

# Aggregating Lexicographic Preferences Over Combinatorial Domains

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A group of students at UK are deciding on a joint vacation in 2014 and they may consider the following issues:

- Time
- Destination
- Transportation



(a) Domain of Time



(b) Preference

Figure: Time



(a) Domain of Destination



(b) Preference

Figure: Destination



(a) Domain of Transportation



(b) Preference

Figure: Transportation

Aggregating agents' individual preferences over alternatives to achieve collaborative decisions.

- ① Alternatives: *combinatorial* domains
- ② Individual preferences: *lexicographic preference trees (LP trees)*
- ③ Aggregation: based on *positional scoring voting rules*
- ④ Collaborative decisions: alternatives with the highest score

- 1 Issues:  $X = \{X_1, X_2, \dots, X_p\}$ , with  $D(X_i) = \{0_i, 1_i\}$
- 2 Alternatives:  $\mathcal{X} = D(X_1) \times D(X_2) \times \dots \times D(X_p)$ ,  $|\mathcal{X}| = 2^p$  (denoted by  $m$ )
- 3 Vote: a strict total order over  $\mathcal{X}$
- 4 Profile: a finite set of votes collected from  $n$  voters



Figure: Alternatives in the vacation planning problem

- 1 Scoring vector:  $w = (w_1, \dots, w_m)$  of non-negative integers, where  $w_1 \geq w_2 \geq \dots \geq w_m$  and  $w_1 > w_m$ .
- 2 Let  $v = o_1 \succ o_2 \succ \dots \succ o_m$  be a vote over  $\mathcal{X}$ , the score of alternative  $o_i$  in vote  $v$  is  $w_i$ , denoted by  $s_w(o_i, v)$
- 3 Let  $P$  be a profile of votes, the score of alternative  $o_i$  in profile  $P$ :

$$s_w(o_i, P) = \sum_{v \in P} s_w(o_i, v)$$



- $k$ -approval:  $(1, \dots, 1, 0, \dots, 0)$  with  $k$  being the number of 1's and  $m - k$  the number of 0's where  $m = 2^p$
- Borda:  $(m - 1, m - 2, \dots, 1, 0)$
- $(k, l)$ -approval:  $(a, \dots, a, b, \dots, b, 0, \dots, 0)$ , where  $a$  and  $b$  are constants ( $a > b$ ) and the numbers of  $a$ 's and  $b$ 's equal to  $k$  and  $l$ , respectively

- ① An *LP tree*<sup>1</sup>  $\mathcal{L}$  over  $\mathcal{I} = \{X_1, \dots, X_p\}$  is a *binary tree*
- ② Each node  $t$  in  $\mathcal{L}$  is labeled by an issue from  $\mathcal{I}$  and with *preference information* ( $0 > 1$  or  $1 > 0$ )
- ③ Each issue appears **exactly once** on each path from the root to a leaf

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<sup>1</sup> Booth, Chevalere, Lang, Mengin, and Sombattheera. *Learning conditionally lexicographic preference relations*, 2010.

$D(\text{Time}) = \{\text{summer}(s), \text{winter}(w)\}, D(\text{Dest}) = \{\text{Chicago}(c), \text{Miami}(m)\},$   
 $D(\text{Tran}) = \{\text{drive}(d), \text{fly}(f)\}$

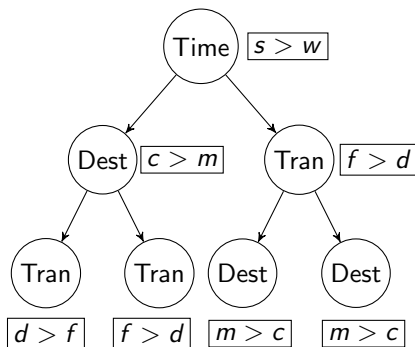


Figure: LP tree

$$D(\text{Time}) = \{\text{summer}(s), \text{winter}(w)\}, D(\text{Dest}) = \{\text{Chicago}(c), \text{Miami}(m)\}, \\ D(\text{Tran}) = \{\text{drive}(d), \text{fly}(f)\}$$

