

# Illustrations for Control Theory

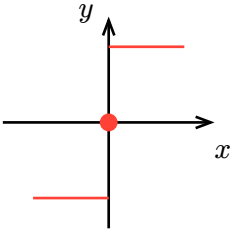
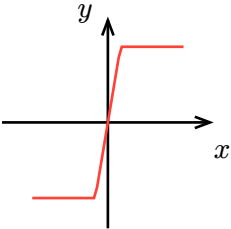
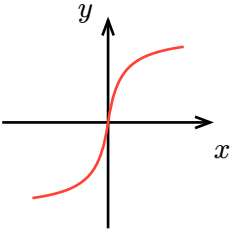
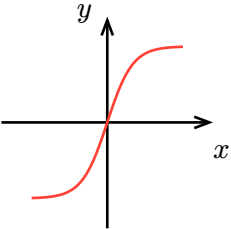
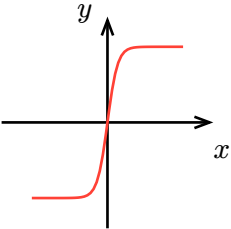
## Contents

SMC Chattering Elimination: Quasi-Sliding Mode .....	2
Ternary Differential Equations' Solutions .....	3
Conditions for Existence and Uniqueness of Classical, Caratheodory, Filippov Solutions .....	4
Sliding Mode Control Algorithms .....	5
Bibliography .....	6

# SMC Chattering Elimination: Quasi-Sliding Mode

In many practical control systems, including DC motors and aircraft control, it is important to avoid control chattering by providing continuous/smooth signals. One obvious solution to make the control function continuous/smooth is to approximate the discontinuous function  $v(\sigma) = -\rho \operatorname{sign}(\sigma)$  by some continuous/smooth function. For instance, it could be replaced by a “sigmoid function”.

Table 1: replaced sign by a “sigmoid function”

	$\operatorname{sign}(x)$	$\operatorname{sat}\left(\frac{x}{\varepsilon}\right)$	$\frac{x}{ x +\varepsilon}$	$\tanh(x)$	$\frac{1-e^{-Tx}}{1+e^{-Tx}}$
continuity	discontinuous	continuous	smooth <sup>1</sup>	smooth	smooth
		 $\varepsilon = 0.5$	 $\varepsilon = 0.5$		 $T = 5$

<sup>1</sup>I am not sure about this

# Ternary Differential Equations' Solutions

Table 2: solutions to ternary differential equations

differetial equation	differetial inclusion	classical solution	caratheodory solution	Filippov solution
$\dot{x} = \begin{cases} 1 & \text{if } x < 0 \\ a & \text{if } x = 0 \\ -1 & \text{if } x > 0 \end{cases}$	$\dot{x} \in \mathcal{F}(x) = \begin{cases} 1 & \text{if } x < 0 \\ [-1, 1] & \text{if } x = 0 \\ -1 & \text{if } x > 0 \end{cases}$	<p>Only when <math>a = 0</math>, classical solution exists.</p> <p>The maximal classical solution is</p> <ol style="list-style-type: none"> <li>1. if <math>x(0) &gt; 0</math>, <math>x_1(t) = x(0) - t</math>, <math>t &lt; x(0)</math></li> <li>2. if <math>x(0) &lt; 0</math>, <math>x_2(t) = x(0) + t</math>, <math>t &lt; -x(0)</math></li> <li>3. if <math>x(0) = 0</math>, <math>x_3(t) = 0</math>, <math>t \in [0, \infty)</math></li> </ol>	<p>Only when <math>a = 0</math>, caratheodory solution exists.</p> <p>The maximal classical solution is</p> <ol style="list-style-type: none"> <li>1. if <math>x(0) &gt; 0</math>, <math>x_1(t) = \max(x(0) - t, 0)</math>, <math>t \in [0, \infty)</math></li> <li>2. if <math>x(0) &lt; 0</math>, <math>x_2(t) = \min(x(0) + t, 0)</math>, <math>t \in [0, \infty)</math></li> <li>3. if <math>x(0) = 0</math>, <math>x_3(t) = 0</math>, <math>t \in [0, \infty)</math></li> </ol> <p><b>Note:</b> These only absolutely continuous (not continuously differentiable)</p>	<p>Whatever the value of <math>a</math> is, the Filippov solution is</p> <ol style="list-style-type: none"> <li>1. if <math>x(0) &gt; 0</math>, <math>x_1(t) = \max(x(0) - t, 0)</math>, <math>t \in [0, \infty)</math></li> <li>2. if <math>x(0) &lt; 0</math>, <math>x_2(t) = \min(x(0) + t, 0)</math>, <math>t \in [0, \infty)</math></li> <li>3. if <math>x(0) = 0</math>, <math>x_3(t) = 0</math>, <math>t \in [0, \infty)</math></li> </ol>
$\dot{x} = \begin{cases} -1 & \text{if } x < 0 \\ a & \text{if } x = 0 \\ 1 & \text{if } x > 0 \end{cases}$	$\dot{x} \in \mathcal{F}(x) = \begin{cases} -1 & \text{if } x < 0 \\ [-1, 1] & \text{if } x = 0 \\ 1 & \text{if } x > 0 \end{cases}$	<p>From <math>x = x(0) \neq 0</math>, classical solution exists as</p> <ol style="list-style-type: none"> <li>1. <math>x_1(t) = x(0) + t</math> if <math>x(0) &gt; 0</math></li> <li>2. <math>x_2(t) = x(0) - t</math> if <math>x(0) &lt; 0</math></li> </ol> <p>From <math>x = x(0) = 0</math>, classical solution exists when <math>a = 1</math> or <math>a = -1</math></p> <ol style="list-style-type: none"> <li>1. when <math>a = 1</math>, <math>x_1(t) = t</math>, <math>t \in [0, \infty)</math></li> <li>2. when <math>a = -1</math>, <math>x_2(t) = -t</math>, <math>t \in [0, \infty)</math></li> </ol>	<p>From <math>x = x(0) \neq 0</math>, classical solution exists as</p> <ol style="list-style-type: none"> <li>1. <math>x_1(t) = x(0) + t</math> if <math>x(0) &gt; 0</math></li> <li>2. <math>x_2(t) = x(0) - t</math> if <math>x(0) &lt; 0</math>.</li> </ol> <p>From <math>x = x(0) = 0</math>, two caratheodory solutions exist for <b>all</b> <math>a \in \mathbb{R}</math></p> <ol style="list-style-type: none"> <li>1. <math>x_1(t) = t</math>, <math>t \in [0, \infty)</math></li> <li>2. <math>x_2(t) = -t</math>, <math>t \in [0, \infty)</math></li> </ol> <p>These two solutions only violate the vector field in <math>t = 0</math></p>	<p>Filippov solution exists for all <math>a \in \mathbb{R}</math> and <math>x(0) \in \mathbb{R}</math>.</p> <ol style="list-style-type: none"> <li>1. if <math>x(0) \geq 0</math>, <math>x_1(t) = x(0) + t</math>, <math>t \in [0, \infty)</math></li> <li>2. if <math>x(0) \leq 0</math>, <math>x_2(t) = x(0) - t</math>, <math>t \in [0, \infty)</math></li> </ol> <p><b>Note:</b> When <math>x(0) = 0</math>, exists two Filippov solutions.</p>
$\dot{x} = \begin{cases} 1 & \text{if } x \neq 0 \\ 0 & \text{if } x = 0 \end{cases}$	$\dot{x} \in \{1\}$	$x = 0, t \in [0, \infty)$	<p>two caratheodory solutions:</p> <ol style="list-style-type: none"> <li>1. <math>x(t) = 0</math>, <math>t \in [0, \infty)</math></li> <li>2. <math>x(t) = t</math>, <math>t \in [0, \infty)</math></li> </ol>	<p>one unique solution:</p> <ol style="list-style-type: none"> <li>1. <math>x(t) = t</math>, <math>t \in [0, \infty)</math></li> </ol>

