

Scalar curvature comparison of weakly convex rotationally symmetric sets

Xiaoxiang Chai (Korea Institute for Advanced Study, KIAS)

Workshop on Geometric Analysis and related topics, 8-13 Jan

Outline

Gauss-Bonnet theorem

Theorem Let (S, γ) be a surface with a metric γ , then

$$2\pi\chi(\Sigma) = \int_{\Sigma} K + \int_{\partial\Sigma} \kappa + \sum_i (\pi - \alpha_i)$$

where K is the Gauss curvature, κ is the geodesic curvature of $\partial\Sigma$ in Σ and α_i is the interior turning angles.

- Euler characteristic for surfaces: Triangulate the surface, then calculate $\chi(\Sigma) = V - E + F$; for surface, $\chi(\Sigma) = 2 - 2g - b$ where g is the genus and b is the number of the boundary components

Gauss-Bonnet theorem

$$(\Sigma, \gamma)$$

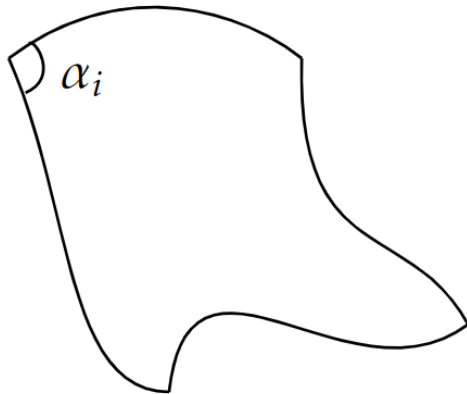


Figure: A surface with piecewise smooth boundary

Important corollaries I

- ▶ On (\mathbf{T}^2, g) , there exists no metrics with $K_g \geq 0$ but $K \neq 0$;

Important corollaries I

- ▶ On (\mathbf{T}^2, g) , there exists no metrics with $K_g \geq 0$ but $K \neq 0$;
 - ▶ There is no boundary term and angle term; so $2\pi\chi(\Sigma) = 0 = \int_{\mathbf{T}^2} K \geq 0$, which means that K has to vanish identically

Important corollaries II

- ▶ On (\mathbf{S}^2, g) , there exists no metrics with $K_g \geq 1$ with $g \geq \bar{g}$.

Important corollaries II

- ▶ On (\mathbf{S}^2, g) , there exists no metrics with $K_g \geq 1$ with $g \geq \bar{g}$.
 - ▶ $4\pi = 2\pi\chi(\Sigma) = \int_{\mathbf{S}^2} K \mathrm{dvol}_g \geq \int_{\mathbf{S}^2} \mathrm{dvol}_{\bar{g}} = \mathrm{vol}(\mathbf{S}^2)$

Important corollaries II

- ▶ On (\mathbf{S}^2, g) , there exists no metrics with $K_g \geq 1$ with $g \geq \bar{g}$.
 - ▶ $4\pi = 2\pi\chi(\Sigma) = \int_{\mathbf{S}^2} K \mathrm{dvol}_g \geq \int_{\mathbf{S}^2} \mathrm{dvol}_{\bar{g}} = \mathrm{vol}(\mathbf{S}^2)$
 - ▶ Has to be equalities

Scalar curvature: Generalizations of Gauss curvature

On an n -dimensional manifold (M, g) , the volume of small geodesic ball at $p \in M$ satisfies

$$\text{vol}(B_r(p)) = \omega_n r^n \left(1 - \frac{R_g(p)}{6(n+2)} r^2 + O(r^4) \right)$$

- The only place where I saw an application of this definition is where L. Guth re-proved the Gromov's systolic inequality.

Higher dimensional generalizations

► (\mathbf{T}^n, g)

Higher dimensional generalizations

- ▶ (\mathbf{T}^n, g)
 - ▶ (Schoen-Yau 75) There exists no metrics on (\mathbf{T}^3, g) with $R_g \geq 0$ but $R \neq 0$.

Higher dimensional generalizations

- ▶ (\mathbf{T}^n, g)
 - ▶ (Schoen-Yau 75) There exists no metrics on (\mathbf{T}^3, g) with $R_g \geq 0$ but $R \neq 0$.
- ▶ (\mathbf{S}^n, g)

Higher dimensional generalizations

- ▶ (\mathbf{T}^n, g)
 - ▶ (Schoen-Yau 75) There exists no metrics on (\mathbf{T}^3, g) with $R_g \geq 0$ but $R \neq 0$.
- ▶ (\mathbf{S}^n, g)
 - ▶ (Llarull 98) On (\mathbf{S}^n, g) , there exists no metrics with $K_g \geq n(n-1)$ with $g \geq \bar{g}$.