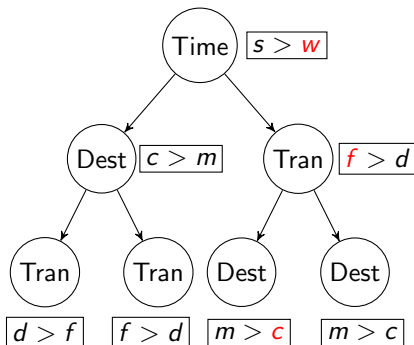
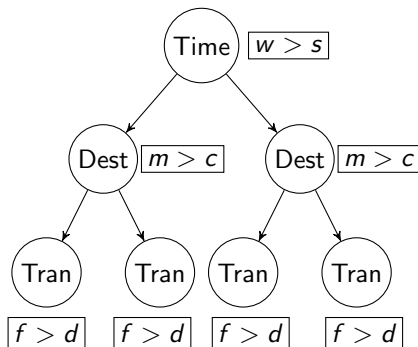


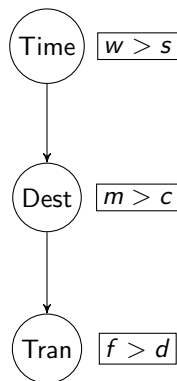
Figure: LP tree

$s c d \succ s c f \succ s m f \succ s m d \succ w m f \succ w c f \succ w m d \succ w c d$

$D(Time) = \{summer(s), winter(w)\}$ ,  $D(Dest) = \{Chicago(c), Miami(m)\}$ ,  
 $D(Tran) = \{drive(d), fly(f)\}$



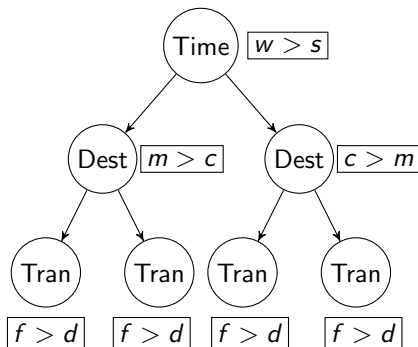
(a) Full LP tree



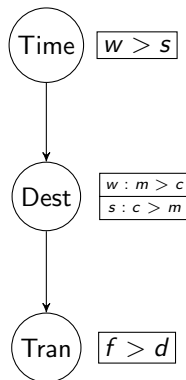
(b) Collapsed LP tree

Figure: Collapse to UI-UP

$D(Time) = \{summer(s), winter(w)\}$ ,  $D(Dest) = \{Chicago(c), Miami(m)\}$ ,  
 $D(Tran) = \{drive(d), fly(f)\}$



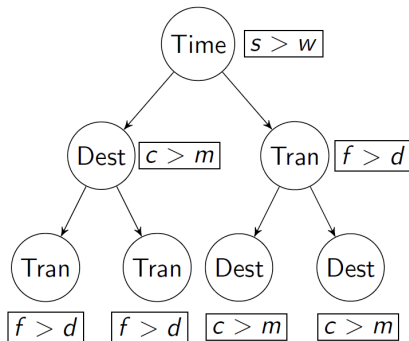
(a) Full LP tree



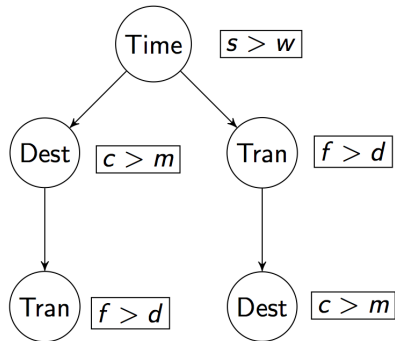
(b) Collapsed LP tree

Figure: Collapse to UI-CP

$$D(\text{Time}) = \{\text{summer}(s), \text{winter}(w)\}, D(\text{Dest}) = \{\text{Chicago}(c), \text{Miami}(m)\}, \\ D(\text{Tran}) = \{\text{drive}(d), \text{fly}(f)\}$$



