

Some informal explanation on defining orientation on the moduli space of J -holomorphic disks

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Let $(E, F) \rightarrow (\mathbb{D}, \partial\mathbb{D})$ be a bundle pair, namely, $E \rightarrow \mathbb{D}$ is a complex vector bundle (say of complex dimension n , $n \geq 3$) and $F \rightarrow \partial\mathbb{D}$ is a totally real subbundle of $E|_{\partial\mathbb{D}}$. For simplicity we assume F is oriented. Let $D : \Gamma(E, F) \rightarrow \Gamma(T^{0,1}\mathbb{D} \otimes E)$ be a real Cauchy-Riemann operator on (E, F) .

Question: how to define an orientation on $\det D$?

Fact 1: the space of real Cauchy-Riemann operators on (E, F) is contractible.

Fact 2: can define the determinant line bundle on the space of real Cauchy-Riemann operators on (E, F) .

Therefore, to specify an orientation on the determinant of a given real Cauchy-Riemann operator D , we can first specify an orientation on the determinant of another (arbitrary) real Cauchy-Riemann operator D' , then continuously extend this orientation to the determinant of D . This is what we will do.

The standard way of defining an orientation on $\det D$ is through a step called “pinching”.

The pinching procedure is only for this purpose: reducing the above question to the special case when the Maslov index of (E, F) is 0.

So, what you do is: construct a new bundle pair $(E', F') \rightarrow (\Sigma, \partial\Sigma)$, where Σ is a nodal surface with one node—it is a sphere attached to the center of a disk. Let's call the sphere Σ_s and the disk Σ_d . Let S' be a circle in \mathbb{D} obtained by slightly shifting $\partial\mathbb{D}$ inside. Let τ be a trivialization of F . Then, $(E', F') \rightarrow (\Sigma, \partial\Sigma)$ is obtained by pinching $E|_{S'}$ to $\mathbb{C}^n \rightarrow \text{point}$ using τ . (See Figure 8.1.1 of FOOO.)

I would like to remark that this process only defines a bundle pair; it does not define a real Cauchy-Riemann operator on (E', F') . It is clear from definition that the Maslov index of $(E', F')|_{\Sigma_d}$ is 0. So, this is a process of “putting all the non-trivial Maslov index to the sphere part, and only leave the Maslov index 0 part of the bundle to the disk”.

Remark 0.1. This procedure does not depend on the choice of τ . (This is because we are not yet considering operators—only viewing E' as a complex vector bundle not a holomorphic one.) Up to homotopy, there are two choices of τ , differing by the non-trivial element in $\pi_1(SO(n))$, but since $\pi_1(SO(n)) \rightarrow \pi_1(U(n))$ is trivial, they induce trivializations of $E|_{\partial\mathbb{D}}$ that are homotopic to each other.

The rest of the argument goes as follows:

- Choose a real Cauchy-Riemann operator, D' , on (E', F') . It simply consists of a complex linear Cauchy-Riemann operator D'_s on $E'|_{\Sigma_s} \rightarrow \Sigma_s$ and another real Cauchy-Riemann operator D'_d on $E'|_{\Sigma_d} \rightarrow \Sigma_d$.
- By writing down some simple short exact sequences we can conclude that an orientation on $\det D'_s$, an orientation on $\det D'_d$, and an orientation on $E|_{\text{node}}$ canonically induces in orientation on $\det D'$. Since two of the three above are complex, they have natural complex orientations. So, we have reduced the problem of orienting $\det D'$ to orienting $\det D'_d$. This is why we say that the purpose of the pinching process is to reduce the problem to the Maslov index 0 case.
- On the other hand, the gluing procedure gives
 - a family of Riemann surfaces over $[0, 1]$ (denote by $\tilde{\Sigma} \rightarrow [0, 1]$), which are all disks over $(0, 1]$ and degenerate to Σ over 0;
 - a bundle pair $(\tilde{E}, \tilde{F}) \rightarrow (\tilde{\Sigma}, \partial\tilde{\Sigma})$ that restricts to (E, F) over 1 and (E', F') over 0;
 - a family of real Cauchy-Riemann operators $\{\tilde{D}_t\}_{t \in [0, 1]}$, where \tilde{D}_t is on $(\tilde{E}, \tilde{F})|_t$.
- Suppose $\det D'$ is oriented (by the second bullet above, we only need to orient $\det D'_d$ for this to happen), then, by taking a path γ' from D' to \tilde{D}_0 in the space of real Cauchy-Riemann operators over (E', F') , and another path γ from D to \tilde{D}_1 in the space of real Cauchy-Riemann operators over (E, F) , we can obtain an orientation on $\det D$ by continually extending the orientation on $\det D'$ first through γ' , then through the family \tilde{D}_t above, then through γ .

