

## FwdLLM: Efficient Federated Finetuning of Large Language Models with Perturbed Inferences

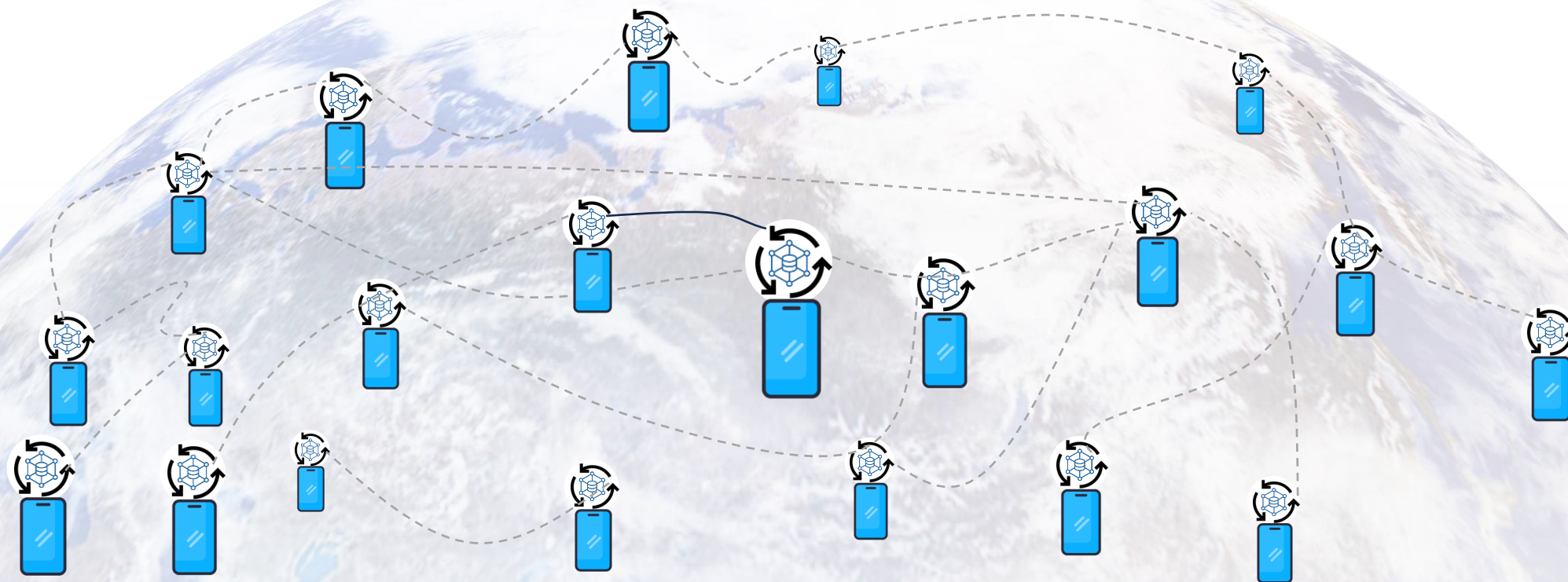
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# Background: Federated LLM (FedLLM)

[Reference: FlowerLLM, Flower Ltd.]



(1) Democratizing LLMs

(2) Stronger LLMs

# Motivation: FedLLM unique challenge

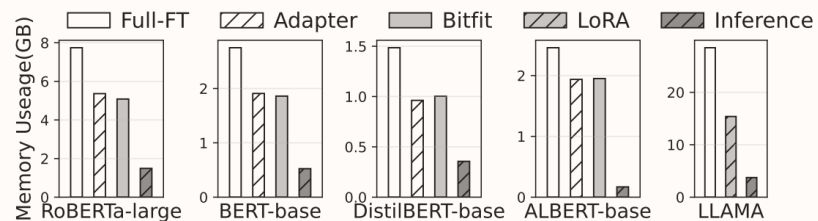


Figure 1: Peak memory footprint of different training methods and inference. Batch size: 8.

- Huge **memory** footprint

# Motivation: FedLLM unique challenge

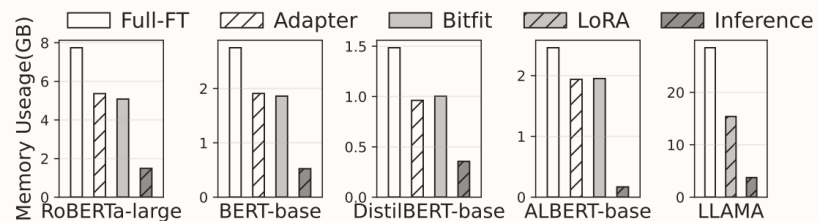


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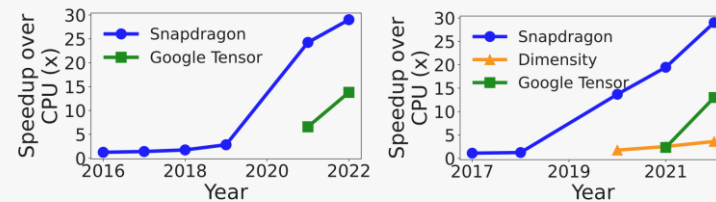


Figure 2: Performance evolvement of mobile NPU. Numbers are from AI Benchmark [2].

- Huge **memory** footprint
- Incompatible with mobile **accelerators**

# Motivation: FedLLM unique challenge

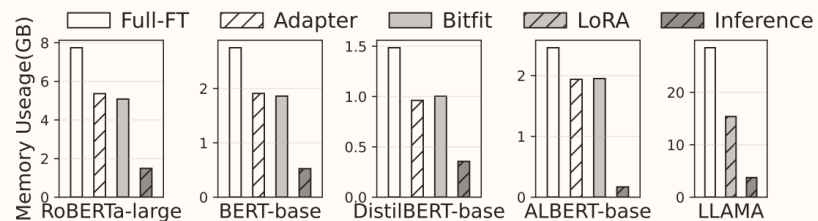


Figure 1: Peak memory footprint of different training methods and inference. Batch size: 8.

