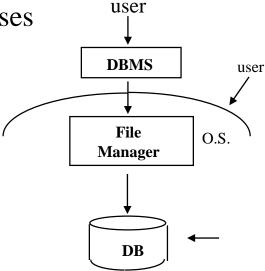
Unit 14 Security and Integrity

Contents

- 14.1 Introduction
- □ 14.2 Security
- □ 14.3 Integrity
- □ 14.4 Security and Integrity in INGRES
- □ 14.5 Security in Statistical Databases
- □ 14.6 Data Encryption



14.1 Introduction

Security and Integrity

- Objective
 - **Security**: protect data against unauthorized disclosure, alteration, or destruction.
 - **Integrity**: ensure data valid or accurate.
- Problem
 - **Security**: allowed or not ?
 - **Integrity**: correct or not ?
- Similarity between Security and Integrity
 - the system needs to be aware of <u>certain **constraints**</u> that the users must not violate.
 - **constraints** must be specified (by DBA) in some **languages**.
 - **constraints** must be maintained in the **system catalog** (or dictionary).
 - DBMS must monitor user interactions.

14.2 Security

General Considerations

Aspects of the security problem:

- Legal, social, ethical
- Physical control
- O.S. security
- DBMS

The unit of data for security purpose

- an entire database
- a relation
- a specific row-and-column data

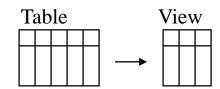
	S.S#	S.Status	S	P	SP
Charley	1	1	1	0	0

Access Control Matrix

- A given user typically have different access rights on different objects.
- Different users may have different access rights on the same object.
- Access right on object: read, write, owner, ...

Security on SQL: View Mechanism

- Two features
 - View mechanism: hide sensitive data.
 - Authorization subsystem: specify access right.



View Mechanism

<e.g.1> For a user permitted access only supplier records located in Paris :

```
CREATE VIEW PARIS_SUPPLIERS
AS SELECT S#, SNAME, STATUS, CITY
FROM S
WHERE city = 'Paris'; /*value dependent*/
```

- Users of this view see a horizontal subset, similar views can be created for vertical subset or row-and-column subset.
- <e.g.2> For a user permitted access to catalog entries for tables created by that user:

```
CREATE VIEW MY_TABLES
AS SELECT *
FROM SYSTABLE
WHERE CREATOR = USER; /*context dependent*/
```

Security on SQL (cont.)

<e.g.3> For a user permitted access to average shipment quantities per supplier, but not to any individual quantities :

```
CREATE VIEW AVQ (S#, AVGQTY)

AS SELECT S#, AVG(QTY)

FROM SP

GROUP BY S#; /*statistical summary*/
```

- Advantages of view mechanism
 - Provide security for free.
 - many authorization checks can be applied at compile time.

Security on SQL: Authorization Subsystem

■ In DB2, the installation procedure

- specify a privilege user as the system administrator.
- the system administrator is given a special <u>authority</u> SYSADM, means the holder can perform every operation the system support.
- the system administrator grant rights to other user.
- use access control matrix

	S.S#	S.Status	S	P	SP
Charley	1	1	1	0	0
		••••			

• <e.g.1> [GRANT]

GRANT SELECT ON TABLE S TO CHARLEY:

GRANT SELECT, UPDATE (STATUS, CITY) ON TABLE S TO JUDY, JACK, JOHN;

GRANT ALL ON TABLE S, P, SP TO FRED, MARY;

GRANT SELECT ON TABLE P TO PUBLIC;

GRANT INDEX ON TABLE S TO PHIL:

• <e.g.2> [REVOKE]

REVOKE SELECT ON TABLE S FROM CHARLEY;

REVOKE UPDATE ON TABLE S FROM JOHN;

REVOKE INSERT, DELETE ON TABLE SP FROM NANCY, JACK;

