Algebra II: Rings and Fields MATH 340

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1 Fundamental Definitions

Definition 1.1. A **group** is a pairing of a set and a binary operation such that the operation is associative, and each element of the set has both an inverse and an identity.

Remark 1.1.1. Considering an identity element is defined both as a left and a right identity, it can be proven that it is unique for the group.

- Remark 1.1.2. Similarly, we can prove that the inverse is unique for every element of the set.
- **Definition 1.1.1.** A **commutative group** is a group where the binary operation is commutative.
- **Definition 1.2.** A **ring** is a commutative group with another operation defined such that the two operations are similar to "addition" and "multiplication" of the integers. The multiplication operation must be associative and distributive.

Definition 1.2.1. A **commutative ring** is a ring where multiplication is commutative.

Definition 1.2.2. We say that a ring has **unity** if there is a multiplicative identity.

Remark 1.2.1. For any ring R with additive identity 0, it can be proven that 0a=0 for all $a\in R$.

Remark 1.2.2. Using Remark 1.2.1, it can be proven that -ab = (-a)b = a(-b) for all $a, b \in R$.

Definition 1.2.3. For some non-zero $a, b \in R$, we say a and b are **zero divisors** if ab = 0.

Remark 1.2.3. For some non-zero $a \in R$, it can be proven that a is a left zero divisor if and only if there exists non-zero $b, c \in R$ such that $b \neq c$ and ab = ac.

Remark 1.2.4. It follows from Remark 1.2.3, that if a ring \overline{R} does not have any zero divisors, then $ab = ac \implies b = c$ for all $a, b, c \in R$ and $a \neq 0$.

Definition 1.2.4. A **unit** in a ring with unity is an element which has a multiplicative inverse.

Remark 1.2.5. A unit cannot be a zero-divisor.

Definition 1.3. An **integral domain** is a commutative ring with unity and no zero divisors.

Definition 1.4. A field is a commutative ring where every non-zero element is a unit, and the additive and multiplicative identities are not equal.

2 Basic Proofs

Definition 2.1. The **characteristic** of a ring is the least positive integer c such that $\underbrace{1+1+\cdots+1}_{c \text{ times}} = 0$. If this number does not exist, define the characteristic to be 0.

Theorem 2.1.1. If the characteristic of a ring is composite, it must have zero divisors.

Proof. Let c be the characteristic of some ring where there exists positive integers m, n such that c = mn and m, n < mc. Consider, using the distributivity of multiplication, that

$$(\underbrace{1+1+\cdots+1}_{m \text{ times}})(\underbrace{1+1+\cdots+1}_{n \text{ times}}) = 0.$$

Theorem 2.1.2 (Euler's Theorem). Let R^* be the finite set of the units in a ring. For all $a \in R^*$, $a^{|R^*|} = 1$.

not a zero-divisor (it is a unit) so $ar_i \neq ar_j$. Then, $r_1 \cdots r_n =$ $(ar_1)\cdots(ar_n)=a^n(r_1\cdots r_n)\implies a^n=1.$

Proof. We have $R^* = \{r_1, \dots, r_n\} = \{ar_1, \dots, ar_n\}$ since a is

Theorem 2.1.3. For a finite ring with unity, any element is either 0, a zero divisor, or a unit.

Proof. For an element r that is not zero or a zero divisor, we

have the following set of non-zero elements $\{r, r^2, \dots\}$. Since the ring is finite, we have $r^{e_1} = r^{e_2}$ for some $e_1 < e_2$. Then, $r^{e_1} = r^{e_2} = r^{e_1}r^{e_2-e_1} \implies r^{e_2-e_1} = 1$. Therefore, r.

 $r^{e_2 - e_1 - 1} = 1.$

Remark 2.1.1. It follows from Theorem 2.1.3 that every finite integral domain is a field.

3 Unique Factorization

Definition 3.1. A quadratic ring extension $R[\gamma]$ of some ring R is created by adding an element γ to R such that $\gamma^2 = c$ for some $c \in R$ and $\gamma \notin R$.

Remark 3.1.1. Elements in $R[\gamma]$ are denoted $a + \gamma b$ for $a, b \in R$. This means elements in $R[\gamma]$ can be seen as elements in $R \times R$.