- Huge **memory** footprint
- Incompatible with mobile **accelerators**
- Limited device **scalability**

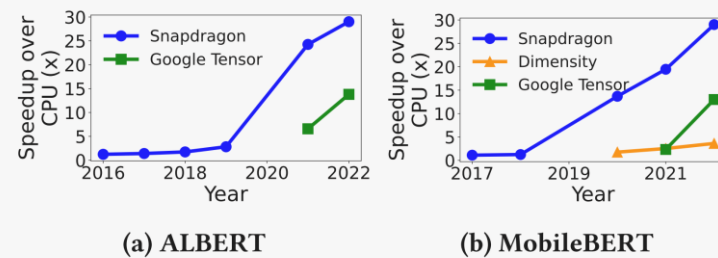


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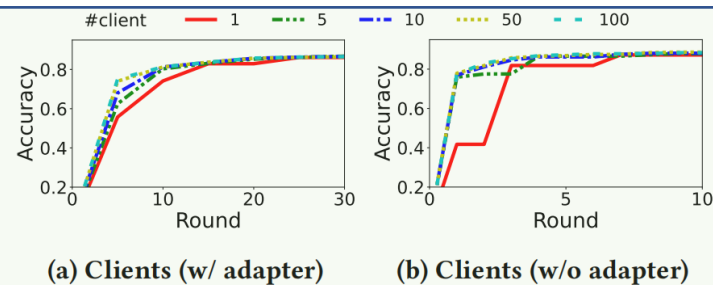


Figure 3: Backpropagation-based FL has low device scalability.

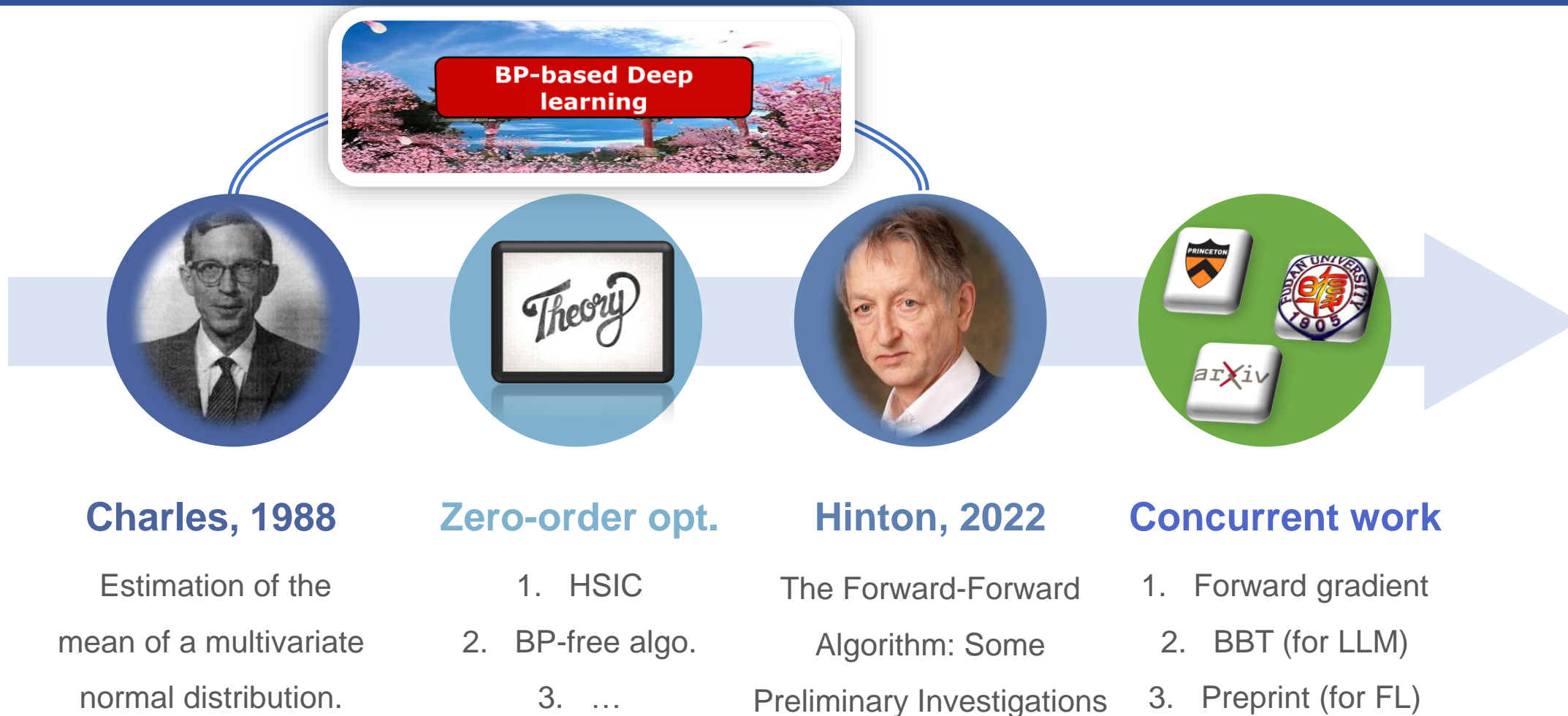
# Root: Backpropagation (BP)

**They can all be attributed to BP-based gradient computing.**

Algorithms	Trainable Parameters	Memory Footprint (GB)			
		Weights	Activations	Gradients	Total
FT-full	354.3M (100%)	1.3	5.1	1.3	7.7
FT-adapter	3.2M (9.0%)	1.3	3.9	0.02	5.2
FT-bitfit	0.3M (0.8%)	1.3	3.8	0.009	5.1
FT-lora	0.8M (2.2%)	1.3	3.8	0.01	5.1
Inference	/	1.3	0.2	0	1.5

