

# 量子力学中的物理量

物理量的算符

对易关系

不确定关系

力学量的演化

量子力学的基本假设

# 坐标和势能

◆ 理论（波函数）怎样和实验量比较？

◆ 几率

粒子出现在 $\vec{r}$ 处的体积元 $d\mathbf{v} = dx dy dz$ 中的概率为

$$\rho d\mathbf{v} = \psi^*(\vec{r}, t) \psi(\vec{r}, t) dx dy dz$$

◆ 位置

$$\langle \vec{r} \rangle \equiv \iiint \vec{r} \rho d\mathbf{v} = \iiint \psi^*(\vec{r}, t) \vec{r} \psi(\vec{r}, t) dx dy dz$$

◆ 势能

$$\langle V \rangle \equiv \iiint V(\vec{r}, t) \rho(\vec{r}, t) d\mathbf{v} = \iiint \psi^*(\vec{r}, t) V(\vec{r}, t) \psi(\vec{r}, t) dx dy dz$$

# 动量表象和动量的平均值

傅里叶变换对

$$\left\{ \begin{aligned} u(\vec{r}) &= \frac{1}{(2\pi\hbar)^{3/2}} \iiint \varphi(\vec{p}) e^{\frac{i}{\hbar} \vec{p} \cdot \vec{r}} dp_x dp_y dp_z \\ \varphi(\vec{p}) &= \frac{1}{(2\pi\hbar)^{3/2}} \iiint u(\vec{r}) e^{-\frac{i}{\hbar} \vec{p} \cdot \vec{r}} dx dy dz \end{aligned} \right.$$

动量为 $\vec{p}$ 的平面波

$$\frac{1}{(2\pi\hbar)^{3/2}} e^{\frac{i}{\hbar} \vec{p} \cdot \vec{r}}$$



◆ 空间波函数 $u(\vec{r})$ 是平面波

$$\frac{1}{(2\pi\hbar)^{3/2}} e^{\frac{i}{\hbar} \vec{p} \cdot \vec{r}}$$

的线性叠加，叠加系数为 $\varphi(\vec{p})$

◆ 傅里叶变换可逆：给定 $u(\vec{r})$ 能够推出 $\varphi(\vec{p})$ ，反之亦然

◆  $\varphi(\vec{p})$ 提供的系统信息与 $u(\vec{r})$ 一样多

◆ 可以用 $\varphi(\vec{p})$ 表示系统的状态

**动量表象：** $\varphi(\vec{p})$ 称为动量表象的波函数

按统计解释，**动量的期望值**是

$$\langle \vec{p} \rangle \equiv \iiint \varphi^*(\vec{p}) \vec{p} \varphi(\vec{p}) dp_x dp_y dp_z$$

- 同一个量子态，既可以用坐标表象的波函数表示，也可以用动量表象的波函数表示
- 两者可以通过傅立叶变换互相转换，称为**表象变换**
- 一般我们使用坐标表象的波函数和算符
- 有时为了方便，也会使用其它的表象
- **表象变换**，类似于线性空间的**坐标变换**

# 动量算符-1D

- ◆ 动量的期望值

$$\langle \vec{p} \rangle \equiv \iiint \varphi^*(\vec{p}) \vec{p} \varphi(\vec{p}) dp_x dp_y dp_z$$

- ◆ 希望把表达式变换到坐标表象

- ◆ 傅立叶变换公式，单频信号：

$$\int_{\mathbb{R}} \delta(k - k_0) \frac{1}{\sqrt{2\pi}} e^{ikx} dk = \frac{1}{\sqrt{2\pi}} e^{ik_0 x}$$

$$\int_{\mathbb{R}} \frac{1}{\sqrt{2\pi}} e^{ik_0 x} \frac{1}{\sqrt{2\pi}} e^{-ikx} dx = \delta(k - k_0)$$

- ◆ 把波矢替换成动量，

$$p = \hbar k$$

$$\delta(p - p_0) = \frac{1}{\hbar} \delta(k - k_0)$$

$$\frac{1}{\sqrt{2\pi\hbar}} \int_{\mathbb{R}} \delta(p - p_0) e^{ipx/\hbar} dp = \frac{1}{\sqrt{2\pi\hbar}} e^{ip_0 x/\hbar}$$

$$\int_{\mathbb{R}} \frac{1}{\sqrt{2\pi\hbar}} e^{ip_0 x/\hbar} \frac{1}{\sqrt{2\pi\hbar}} e^{-ipx/\hbar} dx = \delta(p - p_0)$$

- ◆ 动量算符

$$\langle p \rangle = \frac{1}{2\pi\hbar} \int_{\mathbb{R}} u^*(x) \left( -i\hbar \frac{\partial}{\partial x} \right) u(x) dx$$