Theorem 3.1.1. The norm $map^1N : R[\gamma] \to R$ is defined as $N(a + \gamma b) = a^2 - cb^2$ and has the property that $N(a + \gamma b)$ is a unit in R if and only if $a + \gamma b$ is a unit in $R[\gamma]$.

Proof. We see that $N(a + \gamma b)^{-1}$ exists if and only if $N(a + \gamma b)$ is a unit. Then, $(a + \gamma b)(a - \gamma b) = N(a + \gamma b)$ so $(a + \gamma b)[(a - \gamma b)N(a + \gamma b)^{-1}] = 1$.

Remark 3.1.2. Theorem 3.1.1 shows the quadratic ring extension of any field or integral domain maintains that status.

Definition 3.2. An element in an integral domain is called **irreducible** if it cannot be written as a product of two non-units.

Definition 3.3. Elements a, b in an integral domain R are called **associates** if there exists a unit $u \in R$ such that a = ub.

Definition 3.4. An integral domain has **unique factorization** if every element can be written as a product of irreducibles which are unique up to order and associates.

Theorem 3.4.1. An integral domain R has unique factorization if all irreducible elements are prime.

¹We have not yet formally defined a *norm map*.

Proof. Let $x = a_1 \cdots a_n = b_1 \cdots b_m$. Since a_1 is prime, we know that it divides one of b_i . Without loss of generality, let $b_1 = ca_1$.

Then, we can repeat this process on $a_2 \cdots a_n = b_2 \cdots b_m$.

However, since b_1 is irreducible and $a_1 \neq 1$, we have c = 1.

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created by using polynomials of the variable x using coefficients from R.

Definition 3.5. A polynomial ring R[x] of some ring R is

Remark 3.5.1. For some field F, Euclidean division works on F[x] because all non-zero coefficients are units. It then follows that irreducible elements are prime, so unique factorization ex-

Theorem 3.5.1 (Fundamental Theorem of Algebra). The only irreducible polynomials in $\mathbb{C}[x]$ are linear.

ists in F[x].

Remark 3.5.2. It follows from Theorem 3.5.1 that the only irreducible polynomials in $\mathbb{R}[x]$ are linear or quadratic. This can be proven using $\mathbb{R}[x] \subset \mathbb{C}[x]$ and that multiplying some linear $f(x) \in \mathbb{C}[x]$ with its conjugate results in some $g(x) \in \mathbb{R}[x]$ with $\deg(g(x)) = 2.$

Definition 3.6. A subring I of ring R is called an **ideal** if for all $a \in I$ and $r \in R$, $ra, ar \in I$.

Definition 3.7. For a commutative ring R and $a \in R$, a prin**cipal ideal** generated by a is defined as $aR = \{ar : r \in R\}$. For $a, b \in R$, we can also generate $(a, b)R = \{xa + yb : x, y \in R\}$.

Remark 3.7.1. For $a \in R$ with integral domain R, aR = 1R = Rif and only if a is a unit.

Remark 3.7.2. For $a, b \in R$, $b \mid a \implies aR \subseteq bR$. Furthermore, aR = bR if and only if a and b are associates.

Remark 3.7.3. For $a, b \in R$, if a is irreducible and $b \mid a$, then $aR \subseteq bR \subseteq R$ so either aR = bR or bR = R. Therefore, aR is not properly contained in any other principal ideal. Also, if a is not irreducible and b is not a unit, then $aR \subset bR \subset R$.

Theorem 3.7.1. If an element $a \in R$ cannot be written as a finite product of irreducibles, then R has an infinite ascending chain of principal ideals.

chain of principal ideals. $Proof. \ \, \text{Assume that a cannot be written as a finite product of }$

irreducibles. Then, $a = r_1 a_1 = r_1 r_2 a_2 = \dots$ for non-units r_i, a_i

and reducible a_i . This implies $aR \subset a_1R \subset a_2R \subset \cdots$.

ization into irreducibles since every proper divisor is "smaller" so there cannot be an infinite chain.

Remark 3.7.4. This tells us that every element in \mathbb{N} has a factor-

Definition 3.8. An integral domain R is a **principal ideal** domain if every ideal in R is a principal ideal.

Proposition 3.8.1. The ring \mathbb{Z} is a principal ideal domain.