# Conditions for Existence and Uniqueness of Classical, Caratheodory, Filippov Solutions

Table 3: conditions of solutions to  $\dot{x} = X(x(t))$

	solution	existence	uniqueness
classical	continuously differentiable	$X : \mathbb{R}^d \rightarrow \mathbb{R}^d$ is continuous	essentially one-sided Lipschitz on $B(x, \varepsilon)$ , <sup>2</sup>
Filippov	absolutely continuous	$X : \mathbb{R}^d \rightarrow \mathbb{R}^d$ is measurable and locally essentially bounded	essentially one-sided Lipschitz on $B(x, \varepsilon)$

---

<sup>2</sup>Every vector field that is locally Lipschitz at  $x$  satisfies the one-sided Lipschitz condition on a neighborhood of  $x$ , but the converse is not true.

# Sliding Mode Control Algorithms

Consider the system  $\ddot{y} = u + f$ , design  $u$  to regulate  $y$  to track  $y_c$ . define error variable  $e = y_c - y$ , then  $\ddot{e} = \ddot{y}_c - \ddot{y} - \ddot{f} - u$ . see [1] for convergence requirements and analysis.

Sliding Mode Controller	name	Sliding variable convergence	Output Tracking convergence	Type
$u = \rho \operatorname{sign}(\sigma)$ $\sigma = \dot{e} + ce$	Traditional	Finite time	Asymptotic	Discontinuous
$u = u_1 + u_2,$ $u_1 = \rho_1 \operatorname{sign}(s), u_2 = -\int \sigma dt$ $\sigma = \dot{e} + ce$ $s = \sigma - z, \dot{z} = -u_2$	integral	Asymptotic	Asymptotic	Discontinuous
$u = c  \sigma ^{\frac{1}{2}} \operatorname{sign}(\sigma) + w$ $\dot{w} = b \operatorname{sign}(\sigma)$ $\sigma = \dot{e} + ce$	Super-twisting	Finite time	Asymptotic	Continuous
$u = -\rho \operatorname{sign}(\sigma)$ $\sigma = \dot{e} + c e ^{\frac{1}{2}} \operatorname{sign}(e)$	prescribed convergence law	Finite time	Finite time	Discontinuous

# Bibliography

- [1] Y. Shtessel, C. Edwards, L. Fridman, and A. Levant, *Sliding Mode Control and Observation*. in Control Engineering. New York, NY: Springer New York, 2014. doi: 10.1007/978-0-8176-4893-0.