REVOKE ALL ON TABLE S, P, SP FROM SAM

Security on SQL: Authorization Subsystem

- The rights that apply to tables (both base tables and views):
 - SELECT
 - UPDATE: can specify column
 - DELETE
 - INSERT
- The rights that apply to base tables only
 - ALTER: right to execute ALTER TABLE
 - INDEX: right to execute CREATE INDEX
- The GRANT option

```
User U1: GRANT SELECT ON TABLE S TO U2 WITH GRANT OPTION;
User U2: GRANT SELECT ON TABLE S TO U3 WITH GRANT OPTION;
User U3: GRANT SELECT ON TABLE S TO U4 WITH GRANT OPTION;
```

• The **REVOKE** will cascade

```
User U1: REVOKE SELECT ON TABLE S FROM U2; • U3, U4, are revoked automatically!
```

• **Authorization** can be queried (recorded in system catalog).

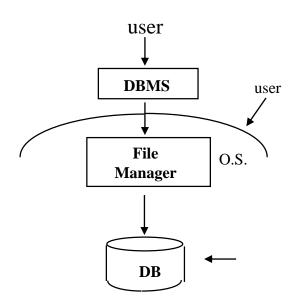
Aspects of Security

Other Aspects of Security

- The total system should be secure.
- Not to assume the security system is perfect
 - Audit Trail: keep track of all operations' information.

<e.g.> terminal, user, date, time, ...

- <u>Statistical Databases: 14.5</u>
- <u>Data Encryption: 14.6</u>
- Access Control Schemes (papers)



14.3 Integrity

General Considerations of Integrity

- "Integrity" refers to accuracy or correctness.
- Most of integrity checking today is still done by <u>user-written procedure</u>.
- It is preferable to specify **integrity constraints** in <u>declarative fashion</u> and have the system to do the check.
- Integrity constraint can be regarded as a condition that all correct states of the database required to satisfy.

 CREATE INTEGRITY RULE R1

<e.g.> FORALL SX (SX.STATUS > 0)

- If an integrity constraint is violated, either
 - <1> Reject, or
 - <2> Perform **compensating action** to ensure the correctness.
- <u>Language</u> for specifying <u>integrity constraints</u> should include
 - <1> the ability to specify arbitrary **conditions**.
 - <2> the ability to specify **compensating actions**.

ON INSERT S.STATUS,

ELSE REJECT:

UPDATE S.STATUS;

CHECK FORALL S (S.STATUS > 0)

Types of Integrity Constraints

Domain Constraints

values of an attribute are required to belong to a pool of legal values (domain).

$$\langle e.g. \rangle S.STATUS > 0$$

 $SP.QTY > 0$

Primary and Foreign key constraints

<e.g.> S.S# must be unique
 SP.S# must be contained in S.S#.

FD, MVD, JD

$$\langle e.g. \rangle$$
 S.S# => S.CITY
 \forall SX \forall SY (IF SX.S# = SY.S# THEN SX.CITY = SY.CITY)

Format Constraints

<e.g.> ID number: A99999999

Range Constraints

<e.g.> SALARY in ($10,000 \sim 100,000$).

A Hypothetical Integrity Language

- Two statements
 - <1> CREATE INTEGRITY RULE
 - <2> DROP INTEGRITY RULE
- <e.g.1> STATUS values must be positive:

CREATE INTEGRITY RULE **R1**ON INSERT S.STATUS,
UPDATE S.STATUS;
CHECK FORALL S (S.STATUS > 0)
ELSE REJECT;

- Rule name: R1.
- Checking times: ON INSERT S.STATUS, UPDATE S.STATUS.
- Constraint: FORALL S (S.STATUS > 0)
- Violation Response: REJECT.
- Default

CREATE INTEGRITY RULE **R1** CHECK S.STATUS > 0;

- When a CREATE INTEGRITY RULE statement is executed:
 - (1) the system check if the <u>current database state</u> satisfied the specified constraint,

YES => accept the rule

NO => reject the rule

- (2) the accepted rule is saved in **system catalog**,
- (3) DBMS monitor all operations at the specified checking times.
- The integrity rule can be dropped

DROP INTEGRITY RULE R1;

<e.g.2> [The constraints can be arbitrary complex]

Assume that **SP** includes MONTH, DAY, YEAR, each is CHAR(2), representing the date of the shipment.

