# RRED Indices: Reduced Reference Entropic Differencing for Image Quality Assessment

Rajiv Soundararajan, Member, IEEE, and Alan C. Bovik, Fellow, IEEE

Abstract—We study the problem of automatic "reduced-reference" image quality assessment (QA) algorithms from the point of view of image information change. Such changes are measured between the reference- and natural-image approximations of the distorted image. Algorithms that measure differences between the entropies of wavelet coefficients of reference and distorted images, as perceived by humans, are designed. The algorithms differ in the data on which the entropy difference is calculated and on the amount of information from the reference that is required for quality computation, ranging from almost full information to almost no information from the reference. A special case of these is algorithms that require just a single number from the reference for QA. The algorithms are shown to correlate very well with subjective quality scores, as demonstrated on the Laboratory for Image and Video Engineering Image Quality Assessment Database and the Tampere Image Database. Performance degradation, as the amount of information is reduced, is also studied.

Index Terms— Entropy, image information, image quality, natural scene statistics, perceptual approaches, reduced reference quality.

### I. INTRODUCTION

THE ROLE OF visual media in everyday life has tremendously increased in recent years with ubiquitous applications. Given that images and videos in most applications are meant for human consumption, it is desirable to design systems in such a fashion to enrich the visual experience of these users. The field of image and video quality assessment (QA) seeks to partially address the question of how to quantitatively model user experience and how to use these models to predict visual quality in accordance with visual perception.

Image and video QA algorithms can be broadly classified into full-reference (reference available or FR) and no-reference (reference not available or NR) algorithms. The mean-squared error (MSE) has been used as a quality metric for a very long time, owing to its simplicity, despite having a very poor correlation with human perception [1]. The last decade has seen significant progress in the field of objective FR image/video QA algorithms. The structural similarity index (SSIM) [2], the visual information fidelity (VIF) [3], the visual signal-to-noise ratio

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The authors are with the Department of Electrical and Computer Engineering, The University of Texas at Austin, Austin, TX 78712 USA (e-mail: rajivs@utexas.edu; bovik@ece.utexas.edu).

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(VSNR) [4], and the just noticeable difference metrix [5] are examples of successful FR algorithms, which have been shown to perform well in predicting the quality scores of human subjects.

The progress on NR QA, however, has been very slow. Indeed, the progress that has been possible, has been on account of relaxing the NR assumption in various ways. One approach is to devise NR algorithms for a specific type of distortion only [6]–[8]. This approach can be refined by assuming that the distorted image is subjected to a set of possible distortions known a priori. Training-based NR QA techniques have resulted in algorithms that perform at least as well as MSE, which has the benefit of a reference image [9], [10]. In [11], blind image QA indexes that measure the anisotropy in the distorted image through the Renyi entropy are introduced. However, the performance of this index has not been thoroughly evaluated on a large and comprehensive data set. Alternatively, partial information about the reference can be made available, which can be used along with the distorted image to predict the quality. This paradigm is known as reduced reference (RR) QA, which may or may not require knowledge of the distortion type.

RR QA algorithms involve sending or supplying some amount of information about the reference along with the distorted image that is useful in quality computation. For example, the concept of quality-aware images was proposed in [12], where partial reference-image information is embedded within the image and can be reliably extracted despite distortions. The information embedded could be, for example, the statistical parameters of the distribution of wavelet coefficients obtained by a multiscale-space-orientation decomposition of the reference image. Then, two parameters of a generalized Gaussian distribution and the error in approximating the empirical coefficients by this distribution are transmitted for every subband. The quality is based on computing the Kullback–Leibler (KL) divergence between the parameterized distribution of the reference and the empirical distribution of the distorted image. The performance of this algorithm is good only for certain individual distortion categories. This idea is further extended in [13], where an additional divisive normalization transform (DNT) step is introduced before computing the KL divergence to improve the performance. However, this algorithm depends on a number of parameters that need to be trained on databases. There has also been prior work on nonstatistical RR methods that compute a generalized norm between selected wavelet coefficients of the reference and distorted images [14]. The coefficients are selected in a way that reduces the information required while still maintaining good performance.

Algorithms based on multiscale geometric analysis including curvelets, bandlets, wavelets, and contourlets are developed in [15]. These algorithms depend on parameters that need to be delicately tuned on different databases. Moreover, their performances severely degrade with the reduction in the data rate required from the reference. Algorithms designed in [16] for color images perform well when tested on images belonging to certain distortion classes such as Joint Photographers Expert Group (JPEG) or JPEG2000. While distributed source coding ideas are applied to approximate MSE in [17], RR QA algorithms based on color distribution of images are developed in [18], and training based approaches are used in [19]. These algorithms are either limited in their ability to achieve good performance across different distortion types or involve training on databases. The algorithm by [20] based on Weibull statistics of wavelet coefficients achieves good performance at a given data rate. However, what we desire in this paper is a family of algorithms that achieve graceful degradation in the performance with the reduction in data rate and the ability to achieve better performance with increase in data rate.

In this paper, we develop a new framework of RR QA algorithms that are information theoretic. We consider natural image approximations of the distorted image in the sense that wavelet coefficients of distorted images will be fitted with Gaussian scale mixture (GSM) distributions. In effect, this paper approaches the problem of QA from the perspective of measuring distances between the reference image and the projection of the distorted image onto the space of natural images. The algorithms compute the average difference between scaled entropies of wavelet coefficients of reference and projected distorted images that are obtained at the output of a neural noise channel. A family of algorithms is proposed depending on the subband in which the quality computation is carried out and the amount of information required from the reference image. This framework allows us to study how the performance of these information-theoretic RR QA algorithms decays with the reduction in the amount of information used from the reference. Since the reference information scales with the size of the images, the algorithms are also applicable in scalable image and video QA [21]. Furthermore, the algorithms allow for the bidirectional computation of the quality, by which we mean that the quality of the distorted image can be also computed at the reference if relevant information from the distorted image is made available. In other words, by supplying reduced information from the distorted image through a feedback channel, its quality can be computed at the reference without sending any information in the forward channel. This feature has potential applications in image/video quality monitoring in networks, which requires feeding back the quality at different nodes in the network to the sender. Of course, since the quality index is based on the absolute difference, it does not indicate which of the two images is the reference image. In nearly any imaginable scenario, we know which of the two images is the reference. Another interesting feature of these algorithms is that they are not dependent on any parameters that need to be trained on databases. Depending on the bandwidth available for information supplied either from the reference or distorted image, one algorithm from this class may be picked for desired applications. The framework also allows users to choose an algorithm from this class for general purpose or distortion-specific QA.

