

# Scalar curvature comparison of weakly convex rotationally symmetric sets

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# Outline

# Gauss-Bonnet theorem

**Theorem** Let  $(S, \gamma)$  be a surface with a metric  $\gamma$ , then

$$2\pi\chi(\Sigma) = \int_{\Sigma} K + \int_{\partial\Sigma} \kappa + \sum_i (\pi - \alpha_i)$$

where  $K$  is the Gauss curvature,  $\kappa$  is the geodesic curvature of  $\partial\Sigma$  in  $\Sigma$  and  $\alpha_i$  is the interior turning angles.

- Euler characteristic for surfaces: Triangulate the surface, then calculate  $\chi(\Sigma) = V - E + F$ ; for surface,  $\chi(\Sigma) = 2 - 2g - b$  where  $g$  is the genus and  $b$  is the number of the boundary components

# Gauss-Bonnet theorem

$$(\Sigma, \gamma)$$

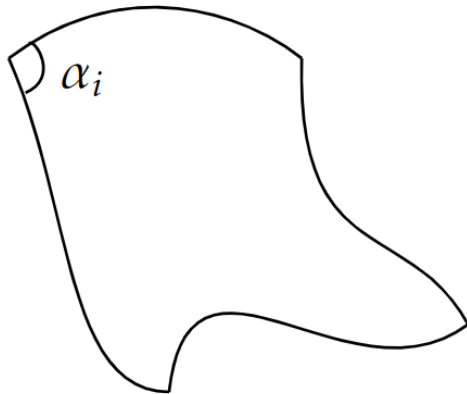


Figure: A surface with piecewise smooth boundary

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- ▶ On  $(\mathbb{T}^2, g)$ , there exists no metrics with  $K_g \geq 0$  but  $K \neq 0$ ;
  - ▶ There is no boundary term and angle term; so  $2\pi\chi(\Sigma) = 0 = \int_{\mathbb{T}^2} K \geq 0$ , which means that  $K$  has to vanish identically

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  - ▶ Has to be equalities

## Scalar curvature: Generalizations of Gauss curvature

On an  $n$ -dimensional manifold  $(M, g)$ , the volume of small geodesic ball at  $p \in M$  satisfies

$$\text{vol}(B_r(p)) = \omega_n r^n \left( 1 - \frac{R_g(p)}{6(n+2)} r^2 + O(r^4) \right)$$

- The only place where I saw an application of this definition is where L. Guth re-proved the Gromov's systolic inequality.

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- ▶  $(\mathbb{S}^n, g)$ 
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  - ▶ Llarull used spinors

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- ▶ **Observation** Each  $t$  slice is of mean curvature  $-2$
- ▶ **Theorem** Let  $g$  be another metric on  $M^3 = \mathbb{T}^2 \times [0, 1]$ , if  $H_0 \geq 2$ ,  $H_1 \geq -2$  and  $R_g \geq -6$ , then  $g$  is hyperbolic. (Min Oo 95, Andersson-Cai-Galloway 08)

Figure of  $\mathbb{T}^2 \times [0, 1]$

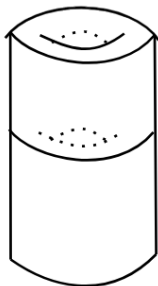


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    - ▶ For  $\mathbb{R}^3$  identify cubes and get the torus
    - ▶ For  $\mathbb{H}^3$  identify cubes on horospheres and get a "band"



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- ▶ (Min-Oo 95) There is no deformation of metric fixing the induced metric on the boundary and  $H_{\partial\mathbb{S}_+^3} \geq 0$  such that the scalar curvature is increased.
- ▶ Not true: Brendle-Marques 2010; true with  $g \geq \bar{g}$

# Figure of half-sphere $\mathbb{S}_+^3$

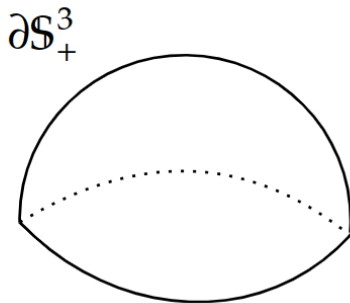


Figure: Figure of  $\mathbb{S}_+^3$

## Easier case with proof: non-rigidity

Schoen-Yau (1975) proof of nonexistence dimension 3

# Stable minimal surfaces

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- ▶ Explicitly

$$\int_{\Sigma} (\text{Ric}(\nu) + |A|^2) \phi^2 \leq \int_{\Sigma} |\nabla \phi|^2$$

## $\mu$ -bubble or prescribed mean curvature surface

Given a function  $h \in C^\infty(M)$ , we say that  $\Sigma$  is of prescribed mean curvature  $h$  if the mean curvature  $H$  agrees with  $h$  along  $\Sigma$ .

