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**11-442 / 11-642:  
Search Engines**

## **Evaluating Search Effectiveness**

Jamie Callan  
Carnegie Mellon University  
[callan@cs.cmu.edu](mailto:callan@cs.cmu.edu)

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## **Overview of the Evaluation Unit**

### **Introduction to evaluation**

#### **The Cranfield methodology**

- Overview and introduction
- Test collections
- Metrics

#### **Creating test collections**

- Cranfield @ TREC and other evaluation forums
- Cranfield @ work

#### **Evaluation in a dynamic environment**

## **The Cranfield Methodology: Creating Test Collections**

**Two methods of creating test collections are common**

**1. Developed by a community (e.g., a research community)**

- Usually designed to be useful for a long time (“reusable”)
- Must accommodate today’s system(s) and future systems
- Higher effort, higher expense

**2. Developed by an organization (e.g., a company)**

- Usually designed to address specific needs
- Usually lower effort, lower expense
- Usually a short lifespan

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## **Cranfield@TREC**

**The U.S. National Institute of Standard and Technologies (NIST) supports scientific and commercial progress by defining state-of-the-art measurement capabilities**

**In 1992, NIST began providing resources for large-scale evaluation of text retrieval**

- Annual production of tasks and test collections
- The Text REtrieval Conference (TREC)
  - An annual forum for comparison of methods and results
- Most TREC evaluation is based on the Cranfield methodology

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## Cranfield@TREC

Each year, TREC defines a set of tasks (“tracks”)

### TREC 2015 tracks

- **Clinical decision support:** Link cases to relevant information
- **Contextual suggestions:** Suggest activities based on context
- **Dynamic domain:** Dynamic information needs
- **Live QA:** Answer questions from a live question stream
- **Microblog:** Search and filtering of Twitter data
- **Tasks:** Figure out the task a person is trying to accomplish
- **Temporal summarization:** Monitor an event over time
- **Total recall:** High recall with a human in the loop

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## Cranfield@TREC: Creating Test Collections

### Most TREC tracks produce test collections

- The research community defines a task
  - E.g., Microblog retrieval
- NIST works with researchers to obtain a document collection
- NIST defines information needs and queries
  - Sometimes in collaboration with industry or other groups
- The research community identifies documents to be judged
  - Pooling: Run your favorite technique, submit your results
- NIST employees and/or participants judge the documents

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## **Cranfield@TREC: International Siblings**

**Other sponsors have developed similar efforts to produce test collections focused on topics of interest to them**

- CLEF (Europe)
  - Originally cross-lingual retrieval, now other topics too
- NTCIR (Japan)
  - Originally Asian languages, now other topics too
- FIRE (India)
  - Originally Indian languages, now other topics too

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## **Cranfield@TREC: Summary**

### **Characteristics of TREC test collections**

- A relatively large number of queries
- Large pools of assessed documents
- Widespread use

### **Sort of an “open source” approach to creating datasets**

- NIST enables creation, but does not do all of the work itself

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## **Cranfield@TREC: Summary**

### **TREC test collections are designed to be reusable**

- The pool of judged documents is large enough and diverse enough to produce accurate measurements for techniques that did not contribute to the pool
- They must accommodate today's system(s) and future systems
- This is an essential property of TREC collections

### **The lifespan of a typical TREC test collection is 5-10 years**

- Some datasets have been used for 20+ years

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### **Evaluation in a dynamic environment**

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## Cranfield@Work

### TREC collections may not cover your particular needs

- E.g., because you use proprietary information
- E.g., because the source of information is new

### You may need to create your own test collection

- This happens all the time
  - In industry
  - In research environments (such as ours)

### What factors must you consider?

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## Cranfield@Work: How Many Information Needs Are Needed?

### Suppose that you are building your own corpus ...how many information needs do you need?

- The rule of thumb has been
  - 25 provides a rough estimate
  - 50 is relatively reliable
  - 100 is reliable
  - 200 is very reliable

### Are these heuristics valid? What is our goal?

- To calculate MAP reliably?
- To distinguish among systems reliably?

