

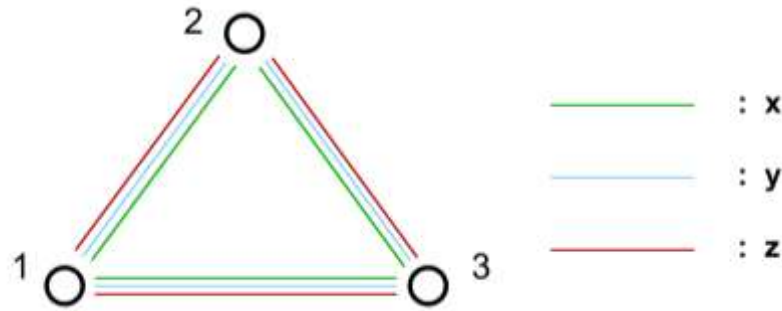
## Exercise 1 : Spin-models on a three-sites cluster

Consider the following (general) Hamiltonian for a spin-model on a three-site cluster:

$$H = - \sum_{ij\alpha} J_{ij}^{\alpha} S_i^{\alpha} S_j^{\alpha}$$

with  $i,j=1,2,3$  ( $i < j$  in  $\sum_{ij}$ ) and  $\alpha = x, y, z$

To visualize the model, a colour code for the x,y and z components of the spincouplings turns out to be useful, see the figure.



a) Rewrite the Hamiltonian using the operators

$$S_i^{\pm} = S_i^x \pm iS_i^y, \text{ and } S_i^z$$

Hamiltonian can be rewritten as the form below:

$$H = - \sum_{ij\alpha} J_{ij}^{\alpha} S_i^{\alpha} S_j^{\alpha} = - \sum_{ij} (J_{ij}^x S_i^x S_j^x + J_{ij}^y S_i^y S_j^y + J_{ij}^z S_i^z S_j^z)$$

Using  $S_i^{\pm} = S_i^x \pm iS_i^y$

$$\text{We get } S_i^x = \frac{S_i^+ + S_i^-}{2}, S_i^y = \frac{S_i^+ - S_i^-}{2i}$$

Replace the terms  $S_i^x, S_i^y$  in Hamiltonian by the equations above, we get:

$$H = - \sum_{ij} \left[ \frac{J_{ij}^x}{4} (S_i^+ + S_i^-)(S_j^+ + S_j^-) - \frac{J_{ij}^y}{4} (S_i^+ - S_i^-)(S_j^+ - S_j^-) + J_{ij}^z S_i^z S_j^z \right]$$

Now set up (by hand!) the 8\*8 Hamilton matrices  $\overline{H}$  for the following three special cases:

The basis of states are  $|\downarrow\downarrow\downarrow\rangle, |\downarrow\downarrow\uparrow\rangle, |\downarrow\uparrow\downarrow\rangle, |\downarrow\uparrow\uparrow\rangle, |\uparrow\downarrow\downarrow\rangle, |\uparrow\downarrow\uparrow\rangle, |\uparrow\uparrow\downarrow\rangle, |\uparrow\uparrow\uparrow\rangle$

b) the Ising model, i.e.  $J_{ij}^{\alpha} = J\delta_{\alpha z}$

$$H_{\text{Ising}} = - \sum_{ij\alpha} J_{ij}^{\alpha} S_i^{\alpha} S_j^{\alpha} = -J \sum_{ij} S_i^z S_j^z = -J(S_1^z S_2^z + S_2^z S_3^z + S_3^z S_1^z)$$

$$\overline{H}_{Ising} = -J \begin{bmatrix} 3/2 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & -1/2 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & -1/2 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & -1/2 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & -1/2 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & -1/2 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & -1/2 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 3/2 \end{bmatrix}$$

c) the isotropic Heisenberg model, i.e.  $J_{ij}^\alpha = J$

$$\begin{aligned} H_{Heisenberg} &= -J \sum_{ij\alpha} S_i^\alpha S_j^\alpha \\ &= -J \sum_{ij} [\frac{1}{4}(S_i^+ + S_i^-)(S_j^+ + S_j^-) - \frac{1}{4}(S_i^+ - S_i^-)(S_j^+ - S_j^-) + S_i^z S_j^z] \\ &= -J \sum_{ij} [\frac{1}{2}(S_i^+ S_j^- + S_i^- S_j^+) + S_i^z S_j^z] \\ &= -J [\frac{1}{2}(S_1^+ S_2^- + S_1^- S_2^+ + S_2^+ S_3^- + S_2^- S_3^+ + S_3^+ S_1^- + S_3^- S_1^+) + S_1^z S_2^z + S_2^z S_3^z + S_3^z S_1^z] \end{aligned}$$