CREATE INTEGRITY RULE **R2**

CHECK IS_INTEGER (SP.YEAR)

AND IS_INTEGER (SP.MONTH)

AND IS_INTEGER (SP.DAY)

AND NUM (SP.YEAR) BETWEEN 0 AND 99

AND NUM (SP.MONTH) BETWEEN 1 AND 12

AND NUM (SP.DAY) > 0

AND IF NUM (SP.MONTH) IN (1,3,5,7,8,10,12)

THEN NUM (SP.DAY) < 32

AND IF NUM (SP.MONTH) IN (4,6,9,11)

THEN NUM (SP.DAY) < 31

AND IF NUM (SP.MONTH) = 2

THEN NUM (SP.DAY) <30

AND IF NUM (SP.MONTH) = 2

AND NUM (SP.DAY) <>0

AND MOD (NUM(SP.YEAR),4) = 0

THEN NUM (SP.DAY) < 29

- <e.g.3> Status values must never decrease CREATE INTEGRITY RULE R3 BEFORE UPDATE OF S.STATUS FROM NEW_STATUS: CHECK NEW_STATUS > S.STATUS;
- <e.g.4> The average supplier must supply greater than 25 CREATE INTEGRITY RULE **R4** CHECK IF <u>EXISTS</u> S() THEN <u>AVG</u>(S.STATUS) > 25
- <e.g.5> Every London supplier must supply part p2

 CREATE INTEGRITY RULE R5

 AT COMMIT:

 CHECK IF S.CITY = 'London' THEN

 EXISTS SP (SP.S# = S.S# AND SP.P# = 'P2')

 ELSE ROLLBACK;

Note: the constraint must be <u>checked at commit time</u>, otherwise, it's never possible to INSERT a <u>new S</u> record for a supplier in 'London'.

<e.g.6> Field S# is the <u>primary key</u> for S.

```
CREATE INTEGRITY RULE R6

BEFORE INSERT OF S FROM NEW_S,

UPDATE OF S.S# FROM NEW_S.S#:

CHECK NOT (IS_NULL(NEW_S.S#))

AND NOT EXISTS SX ( SX.S# =NEW_S.S#)
```

Note: The syntax in SQL: PRIMARY KEY(S#) is a much better alternative.

<e.g.7> S# is a foreign key in SP, matching the primary key of S.

CREATE INTEGRITY RULE **R7A**BEFORE INSERT OF SP, UPDATE OF SP.S#:

CHECK EXISTS S (S.S#=SP.S#);

CREATE INTEGRITY RULE **R7B**BEFORE DELETE OF S, UPDATE OF S.S#:
CHECK NOT EXISTS SP (SP.S#=S.S#);

The foreign key rule: DELETE OF S <u>RESTRICTED</u>
UPDATE OF S.S# RESTRICTED

• The CASCADE version of <u>foreign key rule</u>:

DELETE OF S CASCADES
UPDATE OF S.S# CASCADES

can be represented as:

CREATE INTEGRITY RULE **R7C**

BEFORE DELETE OF S:

CHECK NOT EXISTS SP(SP.S#=S.S#)

ELSE DELETE SP WHERE SP.S# =S.S#;

CREATE INTEGRITY RULE **R7D**

BEFORE UPDATE OF S.S# FROM NEW_S.S#

CHECK NOT EXISTS SP(SP.S#=S.S#)

ELSE UPDATE SP.S# FROM NEW_S.S#

WHERE SP.S#=S.S#;

<Note> The foreign key rule is more recommenced.

