

In-class Exercises: Functional Dependencies

Suppose we have a relation R with attributes $ABCD$

1. **What an FD means.** Suppose the functional dependency $BC \rightarrow D$ holds in R . Create an instance of R that violates this FD.

Solution:

In order to violate this FD, we need two tuples with the same value for B and the same value for C (both!), yet different values for D.

A	B	C	D
1	3	6	4
2	3	6	5

2. **Equivalent sets of FDs.**

- (a) Are the sets $A \rightarrow BC$ and $A \rightarrow B, A \rightarrow C$ equivalent? If yes, explain why. If no, construct an instance of R that satisfies one set of FDs but not the other.

Solution:

These are equivalent — there is no instance of the relation that satisfies one but not the other. This can be proven, as follows:

- Assume that $A \rightarrow BC$.
 - Under this assumption, $A^+ = ABC$.
 - Therefore $A \rightarrow B$, and $A \rightarrow C$.
 - Assume that $A \rightarrow B$, and $A \rightarrow C$.
 - Under this assumption, $A^+ = ABC$.
 - Therefore $A \rightarrow BC$.
 - Therefore each set of FDs follows from the other. They are equivalent.
- (b) Are the sets $PQ \rightarrow R$ and $P \rightarrow Q, P \rightarrow R$ equivalent? If yes, explain why. If no, construct an instance of R that satisfies one set of FDs but not the other.

Solution:

These are *not* equivalent, as demonstrated by this instance that satisfies $PQ \rightarrow R$ but not $P \rightarrow Q, P \rightarrow R$:

P	Q	R
1	2	4
3	2	5

In fact we can always “split the RHS” of an FD.

3. **Keys and FDs.**

- (a) We claimed that if a set of attributes K functionally determines all attributes, K must be a superkey (*i.e.*, no two tuples can agree on all attributes in K). Do you believe this? Suppose these FDs hold in R : $A \rightarrow BC, C \rightarrow D$. Does A functionally determine all attributes of R ? Can two tuples agree on A ?

Solution:

This is left as an exercise to explore on your own, in order to build your intuition.

- (b) We also said that if K is a superkey (*i.e.*, no two tuples can agree on all attributes in K) K must functionally determine all attributes. Do you believe this? Suppose A is a superkey of R . Does A functionally determine all attributes of R ?

Solution:

Again, this is left as an intuition-building exercise.

4. **Does an FD follow from a set of FDs?** Suppose we have a relation on attributes $ABCDEF$ with these FDs:

$$AC \rightarrow F, CEF \rightarrow B, C \rightarrow D, DC \rightarrow A$$

- (a) Does it follow that $C \rightarrow F$?
(b) Does it follow that $ACD \rightarrow B$?

Solution:

We use the closure test to check whether an FD follows from a set of FDs.

$C^+ = CDAF$. Therefore, $C \rightarrow F$ does follow.

$ACD^+ = ACDF$. Therefore, $ACD \rightarrow B$ does not follow.

5. **Projecting a set of FDs onto a subset of the attributes.** Suppose we have a relation on attributes $ABCDE$ with these FDs:

$$A \rightarrow C, C \rightarrow E, E \rightarrow BD$$

- (a) Project the FDs onto attributes ABC .

Solution:

To project onto a set of attributes, we systematically consider every possible LHS of an FD that might hold on those attributes.

- $A^+ = ACEBD$, therefore $A \rightarrow BC$. (It also functionally determines DE , but these are not in our set of attributes. And it functionally determines itself, but we don't need to write down dependencies that are tautologies.)
- $B^+ = B$. This yields no FDs for our set of attributes.
- $C^+ = CEBD$, therefore $C \rightarrow B$.
- We don't need to consider any supersets of A . A already determines all of our attributes ABC , so supersets of A will only yield FDs that already follow from $A \rightarrow BC$.
- The only remaining subset of the attributes ABC to consider is BC . $BC^+ = BCED$. This yields no FDs for our set of attributes.
- So the projection of the FDs onto ABC is: $\{A \rightarrow BC, C \rightarrow B\}$.

- (b) Project the FDs onto attributes ADE .

Solution:

- $A^+ = ACEBD$, therefore $A \rightarrow DE$.
- $D^+ = D$. This yields no non-trivial FDs.
- $E^+ = EBD$, therefore $E \rightarrow D$.
- Again, we don't need to consider any supersets of A , since A determines all the attributes ADE also.
- The only remaining subset of the attributes ADE to consider is DE . $DE^+ = DEB$. This yields no FDs for our set of attributes.
- So the projection of the FDs onto ADE is: $\{A \rightarrow DE, E \rightarrow D\}$.