



# Privacy as a Resource in Differentially Private Federated Learning

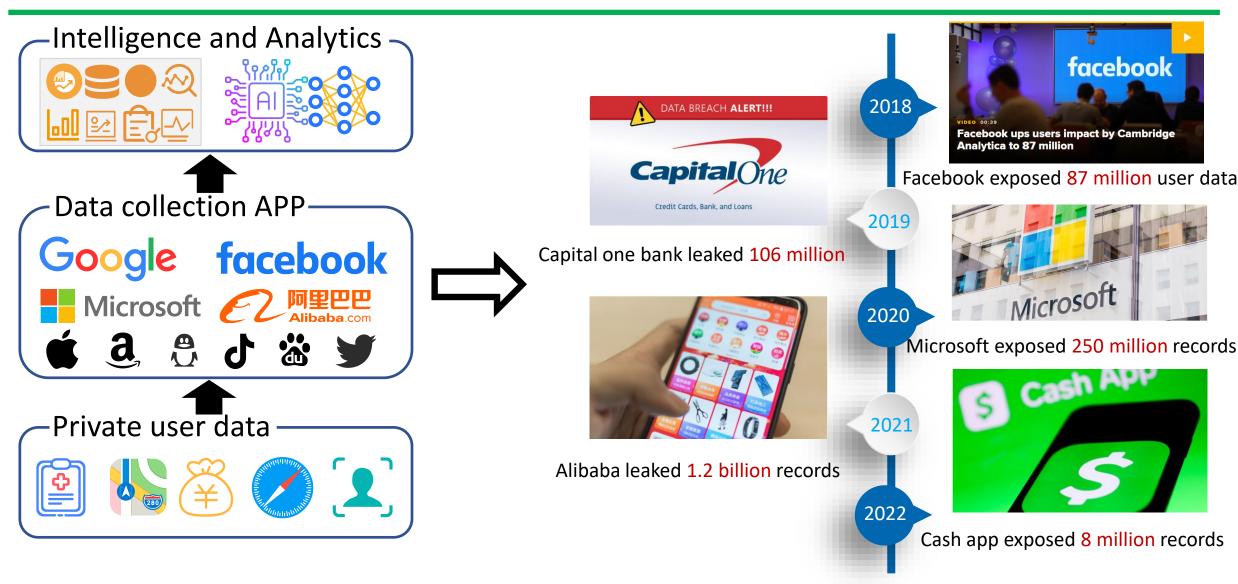
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## Privacy as a Resource in Differentially Private Federated Learning

- Motivation
- Design
- > Evaluation

## Privacy Leakage in Data-driven Mobile Applications



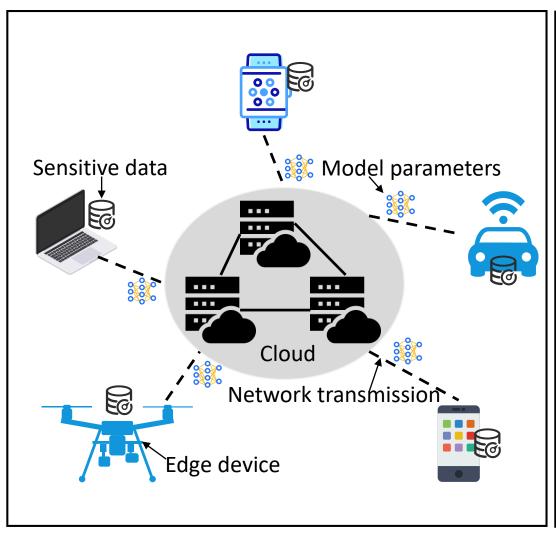
Centralized data intelligence system

Serious privacy leakage on user sensitive data

#### **Federated Learning to Enhance Data Privacy**

#### Cross-device Federated Learning System

Cons???

