UNIVERSIDAD POLITÉCNICA DE MADRID

ESCUELA TÉCNICA SUPERIOR DE INGENIEROS DE TELECOMUNICACIÓN



MÁSTER UNIVERSITARIO EN INGENIERÍA DE TELECOMUNICACIÓN

TRABAJO FIN DE MÁSTER

DESIGN AND IMPLEMENTATION OF AN ABR VIDEO STREAMING SIMULATION MODULE FOR NS-3.
ANALYSIS AND COMPARISON OF ABR VIDEO STREAMING ALGORITHMS OVER VARIOUS MOBILE NETWORK SCENARIOS.

XINXIN LIU JUNIO 2021



TRABAJO DE FIN DE MÁSTER

Título:

	streaming para NS-3. Análisis y comparación de algoritmos de ABR video streaming sobre varios escenarios de redemóviles.
Título (inglés):	Design and implementation of an ABR video streaming simulation module for NS-3. Analysis and comparison of ABF video streaming algorithms over various mobile network scenarios.
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Diseño e implementación de un módulo de ABR video

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Junio 2021



Resumen

El streaming de vídeo con tasa de bits adaptativa se está convirtiendo en la técnica más utilizada para las plataformas de vídeo en línea. Con la pandemia mundial *COVID-19*, el streaming de vídeo se ha convertido en una de las principales fuentes de entretenimiento durante los confinamientos. De hecho, más de la mitad de la cuota de tráfico de la red se utiliza hoy en día para streaming de vídeo [7].

El objetivo de este Trabajo Fín de Máster es construir un framework en ns-3, implementado en C++, para probar algoritmos de adaptación de vídeo y comparar algunas implementaciones sobre diferentes escenarios de red. El primer paso es estudiar ns-3, familiarizarse con algunos módulos de ns-3 y construir varios escenarios de red LTE. El segundo paso es construir un módulo que pueda simular servidores y clientes de vídeo ABR, estudiar algunos enfoques de los algoritmos de adaptación de la tasa de bits de vídeo e implementar dichos algoritmos, incluyendo soluciones basadas en el ancho de banda, en el buffer y algoritmos híbridos. Por último, podemos comparar y evaluar el rendimiento de diferentes algoritmos ABR en escenarios con condiciones variables con diferentes métricas objetivas de QoE.

//// Resultados

Este proyecto se ha llevado a cabo con la cátedra Ericsson-UPM en software y sistemas.

Palabras clave: DASH, ABR,
ns-3, streaming de video por HTTP, simulación, QoE

Abstract

Adaptive bitrate video streaming is becoming the most used technique for online video platforms. With the *COVID-19* worldwide pandemic, video streaming has become one of the primary sources of entertainment during the shutdown. In fact, more than half of the network traffic share today is used by video streaming [7].

The objective of this Master's Thesis is to build a framework in ns-3, implemented in C++, for testing video adaptation algorithms and to compare some implementations over different network scenarios. The first step is to study ns-3, familiarize with some ns-3 modules, and build various LTE network scenarios. The second step is to build a module that can simulate ABR video servers and clients, study some approaches of video bitrate adaptation algorithms and implement those algorithms, including throughput based, buffer based and hybrid solutions. Finally we can compare and evaluate the performance of different ABR algorithms on scenarios with varying conditions with different objective QoE metrics.

/// Resultados

This project has been carried out with the Ericsson-UPM scholarship in software and systems.

Keywords: DASH, ABR, ns-3, HTTP video streaming, simulation, QoE

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Glossary

3GPP - 3rd Generation Partnership Project

ABR - Adaptive BitRate

AMC - Adaptive Modulation and Coding

API - Application Programming Interface

ARP - Address Resolution Protocol

ASCII - American Standard Code for Information Interchange

BOLA - Buffer Occupancy based Lyapunov Algorithm

CDN - Content Delivery Network

CPU - Central Processing Unit

 \mathbf{CQI} - Channel Quality Indicator

DASH - Dynamic Adaptive Streaming over HTTP

DHCP - Dynamic Host Configuration Protocol

 \mathbf{DRM} - Digital Rights Management

 $\mathbf{e} extbf{-}\mathbf{NodeB}$ - enhanced Node B

EPC - Evolved Packet Core

EPS - Evolved Packet System

GSM - Global System for Mobile communications

HDS - HTTP Dynamic Streaming

HLS - HTTP Live Streaming

HSS - Home Subscriber Server

HTTP - HyperText Transfer Protocol

IEC - International Electrotechnical Commision

IETF - Internet Engineering Task Force

IIS - Internet Information Services

IP - Internet Protocol

ISO - International Organization for Standarization

ITU-T - International Telecomunication Union - Telecomunication standarization

KPI - Key Performance Indicator

LENA - LTE-EPC Network simulAtor

LTE - Long Term Evolution

MAC - Medium Access Control

MCS - Modulation and Coding Scheme

MIMO - Multiple Input Multiple Output

MME - Mobility Management Entity

MMS - Multimedia Message Service

MPEG - Moving Picture Experts Group

MPD - Media Presentation Description

MSS - Microsoft Smooth Streaming

NAT - Network Address Translation

NR - New Radio

ns-3 - network simulator 3

OFDMA - Orthogonal Frequency Division Multiple Access

 \mathbf{OSMF} - Open Source Media Framework

PCRF - Policy Charging and Rule Function

PGW - Packet data network GateWay

 \mathbf{PHY} - LTE PHYsical Layer

QoE - Quality of Experience

 \mathbf{QoS} - Quality of Service

 ${f RB}$ - Resource Block

RE - Resource Element

REM - Radio Environment Map

RLC - Radio Link Control

 $\mathbf{SC\text{-}FDMA}$ - Single-Carrier Frequency Division Multiple Access

SGW - Serving GateWay

 \mathbf{TCP} - Transmission Control Protocol

 \mathbf{UDP} - User Datagram Protocol

 \mathbf{UE} - User Equipment

UHD - Ultra High Definition

 \mathbf{UMTS} - Universal Mobile Telecomunications System

URL - Universal Resource Locators

 \mathbf{XML} - eXtensible Markup Language

Chapter 1 | Introduction

1.1 Context

There is no doubt about the importance of online video streaming. According to Sandvine [7], in 2020, 57% of the global internet traffic was used by video streaming. Moreover, one of the key predictions made by Cisco in 2018 [8] stated that by year 2022, video traffic will make up 82% of all *IP* traffic.

Consequently, many challenges arise. Due to the growth in the number and diversity of connected video-capable devices, and the increasing bandwidth and higher quality content available, the client and the server need to adapt the video content to the network and the devices. The technique of taking account the varying network conditions and computing resources of the user device to choose the adequate quality level is denominated as *Adaptive BitRate (ABR)*. Adaptation may be performed by monitoring different parameters such as estimated bandwidth, client's buffer level, CPU load or screen size.