- ◆ 一维问题

$$\langle p \rangle = \int_{\mathbb{R}} p \varphi^*(p) \varphi(p) dp$$

$$\varphi(p) = \frac{1}{(2\pi\hbar)^{1/2}} \int_{\mathbb{R}} u(x_1) e^{-\frac{i}{\hbar} p x_1} dx_1$$

$$\varphi^*(p) = \frac{1}{(2\pi\hbar)^{1/2}} \int_{\mathbb{R}} u^*(x_2) e^{+\frac{i}{\hbar} p x_2} dx_2$$

$$\langle p \rangle = \frac{1}{2\pi\hbar} \iiint u(x_1) e^{-\frac{i}{\hbar} p x_1} p u^*(x_2) e^{+\frac{i}{\hbar} p x_2} dp dx_1 dx_2$$

$$= \frac{1}{2\pi\hbar} \iiint \left( i\hbar \frac{\partial}{\partial x_1} e^{-\frac{i}{\hbar} p x_1} \right) u(x_1) u^*(x_2) e^{+\frac{i}{\hbar} p x_2} dp dx_1 dx_2$$

对分部积分，

$$\langle p \rangle = \frac{1}{2\pi\hbar} \iiint \left( -i\hbar \frac{\partial}{\partial x_1} u(x_1) \right) u^*(x_2) e^{-\frac{i}{\hbar} p x_1} e^{+\frac{i}{\hbar} p x_2} dp dx_1 dx_2$$

$$= \iint dx_1 dx_2 \left\{ u^*(x_2) \left( -i\hbar \frac{\partial}{\partial x_1} u(x_1) \right) \right\} \frac{1}{2\pi\hbar} \int e^{-\frac{i}{\hbar} p (x_1 - x_2)} dp$$

$$= \iint dx_1 dx_2 \left\{ u^*(x_2) \left( -i\hbar \frac{\partial}{\partial x_1} u(x_1) \right) \right\} \delta(x_1 - x_2)$$

$$= \int_{\mathbb{R}} u^*(x_1) \left( -i\hbar \frac{\partial}{\partial x_1} u(x_1) \right) dx_1$$

# 动量算符

定义动量算符

$$\hat{\vec{p}} \stackrel{\text{def}}{=} -i\hbar\nabla$$
$$\begin{cases} \hat{p}_x = -i\hbar \frac{\partial}{\partial x} \\ \hat{p}_y = -i\hbar \frac{\partial}{\partial y} \\ \hat{p}_z = -i\hbar \frac{\partial}{\partial z} \end{cases}$$

$$\begin{aligned} \langle \vec{p} \rangle &\equiv \iiint \varphi^*(\vec{p}) \vec{p} \varphi(\vec{p}) dp_x dp_y dp_z \\ &= \iiint \psi^*(\vec{r}, t) (-i\hbar \nabla) \psi(\vec{r}, t) dx dy dz \end{aligned}$$

$$\langle \vec{p} \rangle = \iiint \psi^*(\vec{r}, t) \hat{\vec{p}} \psi(\vec{r}, t) dx dy dz = (\psi, \hat{\vec{p}} \psi) = \langle \psi | \vec{p} | \psi \rangle$$

# 动能和能量平均值

动能

$$T = \frac{\vec{p}^2}{2m}, \quad \hat{T} = -\frac{\hbar^2}{2m} \nabla^2$$

期望值

$$\langle T \rangle = \iiint \psi^*(\vec{r}, t) \left( -\frac{\hbar^2}{2m} \nabla^2 \right) \psi(\vec{r}, t) dx dy dz$$

机械能的期望值

$$E = T + V, \quad \hat{H} = -\frac{\hbar^2}{2m} \nabla^2 + V(\vec{r}, t) \Rightarrow \langle E \rangle = \iiint \psi^*(\vec{r}, t) \hat{H} \psi(\vec{r}, t) dx dy dz$$

利用薛定谔方程

$$i\hbar \frac{\partial}{\partial t} \psi = \hat{H} \psi$$

也可以写成

$$\langle E \rangle = \iiint \psi^*(\vec{r}, t) \left( i\hbar \frac{\partial}{\partial t} \right) \psi(\vec{r}, t) dx dy dz$$

# 任意的力学量的平均值

每个力学量都可以用一个厄密算符表示

厄密算符的定义:  $(\phi, \hat{A}\psi) = (\hat{A}\phi, \psi)$

$$\iiint \phi^*(\vec{r}, t) \hat{A} \psi(\vec{r}, t) dx dy dz = \iiint (\hat{A} \phi(\vec{r}, t))^* \psi(\vec{r}, t) dx dy dz$$

$$\begin{aligned} \bar{A} \equiv \langle A \rangle &\stackrel{\text{def}}{=} \iiint \psi^*(\vec{r}, t) \hat{A} \psi(\vec{r}, t) dx dy dz \\ &\equiv (\psi, \hat{A}\psi) \equiv \langle \psi | \hat{A} | \psi \rangle \end{aligned}$$