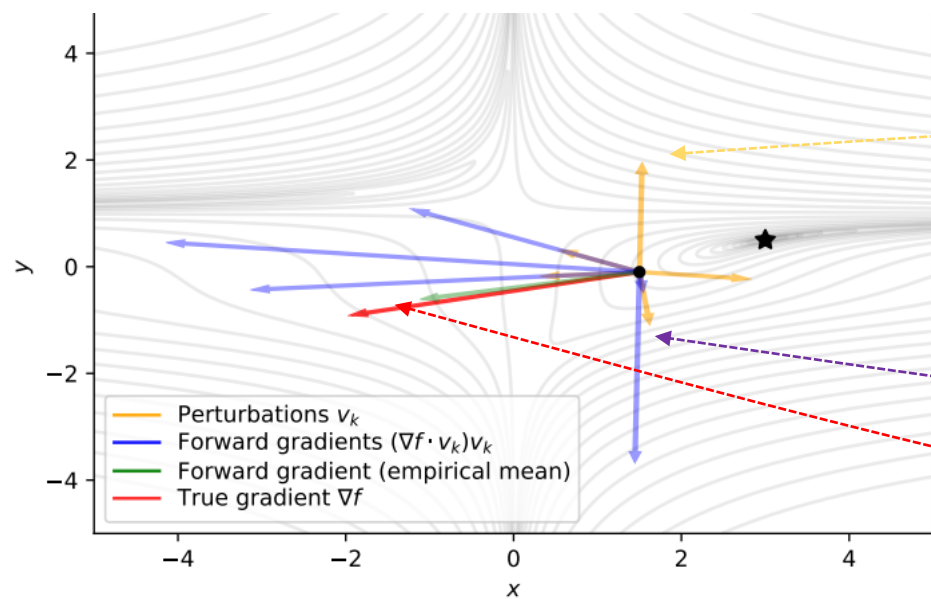
**Alternatives: BP-free Training**

# Backpropagation-Free Training





# Design: Forward Gradient



Baydin A G, Pearlmutter B A, Syme D, et al.  
Gradients without backpropagation

**Perturbations**

$$\nabla_v f(\theta) = \lim_{h \rightarrow 0} \frac{f(\theta + h \cdot v) - f(\theta)}{h},$$

$$g_v(\theta) := \boxed{\nabla_v f(\theta)} v = (\boxed{\nabla f(\theta)} \cdot v) v,$$

**Forward gradients**

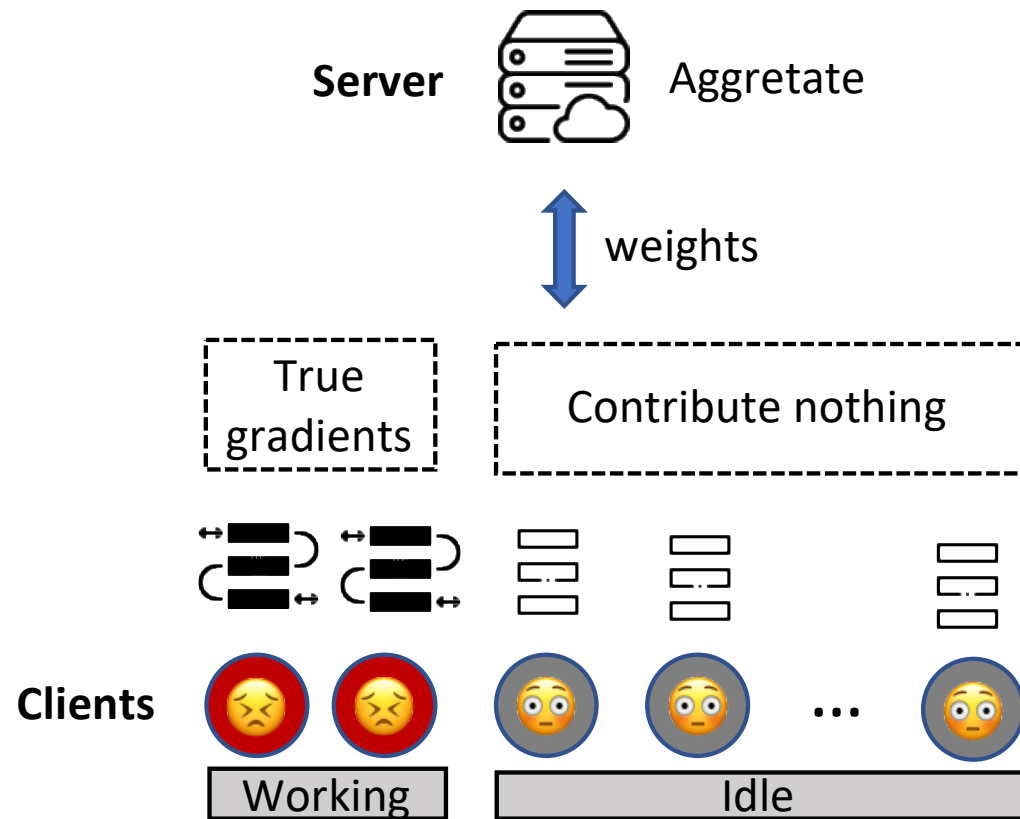
**True (BP-based) gradients**

$$\nabla f(\theta) = \left[ \frac{\partial f}{\partial \theta_1}, \dots, \frac{\partial f}{\partial \theta_n} \right]^\top.$$

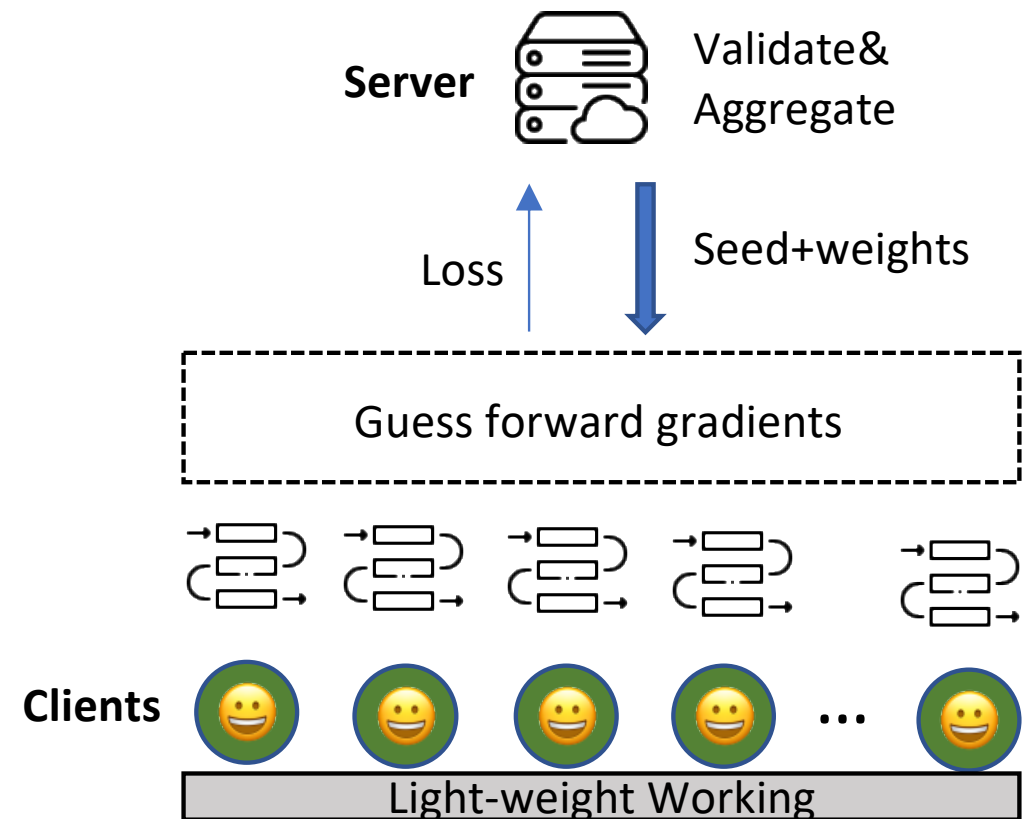
- Forward gradient: unbiased estimation of BP-based gradient