The Dynamic Adaptive Streaming over HTTP (DASH) is one of the standards that implements adaptive bitrate video streaming and was developed by the Moving Picture Experts Group (MPEG) [18]. MPEG-DASH enables provisioning and delivering media using existing HTTP-delivery networks supports dynamic adaptation with seamless switching. By using HTTP, the player will not have firewall problems. The quality selection relays on the client thus providing better scalability, and there is no need to have session at the server.

The MPEG-DASH standard was published in 2012 and revised in 2019 by the International Organization for Standardization (ISO) / International Electrotechnical Commission (IEC) as MPEG-DASH ISO/IEC 23009-1:2019 [14]. In addition, the 3rd Generation Partnership Project (3GPP) defines the use of DASH as the standard continuous delivering of

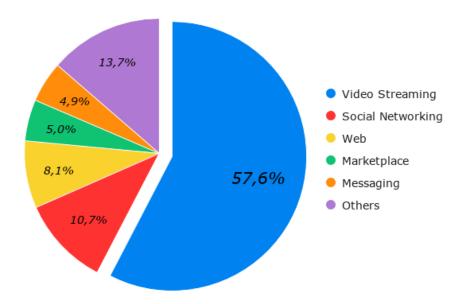


Figure 1.1: Global application category total traffic share during COVID-19 lockdown. Source: Sandvine [7]

multimedia content in mobile networks, specifically in 4G such as LTE and 5G networks.

DASH divides the media file into small chunks or segments. and defines the Media Presentation Description (MPD), which is an XML manifest file that contains the Universal Resource Locators (URL) of the segments. Different qualities are defined as representations, the MPD contains information for each representation such as the codec, bandwidth, resolution or framerate.

However, the DASH Standard [14] only defines the data formats for the media reproduction and do not provide the adaptation algorithm. The DASH Industry Forum [10] provides an open source MPEG-DASH player implemented in JavaScript with different adaptation algorithms. Similarly, hls.js is an implementation of a HTTP Live Streaming¹ client.

The adaptation algorithms needs to be tested in different scenarios (they can be simulated) and be tweaked to provide the maximum perceived quality by the users. Also, there are algorithms that perform better in some specific scenarios and worse in others. The adaptation algorithm is the responsible for avoiding problems that may have a negative impact on the *Quality of Experience* (QoE). One problem is that, the algorithm can over-

¹HTTP Live Streaming is a HTTP-based adaptive bitrate streaming protocol developed by Apple Inc. [4]

estimate the bandwidth and it would cause a pause in the reproduction because all the segments in the buffer are emptied. The algorithm can also underestimate the bandwidth, the video player requests media segments with inferior quality than the quality at which the bandwidth available of the network can allow. Lastly, the algorithm should avoid constant bitrate switches result of bandwidth fluctuations, and provide a smooth and seamless video watching experience.

The ns-3 simulator is an open-source and extensible discrete-event network simulator. The extensible nature of this tool allows us to develop a new module for ns-3 mimicking the behaviour of ABR clients and servers. With this new module, ns-3 will be able to simulate diverse mobile network scenarios and test the performance of adaptation algorithms.

1.2 Objectives

The objectives of this thesis is to build a framework for testing ABR adaptation algorithms, and implement some adaptation algorithms and compare them in various mobile network scenarios with different objective QoE metrics. In order to achieve the proposed objectives, the following steps will be proposed:

- 1. Study and understand ns-3 and basic modules such as the core module, the internet module, applications module, LENA module among others. Build basic LTE scenarios tweak radio parameters, and output results.
- 2. Design a new module in ns-3 that simulates behaviours of ABR clients and servers. Study and implement existing adaptation algorithms.
- 3. Implement objective QoE and QoS metrics. Build new LTE scenarios and compare the performances of the implemented adaptation algorithms.

1.3 Structure of the thesis

- Chapter 1. Presents the context, the motivations and the objectives of this thesis.
- Chapter 2. The State of the Art. Includes an introduction to ABR and DASH. The

architecture and video quality adaptation algorithms. Also, an brief explaination of LTE, its architecture and fundamentals.

Chapter 3. A starting guide to use ns-3. Brief introduction and usage of relevant ns-3 modules for this thesis.

Chapter 4. Introduces a new module for ns-3. ////

Chapter 5. dddd

Chapter 2 | State of the Art

This chapter will introduce the main concepts and tools that will be used during the development of the project. The section 2.1 will explain the different methods of content distribution over HTTP and different types and implementations of adaptive streaming. The section 2.2 will make a introduction to the DASH standard, different types of adaptation algorithms and QoE and QoS metrics. The section 2.3 will describe basic architecture and fundamentals of 4G LTE, such as the radio interface, propagation loss model, fading model, antenna model, etc.

2.1 ABR Video Streaming

There are three ways of media delivery over *HTTP*. The first method is by **file download**, the media file is downloaded in its entirety in a local hard disk and then it can be played. The second method is called **progressive download**, this method is similar to the file download, but instead the download starts from the beginning and the media starts playing once enough data are playable. However, these two methods have disadvantages like waste of bandwidth or *DRM* issues and also requiring a reliable transmission. The last method is called **streaming**, contrary to the former two, the file itseft is not stored locally, smaller chunks of video are sent from the server and the client needs a data buffer to store the data that is being downloaded. The client plays the multimedia content from the buffer, and when the session is closed the data are deleted.

Streaming media also comes with some challenges. There are a lot of network variability and a big heterogeneity in video capable devices. Therefore, to overcome these shortcomings, *Adaptive bitrate streaming (ABR)* was created.

The basic idea of Adaptive bitrate streaming is to adapt the media content for the user

by monitoring different parameters like estimated bandwidth, buffer level or *CPU load*, see Figure 2.1. There are many propietary adaptive streaming solutions:

- Apple HTTP Live Streaming (HLS): HTTP Live Streaming HLS is an implementation of an ABR protocol over HTTP developed by Apple [4] as part of the QuickTime software and the mobile operating system iOS. HLS supports live streaming and video on demand. HLS is proposed in 2009 as a standard to the IETF [17].
- Microsoft Smooth Streaming (MSS): Smooth Streaming is part of Internet Information Services (IIS) Media Services for delivering media over HTTP [21]. Their MSS technology was used for several sports events such a the Beijing Summer Olympic Games in 2008 and the 2010 Winter Olympics in Vancouver [22].
- Adobe HTTP Dynamic Streaming (HDS): HTTP Dynamic Streaming is the implementation of adaptive streaming by Adobe. HDS enables high-quality, network efficient HTTP streaming for media delivery that is tightly integrated with Adobe software [3]. The solution is based in using Open Source Media Framework (OSMF) and Adobe Flash Player.