函数空间的内积

$$(f, g) \equiv \int f^*(x) g(x) dx$$

$$(f, g) \equiv \iiint f^*(\vec{r}) g(\vec{r}) dx dy dz$$

# 角动量算符

## ◆ 轨道角动量

$$\vec{L} = \vec{r} \times \vec{p} \rightarrow \hat{L} = \hat{r} \times \hat{p}$$

$$\begin{aligned}\hat{L}_x &= \hat{y}\hat{p}_z - \hat{z}\hat{p}_y = -i\hbar \left( y \frac{\partial}{\partial z} - z \frac{\partial}{\partial y} \right) \\ \hat{L}_y &= \hat{z}\hat{p}_x - \hat{x}\hat{p}_z = -i\hbar \left( z \frac{\partial}{\partial x} - x \frac{\partial}{\partial z} \right) \\ \hat{L}_z &= \hat{x}\hat{p}_y - \hat{y}\hat{p}_x = -i\hbar \left( x \frac{\partial}{\partial y} - y \frac{\partial}{\partial x} \right)\end{aligned}$$



# 力学量的算符表示

- ◆ 在坐标表象 (coordinate representation) 中波函数

$$\psi(\vec{r}, t) = \frac{1}{(2\pi\hbar)^{3/2}} \iiint \phi(\vec{p}) e^{\frac{i}{\hbar}\vec{p}\cdot\vec{r}} dp_x dp_y dp_z$$

- ◆ 力学量算符

$$\begin{aligned}\hat{x} &= x, & \hat{\vec{r}} &= \vec{r}, \\ \hat{V} &= V(\vec{r}, t) \\ \hat{\vec{p}} &= -i\hbar\nabla, & \hat{T} &= -\frac{\hbar^2}{2m}\nabla^2, \\ \hat{H} &= -\frac{\hbar^2}{2m}\nabla^2 + V(\vec{r}, t)\end{aligned}$$

通常使用坐标表象

- ◆ 在动量表象中的波函数

$$\phi(\vec{p}, t) = \frac{1}{(2\pi\hbar)^{3/2}} \iiint \psi(\vec{r}, t) e^{-\frac{i}{\hbar}\vec{p}\cdot\vec{r}} dx dy dz$$

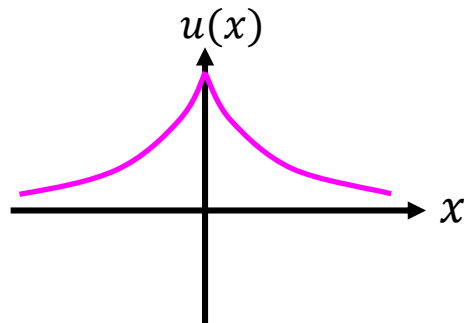
- ◆ 力学量算符

$$\begin{aligned}\hat{x} &= i\hbar \frac{\partial}{\partial p_x}, & \hat{\vec{r}} &= i\hbar \nabla_{\vec{p}}, \\ \hat{V} &= V(i\hbar \nabla_{\vec{p}}, t) \\ \hat{\vec{p}} &= \vec{p}, & \hat{T} &= \frac{\vec{p}^2}{2m}, \\ \hat{H} &= \frac{\vec{p}^2}{2m} + V(i\hbar \nabla_{\vec{p}}, t)\end{aligned}$$

# 例 $\delta$ 势阱

- ◆ 束缚态坐标表象波函数

$$u(x) = \frac{\sqrt{m\alpha}}{\hbar} e^{-\frac{m\alpha}{\hbar^2}|x|}$$
$$E = -\frac{m\alpha^2}{2\hbar^2}$$



- ◆ 坐标期望值

$$\bar{x} = \langle x \rangle = \int_{\mathbb{R}} u^*(x) x u(x) dx = 0$$

- ◆ 方差

$$\Delta x^2 = \langle (x - \bar{x})^2 \rangle = \int_{\mathbb{R}} u^*(x) x^2 u(x) dx = \frac{\hbar^4}{2m^2\alpha^2}$$

- ◆ 势能期望值

$$\langle V \rangle = \int_{\mathbb{R}} u^*(x) (-\alpha\delta(x)) u(x) dx = -\alpha u(0)^2 = -\frac{m\alpha^2}{\hbar^2}$$

- ◆ 动量期望值

$$\langle p \rangle = \int_{\mathbb{R}} u^*(x) (-i\hbar) \frac{d}{dx} u(x) dx = 0$$

- ◆ 动量的方差

$$\Delta p^2 = \langle (p - \bar{p})^2 \rangle = -\hbar^2 \int_{\mathbb{R}} u^*(x) \frac{d^2}{dx^2} u(x) dx$$
$$= \hbar^2 \int_{\mathbb{R}} (u'(x))^2 dx = \frac{m^2\alpha^2}{\hbar^2}$$

- ◆ 不确定关系

$$\Delta x \Delta p = \frac{1}{\sqrt{2}} \hbar > \frac{1}{2} \hbar$$

- ◆ 动能期望值

$$\langle T \rangle = -\frac{\hbar^2}{2m} \int_{\mathbb{R}} u^*(x) \frac{d^2}{dx^2} u(x) dx = \frac{m\alpha^2}{2\hbar^2}$$