# Design: System Overview

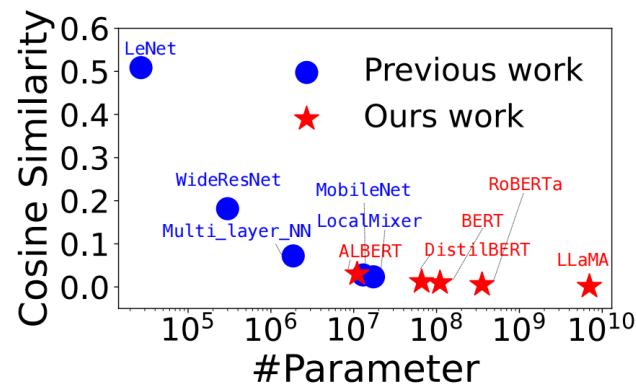


(a). Classic Backward-FL



(b). Our Forward-FL

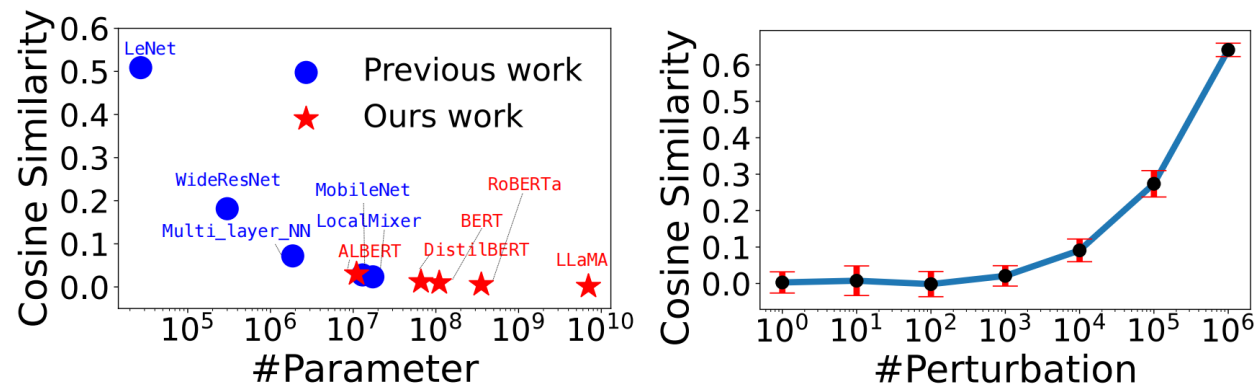
# Design #1: Parameter-efficient BP-Free



(a) Effect of model size.

- Previous BP-Free Literatures only apply to tiny models.

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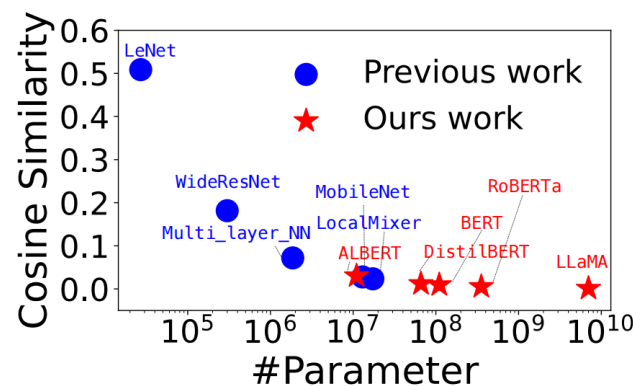


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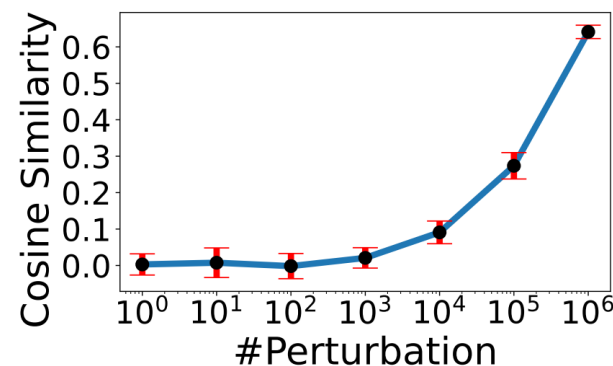
(b) Effect of perturbation.

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- Reason: Number of perturbations are huge.

# Design #1: Parameter-efficient BP-Free



(a) Effect of model size.



(b) Effect of perturbation.