The rest of this paper is structured as follows. In the following section, we describe prior information-theoretic approaches that motivate the algorithms developed in this paper. In Section III, we present the main theory and the resulting algorithms of this paper. We discuss perceptual interpretations of the algorithms in Section IV and present numerical results in Section V. It is found that the performance attained is highly competitive on a large and comprehensive database of distorted images and subjective scores. Finally, we conclude this paper in Section VI.

# II. INFORMATION-THEORETIC APPROACHES TO QA

Information-theoretic methods for QA have produced some of the best performing FR QA algorithms, including the information fidelity criterion (IFC) [22] and the VIF index [3]. The motivation for such an approach is that image distortions tend to disturb the natural statistics of images and quantifying this disturbance can determine the quality. It is also based on the assumption that such modifications of natural image statistics are perceptually noticeable. Mathematically, IFC and VIF compute the amount of mutual information shared between the reference and distorted images in the wavelet domain under a natural scene statistic (NSS) model. Moreover, both these algorithms possess psychovisual properties that are desirable for QA. In particular, a number of similarities are drawn between these information-theoretic methods and perceptual properties such as masking, suprathreshold effects, error pooling, scale-space-orientation decomposition, etc., that make these algorithms perceptually and statistically appealing.

The mutual information terms in IFC and VIF can be shown to be functions of the correlation coefficients between patches of wavelet coefficients under the assumed NSS model, which is a GSM model [23]. This means that the entire reference image is required in order to compute the IFC/VIF indexes (or the correlation coefficients). Hence, these are both FR QA algorithms and not useful in NR and RR scenarios. This leads to the following question: what information-theoretic quantities can we compute using only reduced or no information from the reference?

The most successful general purpose RR quality metrics are based on computing the KL divergence between the reference and test images [12]. A DNT step before the computation of the KL divergence was shown to further improve the performance [13]. The DNT resembles a divisive normalization stage used in visual neural models and also allows for local processing before computing the KL divergence, which is a global measure of distances. It is interesting to note that, while the quality indexes based on shared mutual information have perceptual interpretations such as masking, suprathreshold effects, error pooling, etc. [22], those based on KL divergence by themselves do not possess these features. Such psychovisual properties have to be additionally introduced, for example, by the DNT as in [13] to improve performance. This leads to the question of whether we can design information-theoretic QA indexes in RR scenarios that possess other desirable psychovisual properties in order to further improve their performance.

As a possible answer to questions posed here, the approach that we take in this paper is to compute the average difference of scaled local entropies in the wavelet domain between the reference and distorted images. In essence, the quality indexes proposed compute the amount of local information difference between the reference and distorted images in a subband. Furthermore, this quantity can be computed in a distributed fashion between the reference and distorted images, making it an RR algorithm. The reason why we compute the difference of entropies is primarily due to the constraint of the problem (RR) than by choice. However, we show later on that this procedure still possesses desirable psychovisual properties leading to excellent performance of the algorithms. We present the details of the algorithms in the following section, reserving the perceptual interpretation of the algorithms for a later section.

### III. RR QA ALGORITHMS

We now describe the main theory on which the algorithms proposed in this paper are based. The source model considered here closely follows the assumptions in [22], while we approximate the wavelet coefficients of the distorted image to also follow GSM distributions. The resulting RR algorithms utilize the wavelet coefficients obtained by a steerable pyramid decomposition of the reference and distorted images into subbands at different orientations and scales [24]. Let K be the total number of subbands obtained as a result of this decomposition. The wavelet coefficients in subband  $k, k \in \{1, 2, \dots, K\}$ , are partitioned into  $M_k$  nonoverlapping blocks, each block containing N coefficients of size  $\sqrt{N} \times \sqrt{N}$ . Nonoverlapping blocks are assumed independent and identically distributed. Although, wavelet coefficients in adjacent blocks in scale, space, or orientation may not be independent, we make this assumption in order to simplify the quality index.

#### A. Source Model

Wavelet coefficients of natural images are modeled well by GSM distributions. Such models have also proved very useful in various image processing applications including QA [3], denoising [25], etc. Let  $\bar{C}_{mk} = (C_{1mk}, C_{2mk}, \ldots, C_{Nmk})$  denote the vector of coefficients in block  $m, m \in \{1, 2, \ldots, M_k\}$ , of subband k of the reference image. Therefore,

$$\bar{C}_{mk} = S_{mk}\bar{U}_{mk}$$

where  $\bar{U}_{mk} \sim \mathcal{N}(0, \mathbf{K}_{U_k})$  and  $S_{mk}$  is a scalar random variable that modulates the covariance matrix of block  $\bar{C}_{mk}$ . In addition,  $S_{mk}$  and  $\bar{U}_{mk}$  are independent. Subband k is associated with the covariance matrix  $\mathbf{K}_{U_k}$ , and  $\bar{U}_{mk}$  for each block m is identically distributed. Thus, the wavelet coefficient block  $\bar{C}_{mk}$ , when conditioned on realization  $S_{mk} = s_{mk}$ , is distributed according to a Gaussian model with a covariance matrix  $s_{mk}^2 \mathbf{K}_{U_k}$ . Furthermore,  $S_{mk}$  and  $\bar{U}_{mk}$  are each independent over m and k.