Higher dimensional generalizations

- ▶ (\mathbf{T}^n, g)
 - ▶ (Schoen-Yau 75) There exists no metrics on (\mathbf{T}^3, g) with $R_g \geq 0$ but $R \neq 0$.
- ▶ (\mathbf{S}^n, g)
 - ▶ (Llarull 98) On (\mathbf{S}^n, g) , there exists no metrics with $K_g \geq n(n-1)$ with $g \geq \bar{g}$.
 - ▶ Llarull used spinors

Rigidity of $\mathbf{T}^2 \times \mathbf{R}$ with negative scalar curvature bound

- ▶ Metric $dt^2 + e^{2t}g_{\mathbf{T}^2}$

Rigidity of $\mathbf{T}^2 \times \mathbf{R}$ with negative scalar curvature bound

- ▶ Metric $dt^2 + e^{2t}g_{\mathbf{T}^2}$
- ▶ Easy to check that it is of constant sectional curvature -1

Rigidity of $\mathbf{T}^2 \times \mathbf{R}$ with negative scalar curvature bound

- ▶ Metric $dt^2 + e^{2t}g_{\mathbf{T}^2}$
- ▶ Easy to check that it is of constant sectional curvature -1
- ▶ **Observation** Each t slice is of mean curvature -2

Rigidity of $\mathbf{T}^2 \times \mathbf{R}$ with negative scalar curvature bound

- ▶ Metric $dt^2 + e^{2t}g_{\mathbf{T}^2}$
- ▶ Easy to check that it is of constant sectional curvature -1
- ▶ **Observation** Each t slice is of mean curvature -2
- ▶ **Theorem** Let g be another metric on $M^3 = \mathbf{T}^2 \times [0, 1]$, if $H_0 \geq 2$, $H_1 \geq -2$ and $R_g \geq -6$, then g is hyperbolic. (Min Oo 95, Andersson-Cai-Galloway 08)

Figure of $\mathbf{T}^2 \times [0, 1]$

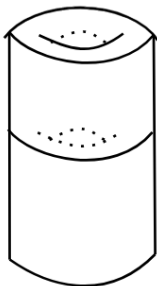


Figure: Figure of $\mathbf{T}^2 \times [0, 1]$

Noncompact case

- ▶ \mathbf{R}^3 and \mathbf{H}^3 do not admit compact deformations of metric which increases the scalar curvature

Noncompact case

- ▶ \mathbf{R}^3 and \mathbf{H}^3 do not admit compact deformations of metric which increases the scalar curvature
 - ▶ It is implied by the compact case:

Noncompact case

- ▶ \mathbf{R}^3 and \mathbf{H}^3 do not admit compact deformations of metric which increases the scalar curvature
 - ▶ It is implied by the compact case:
 - ▶ For \mathbf{R}^3 identify cubes and get the torus

Noncompact case

- ▶ \mathbf{R}^3 and \mathbf{H}^3 do not admit compact deformations of metric which increases the scalar curvature
 - ▶ It is implied by the compact case:
 - ▶ For \mathbf{R}^3 identify cubes and get the torus
 - ▶ For \mathbf{H}^3 identify cubes on horospheres and get a "band"

Min-Oo conjecture for half-sphere \mathbf{S}_+^3

- ▶ $\partial\mathbf{S}_+^3$ sort of infinity

Min-Oo conjecture for half-sphere \mathbf{S}_+^3

- ▶ $\partial\mathbf{S}_+^3$ sort of infinity
- ▶ (Min-Oo 95) There is no deformation of metric fixing the induced metric on the boundary and $H_{\partial\mathbf{S}_+^3} \geq 0$ such that the scalar curvature is increased.

Min-Oo conjecture for half-sphere \mathbf{S}_+^3

- ▶ $\partial\mathbf{S}_+^3$ sort of infinity
- ▶ (Min-Oo 95) There is no deformation of metric fixing the induced metric on the boundary and $H_{\partial\mathbf{S}_+^3} \geq 0$ such that the scalar curvature is increased.
- ▶ Not true: Brendle-Marques 2010; true with $g \geq \bar{g}$

Figure of half-sphere \mathbf{S}_+^3

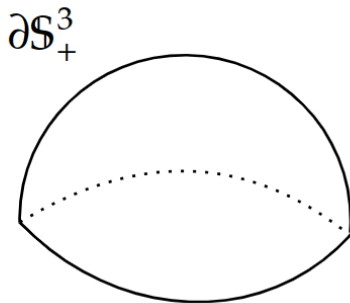


Figure: Figure of \mathbf{S}_+^3

Easier case with proof: non-rigidity

Schoen-Yau (1975) proof of nonexistence dimension 3

Stable minimal surfaces

- Mean curvature $\Sigma \subset M$

$$\text{vol}(\Sigma') = \text{vol}(\Sigma) + t \int_{\Sigma} \phi H + O(t^2)$$

where Σ' is the normal variation with magtitude ϕ

Stable minimal surfaces

- ▶ Mean curvature $\Sigma \subset M$

$$\text{vol}(\Sigma') = \text{vol}(\Sigma) + t \int_{\Sigma} \phi H + O(t^2)$$

where Σ' is the normal variation with magnitude ϕ

- ▶ minimal $H \equiv 0$

Stable minimal surfaces

- ▶ Mean curvature $\Sigma \subset M$

$$\text{vol}(\Sigma') = \text{vol}(\Sigma) + t \int_{\Sigma} \phi H + O(t^2)$$

where Σ' is the normal variation with magtitude ϕ

- ▶ minimal $H \equiv 0$
- ▶ Stable: $\frac{d^2 \text{vol}(\Sigma_t)}{dt^2} \geq 0$ for all $\phi \in C^\infty(\Sigma)$.