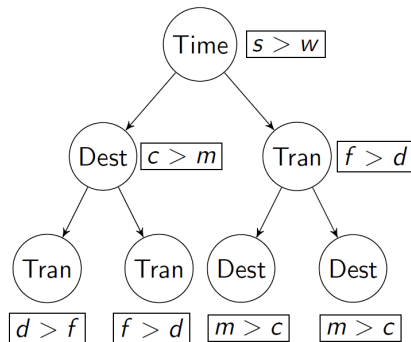
(a) Full LP tree



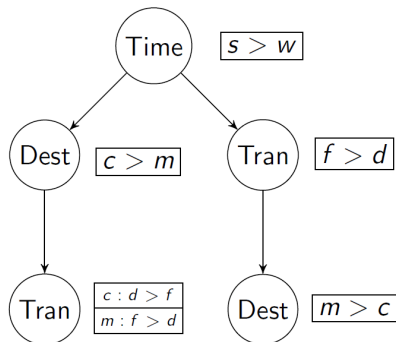
(b) Collapsed LP tree

Figure: Collapse to CI-UP

$$D(\text{Time}) = \{\text{summer}(s), \text{winter}(w)\}, D(\text{Dest}) = \{\text{Chicago}(c), \text{Miami}(m)\}, \\ D(\text{Tran}) = \{\text{drive}(d), \text{fly}(f)\}$$



(a) Full LP tree



(b) Collapsed LP tree

Figure: Collapse to CI-CP



## The Evaluation Problem

Let  $r$  be a positional scoring rule with a scoring vector  $w$ ,  $\mathcal{C}$  a class of LP trees. Given a  $\mathcal{C}$ -profile  $P$  of  $n$  LP trees over  $p$  issues and a positive integer  $R$ , the *evaluation* problem is to decide whether there exists an alternative  $o \in \mathcal{X}$  such that  $s_w(o, P) \geq R$ .

## The Winner Problem

Let  $r$  be a positional scoring rule with a scoring vector  $w$ ,  $\mathcal{C}$  a class of LP trees. Given a  $\mathcal{C}$ -profile  $P$  of  $n$  LP trees over  $p$  issues, the *winner* problem is to compute an alternative  $o \in \mathcal{X}$  with the maximum score  $s_w(o, P)$ .

- The computational complexity of the winner and the evaluation problems has *not* been fully understood
- With known results for some special cases, we aim at expanding the space of known results for *more general* positional scoring rules
- All computational complexity results and algorithms assume *compact* representations of LP trees

- ① If  $k$  is fixed or  $k = 2^{p-1} \pm f(p)$ , where  $f(p)$  is a polynomial of  $p$ , we have

	UP	CP
UI	P	P
CI	P	P

(a) Evaluation

	UP	CP
UI	P	P
CI	P	P

(b) Winner

Figure:  $k$ -approval <sup>2</sup>

<sup>2</sup> The case where  $f(p) = 0$  is shown by Lang, Mengin, and Xia. *Aggregating conditionally lexicographic preferences on multi-issue domains*, 2012. Other cases are new results we obtained.

- ① if  $k = c \cdot 2^{p-M}$  ( $c$  and  $M$  are constants) and  $k \neq 2^{p-1}$ , we have

	UP	CP
UI	NPC	NPC
CI	NPC	NPC

(a) Evaluation

	UP	CP
UI	NP-Hard	NP-Hard
CI	NP-Hard	NP-Hard

(b) Winner

Figure:  $k$ -approval <sup>3</sup>

<sup>3</sup> Lang, Mengin, and Xia. *Aggregating conditionally lexicographic preferences on multi-issue domains*, 2012. ▶

	UP	CP
UI	P	NPC
CI	NPC	NPC

(a) Evaluation

	UP	CP
UI	P	NP-Hard
CI	NP-Hard	NP-Hard

(b) Winner

Figure: Borda <sup>4</sup>

<sup>4</sup> Lang, Mengin, and Xia. *Aggregating conditionally lexicographic preferences on multi-issue domains*, 2012. ▶