The basis of states are  $|\downarrow\downarrow\downarrow\rangle, |\downarrow\downarrow\uparrow\rangle, |\downarrow\uparrow\downarrow\rangle, |\downarrow\uparrow\uparrow\rangle, |\uparrow\downarrow\downarrow\rangle, |\uparrow\downarrow\uparrow\rangle, |\uparrow\uparrow\downarrow\rangle, |\uparrow\uparrow\uparrow\rangle$

$$\overline{H}_{Heisenberg} = -J \begin{bmatrix} 3/4 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & -1/4 & 1/2 & 0 & 1/2 & 0 & 0 & 0 \\ 0 & 1/2 & -1/4 & 0 & 1/2 & 0 & 0 & 0 \\ 0 & 0 & 0 & -1/4 & 0 & 1/2 & 1/2 & 0 \\ 0 & 1/2 & 1/2 & 0 & -1/4 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1/2 & 0 & -1/4 & 1/2 & 0 \\ 0 & 0 & 0 & 1/2 & 0 & 1/2 & -1/4 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 3/4 \end{bmatrix}$$

d) a model with  $J_{12}^x = J_{23}^y = J_{31}^z = J$  and all other  $J_{ij}^\alpha = 0$

The basis of states are  $|\downarrow\downarrow\downarrow\rangle, |\downarrow\downarrow\uparrow\rangle, |\downarrow\uparrow\downarrow\rangle, |\downarrow\uparrow\uparrow\rangle, |\uparrow\downarrow\downarrow\rangle, |\uparrow\downarrow\uparrow\rangle, |\uparrow\uparrow\downarrow\rangle, |\uparrow\uparrow\uparrow\rangle$

$$\begin{aligned} H_{model} &= -J(S_1^x S_2^x + S_2^y S_3^y + S_3^z S_1^z) \\ &= -J[\frac{1}{4}(S_1^+ + S_1^-)(S_2^+ + S_2^-) - \frac{1}{4}(S_2^+ - S_2^-)(S_3^+ - S_3^-) + S_3^z S_1^z] \\ &= -J[\frac{1}{4}(S_1^+ S_2^+ + S_1^- S_2^+ + S_1^+ S_2^- + S_1^- S_2^-) - \frac{1}{4}(S_2^+ S_3^+ - S_2^- S_3^+ - S_2^+ S_3^- + S_2^- S_3^-) + S_3^z S_1^z] \end{aligned}$$

$$\overline{H}_{model} = -J \begin{bmatrix} 1/4 & 0 & 0 & 1/4 & 0 & 0 & -1/4 & 0 \\ 0 & -1/4 & 1/4 & 0 & 0 & 0 & 0 & -1/4 \\ 0 & 1/4 & 1/4 & 0 & 1/4 & 0 & 0 & 0 \\ 1/4 & 0 & 0 & -1/4 & 0 & 1/4 & 0 & 0 \\ 0 & 0 & 1/4 & 0 & -1/4 & 0 & 0 & 1/4 \\ 0 & 0 & 0 & 1/4 & 0 & 1/4 & 1/4 & 0 \\ -1/4 & 0 & 0 & 0 & 0 & 1/4 & -1/4 & 0 \\ 0 & -1/4 & 0 & 0 & 1/4 & 0 & 0 & 1/4 \end{bmatrix}$$

## Exercise 2 : Hamilton matrices for spin models

Consider a spin model of the form

$$H = - \sum_{ij\alpha} J_{ij}^{\alpha} S_i^{\alpha} S_j^{\alpha}$$

with  $i, j = 1, \dots, N$  and  $\alpha = x, y, z$

a) Write a program which sets up the Hamilton matrix for a model with arbitrary  $N$  and an arbitrary list of couplings  $J_{ij}^{\alpha}$ . As discussed in the lecture, the x-, y- and z-links should be treated separately. For each link of the form  $-J_{ij}^{\alpha} S_i^{\alpha} S_j^{\alpha}$ , the action of  $S_i^{\alpha} S_j^{\alpha}$  on the basis state  $|n\rangle$  gives another basis state  $|l\rangle$  (times a prefactor). With the equation for  $l$  given in the lecture, the Hamiltonian matrix can be set up very efficiently.