### B. Distortion Model

Distortions introduced in a natural image may take it outside the space of natural images. As a result, it is possible that the wavelet coefficients of these images do not follow a GSM distribution. We approach the problem of QA by projecting the distorted image on to the space of natural images. This means that we model the wavelet coefficients of the distorted image, as well

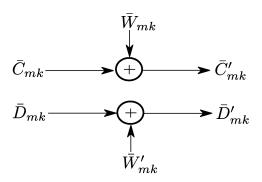


Fig. 1. System model.

as a GSM distribution. If the distortion process were to retain the distorted image within the space of natural images, we would not make any error by employing such a model. We measure the quality as a distance between the reference and a natural image approximation of the distorted image. We later show that the approach of approximating the wavelet coefficients of a distorted image by a GSM model results in RR QA algorithms that perform very well in predicting the quality scores of images. Denote  $\bar{D}_{mk} = (D_{1mk}, D_{2mk}, \ldots, D_{Nmk})$  as the vector of coefficients in block  $m \in \{1, 2, \ldots, M_k\}$  of subband k of the distorted image. We have

$$\bar{D}_{mk} = T_{mk}\bar{V}_{mk}$$

where  $\bar{V}_{mk} \sim \mathcal{N}(0, \mathbf{K}_{V_k})$  and  $T_{mk}$  is the scalar premultiplier random variable as in the reference image. The independence assumptions are similar to the reference image. We now describe the RR index.

# C. RR Quality Index

We additionally model the perceived reference and distorted images as passing through an additive neural noise channel, where the noise is assumed to be a zero mean Gaussian random vector for each block of coefficients. The neural noise model accounts for uncertainty introduced by the neural processing of the visual signal [3]. The resulting system model is shown in Fig. 1. We have

$$\bar{C}'_{mk} = \bar{C}_{mk} + W_{mk}$$
$$\bar{D}'_{mk} = \bar{D}_{mk} + W'_{mk}$$

where  $W_{mk} \sim \mathcal{N}(0, \sigma_W^2 \mathbf{I}_N)$  and  $W'_{mk} \sim \mathcal{N}(0, \sigma_W^2 \mathbf{I}_N)$ ;  $W_{mk}$  and  $W'_{mk}$  are independent of each other, independent of  $\bar{C}_{mk}$  and  $\bar{D}_{mk}$ , and independent across indexes m and k. The RR quality indexes that we introduce and which we term RR entropic-difference (RRED) indexes are defined as the average of the absolute value of the difference between the scaled entropies of the neural noisy reference and distorted images, conditioned on the realizations of the respective premultiplier random variables in a subband.

Let the eigenvalues of  $\mathbf{K}_{U_k}$  be  $\alpha_{1k}, \alpha_{2k}, \ldots, \alpha_{Nk}$  and the eigenvalues of  $\mathbf{K}_{V_k}$  be  $\beta_{1k}, \beta_{2k}, \ldots, \beta_{Nk}$ . In the following, assume that  $\mathbf{K}_{U_k}$  and  $\mathbf{K}_{V_k}$  are full-rank matrices. If this is not true, then the index is calculated by using the positive eigenvalues

alone. The entropy of a reference-image block m in subband k conditioned on  $S_{mk}=s_{mk}$  is given by  $^{\rm I}$ 

$$h\left(\bar{C}'_{mk}|S_{mk}=s_{mk}\right) = \frac{1}{2}\log\left[(2\pi e)^N\left(s_{mk}^2|\mathbf{K}_{U_k}|+\sigma_W^2\mathbf{I}_N\right)\right]$$
$$=\sum_{n=1}^N \frac{1}{2}\log\left[(2\pi e)\left(s_{mk}^2\alpha_{nk}+\sigma_W^2\right)\right].$$

Similarly, the entropy of the distorted image block conditioned on  $T_{mk}=t_{mk}$  is given by

$$h\left(\bar{D}'_{mk}|\bar{T}_{mk} = t_{m,k}\right) = \sum_{n=1}^{N} \frac{1}{2}\log\left[\left(2\pi e\right)\left(t_{mk}^{2}\beta_{nk} + \sigma_{W}^{2}\right)\right].$$
(1)

Define the following scaling factors:

$$\gamma^r_{mk} = \log\left(1 + s^2_{mk}\right) \text{ and } \gamma^d_{mk} = \log\left(1 + t^2_{mk}\right)$$
 .

The entropies conditioned on the realizations of the premultiplier random variables are multiplied by the aforementioned scalars before computing the difference. These scalars are increasing functions of the premultiplier random variables that tend to zero as the premultiplier tends to zero and saturate at high values. The imposition of these scalars before computing the difference has many advantages. They lend a local character to the algorithm imposing additional local effects on the entropy terms. The other benefit of these weights is in the context of those algorithms that operate with extremely small neural noise variance. In such a setting, these help saturate the entropy terms at locations having extremely small premultiplier random variable realizations, i.e., those that are close to zero. This helps avoid numerical instabilities in the computation of the entropy differences, particularly when computing the logarithm of very small variance values.

We present a family of algorithms by varying the subband in which quality is evaluated and the amount of information that is required from each subband for quality computation. First, we discuss algorithms obtained by varying the subband in which quality computation is carried out alone. In these algorithms, the scaled entropies at each block in one particular subband k,  $\{\gamma_{mk}^r h(\bar{C}'_{mk}|S_{mk}=s_{mk})\}_{m=1}^{M_k}$  of the reference image are used to evaluate the quality. Since different subbands have different sizes, the number of blocks reduces from the subbands at the finest scales to the subbands at the coarsest scales of the wavelet decomposition. Thus, the number of weighted entropy terms required is equal to the number of blocks in the corresponding subband  $M_k$ .

The RR QA index corresponding to subband k, when  $M_k$  scalars are available from the reference, is given by

$$RRED_k^{M_k} = \frac{1}{L_k} \sum_{m=1}^{M_k} \left| \gamma_{mk}^r h\left(\bar{C}'_{mk} | S_{mk} = s_{mk}\right) - \gamma_{mk}^d h\left(\bar{D}'_{mk} | T_{mk} = t_{mk}\right) \right|$$

where  $L_k$  is the size (number of coefficients) of subband k. The aforementioned index is an RR index since  $M_k$  is less than the

size of the image. We require all the  $M_k$  entropy terms since the absolute values of the differences are summed up. Note that the maximum size of  $M_k$  over all subbands is equal to the size of the image divided by N. In addition, the information required reduces when the quality is evaluated in the coarser bands as  $M_k$  reduces from subbands at finer scales to coarser scales. Either image can compute the index using the entropy information from the other image. The absolute value of the difference is calculated since the nature of the distortion process could lead to either an increase or a decrease in entropy. We only wish to measure the magnitude of the difference to evaluate the quality. This also implies that the RRED indexes are always positive. In addition, any enhanced image would show a difference in entropies, and the difference should be interpreted as an improvement in the quality.