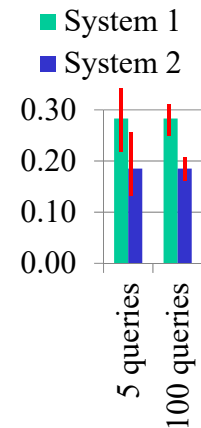
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## Cranfield@Work: How Many Information Needs Are Needed?

Evaluation based on a few information needs is unreliable

Info Needs	MAP	Standard Deviation	95% Confidence Interval
5	0.283	0.056	[0.173, 0.393]
25	0.283	0.025	[0.234, 0.332]
150	0.283	0.010	[0.263, 0.303]



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(Adapted from Sanderson & Zobel, 2005)

## Cranfield@Work: How Many Information Needs Are Needed?

Evaluation based on a few information needs is unreliable

Info Needs	MAP	Standard Deviation	95% Confidence Interval
5	0.172	0.039	[0.095, 0.250]
10	0.276	0.020	[0.238, 0.315]
25	0.265	0.029	[0.208, 0.321]
50	0.260	0.020	[0.221, 0.299]
100	0.290	0.014	[0.263, 0.317]
148	0.287	0.014	[0.259, 0.315]

**Indri  
Gov2  
BOW queries**

← **The  
population  
changes**

Usually 50 information needs is considered “good enough”

- 100-200 information needs is considered very reliable

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## Cranfield@Work: Confidence Intervals

### Example

- MAP = 0.283
- N = 25 (information needs)
- Standard deviation = 0.025
- $CI_{95\%} = [0.283 - 1.96 \times 0.025, 0.283 + 1.96 \times 0.025]$   
= [0.234, 0.332]
  - 95% of samples will have  $MAP \in [0.234, 0.332]$
  - It does not mean that the true  $MAP \in [0.234, 0.332]$

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## Cranfield@Work: How Many Information Needs Are Needed?

### Usually 50 information needs is considered “good enough”

- Good enough to identify the best system relatively reliably
- Maybe not good enough to provide a reliable estimate of MAP
- 100-200 information needs is considered very reliable

### Industry often uses hundreds of information needs (queries)

### Why this difference?

- Researchers have fewer resources
- Small differences can be important to industry, but are less important to researchers

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## Cranfield@Work: Relevance Assessments

Relevance is difficult to define precisely

- A relevant document is one that a person judges as useful in the context of a specific information need
  - Different people define “useful” differently
  - A person will define “useful” differently at different times
  - A person’s judgment depends upon many factors
    - » E.g., what the person knew before reading the document
- This is a really important concept

Does it matter that people judge relevance differently?

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## Cranfield@Work: Reliability of Relevance Assessments

**Common complaint:** The relevance judgments are biased against my system

- Because the assessor made mistakes
- Because some relevant documents were not judged
  - And thus are considered non-relevant

Is this complaint justified?

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## Cranfield@Work: How Do Three TREC Assessors Compare?

**R:** Relevant

**NR:** Not relevant

**Documents judged**

**relevant by all 3 assessors**

<div>Three TREC Assessors</div>		A <sub>1</sub>	NR	NR	NR	NR	R	R	R	R	<u>Judged</u>
		A <sub>2</sub>	NR	NR	R	R	NR	NR	R	R	
		A <sub>3</sub>	NR	R	NR	R	NR	R	NR	R	
<div>TREC Topics (Info Needs)</div>		202	32	168	0	0	21	127	1	51	400
		203	194	1	4	1	20	3	4	6	233
		204	138	55	1	6	119	39	8	34	400
		205	200	0	0	0	119	20	59	2	400
		206	200	0	0	0	17	6	16	8	247
		207	171	7	5	17	6	16	3	49	274
		208	171	21	1	7	6	23	2	23	254

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(Voorhees and Over)

## Cranfield@Work: Does it Matter Which Assessments You Use?

**Switching assessments clearly affects objective evaluations**

- Precision, Recall, MRR, R-Prec, ...
- Objective evaluations describe the user experience
  - But they don't identify which technique is better

**Does switching assessments affect comparative evaluations?**

- i.e., system A vs. system B, or system A vs. system A'

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## Cranfield@Work: Does it Matter Which Assessments You Use?