Proof. If $I = \{0\}$, then $I = 0\mathbb{Z}$. Therefore, we prove with $I \neq \{0\}$. Then, there exists a positive element in I. Let a be the least positive element in I and we claim that $I = a\mathbb{Z}$.

Let $b \in I$ be some other element in I. Then we have b = qa + r for $0 \le r < a$. This also means b - qa = r so $r \in I$. However, by the minimality of a, this implies r = 0 so b is a multiple of a and $b \in a\mathbb{Z}$.

Corollary 3.8.1. For field F, F[x] is a principal ideal domain.

Theorem 3.8.1. For a principal ideal domain, every ascending chain of ideals stabilizes.

Proof. Let $I_1 \subseteq I_2 \subseteq \cdots$ be an ascending chain of ideals in a principal ideal domain R. Then, $\bigcup_{i=1}^{\infty} I_i$ is a principal ideal aR. For some $j, a \in I_j$ so $aR = I_j = I_{j+1} = \cdots$.

Definition 3.9. Let I,J be ideals of R. Then, I+J is the smallest ideal which contains both I and J. Therefore, $I+J=\{a+b:a\in I \text{ and } b\in J\}$.

 $d\mathbb{Z}$. Then, $d\mathbb{Z} = \{xa + yb : x, y \in \mathbb{Z}\}$. Therefore, $d = \gcd(a, b)$ since it is the least positive element (by proof of Theorem 3.8.1). **Definition 3.10.** An ideal I of ring R is a **prime ideal** if

Remark 3.9.1. Since \mathbb{Z} is a principal ideal domain, $a\mathbb{Z} + b\mathbb{Z} =$

 $ab \in I$ implies $a \in I$ or $b \in I$ for all $a, b \in R$. Remark 3.10.1. An element $p \in R$ is prime if and only if pR is

prime. Why? Remark 3.10.2. Not all prime ideals are principal (eg. $(x,y) \subset$

 $\mathbb{Q}[x,y]$). **Definition 3.11.** An ideal I in ring R is called **maximal** if

generated by an irreducible element is maximal.

for any ideal $J \subseteq R$ where $I \subseteq J \subseteq R$, it follows that I = J or

Theorem 3.11.1. In an integral domain, maximal ideals are

prime.

Proof. Let I be a maximal ideal of ring R with $bc \in I$. Suppose

 $b \notin I$. Then, we have $I \subsetneq I + bR \subseteq R$ so, by the maximality of I, I + bR = R. This also means that $1 \in I + bR$ so 1 = a + br for $a \in I$ and $r \in R$. Multiplying through by c, this gives us $c = ac + bcr \in I$ since $a, bc \in I$.

Remark 3.11.2. For a principal ideal domain R, this gives us that $a \in R$ is irreducible implies aR is maximal implies aR is prime implies a is prime. Therefore, by Theorem 3.4.1, every principal ideal domain has unique factorization.

Definition 3.12. A ring is a unique factorization domain if every non-zero non-unit can be written uniquely as a product of irreducible elements, up to order and associates. Duplicate of Definition 3.4; don't ask why.

Remark 3.12.1. Not all unique factorization domains are principal ideal domains. (eg. $\mathbb{Z}[x]$ with $2\mathbb{Z}[x] + x\mathbb{Z}[x]$).

4 Ring Homomorphisms

Definition 4.1. A ring homomorphism for rings R, S is a map $\varphi : R \to S$ satisfying $\varphi(a+b) = \varphi(a) + \varphi(b)$ and $\varphi(ab) = \varphi(a)\varphi(b)$ for all $a,b \in R$.

Definition 4.2. If there exists a $\varphi^{-1}: S \to R$ for a ring homomorphism $\varphi: R \to S$ such that $\varphi^{-1}(\varphi(a)) = a$ for all $a \in R$, we call R and S isomorphic.

Remark 4.2.1. We can show the inverse of a bijective ring homomorphism $\varphi: R \to S$ is also a ring homomorphism, therefore, R and S are isomorphic.

Remark 4.2.2. For ring homomorphism $\varphi: R \to S$, we have $\varphi(0_R) = 0_S$, $\varphi(-a) = -\varphi(a)$ for all elements $a \in R$, and $\varphi(a^{-1}) = \varphi(a)^{-1}$ for all units $a \in R$. We can also show $\varphi(R) = \{\varphi(r): r \in R\}$ is a subring of S.