Stable minimal surfaces

- ▶ Mean curvature $\Sigma \subset M$

$$\text{vol}(\Sigma') = \text{vol}(\Sigma) + t \int_{\Sigma} \phi H + O(t^2)$$

where Σ' is the normal variation with magnitude ϕ

- ▶ minimal $H \equiv 0$
- ▶ Stable: $\frac{d^2 \text{vol}(\Sigma_t)}{dt^2} \geq 0$ for all $\phi \in C^\infty(\Sigma)$.
- ▶ Explicitly

$$\int_{\Sigma} (\text{Ric}(\nu) + |A|^2) \phi^2 \leq \int_{\Sigma} |\nabla \phi|^2$$

μ -bubble or prescribed mean curvature surface

Given a function $h \in C^\infty(M)$, we say that Σ is of prescribed mean curvature h if the mean curvature H agrees with h along Σ .

- Stability: There exists a nonzero nonnegative $C^{2,\alpha}$ function such that $\nabla_{\phi\nu}(H - h) \geq 0$.

μ -bubble or prescribed mean curvature surface

Given a function $h \in C^\infty(M)$, we say that Σ is of prescribed mean curvature h if the mean curvature H agrees with h along Σ .

- ▶ Stability: There exists a nonzero nonnegative $C^{2,\alpha}$ function such that $\nabla_{\phi\nu}(H - h) \geq 0$.
- ▶ Explicitly $-\Delta\phi - (\text{Ric}(\nu) + |A|^2 + \nabla_\nu h)\phi \geq 0$

μ -bubble or prescribed mean curvature surface

Given a function $h \in C^\infty(M)$, we say that Σ is of prescribed mean curvature h if the mean curvature H agrees with h along Σ .

- ▶ Stability: There exists a nonzero nonnegative $C^{2,\alpha}$ function such that $\nabla_{\phi\nu}(H - h) \geq 0$.
- ▶ Explicitly $-\Delta\phi - (\text{Ric}(\nu) + |A|^2 + \nabla_\nu h)\phi \geq 0$
- ▶ Equivalent to

$$\int_{\Sigma} (\nabla_\nu h + \text{Ric}(\nu) + |A|^2)\phi^2 \leq \int_{\Sigma} |\nabla\phi|^2$$

for all smooth ϕ .

Central example

- ▶ Metric $dt^2 + \phi(t)^2 g$

Central example

- ▶ Metric $dt^2 + \phi(t)^2 g$
- ▶ Each level set is of mean curvature $(n-1)\phi'/\phi$

Central example

- ▶ Metric $dt^2 + \phi(t)^2 g$
- ▶ Each level set is of mean curvature $(n-1)\phi'/\phi$
- ▶ It is also stable $\phi = \langle \partial_t, \nu \rangle$

Notes μ -bubble

I will only talk about the case with h being a constant. However, I would like to mention for general h , it is used in

- ▶ Nonexistence of positive scalar curvature on aspherical manifolds in 4, 5 dimensions (Chodosh-Li 20, Gromov 20)

By choosing suitable h .

Notes μ -bubble

I will only talk about the case with h being a constant. However, I would like to mention for general h , it is used in

- ▶ Nonexistence of positive scalar curvature on aspherical manifolds in 4, 5 dimensions (Chodosh-Li 20, Gromov 20)
- ▶ Classification of complete 3-manifolds with uniformly PSC (Jian Wang 22)

By choosing suitable h .

Notes μ -bubble

I will only talk about the case with h being a constant. However, I would like to mention for general h , it is used in

- ▶ Nonexistence of positive scalar curvature on aspherical manifolds in 4, 5 dimensions (Chodosh-Li 20, Gromov 20)
- ▶ Classification of complete 3-manifolds with uniformly PSC (Jian Wang 22)
- ▶ Nonexistence of PSC metrics on $T^n \# M$ where M is not compact

By choosing suitable h .

Restatement of Geroch conjecture

Schoen-Yau 75 There exists no metrics on (\mathbf{T}^3, g) with $R_g \geq 0$ but $R_g \not\equiv 0$.

Proof of Geroch conjecture via minimal surface

- ▶ In dimension 3, assume strict $R > 0$

Proof of Geroch conjecture via minimal surface

- ▶ In dimension 3, assume strict $R > 0$
- ▶ Find a minimal hypersurface Σ in $H_2(M; \mathbb{Z})$, the surface is stable.