14.4 Security and Integrity in INGRES

Query Modification in INGRES

<e.g.> Suppose an user U is allowed to see parts stored in London only:

DBA Permit:

User:

RETRIEVE (P.P#, P.WEIGHT)
WHERE P.COLOR = "RED"

Automatically modified by the system

RETRIEVE (P.P#, P.WEIGHT)
WHERE P.COLOR = "RED"

AND P.CITY = "London"

- The modification process is <u>silent</u>. (The user is not informed that there are other parts not located in London)
- Advantages
 - easy to implement (same as **view**).
 - comparatively efficient (security overhead occurs at query interpretation time rather than execution time).

Security Constraint in INGRES

Syntax:

```
DEFINE PERMIT operations
ON table [(field-
commalist)]
TO user
[AT terminal(s)]
[FROM time TO time2]
[ON day1 TO day2]
[WHERE condition]
```

- [WHERE condition]

 AND SP.P# = P.P#

 AND P.COLOR = "RED"
- The constraint identifier can be discover by querying the catalog.

Constraints are kept in INGRES <u>catalog</u>.

To delete a constraint<e.g.> DESTROY PERMIT S 27;

```
<e.g.>
DEFINE PERMIT RETRIEVE, REPLACE
ON S (SNAME, CITY)
TO Joe
AT TTA4
FROM 9:00 TO 17:30
ON SAT TO SUN
WHERE S.STATUS < 50
AND S.S# = SP.P#
AND P.COL OR = "RED"
```

Integrity Constraint in INGRES

Syntax :

ON table
IS condition

<e.g.>

DBA: DEFINE INTEGRITY

ON S

IS S.STATUS > 0

Suppose an user issues:

User: REPLACE S (STATUS=S.STATUS-10)

WHERE S.CITY= "London"

Automatically modified by system

System: REPLACE S (STATUS=S.STATUS-10)

WHERE S.CITY= "London"

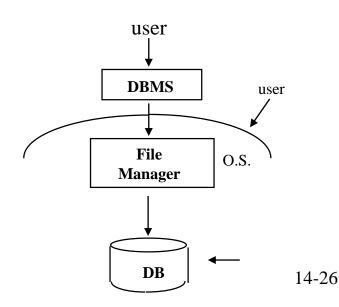
AND (S.STATUS-10) > 0

- Note
 - Destroy an integrity constraint: <e.g.> DESTROY INTEGRITY S 18
 - The modification is silent too.
 - The integrity constraints are kept in <u>catalog</u>.
 - Advantages and disadvantages are similar to security constraint.

14.5 Security in Statistical Databases

Security

- The total system should be secure.
- Not to assume the security system is perfect
- Statistical Databases: 14.5
- <u>Data Encryption: 14.6</u>
- Access Control Schemes (papers)



Statistical Database

Def: Statistical Database is a database, such as a census database, that

- (a) contains a large number of individually <u>sensitive records</u>.
- (b) is intended to supply **only** <u>statistical summary</u> information to its users, not information to some specific individual.
 - only queries that apply some statistical function.
 - E.g: **count**, **sum**, or **average**
 - Problem:
 - "Deduction of confidential information by inference is possible"

Statistical Database: An Example

• e.g.1 Consider the **STATS** database

Name	Sex	Dependence	Occupation	Salary	Tax	Audits
Able	M	3	programmer	25k	5k	3
Baker	F	2	physician	65k	5k	0
Clark	F	0	programmer	28k	9k	1 1
Downs	F	2	builder	30k	6k	1 1
East	M	2	clerk	22k	2k	0
Ford	F	1	homemaker	51k	0k	0
Green	M	0	lawyer	95k	0k	0
Hall	M	3	homemaker	22k	1k	0
Lves	F	4	programmer	32k	5k	1 1
Jones	F	1	programmer	30k	10k	1

Fig. The **STATS** database

- Suppose some user U is intent on discovering Able's salary and tax payment.
- Suppose U knows that Able is a programmer and is male

Statistical Database: Case 1

危害,信用傷害

• The security of the database has been compromised, even though U has issued only <u>legitimate statistical</u> quires (**count**, **sum**, or **average**.)