- ◆ 能量期望值

$$\langle E \rangle = \int_{\mathbb{R}} u^*(x) \hat{H} u(x) dx = \langle T \rangle + \langle V \rangle = -\frac{m\alpha^2}{2\hbar^2}$$

# 能量叠加态中，能量守恒吗？

能量期望值

$$\begin{aligned}\bar{E}(t) &= \langle \hat{H} \rangle = \langle \psi | \hat{H} | \psi \rangle \\ &= \int_{-\infty}^{+\infty} \psi^*(x, t) \hat{H} \psi(x, t) dx\end{aligned}$$

$$\begin{aligned}\frac{d}{dt} \bar{E}(t) &= \frac{d}{dt} \left\{ \int_{-\infty}^{+\infty} \psi^*(x, t) \hat{H} \psi(x, t) dx \right\} \\ &= \int_{-\infty}^{+\infty} \left( \frac{\partial}{\partial t} \psi^*(x, t) \right) \hat{H} \psi(x, t) dx \\ &\quad + \int_{-\infty}^{+\infty} \psi^*(x, t) \left( \frac{\partial}{\partial t} \hat{H} \right) \psi(x, t) dx \\ &\quad + \int_{-\infty}^{+\infty} \psi^*(x, t) \hat{H} \left( \frac{\partial}{\partial t} \psi(x, t) \right) dx\end{aligned}$$

利用薛定谔方程

$$i\hbar \frac{\partial}{\partial t} \psi(x, t) = \hat{H} \psi(x, t)$$

$$\begin{aligned}\frac{d}{dt} \bar{E}(t) &= \int_{-\infty}^{+\infty} \left( \frac{1}{-i\hbar} \hat{H} \psi^*(x, t) \right) \hat{H} \psi(x, t) dx \\ &\quad + \int_{-\infty}^{+\infty} \psi^*(x, t) \left( \frac{\partial}{\partial t} \hat{H} \right) \psi(x, t) dx \\ &\quad + \int_{-\infty}^{+\infty} \psi^*(x, t) \hat{H} \left( \frac{1}{i\hbar} \hat{H} \psi(x, t) \right) dx\end{aligned}$$

$$\hat{H} = -\frac{\hbar^2}{2m} \frac{\partial^2}{\partial x^2} + V(x, t)$$

是厄密算符，且  $\frac{\partial \hat{H}}{\partial t} = 0$

$$\begin{aligned}\frac{d\bar{E}(t)}{dt} &= \int_{-\infty}^{+\infty} \psi^*(x, t) \left\{ \frac{1}{-i\hbar} \hat{H} \hat{H} + 0 + \frac{1}{i\hbar} \hat{H} \hat{H} \right\} \psi(x, t) dx \\ &= 0\end{aligned}$$

# 算符的对易关系

力学量是算符，  
因而具有和矩阵类似的性质，  
乘法不满足交换律

对易子 (commutator)  
 $[\hat{A}, \hat{B}] \stackrel{\text{def}}{=} \hat{A}\hat{B} - \hat{B}\hat{A}$

在信号系统中，统计量同样不对易

$$s(t) = \frac{1}{\sqrt{2\pi}} \int \varphi(\omega) e^{-i\omega t} d\omega$$

$$\varphi(\omega) = \frac{1}{\sqrt{2\pi}} \int s(t) e^{i\omega t} dt$$

信号	$s(t)$	$\varphi(\omega)$
时间	$t$	$-i \frac{\partial}{\partial \omega}$
频率	$i \frac{\partial}{\partial t}$	$\omega$
统计量 高阶矩	...	...

## 基本对易关系

$$[\hat{x}, \hat{p}_x] = i\hbar, [\hat{y}, \hat{p}_y] = i\hbar, [\hat{z}, \hat{p}_z] = i\hbar, \text{其它为} 0$$

$$\Leftrightarrow [\hat{r}_j, \hat{p}_k] = i\hbar \delta_{jk}, [\hat{r}_j, \hat{r}_k] = 0, [\hat{p}_j, \hat{p}_k] = 0$$

$$\text{克罗内克符号 } \delta_{jk} \stackrel{\text{def}}{=} \begin{cases} 1, & j = k; \\ 0, & j \neq k. \end{cases}$$

# 海森堡矩阵力学的基本假设

基本对易关系

$$[\hat{r}_j, \hat{p}_k] = i\hbar\delta_{jk}, [\hat{r}_j, \hat{r}_k] = 0, [\hat{p}_j, \hat{p}_k] = 0$$

海森堡运动方程

$$i\hbar \frac{d\hat{A}}{dt} = i\hbar \frac{\partial \hat{A}}{\partial t} + [\hat{A}, \hat{H}]$$