Proof of Geroch conjecture via minimal surface

- ▶ In dimension 3, assume strict $R > 0$
- ▶ Find a minimal hypersurface Σ in $H_2(M; \mathbb{Z})$, the surface is stable.
- ▶ Stability

$$\int_{\Sigma} (\text{Ric}(\nu) + |A|^2) \zeta^2 \leq \int_{\Sigma} |\nabla \zeta|^2$$

Proof of Geroch conjecture via minimal surface

- ▶ In dimension 3, assume strict $R > 0$
- ▶ Find a minimal hypersurface Σ in $H_2(M; \mathbb{Z})$, the surface is stable.
- ▶ Stability

$$\int_{\Sigma} (\text{Ric}(\nu) + |A|^2) \zeta^2 \leq \int_{\Sigma} |\nabla \zeta|^2$$

- ▶ Schoen-Yau Rewrite (essentially Gauss equation)

$$\text{Ric}(\nu, \nu) = \frac{1}{2}R - \frac{1}{2}R_{\Sigma} - \frac{1}{2}|A|^2 + \frac{1}{2}H^2$$

Proof of Geroch conjecture via minimal surface

- ▶ In dimension 3, assume strict $R > 0$
- ▶ Find a minimal hypersurface Σ in $H_2(M; \mathbb{Z})$, the surface is stable.
- ▶ Stability

$$\int_{\Sigma} (\text{Ric}(\nu) + |A|^2) \zeta^2 \leq \int_{\Sigma} |\nabla \zeta|^2$$

- ▶ Schoen-Yau Rewrite (essentially Gauss equation)

$$\text{Ric}(\nu, \nu) = \frac{1}{2}R - \frac{1}{2}R_{\Sigma} - \frac{1}{2}|A|^2 + \frac{1}{2}H^2$$

- ▶ So

$$\frac{1}{2} \int_{\Sigma} (R - R_{\Sigma} + |A|^2) \zeta^2 \leq \int_{\Sigma} |\nabla \zeta|^2$$

Proof of Geroch conjecture via minimal surface II

- ▶ Take the test function to be 1

$$0 \geq \frac{1}{2} \int_{\Sigma} R - R_{\Sigma} + |A|^2$$

Proof of Geroch conjecture via minimal surface II

- ▶ Take the test function to be 1

$$0 \geq \frac{1}{2} \int_{\Sigma} R - R_{\Sigma} + |A|^2$$

- ▶ Apply the Gauss-Bonnet theorem

$$2\pi\chi(\Sigma) \geq \frac{1}{2} \int_{\Sigma} R + |A|^2 > 0$$

Proof of Geroch conjecture via minimal surface II

- ▶ Take the test function to be 1

$$0 \geq \frac{1}{2} \int_{\Sigma} R - R_{\Sigma} + |A|^2$$

- ▶ Apply the Gauss-Bonnet theorem

$$2\pi\chi(\Sigma) \geq \frac{1}{2} \int_{\Sigma} R + |A|^2 > 0$$

- ▶ But by construction $\chi(\Sigma) = 0$

Proof of negative scalar curvatre bound rigidity

- ▶ Due to Andersson-Cai-Galloway 08

Proof of negative scalar curvatre bound rigidity

- ▶ Due to Andersson-Cai-Galloway 08
- ▶ Find a stable surface of prescribed mean curvature -2

Proof of negative scalar curvatre bound rigidity

- ▶ Due to Andersson-Cai-Galloway 08
- ▶ Find a stable surface of prescribed mean curvature -2
- ▶ Use

$$\int_{\Sigma} (\text{Ric}(\nu) + |A|^2) \phi^2 \leq \int_{\Sigma} |\nabla \phi|^2$$

for all smooth ϕ .

Proof of negative scalar curvature bound rigidity

- ▶ Due to Andersson-Cai-Galloway 08
- ▶ Find a stable surface of prescribed mean curvature -2
- ▶ Use

$$\int_{\Sigma} (\text{Ric}(\nu) + |A|^2) \phi^2 \leq \int_{\Sigma} |\nabla \phi|^2$$

for all smooth ϕ .

- ▶ Schoen-Yau Rewrite

Proof of negative scalar curvature bound rigidity

- ▶ Due to Andersson-Cai-Galloway 08
- ▶ Find a stable surface of prescribed mean curvature -2
- ▶ Use

$$\int_{\Sigma} (\text{Ric}(\nu) + |A|^2) \phi^2 \leq \int_{\Sigma} |\nabla \phi|^2$$

for all smooth ϕ .

- ▶ Schoen-Yau Rewrite
 - ▶ $\text{Ric}(\nu, \nu) = \frac{1}{2}R - \frac{1}{2}R_{\Sigma} - \frac{1}{2}|A|^2 + \frac{1}{2}H^2$

Proof of negative scalar curvature bound rigidity

- ▶ Due to Andersson-Cai-Galloway 08
- ▶ Find a stable surface of prescribed mean curvature -2
- ▶ Use

$$\int_{\Sigma} (\text{Ric}(\nu) + |A|^2) \phi^2 \leq \int_{\Sigma} |\nabla \phi|^2$$

for all smooth ϕ .

