

The derivation of the Lindblad equation for the electron-phonon scattering

I. LINDBLAD DYNAMICS

According to Eq. 4 and 5 of PHYSICAL REVIEW B 90, 125140 (2014) or Eq. 3.20 and 3.21 of Rosati's thesis, the scattering term has the form

$$\frac{d\hat{\rho}}{dt} = \hat{A}\hat{\rho}\hat{A}^\dagger - \frac{1}{2} \left\{ \hat{A}^\dagger \hat{A}, \hat{\rho} \right\},$$

where

$$\hat{A} = \sqrt{\frac{1}{\sqrt{2\pi t}}} \int dt' e^{-\frac{\hat{H}_0 t'}{i\hbar}} \hat{H} e^{\frac{\hat{H}_0 t'}{i\hbar}} e^{-\frac{1}{4} \left(\frac{t'}{t} \right)^2}. \quad (1)$$

Therefore,

$$\begin{aligned} \frac{d\rho_{12}}{dt} &= \text{Tr} \left(\hat{c}_2^\dagger \hat{c}_1 \frac{d\hat{\rho}}{dt} \right) \\ &= \frac{1}{2} \text{Tr} \left(\hat{c}_2^\dagger \hat{c}_1 \hat{A} \hat{\rho} \hat{A}^\dagger + \hat{A} \hat{\rho} \hat{A}^\dagger \hat{c}_2^\dagger \hat{c}_1 - \hat{c}_2^\dagger \hat{c}_1 \hat{A}^\dagger \hat{A} \hat{\rho} - \hat{\rho} \hat{A}^\dagger \hat{A} \hat{c}_2^\dagger \hat{c}_1 \right) \\ &= \frac{1}{2} \text{Tr} \left(\left[\hat{A}^\dagger, \hat{c}_2^\dagger \hat{c}_1 \right] \hat{A} \hat{\rho} + \hat{\rho} \hat{A}^\dagger \left[\hat{c}_2^\dagger \hat{c}_1, \hat{A} \right] \right) \\ &= \frac{1}{2} \text{Tr} \left(\left[\hat{A}^\dagger, \hat{c}_2^\dagger \hat{c}_1 \right] \hat{A} \hat{\rho} \right) + H.C.. \end{aligned} \quad (2)$$

II. ELECTRON-PHONON

The electron-phonon Hamiltonian reads (see Eq. 4 in PHYSICAL REVIEW B 92, 235423 (2015))

$$\hat{H} = \sum_{12q\lambda} \left(g_{12}^{q\lambda+} \hat{c}_1^\dagger \hat{c}_2 \hat{b}_q^\dagger + g_{12}^{q\lambda-} \hat{c}_1^\dagger \hat{c}_2 \hat{b}_q \right).$$

Insert it in 1,

$$\begin{aligned} \hat{A} &= \sum_{q\lambda} \left(\hat{G}^{q\lambda+} \hat{b}_{q\lambda}^\dagger + \hat{G}^{q\lambda-} \hat{b}_{q\lambda} \right), \\ \hat{G}^{q\lambda\pm} &= \sum_{12} G_{12}^{q\lambda\pm} \hat{c}_1^\dagger \hat{c}_2, \\ G_{12}^{q\lambda\pm} &= \sqrt{\frac{1}{\sqrt{2\pi t}}} \int dt' e^{-\frac{(\epsilon_1 - \epsilon_2 \pm \omega_{q\lambda}) t'}{i\hbar}} g_{12}^{q\lambda\pm} e^{-\frac{1}{4} \left(\frac{t'}{t} \right)^2} \\ &= \sqrt{\frac{2\pi}{\hbar}} g_{12}^{q\lambda\pm} \delta^{G,1/2} (\epsilon_1 - \epsilon_2 \pm \omega_{q\lambda}). \end{aligned} \quad (3)$$

Since $\hat{G}^{q\lambda\pm,\dagger} = \sum_{34} G_{34}^{q\lambda\mp} \hat{c}_3^\dagger \hat{c}_4$,

$$\left[\hat{G}^{q\lambda\pm,\dagger}, \hat{c}_2^\dagger \hat{c}_1 \right] = \sum_3 \left(G_{32}^{q\lambda\mp} \hat{c}_3^\dagger \hat{c}_1 - G_{13}^{q\lambda\mp} \hat{c}_2^\dagger \hat{c}_3 \right). \quad (4)$$

(The above equation is the same as Eq. A1 in PHYSICAL REVIEW B 90, 125140 (2014).)

To derive density matrix master equation of carriers, we assume $\hat{\rho} = \hat{\rho}^S \otimes \hat{\rho}^B$, where $\hat{\rho}^S$ is density matrix operator of carriers and $\hat{\rho}^B$ is that of phonons. For phonons, there are relations

$$\begin{aligned}\text{Tr}_B \left(\hat{b}_{q\lambda} \hat{b}_{q'\lambda'} \hat{\rho}^B \right) &= \text{Tr}_B \left(\hat{b}_{q\lambda}^\dagger \hat{b}_{q'\lambda'}^\dagger \hat{\rho}^B \right) = 0, \\ Tr_B \left(\hat{b}_{q\lambda} \hat{b}_{q'\lambda'}^\dagger \hat{\rho}^B \right) &= \delta_{q,q'} \delta_{\lambda,\lambda'} n_{q\lambda}, \\ Tr_B \left(\hat{b}_{q\lambda}^\dagger \hat{b}_{q'\lambda'} \hat{\rho}^B \right) &= \delta_{q,q'} \delta_{\lambda,\lambda'} (n_{q\lambda} + 1),\end{aligned}$$