The amount of information required from a subband can be also reduced by summing scaled entropy terms over patches and sending the sum of these scaled entropies instead of all the entropy terms. This is equivalent to filtering the image of weighted entropies in a subband using rectangular windows of sizes  $b \times b$  and subsampling by b in each dimension, where b is a natural number that represents the size of the patches. This procedure results in the loss of performance with subsampling, as illustrated in Section V. Let  $\Lambda_k$  denote the number of subsampled blocks, and let  $\lambda \in \{1,2,\ldots,\Lambda_k\}$  index the block. Every  $m \in \{1,2,\ldots,M_k\}$  belongs to one of the subsampled blocks  $B_{\lambda k}$  in subband k. Define

$$g_{\lambda k}^{r} = \sum_{m \in B_{\lambda k}} \gamma_{mk}^{r} h\left(\bar{C}_{mk}'|S_{mk} = s_{mk}\right)$$
$$g_{\lambda k}^{d} = \sum_{m \in B_{\lambda k}} \gamma_{mk}^{d} h\left(\bar{D}_{mk}'|T_{mk} = t_{mk}\right).$$

Then, the RR quality index in subband k when  $\Lambda_k$  scalars are available from the reference is given by

$$RRED_k^{\Lambda_k} = \frac{1}{L_k} \sum_{\lambda=1}^{\Lambda_k} \left| g_{\lambda k}^r - g_{\lambda k}^d \right|.$$

Thus, by filtering and subsampling, we can reduce the information required from every subband for quality computation. For example, for b=2, if the subband is filtered by windows of size  $2 \times 2$  and subsampled by a factor of 2 in each dimension, then the number of entropy terms required reduces from  $M_k$  to  $\Lambda_k = M_k/4$ . This is another method of reducing the amount of information required as against evaluating the quality in subbands at coarser scales.  $RRED_k^1$  denotes the algorithm in which all the scaled entropy terms in the subband are added and only the sum, which is a single scalar, is required for quality computation. Since only a single number is needed, this may be considered as an almost reference free algorithm. Here, we do not imply that the almost reference free algorithm approximates an NR algorithm but rather that just a single number is required. The algorithm still requires the single number without which quality cannot be computed.

The two methods previously described illustrate how the amount of information can be gradually reduced from an almost FR scenario to an almost reference-free scenario. Moreover, the filtering and subsampling procedures can be performed in

<sup>&</sup>lt;sup>1</sup>All logarithms in this paper are with respect to base 2.

coarser bands to further reduce the information. This results in a family of algorithms with varying performance levels. There are other variations that could be performed on the class of algorithms discussed so far. Let  $\mathcal K$  denote the set of subbands from which quality indexes are combined. For example, the quality index could be given as  $\sum_{k\in\mathcal K}\mu_k\mathrm{RRED}_k^b$ , where  $\mu_k$  are scalars used to weight the respective bands differently. We evaluate one such weighting strategy in Section V.

### D. Estimation of Parameters

In order to compute the QA index, it is necessary to estimate  $\bar{s}, \bar{t}, \mathbf{K}_{U_k}$ , and  $\mathbf{K}_{V_k}, k \in \{1, 2, \dots, K\}$ . The procedure outlined here is similar to the estimation of reference-image parameters in [7]. We obtain maximum likelihood (ML) estimates for the aforementioned parameters. Without loss of generality, assume  $\sum_{m=1}^{M_k} s_{mk}^2 = 1$  and  $\sum_{m=1}^{M_k} t_{mk}^2 = 1$ . Therefore, the ML estimates of  $\mathbf{K}_{U_k}$  and  $\mathbf{K}_{V_k}$  are given by

$$\hat{\mathbf{K}}_{U_k} = \sum_{m=1}^{M_k} \frac{\bar{C}_{mk} \bar{C}_{mk}^T}{M_k} \qquad \hat{\mathbf{K}}_{V_k} = \sum_{m=1}^{M_k} \frac{\bar{D}_{mk} \bar{D}_{mk}^T}{M_k}.$$

Since the wavelet coefficients are conditionally Gaussian distributed, the ML estimates of  $s^2_{mk}$  and  $t^2_{mk}$  are given by

$$\hat{s}_{mk}^2 = \frac{\bar{C}_{mk}^T \mathbf{K}_{U_k}^{-1} \bar{C}_{mk}}{N} \qquad \hat{t}_{mk}^2 = \frac{\bar{D}_{mk}^T \mathbf{K}_{V_k}^{-1} \bar{D}_{mk}}{N}$$

for  $m \in \{1, 2, \dots, M_k\}$ .

# IV. PERCEPTUAL INTERPRETATION OF THE ALGORITHMS

We now cast the RR QA indexes proposed in the previous section against perceptual principles often used in developing QA algorithms [26].

# A. Scale-Space-Orientation Decomposition

The first step in the RR algorithm, similar to IFC/VIF, is a wavelet decomposition of the image at multiple scales and orientations. This step imitates the signal processing that happens in the primary visual cortex (area V1) of the human visual system. There are different wavelet transforms that could be used for the multiscale multiorientation decomposition. The Gabor family of wavelets are widely used in image processing owing to the fact that neural responses in the primary visual cortex are well modeled by Gabor filters [27]. Furthermore, the Gabor functions achieve the lower bound on the uncertainty in space and spatial frequency and are thus simultaneously localizable. Successful video QA algorithms such as the MOVIE index [28] employ Gabor filters to decompose video data prior to performing QA.

On the other hand, orthogonal wavelet transforms used in multiscale wavelet analysis suffer from aliasing or lack of shift invariance. A small shift in space in the image signal could lead to a significant change in the subband responses. Steerable pyramid is an alternate multiscale multiorientation decomposition that has the advantage of being shift, scale, and rotation invariant. Furthermore, steerable pyramids have been successfully deployed in a variety of image processing applications including compression, denoising, deblurring, etc. In the context of image QA, FR image quality indexes such as IFC and VIF use the steerable pyramid wavelet decomposition. The quality

indexes are evaluated in the wavelet domain, using a GSM distribution model on the wavelet coefficients to compute information-theoretic quantities. Likewise, we use the steerable pyramid decomposition to accomplish RR image QA.