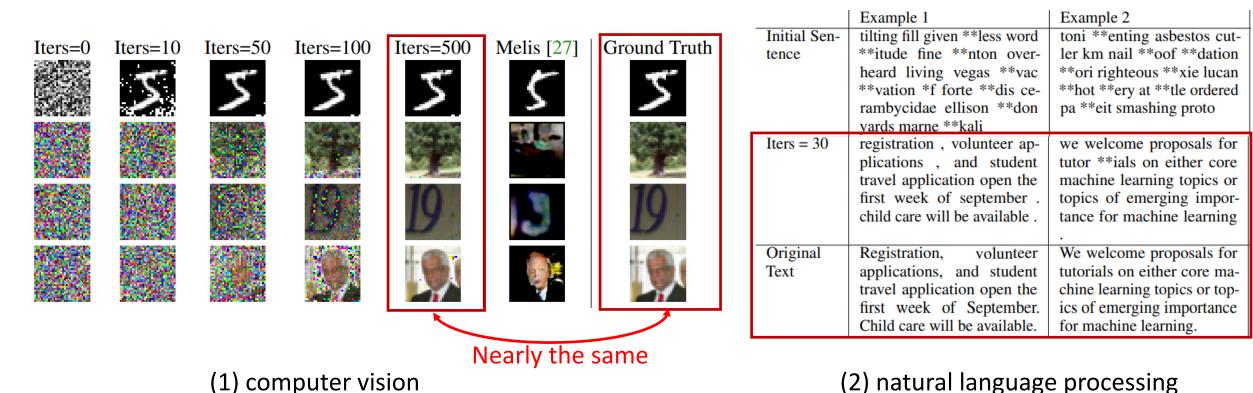


#### Main workflow:

- > Keep sensitive data on device
- On-device model training
- Model transmission through network
- Model aggregation on cloud

Pros: Decentralized data storage and model training, instead of a centralized way

## **Deep Leakage From Gradients**

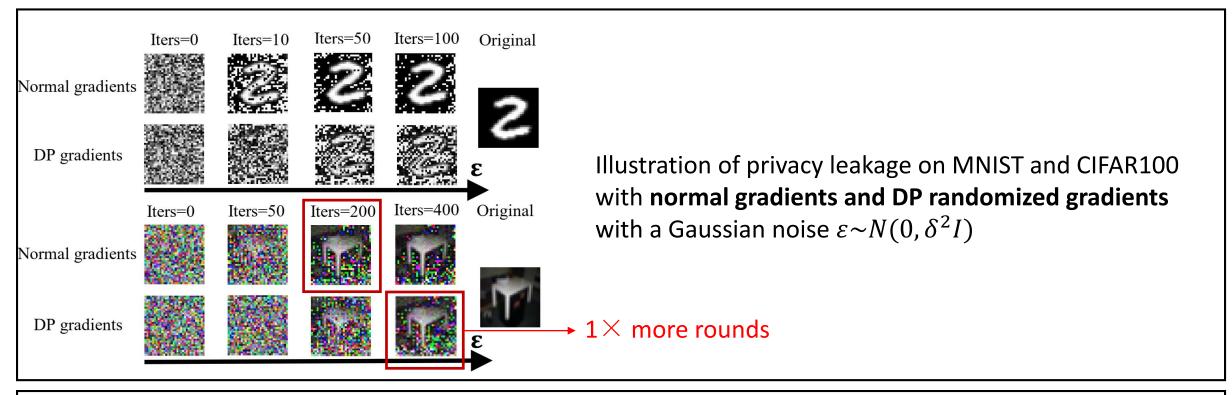


Contribution: It is possible to obtain the private training data from the publicly shared

gradients, which means the raw model transmission through network in FL is not safe.