The **system** should refuse to response to a query for which the <u>cardinality</u> of the identified subset of records < lower bound **b** e.g. $\mathbf{b} = 2$ i.e., $\mathbf{b} \le \mathbf{c}$ (result set cardinality)

Statistical Database: Case 2

Case 2: Consider the sequence of queries Q3-Q6 below

Q3: SELECT COUNT(*)
FROM STATS

Rsponse: 10

Q4 : SELECT **COUNT**(*)

FROM STATS

WHERE NOT

Response: 9

(SEX = 'M' AND)

Subtract

OCCUPATION = 'Programmer')

(Q3 - Q4): 1

Q5 : SELECT **SUM**(SALARY), **SUM**(TAX)

FROM STATS

Response: 364k, 43k

Q6: SELECT **SUM**(SALARY, **SUM**(TAX)

FROM STATS WHERE NOT

(SEX = 'M' AND)

OCCUPATION = 'Programmer')

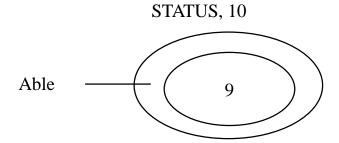
Response 339k, 38k

Subtract

(Q5 - Q6): 25k, 5k

Statistical Database: Case 2 (cont.)

• Why ?



Solution

Let
$$b \le c \le n-b$$
 eg. $2 \le c \le 8$

Now predicate:

is thus not admissible.

Statistical Database: Case 3

Case 3: Set C in the range $b \le c \le n$ -b eg. $2 \le c \le 8$ is inadequate to avoid compromise, in general.

Consider the following sequence (Q7-Q10):

Q7: SELECT **COUNT** (*) FROM STATS WHERE SEX = 'M'

Response: 4

Q8: SELECT COUNT (*)
FROM STATS
WHERE SEX = 'M'

AND NOT (OCCUPATION = 'Programmer') Response: 3

Q9 : SELECT **SUM**(SALARY), **SUM**(TAX) FROM STATS WHERE SEX = 'M'

Response: 164k, 8k

Q10 : SELECT SUM(SALARY), SUM(TAX)
FROM STATS
WHERE SEX = M AND NOT
(OCCUPATION = 'Programmer')

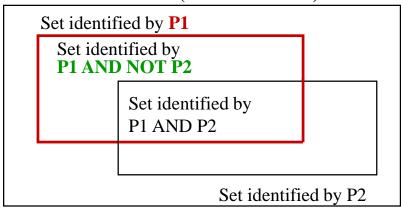
Response: 139k, 3k

Subtract: (Q9 - Q10): 25K, 5K

Statistical Database: Case 3 (cont.)

• Why?
P: SEX = M and OCC.= 'Programmer'
P1: SEX = M
P2: OCC. = 'Programmer'

Total set of records (entire database)



• Solution ???

Statistical Database: Tracker

- Individual tracker
 - for some specific inadmissible predicate
 - individual tracker, the predicate

is called an **individual tracker** for Able, because it enables the user to track down information concerning Able.

- General tracker
 - is a <u>predicate</u> that can be used to find the answer to <u>any</u> inadmissible query.
 - Note: Any predicate with result set cardinality c in the range

$$2b \le c \le n-2b$$

where b < n/4 (typically case), is a general tracker.

Statistical Database: Case 4

Case 4:

- Assume
 - 1. b=2 ie. $4 \le c \le 6$
 - 2. U knows that **Able** is a male programmer.