### Suppose you have 3 people judge 49 TREC topics

- You can create  $3^{49}$  sets of relevance judgments
  - Use assessor  $i$  for topic  $j$ , generate all combinations of  $i$  and  $j$

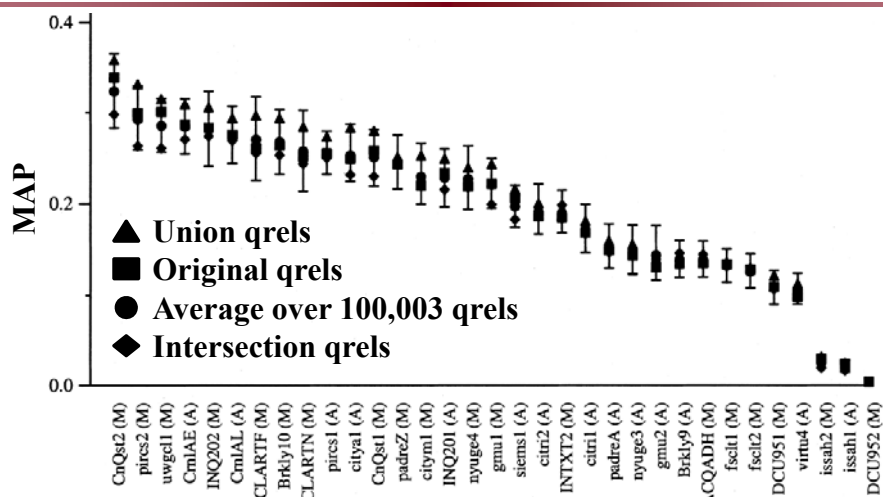
### How often do the different sets of relevance assessments disagree about which system is best?

- Evaluate 33 systems using 100,005 sets of relevance judgments
  - $A_1, A_2, A_3$ , Union ( $A_1, A_2, A_3$ ), Intersection ( $A_1, A_2, A_3$ )
  - 100,000 randomly generated combinations
  - Use mean average precision (MAP) as the metric
- Rank the systems
- Compare the rankings produced by each set of assessments

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(Voorhees, 1998)

## Cranfield@Work: Does it Matter Which Assessments You Use?



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## **Cranfield@Work: Does it Matter Which Assessments You Use?**

**Significant overlap in the bars, so this looks bad ... is it?**

**System rankings are very similar with different assessments**

- On average, swap 3% of entries to convert between rankings
- Most swaps are between systems that have  $\Delta\text{MAP} < 1\%$
- Probability of a swap is very low if  $\Delta\text{MAP}$  is  $\geq 0.05$

**Systems tend to move together**

- A set of assessments affects most systems in the same way
  - “Easy” assessors, “hard” assessors

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(Voorhees, 1998)

## **Cranfield@Work: Creating Test Collections**

**So, you're evaluating search engines for some organization...  
...how do you build them a test collection?**

- 1. Collect a large set of representative documents**
  - Easy
- 2. Collect a set of representative information needs**
  - At least 25, preferably 50-100
- 3. Translate each information need into a set of queries**
  - At least several queries per information need

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## Cranfield@Work: Building Your Own Test Collection

### 4. Run each query against each search engine

- Save the top N documents
- Preferably at least 50 documents per query

### 5. Pool all results for an information need

- Different queries, different engines
- Sort them into random order

### 6. Have a person judge each document

- One person judges all documents for one information need
  - » **Important!:** The work can't be split among people
- Ideally, the judge created the information need

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## Characteristics of the Cranfield Methodology

### Each user is as an expert (on their information need)

- This creates some implicit requirements or assumptions
  - Users are well-trained, don't get bored, don't make mistakes
- In reality, results for any individual query are unreliable
- The assessments are accurate enough to rank search engines

### The test collection is static

- Relevance judgments collected today will be useful tomorrow
- Relevance of one document is independent of other documents

### The test collection is reusable

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#### Evaluation in a dynamic environment

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## Evaluation in a Dynamic Environment

### The Cranfield methodology is difficult to apply to web search

- Tens of millions of queries per day
- Information needs for most queries are unknown
- Clicks are not relevance judgments
- The test collection is dynamic

### Web search engines do use the Cranfield methodology

- They have use trained assessors, similar to NIST

### But, they also use other metrics and methodologies...

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## Evaluation in a Dynamic Environment: Interleaved Testing

Interleaved testing is a common experimental methodology

- **Input:** Two rankings produced by different methods
- **Output:** One ranking composed of documents from each method
  - A fair ranking that does not favor either method
- **Gather data:** Which method produces better results?
  - Which method provides documents that get more clicks?