Reference: Deep leakage from gradients; Advances in neural information processing systems; Massachusetts Institute of Technology; 2019

## Differential Privacy to Protect Gradient Exchange



## DP is the most popular and effective solution, currently [refs]

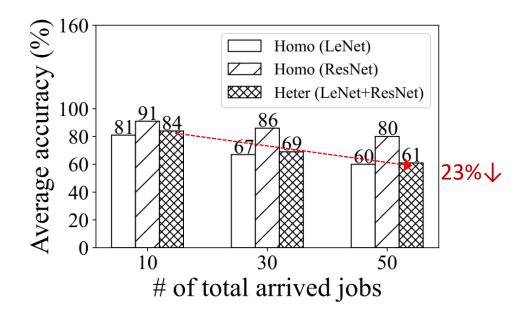
Ref #1: Learning differentially private recurrent language models; ICLR-18; Google
Ref #2: Privacy accounting and quality control in the sage differentially private ML platform; SOSP; Columbia University
Ref #3: FLAME: differentially private federated learning in the shuffle model; IJCAI-21; Renmin University of China
Ref #4: LDP-FL: practical private aggregation in federated learning with local differential privacy; IJCAI-21; Lehigh University
Ref #5: Renyi differential privacy of the subsampled shuffle model in distributed learning; NeurIPS-21; University of California

## Challenges of Differentially Private FL System

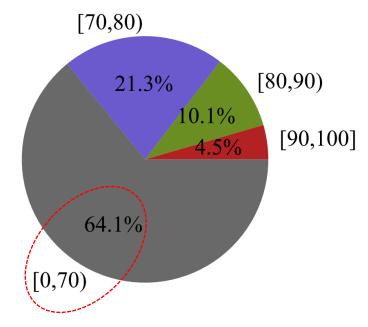
#### System or platform perspective (9)



Although differential privacy technology performs well on a single FL task, it causes serious performance degradation in more practical scenario with multiple submitted FL jobs.

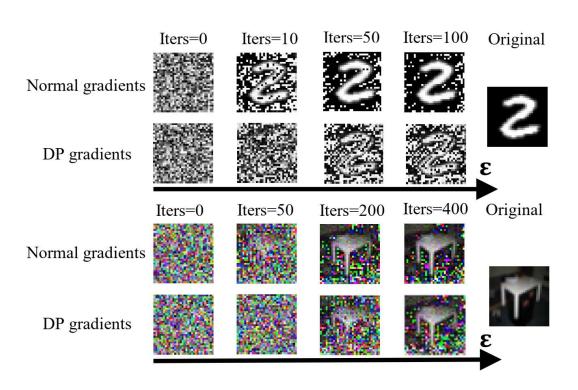


(a) Average accuracy of allocated jobs decreased significantly  $(23\% \downarrow)$  as the number of jobs increased.



(b) Only a very small number of jobs (14.6%) obtained acceptable model accuracy (e.g., ≥ 80%), and most jobs' accuracy is too low (e.g., < 70%).

#### **Underlying Rationales**



**Theorem 1.** (Basic DP composition [7]). For any  $\varepsilon > 0$  and  $\delta \in [0,1]$ , the class of  $(\varepsilon,\delta)$ -differentially private mechanisms satisfy  $(k\varepsilon,k\delta)$ -DP under k-fold adaptive composition.

(b) More jobs, more budget

(a) Trade-off between privacy budget and model accuracy

#### Goals

Our goal is to develop a unified differentially private FL platform that coordinates dynamically arrived FL jobs with sensitive user data streams. The platform aims to enforce a global  $(\varepsilon, \delta)$ -DP guarantee across participating devices to control the leakage of user information.

