Research Statement

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I am Xin Wen, a 3rd year undergraduate student majored in Computer Science at Tongji University. My research interests focus on Video Understanding, Self-Supervised Representation Learning and other related tasks/topics. I am also open to other relevant fields, such as Image Processing, 3D Vision, and Computer Graphics, *etc*.

More information about my research, projects and biography is available at `http://xwen.me`.

1 Background & Research Plan

I would like to first introduce my work in Video Retrieval, conducted during my internship at ByteDance AI Lab, followed by my research plan in this topic.

1.1 My Work on Video Retrieval

The central task of Video Retrieval is to predict the similarity between video pairs. Current approaches mainly follow two schemes: to compute similarity using video-level representations (first scheme) or frame-level representations (second scheme). For methods using video-level representations, early studies typically employ code books [1, 2, 3] or hashing functions [4, 5] to form video representations, while later approach (Deep Metric Learning [6]) is introduced to generate video representations by aggregating the pre-extracted frame-level representations. In contrast, the approaches following the second scheme typically extract frame-level representations to compute frame-to-frame similarities, which are then used to obtain video-level similarities [7, 8, 9, 10]. With more elaborate similarity measurements, they typically outperform those methods with the first scheme.

For both schemes, the frames of a video are commonly processed as individual images or short clips, making the identification of informative frames

difficult. As the visual scene of videos can be redundant (such as Scenery Shots or B-rolls), potentially unnecessary visual data may dominate the video representation, and mislead the model to retrieve negative samples sharing similar scenes. Motivated by the effectiveness of self-attention mechanism in capturing long-range dependencies [11], we propose to incorporate temporal information between frame-level features using self-attention mechanism, helping the model focus on more informative frames, thus obtaining more relevant and robust features.

To supervise the optimization of video retrieval models, current state-of-the-art methods [6, 9] commonly perform instance discrimination on pairwise labels with triplet loss [12]. However, the relation that triplets can cover is limited, and the performance of triplet loss is highly subject to the time-consuming hard-negative sampling process [13]. Inspired by the recent success of contrastive learning on self-supervised learning [14, 15] and the nature of video retrieval datasets that rich negative samples are readily available, we propose a supervised contrastive learning method for video retrieval. With the help of a shared memory bank, large quantities of negative samples are utilized efficiently with no need for manual hard-negative sampling. Furthermore, by conducting gradient analysis, the property of automatic hard-negative mining is also discovered in the proposed method.

Extensive experiments are conducted on multi video retrieval datasets, such as FIVR [16], CC_WEB_VIDEO [17] and EVVE [18]. The proposed method shows a significant performance advantage (*e.g.* $\sim$ 17% mAP on FIVR-200K) over state-of-the-art methods with video-level features, and deliver competitive results with a much lower computational cost when compared with methods using frame-level features.

1.2 Potential Future Work

The research focus on Content-Based Video Retrieval has shifted from Near-Duplicate Video Retrieval (NDVR) [17, 19] to Fine-grained Incident Video Retrieval (FIVR) [16], Event-based Video Retrieval (EVR) [18] and Action Video Retrieval (AVR) [20]. Different from NDVR, these tasks are more challenging in terms that they require higher-level representation describing the semantics of relevant incidents, events, and actions.

In above-mentioned work, we tried to tackle this problem through temporal correlation modeling with self-attention mechanism to help the model capture long-range dependencies and concentrate on more informative frames. We demonstrate considerable performance gain in our work, but there is still a long way towards solving video retrieval problem on these datasets. By

analyzing a number of the bad cases, we discovered that those videos generally have the problem of low resolution, severe jittering and poor lighting. In such cases, the information obtained from only the visual scenes of the video is extremely limited and hence leads to unsatisfactory performance.

Utilizing information from additional multi-modalities of the videos can be a key to solving this problem. Videos are far more than sequences of frames, they naturally contain rich information in multiple modalities, such as visual scenes, audios, and captions, *etc*. For example, for videos in social media, the corresponding titles, descriptions, tags, and comments may also be available. These associated multi-modal information is complementary to the video itself and can be used to describe the video more comprehensively, helping to learn better representations. In a more task-specific scenario containing many texts such as tags and comments, techniques in tasks (*e.g*. Sentimental Analysis, Word/Paragraph Embedding) of Neural Language Processing (NLP), may be adopted. For the scenario containing additional audios, methods in Automatic Speech Recognition (ASR) can be helpful. According to my experience in handling industrial projects during the internship, the reasonable use of multi-modal features can often give stable performance gains.