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- ▶ Explicitly  $-\Delta\phi - (\text{Ric}(\nu) + |A|^2 + \nabla_\nu h)\phi \geq 0$
- ▶ Equivalent to

$$\int_{\Sigma} (\nabla_\nu h + \text{Ric}(\nu) + |A|^2)\phi^2 \leq \int_{\Sigma} |\nabla\phi|^2$$

for all smooth  $\phi$ .

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- ▶ It is also stable  $\phi = \langle \partial_t, \nu \rangle$

# Notes $\mu$ -bubble

I will only talk about the case with  $h$  being a constant. However, I would like to mention for general  $h$ , it is used in

- ▶ Nonexistence of positive scalar curvature on aspherical manifolds in 4, 5 dimensions (Chodosh-Li 20, Gromov 20)

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- ▶ Nonexistence of PSC metrics on  $T^n \# M$  where  $M$  is not compact

By choosing suitable  $h$ .

# Restatement of Geroch conjecture

**Schoen-Yau 75** There exists no metrics on  $(\mathbb{T}^3, g)$  with  $R_g \geq 0$  but  $R_g \not\equiv 0$ .

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- ▶ Schoen-Yau Rewrite (essentially Gauss equation)

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- ▶ So

$$\frac{1}{2} \int_{\Sigma} (R - R_{\Sigma} + |A|^2) \zeta^2 \leq \int_{\Sigma} |\nabla \zeta|^2$$



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- ▶ But by construction  $\chi(\Sigma) = 0$

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  - ▶  $\text{Ric}(\nu) + |A|^2 = \frac{1}{2}(R + 6 - 2K + |A^0|^2)$

# Boundary version

What is the boundary version?

## Result with a free boundary proof

►  $\mathbb{T}^{n-1} \times [0, 1]$ ?

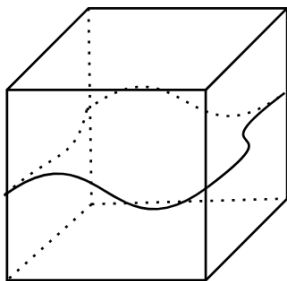


Figure: Finding a free boundary minimal surface in a cube

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- ▶ Cube  $[0, 1]^{n-k} \times \mathbb{T}^k$  (Li 2020)

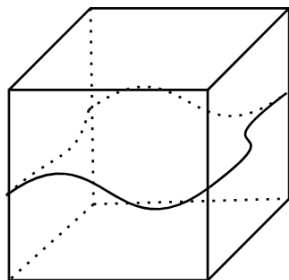


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# Results with capillary (constant angle) surface proof

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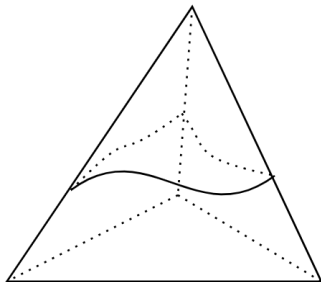


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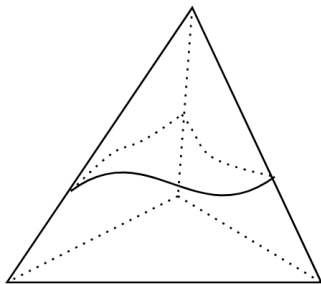


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- ▶ Rotationally symmetric weakly convex body (Chai-Wang 22~23)

## More previous Results

- ▶ (Miao 02) If  $\partial M$  is isometric to a surface in the Euclidean 3-space and  $H_{\partial M} \geq \bar{H}_{\partial M}$  with  $R_g \geq 0$ , then  $M$  is isometric to the region bounded by  $\partial M$ .

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- ▶ (Shi-Tam 03) If  $\partial M$  is isometric to a surface in the Euclidean 3-space and  $R_g \geq 0$ , then

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- ▶ Lott 21: with extra assumption  $g \geq \bar{g}$ ; used spinors in spirit of Llarull

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3. The set  $\partial M \cap P_{\pm}$  contains only  $p_{\pm}$  and  $\partial M$  is smooth at  $p_{\pm}$ .

## Structure of vertex

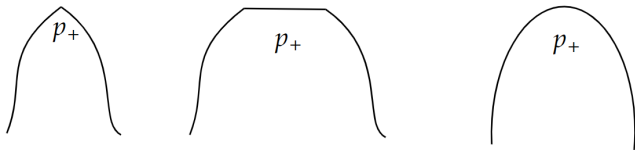


Figure: Structure at  $p_+$

# Theorem

**Theorem** (Chai and Wang 22~23) Let  $(M^3, g)$  be a compact 3-manifold with nonnegative scalar curvature such that its boundary  $\partial M$  is diffeomorphic to a weakly convex rotationally symmetric surface in  $\mathbb{R}^3$ . The boundary  $\partial M$  bounds a region  $\bar{M}$  (which we call a model or a reference) in  $\mathbb{R}^3$ , let the induced metric of the flat metric be  $\bar{\sigma}$  and the induced metric of  $g$  on  $\partial M$  be  $\sigma$ . We assume that  $\sigma \geq \bar{\sigma}$  and  $H_{\partial M} \geq \bar{H}_{\partial M}$  on  $\partial M \cap \{x \in \mathbb{R}^3 : -1 < x^3 < 1\}$ .