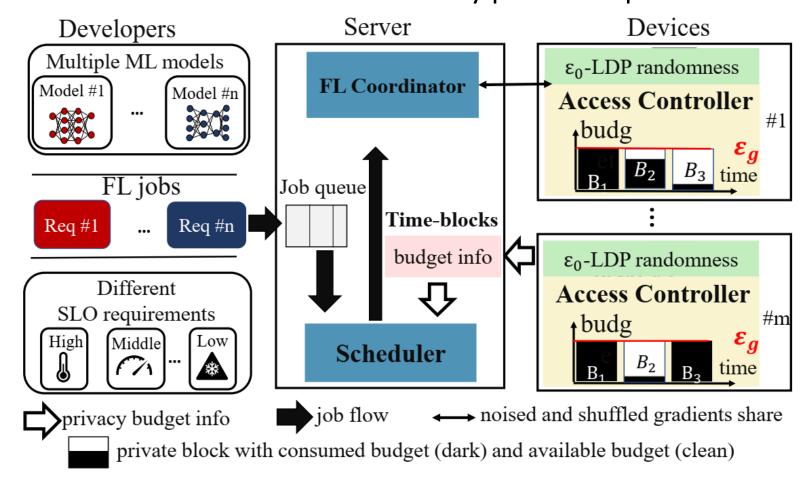
To improve the utility of sensitive user data, it focuses on how to schedule the global privacy budget to deliver more completed jobs and reduce SLO violation rate.

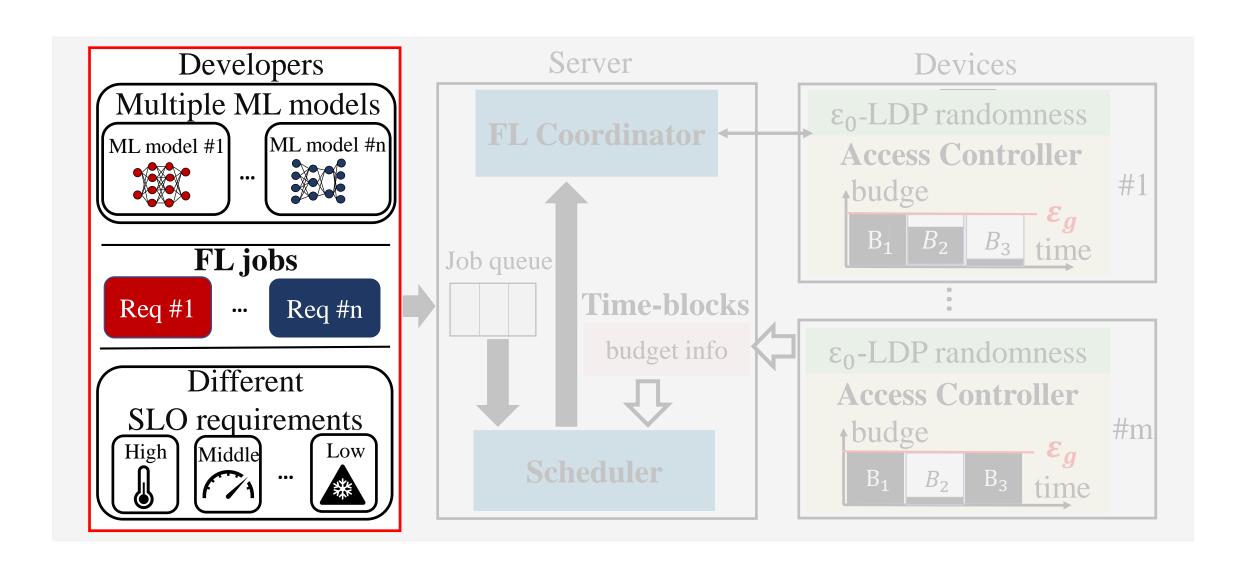
In short, how to serve more FL jobs with tight privacy budget?

