

# 算法设计 HW06

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**Q1** To prove it is NP-complete, we first prove it is in NP. As a clique  $S$  can be a certificate, and we can verify whether  $S$  is a clique and whether  $|S| = \frac{n}{2}$  in polynomial time. So, it is in NP.

Then, we want to prove it is NP-complete. We can make a reduction from Clique. We have a clique  $G_1 = (V, E), k$ , so we can make a similar  $G' = (V', E')$  in  $G$ .

If  $k \leq \frac{|V|}{2}$ , we can add  $|V| - 2k$  vertices to  $V'$ , and add edges between them and all vertices in  $V'$ . So, the  $G'$  has a  $|V'|$  of size  $2|V| - 2k$ . So, if there is a clique of size  $k$  in  $G$ , so there is at least a clique of size  $|V| - k \geq \frac{|V'|}{2}$  in  $G'$ . And if there is a clique of size  $\frac{|V'|}{2}$  in  $G'$ , so there is also a clique of size  $|V| - k - (|V| - 2k) = k$  in  $G$ .

If  $k > \frac{|V|}{2}$ , we can add  $2k - |V|$  vertices to  $V'$ , and all of them have no edges connected to them. So, the  $G'$  has a  $|V'|$  of size  $2k$ . So, if there is a clique of size  $k$  in  $G$ , then there is a clique of size  $k > \frac{|V|}{2}$  in  $G'$ . And if there is a clique of size  $k > \frac{|V|}{2}$  in  $G'$ , then there is a clique of size  $k$  in  $G$ .

Therefore the problem is NP-complete.

**Q2** (a) First when  $k = 1$ , it is trivial.

Then, when  $k \geq 2$ . We first prove it is in NP. We can use a spanning tree  $T$  with maximum degree  $k$ , and it is easy to prove that we can use polynomial time to verify whether  $T$  is a spanning tree and whether the maximum degree of  $T$  is  $k$ .

So, we just want to prove it is NP-complete. We can make a reduction from Hamiltonian Path. Given a Hamiltonian Path  $P \subset G = (V, E)$ . We

can make a spanning tree  $T \subset G' = (V', E')$  with maximum degree at most  $k$  by following steps: We add  $(k - 2)|V|$  new vertices from  $G$  to  $G'$  and connect each node in  $G$  with  $k - 2$  different new vertices. So, if there is a Hamiltonian Path  $P$  in  $G$ , then there is a spanning tree with maximum degree  $k=2$  in  $G'$ .

If the vertices in  $G$  connect to  $k-2$  new vertices have degree at most  $k$  in  $G'$ , the vertices in  $G$  have degree at most 2 in  $G$ . So, if there is a spanning tree with maximum degree  $k$  in  $G'$ , then there is a spanning tree with maximum degree at most 2 in  $G$ . SO, there is a Hamiltonian Path in  $G$ .

So, the problem is NP-complete.

(b) Similarly, it is easy to prove it is in NP.

So, just like (a), we can make a reduction from Hamiltonian Path. Given a Hamiltonian Path  $P \subset G = (V, E)$ . We can make a spanning tree  $T \subset G' = (V', E')$  with maximum degree at most  $k$  in  $G'$  by the same way in (a) when  $k$  is fixed.

So, if there is a Hamiltonian Path in  $G$ , then there is a spanning tree with maximum degree at most 2 in  $G$ . So, we can add  $k-2$  edges from each vertices in  $G$  to the new vertices in  $G'$ , and the maximum degree of the vertices in  $G$  is at most  $k$ . So, if there is a spanning tree with maximum degree at most  $k$  in  $G'$ .

What's more, if the vertices in  $G$  connected to  $k-2$  new vertices have degree at most  $k$  in  $G'$ , then the vertices in  $G$  have degree at most 2 in  $G$ . So, there is a spanning tree with maximum degree at most  $k$  in  $G'$ . So, there is a spanning tree with maximum degree at most 2 in  $G$ , so there is a Hamiltonian path in  $G$ .

So the problem is NP-complete.

### Q3 Amazing idea!!!!

First, prove it is in NP, as a color  $c$  of each vertex can serve as a certificate, and we can verify whether the color is legal in polynomial time.