Ranking<sub>1</sub>

d<sub>1</sub> d<sub>6</sub> d<sub>4</sub> d<sub>3</sub> d<sub>8</sub> ...

d<sub>2</sub> d<sub>5</sub> d<sub>9</sub> d<sub>7</sub> d<sub>10</sub> ...

Ranking<sub>2</sub>

Interleaving  
Method

Ranking<sub>Interleaved</sub>

d<sub>1</sub> d<sub>2</sub> d<sub>6</sub> d<sub>5</sub> d<sub>4</sub> d<sub>9</sub> ·

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## Evaluation in a Dynamic Environment: Interleaved Testing

Requirements for an interleaving procedure

- The user should not notice it
- It should be robust to user biases
- It shouldn't alter the search experience
- It should lead to user behavior that reflects user preferences

We consider two interleaving methods

- Balanced interleaving
- Team-draft interleaving

There are other methods, but this gives you the general idea

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## Evaluation in a Dynamic Environment: Interleaved Testing Procedure

### One trial

- User submits query
- Select two rankers (“A” and “B”)
- Interleave the rankings produced by “A” and “B”
- Track the user’s clicks on the interleaved document ranking
- When the user stops clicking
  - Assign credit to “A” and “B” based on clicks
  - Declare “A” or “B” the winner of this trial

### Repeat until enough trials are collected

- Each trial is a different query and a different user

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## Evaluation in a Dynamic Environment: Balanced Interleaving

**Input:** Rankings  $A = (a_1, a_2, \dots)$  and  $B = (b_1, b_2, \dots)$   
 $I \leftarrow ()$ ;  $k_a \leftarrow 1$ ;  $k_b \leftarrow 1$ ;  
 $AFirst \leftarrow \text{RandomBit}()$  ..... *decide which ranking gets priority*  
**while**  $(k_a \leq |A|) \wedge (k_b \leq |B|)$  **do** ..... *if not at end of A or B*  
   **if**  $(k_a < k_b) \vee ((k_a = k_b) \wedge (AFirst = 1))$  **then**  
     **if**  $A[k_a] \notin I$  **then**  $I \leftarrow I + A[k_a]$  ..... *append next A result*  
      $k_a \leftarrow k_a + 1$   
   **else**  
     **if**  $B[k_b] \notin I$  **then**  $I \leftarrow I + B[k_b]$  ..... *append next B result*  
      $k_b \leftarrow k_b + 1$   
   **end if**  
**end while**  
**Output:** Interleaved ranking  $I$

- **Decide once which method goes first**
- **When a duplicate document is found, increment the counter**
  - But, the document is not added to the interleaved ranking

(Chapelle et al, 2012)

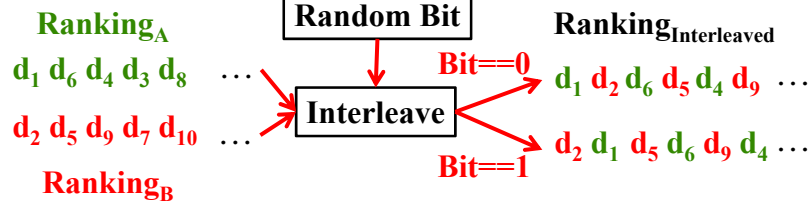
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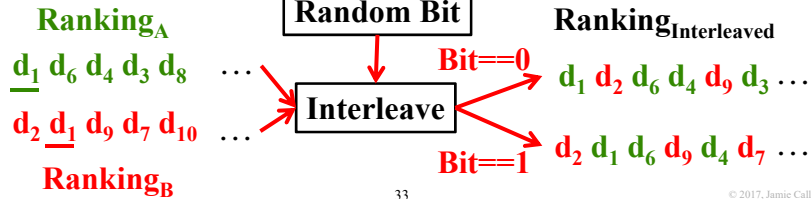