Predicate P is **SEX** = 'M' and **OCCUPATION** = 'programmer'

- 3. U wishes to discover **Able**'s salary.
- Compromise steps:
 - 1. Make a guess at a predicate T that will serve as a general tracker,

$$T: Audits = 0$$

- 2. Find total number of individuals in the **STATS**, using \mathbf{T} and $\mathbf{Not} \mathbf{T}$.
- 3. Find the number by using P or T; P or NOT T
- 4. Repeat Q11 Q14, but using SUM instead of COUNT
- 5. Able's salary: 389k 364k = 25k

Statistical Database: An Example

• e.g.1 Consider the **STATS** database

Name	Sex	Dependence	Occupation	Salary	Tax	Audits
Able	M	3	programmer	25k	5k	3
Baker	F	2	physician	65k	5k	0
Clark	F	0	programmer	28k	9k	1 1
Downs	F	2	builder	30k	6k	1 1
East	M	2	clerk	22k	2k	0
Ford	F	1	homemaker	51k	0k	0
Green	M	0	lawyer	95k	0k	0
Hall	M	3	homemaker	22k	1k	0
Lves	F	4	programmer	32k	5k	1 1
Jones	F	1	programmer	30k	10k	1

Fig. The **STATS** database

- Suppose some user U is intent on discovering Able's salary and tax payment.
- Suppose U knows that Able is a programmer and is male

Statistical Database: Case 4 (cont.).)

2. Find total number of individuals in the db, using **T** and **Not T**.

Q11 : SELECT COUNT (*)
FROM STATS
WHERE AUDITS = 0

Q12 : SELECT COUNT (*)

Response: 5

Q12 : SELECT COUNT (*)
FROM STATS
WHERE NOT
(AUDITS = 0)

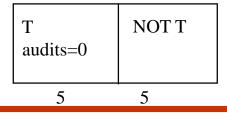
Response: 5

Add: (Q11 + Q12): 10

$$4 \le C = 5 \le 6$$

as a result, T is a general tracker

Entire database

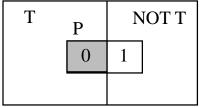


Statistical Database: Case 4 (cont.)

3. Find the number by using P or T; P or NOT T:

```
Q13 : SELECT COUNT (*)
FROM STATS
WHERE (SEX = 'M' AND
OCCUPATION = 'Programmer')
OR AUDITS = 0 Response : 6

Q14 : SELECT COUNT (*)
FROM STATS
WHERE (SEX= 'M' AND
OCCUPATION = 'Programmer')
OR NOT
(AUDITS = 0) Response : 5
Add
(Q13 + Q14): 11
```



from the results we have that the number of individuals satisfying P is one; i.e., P designates Able uniquely.

Statistical Database: Case 4 (cont.))

4. Repeat Q11 - Q14, but using SUM instead of COUNT.

```
Response: 219K
                             Response: 145K
                              Add (Q15 + Q16) : 364K
          Q17 : SELECT SUM (SALARY)
  Por T

FROM STATS
WHERE (SEX = 'M' AND
OCCUPATION = 'Programmer')
             OR AUDITS = 0
                               Response: 244K
OR NOT (AUDITS = 0) Response: 145K
                                Add (Q17 + Q18): 389K
```

5. Able's salary: 389k - 364k = 25k

Statistical Database: General Tracker

The general tracker T

Total set of records (entire database)

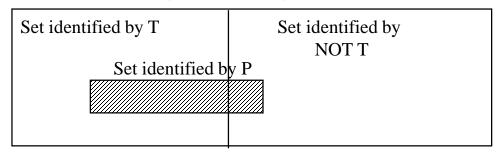


Fig. The general tracker T:

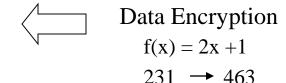
$$SET(P) = SET(P OR T) + SET(P OR NOT T) - SET(T OR NOT T)$$

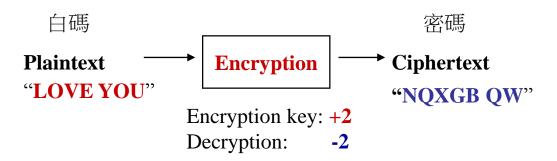
- Summary
 - TODS, 4.1. D.E. Denning, et.al 79, "The Tracker: A threat to statistical database"
 - A general tracker *almost always* exists, and is usually both <u>easy to find</u> and <u>easy to use.</u>
 - Can be found by simply queries.
 - Security in a statistical database is a REAL PROBLEM!!