- ▶ Schoen-Yau Rewrite
 - ▶ $\text{Ric}(\nu, \nu) = \frac{1}{2}R - \frac{1}{2}R_{\Sigma} - \frac{1}{2}|A|^2 + \frac{1}{2}H^2$
 - ▶ $|A|^2 = \frac{1}{2}H^2 + |A^0|^2$

Proof of negative scalar curvature bound rigidity

- ▶ Due to Andersson-Cai-Galloway 08
- ▶ Find a stable surface of prescribed mean curvature -2
- ▶ Use

$$\int_{\Sigma} (\text{Ric}(\nu) + |A|^2) \phi^2 \leq \int_{\Sigma} |\nabla \phi|^2$$

for all smooth ϕ .

- ▶ Schoen-Yau Rewrite
 - ▶ $\text{Ric}(\nu, \nu) = \frac{1}{2}R - \frac{1}{2}R_{\Sigma} - \frac{1}{2}|A|^2 + \frac{1}{2}H^2$
 - ▶ $|A|^2 = \frac{1}{2}H^2 + |A^0|^2$
 - ▶ $\text{Ric}(\nu) + |A|^2 = \frac{1}{2}(R + 6 - 2K + |A^0|^2)$

Boundary version

What is the boundary version?

Result with a free boundary proof

► $\mathbb{T}^{n-1} \times [0, 1]$?

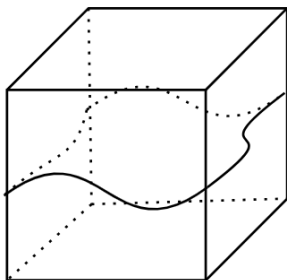


Figure: Finding a free boundary minimal surface in a cube

Result with a free boundary proof

- ▶ $\mathbf{T}^{n-1} \times [0, 1]$?
- ▶ Cube $[0, 1]^{n-k} \times \mathbf{T}^k$ (Li 2020)

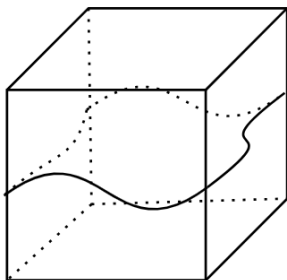


Figure: Finding a free boundary minimal surface in a cube

Results with capillary (constant angle) surface proof

- Euclidean tetrahedron (Li 2020)

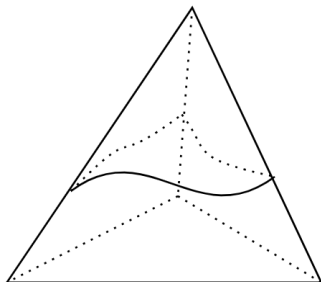


Figure: Finding a capillary minimal surface in a tetrahedron

Results with capillary (constant angle) surface proof

- ▶ Euclidean tetrahedron (Li 2020)
- ▶ hyperbolic tetrahedron (Chai-Wang 22)

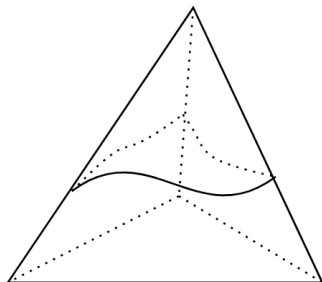


Figure: Finding a capillary minimal surface in a tetrahedron

Results with capillary (varying angles) surface proof

- ▶ Rotationally symmetric weakly convex body (Chai-Wang 22~23)

Results with capillary (varying angles) surface proof

- ▶ Rotationally symmetric weakly convex body (Chai-Wang 22~23)
- ▶ The method is suggested by Gromov 19 in his *Four Lectures on Scalar Curvature*

More previous Results

- ▶ (Miao 02) If ∂M is isometric to a surface in the Euclidean 3-space and $H_{\partial M} \geq \bar{H}_{\partial M}$ with $R_g \geq 0$, then M is isometric to the region bounded by ∂M .

More previous Results

- ▶ (Miao 02) If ∂M is isometric to a surface in the Euclidean 3-space and $H_{\partial M} \geq \bar{H}_{\partial M}$ with $R_g \geq 0$, then M is isometric to the region bounded by ∂M .
- ▶ (Shi-Tam 03) If ∂M is isometric to a surface in the Euclidean 3-space and $R_g \geq 0$, then

$$\int_{\partial M} (\bar{H}_{\partial M} - H_{\partial M}) \geq 0$$

More previous Results

- ▶ (Miao 02) If ∂M is isometric to a surface in the Euclidean 3-space and $H_{\partial M} \geq \bar{H}_{\partial M}$ with $R_g \geq 0$, then M is isometric to the region bounded by ∂M .
- ▶ (Shi-Tam 03) If ∂M is isometric to a surface in the Euclidean 3-space and $R_g \geq 0$, then

$$\int_{\partial M} (\bar{H}_{\partial M} - H_{\partial M}) \geq 0$$

- .
- ▶ Lott 21: with extra assumption $g \geq \bar{g}$; used spinors in spirit of Llarull

Basics

- ▶ Compact 3-manifolds (M, g) which are bounded by a weakly convex surface and also rotationally symmetric with respect to the x^3 -coordinate axis in \mathbb{R}^3 .

