

HW9.

1. 用单连接法进行层次聚类.

| | X_1 | X_2 | X_3 | X_4 | X_5 |
|-------|-------|-------|-------|-------|-------|
| X_1 | 0 | 6 | 2 | 9 | 3 |
| X_2 | 6 | 0 | 5 | 4 | 6 |
| X_3 | 2 | 5 | 0 | 8 | 1 |
| X_4 | 9 | 4 | 8 | 0 | 5 |
| X_5 | 3 | 6 | 1 | 5 | 0 |

① X_3 与 X_5 合并为一类.

② X_1 与 (X_3, X_5) 合并为一类.

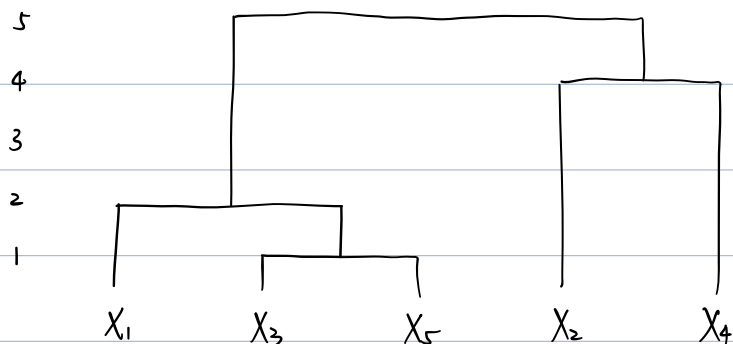
| | X_1 | X_2 | (X_3, X_5) | X_4 |
|--------------|-------|-------|--------------|-------|
| X_1 | 0 | 6 | 2 | 9 |
| X_2 | 6 | 0 | 5 | 4 |
| (X_3, X_5) | 2 | 5 | 0 | 5 |
| X_4 | 9 | 4 | 5 | 0 |

| | (X_1, X_3, X_5) | X_2 | X_4 |
|-------------------|-------------------|-------|-------|
| (X_1, X_3, X_5) | 0 | 5 | 5 |
| X_2 | 5 | 0 | 4 |
| X_4 | 5 | 4 | 0 |

③ X_2 与 X_4 合并为一类.

| | (X_1, X_3, X_5) | (X_2, X_4) |
|-------------------|-------------------|--------------|
| (X_1, X_3, X_5) | 0 | 5 |
| (X_2, X_4) | 5 | 0 |

④ 层次聚类停止. 画出树形图.



$$2. \quad X_1 \quad 0 \quad 2$$

$$X_2 \quad 0 \quad 0$$

$$X_3 \quad 1 \quad 0$$

$$X_4 \quad 5 \quad 0 \quad \leftarrow C_1$$

$$X_5 \quad 5 \quad 2. \quad \leftarrow C_2$$

用欧氏距离作为距离度量.

$$\textcircled{1} \quad d(X_1, C_1) = \sqrt{5^2 + 2^2} = \sqrt{29}, \quad d(X_1, C_2) = 5 \quad \Rightarrow \quad X_1 : 2.$$

$$d(X_2, C_1) = 5, \quad d(X_2, C_2) = \sqrt{5^2 + 2^2} = \sqrt{29}. \quad \Rightarrow \quad X_2 : 1.$$

$$d(X_3, C_1) = 4, \quad d(X_3, C_2) = \sqrt{4^2 + 2^2} = \sqrt{20}. \quad \Rightarrow \quad X_3 : 1.$$

第一次迭代: $(X_2, X_3, X_4), (X_1, X_5)$.

$$C_1 = \left(\frac{1}{3}(0+1+5), \frac{1}{3}(0+0+0)\right) = (2, 0) \quad C_2 = \left(\frac{1}{2}(0+5), \frac{1}{2}(2+2)\right) = \left(\frac{5}{2}, 2\right).$$

$$\textcircled{2} \quad d(X_1, C_1) = \sqrt{2^2 + 2^2} = \sqrt{8}, \quad d(X_1, C_2) = \sqrt{\left(\frac{5}{2}\right)^2 + 0} = \frac{5}{2}. \quad \Rightarrow \quad X_1 : 2.$$

$$d(X_2, C_1) = \sqrt{2^2 + 0} = 2, \quad d(X_2, C_2) = \sqrt{\left(\frac{5}{2}\right)^2 + 2^2} = \sqrt{\frac{41}{4}}. \quad \Rightarrow \quad X_2 : 1.$$

$$d(X_3, C_1) = \sqrt{1^2 + 0} = 1, \quad d(X_3, C_2) = \sqrt{\left(\frac{3}{2}\right)^2 + 2^2} = \frac{5}{2}. \quad \Rightarrow \quad X_3 : 1.$$

$$d(X_4, C_1) = \sqrt{3^2 + 0} = 3, \quad d(X_4, C_2) = \sqrt{\left(\frac{5}{2}\right)^2 + 2^2} = \sqrt{\frac{41}{4}}. \quad \Rightarrow \quad X_4 : 1.$$

$$d(X_5, C_1) = \sqrt{3^2 + 2^2} = \sqrt{13}, \quad d(X_5, C_2) = \sqrt{\left(\frac{5}{2}\right)^2 + 0} = \frac{5}{2}. \quad \Rightarrow \quad X_5 : 2.$$

第二次迭代: $(X_2, X_3, X_4), (X_1, X_5)$. 结果与第1次相同, 迭代结束.

\therefore 综上所述, X_2, X_3, X_4 为一类, X_1, X_5 为另一类.