## Evaluation in a Dynamic Environment: Balanced Interleaving

### Without duplicates



### With duplicates



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## Evaluation in a Dynamic Environment: Balanced Interleaving

### Assume that people read from top to bottom

- They click on documents that look interesting
- They stop when they are satisfied or frustrated

### At each rank, each method contributes about 50% of the documents

- **Fair:** Each method has an equal opportunity to present documents
- A random clicker would click equally on documents from each method

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## Evaluation in a Dynamic Environment: Balanced Interleaving

**Given an interleaved ranking I with clicks C**

- $c_{\max}$ : Rank of the last click (the last document viewed)

**Use rankings to depth  $k = \min\{j: (i_{c_{\max}} = a_j) \vee (i_{c_{\max}} = b_j)\}$**

- $\{a_1, \dots, a_k\} \cup \{b_1, \dots, b_k\}$  covers all docs in  $\{i_1, \dots, i_{c_{\max}}\}$
- $\# \text{ clicks}_a = |\{c_j: i_{c_j} \in \{a_1, \dots, a_k\}\}|$  **clicks on a's top k**
- $\# \text{ clicks}_b = |\{c_j: i_{c_j} \in \{b_1, \dots, b_k\}\}|$  **clicks on b's top k**

**The method that gets the most clicks wins the trial**

**Aggregate results for all trials to find the best ranker**

$$\Delta(A, B) = \frac{\text{wins}(A) + 0.5 \times \text{ties}(A, B)}{\text{wins}(A) + \text{wins}(B) + \text{ties}(A, B)}$$

(Chapelle et al, 2012)

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**I**   **C**  
 $i_1$   
 $i_2$     $c_1$   
 $i_3$   
 $i_4$   
 $i_5$     $c_2$   
 $i_6$   
 $i_7$   
 $i_8$     $c_{\max}$   
 $i_9$   
 $:$

## Evaluation in a Dynamic Environment: Balanced Interleaving

**Example**

- Clicked: ✓
- $c_{\max} = 3$
- $k = 2$ 
  - Depth needed in  $R_1$  or  $R_2$  to find all clicked docs
- $\# \text{ clicks}_{R_1} = 1$
- $\# \text{ clicks}_{R_2} = 2$

**$R_2$  wins this trial**

Rank	Input Ranking		Interleaved Ranking
	$R_1$	$R_2$	$R_1$ first
1	a	b	a
2	b	e	b ✓
3	c	a	e ✓
4	d	f	c
5	g	g	d
6	h	h	f
:	:	:	:

(Chapelle et al, 2012)

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## Evaluation in a Dynamic Environment: Balanced Interleaving

### Example

- Clicked: ✓
- $c_{\max}=3$
- $k=2$ 
  - Depth needed in  $R_1$  or  $R_2$  to find all clicked docs
- $\# \text{ clicks}_{R_1}=1$
- $\# \text{ clicks}_{R_2}=2$

**$R_2$  wins this trial**

Rank	Input Ranking		Interleaved Ranking
	$R_1$	$R_2$	$R_2$ first
1	a	b	b ✓
2	b	e	a
3	c	a	e ✓
4	d	f	c
5	g	g	f
6	h	h	d
:	:	:	:

(Chapelle et al, 2012)

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## Evaluation in a Dynamic Environment: Interleaving

Interleaving is repeated for many trials

Query	User	First Ranker	Winner
buy ipad	Hongyu	$R_2$	$R_1$
deep learning tutorial	Vallari	$R_1$	$R_1$
cat videos	Ye	$R_1$	$R_2$
pittsburgh weather	Arpita	$R_2$	Tie
shoes	Varshini	$R_2$	$R_1$
gifts for mom	Qing	$R_1$	$R_2$
:	:	:	:

Tally results from all trials to declare a winner

$$\Delta(R_1, R_2) = \frac{\text{wins}(R_1) + 0.5 \times \text{ties}(R_1, R_2)}{\text{wins}(R_1) + \text{wins}(R_2) + \text{ties}(R_1, R_2)}$$

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## Evaluation in a Dynamic Environment: Balanced Interleaving

### Balanced Interleaving can behave unexpectedly

- Suppose a user clicks on just one result randomly
- $\frac{3}{4}$  of the outcomes favor  $R_2$

### Why?

- $\frac{3}{4}$  of the documents are ranked higher by  $R_2$  than  $R_1$
- $k$  considers too little information

Rank	Input Ranking		Balanced	
	$R_1$	$R_2$	$R_1$ first	$R_2$ first
1	a	b	a	b
2	b	c	b	a
3	c	d	c	c
4	d	a	d	d

(Chapelle et al, 2012)

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## Evaluation in a Dynamic Environment: Team-Draft Interleaving

**Input:** Rankings  $A = (a_1, a_2, \dots)$  and  $B = (b_1, b_2, \dots)$   
**Init:**  $I \leftarrow ()$ ;  $TeamA \leftarrow \emptyset$ ;  $TeamB \leftarrow \emptyset$ ;  
**while**  $(\exists i : A[i] \notin I) \wedge (\exists j : B[j] \notin I)$  **do** ..... if not at end of A or B  
    **if**  $(|TeamA| < |TeamB|) \vee ((|TeamA| = |TeamB|) \wedge (RandBit() = 1))$  **then**  
         $k \leftarrow \min_i \{i : A[i] \notin I\}$  ..... top result in A not yet in I  
         $I \leftarrow I + A[k]$ ; ..... append it to I  
         $TeamA \leftarrow TeamA \cup \{A[k]\}$  ..... clicks credited to A  
    **else**  
         $k \leftarrow \min_i \{i : B[i] \notin I\}$  ..... top result in B not yet in I  
         $I \leftarrow I + B[k]$  ..... append it to I  
         $TeamB \leftarrow TeamB \cup \{B[k]\}$  ..... clicks credited to B  
    **end if**  
**end while**  
**Output:** Interleaved ranking  $I$ ,  $TeamA$ ,  $TeamB$

- On each round, randomize which method goes first
- When a duplicate document is encountered, skip to the next

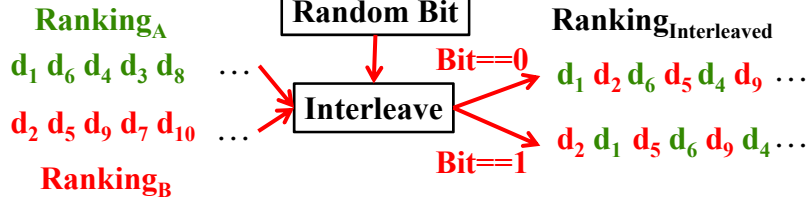
(Chapelle et al, 2012)

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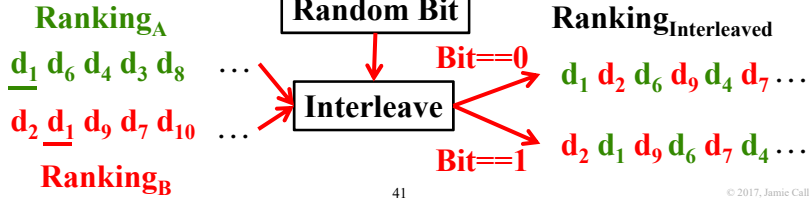
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## Evaluation in a Dynamic Environment: Team Draft Interleaving

### Without duplicates



### With duplicates



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## Evaluation in a Dynamic Environment: Team-Draft Interleaving

### Consider an interleaved ranking $I$ with clicks $C$

- $c_{\max}$ : Rank of the last click (the last document viewed)

### Clicks attributed to each method are

# clicks<sub>a</sub> =  $|c_j : i_{c_j} \in \text{Team}_a|$       clicks on docs from a  
# clicks<sub>b</sub> =  $|c_j : i_{c_j} \in \text{Team}_b|$       clicks on docs from b