# B. Contrast Masking

The contrast masking principle refers to the phenomenon by which the visibility of distortions in a signal component is inhibited by the presence of a masker having a similar orientation or scale at a given location. Contrast masking has been modeled in various ways in the literature, the two main methods being threshold elevation [29], [30] and gain control through divisive normalization [31]–[33].

Divisive normalization refers to models of perceptual processing, whereby neural responses are divided by local (neural responses adjacent to the given location in space, orientation, and scale) energy in the responses. Our approach to entropic RR QA algorithm design uses conditioning on the realizations of the premultiplier random variables, which is analogous to divisive normalization processes in the primary visual cortex (area V1) of the human visual system. Both divisive normalization and conditioning seek to reduce the amount of dependence in local blocks of wavelet coefficients or neural responses at the output of a multichannel decomposition. We use the notation from the previous section where a block of wavelet coefficients  $\bar{C} = S\bar{U}$ . While dividing by S results in the vector U and the dependence structure within it, conditioning on S statistically achieves the same objective, leaving us with just the dependence in  $\bar{U}$ . Therefore, divisive normalization approximates conditioning and vice versa. The reduction in the dependence of local responses is also an important perceptual phenomenon in the human visual system, which can be accomplished by both divisive normalization and conditioning.

The difference of weighted entropies also ensures the numerical stability of the distortions at locations where the energy of the coefficients is extremely small, particularly when the neural noise variance is also small. The weights at these locations tend toward zero, thereby saturating the difference between the reference and distorted images at these locations. This effect is similar to the role that saturation constants perform in the divisive normalization of errors [26]. We hypothesize that the neural noise variance is also related to the saturation constants in contrast sensitivity models. While saturation constants achieve numerical stability in areas of low signal energy, they also saturate the responses to zero in such areas. The neural noise variance precisely achieves this while computing the local entropy terms. These ensure that the local entropy terms are stable yet small in areas of low signal energy.

## C. Suprathreshold Effects

The suprathreshold effect refers to the phenomenon by which distortions are perceivable only if they are at or above a threshold distortion level. Furthermore, variations in the level of suprathreshold distortions decrease as the degree of distortion increases. The logarithm operation in the algorithm essentially accomplishes this desirable property. Note that the entropy of Gaussian random variables is expressed by the logarithm of the variance. Although we compute the logarithm of local variances of the reference- and distorted-image coefficients, scale

Distortion Type	$RRED_4^{M_4}$	$RRED_{10}^{M_{10}}$	$RRED_{16}^{M_{16}}$	$RRED_{22}^{M_{22}}$	PSNR	VIF (FR)[3]
JPEG2000	0.9536	0.9631	0.9580	0.9363	0.8951	0.9696
JPEG	0.9772	0.9777	0.9759	0.9405	0.8812	0.9846
AWGN	0.9763	0.9769	0.9780	0.9779	0.9853	0.9858
Gaussian Blur	0.9221	0.9595	0.9678	0.9236	0.7812	0.9728
Fast fading errors	0.7549	0.8523	0.9427	0.9377	0.8904	0.9650
Overall	0.8964	0.9343	0.9429	0.9149	0.8754	0.9636
No.of scalars	L/576	L/144	L/36	L/9	L	L

TABLE I SROCC BETWEEN RRED INDEXES AT DIFFERENT SCALES AND LIVE IMAGE QUALITY ASSESSMENT DATABASE SCORES

them appropriately, and then compute the difference, this is equivalent to computing the logarithm of the ratio of variances raised to powers (corresponding to the weights). Thus, the ratio of variances raised to powers is the underlying distortion measure on which a nonlinear logarithmic function is applied.

#### D. Error Pooling

The error pooling strategy in these algorithms is a two-step process depending on the amount of reference information on which the algorithms operate. The algorithms that send all the entropy terms from the reference image use a single pooling mechanism in which the absolute values of the differences of scaled entropies are averaged in the last step. The single number algorithms also follow a single pooling strategy where all the scaled entropy terms are first averaged and then the absolute values of these differences are calculated. In between the two extremes, the algorithms use a two-step pooling strategy where some local entropy terms are pooled first and another pooling is performed on the absolute differences of the pooled entropy terms of the first stage. Pooling strategies in both stages can be thought of as a Minkowski error pooling strategy with exponent 1. The Minkowski pooling strategy is popularly used in human-visual-system-based approaches to image QA such as [26], although there is no evidence to support that such a pooling method is a good model for the aggregation of information in the visual-area middle temporal of the human visual system.

# V. RESULTS AND DISCUSSION

We conducted experiments on the two largest and best-known image quality databases, i.e., Laboratory for Image and Video Engineering (LIVE) Image Quality Assessment Database [34] and the Tampere Image Database (TID) [35] of distorted images and perceptual scores. The LIVE database contains five different distortion categories including JPEG2000, JPEG, additive white Gaussian noise, Gaussian blur, and bit errors due to the transmission of JPEG2000 images over a fast-fading channel. There are a total of 779 distorted images across all distortion categories. Note that the RR algorithm operates without knowledge of the distortion type. We present the results of various algorithms belonging to the framework described in this paper. One class of algorithms uses all of the entropy terms in every subband to compute quality. In another class of algorithms, the weighted entropies of every subband are filtered and subsampled at different rates, producing different algorithms for the same subband.

Both the reference and distorted images are decomposed into different subbands using a steerable pyramid wavelet decom-

position using six orientations at four scales [24]. Thus, there are a total of 25 subbands in the wavelet decomposition. The algorithm was implemented using blocks of size  $3 \times 3$  in each subband, implying a value of N=9. However, the algorithms are robust to the exact choice of the size of neighborhoods, and we get approximately the same performance for different sizes.

In Table I, we show the performance results of the algorithm obtained by computing  $\mathrm{RRED}_k^{M_k}$  for all the vertically oriented subbands at different scales. The analysis in [22] suggests that human subjects are more sensitive to horizontal and vertical orientations than others. Furthermore, we observe that the performance obtained by choosing the vertical subbands is marginally better than the horizontal subbands. The vertically oriented subbands are indexed by k=4,10,16,22 from the coarsest to finest scale, i.e., at levels 1 through 4. We choose  $\sigma_W^2=0.1$ . Note that this is the same value of neural noise variance that has been used in prior algorithms [3] and we do not imply any training on the database. The performance of the algorithms in the RRED framework has proved to be robust to the choice of the neural noise variance, particularly in the regime of high information from the reference.