1. If  $\partial M \cap P_{\pm}$  is a disk, we further assume that  $H_{\partial M} \geq 0$  at  $\partial M \cap P_{\pm}$  and the dihedral angles forming by  $P_{\pm}$  and  $\partial M \setminus (P_+ \cup P_-)$  are no greater than the Euclidean reference.

Then  $(M, g)$  is flat.

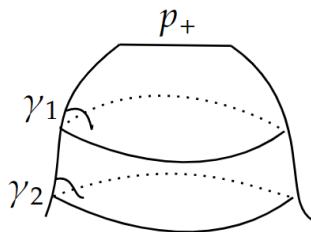
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2. If  $\partial M$  is conical at  $p_{\pm}$ , we further assume that  $\sigma = \bar{\sigma}$  at  $p_{\pm}$ .

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## Finding a capillary minimal surface



**Figure:** Finding a capillary surface with varying contact angle (Usually  $\gamma_1 \neq \gamma_2$ )

# Comment

- ▶ Assume that  $\partial M$  is isometric to the model, we can remove weak convexity

# Notations

- Use bar to denote every geometric quantites of the model

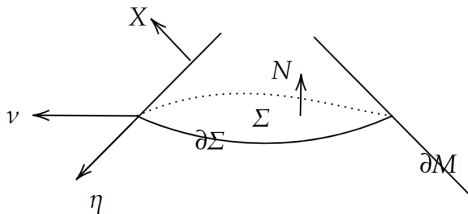


Figure: Labelling of various vectors

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- ▶ See the following figure:

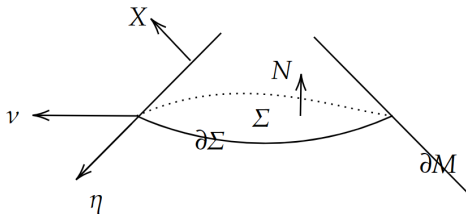


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- ▶ It is stable

# Variational problem

- Consider the following functional

$$F(E) := \mathcal{H}^2(\partial E \cap \text{int} M) - \int_{\partial E \cap \partial M} \cos \bar{\gamma}$$

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- First variation of  $F$ : Letting  $f = \langle Y, N \rangle$ ,

$$\mathcal{A}'(0) = \int_{\Sigma} Hf + \int_{\partial \Sigma} \langle Y, \nu - \eta \cos \bar{\gamma} \rangle$$

## Second variation

Assume that  $\Sigma$  is minimal capillary, we have the second variation formula

$$\mathcal{A}''(0) = Q(f, f) := - \int_{\Sigma} (f \Delta f + (|A|^2 + \text{Ric}(N)) f^2) + \int_{\partial \Sigma} f \left( \frac{\partial f}{\partial \nu} - q f \right),$$

► where  $q$  is defined to be

$$q = \frac{1}{\sin \bar{\gamma}} A_{\partial M}(\eta, \eta) - \cot \bar{\gamma} A(\nu, \nu) + \frac{1}{\sin^2 \bar{\gamma}} \partial_{\eta} \cos \bar{\gamma}.$$

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- ▶ Rewrite of  $q$ : Along the boundary  $\partial \Sigma$ ,

$$\frac{1}{\sin \bar{\gamma}} A_{\partial M}(\eta, \eta) - \cot \bar{\gamma} A(\nu, \nu) = -H \cot \bar{\gamma} + \frac{H_{\partial M}}{\sin \bar{\gamma}} - \kappa$$

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- ▶ Note the free boundary version:  $A_{\partial M}(\eta, \eta) = H_{\partial M} - \kappa$



## Using rewrites

Taking  $f \equiv 1$  in the second variation and use the rewrites we obtain that we have that

$$\int_{\Sigma} K + \int_{\partial\Sigma} \kappa \geq \int_{\partial\Sigma} \frac{H_{\partial M}}{\sin \bar{\gamma}} + \frac{1}{\sin^2 \bar{\gamma}} \partial_{\eta} \cos \bar{\gamma} + \frac{1}{2} \int_{\Sigma} R_g + |A|^2.$$

Using the bounds  $R_g + |A|^2 \geq 0$ ,  $H_{\partial M} \geq \bar{H}_{\partial M}$  and the Gauss-Bonnet theorem,

$$2\pi\chi(\Sigma) \geq \int_{\partial\Sigma} \left( \frac{H_{\partial M}}{\sin \bar{\gamma}} + \frac{1}{\sin^2 \bar{\gamma}} \partial_{\eta} \cos \bar{\gamma} \right) d\lambda.$$

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- ▶ Now we can trace back inequalities

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