Using the above relations, insert Eq. 3 and 4 into Eq. 2 and trace out phonon degrees of freedom,

$$\begin{aligned}\frac{d\rho_{12}}{dt} &= \frac{1}{2} \text{Tr} \text{Tr}_B \left(\sum_{q\lambda} \left(\left[\hat{G}^{q\lambda+, \dagger}, \hat{c}_2^\dagger \hat{c}_1 \right] \hat{b}_{q\lambda} + \left[\hat{G}^{q\lambda-, \dagger}, \hat{c}_2^\dagger \hat{c}_1 \right] \hat{b}_{q\lambda}^\dagger \right) \right. \\ &\quad \left. \times \sum_{q'\lambda'} \left(\hat{G}^{q\lambda+} \hat{b}_{q'\lambda'}^\dagger + \hat{G}^{q\lambda-} \hat{b}_{q'\lambda'} \right) \hat{\rho}^S \otimes \hat{\rho}^B \right) + H.C. \\ &= \frac{1}{2} \text{Tr} \sum_{q\lambda} \left(\left[\hat{G}^{q\lambda+, \dagger}, \hat{c}_2^\dagger \hat{c}_1 \right] \hat{G}^{q\lambda+} \hat{\rho}^S \text{Tr}_B \left(\hat{b}_{q\lambda} \hat{b}_{q\lambda}^\dagger \hat{\rho}^B \right) \right. \\ &\quad \left. + \left[\hat{G}^{q\lambda-, \dagger}, \hat{c}_2^\dagger \hat{c}_1 \right] \hat{G}^{q\lambda-} \hat{\rho}^S \text{Tr}_B \left(\hat{b}_{q\lambda}^\dagger \hat{b}_{q\lambda} \hat{\rho}^B \right) \right) + H.C. \\ &= \frac{1}{2} \text{Tr} \sum_{q\lambda\pm} \left[\hat{G}^{q\lambda\pm, \dagger}, \hat{c}_2^\dagger \hat{c}_1 \right] \hat{G}^{q\lambda\pm} \hat{\rho}^S n_{q\lambda}^\pm + H.C. \\ &= \frac{1}{2} \text{Tr} \sum_{345q\lambda\pm} \left(G_{32}^{q\lambda\mp} \hat{c}_3^\dagger \hat{c}_1 - G_{13}^{q\lambda\mp} \hat{c}_2^\dagger \hat{c}_3 \right) G_{45}^{q\lambda\pm} \hat{c}_4^\dagger \hat{c}_5 \hat{\rho}^S n_{q\lambda}^\pm + H.C. \\ &= \frac{1}{2} \sum_{345q\lambda\pm} \left(G_{32}^{q\lambda\mp} h_{3145} - G_{13}^{q\lambda\mp} h_{2345} \right) G_{45}^{q\lambda\pm} n_{q\lambda}^\pm + H.C., \\ h_{1234} &= \text{Tr} \left(\hat{c}_1^\dagger \hat{c}_2 \hat{c}_3^\dagger \hat{c}_4 \hat{\rho}^S \right).\end{aligned}$$

To close the equation, the mean-field approximation is introduced for h ,

$$\begin{aligned}h_{1234} &\approx \text{Tr} \left(\hat{\rho}^S \hat{c}_2 \hat{c}_3^\dagger \right) \text{Tr} \left(\hat{\rho}^S \hat{c}_1^\dagger \hat{c}_4 \right) \\ &= \text{Tr} \left(\hat{\rho}^S \left(\delta_{23} - \hat{c}_3^\dagger \hat{c}_2 \right) \right) \text{Tr} \left(\hat{\rho}^S \hat{c}_1^\dagger \hat{c}_4 \right) \\ &= (I - \rho)_{23} \rho_{41}.\end{aligned}$$

Therefore,

$$\begin{aligned}\frac{d\rho_{12}}{dt} &= \frac{1}{2} \sum_{345q\lambda\pm} \left((I - \rho)_{14} G_{45}^{q\lambda\pm} \rho_{53} G_{32}^{q\lambda\mp} - G_{13}^{q\lambda\mp} (I - \rho)_{34} G_{45}^{q\lambda\pm} \rho_{52} \right) n_{q\lambda}^\pm + H.C., \\ n_{q\lambda}^\pm &= n_{q\lambda} + 0.5 \pm 0.5.\end{aligned}$$

Define

$$\begin{aligned}P_{1234} &= \sum_{q\lambda\pm} A_{13}^{q\lambda\pm} A_{24}^{q\lambda\pm,*}, \\ A_{13}^{q\lambda\pm} &= G_{13}^{q\lambda\pm} \sqrt{n_{q\lambda}^\pm}.\end{aligned}$$

We have

$$\frac{d\rho_{12}}{dt} = \frac{1}{2} \sum_{345} \left((I - \rho)_{14} P_{4253} \rho_{53} - (I - \rho)_{34} P_{3415}^* \rho_{52} \right) + H.C..$$

Indeed this is the same as Eq. 6 in PHYSICAL REVIEW B 92, 235423 (2015).