In Table I, the Spearman rank order correlation coefficient (SROCC) between the scores of one class of the RRED indexes and subjective [difference of mean opinion scores (DMOS)] scores from the LIVE image database are shown. The SROCC helps analyze how well the prediction monotonicity is preserved between the subjective ratings of the quality and the scores output by the RR QA algorithm. The performance is shown for each distortion, as well as over all on the database. A comparison is also drawn between the amount of information required from the reference for the computation of the quality indexes for the respective algorithms. Throughout this section, L refers to the size of the image in pixels. The row labeled "No. of scalars" indicates the amount of information required from the reference for quality computation. We also included the performance of FR QA algorithms such as peak signal-to-noise ratio (PSNR) and VIF for comparison. One important observation is that some of the RRED indexes perform nearly as well as the best performing FR QA algorithms such as VIF. Furthermore, most of the RRED indexes considerably outperform PSNR for all distortion types (except images distorted by Gaussian noise), as well as on the entire database. Even for images distorted by Gaussian noise, the performance of the RRED indexes is comparable with that of PSNR, which is an FR algorithm. The variation in the performance of the RRED indexes at different scales reveals that, although evaluating the quality in a coarser subband needs less information, it could potentially outperform the algorithm evaluated in a finer scale using more information.

Distortion Type	$RRED_4^{M_4}$	$RRED_{10}^{M_{10}}$	$RRED_{16}^{M_{16}}$	$RRED_{22}^{M_{22}}$	PSNR	VIF (FR)[3]
JPEG2000	0.9600	0.9688	0.9629	0.9401	0.8995	0.9476
JPEG	0.9819	0.9820	0.9793	0.9457	0.8899	0.9873
AWGN	0.9813	0.9838	0.9845	0.9682	0.9861	0.9883
Gaussian Blur	0.9318	0.9661	0.9698	0.8980	0.7837	0.9745
Fast fading errors	0.7838	0.8688	0.9413	0.9220	0.8897	0.9696
Overall	0.9066	0.9349	0.9385	0.9099	0.8723	0.9604
No.of scalars	L/576	L/144	L/36	L/9	L	L

TABLE II LCC Between RR Algorithms at Different Scales and LIVE Image Quality Assessment Database Scores

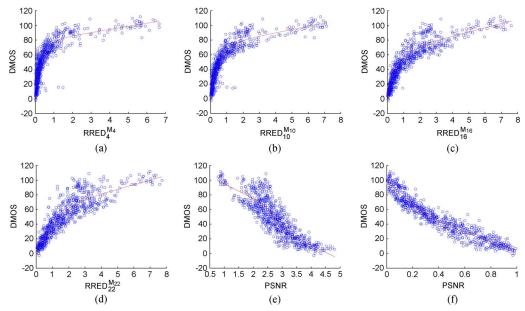


Fig. 2. Logistic fits used to compute LCC on the overall LIVE Image Quality Assessment Database for different objective algorithms. (a)  $RRED_4^{M_4}$ . (b)  $RRED_{10}^{M_{10}}$ . (c)  $RRED_{16}^{M_{16}}$ . (d)  $RRED_{22}^{M_{22}}$ . (e) PSNR. (f) VIF.

This suggests that transmitting the right information is crucial to obtain high-performance QA algorithms.

The correlation analysis reveals that subbands at certain scales are more sensitive to particular distortions or artifacts. While blur and fast-fading errors are better captured by subbands at finer scales, the coarser scales perform better for compressed and noisy images. This is because edgelike artifacts introduced by these distortions are reflected better in the coarser subbands. The performance of fast-fading errors goes down with the fineness of the scale, although the overall performance on the database is still very good. Given an application, a user could evaluate the algorithm in a subband or subbands that yield the best performance.

It is worth mentioning that an understanding of how subjective scores change with changes in the viewing distance or the size of the images is very limited. The work in [36] represents a subjective study on viewing distance conditions for JPEG and JPEG2000 images, while the work in [37] analyzes the effect of downsampling the image, prior to objective QA. We remark that it is indeed possible that, depending on the viewing conditions (in particular, the distance at which images are viewed), RRED evaluated at different scales could be more perceptually relevant.

We show the linear correlation coefficient (LCC) scores obtained between DMOS or subjective scores of the quality and

the RRED indexes in Table II. A logistic nonlinearity is applied to the RRED indexes before computing the linear correlation between the quality scores of the algorithm and the subjective scores available with the database. The nonlinearity relation is described by

$$\begin{aligned} \text{Quality}(x) &= \beta_1 \text{logistic}(\beta_2, x - \beta_3) + \beta_4 x + \beta_5 \\ \text{logistic}(\tau, x) &= \frac{1}{2} - \frac{1}{1 + \exp(\tau x)}. \end{aligned}$$

We observe the same trends in Table II as in Table I for SROCC. We also show the logistic fit of scores of the objective algorithms listed in the table in Fig. 2. The flattening of the curves from Fig. 2(a)–(d) indicates that the RRED indexes at coarser scales tend to cluster the quality indexes of most images close to zero. As we move from Fig. 2(a)–(d), the RRED indexes are now evaluated in finer scales, which provide a better separation of quality scores. The outliers in Fig. 2(a)–(c) correspond to images containing a large area of high-frequency textured regions. Since Fig. 2(a)–(c) are evaluations of the RRED indexes at coarser scales, they do not accurately capture the degradation in the quality in these high-frequency regions leading to deviations in the logistic fit.