Number of perturbations

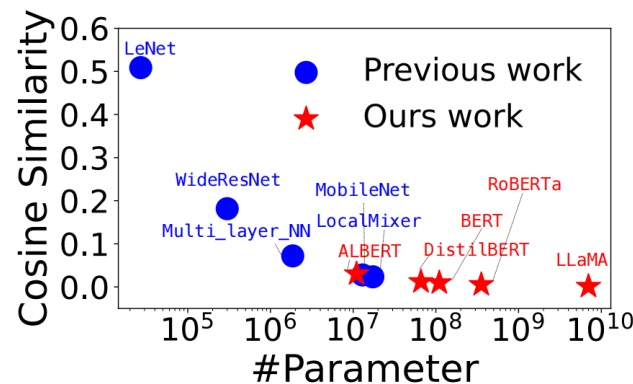
Hessian rank of Loss

Model size

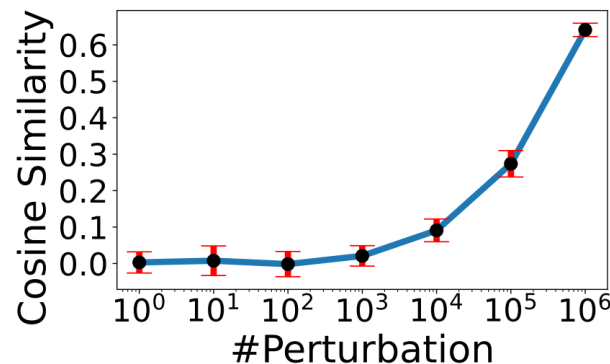


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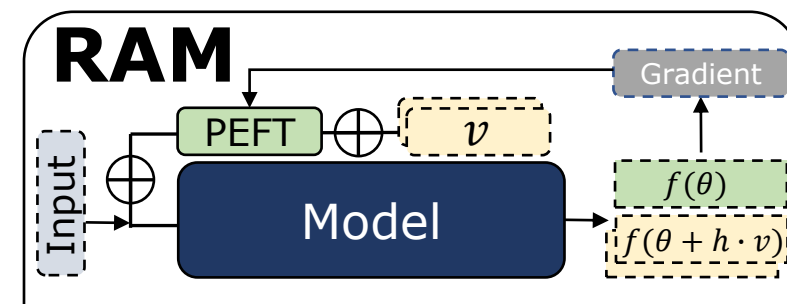
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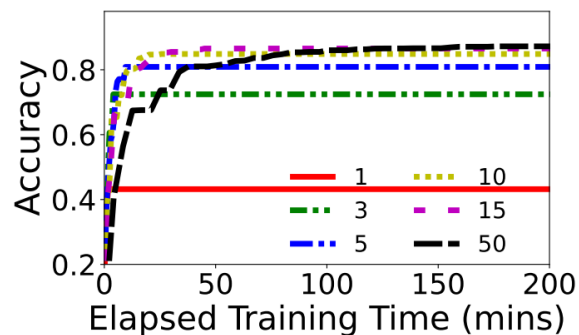


(b) Effect of perturbation.



- Previous BP-Free Literatures only apply to tiny models.
- Reason: Number of perturbations are huge.

# Design #2: Client Workloads Adaptation



**Figure 7: Optimal Global-PS varies across training.**

- How many perturbations?

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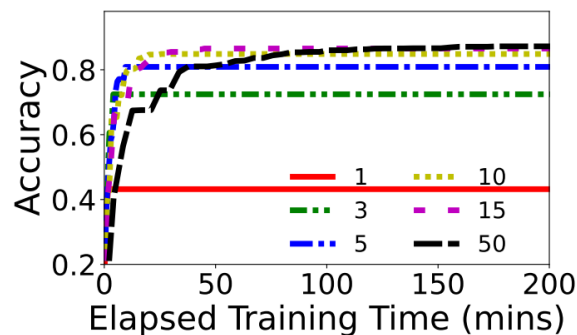


Figure 7: Optimal Global-PS varies across training.

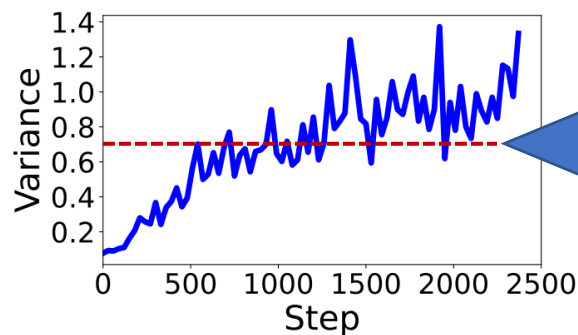
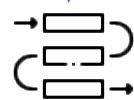


Figure 8: Gradient Variance through training.

Validate?

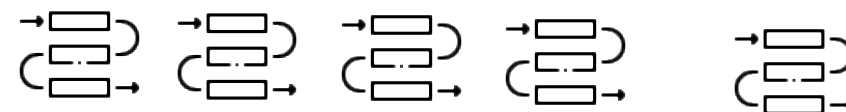
no



More

perturbation

Guess forward gradients

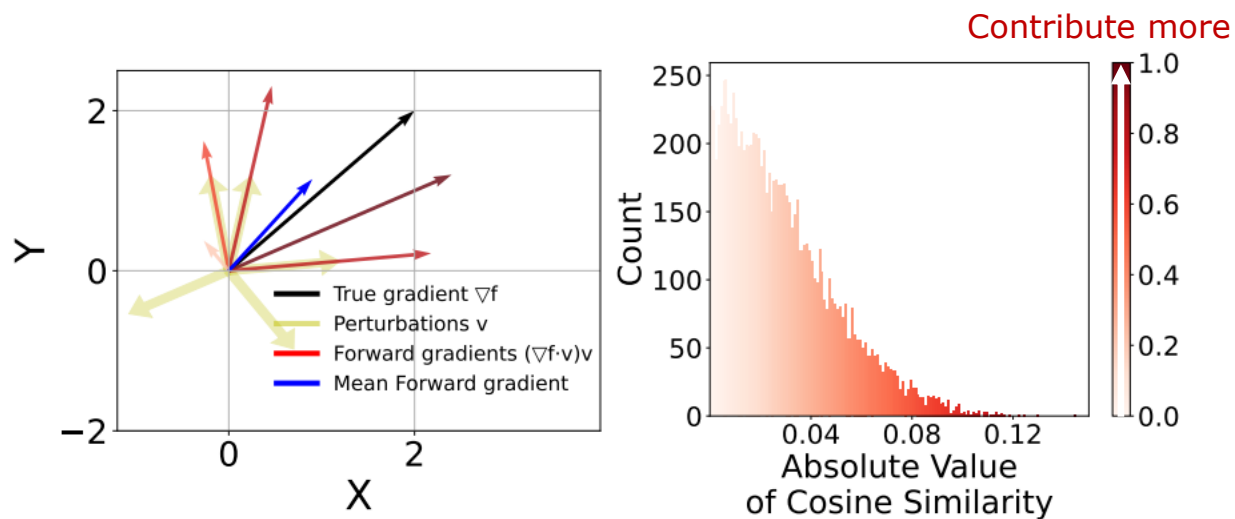


Light-weight Working

- How many perturbations?
- We decide on the gradient variance.



