# Winnie Xu

winnie.xu@cs.toronto.edu winnie.xu97@gmail.com www.winniexu.ca

# Research Experience

#### Contextual AI, Member of Technical Staff

Palo Alto, CA, USA

Research Engineer, Douwe Kiela & Amanpreet Singh

2023-07 -

Developing pluralistic model alignment methods for improved enterprise-grade retrieval augmented language models. Topics: retrieval, RLHF, Kahneman-Tversky Optimization, instruction finetuning, large language models

#### Meta, Fundamental AI Research (FAIR Labs)

New York, NY, USA

Research Scientist Intern with Dr. Karen Ullrich & Dr. Matthew Muckley

2022-09 - 2023-05

Researched ideas at the intersection of generative modeling and neural compression towards practical improvements.

Topics: generative models, compression, information theory, representation learning, autoencoding

#### Stanford University, Stanford AI Laboratory

Palo Alto, CA, USA

Visiting Research Scholar with Prof. Stefano Ermon

2021-06 - 2021-11

Introduce self-referential operators for fractal data encoding, efficient compression, and controllable generation.

Topics: score-based generative models, diffusion processes, latent variable models, implicit representation learning

# Google DeepMind, Brain Team

Mountain View, CA, USA

Research Scientist Intern / Student Researcher with Dr. Igor Mordatch & David Dohan 2021-10 – 2022-08 (1) Improve Decision Transformer models to extrapolate in creative and general ways towards embodied game play and online decision-making. (2) Develop spectral diffusion models leveraging resolution agnostic architectures and signal adaptive scheduling. (3) Formalize language models as probabilistic programs via Cascades framework. Topics: diffusion models, Transformers, large language models, reinforcement learning, robotics, decision-making

### Vector Institute & University of Toronto

Toronto, ON, Canada

Undergraduate Researcher with Prof. David Duvenaud

2020-01 - 2021-01

Derive variance-reducing gradient estimator and improve Neural ODE robustness through Bayesian inference w/ SDEs. Topics: stochastic differential equations, Bayesian neural networks, variational inference

# Oxford University, OATML

Oxford, United Kingdom

Research Intern with Prof. Yarin Gal

2021-01 - 2021-08

Derive data efficient algorithms that leverage information theoretic proxy selection and uncertainty-aware heuristics. Topics: Bayesian active learning, model disagreement, curriculum learning, coreset selection

# PUBLICATIONS

#### Peer-Reviewed

- [8] Kawin Ethayarajh, **Winnie Xu**, Dan Jurafsky, and Douwe Kiela, "KTO: Model alignment as prospect theoretic optimization," International Conference on Machine Learning [**Spotlight Award**], 2024.
- [7] Winnie Xu, Matthew Muckley, Yann Dubois, and Karen Ullrich, "Revisiting associative compression: I can't believe it's not better," *International Conference on Machine Learning Neural Compression Workshop*, 2023.
- [6] Allan Zhou, Kaien Yang, Yiding Jiang, **Xu, Winnie**, Kaylee Burns, Sam Sakota, Zico J Kolter, and Chelsea Finn, "Neural functional transformers," *Neural Information Processing Systems*, 2023.
- [5] David Dohan\*, **Winnie Xu\***, Aitor Lewkowycz, Jacob Austin, David Bieber, Raphael Gontijo Lopes, Yuhuai Wu, Henryk Michalewski, Rif A. Saurous, Jascha Sohl-dickstein, Kevin Murphy, and Charles Sutton, "Language model cascades," *Beyond Bayes: Paths Towards Universal Reasoning Systems, International Conference on Machine Learning* [Contributed Talk], 2022.
- [4] <sup>†</sup>Kuang-Hui Lee\*, Ofir Nachum\*, Mengjiao Yang, Lisa Lee, **Winnie Xu**, Daniel Freeman, Sergio Guadarrama, Ian Fischer, Eric Jang, Henryk Michalewski, and Igor Mordatch\*, "Multi-game decision transformers," *Neural Information Processing Systems* [Oral], 2022.
- [3] †Sören Mindermann, Jan Brauner, Muhammed Razzak, Mrinank Sharma, Andreas Kirsch, **Winnie Xu**, Benedikt Holtgen, Adrien Morisot, Aidan N. Gomez, Sebastian Farquhar, Jan Brauner, and Yarin Gal, "Prioritized training on points that are learnable, worth learning, and not yet learned," *Beyond Bayes: Paths Towards Universal Reasoning Systems, International Conference on Machine Learning* [Spotlight], 2022.