The algorithm evaluated in subband 10 yields excellent overall performance (in terms of both SROCC and LCC), as well as for compression, noise, and blur distortions. The only

Distortion Type	$RRED_{16}^{M_{16}}$	$RRED_{16}^{M_{16}/4}$	$RRED_{16}^{M_{16}/16}$	$RRED_{16}^{M_{16}/64}$	$RRED_{16}^{M_{16}/256}$
JPEG2000	0.9580	0.9600	0.9611	0.9580	0.9490
JPEG	0.9759	0.9760	0.9766	0.9726	0.9623
AWGN	0.9780	0.9748	0.9688	0.9626	0.9502
Gaussian Blur	0.9675	0.9429	0.9674	0.9679	0.9655
Fast fading errors	0.9427	0.9380	0.9338	0.9275	0.9202
Overall	0.9429	0.9359	0.9169	0.8865	0.8543
No.of scalars	L/36	L/144	L/576	L/2304	L/9296

TABLE III
EFFECT OF FILTERING AND SUBSAMPLING ON RR ALGORITHMS—SROCC

TABLE IV
EFFECT OF NEURAL NOISE ON RRED INDICES—SROCC

Distortion Type	$\sigma_W^2 = 0$	$\sigma_W^2 = 0.1$	$\sigma_W^2 = 1$
JPEG2000	0.9468	0.9514	0.9455
JPEG	0.9113	0.9152	0.9179
AWGN	0.9452	0.9447	0.9314
Gaussian Blur	0.9698	0.9038	0.6108
Fast fading errors	0.9181	0.9183	0.8773
Overall	0.7682	0.7978	0.8877

drawback of the algorithm is the reduced performance in the category of images corrupted by fast-fading errors. The overall performance decays in the subband at the finest scale, whereas the performance in the individual categories is still very good. In addition, we observe that the performance of the subband at the second finest scale (subband 16) is uniformly very good for all distortion types and on the overall database. We now study the effect of filtering and subsampling the scaled entropies on subband 16. Similar trends are observed for the other subbands as well.

Table III clearly demonstrates the degradation in the performance of the RR algorithms with subsampling. Observe that, although the overall correlations are reduced by increases in the degree of subsampling, the correlation score for each individual distortion category remains very competitive with state-of-the-art FR algorithms. The reduced performance on the overall database can be attributed to the different ranges of quality scores that occur for different distortion types. Certain distortions such as JPEG and JPEG2000 lead to different locations in the same subband having an increase and a decrease in entropy simultaneously. For example, in JPEG, increases in entropy occur due to the introduction of discontinuous blocking artifacts, whereas decreases in entropy occur in smoother regions that are heavily quantized. As a result, when weighted entropies are summed up, the gain and the loss of entropies tend to cancel each other, leading to lower quality ranges. When the entropies are summed up after the absolute value of the difference is calculated for every block, the changes in the entropies are still preserved, leading to an algorithm that possesses better overall performance.

As a limiting case of the aforementioned analysis, we analyze the performance of RRED indexes that require only a single number from the reference. It turns out that these algorithms are more sensitive to the choice of the neural noise variance. Thus, we study the variation in the performance of the single-number algorithms as a function of the neural noise variance in Table IV.

Observe that, when  $\sigma_W^2=0$ , the RRED indexes simply compute the difference between the scaled entropies of the refer-

Distortion Type	$RRED^*$	Curvelet [15]	HWD2[15]	WNISM[12]
JPEG2000	0.9495	0.9170	0.9362	0.9135
JPEG	0.9512	0.9288	0.9543	0.9069
AWGN	0.9664	0.9585	0.9321	0.8703
Gaussian Blur	0.9453	0.9131	0.8282	0.9147
Fast fading errors	0.9310	0.9378	0.9386	0.9229
Overall	0.8606	0.9104	0.9418	0.7651
No. of scalars	4	24	16	18

TABLE VI OVERALL PERFORMANCE RESULTS ON TID2008

Algorithm	SROCC
MSSIM	0.853
$RRED_{16}^{M_{16}}$	0.824
VIF	0.750
VSNR	0.705
PSNR	0.553
$RRED_{22}^1$	0.521
WNISM [12]	0.512

ence and distorted wavelet coefficients. While the overall performance increases as  $\sigma_W^2$  increases, the performance within the category of images distorted by Gaussian blur decreases. Thus, it is possible to trade off these performances and achieve a desirable operating point by choosing the corresponding value of  $\sigma_W^2$ . This suggests that the neural noise variance of our model does impact the perception of blur apart from being able to better align scores belonging to different distortion categories. We could improve the overall performance for each value of  $\sigma_W^2$ by sending one number per subband of the wavelet decomposition. For example, by using four numbers from the four vertical subbands at different scales of the reference, we can improve the overall SROCC for  $\sigma_W^2 = 0.1$  to 0.8606 by weighting the bands from coarser to finer scales in the ratio of 8:4:2:1. This algorithm still achieves excellent performance within each distortion category. Let RRED\* denote this algorithm. We compare this algorithm against other popular RR QA algorithms in Table V.

We now present performance results for a couple of algorithms belonging to the framework of RRED indexes on the TID2008 [35]. TID2008 contains 17 types of distortions across 1700 distorted images. We use the same value of the neural noise  $\sigma_W^2=0.1$  as used in all of the results presented here (other than the "single number" algorithms) on the LIVE image database. The results presented below demonstrate that the parameters of the algorithm do not require any training on databases. Table VI contains results on the SROCC obtained overall on the

Distortion type	$RRED_{16}^{M_{16}}$	MSSIM	VIF	$RRED_{22}^1$	PSNR	WNISM
Additive Gaussian noise	0.820	0.809	0.880	0.702	0.908	0.603
Additive noise in color components is more						
intensive than additive noise in the luminance component	0.850	0.806	0.876	0.684	0.897	0.604
Spatially correlated noise	0.842	0.820	0.870	0.712	0.917	0.599
Masked noise	0.833	0.816	0.868	0.744	0.851	0.633
High frequency noise	0.901	0.869	0.908	0.794	0.927	0.708
Impulse noise	0.741	0.687	0.833	0.549	0.872	0.593
Quantization noise	0.831	0.854	0.780	0.591	0.870	0.619
Gaussian blur	0.957	0.961	0.954	0.935	0.870	0.871
Image denoising	0.949	0.957	0.916	0.925	0.941	0.864
JPEG compression	0.933	0.935	0.917	0.819	0.873	0.834
JPEG2000 compression	0.968	0.974	0.971	0.948	0.813	0.935
JPEG transmission errors	0.870	0.874	0.859	0.782	0.751	0.875
JPEG2000 transmission errors	0.742	0.853	0.850	0.628	0.831	0.691
Non eccentricity pattern noise	0.713	0.734	0.762	0.277	0.581	0.452
Local block-wise distortions of different intensity	0.824	0.762	0.832	0.691	0.617	0.590
Mean shift (intensity shift)	0.538	0.737	0.510	0.418	0.694	0.292
Contrast change	0.542	0.640	0.819	0.723	0.587	0.701

TABLE VII
DISTORTION WISE PERFORMANCE RESULTS ON TID2008–SROCC

database, while Table VII provides the SROCC for each type of distortion on the TID. MS-SSIM denotes multiscale SSIM in the tables.  $RRED_{16}^{M_{16}}$  performs better than some of the best FR algorithms, whereas  $RRED_{22}^{1}$  is competitive with PSNR and outperforms [12].