Figure 2.1: Evolution of segment quality with time

But there was no official standarization for adaptive video delivery over HTTP. For that reason, a new international stadard called *MPEG-DASH* was developed and published.

2.2 Dynamic Adaptive Streaming over HTTP

DASH was developed from January 2009 to March 2010 and published in April 2012. The most recent revision of the standarization was released in 2019 as MPEG-DASH ISO/IEC 23009-1:2019 [14]. Moving Picture Experts Group from ISO/IEC and the 3GPP collaborated on the DASH standard. The 3rd Generation Partnership Project defined the use of DASH as the standard of digital media delivery in mobile networks (4G LTE, 5G) in [2].

The objective of *DASH* was to create a unique standard that replaces the propietary solutions from Microsoft, Apple and Adobe. Also, it will offer the interoperability and the convergence needed for the expansion of large-scale video streaming solutions. Also, the *DASH Industry Forum (DASH-IF)* was created to promote and help the expansion of *DASH*. Microsoft, Apple, Netflix, Qualcomm, Ericsson and Samsung are some of the companies members of the *DASH-IF*.

One of the biggest advantages of DASH is that the video streaming is over HTTP version 1.1 protocol (HTTP/1.1). The use of HTTP means that reusing existing internet infrastructure and media content distribution tecniques using CDN (Content Delivery Networks) can be done. Another convenience of using DASH is that due to using HTTP encapsulation, problems with passing through firewalls and the Network Address Translation (NAT) are not existent.

All the control of the media content delivery is located in the DASH client side. The standard does not define any web delivery mechanism nor the bitrate adaptation algorithm. What DASH does define in [14] are:

- The Media Presentation Description (MPD) File Format: The MPD file uses the eXtensible Markup Language (XML) and contains the specifications of the media content and the URL of the segments in the HTTP video servers.
- **Segment format**: *DASH* defines the characteristics of the necessary codifications and the way that the media content is divided in small fragments called *segments*.

The Figure 2.2 presents a simple DASH architecture. The video and audio content are processed and stored on an HTTP server. To access the content, the client sends HTTP requests to the server. But first, the client needs to download the MPD file, normally

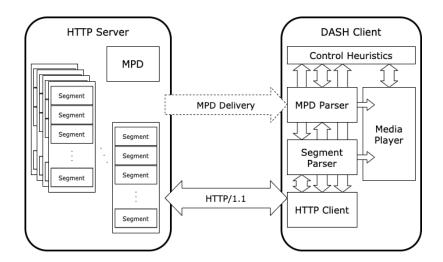


Figure 2.2: DASH client-server architecture. Source: MPEG [26]

through HTTP. The client then does the parsing of the MPD, extract information such as the duration of a segment, media types or resolutions. Finally, the DASH client chooses the adequate quality and starts the streaming of the content using HTTP GET request to fetch the segments.

The *DASH* client stores the segments in a buffer and consumes the content. It continues to fetch new segments and by monitoring network variables it will decide which quality (higher or lower bitrate) to request next to avoid problems like buffer underflow and maintain at least a set number of segments in the buffer.

2.2.1 MPD

The MPD file is an XML document that describes the characteristics of the different media components that composes the media content (e.g. video, audio, subtitles).

The structure of the *MPD* is hierarchical as illustrated in Figure 2.3. The media content is divided in a sequence of **periods**, each period has a starting time and a duration. In a period, the set of encoded versions of the media content is consistent, that is, the same bitrates, languages and so on.

Each period consists of one or multiple **adaptation sets**. A collection of interchangeable encoded versions of one or more media content components is referred to as an adaptation set. For instance, and adaptation set may contain the different bitrates of the video

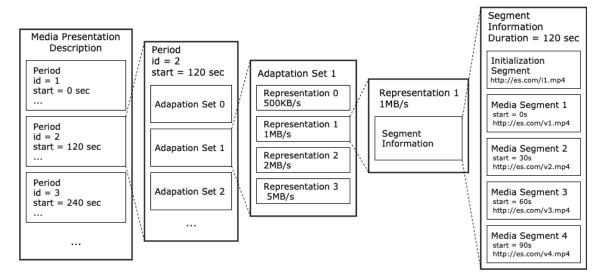


Figure 2.3: The MPD hierarchical data model. Source: MPEG [26]

component of the same multimedia content and another adaptation set may contain the different bitrates of the audio component of the same multimedia content.

An adaptation set contains a set of **representations**. A representation describe an enconded alternative of the same media component, the alternatives can vary by bitrate, resolution, framerates, codec, sampling rate or other characteristics.

Each representation consists of one or multiple **segments**. A segment is the media stream chunks in temporal sequence. Each segment has a URI, the client will use this URI to make $HTTP\ GET$ requests to the video server.

2.2.2 Adaptation Algorithms

In a video streaming service, there are a number of factors like the download bandwidth, delay or packet losses that can produced undesirable effects on the client such as buffer underflow, rebuffering and interruptions that lead to bad playback experience, thus, a bad Quality of Experience. To solve these problems, the ABR video streaming clients uses different adaptation algorithms to give a higher QoE.

An adaptation algorithm is a technique used in a multimedia streaming service to adjust the video quality in real-time according to different parameters. Some of the parameters are:

- Client device: The screen resolution, CPU capabilities, Buffer size, etc.
- Network: Type of access network (Mobile, Fixed), available bandwidth, etc.

The following subsections will explain different types of adaptation algorithms and the algorithms implemented for this thesis in ns-3.

2.2.2.1 Bandwidth throughput based algorithms

This group of algorithms uses estimations of bandwidth throughput as the main rule to select the qualities of the multimedia content for the client. The main difference between algorithms of this kind is the bandwidth estimation method and how the estimation relates to the qualities.

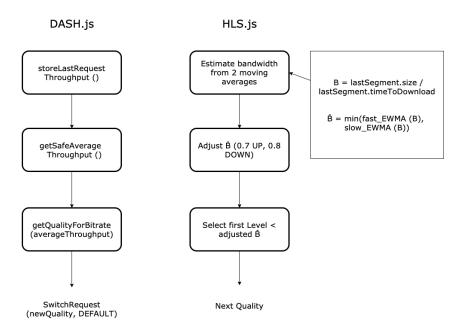


Figure 2.4: Bandwidth based algorithms. Source: [13]

• HLS.js [9]. The algorithm is called Bandwidth estimation.

The algorithm processes two EWMA (Exponentially Weighted Moving Averages) and chooses the minimum of the two as the bandwidth estimation. Then the bandwidth estimation is multiplied by a factor to reduce oscillation. And finally it selects the first quality with a bitrate less than the adjusted bandwidth estimation.