14.6 Data Encryption

Data Encryption: Basic Idea

- Infiltrator: 滲透者
 - 1. Using the normal system facilities for accessing the database
 - deduction information (statistical database)
 - authorization matrix
 - 2. Bypass the system
 - stealing a disk park
 - tapping into a communication line
 - breaks through O.S.





Data Encryption: Basic Idea (cont.)

• e.g.2 WE NEED MORE SNOW $\frac{\text{key} = +2}{}$ ZG PGGF OQTG UPZY

Problem: How difficult is it for a would-be infiltrator to determine the key without prior knowledge? **Answer**: Fairly obviously, "not very"; but equally obviously.

• e.g.3

WE NEED MORE SNOW

+ 23 1579 2315 7923

YH OJLM PRSJ ZWQZ

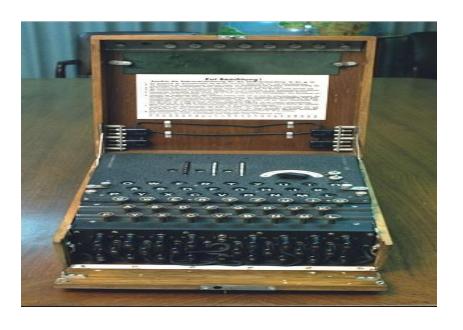
key = 231579

最早有關密碼的書 (1920)



World War II

Enigma (德國)



Big machine (美國)