# Design #3: Discriminative sampler

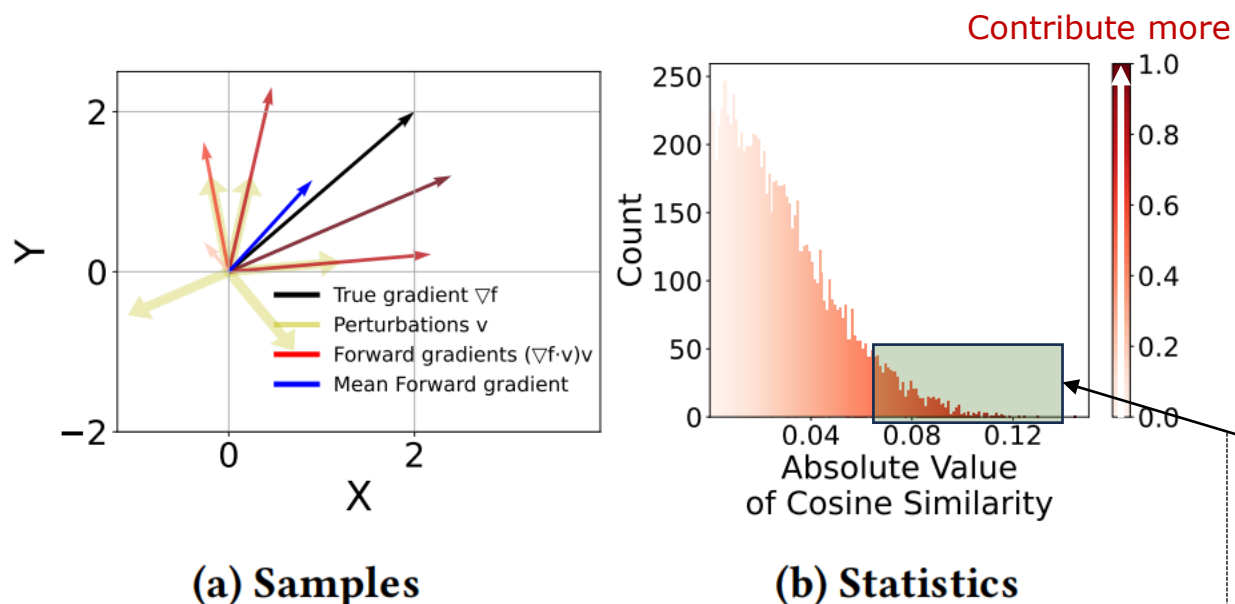


(a) Samples

(b) Statistics

- Over 60% of computed forward gradients contribute to less than 30% final aggregated gradient.

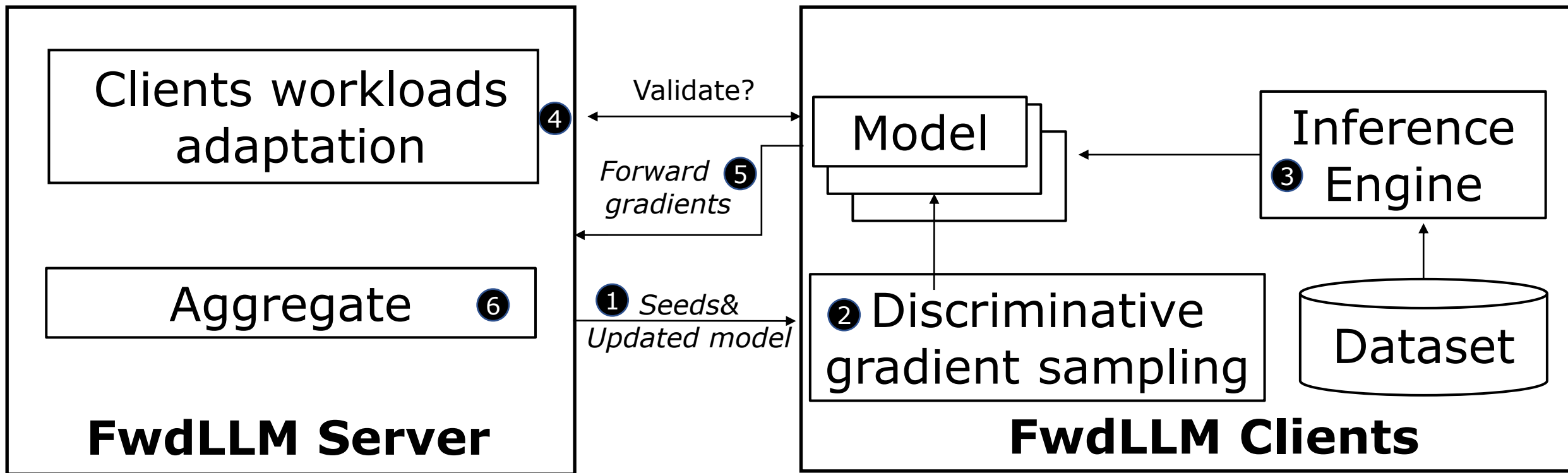
# Design #3: Discriminative sampler



- Over 60% of computed forward gradients contribute to less than 30% final aggregated gradient.
- We propose to filter out those more valuable perturbations.

Forward gradient (n-1)

# Design: Holistic Workflow



# Evaluation: Setup

- Model:

Models	Arch.	Params.	PEFT	Infer. Libs
ALBERT-base [46]	Encoder-only	12M	BitFit	TFLite [5]
DistilBERT-base [77]	Encoder-only	66M	Adapter	TFLite [5]
BERT-base [27]	Encoder-only	110M	Bitfit	TFLite [5]
RoBERTa-large [63]	Encoder-only	340M	Bitfit	TFLite [5]
LLaMA [85]	Decoder-only	7B	LoRA	llama.cpp [6]