Definition 4.3. The **kernel** of $\varphi : R \to S$ is defined as $\ker(\varphi) = \{\varphi(r) = 0_S : r \in R\}.$

Remark 4.3.1. We can show that $\ker(\varphi)$ is an ideal.

Theorem 4.3.1. A ring homomorphism $\varphi : R \to S$ is injective if and only if $\ker(\varphi) = \{0_R\}$.

Proof. Suppose, towards a contradiction, that φ is not injective, that is, there exists $a, b \in R$ satisfying $\varphi(a) = \varphi(b)$ and $a \neq b$. Then, $a - b \in \ker(\varphi)$ but $a - b \neq 0_R$.

Theorem 4.3.2. Let R be a commutative ring with unity and $\varphi: R \to S$ be a surjective ring homomorphism. Then, S is an integral domain if and only if $\ker(\varphi)$ is a prime ideal.

Proof. Let $a, b \in S$ with $\varphi(x) = a$ and $\varphi(y) = b$. Suppose $\ker(\varphi)$ is a prime ideal. Then, $ab = 0 \implies \phi(x)\phi(y) = 0 \implies \phi(xy) = 0$ so either $x \in \ker(\varphi)$ or $y \in \ker(\varphi)$. Without loss of generality, let $x \in \ker(\varphi)$ so $\varphi(x) = a = 0$.

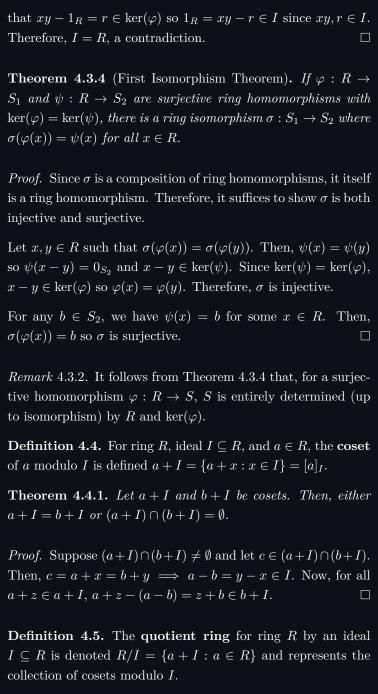
Suppose $\ker(\varphi)$ is not a prime ideal. There exists $xy \in \ker(\varphi)$ such that $x \notin \ker(\varphi)$ and $y \notin \ker(\varphi)$. Then, $0 = \varphi(xy) = \varphi(x)\varphi(y) = ab = 0$ but $\varphi(x) \neq 0$ and $\varphi(x) \neq 0$.

Theorem 4.3.3. Let R be a commutative ring with unity, S a non-zero ring, and $\varphi: R \to S$ a surjective ring homomorphism. Then, S is a field if and only if $\ker(\varphi)$ is a maximal ideal.

define $J = \varphi^{-1}(bS) = \{\varphi(r) \in bS : r \in R\}$. We can show that J is an ideal satisfying $\ker(\varphi) \subseteq J \subseteq R$. Then, by maximality of J, $\ker(\varphi) = J$ or J = R. However, since $b \neq 0$, J = R so b is a unit. It then follows that S is a field.

Proof. Suppose $\ker(\varphi)$ is maximal. Let $b \in S$ be non-zero and

Now suppose $\ker(\varphi)$ is not maximal, that is, there exists an ideal I such that $\ker(\varphi) \subset I \subset R$. Pick $y \in I \setminus \ker(\varphi)$ and assume, for a contradiction, that S is a field. Then, there exists $x \in R$ such that $\varphi(x)\varphi(y) = 1_S$ so $\varphi(xy) = \varphi(1_R)$. It follows



Remark 4.5.1. It follows from Theorem 4.4.1 that the map for $\varphi: R \to R/I$ where $a \mapsto a+I$ is well defined. Furthermore, we can show φ is a surjective ring homomorphism with $\ker(\varphi) = I$.

Theorem 4.5.1 (Chinese Remainder Theorem). Let R be a commutative ring with unity and $I, J \subset R$ be ideals satisfying I + J = R. Then, $R/(I + J) \cong R/I \times R/J$.