### The method that gets the most clicks wins the trial

### Aggregate results for all trials to find the best ranker

$$\Delta(A, B) = \frac{\text{wins}(A) + 0.5 \times \text{ties}(A, B)}{\text{wins}(A) + \text{wins}(B) + \text{ties}(A, B)}$$

(Chapelle et al, 2012)

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<u>I</u>	<u>C</u>
i <sub>1</sub>	
i <sub>2</sub>	c <sub>1</sub>
i <sub>3</sub>	
i <sub>4</sub>	
i <sub>5</sub>	c <sub>2</sub>
i <sub>6</sub>	
i <sub>7</sub>	
i <sub>8</sub>	c <sub>max</sub>
i <sub>9</sub>	
:	

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## Evaluation in a Dynamic Environment: Team-Draft Interleaving

### Team-Draft can behave unexpectedly

- Suppose a query has 3 intents
  - 49% of the users: a is relevant
  - 49% of the users: b is relevant
  - 2% of the users: c is relevant

Rank	Input Ranking		TeamDraft	
	R <sub>1</sub>	R <sub>2</sub>	R <sub>1</sub> First	R <sub>2</sub> First
1	a	b	a	b
2	b	c	b	a
3	:	:	:	c

### R1 satisfies 98% of search intents with the top 2 results

- But, if users click on only the first relevant document, R2 wins 51% of the trials
  - This is an artifact of how duplicates are handled
  - Only the method that suggested the document higher gets credit

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(Chapelle et al, 2012)

## Evaluation in a Dynamic Environment: Search Engines Tested

### ArXiv.org

- 700K academic articles, scientific users, about 70K searches
- Ranking strategies created by degrading a baseline

### Bing

- Team-Draft interleaving was performed on a % of US traffic
- Five pairs of proprietary ranking functions, 220K searches
  - 3 functions with  $\Delta$  MAP and NDCG > 0.5% absolute
  - 2 functions with  $\Delta$  MAP and NDCG < 0.2% absolute
- 12,000 queries were also manually assessed on a 5-point scale

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(Chapelle et al, 2012)

## Evaluation in a Dynamic Environment: Search Engines Tested

### Yahoo

- Balanced interleaving was performed on a % of US traffic
- All pairs of four proprietary ranking functions, about 20M searches
  - The current production method and 3 candidates for next release
  - Two rankers were very similar (variants on a theme)
  - The maximum differences in MAP and NDCG are < 0.65% relative
- 2,000 queries were also manually assessed

(Chapelle et al, 2012)

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## Evaluation in a Dynamic Environment: Data Collected

	Experimental Condition		Number of Searches	Number of Days	First Day
	Type	Function(s)			
Bing	Team-Draft	$\mathcal{B}_B > \mathcal{A}_B$	220,000	4	July 21, 2009
	Team-Draft	$\mathcal{C}_B > \mathcal{A}_B$	190,000	4	Aug 4, 2009
	Team-Draft	$\mathcal{C}_B > \mathcal{B}_B$	220,000	4	Aug 11, 2009
	Team-Draft	$\mathcal{D}_B > \mathcal{C}_B$	220,000	4	July 7, 2009
	Team-Draft	$\mathcal{F}_B > \mathcal{E}_B$	220,000	4	Sept 1, 2009
Yahoo!	Non-Comp	$\mathcal{A}_Y$	73.9 M	33	Mar 17, 2010
	Non-Comp	$\mathcal{B}_Y$	10.4 M	33	Mar 17, 2010
	Non-Comp	$\mathcal{C}_Y$	41.8 M	33	Mar 17, 2010
	Non-Comp	$\mathcal{D}_Y$	72.4 M	33	Mar 17, 2010
	Balanced	$\mathcal{D}_Y > \mathcal{C}_Y$	13.9 M	42	May 12, 2010
	Balanced	$\mathcal{D}_Y > \mathcal{B}_Y$	1.5 M	5	Apr 14, 2010
	Balanced	$\mathcal{D}_Y > \mathcal{A}_Y$	677,000	2	Apr 7, 2010
	Balanced	$\mathcal{C}_Y > \mathcal{B}_Y$	1.5 M	5	Apr 14, 2010
	Balanced	$\mathcal{C}_Y > \mathcal{A}_Y$	680,000	2	Apr 7, 2010
	Balanced	$\mathcal{B}_Y > \mathcal{A}_Y$	1.6 M	5	Apr 9, 2010

(Chapelle et al, 2012)

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## Evaluation in a Dynamic Environment: Does Interleaving Agree With Assessors?