Assuming the size of spin model is  $N$ , and the number of links is  $L$  (number of terms in the Hamiltonian of the form  $J_{pq}^{\alpha} S_p^{\alpha} S_q^{\alpha}$ )

The idea is:

1. Loop over the basis states from  $n=0$  to  $2^N-1$
2. Calculate the binary representation of  $n$ :  $[n]_1 0 \rightarrow [z_N \dots z_1]_2$
3. Loop over the links from  $j = 1$  to  $L$
4. if  $\alpha = x$  or  $y$ , calculate  $l = n + (1 - 2z_p)2^{p-1} + (1 - 2z_q)2^{q-1}$
5. add to the matrix element  $H_{ln}$  of the Hamilton matrix:

$$\text{for } \alpha = x: \quad -\frac{1}{4} J_{pq}^x$$

$$\text{for } \alpha = y: \quad (2z_p - 1)(2z_q - 1) \frac{1}{4} J_{pq}^y$$

$$\text{for } \alpha = z: \quad \overline{H}_{nn} = \frac{1}{4} J_{pq}^z (2z_p - 1)(2z_q - 1)$$

In [1]:

```
import numpy as np

N = 3

J_x = np.random.randint(2, size=(2**N, 2**N))
J_y = np.random.randint(2, size=(2**N, 2**N))
J_z = np.random.randint(2, size=(2**N, 2**N))
```

In [2]:

```

def Hmatrix(N, J_x, J_y, J_z):
    n_states = 2**N
    matrix = np.zeros((n_states, n_states))
    for n in range(n_states):
        z = np.zeros(N, dtype = int)
        nz = n
        for z_i in range(N):
            if nz//2 >= 0:
                z[z_i] = nz%2
                nz = nz//2

        for p in range(1, N+1):
            for q in range(p+1, N+1):
                s = (2*z[p-1]-1)*(2*z[q-1]-1)
                x_link = 0.25* J_x[p-1, q-1]
                y_link = -0.25*s*J_y[p-1, q-1]
                z_link = 0.25*s*J_z[p-1, q-1]

                l = n + (1-2*z[p-1])*2**(p-1) + (1-2*z[q-1])*2**(q-1)
                matrix[l, n] += x_link
                matrix[l, n] += y_link
                matrix[n, n] += z_link

    return(matrix)
Hmatrix(N, J_x, J_y, J_z)

```

Out[2]:

```

array([[ -0.25,  0.   ,  0.   ,  0.   ,  0.   ,  0.   , -0.25,  0.   ],
       [  0.   ,  0.25,  0.   ,  0.   ,  0.   ,  0.   ,  0.   , -0.25],
       [  0.   ,  0.   , -0.25,  0.   , -0.25,  0.   ,  0.   ,  0.   ],
       [  0.   ,  0.   ,  0.   ,  0.25,  0.   , -0.25,  0.   ,  0.   ],
       [  0.   ,  0.   , -0.25,  0.   ,  0.25,  0.   ,  0.   ,  0.   ],
       [  0.   ,  0.   ,  0.   , -0.25,  0.   , -0.25,  0.   ,  0.   ],
       [-0.25,  0.   ,  0.   ,  0.   ,  0.   ,  0.   ,  0.25,  0.   ],
       [  0.   , -0.25,  0.   ,  0.   ,  0.   ,  0.   ,  0.   , -0.25]])

```

b) Set up the Hamilton matrices for the three models discussed in exercise 1 (the three-site clusters) and calculate the eigenenergies for each model.

In [3]:

```

from numpy import linalg as LA
#Ising model, set N=3, J = -1

N =3

J_x_Ising = np.zeros((2**N, 2**N))
J_y_Ising = np.zeros((2**N, 2**N))
J_z_Ising = -np.ones((2**N, 2**N))

H_Ising = Hmatrix(N, J_x_Ising, J_y_Ising, J_z_Ising)
w_Ising, v_Ising = LA.eig(H_Ising)
print(H_Ising)
print('eigenvalues are', w_Ising)

```

```

[[ 0.75  0.    0.    0.    0.    0.    0.    0. ]
 [ 0.   -0.25  0.    0.    0.    0.    0.    0. ]
 [ 0.    0.   -0.25  0.    0.    0.    0.    0. ]
 [ 0.    0.    0.   -0.25  0.    0.    0.    0. ]
 [ 0.    0.    0.    0.   -0.25  0.    0.    0. ]
 [ 0.    0.    0.    0.    0.   -0.25  0.    0. ]
 [ 0.    0.    0.    0.    0.    0.   -0.25  0. ]
 [ 0.    0.    0.    0.    0.    0.    0.   0.75]]
eigenvalues are [ 0.75 -0.25 -0.25 -0.25 -0.25 -0.25 -0.25  0.75]

```

$$\overline{H}_{Ising} = -J \begin{bmatrix} 3/2 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & -1/2 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & -1/2 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & -1/2 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & -1/2 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & -1/2 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & -1/2 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 3/2 \end{bmatrix}$$

