Set 4

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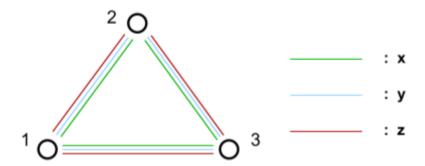
Exercise 1: Spin-models on a three-sites cluster

Consider the following (general) Hamiltonian for a spin-model on a three-site cluster:

$$H = -\sum_{ij\alpha} J_{ij}^{\alpha} S_i^{\alpha} S_j^{\alpha}$$

with i,j =1,2,3 ( i\sum\_{ij} ) and 
$$\alpha=x,y,z$$

To visualize the model, a colour code for the x,y and z components of the spincouplings turns out to be useful, see the figure.



a) Rewrite the Hamiltonian using the operators

$$S_i^{\pm} = S_i^x \pm i S_i^y$$
, and  $S_i^z$ 

Hamiltonian can be rewritten as the form below:

$$H = -\sum_{ij\alpha} J_{ij}^{\alpha} S_{i}^{\alpha} S_{j}^{\alpha} = -\sum_{ij} (J_{ij}^{x} S_{i}^{x} S_{j}^{x} + J_{ij}^{y} S_{i}^{y} S_{j}^{y} + J_{ij}^{z} S_{i}^{z} S_{j}^{z})$$

Using : 
$$S_i^{\pm} = S_i^x \pm i S_i^y$$

We get 
$$S_i^x = \frac{S_i^+ + S_i^-}{2}$$
 ,  $S_i^y = \frac{S_i^+ - S_i^-}{2i}$ 

Replace the terms  $S_i^{\scriptscriptstyle X}, S_i^{\scriptscriptstyle Y}$  in Hailtonian by the equations above, we get:

$$H = -\sum_{ij} \left[ \frac{J_{ij}^{X}}{4} (S_i^+ + S_i^-)(S_j^+ + S_j^-) - \frac{J_{ij}^{Y}}{4} (S_i^+ - S_i^-)(S_j^+ - S_j^-) + J_{ij}^{z} S_i^{z} S_j^{z} \right]$$

Now set up (by hand!) the 8\*8 Hamilton matrices  $\overline{H}$  for the following three special cases:

The basis of states are  $|\downarrow\downarrow\downarrow\rangle$   $|\downarrow\downarrow\uparrow\rangle$   $|\downarrow\uparrow\uparrow\rangle$   $|\downarrow\uparrow\uparrow\rangle$   $|\uparrow\downarrow\uparrow\rangle$   $|\uparrow\uparrow\uparrow\rangle$   $|\uparrow\uparrow\uparrow\rangle$ 

b) the Ising model, i.e.  $J_{ij}^{\, lpha} = J \delta_{lpha z}$ 

$$H_{Ising} = -\sum_{ij\alpha} J_{ij}^{\alpha} S_{i}^{\alpha} S_{j}^{\alpha} = -J \sum_{ij} S_{i}^{z} S_{j}^{z} = -J (S_{1}^{z} S_{2}^{z} + S_{2}^{z} S_{3}^{z} + S_{3}^{z} S_{1}^{z})$$

$$\overline{H}_{Ising} = -J \begin{bmatrix} 3/2 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & -1/2 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & -1/2 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & -1/2 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & -1/2 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & -1/2 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & -1/2 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 3/2 \end{bmatrix}$$

c) the isotropic Heisenberg model, i.e.  $J_{ij}^{\,\,\alpha}=J$ 

$$\begin{split} &H_{Heisenberg} = -J \sum_{ij\alpha} S_i^{\alpha} S_j^{\alpha} \\ &= -J \sum_{ij} \left[ \frac{1}{4} (S_i^+ + S_i^-) (S_j^+ + S_j^-) - \frac{1}{4} (S_i^+ - S_i^-) (S_j^+ - S_j^-) + S_i^z S_j^z \right] \\ &= -J \sum_{ij} \left[ \frac{1}{2} (S_i^+ S_j^- + S_i^- S_j^+) + S_i^z S_j^z \right] \\ &= -J \left[ \frac{1}{2} (S_1^+ S_2^- + S_1^- S_2^+ + S_2^+ S_3^- + S_2^- S_3^+ + S_3^+ S_1^- + S_3^- S_1^+) + S_1^z S_2^z + S_2^z S_3^z + S_3^z S_1^z \right] \end{split}$$

