

The background features a large, light blue watermark logo of the Technical University of Cluj-Napoca. The logo consists of a shield-like shape with the letters 'T' and 'U' integrated into its design. Above the shield, the words 'TECHNICAL UNIVERSITY' are written in a sans-serif font. Below the shield, the words 'OF CLUJ-NAPOCA' are written in a smaller sans-serif font. At the bottom of the shield, the words 'Computer Science' are written in a stylized, rounded font.

# Fundamental Algorithms

## Lecture #9

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Cluj-Napoca

November, 27, 2019

# Agenda

- **Binomial Heaps & Binomial Trees**
  - Def
  - Basic operations
- **Fibonacci Heaps**
- **MT analysis**

# Binomial Trees

- Degree-based augmented trees; denoted  $B_k$
- Degree of the tree = number of descendants of the tree
- Properties of a  $B_k$  tree:
  - P1: Degree of the root ( $B_k$ ) =  $k$ ;
  - P2: Number of nodes ( $B_k$ ) =  $2^k$ ;
  - P3: Height( $B_k$ ) =  $k$ ;
  - P4: Number of nodes at level  $i$  in ( $B_k$ ) is  $C_k^i = \binom{k}{i}$
  - P5: If children of ( $B_k$ ) are numbered from the left to the right as  $(k-1, k-2, \dots, 0)$ , then child  $i$  is a ( $B_i$ ) tree
- Recursive definitions:
  - A  $B_k$  tree is 2  $B_{k-1}$  - trees, with their roots linked (picture on the blackboard)
- Be aware of the implication the equivalence definitions following P5 and the recursive definition!

# Binomial Trees

- **Recursive definitions:**
  - A  $B_k$  tree is 2  $B_{k-1}$  - trees, with their roots linked (picture on the blackboard)
  - A  $B_k$  tree is collection of  $k$  trees:  $B_{k-1}, B_{k-2}, \dots, B_1, B_0$  – trees (picture on the blackboard)
- **Proof of P4: Number of nodes at level  $i$  of  $(B_k)$  is  $C_k^i$** 
  - Goes by induction
  - On level  $i$  we have nodes from 2  $B_{k-1}$  trees
    - From the first tree (containing the root of  $B_k$ ) #nodes at level  $i = C_{k-1}^i$
    - From the second one #nodes at level  $i-1$  (one level less; level measured from the root) =  $C_{k-1}^{i-1}$
    - So there are  $C_{k-1}^i + C_{k-1}^{i-1} = C_k^i$  nodes at level  $i$  in  $B_k$

# Binomial Heaps

- **Binomial Heap (H) = A set of Binomial trees with the following properties:**
  - **P1:** each node has a key;
  - **P2:** each binomial tree in H is heap-ordered (min on top);
  - **P3:** for any k, there is at most one  $B_k$  tree in H.
- **Consequence:** if H has n nodes, it has at most  $\lfloor \lg n \rfloor + 1$  binomial trees.
  - **Justification:**
    - Max number of trees a H may have = one of each type
    - If each type of tree is present, the number of nodes for  $B_{k-1}, B_{k-2}, \dots, B_1, B_0$  is  $2^{k-1}, 2^{k-2}, \dots, 2^0$  respectively
    - Nb of nodes of H is their sum =  $2^{k-1} + 2^{k-2} + \dots + 2^0 = 2^k - 1$
    - Denote  $2^k = n$ . H has n nodes, and k trees ( $\lfloor \lg n \rfloor + 1$ )

# Binomial Heaps – Operations

( $|H|=n$ ) (for all, examples on the blackboard)

- **Make-Heap**  **$O(1)$** 
  - Builds an empty Binomial Heap
- **Binomial-Heap-findMinimum( $H$ )**  **$O(\lg n)$** 
  - Returns the pointer to the root of the B with the min key (NOT removed);
- **Binomial-Heap-Unite( $H1, H2$ ) = merge + links  $O(\lg n)$** 
  - merge – merges 2 rooted lists ( $H1, H2$ ) into a single one sorted by degree (increasing order)  **$O(\lg n)$**
  - link – changes a pair of  $B_{k-1}$  trees into a  $B_k$  tree  **$O(1)$**
  - Unite = merge + links from left to right  **$O(\lg n) + \lg n O(1)$**

# Binomial Heaps – Operations

$(|H|=n)$  (for all, examples on the blackboard)

- **Binomial-Heap-extractMinimum(H)**  $O(\lg n)$ 
  - **Binomial-Heap-findMinimum(H)**  $O(\lg n)$
  - **removes that tree from H**  $O(1)$
  - **Make a heap out of the binomial tree containing the min key  $\Rightarrow H_1$  in**  $O(\lg n)$
  - **Binomial-Heap-Unite(H, H1)**  $O(\lg n)$
- **Binomial-Heap-keyDelete(H1, H2)**  $O(\lg n)$ 
  - **As if we were to extract min.**
  - **How?**
    - **Decrease the key to delete to  $-\infty$**   $O(1)$
    - **restore the heap property of the Binomial tree +**  $O(\lg n)$
    - **Extractmin**  $O(\lg n)$

# Fibonacci Heaps

- **Collection of ordered trees**
- **Properties:** like Binomial Heaps with some constraints added/removed.
- **Relaxed constraints:**
  - May contain several trees of the same degree
  - Rooted, yet unordered
- **Added constraints:**
  - Children at a given level in a tree are linked to each other (left/right) in a circular, doubly linked list (child list)
  - Node augmentation:  $\text{degree}[x] = \text{number of children in the child list of } x$
  - The heap maintain a pointer ( $\text{min}[H]$ ) to the root of the tree containing the min key.
- **Operations:**
  - Like for Binomial Heaps (Hw)
  - **Obs: due to relaxations, operations faster:**
    - Insert node – a node which is a tree, at the top level
    - Find min – has a pointer
    - Union – simpler, since they are not ordered



# Binomial/Fibonacci Heaps

## Comparative analysis

Operation	Binomial	Fibonacci
Make heap	$O(1)$	$O(1)$
Insert	$O(\lg n)$	$O(1)$
Find min	$O(\lg n)$	$O(1)$
Extract min	$O(\lg n)$	$O(\lg n)$
Union	$O(\lg n)$	$O(1)$
Decrease key	$O(\lg n)$	$O(1)$
delete	$O(\lg n)$	$O(\lg n)$

Computer Science

# MT analysis – error types

- P1
- P2