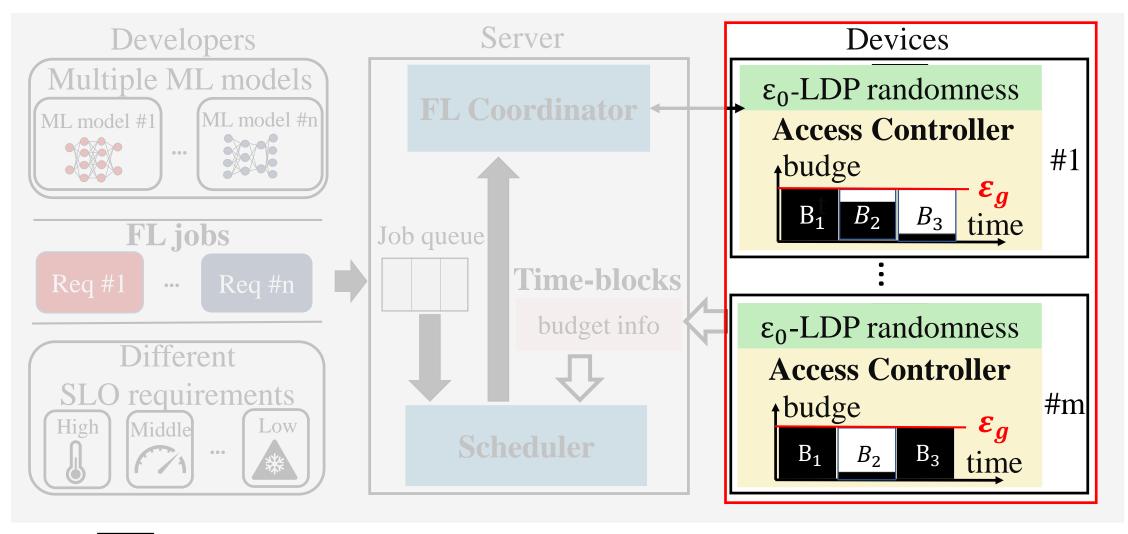
#### **Design Overview**

- ➤ Enable "endless" privacy budget consumption as time goes by
- spilt data stream to time-frame blocks
- time-blocks composition theorem
- Support fine-grained privacy budget scheduling
- AaR algorithm to reduce SLO violation rate
- Best-effort to serve more complete jobs

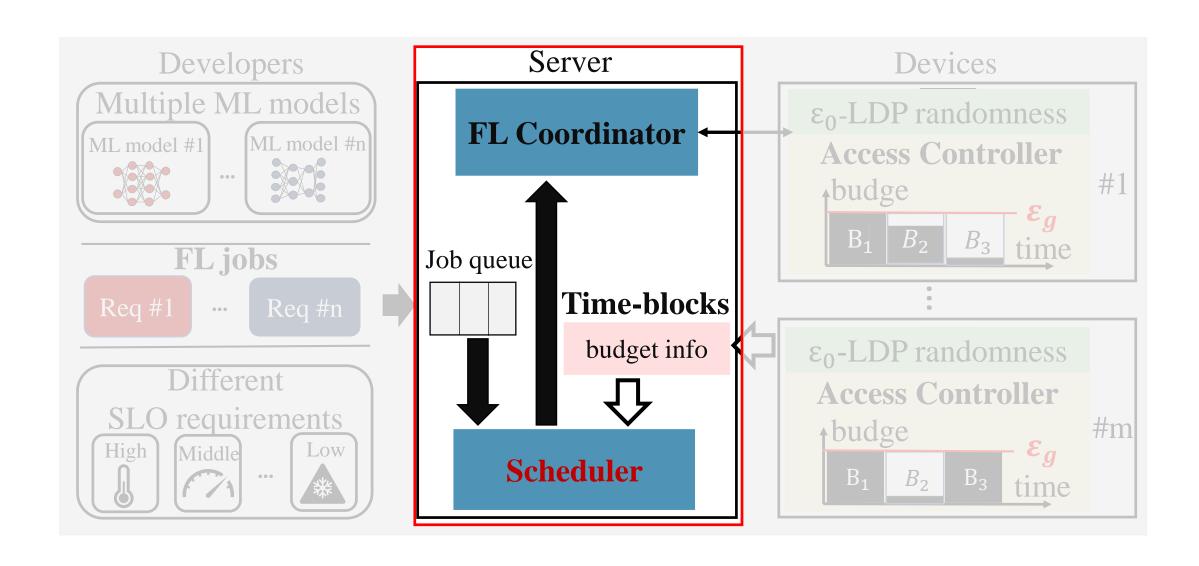
#### Overview of our differentially private FL platform