- [2] Michael Poli\*, **Winnie Xu\***, Stefano Massaroli, Chenlin Meng, and Stefano Ermon, "Self-similarity priors: Neural collages as differentiable fractal representations," Neural Information Processing Systems, 2022.
- [1] Winnie Xu, Ricky T.Q. Chen, Xuechen Li, and David Duvenaud, "Infinitely deep bayesian neural networks with stochastic differential equations," *International Conference on Artificial Intelligence and Statistics*, 2022.

#### Under Review

[1] Karel D'Oosterlinck, **Winnie Xu**, Chris Develder, Thomas Demeester, Amanpreet Singh, Christopher Potts, Douwe Kiela, and Shikib Mehri, "Anchored preference optimization and contrastive revisions: Addressing underspecification in alignment," In Submission, 2022.

#### Professional Experience

\*co-first authorship, †ordering by seniority

## Cohere, Large Language Models

Toronto, ON, Canada

Machine Learning Researcher with Nick Frosst and Aidan Gomez

2021-01 - 2021-06

Apply deep learning algorithms to improve training cost and personalization of billion parameter language models. Topics: GPT, attention, distillation, distributed cloud training, TPUs

#### Nvidia, Simulations & Robotics

Toronto, ON, Canada

Deep Learning Research Intern with Gavriel State and Prof. Animesh Garg

2020-08 - 2020-12

Build performant GPU-accelerated environments towards time / resource efficient reinforcement learning for robotics.  $Topics:\ Omniverse,\ IsaacGym,\ robotics\ simulation$ 

#### Google, Tensorflow

Mountain View, CA, USA

Research Engineering Intern with Dr. Tomer Kaftan

2020-05 - 2020-08

Actualize state of the art pre-/post-hoc pruning methods for easy experimentation and efficient hardware computation. Topics: lottery tickets, dynamic sparsity, Tensorflow Model Optimization Toolkit (contributor)

# **EDUCATION**

#### University of Toronto

2017 - 2020, 2021 - 2022

Honours Bachelors of Science in Computer Science, Statistics, Mathematics

High Distinction

Graduate coursework: Natural Language Processing (CSC401), Probabilistic Reasoning and Uncertainty (CSC412), Deep Learning (CSC413), Stochastic Processes (STA447), Computer Vision (CSC420)

Natural/Social Sciences (2017-2019): Evolutionary/Molecular Genetics (BIO120/130), Physical/Organic Chemistry (CHM135/135), Calculus (MAT135/136/235), Political Sciences (MUN101), Global Affairs (MUN102)

# Teaching

#### CSC258: Intro. to Computer Systems, University of Toronto

Fall 2020

Teaching Assistant with Prof. Steve Engels. Head of content development (labs/assignments). Ran office hours.

# Academic Awards

Finalist Award, Outstanding Undergraduate Researcher, Computing Research Association (CRA) 2022 Awarded to top undergraduate computer science researchers in North America. Finalist awarded to Top 20 overall.

# Scholar Award, Neural Information Processing Systems (NeurIPS)

2022

Awarded to fund in-person conference attendance for select first-author student presenters.

#### Cloud TPU Research Award, Google Research

2022

Awarded to fund independent researchers in AI with access to Google's Cloud TPU compute resources.

#### Undergraduate Student Research Award, NSERC [declined]

2020

Awarded to fund a summer research internship in Canada. Declined due to dual employment in industry internship.

#### Dean's List Scholar, University of Toronto

2018, 2019, 2021

Awarded on the basis of grade point average (cGPA).

# Trinity College Academic Scholarship, University of Toronto

2019

Awarded on the basis of academic standing.