Basics

- ▶ Compact 3-manifolds (M, g) which are bounded by a weakly convex surface and also rotationally symmetric with respect to the x^3 -coordinate axis in \mathbb{R}^3 .
- ▶ Surface ∂M lies between the two coordinate planes and has nonempty intersection with them

$$P_{\pm} = \{x \in \mathbb{R}^3 : x^3 = \pm 1\}$$

depending on the geometry of

Basics

- ▶ Compact 3-manifolds (M, g) which are bounded by a weakly convex surface and also rotationally symmetric with respect to the x^3 -coordinate axis in \mathbb{R}^3 .
- ▶ Surface ∂M lies between the two coordinate planes and has nonempty intersection with them

$$P_{\pm} = \{x \in \mathbb{R}^3 : x^3 = \pm 1\}$$

depending on the geometry of

1. The set $\partial M \cap P_{\pm}$ is a disk;

Basics

- ▶ Compact 3-manifolds (M, g) which are bounded by a weakly convex surface and also rotationally symmetric with respect to the x^3 -coordinate axis in \mathbb{R}^3 .
- ▶ Surface ∂M lies between the two coordinate planes and has nonempty intersection with them

$$P_{\pm} = \{x \in \mathbb{R}^3 : x^3 = \pm 1\}$$

depending on the geometry of

1. The set $\partial M \cap P_{\pm}$ is a disk;
2. The set $\partial M \cap P_{\pm}$ contains only p_{\pm} and ∂M is conical at p_{\pm} ;

Basics

- ▶ Compact 3-manifolds (M, g) which are bounded by a weakly convex surface and also rotationally symmetric with respect to the x^3 -coordinate axis in \mathbb{R}^3 .
- ▶ Surface ∂M lies between the two coordinate planes and has nonempty intersection with them

$$P_{\pm} = \{x \in \mathbb{R}^3 : x^3 = \pm 1\}$$

depending on the geometry of

1. The set $\partial M \cap P_{\pm}$ is a disk;
2. The set $\partial M \cap P_{\pm}$ contains only p_{\pm} and ∂M is conical at p_{\pm} ;
3. The set $\partial M \cap P_{\pm}$ contains only p_{\pm} and ∂M is smooth at p_{\pm} .

Structure of vertex

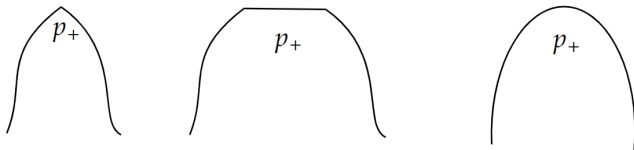


Figure: Structure at p_+

Theorem

Theorem (Chai and Wang 22~23) Let (M^3, g) be a compact 3-manifold with nonnegative scalar curvature such that its boundary ∂M is diffeomorphic to a weakly convex rotationally symmetric surface in \mathbb{R}^3 . The boundary ∂M bounds a region \bar{M} (which we call a model or a reference) in \mathbb{R}^3 , let the induced metric of the flat metric be $\bar{\sigma}$ and the induced metric of g on ∂M be σ . We assume that $\sigma \geq \bar{\sigma}$ and $H_{\partial M} \geq \bar{H}_{\partial M}$ on $\partial M \cap \{x \in \mathbb{R}^3 : -1 < x^3 < 1\}$.

1. If $\partial M \cap P_{\pm}$ is a disk, we further assume that $H_{\partial M} \geq 0$ at $\partial M \cap P_{\pm}$ and the dihedral angles forming by P_{\pm} and $\partial M \setminus (P_+ \cup P_-)$ are no greater than the Euclidean reference.

Then (M, g) is flat.

Theorem

Theorem (Chai and Wang 22~23) Let (M^3, g) be a compact 3-manifold with nonnegative scalar curvature such that its boundary ∂M is diffeomorphic to a weakly convex rotationally symmetric surface in \mathbb{R}^3 . The boundary ∂M bounds a region \bar{M} (which we call a model or a reference) in \mathbb{R}^3 , let the induced metric of the flat metric be $\bar{\sigma}$ and the induced metric of g on ∂M be σ . We assume that $\sigma \geq \bar{\sigma}$ and $H_{\partial M} \geq \bar{H}_{\partial M}$ on $\partial M \cap \{x \in \mathbb{R}^3 : -1 < x^3 < 1\}$.

1. If $\partial M \cap P_{\pm}$ is a disk, we further assume that $H_{\partial M} \geq 0$ at $\partial M \cap P_{\pm}$ and the dihedral angles forming by P_{\pm} and $\partial M \setminus (P_+ \cup P_-)$ are no greater than the Euclidean reference.
2. If ∂M is conical at p_{\pm} , we further assume that $\sigma = \bar{\sigma}$ at p_{\pm} .

Then (M, g) is flat.