To complete the above argument, it only remains to

- construct a real Cauchy-Riemann operator D' on (E', F') , consisting of a complex Cauchy-Riemann operator D'_s on $E'|_{\Sigma_s} \rightarrow \Sigma_s$ and a real Cauchy-Riemann operator D'_d on $E'|_{\Sigma_d} \rightarrow \Sigma_d$;
- orient $\det D'_d$.

We don't really care about D'_s —just choose an arbitrary one. We do care about D'_d . **This is where the Spin-structure comes in.** Again, suppose τ is a trivialization of F' (which is the same as F). Then τ induces a trivialization of $(E'|_{\Sigma_d}, F') \rightarrow \Sigma_d$:

$$\begin{array}{ccc}
 (E'|_{\Sigma_d}, F') & \xrightarrow{\approx} & (\mathbb{C}^n \times \Sigma_d, \mathbb{R}^n \times S^1) \\
 & \searrow & \swarrow \\
 & (\Sigma_d, S^1) &
 \end{array}$$

The standard $\bar{\partial}$ -operator on $\mathbb{C}^n \times \Sigma_d \rightarrow \Sigma_d$ thus gives a real Cauchy-Riemann operator on $(E', F')|_{\Sigma_d}$. This is our D'_d . It depends on τ . Moreover, we have a canonical identification $\ker D'_d \approx F'|_{\text{pt}} \approx \mathbb{R}^n$. This orients $\ker D'_d$ and thus $\det D'_d$ (since $\bar{\partial}$ is surjective). **This orientation of $\det D'_d$ depends on τ . This is how the Spin-structure gets used.**

Remark 0.2. You might get confused because we mentioned above that the choice of the real Cauchy-Riemann operator doesn't matter to the orientation, yet here the only place difference choices of τ matter is that they determine different D'_d . The situation here is the following: say the two different choices of τ yield two different operators $D'_{d,a}$ and $D'_{d,b}$. What we meant by “the operator doesn't matter” before is that, if we choose a path (in the space of real Cauchy-Riemann operators) between $D'_{d,a}$ and $D'_{d,b}$, then it induces an identification between the orientations of $\det D'_{d,a}$ and $\det D'_{d,b}$ and this identification doesn't depend on the choice of the path. Let's call this identification id_{path} . However, the way we defined an orientation on $\det D'_{d,a}$ as well as $\det D'_{d,b}$ is completely different, not involving a continuation in the space of all real Cauchy-Riemann operators at all. And, actually, the orientations thus defined on $\det D'_{d,a}$ and $\det D'_{d,b}$ disagree with id_{path} (Proposition 8.1.7 in FOOO).

This completes the construction of an orientation on $\det D$, given a trivialization of F . It remains to check that it is well-defined—independent of various choices made along the way—and this can be done using Fact 1 and 2. (Leave as exercise.)

Similarly, one can check that in the setting of J -holomorphic curves in a symplectic manifold with Lagrangian boundary, if the trivialization of $F = u^*TL$ comes from a Spin-structure on L , then the above definition varies continuously as u varies in \mathcal{M} . (Leave as exercise.)