• DASH.js [11]. The Throughput Rule.

This algorithm is basically the same as the Bandwidth estimation from HLS.js. It computes the average throughput, and uses an safety factor to avoid oscillations. And then chooses the quality based on the safe average and creates a new *SwitchRequest*.

2.2.2.2 Buffer based algorithms

This group of algorithms uses buffer occupancy information to try to choose the highest level of bitrate for the multimedia content. These algorithms are usually used to avoid buffer underflow.

• BOLA [27]. Buffer Occupancy based Lyapunov Algorithm.

The BOLA adaptation algorithm uses the Lyapunov optimization to make decisions. This is an utility theory and it is configurable with a tradeoff parameter to choose between rebuffering potential and bitrate maximization.

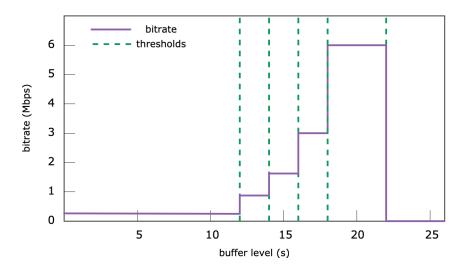


Figure 2.5: BOLA's bitrate choice as function of buffer level. Source: [27]

BOLA tries to maximize $V_n + \gamma S_n$. where:

- $\circ V_n$ is the bitrate utility.
- \circ S_n is the playback smoothness.
- $\circ \gamma$ is the tradeoff weight parameter.

2.2.2.3 Control theory based or hybrid algorithms

This class of algorithms uses a combination of throughput estimation and buffer occupancy and tries to maximize the bitrate selection with decision-taking indicators calculated making use of control theory or stochastic optimal control equations.

2.2.3 QoS & QoE Metrics

The Quality of Service (QoS) is defined by the ITU-T in the document P.10/G.100 [20] as "The totality of characteristics of a telecommunications service that bear on its ability to satisfy stated and implied needs of the user of the service". And the Quality of Experience (QoE) is defined as "The degree of delight or annoyance of the user of an application or service".

The standard ISO/IEC 23009 defines a list of parameters for Quality of Service (QoS) and Quality of Experience (QoE) for the adaptation algorithms to base on. There parameters is also used to evaluate the overall quality in the multimedia distribution service.

Some of the metrics defined in [2] and [14] are as follows:

- Average Throughput: This is a *QoE* metric that defines a list in which the average Throughput observed in the client during a measuring period.
- Initial Playout Delay: This is a *QoE* metric that represents the initial delay in the reproduction of the media content.
- Representation Switch Events: This is a *QoS* metric for measuring the number of representation switch events of the multimedia content.
- Buffer Level: This is a QoS metric that monitors the level of occupancy of the buffer during the reproduction of the multimedia content.

2.3 LTE

Long Term Evolution (LTE) was first introduced in 2008 in the Release 8 of the 3GPP specification [1]. The objective of LTE was to migrate the 3GPP systems into a optimized

system based on packet switching (all IP), with greater bitrates, lower latency y multiple radio access technologies support.

2.3.1 History

The first mobile phone call was made in 1973 [15]. New generations of mobile networks are developed almost every decade. The first generation 1G launched years later, but it was only capable of doing voice calls. In 1991, the second generation 2G (GSM) of mobile networks was introduced. GSM provided improved wireless capabilities and introduced by the first time multimedia content with Multimedia Message Service (MMS). But it was the third generation 3G, launched in 2001, that enabled new internet-driven services such as video conferencing and streaming. Later in 2009, the LTE 4G standard was commercially deployed. With theorical download bandwidth of almost 100Mbps made high-quality streaming into reality. 5G technologies improves in bandwidth even more and brings video streaming in UHD and more.

The consumption of multimedia content on mobile networks is becoming increasingly relevant with the rise of bandwidth and ease of access. This section will provide a brief introduction to the basic concepts of mobile networks, their architecture and fundamentals.

2.3.2 Architecture

The design of the LTE architecture was done from the ground up. The goal was to build a flat, all IP architecture using packet-switching, well structured (separation of control plane and user plane) and with few elements.

The Evolved Packet System (EPS) is constituted by the following elements:

- User Equipment (UE): An UE is any device used by an end user to communicate in a mobile network.
- Evolved UMTS Terrestial Radio Access Network (E-UTRAN): The only elements in the E-UTRAN are the e-NodeB. An enhanced Node B (e-NodeB) works as a base station and a controller.

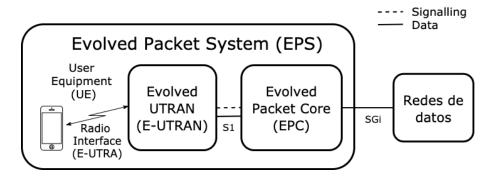


Figure 2.6: LTE Architecture

- Evolved Packet Core (EPC): The EPC is made up of a network of gateways, control servers, and databases linked by a IP backbone. The main elements of the EPC are:
 - Mobility Management Entity (MME): The MME is a server used for managing the signalling of the operation.
 - o Serving Gateway (SGW): The SGW is the gateway used for communicating the access network E-UTRAN and the PGW.
 - \circ *Packet Data Network Gateway (PGW)*: The *PGW* is the gateway for the traffic between the core network and external packet data networks.
 - Home Subscriber Server (HSS): The HSS is a database containing information about the EPC network users.
 - Policy Charging and Rule Function (PCRF): The PCRF is used for QoS, policy and charging management.

2.3.3 OFDMA and SC-FDMA

The cellular communication systems needs to have a strategy for multiple access. In LTE, the Orthogonal Frequency Division Multiple Access (OFDMA) is used for downlink and the Single- Carrier Frequency Division Multiple Access (SC-FDMA) is used for uplink. Both are very similar, consisting in allocating each subscriber some portion of the subcarriers for certain amount of time.

In the Figure 2.8, a transmission structure of LTE is presented. The two dimentions of the plane are time and frequency. Two important concepts are defined as:

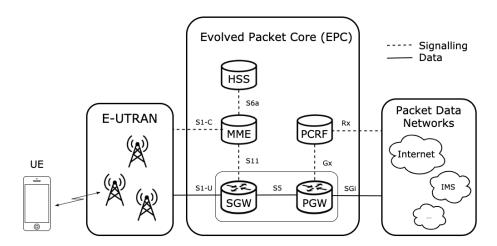


Figure 2.7: Evolved Packet Core (EPC) Architecture

- Resource Element (RE): A Resource Element is the basic element of resouce, it is defined as one subcarrier in a symbol period.
- Resource Block (RB): A Resource Block is composed by twelve subcarriers (180 kHz) in a time interval of 0.5 ms (7 OFDM symbols).