矩阵力学的基本假设

由波动力学证明矩阵力学：对任意波函数 $|\psi\rangle, |\varphi\rangle$ ，矩阵元的变化为

$$\begin{aligned} \frac{d}{dt} \langle \psi | \hat{A}(t) | \varphi \rangle &= \left\langle \frac{d}{dt} \psi \middle| \hat{A} \middle| \varphi \right\rangle + \left\langle \psi \middle| \frac{\partial \hat{A}(t)}{\partial t} \middle| \varphi \right\rangle + \left\langle \psi \middle| \hat{A} \middle| \frac{d}{dt} \varphi \right\rangle \\ &= \left\langle \frac{\hat{H}}{i\hbar} \psi \middle| \hat{A} \middle| \varphi \right\rangle + \left\langle \psi \middle| \frac{\partial \hat{A}(t)}{\partial t} \middle| \varphi \right\rangle + \left\langle \psi \middle| \hat{A} \middle| \frac{\hat{H}}{i\hbar} \varphi \right\rangle \\ &= -\frac{1}{i\hbar} \langle \psi | \hat{H} \hat{A} | \varphi \rangle + \left\langle \psi \middle| \frac{\partial \hat{A}(t)}{\partial t} \middle| \varphi \right\rangle + \frac{1}{i\hbar} \langle \psi | \hat{A} \hat{H} | \varphi \rangle \\ &= -\frac{1}{i\hbar} \langle \psi | \hat{H} \hat{A} | \varphi \rangle + \left\langle \psi \middle| \frac{\partial \hat{A}(t)}{\partial t} \middle| \varphi \right\rangle + \frac{1}{i\hbar} \langle \psi | \hat{A} \hat{H} | \varphi \rangle \\ &= \left\langle \psi \middle| \frac{\partial \hat{A}}{\partial t} + \frac{1}{i\hbar} [\hat{A}, \hat{H}] \middle| \varphi \right\rangle \end{aligned}$$

经典对易关系(泊松括号)

$$[A, B]_{PB} \stackrel{\text{def}}{=} \sum_{\gamma} \left( \frac{\partial A}{\partial q_{\gamma}} \frac{\partial B}{\partial p_{\gamma}} - \frac{\partial A}{\partial p_{\gamma}} \frac{\partial B}{\partial q_{\gamma}} \right)$$

哈密顿力学的泊松括号表示

$$\begin{aligned} \dot{q}_{\alpha} &= [q_{\alpha}, H]_{PB} \Leftrightarrow \dot{q}_{\alpha} = \partial H / \partial p_{\alpha} \\ \dot{p}_{\alpha} &= [p_{\alpha}, H]_{PB} \Leftrightarrow \dot{p}_{\alpha} = -\partial H / \partial q_{\alpha} \end{aligned}$$

任何一个力学量 $A(t, q, p)$ 随时间的演化

$$\frac{dA}{dt} = \frac{\partial A}{\partial t} + [A, H]_{PB}$$

正则量子化\*

$$[\cdot, \cdot]_{PB} \rightarrow \frac{1}{i\hbar} [\cdot, \cdot]$$

# 对易子运算的形式规则

## ◆ 反对称（或幂零）

$$[\hat{A}, \hat{B}] = -[\hat{B}, \hat{A}]$$

## ◆ 双线性

$$[\alpha\hat{A} + \beta\hat{B}, \hat{C}] = \alpha[\hat{A}, \hat{C}] + \beta[\hat{B}, \hat{C}]$$

## ◆ 导数规则

$$[\hat{A}\hat{B}, \hat{C}] = [\hat{A}, \hat{C}]\hat{B} + \hat{A}[\hat{B}, \hat{C}]$$

$$[\hat{A}, \hat{B}\hat{C}] = [\hat{A}, \hat{B}]\hat{C} + \hat{B}[\hat{A}, \hat{C}]$$

## ◆ 雅可比恒等式

$$[[\hat{A}, \hat{B}], \hat{C}] + [[\hat{B}, \hat{C}], \hat{A}] + [[\hat{C}, \hat{A}], \hat{B}] = 0$$

# 例：计算对易子

角动量的对易关系

$$[\hat{L}_j, \hat{L}_k] = i\hbar \varepsilon_{jkl} \hat{L}_l, \quad \hat{\vec{L}} \times \hat{\vec{L}} = i\hbar \hat{\vec{L}}$$

普通矢量  $\vec{a} \times \vec{a} = \vec{0}$

求和约定

$$a_j b_j \stackrel{\text{def}}{=} \sum_{j=1}^3 a_j b_j$$

$$\begin{aligned} [\hat{L}_j, \hat{r}_k] &= i\hbar \varepsilon_{jkl} \hat{r}_l \\ [\hat{L}_j, \hat{p}_k] &= i\hbar \varepsilon_{jkl} \hat{p}_l \\ [\hat{L}_j, \hat{T}] &= 0 \end{aligned}$$