Finding a capillary minimal surface

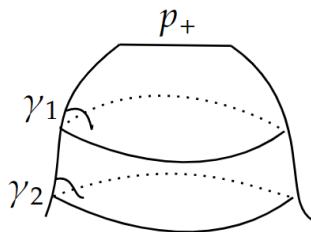


Figure: Finding a capillary surface with varying contact angle (Usually $\gamma_1 \neq \gamma_2$)

Comment

- ▶ Assume that ∂M is isometric to the model, we can remove weak convexity

Notations

- Use bar to denote every geometric quantites of the model

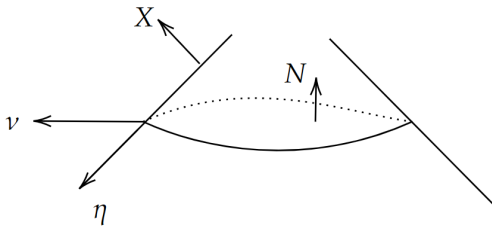


Figure: Labelling of various vectors

Notations

- ▶ Use bar to denote every geometric quantities of the model
- ▶ See the following figure:

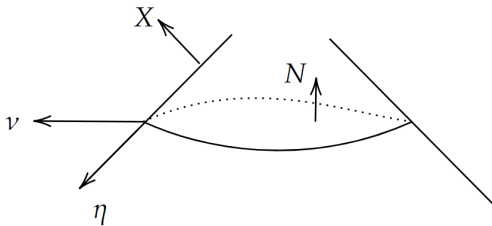


Figure: Labelling of various vectors

Model

- ▶ The angles $\cos \bar{\gamma} = \langle \bar{X}, \frac{\partial}{\partial x^3} \rangle$

Model

- ▶ The angles $\cos \bar{\gamma} = \langle \bar{X}, \frac{\partial}{\partial x^3} \rangle$
- ▶ Each level set $\bar{M} \cap \{x^3 = s\}$ is minimal and meeting ∂M with angle $\bar{\gamma}$

Model

- ▶ The angles $\cos \bar{\gamma} = \langle \bar{X}, \frac{\partial}{\partial x^3} \rangle$
- ▶ Each level set $\bar{M} \cap \{x^3 = s\}$ is minimal and meeting ∂M with angle $\bar{\gamma}$
- ▶ It is stable

Variational problem

- Consider the following functional

$$F(E) := \mathcal{H}^2(\partial E \cap \text{int} M) - \int_{\partial E \cap \partial M} \cos \bar{\gamma}$$

Variational problem

- Consider the following functional

$$F(E) := \mathcal{H}^2(\partial E \cap \text{int} M) - \int_{\partial E \cap \partial M} \cos \bar{\gamma}$$

- First variation of F : Letting $f = \langle Y, N \rangle$,

$$\mathcal{A}'(0) = \int_{\Sigma} Hf + \int_{\partial \Sigma} \langle Y, \nu - \eta \cos \bar{\gamma} \rangle$$

Second variation

Assume that Σ is minimal capillary, we have the second variation formula

$$\mathcal{A}''(0) = Q(f, f) := - \int_{\Sigma} (f \Delta f + (|A|^2 + \text{Ric}(N)) f^2) + \int_{\partial \Sigma} f \left(\frac{\partial f}{\partial \nu} - q f \right),$$

► where q is defined to be

$$q = \frac{1}{\sin \bar{\gamma}} A_{\partial M}(\eta, \eta) - \cot \bar{\gamma} A(\nu, \nu) + \frac{1}{\sin^2 \bar{\gamma}} \partial_{\eta} \cos \bar{\gamma}.$$

Second variation

Assume that Σ is minimal capillary, we have the second variation formula

$$\mathcal{A}''(0) = Q(f, f) := - \int_{\Sigma} (f \Delta f + (|A|^2 + \text{Ric}(N)) f^2) + \int_{\partial \Sigma} f \left(\frac{\partial f}{\partial \nu} - q f \right),$$

- ▶ where q is defined to be

$$q = \frac{1}{\sin \bar{\gamma}} A_{\partial M}(\eta, \eta) - \cot \bar{\gamma} A(\nu, \nu) + \frac{1}{\sin^2 \bar{\gamma}} \partial_{\eta} \cos \bar{\gamma}.$$

- ▶ Rewrite of q : Along the boundary $\partial \Sigma$,

$$\frac{1}{\sin \bar{\gamma}} A_{\partial M}(\eta, \eta) - \cot \bar{\gamma} A(\nu, \nu) = -H \cot \bar{\gamma} + \frac{H_{\partial M}}{\sin \bar{\gamma}} - \kappa$$

where κ is the geodesic curvature of $\partial \Sigma$ in Σ .

Second variation

Assume that Σ is minimal capillary, we have the second variation formula

$$\mathcal{A}''(0) = Q(f, f) := - \int_{\Sigma} (f \Delta f + (|A|^2 + \text{Ric}(N)) f^2) + \int_{\partial \Sigma} f \left(\frac{\partial f}{\partial \nu} - q f \right),$$

- ▶ where q is defined to be

$$q = \frac{1}{\sin \bar{\gamma}} A_{\partial M}(\eta, \eta) - \cot \bar{\gamma} A(\nu, \nu) + \frac{1}{\sin^2 \bar{\gamma}} \partial_{\eta} \cos \bar{\gamma}.$$

- ▶ Rewrite of q : Along the boundary $\partial \Sigma$,

$$\frac{1}{\sin \bar{\gamma}} A_{\partial M}(\eta, \eta) - \cot \bar{\gamma} A(\nu, \nu) = -H \cot \bar{\gamma} + \frac{H_{\partial M}}{\sin \bar{\gamma}} - \kappa$$

where κ is the geodesic curvature of $\partial \Sigma$ in Σ .