### ArXiv.org

- Varying amounts of manual degradation of current ranker
- Interleaving identifies the better ranker (usually w/ significance)

### Bing & Yahoo

- When assessors find a significant difference, interleaving agrees
- Interleaving may find a difference significant that assessors don't

### Often interleaving can provide statistically significant results where manual assessments cannot

- A “small” number of manually-assessed queries

(Chapelle et al, 2012)

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## Evaluation in a Dynamic Environment: Does Interleaving Agree With Assessors?

### Interleaving identifies the best ranker

... does it also indicate the magnitude of the difference?

#### • Bing

- 0.88 correlation w/ NDCG@5 (Team-Draft)
- 0.69 correlation w/ MAP (Team-Draft)

#### • Yahoo

- 0.70 correlation w/ DCG@5 (Balanced)

### Note that the number of queries affects the error bars

- 12,000 queries for Bing
- 2,000 queries for Yahoo

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## Evaluation in a Dynamic Environment: Metrics

Dynamic environments often use metrics based on user behavior

- **Abandonment rate:** % of queries that receive no clicks
- **Reformulation rate:** % of queries that are reformulated
- **Queries per session:** Session == Information need
- **Clicks per query,**      **Clicks@1**
- **pSAT-clicks:** % of documents with dwell time > 30 seconds
- **pSkip:** % of documents that are skipped
- **Max Reciprocal Rank,**      **Mean Reciprocal Rank**
- **Time to First Click,**      **Time to Last Click**

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## Evaluation in a Dynamic Environment: Does Interleaving Agree With Behavior?

Interleaving does not predict changes in user behavior well

- E.g., Queries per Session, Abandonment Rate, ...
- It predicts Clicks@1, but only with very large numbers of queries
  - The Yahoo experiment

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## Evaluation in a Dynamic Environment: How Many Queries Are Needed?

### To achieve 95% confidence

- **ArXiv.org:** About 200K queries
- **Yahoo:**
  - A few hundred thousand queries for rankers of different quality
  - A few million queries for rankers of similar quality

### Interleaving reaches significance faster than Clicks@1

- 1 hour for interleaving vs. 1 day for Clicks@1

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## Evaluation in a Dynamic Environment

### More sophisticated methods of counting clicks improve the sensitivity and convergence rates for Team-Draft Interleaving

- Not covered due to lack of time
- This is an active research topic

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## Overview of the Evaluation Unit

### Introduction to evaluation

#### The Cranfield methodology

- Overview and introduction
- Test collections
- Metrics

#### Creating test collections

- Cranfield @ TREC and other evaluation forums
- Cranfield @ work

#### Evaluation in a dynamic environment

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## Overview of the Evaluation Unit: Cranfield vs. Interleaved Evaluation

### We focused more on Cranfield than interleaving ... why?

- Cranfield is more established
  - It has been used for years and is well-understood
- Cranfield supports a wide variety of metrics
  - It provides better information about ranking behavior
- Cranfield can be used in most situations
  - Interleaving requires query traffic that you may not have

### However, interleaving is a powerful tool, when you can use it

- Inexpensive, adaptive, sensitive to small differences

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## Overview of the Evaluation Unit: Cranfield vs. Interleaved Evaluation

Use the method that has the properties you need

<u>Property</u>	<u>Cranfield</u>	<u>Interleave</u>
Relevance == satisfying an information need	Y	Y
The assessor has the information need	Usually	Y
Requires human assessors	Y	N
Requires a large amount of query traffic	N	Y
Supports a variety of metrics	Y	Y
Sensitive to small differences among methods	N	Y
Reusable test collections	Optional	N
Dynamic test collections	N	Y
Quickly test new methods	Optional	Y

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## For More Information

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