2 Survey of Related Work

In recent works of Contend-Based Video Retrieval, frame-level representations are first extracted independently, then aggregated by feature aggregation models to obtain video-level representations (optional) and finally trained with metric learning. Therefore, the related work is introduced from these three aspects: Frame Feature Representation, Feature Aggregation, and Metric Learning.

2.1 Frame Feature Representation

A common strategy is to extract frame-level representations independently as image representations. Early approaches employed handcrafted features including the Scale-Invariant Feature Transform (SIFT) features [21, 22, 17], the Speeded-Up Robust Features (SURF) [23, 7], Colour Histograms in HSV space [24, 25, 5], and Local Binary Patterns (LBP) [26, 27, 28], *etc*.

Deep Convolutional Neural Networks (CNNs) have proved to be versatile representation tools in recent approaches. The application of Maximum Activation of Convolutions (MAC) and its variants [29, 30, 31, 32, 33, 34, 35], which extract frame descriptors from activations of a pre-trained CNN

model, have achieved great success in both fine-grained image retrieval and video retrieval tasks [35, 2, 36, 6, 9]. Intermediate Maximum Activation of Convolutions (iMAC) [35] applies MAC to different intermediate layers of a CNN then concatenate them. Regional Maximum Activation of Convolutions (R-MAC) [32] build feature vectors that encode several image regions rather than the whole image, and L_N-iMAC [9] applies R-MAC on the activations of the intermediate convolutional layers, but the regional feature maps are stacked rather than summed. Besides variants of MAC, Sum-Pooled Convolutional features (SPoC) [37] and Generalized Mean (GeM) [38] pooling are also considerable counterparts.

2.2 Feature Aggregation

Typically, the video feature aggregation paradigm can be divided into two categories: (1) local feature aggregation models [39, 40, 41, 42] which are derived from traditional local image feature aggregation models, and (2) sequence models [43, 44, 45, 20, 11, 46] that model the temporal order of the video representation.

The commonly used local feature aggregation models include Bag-of-Words [39, 40], Fisher Vector [41], and Vector of Locally Aggregated Descriptors (VLAD) [42], of which the unsupervised learning of a visual code book is required. The NetVLAD [47] transfers VLAD into a differential version, and the clusters are tuned via back-propagation instead of k-means clustering. NeXtVLAD [48] further decomposes the high-dimensional feature into a group of relatively low-dimensional vectors with attention before applying NetVLAD aggregation over time, which is both effective and parameter efficient. In terms of the sequence models, the Long Short-Term Memory (LSTM) [43] and Gated Recurrent Unit (GRU) [44] are commonly used to model contextual information within a long-range for video re-localization and copy detection [20, 49]. Besides, The effectiveness of self-attention in capturing short and long-range dependency with attention mechanism has been proved with the success of Transformer [11]. For the feature aggregation of videos, this also shows success in video classification [50] and object detection [51], opening new possibilities for feature aggregation for video retrieval.

2.3 Metric Learning

Metric learning aims to learn an embedding that minimizes the distance between related samples and maximizes it between irrelevant ones. Metric

learning have been commonly used in face recognition [52, 53, 54], image retrieval [55, 13, 56, 57] and video retrieval [6, 9]. With only pair-wise labels available, the triplet loss [12] is commonly used in video retrieval tasks [6, 9]. The classic approach in [6] performs hard negative mining to generate hard triplets, but despite both the off-line triplet generation stage and the training stage are time-consuming, the information that triplets can convey is limited [13]. Although [58] showed the triplet loss can perform competitively against other popular metric learning approaches with proper hard negative sampling strategy, the proposed PK sampling strategy is only compatible with datasets with class-level labels.

Contrastive learning has become the common training architecture of recent self supervised learning works [59, 60, 61, 14, 15], in which the positive and negative sample pairs are constructed with a pretext task in advance, and the model tries to distinguish the positive sample from massive randomly sampled negative samples in a classification manner. The contrastive loss typically performs better in general than triplet loss on representation tasks [15], as the triplet loss can only handle one positive and negative at a time. The core of the effectiveness of contrastive learning is the use of rich negative samples [61], one approach is to sample them from a shared memory bank [62], and [14] replaced the bank with a queue and used a moving-averaged encoder to build a larger and consistent dictionary on-the-fly. Apart from self-supervised learning, supervised contrastive learning for classification tasks is also discussed in [63], in which a modified batch contrastive loss that supports an arbitrary number of positives is proposed to leverage label information effectively. As we only have pair-wise labels, our supervised contrastive learning approach is more similar to the self-supervised approach, where each anchor is coupled with only one positive.

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