We conclude this section with a brief note on the computational complexity of the RRED algorithms. The steerable pyramid decomposition of the image has a computational complexity of  $O(N\log N)$  arithmetic operations per scale, where N is the total number of pixels in the image. The following calculations represent the computational cost per scale. The estimation of  $\mathbf{K}_U$  requires O(N) operations, whereas the singular value decomposition of  $\mathbf{K}_U$  requires  $O(M^3)$  operations, where  $\mathbf{K}_U$  is of size  $M\times M$ . Note that M represents the number of elements in a block of size  $\sqrt{M}\times\sqrt{M}$ . Furthermore, the estimation of local variance parameters (premultipliers) requires  $O(NM^2)$  operations, while sending the desired information from the reference or distorted image can be upper bounded by a cost of O(N). Thus, the overall computational complexity of the RRED algorithms is  $O(N(\log N + M^2))$ .

The time required for computing the index is calculated by running the algorithm on an Intel Pentium 4 processor with 2-GB random access memory and 3.4-GHz speed. The algorithm was simulated using MATLAB version R2008a without any optimization of the code. The total time required to compute the index can be divided into four different phases at both the reference and distorted images. The first phase involves reading the image, which takes around 0.2 s. One of the bottle necks in the computation of the RRED indexes is the time required to perform a multiscale multiorientation decomposition using steerable pyramids, which requires 1.5-1.6 s. Computing the scaled entropies for a subband, which is also the information required to be transmitted takes 0.9-1 s for the largest subband. This could be more depending on the number of subbands in which the index is evaluated. Finally, the computation of the index from the numbers transmitted from the reference and distorted images requires time of the order of milliseconds. Overall, the algorithm requires around 2.8 s to transmit the desired information from each (reference or distorted) image.

### VI. CONCLUSION

We have studied the problem of the RR image QA by measuring the changes in suitably weighted entropies between the reference and distorted images in the wavelet domain. A distinguishing feature of the RRED indexes is that these algorithms do not depend on any parameters that need to be trained on databases. The algorithms differ in the nature of the distortion measurement (by computing quality in different orientated subbands at different scales) and the quantity of the information required from the reference to compute quality (by filtering and subsampling in every subband). When the number of scalars required is around 1/40 of the image size, the algorithm achieves a performance that is nearly as good as the best performing FR OA algorithms. Even when only a single scalar is obtained from the reference image, the algorithm achieves close to the state-of-the-art performance within each distortion category without knowing anything about the type of distortions that the image might have been subjected to. Moreover, the algorithms perform much better than MSE, which is an FR algorithm.

The overall performance of the single-number algorithms may be further improved by better aligning the scores obtained for different distortion categories. This is a subject of future research. The use of a multiscale multiorientation decomposition before computing the index increases the complexity of the algorithm. Efficient implementations of this step can help reduce the time taken for implementation of the algorithm. The dependence on the viewing distance of the performance of such multiscale algorithms also requires a better understanding. Future work also includes extending this idea to video where similar algorithms might be very useful in regimes that require a minimal amount of reference information.

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Rajiv Soundararajan (M'08) received the B.E. degree (honors) in electrical and electronics engineering in 2006 from Birla Institute of Technology and Science, Pilani, India, and the M.S. degree in electrical engineering in 2008 from The University of Texas at Austin, Austin, where he is currently working toward the Ph.D. degree.

His research interests are broadly in statistical image and video processing, information theory and, more specifically, in compression and quality assessment.



Alan C. Bovik (F'96) is the Curry/Cullen Trust Endowed Chair Professor with The University of Texas at Austin, Austin, where he is the Director of the Laboratory for Image and Video Engineering. He is a Faculty Member with the Department of Electrical and Computer Engineering and the Center for Perceptual Systems in the Institute for Neuroscience. He has published nearly 600 technical articles in these areas and is the holder of two U.S. patents. His several books include the recent companion volumes *The Essential Guides to Image and Video Processing* (Acae

demic, 2009). His research interests include image and video processing, computational vision, and visual perception.

Dr. Bovik is a registered Professional Engineer in the State of Texas and is a frequent consultant to legal, industrial, and academic institutions. He is a Fellow of the Optical Society of America, the Society of Photo-Optical and Instrumentation Engineers (SPIE), and the American Institute of Medical and Biomedical Engineering. He has been involved in numerous professional society activities, including being a member of the Board of Governors, IEEE Signal Processing Society, 1996–1998; a cofounder and the Editor-in-Chief, IEEE TRANSACTIONS ON IMAGE PROCESSING, 1996-2002; a member of the Editorial Board, The Proceedings of the IEEE, 1998-2004; a Series Editor for Image, Video, and Multimedia Processing, Morgan and Claypool Publishing Company, 2003-present; and the Founding General Chairman, First IEEE International Conference on Image Processing held in Austin, Texas, in November 1994. He was named the SPIE/IS&TImaging Scientist of the Year for 2011. He was a recipient of major awards from the IEEE Signal Processing Society, including the Best Paper Award (2009), the Education Award (2007), the Technical Achievement Award (2005), and the Meritorious Service Award (1998). He was also a recipient of the Hocott Award for Distinguished Engineering Research from the University of Texas at Austin, the Distinguished Alumni Award from the University of Illinois at Champaign-Urbana (2008), the IEEE Third Millennium Medal (2000), and two journal paper awards from the International Pattern Recognition Society (1988 and 1993).