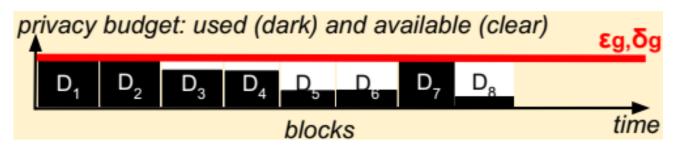
private block with consumed budget (dark) and available budget (clean)



## **Time-blocks Composition Theorem**

DP mechanism accounts for privacy loss at the granularity of whole data streams, which leads to a critical **challenge** in FL: running out of privacy budget quickly

- Unused data points suffer the same privacy loss as the samples data points on each device.
- Unused devices suffer the same privacy loss as the sampled devices during FL training.
- Newly-generated data over time suffers the same privacy loss as the used data.



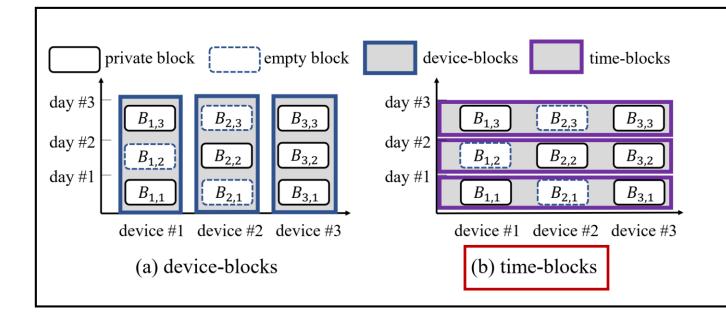
Sage proposed a new privacy accounting method on the split data block, namely block composition theorem, in a centralized ML platform. **Address #1 and #3.** 

Reference: Privacy accounting and quality control in the sage differentially private ML platform; SOSP-19; Columbia University

## **Time-blocks Composition Theorem**

**However**, the **centralized block composition** can not account for the privacy loss on blocks across **distributed devices**, because the SOTA DP mechanism in FL accounts for privacy loss at the granularity of whole data streams **across all devices**.

- Unused data points suffer the same privacy loss as the samples data points on each device.
- Unused devices suffer the same privacy loss as the sampled devices during FL training.
- Newly-generated data over time suffers the same privacy loss as the used data.



#### **Time-blocks Composition Theorem**

Privacy accounting at the granularity of time-blocks when running multiple FL jobs with different SOTA DP mechanisms.

## **Two-stage Privacy Budget Scheduling**

Our goal is carefully scheduling such a scarce resource to maximize the number of complete jobs while reducing the SLO violation rate. However, it is **substantially differs** from traditional resource scheduling, like CPU cycles, memory......

- Privacy is a non-replenishable resource.
- All-or-nothing principle.
  Dominant Private Block Fairness, DPF [ref]
- > Budget requirements changing with FL's uncertain block/device selection

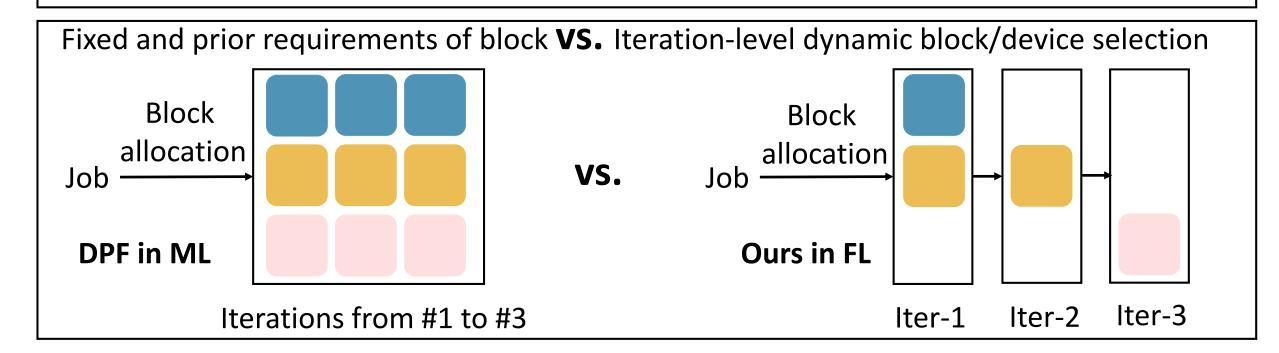
#### DPF's key idea is twofold:

- (1) unlock privacy budget for each block progressively, so the budget remains for the future;
- (2) follow DRF's max-min fairness design to allocate these blocks fairly among different jobs.