# 动量是否守恒

动量期望值

$$\bar{p}(t) = \langle \psi | \hat{p} | \psi \rangle$$

$$= \int_{-\infty}^{+\infty} \psi^*(x, t) \hat{p} \psi(x, t) dx$$

$$\begin{aligned} \frac{d}{dt} \bar{p}(t) &= \frac{d}{dt} \int_{-\infty}^{+\infty} \psi^*(x, t) \hat{p} \psi(x, t) dx \\ &= \int_{-\infty}^{+\infty} \left( \frac{\partial}{\partial t} \psi^* \right) \hat{p} \psi dx + \int_{-\infty}^{+\infty} \psi^* \left( \frac{\partial}{\partial t} \hat{p} \right) \psi dx + \int_{-\infty}^{+\infty} \psi^* \hat{p} \left( \frac{\partial}{\partial t} \psi \right) dx \\ &= \int_{-\infty}^{+\infty} \psi^* \left\{ -\frac{1}{i\hbar} \hat{H} \hat{p} + \frac{\partial}{\partial t} \hat{p} + \frac{1}{i\hbar} \hat{p} \hat{H} \right\} \psi dx \end{aligned}$$

$$\hat{p} = -i\hbar \frac{\partial}{\partial x}$$

$$\frac{\partial \hat{p}}{\partial t} = 0 \quad (\hat{p} \text{ 中不含时间参数})$$

$$\hat{H} = -\frac{\hbar^2}{2m} \frac{\partial^2}{\partial x^2} + V(x)$$

$$[\hat{p}, \hat{H}] = -i\hbar \frac{\partial V}{\partial x} = i\hbar F(x)$$

$$\frac{d}{dt} \bar{p} = \left\langle \psi \left| \frac{\partial}{\partial t} \hat{p} + \frac{1}{i\hbar} [\hat{p}, \hat{H}] \right| \psi \right\rangle$$

$$\frac{d}{dt} \bar{A} = \left\langle \psi \left| \frac{\partial \hat{A}}{\partial t} + \frac{1}{i\hbar} [\hat{A}, \hat{H}] \right| \psi \right\rangle$$

$$\frac{d}{dt} A_{fi} = \left\langle \psi_f \left| \frac{\partial \hat{A}}{\partial t} + \frac{1}{i\hbar} [\hat{A}, \hat{H}] \right| \psi_i \right\rangle$$

$$\frac{d\hat{A}}{dt} = \frac{\partial \hat{A}}{\partial t} + \frac{1}{i\hbar} [\hat{A}, \hat{H}]$$

可用于检验是否守恒量

$$\longrightarrow \frac{d\bar{p}}{dt} = \bar{F}$$

埃伦费斯特定理  
Ehrenfest's theorem



## 补：不确定关系的证明

$$\int |\xi \hat{A}\psi + i\hat{B}\psi|^2 dx \geq 0, \quad \hat{A}^\dagger = \hat{A}, \hat{B}^\dagger = \hat{B}, \forall \xi \in \mathbf{R}.$$

$$\int |\xi \hat{A}\psi + i\hat{B}\psi|^2 dx = \xi^2 \overline{A^2} - \xi \bar{C} + \overline{B^2} = \overline{A^2} \left( \xi - \frac{\bar{C}}{2\overline{A^2}} \right)^2 + \left( \overline{B^2} - \frac{\bar{C}^2}{4\overline{A^2}} \right)$$

$$\text{令 } \xi = \frac{\bar{C}}{2\overline{A^2}} \Rightarrow \overline{B^2} - \frac{\bar{C}^2}{4\overline{A^2}} \geq 0 \Leftrightarrow \overline{A^2} \cdot \overline{B^2} \geq \frac{1}{4} \bar{C}^2$$

$$[\hat{A}, \hat{B}] = i\hat{C} \Rightarrow \overline{A^2} \cdot \overline{B^2} \geq \frac{1}{4} \bar{C}^2$$

可用于任何算符  
可用于信号理论

$$\text{取 } \hat{A} = \hat{x} - \bar{x}, \hat{B} = \hat{p}_x - \bar{p}_x \Rightarrow \hat{C} = \hbar$$

$$\Rightarrow \Delta x^2 \cdot \Delta p_x^2 \geq \frac{1}{4} \hbar^2,$$

$$\Delta x \Delta p_x \geq \frac{\hbar}{2}$$

# 等式成立的条件

$$\Delta x \Delta p_x = \frac{\hbar}{2} \Leftrightarrow \xi - \frac{\bar{c}}{2A^2} = 0 \text{ 时, } \int |\xi \hat{A}\psi + i\hat{B}\psi|^2 dx = 0$$

$$\Leftrightarrow \frac{\bar{c}}{2A^2} \hat{A}\psi + i\hat{B}\psi = 0$$

$$\Leftrightarrow \frac{\hbar}{2(\Delta x)^2} (x - \bar{x})\psi(x) + i \left( -i\hbar \frac{\partial}{\partial x} - \bar{p}_x \right) \psi(x) = 0$$

$$\frac{\partial}{\partial x} \psi(x) = \left\{ \frac{i}{\hbar} \bar{p}_x - \frac{1}{2(\Delta x)^2} (x - \bar{x}) \right\} \psi(x)$$

$$\psi(x) \propto \exp \left\{ \frac{i}{\hbar} \bar{p}_x x - \frac{1}{(2\Delta x)^2} (x - \bar{x})^2 \right\}$$

最小测不准波包

压缩态 *squeezed state*:

压缩时域或频域信号宽度, 精确测量, 激光

$$\text{相干态 } \Delta q^2 = \frac{1}{2}, \Delta p^2 = \frac{1}{2}$$

# 检验不确定关系

◆ 不确定关系对任意波函数均成立

◆ 例如取三角形波,

$$\psi(x) = \begin{cases} \left(\frac{3}{2}\right)^{\frac{1}{2}} (1 - |x|), & \text{if } |x| < 1; \\ 0, & \text{if } |x| \geq 1. \end{cases}$$

$$\bar{x} = \int_{-\infty}^{+\infty} x \psi^*(x) \psi(x) dx = 0$$

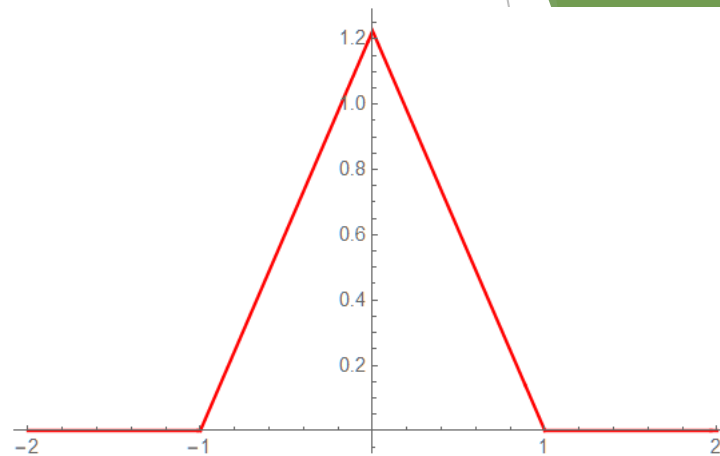
$$\Delta x^2 = \int_{-\infty}^{+\infty} (x - \bar{x}) \psi^*(x) \psi(x) dx = 1/10$$

$$\bar{p} = \int_{-\infty}^{+\infty} \psi^*(x) \hat{p} \psi(x) dx = (-i\hbar) \int_{-\infty}^{\infty} \psi \frac{\partial \psi}{\partial x} dx = \frac{1}{2} (-i\hbar) (\psi^2|_{-1}^0 + \psi^2|_0^1) = 0 \text{ (后面章节证明)}$$

$$\Delta p^2 = \int_{-\infty}^{+\infty} \psi^*(x) (\hat{p} - \bar{p})^2 \psi(x) dx = (-i\hbar)^2 \int_{-\infty}^{\infty} \psi \frac{\partial^2 \psi}{\partial x^2} dx$$

$$= \frac{3}{2} (-i\hbar)^2 \int_{-\infty}^{\infty} \psi(x) \{\delta(x+1) - 2\delta(x) + \delta(x-1)\} dx = \frac{3}{2} (-i\hbar)^2 \{-2\psi(0)\} = 3\hbar^2$$

$$\Delta x \Delta p = \sqrt{\frac{3}{10}} \hbar > \frac{1}{2} \hbar$$



# 例题：估算谐振子基态能量

## ◆ 哈密顿算符

$$H = \frac{p^2}{2m} + \frac{1}{2}m\omega^2 x^2$$

## ◆ 能量的期望值

$$\bar{E} = \langle \hat{H} \rangle = \left\langle \frac{\hat{p}^2}{2m} + \frac{1}{2}m\omega^2 \hat{x}^2 \right\rangle$$

$$\hat{A} \stackrel{\text{def}}{=} \frac{1}{\sqrt{2m}} \hat{p}, \quad \hat{B} \stackrel{\text{def}}{=} \sqrt{\frac{m}{2}} \omega \hat{x}$$

$$\hat{C} = [\hat{A}, \hat{B}] = \frac{1}{2} \omega (-i\hbar), \quad \bar{C} = -\frac{i}{2} \hbar \omega$$

$$\langle \hat{H} \rangle = \langle \hat{A}^2 + \hat{B}^2 \rangle = \overline{A^2} + \overline{B^2} \geq 2\sqrt{\overline{A^2} \cdot \overline{B^2}} \geq 2\sqrt{\frac{1}{4} \bar{C}^2} = \frac{1}{2} \hbar \omega$$

能量最低的基态为  $\hbar\omega/2$

# 量子力学中物理状态

## ◆ 与线性代数中的概念对比：

状态矢量（波函数）	$\leftrightarrow$	矢量（矢量的坐标分量）
力学量（算符）	$\leftrightarrow$	线性变换（矩阵）
态矢的内积	$\leftrightarrow$	矢量的内积

- ◆ 量子力学的状态空间是一个复线性空间
- ◆ 状态空间是投影几何projective geometry
- ◆ 状态空间是扩展的Hilbert空间（{平方可积函数} $=\mathcal{H}$ ）

# 态矢(state vector)

- 对偶矢量：Dirac左矢和右矢

$$|\psi\rangle \rightarrow \psi(\vec{r}, t), \quad \langle\psi| \rightarrow \psi^*(\vec{r}, t)$$