Our research considers yet another class of positional scoring rules:  
 $(k, l)$ -approval

## $(2^{p-2}, 2^{p-2})$ -Approval Evaluation Problem

Let  $w$  be the scoring vector for  $(2^{p-2}, 2^{p-2})$ -approval. The problem to decide for a given *UI-UP* profile  $P$  and a given positive integer  $R$  whether there is an alternative  $o$  such that  $s_w(P, o) \geq R$  is NP-complete.

## Proof.

Hardness follows from a polynomial reduction from the NP-complete problem *MIN 2-SAT* <sup>5</sup>.

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<sup>5</sup> Given a set  $\Phi$  of 2-clauses and a positive integer  $l$ , the problem is to decide if there is an assignment satisfying at most  $l$  clauses in  $\Phi$ .

If  $k = l = 2^{p-2}$  or  $k = l = 2^{p-3}$ , we have

	UP	CP
UI	NPC	NPC
CI	NPC	NPC

(a) Evaluation

	UP	CP
UI	NP-Hard	NP-Hard
CI	NP-Hard	NP-Hard

(b) Winner

Figure:  $(k, l)$ -approval <sup>6</sup>

<sup>6</sup> Liu and Truszczynski, *Aggregating Conditionally Lexicographic Preferences Using Answer Set Programming*, ADT, 2013.

- Computational methods need to be implemented and tested to see how problems with different settings of parameters could be handled
- ASP and W-MAXSAT tools are chosen because they are designed to address NP-hard problems
- Our goal is to understand the scope of applicability of the solvers and compare their effectiveness



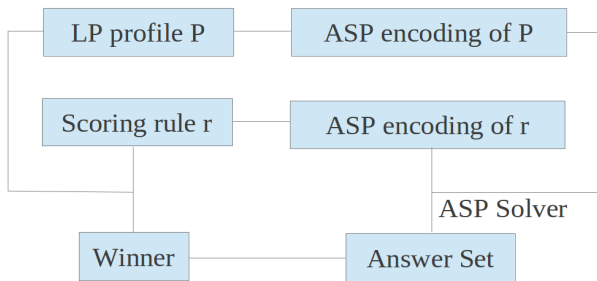


Figure: The winner problem

- Solvers: *clingo*, *clingcon*

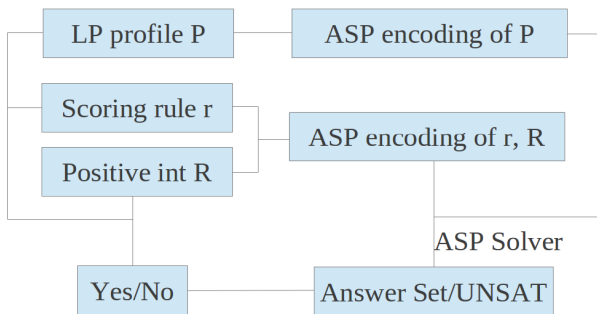


Figure: The evaluation problem

- Solvers: *clingo*, *clingcon*

# Modeling the Winner Problem as a W-MAXSAT Problem



## Weighted Maximum Satisfiability Problem (W-MAXSAT)

Let  $X$  be a set of boolean variables  $\{X_1, \dots, X_p\}$ ,  $\Phi$  a set of weighted clauses  $\{c_1 : w_1, \dots, c_n : w_n\}$  over  $X$ , where each  $w_i$  is a positive integer, the problem is to find a truth assignment over  $X$  to maximize the sum of weights of satisfied clauses in  $\Phi$ .