Compared the result from exercise 1, the result is the same

In [4]:

```
#Heisenberg model, set N=3, J=-1
```

```
N=3
```

```
J_x_hei = -np.ones((2**N, 2**N))
```

```
J_y_hei = -np.ones((2**N, 2**N))
```

```
J_z_hei = -np.ones((2**N, 2**N))
```

```
H_hei = Hmatrix(N, J_x_hei, J_y_hei, J_z_hei)
```

```
w_hei, v_hei = LA.eig(H_hei)
```

```
print(H_hei)
```

```
print('eigenvalues are', w_hei)
```

```
[[ 0.75  0.    0.    0.    0.    0.    0.    0. ]
 [ 0.   -0.25  0.5   0.    0.5   0.    0.    0. ]
 [ 0.    0.5  -0.25  0.    0.5   0.    0.    0. ]
 [ 0.    0.    0.   -0.25  0.    0.5   0.5   0. ]
 [ 0.    0.5   0.5   0.   -0.25  0.    0.    0. ]
 [ 0.    0.    0.    0.5   0.   -0.25  0.5   0. ]
 [ 0.    0.    0.    0.5   0.    0.5  -0.25  0. ]
 [ 0.    0.    0.    0.    0.    0.    0.   0.75]]
```

```
eigenvalues are [-0.75  0.75 -0.75  0.75 -0.75 -0.75  0.75  0.75]
```

$$\overline{H}_{\text{Heisenberg}} = -J \begin{bmatrix} 3/4 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & -1/4 & 1/2 & 0 & 1/2 & 0 & 0 & 0 \\ 0 & 1/2 & -1/4 & 0 & 1/2 & 0 & 0 & 0 \\ 0 & 0 & 0 & -1/4 & 0 & 1/2 & 1/2 & 0 \\ 0 & 1/2 & 1/2 & 0 & -1/4 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1/2 & 0 & -1/4 & 1/2 & 0 \\ 0 & 0 & 0 & 1/2 & 0 & 1/2 & -1/4 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 3/4 \end{bmatrix}$$

Compared the result from exercise 1, the result is the same

In [5]:

```
#a model with  $J_{x12}=J_{y23}=J_{z31}=J$  , set  $N = 3$ ,  $J=-1$ 
```

```
N=3
```

```
J_x_model = np.zeros((2**N, 2**N))
```

```
J_y_model = np.zeros((2**N, 2**N))
```

```
J_z_model = np.zeros((2**N, 2**N))
```

```
J_x_model[0,1] = -1
```

```
J_y_model[1,2] = -1
```

```
J_z_model[0,2] = -1
```

```
H_model = Hmatrix(N, J_x_model, J_y_model, J_z_model)
```

```
w_model, v_model = LA.eig(H_model)
```

```
print(H_model)
```

```
print('eigenvalues are', w_model)
```

```
[[ 0.25  0.    0.    0.25  0.    0.   -0.25  0.   ]
 [ 0.   -0.25  0.25  0.    0.    0.    0.   -0.25]
 [ 0.    0.25  0.25  0.    0.25  0.    0.    0.   ]
 [ 0.25  0.    0.   -0.25  0.    0.25  0.    0.   ]
 [ 0.    0.    0.25  0.   -0.25  0.    0.    0.25]
 [ 0.    0.    0.    0.25  0.    0.25  0.25  0.   ]
 [-0.25  0.    0.    0.    0.    0.25 -0.25  0.   ]
 [ 0.   -0.25  0.    0.    0.25  0.    0.    0.25]]
```

```
eigenvalues are [ 0.4330127 -0.4330127 -0.4330127  0.4330127 -0.4330127  0.4330127
 -0.4330127  0.4330127]
```

$$\overline{H}_{model} = -J \begin{bmatrix} 1/4 & 0 & 0 & -1/4 & 0 & 0 & 1/4 & 0 \\ 0 & -1/4 & -1/4 & 0 & 0 & 0 & 0 & 1/4 \\ 0 & -1/4 & 1/4 & 0 & 1/4 & 0 & 0 & 0 \\ -1/4 & 0 & 0 & -1/4 & 0 & 1/4 & 0 & 0 \\ 0 & 0 & 1/4 & 0 & -1/4 & 0 & 0 & -1/4 \\ 0 & 0 & 0 & 1/4 & 0 & 1/4 & -1/4 & 0 \\ 1/4 & 0 & 0 & 0 & 0 & -1/4 & -1/4 & 0 \\ 0 & 1/4 & 0 & 0 & -1/4 & 0 & 0 & 1/4 \end{bmatrix}$$

Compared the result from exercise 1, the result is the same