Proof. We explain the isomorphism via Theorem 4.3.4. Define $\varphi: R \to R/(I \cap J)$ as $\varphi(a) \mapsto a + (I \cap J)$ and $\psi: R \to R/I \times R/J$ as $\psi(a) \mapsto (a+I,a+J)$. Then, $\ker(\varphi) = \ker(\psi)$ since $c \in (I \cup J) \iff c \in I$ and $c \in J$. Also, φ is surjective by definition. Therefore, it suffices to show ψ is surjective.

We want that for all a+I and b+J, there exists $c \in R$ such that c+I=a+I and c+J=b+J. Since I+J=R, $1_R \in I+J$ so there exists $x \in I$ and $y \in J$ such that x+y=1. We will choose c=ax+by and show that $c-a \in I$. Then, by symmetry, $c-b \in J$ and we are done.

$$c - a = (ay + bx) - a(x + y) = (b - a)x \in I$$

5 Fields and Stuff

Theorem 5.0.1. Let R be a ring with unity and define the ring homomorphism $\varphi : \mathbb{Z} \to R$ as $\varphi(n) = \underbrace{1_R + \cdots + 1_R}_{n \text{ times}}$. Then,

 $\ker(\varphi) = c\mathbb{Z}$ for c the characteristic of R.

Remark 5.0.1. We see, from Theorem 5.0.1, that every ring with unity and characteristic c contains a subring isomorphic to $\mathbb{Z}/c\mathbb{Z}$. Furthermore, for field F with characteristic p (p is prime by Theorem 2.1.1), F contains a subring of $\mathbb{Z}/p\mathbb{Z}$ or \mathbb{Q} .

Definition 5.1. The **prime fields** F_p are defined to be \mathbb{Q} and $\mathbb{Z}/p\mathbb{Z}$ (for any prime p).

Remark 5.1.1. Every field contains a prime field.

Definition 5.2. A field extension of field F is a field E such that $F \subseteq E$.

Definition 5.3. An F-vector space for a field F is a commutative group V with scalar multiplication between F and V such that, for $a, b \in F$ and $u, v \in V$, a(u + v) = au + av, (a+b)v = av + bv, (ab)v = a(bv), and $\exists 1 \in F$ such that 1v = v.

Definition 5.4. For an F-vector space V, an element $w \in V$ is an F-linear combination of $v_1, \ldots, v_n \in V$ if there exist $a_1, \ldots, a_n \in F$ such that $a_1v_1 + \cdots + a_nv_n = w$.

Definition 5.5. We say $U \subseteq V$ generates V over F if every $w \in V$ can be written as an F-linear combination of U.

Definition 5.6. For an F-vector space V, we say that the set $U = \{v_1, \ldots, v_n\} \subset V$ is **linearly independent** if, for $a_1, \ldots, a_n \in F$, $a_1v_1 + \cdots + a_nv_n = 0$ implies $a_1 = \cdots = a_n = 0$.

Definition 5.7. We say $U \subseteq V$ is an F-basis for V if U is linearly independent and generates V.

Theorem 5.7.1. All finite F-bases of V are the same size.

Definition 5.8. If $U = \{v_1, \dots, v_n\}$ is a finite F-basis of V.

Definition 5.8. If $U = \{v_1, \dots, v_n\}$ is a finite F-basis of V, we say that V is an n-dimensional F-vector space.

Remark 5.8.1. If V can be generated by a finite basis, it is finite dimensional as an F-vector space.

Theorem 5.8.1. A finite field E has a positive prime characteristic p and contains p^n elements for some n.

Theorem 5.8.2. Since E is finite, it must contain some prime field $F = \mathbb{Z}/p\mathbb{Z}$, so E is an F-vector space. Since E is finite and generates itself, it must contain a finite basis $\{v_1, \ldots, v_n\}$.

We define $\varphi: F^n \to E$ as $\varphi(a_1, \ldots, a_n) = a_1v_1 + \cdots + a_nv_n$. By definition, φ is surjective. Furthermore, $a_1v_1 + \cdots + a_nv_n = b_1v_1 + \cdots + b_nv_n$ implies $(a_1 - b_1)v_1 + \cdots + (a_n - b_n)v_n = 0$, so $a_1 = b_1, \ldots, a_n = b_n$ by the linear independence of the basis. Therefore, φ is also injective. Since there are p^n elements in F^n , we conclude that there are also p^n elements in E by the

Definition 5.9. For E a field extension of F, $\alpha \in E$ is **algebraic** if it is a root of some polynomial $g \in F[x]$. Otherwise, it is **transcendental**.

bijectivity of φ .