## **Two-stage Privacy Budget Scheduling**

However, DPF assumes a prior and fixed privacy budget consumption for each job, which is not appropriate in cross-device FL scenario.

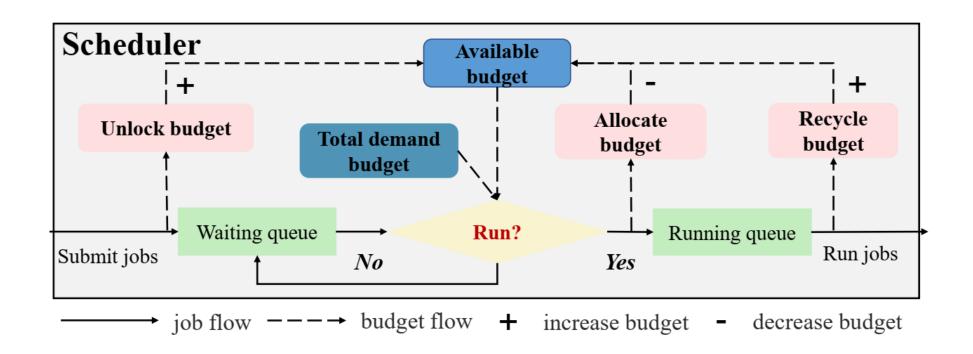
- Privacy is a non-replenishable resource.
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- Budget requirements changing with FL's uncertain block/device selection.



## **Two-stage Privacy Budget Scheduling**

Our solution: Allocation and Recycle (AaR)

Key idea consists of two core stages: (1) a pre-allocation of an **estimated upper-bound privacy budget** at each FL job arrival; (2) and a **progressive recycling** of the un-consumed privacy budget during FL training.

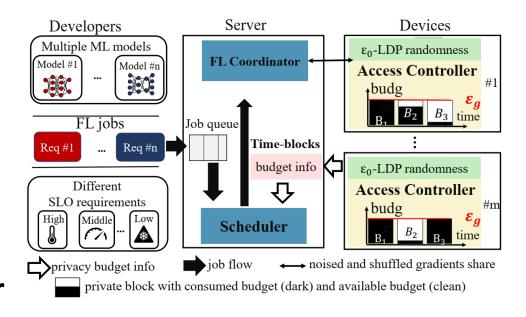


#### **Experiment Settings**

**Simulation platform** atop FedML integrated with 4 major functional modules:

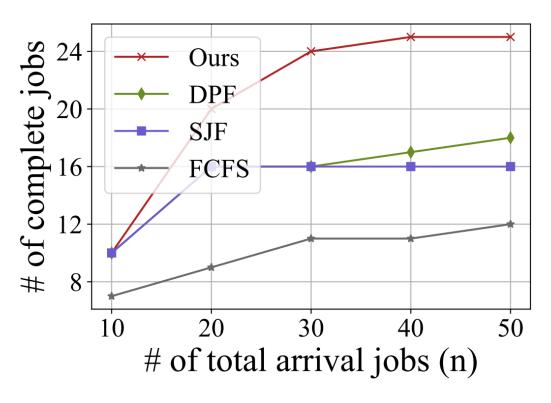
- LDP-based FL training
- block-related data preprocessing
- block-related privacy accounting
- job scheduler