- Solver: *toulbar*

# Modeling the Winner Problem as a W-MAXSAT Problem

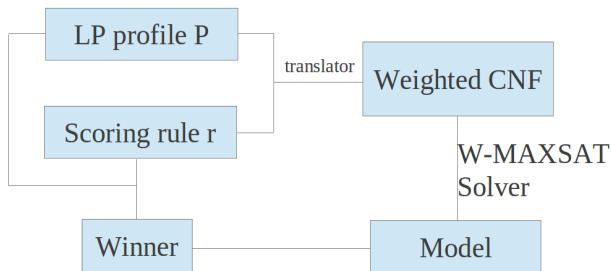


Figure: The winner problem

- To experiment with LP profiles, we developed methods to randomly generate *encodings* of a special type of CI-CP LP tree of size linear in the number of issues

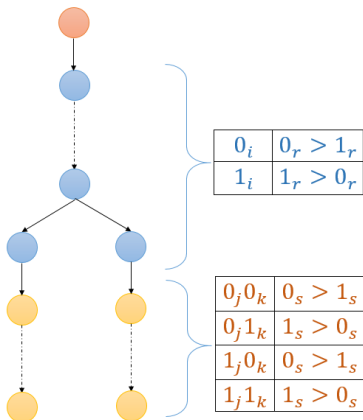
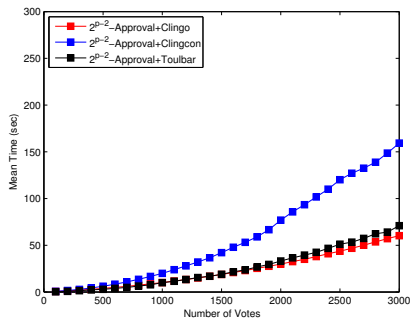


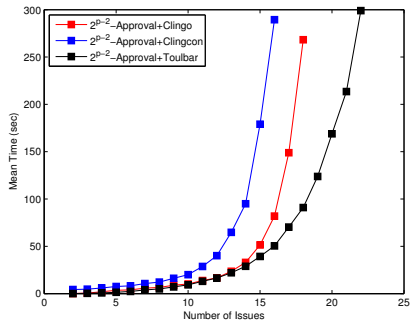
Figure: Random LP tree

- The winner problem:
  - fix the number of issues (10) and increase the number of votes in a profile (up to 3000)
  - fix the number of votes in a profile (1000) and increase the number of issues (up to 25)
- The evaluation problem: for a randomly generated profile (1000 votes), computed winning score  $WS$  and solved the evaluation problem with various *thresholds* (percentages of  $WS$ ):  $\{5\% \cdot WS, 10\% \cdot WS, \dots, 100\% \cdot WS, WS + 1\}$

# Varying $p$ and $n$ : $2^{p-2}$ -approval



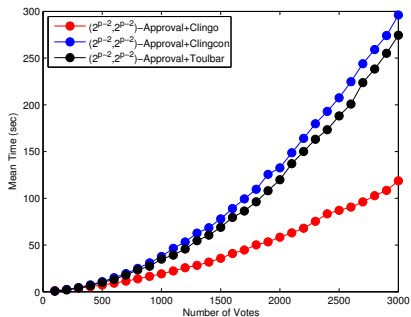
(a) Fixed #issues (10)



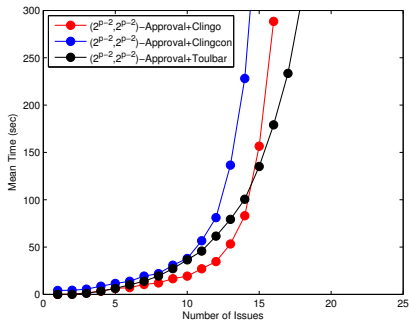
(b) Fixed #votes (1000)

Figure: Solving the winner problem

# Varying $p$ and $n$ : $(2^{p-2}, 2^{p-2})$ -approval <sup>7</sup>



(a) Fixed #issues (10)



(b) Fixed #votes (1000)