The basis of states are  $|\downarrow\downarrow\downarrow\rangle$   $|\downarrow\downarrow\uparrow\rangle$   $|\downarrow\uparrow\downarrow\rangle$   $|\downarrow\uparrow\uparrow\rangle$   $|\uparrow\downarrow\downarrow\rangle$   $|\uparrow\downarrow\uparrow\rangle$   $|\uparrow\uparrow\uparrow\rangle$ 

$$\overline{H}_{Heisenberg} = -J \begin{bmatrix} 3/4 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & -1/4 & 1/2 & 0 & 1/2 & 0 & 0 & 0 \\ 0 & 1/2 & -1/4 & 0 & 1/2 & 0 & 0 & 0 \\ 0 & 0 & 0 & -1/4 & 0 & 1/2 & 1/2 & 0 \\ 0 & 1/2 & 1/2 & 0 & -1/4 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1/2 & 0 & -1/4 & 1/2 & 0 \\ 0 & 0 & 0 & 1/2 & 0 & 1/2 & -1/4 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 3/4 \end{bmatrix}$$

d) a model with  $J_{12}^{\,x}=J_{23}^{\,y}=J_{31}^{\,z}=J$  and all other  $J_{ij}^{\,\alpha}=0$ 

The basis of states are  $|\downarrow\downarrow\downarrow\rangle$   $|\downarrow\downarrow\uparrow\rangle$   $|\downarrow\uparrow\downarrow\rangle$   $|\downarrow\uparrow\uparrow\rangle$   $|\uparrow\uparrow\downarrow\rangle$   $|\uparrow\uparrow\uparrow\rangle$   $|\uparrow\uparrow\uparrow\rangle$ 

$$\begin{split} H_{model} &= -J(S_1^x S_2^x + S_2^y S_3^y + S_3^z S_1^z) \\ &= -J[\frac{1}{4}(S_1^+ + S_1^-)(S_2^+ + S_2^-) - \frac{1}{4}(S_2^+ - S_2^-)(S_3^+ - S_3^-) + S_3^z S_1^z] \\ &= -J[\frac{1}{4}(S_1^+ S_2^+ + S_1^- S_2^+ + S_1^+ S_2^- + S_1^- S_2^-) - \frac{1}{4}(S_2^+ S_3^+ - S_2^- S_3^+ - S_2^+ S_3^- + S_2^- S_3^-) + S_3^z S_1^z] \end{split}$$

$$\overline{H}_{model} = -J \begin{bmatrix} 1/4 & 0 & 0 & 1/4 & 0 & 0 & -1/4 & 0 \\ 0 & -1/4 & 1/4 & 0 & 0 & 0 & 0 & -1/4 \\ 0 & 1/4 & 1/4 & 0 & 1/4 & 0 & 0 & 0 \\ 1/4 & 0 & 0 & -1/4 & 0 & 1/4 & 0 & 0 \\ 0 & 0 & 1/4 & 0 & -1/4 & 0 & 0 & 1/4 \\ 0 & 0 & 0 & 1/4 & 0 & 1/4 & 1/4 & 0 \\ -1/4 & 0 & 0 & 0 & 0 & 1/4 & -1/4 & 0 \\ 0 & -1/4 & 0 & 0 & 1/4 & 0 & 0 & 1/4 \end{bmatrix}$$

Exercise 2: Hamilton matrices for spin models

Consider a spin model of the form

$$H = -\sum_{ij\alpha} J_{ij}^{\alpha} S_i^{\alpha} S_j^{\alpha}$$

with i,j = 1,...,N and  $\alpha = x, y, z$ 

a)Write a program which sets up the Hamilton matrix for a model with artibtrary N and an arbitrary list of couplings  $J_{ij}^{\alpha}$ . As discussed in the lecture, the x-,y- and z-links should be treated separatedly. For each link of the form  $-J_{ij}^{\alpha}S_i^{\alpha}S_j^{\alpha}$ , the action of  $S_i^{\alpha}S_j^{\alpha}$  on the basis state |n> gives another basis state |l> (times a prefactor). With the equation for I given in the lecture, the Hamiltonian matrix can be set up very efficiently.