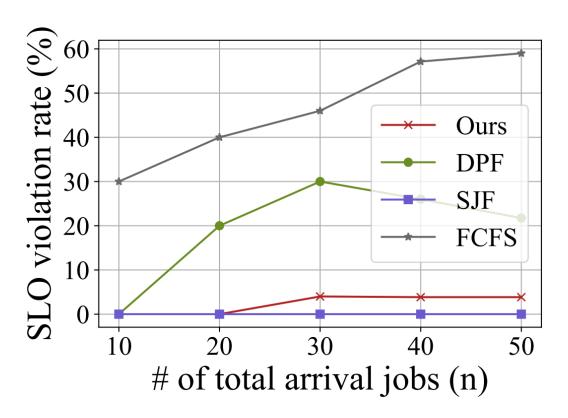
https://github.com/UbiquitousLearning/FLScheduler



- $\succ$  FL jobs to train LeNet and ResNet models with requirements  $(r, \varepsilon_0)$
- > Schedule algorithm: FCFS, SJF, DPF
- > 10000 devices with 10 time-blocks each
- ➤ Metrics: number of completed jobs and SLO violation rate

#### End-to-end performance



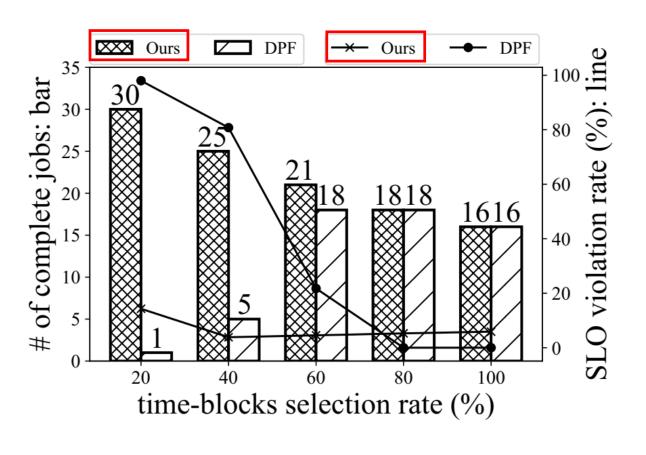


(a) Increase complete jobs  $(2 \times)$ 

(b) Reduce violation rate (55%)

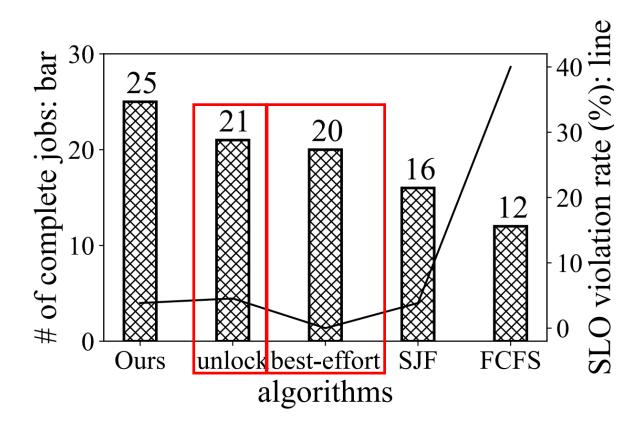
Our algorithm achieves more complete jobs with a lower violation rate.

#### Sensitivity analysis: Impact of Time-blocks Selection Rate



- (1) Trade-off between the two metrics: higher bar and lower line
- (2) Fewer selected time-blocks attributes more complete jobs with lower violation rate
- (3) Rationale: ours fine-grained block sampling strategy allows the model to be trained by more samples at a lower expense of privacy budget.

Ablation Results: AaR's unlock and best-effort design



- (1) They both outperform SJF and FCFS
- (2) But, 20% and 16% less than ours with they both enabled

## FLScheduler: Privacy is also a resource that needs to be well scheduled



https://github.com/UbiquitousLearning/FLScheduler yuanjinliang@bupt.edu.cn

FLScheduler

Specific time-blocks selection for cross-device FL

Fine-grained privacy budget scheduling for more complete jobs