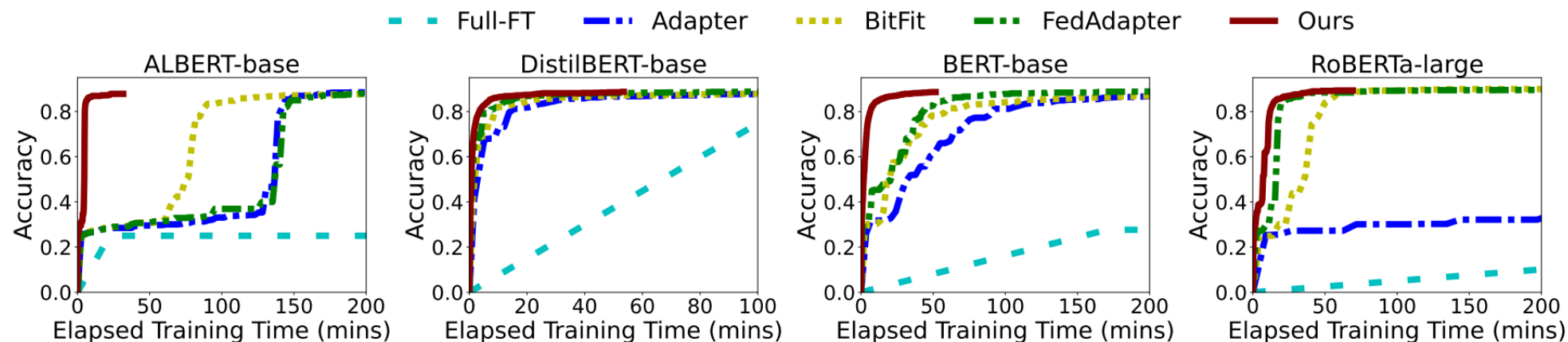
- Dataset:

- Discriminative (YAHOO, AGNEWS, YELP-P)
- Generative (SQUAD)

- Baselines:

- Vanilla Backpropagation-based Federated LLM Fine-tuning (Full-FT)
- Parameter-efficient FedLLM Fine-tuning (Adapter, BitFit, LoRA)
- Optimized Parameter-efficient FedLLM Fine-tuning (FedAdapter)

# Evaluation: End-to-end Performance

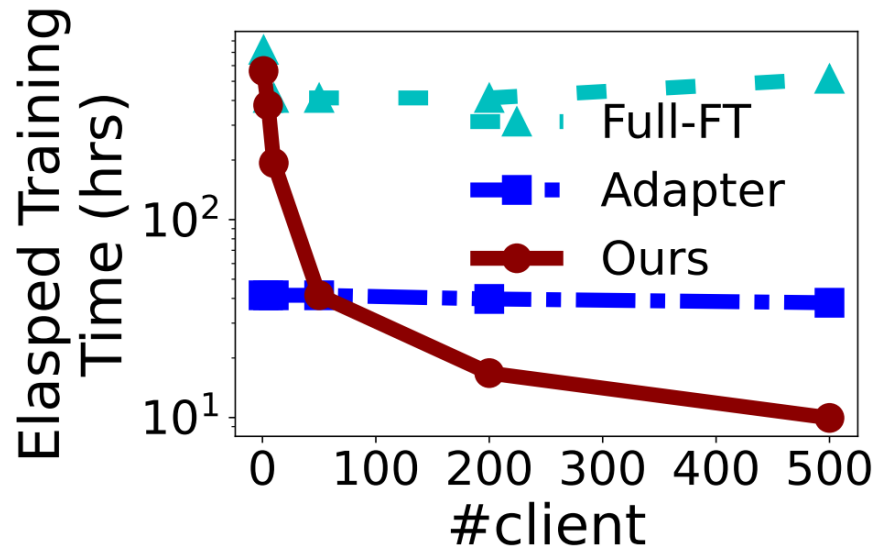


FwdLLM achieves **significant** improvements with mobile **NPU**. (**up to 132x**)

Convergence Time (mins)	ALBERT-base			DistilBERT-base			BERT-base			RoBERTa-large		
	AGNEWS	YAHOO	YELP-P	AGNEWS	YAHOO	YELP-P	AGNEWS	YAHOO	YELP-P	AGNEWS	YAHOO	YELP-P
Full-FT	4598.3	1076.0	5871.3	721.0	651.4	892.7	1535.2	1090.9	2217.4	3833.6	Err	Err
Adapter	168.3	509.9	948.3	84.7	115.3	119.6	250.1	311.8	370.8	860.0	132.7	1319.3
Adapter (FedAvg)	1325.6	2147.9	1119.6	136.9	485.7	141.2	595.2	1718.6	704.6	298.1	1067.0	410.4
Bitfit	174.8	350.5	367.0	76.4	134.8	116.7	272.8	366.3	307.2	58.9	131.4	196.3
FedAdapter	187.8	303.1	293.2	29.5	59.9	52.5	89.5	176.2	212.7	27.0	45.9	123.1
Ours (CPU)	227.1	315.9	271.6	61.5	110.5	92.2	200.7	462.7	242.8	194.3	277.3	95.3
Ours (GPU)	53.2	73.0	63.5	28.1	32.5	42.0	31.1	57.5	37.5	49.1	60.4	24.1
Ours (NPU)	22.7	30.4	27.0	21.9	18.1	32.7	27.6	49.0	33.2	28.9	30.1	14.1

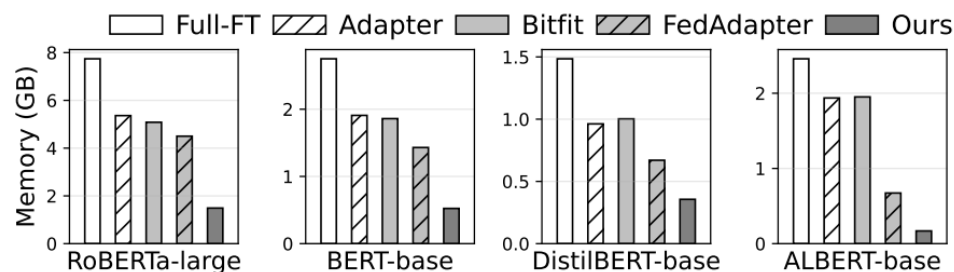
FwdLLM is **versatile** across different processors and hardware boards. (**GPU: 92x**; **CPU: 21x**)

# Evaluation: Different Client Number

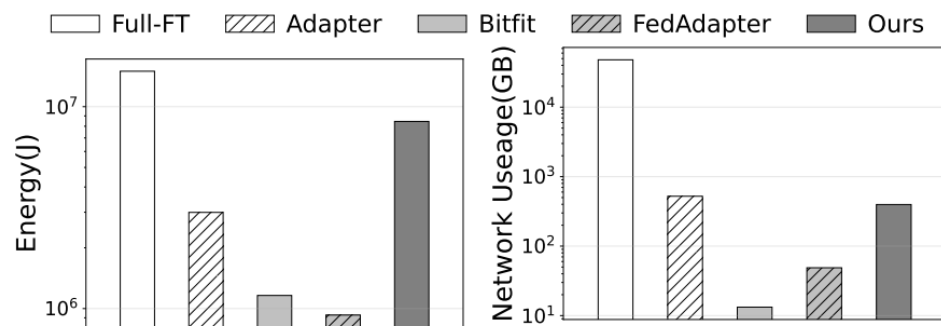


- **50 clients** are enough to surpass BP-based methods.
- **More clients** increase the convergence speed continuously.

