum independent sets in  $subtree(v)$  including  $v$ .  
 $f(v, false)$ : size of maximum independent sets in  $subtree(v)$  not including  $v$ .  
 $g(v, true)$ : number of maximum independent sets in  $subtree(v)$  including  $v$ .  
 $g(v, false)$ : number of maximum independent sets in  $subtree(v)$  not including  $v$ .

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**Algorithm 6** Find the number of maximum independent sets in  $G$

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**Input:**  $G, n$

**Output:** the number of independent sets in  $G$

- 1:  $f(u, false) \leftarrow 0, f(u, true) \leftarrow 1$  for all  $u$  is a leaf.
  - 2:  $g(u, false) \leftarrow 1, g(u, true) \leftarrow 1$  for all  $u$  is a leaf.
  - 3: **for** all internal vertex  $v$  in descending-level-order:
  - 4:      $f(v, true) \leftarrow 1 + \sum_{u \in children(v)} f(u, false)$
  - 5:      $f(v, false) \leftarrow \sum_{u \in children(v)} \max\{f(u, true), f(u, false)\}$
  - 6:      $g(v, true) \leftarrow \prod_{u \in children(v)} g(u, false)$
  - 7:      $g(v, false) \leftarrow \prod_{u \in children(v)} \begin{cases} g(u, false) & f(u, false) > f(u, true) \\ g(u, false) + g(u, true) & f(u, false) = f(u, true) \\ g(u, true) & f(u, false) < f(u, true) \end{cases}$
  - 8: **return**  $g(r, true) + g(r, false)$  where  $r$  is the root of  $G$ .
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**Prove of correctness:** If  $v$  is in the maximum independent set, its children must not be chosen. If  $v$  is not, we should consider its child.

Since we need to maximize the size of independent set, we should always choose vertex with maximal  $f$ .

**Time complexity:**  $O(n)$  since all vertex are visited only once.

5. It takes me 12 hours to finish it. Difficulty is 4. Collaborators: Li Haochen, Wang Kun.