Assuming the size of spin model is N, and the number of links is L (number of terms in the Hamiltonian of the form  $J_{pq}^{\alpha}S_{p}^{\alpha}S_{q}^{\alpha}$ )

The idea is:

- 1.Loop over the basis states from n=0 to 2^N-1
- 2. Calculate the binary representation of n :  $[n]_1 0 \rightarrow [z_N \dots z_1]_2$
- 3.Loop over the links from j = 1 to L

4.if 
$$\alpha = x$$
 or  $y$ , calculate  $l = n + (1 - 2z_p)2^{p-1} + (1 - 2z_q)2^{q-1}$ 

5.add to the matrix element  $H_{ln}$  of the Hamilton matrix:

for 
$$\alpha = x$$
:  $-\frac{1}{4}J_{pq}^{x}$ 

for 
$$\alpha = y$$
:  $(2z_p - 1)(2z_q - 1)\frac{1}{4}J_{pq}^y$ 

for 
$$\alpha=z$$
:  $\overline{H}_{nn}=\frac{1}{4}J_{pq}^{z}(2z_{p}-1)(2z_{q}-1)$ 

# In [1]:

```
import numpy as np

N = 3

J_x = np. random. randint(2, size=(2**N, 2**N))
J_y = np. random. randint(2, size=(2**N, 2**N))
J_z = np. random. randint(2, size=(2**N, 2**N))
```

## In [2]:

```
def Hmatrix(N, J x, J y, J z):
    n \text{ states} = 2**N
    matrix = np. zeros((n_states, n_states))
    for n in range(n_states):
        z = np. zeros (N, dtype = int)
        nz = n
        for z_i in range(N):
            if nz//2 >= 0:
                z[z_i] = nz\%2
                nz = nz//2
        for p in range(1, N+1):
            for q in range (p+1, N+1):
                s = (2*z[p-1]-1)*(2*z[q-1]-1)
                x_1 = 0.25* J_x[p-1, q-1]
                y_1ink = -0.25*s*J_y[p-1, q-1]
                z link = 0.25*s*J z[p-1, q-1]
                1 = n + (1-2*z[p-1])*2**(p-1) + (1-2*z[q-1])*2**(q-1)
                matrix[1,n]=x_1ink
                matrix[1, n] = y link
                matrix[n, n] = z_link
    return (matrix)
Hmatrix(N, J_x, J_y, J_z)
```

# Out[2]:

```
array([[-0.25, 0., 0., 0., 0., 0., 0., -0.25, 0.
      [ \ 0. \ , \ 0.25, \ 0. \ , \ 0. \ , \ 0. \ , \ 0. \ , \ 0. \ , \ -0.25],
      [ 0. ,
             0. , -0.25, 0. , -0.25, 0. ,
                                          0. , 0.
                                                     ],
                                                    ],
      [ 0. ,
             0. , 0. , 0.25, 0. , -0.25, 0. , 0.
                                                    ],
             0. , -0.25, 0. , 0.25, 0. , 0. ,
      [ 0. ,
                                                 0.
               , 0. , -0.25, 0. , -0.25,
      Γ 0. ,
             0.
                                          0. , 0.
                                                    ٦.
      [-0.25, 0.,
                  0. , 0. , 0. , 0. , 0.25, 0.
                                                    ٦,
      [0., -0.25, 0., 0., 0., 0., 0., -0.25]]
```

b) Set up the Hamilton matrices for the three models discussed in exercise 1 (the three-site clusters) and calculate the eigenenergies for each model.

#### In [3]:

```
from numpy import linalg as LA
\#Ising\ model,\ set\ N=3,\ J=-1
N = 3
J_x_{1sing} = np.zeros((2**N, 2**N))
J_y_{Ising} = np. zeros((2**N, 2**N))
J_z_{sing} = -np. ones((2**N, 2**N))
H Ising = Hmatrix(N, J x Ising, J y Ising, J z Ising)
w_Ising, v_Ising = LA.eig(H_Ising)
print(H Ising)
print('eigenvalues are', w_Ising)
[[ 0.75 0.
                0.
                      0.
                             0.
                                                0.
                                                     ]
                                                     ]
 [ 0.
        -0.25 0.
                      0.
                             0.
                                   0.
                                          0.
                                                0.
```