Users are assigned resources in resource blocks across a subframe, i.e., 12 subcarriers over $2 \times 7 = 14$ OFDM symbols for a total of 168 Resource Elements. Because some of the 168 resource components are utilized for various layer 1 and layer 2 control messages, not all of them can be used for data.

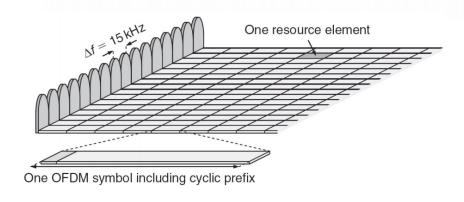
The number of Resource Blocks available for each channel bandwidth is given by the Table 2.1.

Bandwidth	1.4 MHz	3 MHz	5 MHz	10 MHz	15 MHz	20 MHz
Number of RBs available	6	15	25	50	75	100

Table 2.1: Number of Resource Blocks against each channel bandwidth. Source: [28]

2.3.4 Wireless Fundamentals

Large-scale wireless networks, such as LTE, are fundamentally inefficient and prone to interference. Supporting mobility while also obtaining high levels of power efficiency, such



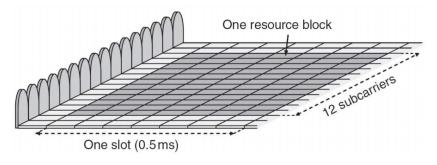


Figure 2.8: LTE Time-Frequency Grid. Source: [29]

as through directional antennas, can be really challenging. Base stations must be selectively installed but accommodate vast user populations in order to be cost-effective, resulting in a significant amount of self-interference. As a result, achieving high coverage, capacity, and dependability at low cost and used power is extremely difficult, if not impossible.

The following list highlights the main parameters affecting the received signal in a wireless system.

2.3.4.1 Propagation loss

The amount of transmitted power that actually reaches the receiver is the first visible difference between wired and wireless channels. The transmitted signal energy extends along a spherical wavefront if an isotropic antenna is utilized, hence the energy received at an antenna d distant is inversely proportional to the sphere surface area, $4\pi d^2$. However, in reality the propagation environment is not free space, we may also take into account other factors such as reflections.

2.3.4.2 Shadowing

Obstacles such as trees and buildings, as shown in Figure 2.9, may be situated between the transmitter and receiver, causing temporary signal degradation, whereas a temporary line-of-sight transmission path would result in abnormally high received power.

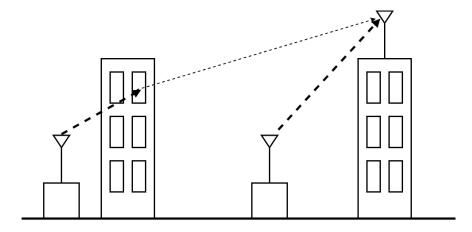


Figure 2.9: Shadowing effect. Source: [23]

2.3.4.3 Fading loss

The fading effect is another aspect of wireless channels. Fading is generated by the receiving of multiple versions of the same signal (multipath), unlike path loss or shadowing, which are large-scale attenuation effects induced by distance or obstacles.

The reflections may arrive at very short intervals. For example, if there is local dispersion around the receiver, or they may arrive at relatively longer intervals, for instance, if the transmitter and receiver are on multiple pathways. Figure 2.10 illustrates this.

2.3.5 Antennas & MIMO

An antenna is a device that uses electromagnetic waves to transmit or receive information. The transmitting antenna turns electrical currents into electromagnetic waves, and vice versa (receiving antenna).

Multiple Input, Multiple Output (MIMO) is a technique for increasing the capacity of a radio link by employing multiple transmitting and receiving antennas to take advantage

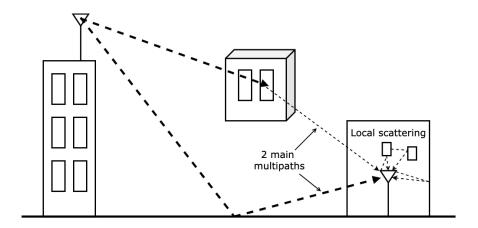


Figure 2.10: Fading loss effect. Source: [23]

of multipath propagation. MIMO has become a key component of wireless communication technologies such as LTE.

There are also special cases of MIMO:

- Multiple-input single-output (MISO): When there are multiple transmitting antennas and a single antenna.
- Single-input multiple-output (SIMO): When the transmitter has a single antenna and there are multiple receiving antennas.
- Single-input single-output (SISO): SISO is a radio system in which neither the transmitter nor the receiver has multiple antennas.

Another special type of MIMO is called *Multi User-Multiple Input Multiple Output*. Single-user SU-higher MIMO's per-user throughput is better suited to more sophisticated user devices with more antennas, whereas MU-MIMO is more practical for low-complexity mobile phones with a small number of reception antennas.

2.3.6 Physical Layer

MAC Scheduler AMC CQI

mcs

LTE enb phy

RLC: Transparent Mode (TM), Unacknowledged Mode (UM) and Acknowledged Mode (AM)

 ${\it EARFCN}$ stands for E-UTRA Absolute Radio Frequency Channel Number SRS periodicity

Chapter 3 | Network Simulator 3

The ns-3 simulator is an open, extensible discrete-event network simulator designed primarily for educational and network research purposes [24].

In summary, ns-3 provides models of how packet data networks work and operate, as well as a simulation engine that allows users to run simulation experiments. To do research that are more difficult or impossible to do with real systems, to examine system behavior in a highly controlled, reproducible setting, and to understand about how networks work.

ns-3 is a collection of modules that can be used together as well as with other software libraries. This tool works mainly at the command line on Linux or MacOS and with C++ and Python programming languages and development tools.

3.1 Getting Started

The prerequisites for the ns-3 release version 3.32 are the following tools:

Prerequisite	Package/version
C++ compiler	<pre>clang++ or g++ (g++ version 4.9 or greater)</pre>
Python	${\tt python3\ version}>=3.5$
Git	any recent version
tar	any recent version
bunzip2	any recent version
-	

Table 3.1: Prerequisites for ns-3

Start by downloading the source archive from nsnam or gitlab. Then build *ns-3* with build.py:

```
# Download from nsnam
 1
 3
     $ mkdir workspace
 4
     $ cd workspace
     $ wget https://www.nsnam.org/release/ns-allinone-3.32.tar.bz2
     $ tar xjf ns-allinone-3.32.tar.bz2
 7
     $ cd ns-allinone-3.32
     # Building ns-3
9
     $ ./build.py --enable-examples --enable-tests
10
     # Running a script
     # Create or copy a script to the scratch directory
11
12
     $ cp examples/tutorial/first.cc scratch/myfirst.cc
13
     $ ./waf --run scratch/myfirst
```

Listing 3.1: Download and installation of ns-3

3.2 ns-3 Concepts

This section will go over several networking concepts that have a specific meaning in ns-3.

