# Winnie Xu

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## Research Experience

#### Contextual AI, Member of Technical Staff

Palo Alto, CA, USA

Research Engineer, Douwe Kiela & Amanpreet Singh

2023-07 - 2024-11

Developed model alignment methods, synthetic data pipelines, and ideas for process-level feedback optimization. Topics: retrieval, RLHF, Kahneman-Tversky Optimization, instruction finetuning, large language models

## Meta, Fundamental AI Research (FAIR Labs)

New York, NY, USA

2022-09 - 2023-05

Research Scientist Intern with Dr. Karen Ullrich & Dr. Matthew Muckley

entation learning.

Researched ideas at the intersection of generative modeling, neural compression, and representation learning. Topics: generative models, compression, information theory, representation learning, autoencoding

## Stanford University, Stanford AI Laboratory

Palo Alto, CA, USA

Visiting Research Scholar with Prof. Stefano Ermon & Chelsea Finn

2021-06 - 2021-11

Introduced self-referential operators for fractal data encoding, efficient compression, and controllable generation.

Topics: score-based generative models, diffusion processes, latent variable models, implicit representation learning

## Google DeepMind,

Mountain View, CA, USA

Research Scientist Intern with Igor Mordatch & Durk Kingma & David Dohan

2021 - 10 - 2022 - 08

(1) Improve Decision Transformer models to extrapolate in creative and general ways towards embodied game play and online decision-making. (2) Develop spectral diffusion models leveraging resolution agnostic architectures and signal adaptive scheduling. (3) Formalize language models as probabilistic programs via Cascades framework. Topics: diffusion models, Transformers, large language models, reinforcement learning, robotics, decision-making

## Vector Institute & University of Toronto

Toronto, ON, Canada

Undergraduate Researcher with Prof. David Duvenaud

2020-01 - 2021-01

Derive variance-reducing gradient estimator and improve Neural ODE robustness through Bayesian inference w/ SDEs. Topics: stochastic differential equations, Bayesian neural networks, variational inference

#### SELECT PUBLICATIONS

#### Peer-Reviewed

- [8] Karel DOosterlinck, **Winnie Xu**, Chris Chris Develder, Thomas Demeester, Amanpreet Singh, Christopher Potts, Douwe Kiela, and Shikib Mehri, "Anchored preference optimization and contrastive revisions: Addressing underspecification in alignment," Safe Generative AI Workshop at NeurIPS 2024, 2024.
- [7] Kawin Ethayarajh, **Winnie Xu**, Dan Jurafsky, and Douwe Kiela, "KTO: Model alignment as prospect theoretic optimization," International Conference on Machine Learning [**Spotlight Award**], 2024.
- [6] Winnie Xu, Nikita Vassilyev, Douwe Kiela, and Shikib Mehri, "Learning from natural language preferences," In progress, 2024.
- [5] Winnie Xu, Matthew Muckley, Yann Dubois, and Karen Ullrich, "Revisiting associative compression: I can't believe it's not better," *International Conference on Machine Learning Neural Compression Workshop*, 2023.
- [4] David Dohan\*, **Winnie Xu\***, Aitor Lewkowycz, Jacob Austin, David Bieber, Raphael Gontijo Lopes, Yuhuai Wu, Henryk Michalewski, Rif A. Saurous, Jascha Sohl-dickstein, Kevin Murphy, and Charles Sutton, "Language model cascades," *Beyond Bayes: Paths Towards Universal Reasoning Systems, International Conference on Machine Learning* [Contributed Talk], 2022.
- [3] <sup>†</sup>Kuang-Hui Lee\*, Ofir Nachum\*, Mengjiao Yang, Lisa Lee, **Winnie Xu**, Daniel Freeman, Sergio Guadarrama, Ian Fischer, Eric Jang, Henryk Michalewski, and Igor Mordatch\*, "Multi-game decision transformers," *Neural Information Processing Systems* [Oral], 2022.
- [2] Michael Poli\*, **Winnie Xu\***, Stefano Massaroli, Chenlin Meng, and Stefano Ermon, "Self-similarity priors: Neural collages as differentiable fractal representations," *Neural Information Processing Systems*, 2022.
- [1] Winnie Xu, Ricky T.Q. Chen, Xuechen Li, and David Duvenaud, "Infinitely deep bayesian neural networks with stochastic differential equations," *International Conference on Artificial Intelligence and Statistics*, 2022.

  \*co-first authorship, †ordering by seniority

#### Professional Experience

#### Cohere, Large Language Models

Toronto, ON, Canada

Machine Learning Researcher with Nick Frosst and Aidan Gomez

2021-01 - 2021-06

Apply deep learning algorithms to improve training cost and personalization of billion parameter language models. Topics: GPT, attention, distributed cloud training, TPUs

#### Nvidia, Simulations & Robotics

Toronto, ON, Canada

Deep Learning Research Intern with Gavriel State and Prof. Animesh Garg

2020-08 - 2020-12

Build performant GPU-accelerated environments towards time / resource efficient reinforcement learning for robotics.  $Topics:\ Omniverse,\ IsaacGym,\ robotics\ simulation$ 

#### Google, Tensorflow

Mountain View, CA, USA

Research Engineering Intern with Dr. Tomer Kaftan

2020-05 - 2020-08

Actualize state of the art pre-/post-hoc pruning methods for easy experimentation and efficient hardware computation. Topics: lottery tickets, dynamic sparsity, Tensorflow Model Optimization Toolkit (contributor)

#### EDUCATION

## University of Toronto

2017 - 2020, 2021 - 2022

Honours Bachelors of Science in Computer Science, Statistics, Mathematics

High Distinction

Graduate coursework: Natural Language Processing (CSC401), Probabilistic Reasoning and Uncertainty (CSC412), Deep Learning (CSC413), Stochastic Processes (STA447), Computer Vision (CSC420)

Natural/Social Sciences (2017-2019): Evolutionary/Molecular Genetics (BIO120/130), Physical/Organic Chemistry (CHM135/135), Calculus (MAT135/136/235), Political Sciences (MUN101), Global Affairs (MUN102)

## Teaching

## CSC258: Intro. to Computer Systems, University of Toronto

Fall 2020

Teaching Assistant with Prof. Steve Engels. Head of content development (labs/assignments). Ran office hours.

#### Awards

## Research Fellowship, Constellation / UC Berkeley

2024

Awarded to researchers working on AI safety and alignment in collaboration with various organizations focused on risk mitigation, capabilities research, and evaluations.

Finalist Award, Outstanding Undergraduate Researcher, Computing Research Association (CRA) 2022 Awarded to top undergraduate computer science researchers in North America. Finalist awarded to Top 20 overall.

## Scholar Award, Neural Information Processing Systems (NeurIPS)

2022

Awarded to fund in-person conference attendance for select first-author student presenters.

#### Cloud TPU Research Award, Google Research

2022

Awarded to fund independent researchers in AI with access to Google's Cloud TPU compute resources.

#### Undergraduate Student Research Award, NSERC [declined]

2020

Awarded to fund a summer research internship in Canada. Declined due to dual employment in industry internship.

## Dean's List Scholar, University of Toronto

2018, 2019, 2021

Awarded on the basis of grade point average (cGPA).

## Trinity College Academic Scholarship, University of Toronto

2019

Awarded on the basis of academic standing.