Compared the result from exercise 1, the result is the same

#### In [4]:

```
#Heisenberg model, set N=3, J=-1
N=3
J \times hei = -np. ones((2**N, 2**N))
J_y_{hei} = -np. ones((2**N, 2**N))
J z hei = -np. ones((2**N, 2**N))
H hei = Hmatrix(N, J x hei, J y hei, J z hei)
w_hei, v_hei = LA.eig(H_hei)
print(H hei)
print('eigenvalues are', w_hei)
[[ 0.75 0.
                0.
                       0.
                             0.
                                    0.
                                          0.
                                                 0.
 [ 0.
        -0.25 0.5
                             0.5
                                          0.
                                                 0.
                                                    ]
                       0.
                                    0.
```

```
0. ]
Γ 0.
        0.5 - 0.25 0.
                        0.5
                              0.
[ 0.
             0.
                  -0.25 0.
                              0.5
                                    0.5
                                         0.
        0.
Γ 0.
        0.5
             0.5
                   0.
                       -0.25 0.
                                    0.
[ 0.
             0.
                        0.
                             -0.25 0.5
        0.
                   0.5
Γ 0.
                   0.5
                        0.
                              0.5 - 0.25 0.
                                            1
        0.
             0.
Γ 0.
                                         0.75]]
        0.
             0.
                   0.
                        0.
                              0.
                                   0.
eigenvalues are [-0.75 0.75 -0.75 0.75 -0.75 0.75 0.75]
```

$$\overline{H}_{Heisenberg} = -J \begin{bmatrix} 3/4 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & -1/4 & 1/2 & 0 & 1/2 & 0 & 0 & 0 \\ 0 & 1/2 & -1/4 & 0 & 1/2 & 0 & 0 & 0 \\ 0 & 0 & 0 & -1/4 & 0 & 1/2 & 1/2 & 0 \\ 0 & 1/2 & 1/2 & 0 & -1/4 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1/2 & 0 & -1/4 & 1/2 & 0 \\ 0 & 0 & 0 & 1/2 & 0 & 1/2 & -1/4 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 3/4 \end{bmatrix}$$

Compared the result from exercise 1, the result is the same

#### In [5]:

```
#a model with Jx12=Jy23=Jz31=J , set N = 3, J=-1
N=3

J_x_model = np.zeros((2**N, 2**N))
J_y_model = np.zeros((2**N, 2**N))
J_z_model = np.zeros((2**N, 2**N))
J_x_model[0,1] = -1
J_y_model[1,2] = -1
J_z_model[0,2] = -1

H_model = Hmatrix(N, J_x_model, J_y_model, J_z_model)
w_model, v_model = LA. eig(H_model)
print(H_model)
print('eigenvalues are', w_model)
```

```
-0.25 0. ]
[ [ 0.25 0.
              0.
                    0. 25 0.
                               0.
                                     [0. -0.25]
Γ0.
       -0. 25 0. 25 0.
                         0.
                               0.
[ 0.
        0. 25 0. 25 0.
                          0. 25 0.
                                     0.
                                           0.
                                           0.
[ 0. 25 0.
              0. -0.25 0.
                               0.25 0.
[ 0.
              0.25 0.
                        -0.25 0.
                                     0.
                                           0.25]
        0.
Γ 0.
              0.
                    0.25 0.
                               0.25 0.25 0.
[-0.25 0.
                               0. 25 -0. 25 0.
              0.
                    0.
                         0.
                                           0.25]]
Γ 0.
       -0.25 0.
                    0.
                         0.25 0.
                                     0.
eigenvalues are [ 0.4330127 -0.4330127 -0.4330127 0.4330127 -0.4330127 0.4330127
-0. 4330127 0. 4330127]
```

$$\overline{H}_{model} = -J \begin{bmatrix} 1/4 & 0 & 0 & 1/4 & 0 & 0 & -1/4 & 0 \\ 0 & -1/4 & 1/4 & 0 & 0 & 0 & 0 & -1/4 \\ 0 & 1/4 & 1/4 & 0 & 1/4 & 0 & 0 & 0 \\ 1/4 & 0 & 0 & -1/4 & 0 & 1/4 & 0 & 0 \\ 0 & 0 & 1/4 & 0 & -1/4 & 0 & 0 & 1/4 \\ 0 & 0 & 0 & 1/4 & 0 & 1/4 & 1/4 & 0 \\ -1/4 & 0 & 0 & 0 & 0 & 1/4 & -1/4 & 0 \\ 0 & -1/4 & 0 & 0 & 1/4 & 0 & 0 & 1/4 \end{bmatrix}$$

Compared the result from exercise 1, the result is the same