# Evaluation: System Cost



(a) Peak memory footprint



(b) Total energy cost

(c) Total network cost

- Up to 93% **memory** reduction
  - Higher **energy** cost than PEFT
- (100 times more client involved)



# Evaluation: Extended to LLaMA

## Instruction input :

### ### Context:

Bethencourt took the title of King of the Canary Islands, as vassal to Henry III of Castile. In 1418, Jean's nephew Maciot de Bethencourt sold the rights to the islands to Enrique Pérez de Guzmán, 2nd Count de Niebla.

### ### Question:

Who sold the rights?

### ### Answer:

**Llama-7B-original:** Jean de Bethencourt sold the rights to the islands to Enrique Pérez de Guzmán, 2nd Count de Niebla.

**Llama-7B-tuned(backward):** Maciot de Bethencourt

**Llama-7B-tuned(forward):** Jean's nephew Maciot de Bethencourt

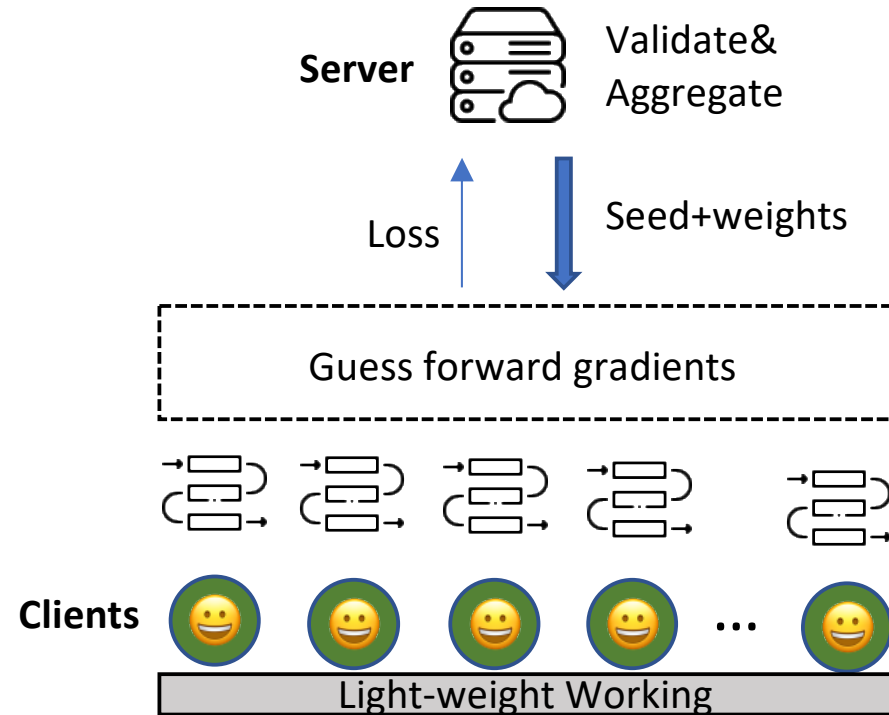
**Ground Ture:** Maciot de Bethencourt

- First implemented **billion-sized FedLLM** fine-tuning on **mobile phones** (CPU).
- Similar performance to BP-based baselines.
- **(Vision)** with NPU, FwdLLM converges with the same speed as central training.

Methods	Mem. (GB)	Centralized Training (A100)			Federated Learning		
		Acc.	Round	Time	Acc.	Round	Time
BP, FP16	39.2	89.7	500	0.1 hrs	N/A due to memory inefficiency on Pixel 7 Pro (8GB)		
BP, INT8	32.4	88.6	500	0.06 hrs			
BP, INT4	28.5	87.8	500	0.04 hrs			
Ours, FP16	15.6	87.0	240	1.5 hrs			
Ours, INT8	7.9	86.9	260	0.8 hrs			
Ours (CPU), INT4	4.0	85.8	130	0.25 hrs	85.8	130	0.19 hrs
Ours (NPU*), INT4							0.07 hrs

# Conclusion

- FedLLM
- **FwdLLM**: the First Forward-only FedLLM
  - Memory Efficient
  - NPU Friendly
  - High Scalability
- Beyond LLaMA-7B
  - More Models?
  - Mobile Applications?



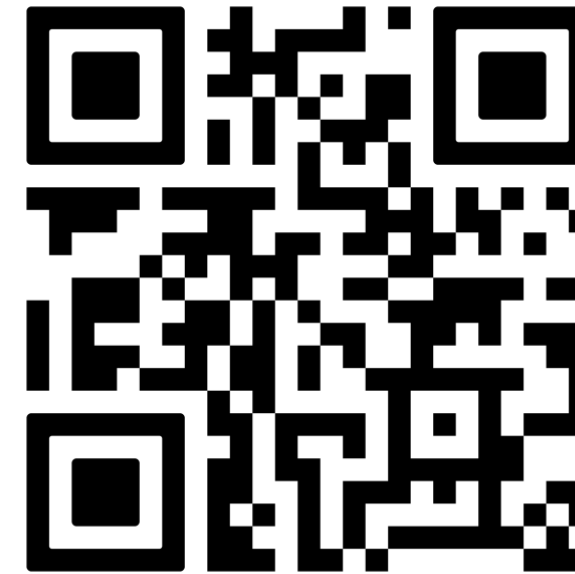
# Thanks for your listening!



m11m



m11m-NPU



Contact: Dongqi Cai (cdq@bupt.edu.cn)