Then, we can make a reduction from 3-SAT. Given a 3-SAT formula  $\phi = C_1 \wedge C_2 \wedge \dots \wedge C_m$ , and the variables are  $x_1, x_2, \dots, x_n$ . So, we can construct a graph  $G=(V, E)$  in the problem as follows:

We can first create a triangle in  $G$  with 3 vertices  $T$ ,  $F$ ,  $A$  and  $T$  stands for true and  $F$  stands for false. What's more, it is a triangle, so we can use three colors to color. Then, for each variables, we can add a triangle with 3 vertices  $x_i$ ,  $\neg x_i$ ,  $A$  and  $x_i$  stands for the  $i$ -th variable. And we can use 3 colors to color them. So, the graph is like below:

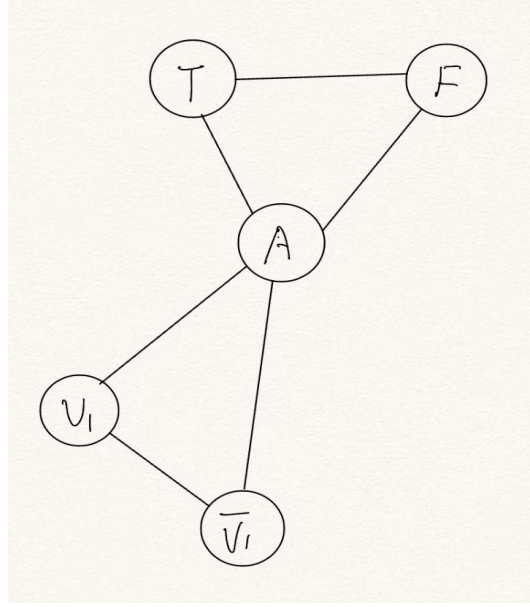


图 1: sample

If  $G$  is 3-color, then either  $v_i$  or  $\neg v_i$  gets true as they are connected to  $A$ . and the other gets  $F$ . So, we can use the color to replace the value of  $v_i$ . Also, if the formula is satisfiable, then we can use the value of  $v_i$  to color the graph. And the color is 3-color.

So, we next need to draw the clauses. For each clause  $\phi_i = a \vee b \vee c$ , we can draw a graph by OR-gadget as below:

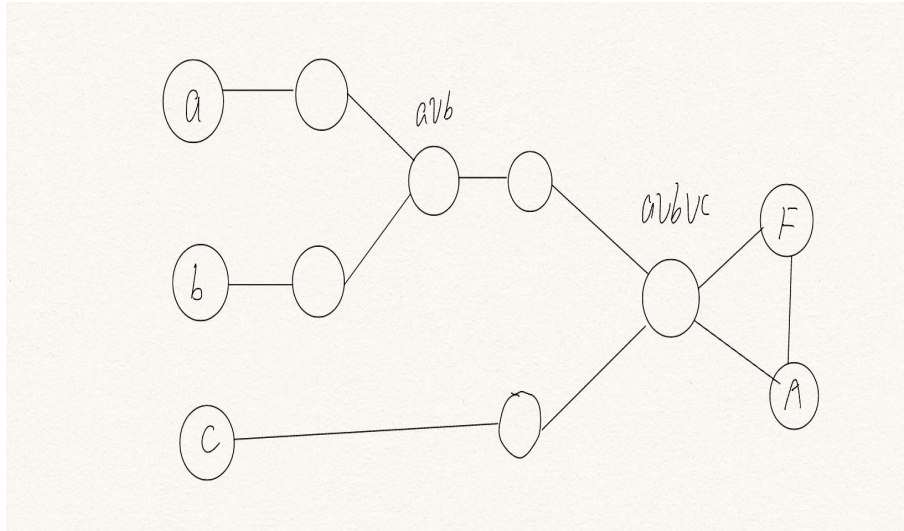


图 2: sample

If  $a$  and  $b$  are all F in 3-color, then  $a \vee b$  must be F, so it is an or gate. So, if  $a, b, c$  are all F, the graph cannot be colored by 3-color, which means the formula cannot be satisfied.

If  $a$  or  $b$  or  $c$  has at least one T, WLOG, we assume  $a$  is T, then the  $a \vee b$  is T, so  $a \vee b \vee c$  is T, and the graph is 3-color. So, the formula is satisfied.

As a result, for each  $C_i$ , we can draw a graph, we can connect the last  $a \vee \dots \vee e$  to the O and F node in the triangle. So, if there is a 3-coloring in the graph, then the formula is satisfied and the value is the color.

On the other hand, if  $\phi$  is satisfied, then, we can color  $x_i, \neg x_i$  according to the assignment of  $x_i$ , and the color is unique. Then, we can color the OR-gadget according to the assignment of the variables, and the color is unique. So, the graph is 3-color.

Therefore, the problem is NP-complete.

**Q4** collaborate :蒋松霖

difficulty: 1 and 2 is normal, 3 is very, very, very hard.