Node: A Node in ns-3 is the basic computing device abstraction. The Node class has methods for managing computing device representations in simulations.

Application: A ns-3 application run on ns-3 Nodes. An Applicacion is the basic abstraction for a user program that generates some simulated activity. The Application class provides functions for controlling the representations of the simulated version of user-level applications.

Channel: A Channel in *ns-3* is an abstraction of the basic communication subnetwork in which Nodes are connected in. It can be as simple as a wire or as complicated as a large Ethernet switch.

Net Device: A NetDevice in ns-3 simulates a Network Interface Card (NIC) and the software controlling the NIC. A NetDevice is installed in a Node to allow it to

communicate over Channels with other Nodes in the simulation.

Helpers: Helper objects are created to make some commun tasks easier. Such as connecting NetDevices to Nodes, NetDevices to Channels, assigning IP addresses, etc.

The Figure 3.1 shows a high level node architecture.

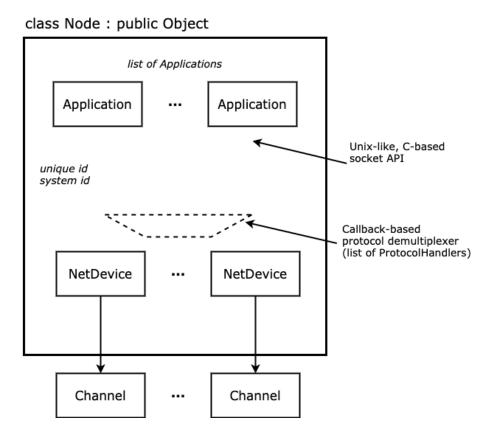


Figure 3.1: ns-3 High-level node architecture. Source: [24]

3.3 Logging Module

Message logging is a basic feature for large softwares, and ns-3 is no different. ns-3 offer a complete module for message logging with configurable verbosity levels. This means that logging functions of specific components can be enabled and other can be disabled completely.

There are different levels of log messages of ascending verbosity defined in ns-3:

- LOG_ERROR: For error messages (associated function: NS_LOG_ERROR).
- LOG_WARN: For warning messages (associated function: NS_LOG_WARN).
- LOG_DEBUG: For relatively rare, ad-hoc debugging messages (associated function: NS_LOG_DEBUG).
- LOG_INFO: For informational messages about program progress (associated function: NS_LOG_INFO).
- LOG_FUNCTION: For messages describing each function called (two associated function: NS_LOG_FUNCTION used for member functions, and NS_LOG_FUNCTION_NOARGS, used for static functions)).
- LOG_LOGIC: For messages describing logical flow within a function (associated function: NS_LOG_LOGIC).
- LOG_ALL: Log everything mentioned above (no associated function).

To enable all logs, it is as simple as modifying a shell variable. In the next example the logging for the class UdpEchoClientApplication and UdpEchoServerApplication is enabled with all levels, the time and the function prefixes:

```
$ export 'NS_LOG=UdpEchoClientApplication=level_all|prefix_func|
prefix_time:UdpEchoServerApplication=level_all|prefix_func|
prefix_time'
```

Listing 3.2: Enabling logging in ns-3

To disable logging simply type:

Listing 3.3: Disabling logging in ns-3

For more information with the logging modure see [24].

3.4 Command Line Arguments

There are local and global variables that can be changed in the command line without editing the scripts. An example of a command could be like this:

Listing 3.4: Command line arguments

3.5 Tracing System

The main goal of the simulations is to extract and generate output, and ns-3 offers two mechanisms for this. Also, since ns-3 is a C++ software, using std::cout for output is also available.

3.5.1 ASCII Tracing

ns-3 includes helper function that encapsulates the low-level tracing system and guides you through the technicalities of establishing some simple packet traces. If you enable this feature, the output will be in ASCII files, hence the name.

To enable ASCII Tracing, right before the call to Simulator::Run (), add the following lines of code:

```
1 AsciiTraceHelper ascii;
2 pointToPoint.EnableAsciiAll (ascii.CreateFileStream ("out.tr"));
```

Listing 3.5: ASCII tracing

This will generate the output from pointToPoint to a file named out.tr.

3.5.2 PCAP Tracing

The ns-3 device helpers can also create .pcap trace files. The pcap file contains the packets captured during the simulation. Wireshark or tcpdump are programs capable of reading and visualizing pcap files.

To enable *pcap* tracing simply add:

```
pointToPoint.EnablePcapAll ("myfirst");
```

Listing 3.6: PCAP tracing

This will create various .pcap files in the format "myfirst-0-0.pcap", meaning the trace file for node 0 and device 0.

3.6 ns-3 Modules & Models

In this section, modules used in this thesis will be presented, based on the official manual from [24]. But first, It is essential to understand the difference between modules and models:

- Modules are the different libraries that form ns-3.
- Models are the simulated, abstract representations of real-life objects, protocols, devices, etc.

As the reader may already know, ns-3 is modular. A new module will be introduced in the chapter 4 as a result of this Master final project.

3.6.1 Antenna Module

The Antenna module provides a AntennaModel base class as an interface for radiation pattern modelling of an antenna. Also, there are a set of classes derived from this base class that implements types of antennas with differente radiation patterns.

3.6.1.1 AntennaModel

The AntennaModel uses a coordinate system as shown in the Figure 3.2. This model uses, for a point p in the space with Cartesian coordinates used by the MobilityModel, the coordinates (x, y, z) and transforms into spherical coordinates (r, θ, ϕ) .

The radiation pattern is express as a mathematical function $g(\theta, \phi) \to \mathbb{R}$

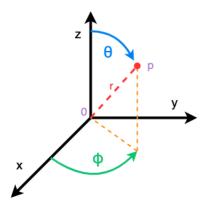


Figure 3.2: Coordinate system of the Antenna Model. Source: nsnam [24]

• IsotropicAntennaModel

The IsotropicAntennaModel is onmidirectional, this means that the radiation pattern have a 0dB gain for all direction.

• CosineAntennaModel

The antenna gain of the CosineAntennaModel is defined as:

$$g(\phi, \theta) = \cos^n \left(\frac{\phi - \phi_0}{2}\right) \tag{3.1}$$

with ϕ_0 as the antenna's azimuthal orientation, this is, the direction of maximum gain. And the exponential

$$n = -\frac{3}{20 \log_{10} \left(\cos \frac{\phi_{3dB}}{4}\right)} \tag{3.2}$$

determines the wanted 3db beamwidth ϕ_{3dB} .