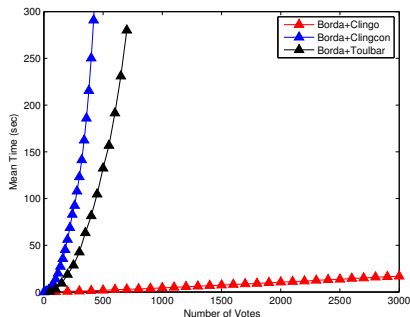
Figure: Solving the winner problem

<sup>7</sup>

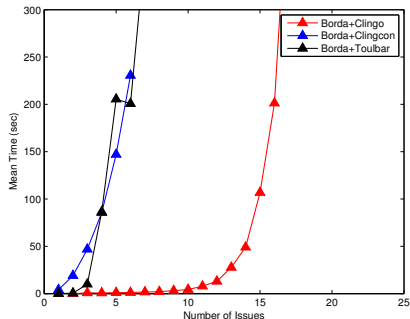
scoring vector:  $(2, \dots, 2, 1, \dots, 1, 0, \dots, 0)$  with the numbers of 2's and 1's equal to  $2^{p-2}$



# Varying $p$ and $n$ : Borda



(a) Fixed #issues (10)



(b) Fixed #votes (1000)

Figure: Solving the winner problem

- ① The formalism of *lexicographic preference trees* is a **concise** representation of preferences over combinatorial domains
  - natural way to express preferences
  - induce total orders
  - easily compute rank of a given alternative
- ② Computational complexity of the winner and evaluation problems has yet been fully studied
  - polynomial time algorithms
- ③ ASP and W-MAXSAT are **effective** in modeling and solving the problems even for 1000 votes over up to 22 issues (about 4 million alternatives)
  - W-MAXSAT solver *toulbar* is better than ASP solvers for  $2^{p-2}$ -approval and  $(2^{p-2}, 2^{p-2})$ -approval
  - ASP solvers *clingo* and *clingcon* are better than *toulbar* for Borda

- 1 Generate richer classes of random LP trees
- 2 Aggregate preferences in other formalisms, such as conditional preference networks (CP-nets) <sup>8</sup> and answer set optimization preferences <sup>9</sup>

The slides are available at [www.cs.uky.edu/~liu](http://www.cs.uky.edu/~liu)

**Thank you!**

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<sup>8</sup> Boutilier, Brafman, Domshlak, Hoos, and Poole. *CP-nets: A tool for representing and reasoning with conditional ceteris paribus preference statements*, 2004.

<sup>9</sup> Brewka, Niemela, and Truszczyński. *Answer set optimization*, 2003.



## LP Trees and Total Orders

Every LP tree over  $\mathcal{I}$  can be represented by a total order over  $\mathcal{X}$ , but not vice versa.

For example,  $00 \succ 11 \succ 01 \succ 10$  cannot be translated to an LP tree.



## The Score Problem

Let  $r$  be a positional scoring rule with a scoring vector  $w$ . Given a profile  $P$  of  $n$  LP trees over  $p$  issues, an alternative  $o \in \mathcal{X}$  and a positive integer  $T$ , the score problem is to decide whether  $s_w(P, o) \geq T$ .

For all positional scoring rules, we have

	UP	CP
UI	$O(np)$	$O(np)$
CI	$O(np)$	$O(np)$

Figure: The score problem <sup>10</sup>

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<sup>10</sup> Jérôme Lang, Jérôme Mengin, and Lirong Xia. *Aggregating conditionally lexicographic preferences on multi-issue domains*, 2012.

## Effective Implicit Positional Scoring Rules

Let  $r$  be a positional scoring rule, and  $w$  its underlying scoring vector. Rule  $r$  is *effective implicit* if, given  $m$  and  $i$  ( $1 \leq i \leq m$ ), there is an algorithm that computes the value  $w_i$  in time polynomial in the size of  $m$ .

- Borda:  $w_i = m - i$
- $k$ -approval: if  $i \leq k$ ,  $w_i = 1$ ; otherwise,  $w_i = 0$
- $(k, l)$ -approval: if  $i \leq k$ ,  $w_i = a$ ; if  $k \leq i \leq l$ ,  $w_i = b$ ; otherwise,  $w_i = 0$