Three-Rotor Machine

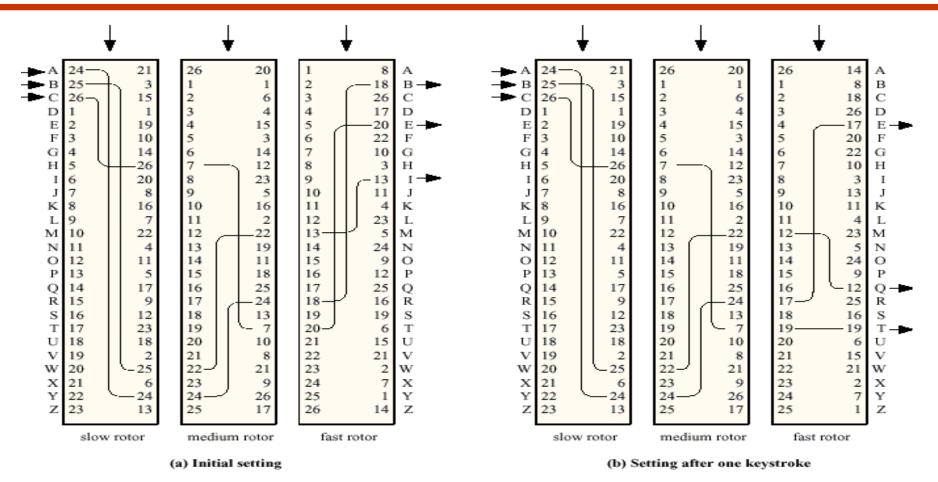


Figure 2.8 Three-Rotor Machine With Wiring Represented by Numbered Contacts

新時代

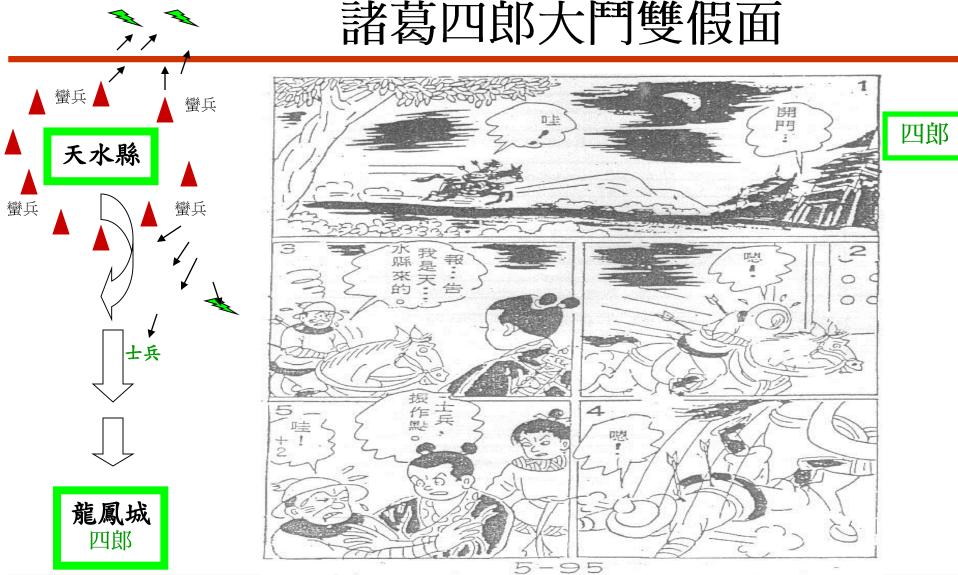
特殊IC



Supercomputer: Cray-XMP



諸葛四郎大鬥雙假面



Public Encryption: RSA 公開金鑰

• e.g.

Painttext
$$P = 13$$
; public-key: e=11, r=15

Ciphertext
$$C = P^e$$
 modulo r
= 13^{11} modulo 15
= 1792160394037 modulo 15
= 7

Decryption
$$P = C^d \mod 15$$

= 343 modulo 15
= 13

$$\exists e, r$$
 $\mathbb{Z} : e, r, d$

$$\mathsf{P} = C^d$$

Public Encryption: RSA 公開金鑰 (cont.)

The scheme of : [Rivest78]

1. Choose, randomly, two distinct large primes **p** and **q**, and compute the product

$$r = p * q$$
 e.g. $p=3$, $q=5$, $r=15$

2. Choose, randomly, a large integer **e**, such that

$$gcd(e, (p-1)*(q-1)) = 1$$

Note: any prime number greater than both p and q will do.

$$(p-1)*(q-1)=2*4=8$$
, $e=11$

3. Take the decryption key, \mathbf{d} , corresponding to \mathbf{e} to be the unique "multiplicative inverse" of e, modulo (p-1)*(q-1);

i.e.
$$d*e = 1$$
, $modulo(p-1)*(q-1)$

Note: The algorithm for computing d is straight forward.

$$d*11=1, mod 8, ==> d=3$$

Public Encryption: RSA 公開金鑰 (cont.)

• Exercise: Suppose we have r = 2773, e = 17, try to find d = ?

Answer:

"Signed" Ciphertext

Algorithm ENCRYPT_FOR_A

- for encryption message to be sent to A

Algorithm DECRYPT_FOR_A

- inverse of ENCRYPT_FOR_A

Algorithm ENCRYPT_FOR_B

- for encrypting message to be sent to B

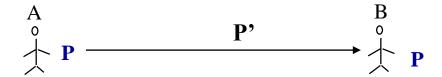
Algorithm DECRYPT_FOR_B

- ...

[A do] 1. $\mathbf{P'}$ = ENCRYPT_FOR_B(DECRYPT_FOR_A(\mathbf{P}))

[sent] 2. Sent **P**' to B

[B do] 3. ENCRYPT_FOR_A (DECRYPT_FOR_B(\mathbf{P}^{\bullet})) => \mathbf{P}



end of unit 14