• ParabolicAntennaModel

In the ParabolicAntennaModel, the antenna gain is determined as:

$$g(\phi, \theta) = -\min\left(12\left(\frac{\phi - \phi_0^2}{\phi_{3dB}}\right), A_{max}\right)$$
(3.3)

where A_{max} is the maximum attenuation in dB of the antenna.

3.6.2 Buildings Module

The Buildings module provide various models, but these are the most relevant for this thesis:

3.6.2.1 Building class

The Building model implements and tries to simulate real-life buildings, which affects wireless communications in different ways.

A Building can be residential, office or commercial, has different types of external wall (wood, concrete with/out windows, stone blocks), has a number of floors and rooms in each floor.

Some limitations have to be made:

- A Building is represented as a rectangular parallelepiped.
- The walls needs to be parallel to the cardinal coordinates.
- A Building is a grid of rooms, with z axis as the floor number and the x and y room indexes start from 1 and increses along the x and y axis.
- All the rooms are the same size.

3.6.2.2 MobilityBuildingInfo class

The MobilityBuildingInfo keeps track of the mobility and positional information of the nodes with respect to buildings in a simulation. A node can be inside or outside of a building and if the node is indoors, this class knows in which building and in which room the node is positioned.

3.6.2.3 ItuR1238PropagationLossModel

This class provides an ITU P.1238-based building-dependent indoor propagation loss model that includes losses owing to building type (i.e., residential, office and commercial). The following is the analytical expression:

$$L_{total} = 20 \log f + N \log d + L_f(n) - 28[dB]$$
(3.4)

where N is the power loss coefficient, L_f is the loss depending of type of building, f is the frequency [MHz] and d is de distance [m].

3.6.2.4 BuildingPropagationLossModel

The BuildingsPropagationLossModel adds a set of pathloss model elements that are building-dependent and can be used to design various pathloss logics. The elements of the pathloss model are discussed in the subsections below.

• External Wall Loss

This component simulates the loss of communication from indoors to outdoors and vice versa through walls.

• Internal Wall Loss

This component simulates the loss of penetration in indoor-to-indoor communications within a single building.

• Height Gain Model

This component simulates the gain caused by the transmitting equipment being on a higher floor than the ground.

• Shadowing Model

The shadowing is represented using a log-normal distribution with a variable standard deviation as a function of the MobilityModel instances' relative position (inside or outdoor). For each pair of MobilityModels, a single random value is generated and remains constant during the simulation. As a result, the model is only suitable for static nodes.

3.6.2.5 Pathloss logics

The pathloss logic provided by inheriting from BuildingsPropagationLossModel is described in the following sections.

• HybridBuildingsPropagationLossModel

In order to imitate multiple outdoor and interior circumstances, as well as indoor-to-outdoor and outdoor-to-indoor scenarios, the HybridBuildingsPropagationLoss-Model was created by combining various well-known pathloss models. In particular, this class combines the pathloss models listed below:

• OhBuildingPropagationLossModel This is a simpler propagation loss model. It uses the OkumuraHataPropagationLossModel and also taking account the pathloss elements of the BuildingPropagationLossModel.

3.6.3 Internet Module

This module includes the implementations of TCP/IP related components like IPv4, ARP, UDP, TCP and so on. A Node with the Internet Stack installed is called a Internet Node.

In order to use the Internet Protocol, a node should be assigned an IP address. It can be done manually or through the *Dynamic Host Configuration Protocol (DHCP)*.

Full bidirectional TCP with connection setup and close logic is supported by the native ns-3 TCP model. Various TCP congestion algorithms are also available, such as New Reno, Cubic, HighSpeed, etc.

3.6.4 Mobility Module

The mobility module in ns-3 includes model to keep track the position and movement of the nodes and objects in cartesian coordinates and also a number of helper classes used for placing nodes and set up mobility models.

The MobilityModel is the base class for all the subclasses for different moving paths or behaviours. The class PositionAllocator is typically used for seting the initial position of objects. MobilityHelper combines a mobility model and position allocator used for adding mobility capabilities for a set of nodes.

Some useful subclasses of MobilityModel are:

- ConstantPositionMobilityModel for stationary nodes.
- ConstantVelocityMobilityModel for contant velocity moving nodes.
- RandomWalk2SMobilityModel for random walking in a 2D plane.

3.6.5 Network Module

The Network Module includes implementations of network related classes like Packet, NetDevice, TCP and UDP Sockets, etc.

- 3.6.5.1 Packets
- 3.6.5.2 Sockets

3.6.6 PointToPoint NetDevice

3.6.7 LTE Module

There are two main components in the LTE-EPC simulation model.

- LTE Model. Includes models for the UE and the eNodeB nodes. Also the LTE Radio Protocol Stack (PHY, MAC, RLC, etc.).
- **EPC Model**. Includes models for the entities, interfaces and protocols in the Evolved Packet Core.

3.6.7.1 LteHelper

The LteHelper is a helper which manages the LTE radio access network's configuration as well as the setup and release of EPS bearers. The API definition and implementation are both provided by the LteHelper class.

This is a code snipper to create UEs and eNodeBs with LteHelper:

```
// Create LteHelper and the nodes
Ptr<LteHelper> lteHelper = CreateObject<LteHelper> ();
NodeContainer enbNodes;
```

```
4
     enbNodes.Create (1);
 5
     NodeContainer ueNodes;
6
     ueNodes.Create (2);
 7
8
     // Set the mobility model
9
    MobilityHelper mobility;
10
     mobility.SetMobilityModel ("ns3::ConstantPositionMobilityModel");
     mobility.Install (enbNodes);
11
12
     mobility.SetMobilityModel ("ns3::ConstantPositionMobilityModel");
13
     mobility.Install (ueNodes);
14
15
     // Install NetDevices to the nodes
16
    NetDeviceContainer enbDevs;
17
     enbDevs = lteHelper->InstallEnbDevice (enbNodes);
18
     NetDeviceContainer ueDevs;
19
     ueDevs = lteHelper->InstallUeDevice (ueNodes);
20
21
     // Attach UEs to the eNodeB
22
     lteHelper->Attach (ueDevs, enbDevs.Get (0));
```

Listing 3.7: LteHelper usage

• Network Attachment

To connect an UE to the network, the UE needs to be attached to an eNodeB. This is done by calling the LteHelper::Attach function. The are two possible ways for network attachment.