- ▶ Note the free boundary version: $A_{\partial M}(\eta, \eta) = H_{\partial M} - \kappa$

Using rewrites

Taking $f \equiv 1$ in the second variation and use the rewrites we obtain that we have that

$$\int_{\Sigma} K + \int_{\partial\Sigma} \kappa \geq \int_{\partial\Sigma} \frac{H_{\partial M}}{\sin \bar{\gamma}} + \frac{1}{\sin^2 \bar{\gamma}} \partial_{\eta} \cos \bar{\gamma} + \frac{1}{2} \int_{\Sigma} R_g + |A|^2.$$

Using the bounds $R_g + |A|^2 \geq 0$, $H_{\partial M} \geq \bar{H}_{\partial M}$ and the Gauss-Bonnet theorem,

$$2\pi\chi(\Sigma) \geq \int_{\partial\Sigma} \left(\frac{H_{\partial M}}{\sin \bar{\gamma}} + \frac{1}{\sin^2 \bar{\gamma}} \partial_{\eta} \cos \bar{\gamma} \right) d\lambda.$$

What is $\frac{\bar{H}_{\partial M}}{\sin \bar{\gamma}} + \frac{1}{\sin^2 \bar{\gamma}} \partial_{\bar{\eta}} \cos \bar{\gamma}$?

- Everything is computed with respect to the flat metric

What is $\frac{\bar{H}_{\partial M}}{\sin \bar{\gamma}} + \frac{1}{\sin^2 \bar{\gamma}} \partial_{\bar{\eta}} \cos \bar{\gamma}$?

- ▶ Everything is computed with respect to the flat metric
- ▶ Recall that $M \cap \{x^3 = s\}$ is stable

What is $\frac{\bar{H}_{\partial M}}{\sin \bar{\gamma}} + \frac{1}{\sin^2 \bar{\gamma}} \partial_{\bar{\eta}} \cos \bar{\gamma}$?

- ▶ Everything is computed with respect to the flat metric
- ▶ Recall that $M \cap \{x^3 = s\}$ is stable
- ▶ Very quickly, we have that

$$\frac{\bar{H}_{\partial M}}{\sin \bar{\gamma}} + \frac{1}{\sin^2 \bar{\gamma}} \partial_{\bar{\eta}} \cos \bar{\gamma} = \kappa_s$$

where κ_s is the geodesic curvature of $\partial M \cap \{x^3 = s\}$ in $\{x^3 = s\}$

What is $\frac{\bar{H}_{\partial M}}{\sin \bar{\gamma}} + \frac{1}{\sin^2 \bar{\gamma}} \partial_{\bar{\eta}} \cos \bar{\gamma}$?

- ▶ Everything is computed with respect to the flat metric
- ▶ Recall that $M \cap \{x^3 = s\}$ is stable
- ▶ Very quickly, we have that

$$\frac{\bar{H}_{\partial M}}{\sin \bar{\gamma}} + \frac{1}{\sin^2 \bar{\gamma}} \partial_{\bar{\eta}} \cos \bar{\gamma} = \kappa_s$$

where κ_s is the geodesic curvature of $\partial M \cap \{x^3 = s\}$ in $\{x^3 = s\}$

- ▶ The boundary curve is a circle, κ_s is the inverse of the radius; then

$$\int_{\partial \Sigma} \left(\frac{H_{\partial M}}{\sin \bar{\gamma}} - \frac{1}{\sin \bar{\gamma}} \frac{\partial \bar{\gamma}}{\partial \eta} \right) d\lambda \geq 2\pi$$

What is $\frac{\bar{H}_{\partial M}}{\sin \bar{\gamma}} + \frac{1}{\sin^2 \bar{\gamma}} \partial_{\bar{\eta}} \cos \bar{\gamma}$?

- ▶ Everything is computed with respect to the flat metric
- ▶ Recall that $M \cap \{x^3 = s\}$ is stable
- ▶ Very quickly, we have that

$$\frac{\bar{H}_{\partial M}}{\sin \bar{\gamma}} + \frac{1}{\sin^2 \bar{\gamma}} \partial_{\bar{\eta}} \cos \bar{\gamma} = \kappa_s$$

where κ_s is the geodesic curvature of $\partial M \cap \{x^3 = s\}$ in $\{x^3 = s\}$

- ▶ The boundary curve is a circle, κ_s is the inverse of the radius; then

$$\int_{\partial \Sigma} \left(\frac{H_{\partial M}}{\sin \bar{\gamma}} - \frac{1}{\sin \bar{\gamma}} \frac{\partial \bar{\gamma}}{\partial \eta} \right) d\lambda \geq 2\pi$$

- ▶ Now we can trace back inequalities

Thank you

Thank you!