- 力学量作用在态矢量上，是线性变换，  
 $\mathbf{A}|\psi\rangle \rightarrow \hat{A}\psi(\vec{r}, t)$

- 态矢的内积

$$\langle\psi_1|\psi_2\rangle \equiv \iiint \psi_1^*(\vec{r}, t)\psi_2(\vec{r}, t)dxdydz$$

- 力学量的矩阵元

$$\langle\psi_1|\mathbf{A}|\psi_2\rangle \equiv \iiint \psi_1^*(\vec{r}, t)\hat{A}\psi_2(\vec{r}, t)dxdydz$$

- 波函数相当于坐标分量，

$$\psi(\vec{r}, t) = \langle\vec{r}, t|\psi\rangle, \quad |\psi\rangle = \iiint \psi(\vec{r}, t)|\vec{r}, t\rangle d^3\vec{r}$$

# 力学量的本征值

- ◆ 力学量是矩阵，所以有本征值eigen value、本征矢（本征态 eigen state）

$$A|\psi\rangle = a|\psi\rangle \Leftrightarrow \hat{A}\psi(\vec{r}, t) = a\psi(\vec{r}, t)$$

- ◆ 能量本征态

$$\hat{H}|\psi\rangle = E|\psi\rangle \Leftrightarrow \hat{H}\psi(\vec{r}, t) = E\psi(\vec{r}, t)$$

$$\left\{ -\frac{\hbar^2}{2m} \nabla^2 + V(\vec{r}) \right\} \psi(\vec{r}, t) = E\psi(\vec{r}, t)$$

$$\psi(\vec{r}, t) \stackrel{\text{def}}{=} f(t)u(\vec{r})$$

$$\left\{ -\frac{\hbar^2}{2m} \nabla^2 + V(\vec{r}) \right\} u(\vec{r}) = Eu(\vec{r})$$

# 动量的本征态

一维空间

$$\hat{p}_x \psi(x, t) = p_x \psi(x, t)$$

$$\hat{p}_x u(x) = p_x u(x)$$

$$-i\hbar \partial_x u(x) = p_x u(x)$$

$$u(x) = \frac{1}{\sqrt{2\pi\hbar}} e^{\frac{i}{\hbar} p_x x}$$

三维空间

$$u(\vec{r}) = \frac{1}{(2\pi\hbar)^{3/2}} e^{\frac{i}{\hbar} (p_x x + p_y y + p_z z)}$$



# 力学量对应的算符是厄米算符

- ◆ 可测物理量的所有本征值都是实数

→ 力学量对应的算符是厄米算符

- ◆ 定理：同一力学量的不同本征值对应的本征矢正交

$$\left. \begin{aligned} A|\alpha\rangle &= \alpha|\alpha\rangle \\ A|\beta\rangle &= \beta|\beta\rangle \end{aligned} \right\} \Rightarrow \langle\alpha|A|\beta\rangle = \alpha\langle\alpha|\beta\rangle = \beta\langle\alpha|\beta\rangle \\ \Rightarrow (\alpha - \beta)\langle\alpha|\beta\rangle = 0 \Rightarrow \langle\alpha|\beta\rangle = 0$$

- ◆ 定理：力学量的所有本征矢，构成态空间的完备基

(谱分解定理) 下列条件等价:  
1. 算符是规范的 ( $\hat{A}^\dagger \hat{A} = \hat{A} \hat{A}^\dagger$ )  
2. 算符的本征矢构成正交完备基 (规范基、标准基)  
3. 算符在某组正交完备基下是对角化的

# 测量

- ◆ 测量是在仪器所决定的态矢上的投影
- ◆ 测量即制备      电子的双缝干涉
- ◆ 物理态空间

$$H_{\text{phys}} = \{\psi | \forall f \in H, (\psi, f) < \infty\}$$

类比

能量信号：信号总能量为有限值而信号平均功率为零；

功率信号：平均功率为有限值而信号总能量为无限大。

- ◆ 测量公理 冯·诺依曼1930年
- ◆ 公理化量子力学
- ◆ 自然科学的黄金时期：量子光学、量子电子学、量子化学……
- ◆ 量子力学与各学科的关系：生物物理（光合、细胞、意识），量子信息，量子通信，量子计算机，量子雷达……

# 量子力学的基本假设

- ◆ 每一个可观测量 $A$ ，对应物理状态空间的一个自伴算符 $\hat{A}$ 。
- ◆ 观测物理量 $A$ 的结果，只能是算符 $\hat{A}$ 的本征值之一。
- ◆ 如果波函数展开为

$$\psi \equiv \sum_a c_a \psi_a$$

其中 $\psi_a$ 是 $\hat{A}$ 的归一化本征态，那么物理量 $A$ 的测量值取 $a$ 的概率是 $|c_a|^2$ 。

- ◆ 测量即制备：如果测量 $A$ 所得的值是 $a$ ，那么在刚完成测量之后，系统的状态是 $\psi_a$ 。
- ◆ 波函数随时间的演化满足薛定谔方程
- ◆ 全同粒子的假设（后面章节中介绍）