• Automatic Attachment

This method uses the strengh of the received signal as the criteria to choose, in the initial cell selection process, which eNodeB to connect to. The automatic attachment works by calling:

Listing 3.8: UE Automatic Attachment

• Manual Attachment Alternatively, selecting the eNodeB at the beginning of the simulation is also possible:

```
1    lteHelper->Attach (ueDevs, enbDev); // attach one or more UEs
    to a single eNodeB
```

Listing 3.9: UE Manual Attachment

• Simulation Output

The LTE module offer PHY, MAC, RLC, and PDCP level Key Performance Indicators (KPI) that can be enabled using **LteHelper**:

```
1  lteHelper->EnablePhyTraces ();
2  lteHelper->EnableMacTraces ();
3  lteHelper->EnableRlcTraces ();
4  lteHelper->EnablePdcpTraces ();
```

Listing 3.10: Enable LTE trace outputs

3.6.7.2 EpcHelper

The EpcHelper allows the simulation of the Evolve Packet Core. The usage of EPC with LTE devices allows for IPv4 and IPv6 networking. To put it another way, it is possible to use standard ns-3 apps and sockets across IPv4 and IPv6 via LTE, as well as connect an LTE network to any other IPv4 and IPv6 network in the simulation.

Listing 3.11: Enable Evolved Packet Core

3.6.7.3 MAC

• MAC Scheduler

In ns-3, there are several types of MAC schedulers available. User can choose which one to use with the LteHelper:

```
1 Ptr<LteHelper> lteHelper = CreateObject<LteHelper> ();
```

Listing 3.12: MAC Scheduler

• AMC & CQI

In terms of selecting MCSs and generating CQIs, the simulator offers two options. The first is the implementation by [16] and operates on a per-RB basis, and the other is based on the physical error mode.

Listing 3.13: AMC Model

3.6.7.4 Mobility Model with Buildings

The propagation model to be used with the LTE module is defined in the Buildings module.

```
1
     MobilityHelper mobility;
 2
     mobility.SetMobilityModel ("ns3::ConstantPositionMobilityModel");
3
4
    Ptr<Building> b = CreateObject <Building> ();
5
    // Box (xmin, xmax, ymin, ymax, zmin, zmax)
6
    b->SetBoundaries (Box (0.0, 10.0, 0.0, 20.0, 0.0, 20.0));
 7
    b->SetBuildingType (Building::Residential);
8
    b->SetExtWallsType (Building::ConcreteWithWindows);
9
    b->SetNFloors (3);
10
    b->SetNRoomsX (3);
11
    b->SetNRoomsY (2);
12
```

```
13 mobility.Install (ueNodes);
14 mobility.Install (enbNodes);
15 BuildingsHelper::Install (ueNodes);
16 BuildingsHelper::Install (enbNodes);
```

Listing 3.14: Mobility Model

This creates a residential building, concrete with windows, with 3 floors and 6 rooms each floor. It is set that all UEs are in a constant position, but other mobility models are also possible.

The LTE module is also compatible with existing propagation loss models. Only the propagation from the UEs to the base stations are computed.

Listing 3.15: Pathloss Model

3.6.7.5 AntennaModel & MIMO Model

Any model of AntennaModel is supported, by default, the IsotropicAntennaModel is used for both eNBs and UEs. In case of using multiple antennas, ns-3 offers different MIMO operation modes. Here is an example of a cosine antenna:

Listing 3.16: Antenna & MIMO Model

3.6.7.6 Radio Environment Maps

With this class is possible to create a Radio Environment Map (REM), which is a uniform 2D grid of values that reflect the signal-to-noise ratio in the downlink with regard to the eNB with the strongest signal at each point, to a file by using the class RadioEnvironmentMapHelper.

Using a software like gnuplot¹, the output file can be visualized:

```
Ptr<RadioEnvironmentMapHelper> remHelper = CreateObject
        RadioEnvironmentMapHelper> ();
 ^{2}
     remHelper->SetAttribute ("Channel", PointerValue (lteHelper->
        GetDownlinkSpectrumChannel ()));
     remHelper->SetAttribute ("OutputFile", StringValue ("rem.out"));
 3
     remHelper->SetAttribute ("XMin", DoubleValue (-400.0));
 4
     remHelper->SetAttribute ("XMax", DoubleValue (400.0));
 5
 6
     remHelper->SetAttribute ("XRes", UintegerValue (100));
     remHelper->SetAttribute ("YMin", DoubleValue (-300.0));
 7
 8
     remHelper->SetAttribute ("YMax", DoubleValue (300.0));
9
     remHelper->SetAttribute ("YRes", UintegerValue (75));
10
     remHelper->SetAttribute ("Z", DoubleValue (0.0));
     remHelper->SetAttribute ("UseDataChannel", BooleanValue (true));
11
12
     remHelper->SetAttribute ("RbId", IntegerValue (10));
13
     remHelper->Install ();
```

Listing 3.17: Radio Environment Maps helper

Figure 3.3 shows an example of a Radio Environment Map.

¹http://www.gnuplot.info/

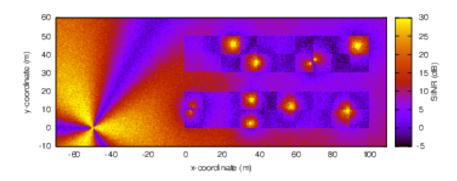


Figure 3.3: Example Radio Environment Map. Source: [24]

3.6.8 Configuration parameters

The *ns-3* attribute system is the entity that manages all the parameters. It is possible to use input files using ConfigStore and set initial values for default and global parameters.

At the beginning of the main function, include:

Listing 3.18: Configuration parameters

And also make sure to include #include "ns3/config-store.h" in the script. Then create a text file named as defined before and specify the new default values to be used:

```
default ns3::LteHelper::Scheduler "ns3::PfFfMacScheduler"
default ns3::LteHelper::PathlossModel "ns3::
```

```
FriisSpectrumPropagationLossModel"
3
    default ns3::LteEnbNetDevice::DlBandwidth "25"
    default ns3::LteEnbNetDevice::DlEarfcn "100"
    default ns3::LteEnbNetDevice::UlEarfcn "18100"
5
    default ns3::LteUePhy::TxPower "10"
    default ns3::LteEnbPhy::TxPower "30"
7
    default ns3::LteEnbRrc::SrsPeriodicity "40"
9
    default ns3::TcpSocket::SndBufSize "524280"
    default ns3::TcpSocket::RcvBufSize "524280"
10
    global RngSeed "24"
11
    global simTime "10.0"
12
    global nRB "100"
13
```

 $\textbf{\textit{Listing 3.19:}} \ \textit{Configuration parameters}$

Chapter 4 | ABR Module for ns-3

Chapter 5 | Simulations Scenarios and Results

Chapter 6 | Conclusions and Future Work

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Appendix A | Impact

- A.1 Social Impact
- A.2 Economic Impact
- A.3 Ambiental Impact
- A.4 Ethic Impact

Appendix B | Budget