Definition 5.10. For $\alpha \in E$ algebraic over $F \subseteq E$, the **minimal polynomial** of α over F is the monic polynomial $P \in F[x]$ of least degree for which $P(\alpha) = 0$.

Theorem 5.10.1. The minimal polynomial of α over F is unique and irreducible. Furthermore, any $g \in F[x]$ satisfying $g(\alpha) = 0$ is divisible by the minimal polynomial.

Proof. Let $P \in F[x]$ be the minimal polynomial of α over F.

Suppose the P is not unique, so we have another minimal polynomial $Q \in F[x]$. Then, $(P-Q)(\alpha)=0$ but $\deg(P-Q)<\deg(P)$, contradicting the minimality of P. Now suppose P is reducible, then $0=P(\alpha)=f(\alpha)g(\alpha)$. However, $x-\alpha$ divides one of f(x),g(x) which contradicts the minimality of P.

Suppose $g \in F[x]$ such that $g(\alpha) = 0$. Then, by Euclidean Division, g(x) = q(x)P(x) + r(x) with $\deg(r) < \deg(P)$. However, $0 = g(\alpha) = g(\alpha)P(\alpha) + r(\alpha) = r(\alpha) = 0$. Therefore, since $\deg(r) < \deg(P)$, r(x) = 0 by the minimality of P.

Theorem 5.10.2. For a field extension E of F and algebraic $\alpha \in E$ over F with minimal polynomial $P = a_0 x^0 + \cdots + a_d x^d \in F[x], F(\alpha) = \{u_0 \alpha^0 + \cdots + u_{d-1} \alpha^{d-1} : u_0, \dots, u_{d-1} \in F\}$ is a

I[x], $I(\alpha) = \{a_0\alpha + \cdots + a_{d-1}\alpha - a_0, \dots, a_d d - dimensional subfield of E as an F-vector space.$

Proof. It is clear that $F(\alpha)$ contains 0 and is closed under addition and taking additive inverses. It suffices to show that it is closed under multiplication and taking multiplicative inverses.

closed under multiplication and taking multiplicative inverses. See that $P(\alpha) = 0$ so $\alpha^d = -(a_0\alpha^0 + \cdots + a_{d-1}\alpha^{d-1})$. Then,

any expression with a term including α^m where m > d-1 can be rewritten as an expression on $\alpha^0, \ldots, \alpha^{d-1}$, therefore, $F(\alpha)$ is closed over multiplication.

For some $w \in F(\alpha)$, choose $f(x) \in F[x]$ such that $f(\alpha) = w$. Then, since F[x] are polynomials over a field, we can use the

Euclidean Algorithm on f, P to find $g(x), h(x) \in F[x]$ such that f(x)g(x) + h(x)P(x) = 1. Then, $f(\alpha)g(\alpha) + h(\alpha)P(\alpha) = 0$

 $wg(\alpha) = 1$ with $g(\alpha) \in F(\alpha)$.

Theorem 5.10.3. For an algebraic $\alpha \in E$ over F, with minimal polynomial of degree d, define $\varphi : F[x] \to F(\alpha)$ such that $\varphi(f(x)) = f(\alpha)$. Then, φ is a surjective ring homomorphism with $\ker(\varphi) = \langle P \rangle$ so $F(\alpha)$ is isomorphic to $F(\alpha)/\langle P \rangle$.

Proof. We know that polynomial evaluation is a ring homomorphism. We also know $\varphi(F[x]) = F(\alpha)$ since $\varphi(F[x]) \supseteq F(\alpha)$

trivially and every expression containing α^d can be written as an expression on $\alpha^0, \ldots, \alpha^{d-1}$ so $\varphi(F[x]) = F(\alpha)$ which means φ is surjective. Finally, $\ker(\varphi) = \langle P \rangle$ because every $g \in F[x]$

with $g(\alpha) = 0$ is divisible by P (Theorem 5.10.1).