High-throughput screening of strong electron-phonon couplings in ternary metal diborides

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We perform a high-throughput screening on phonon-mediated superconductivity in ternary metal diboride structure with alkali, alkaline earth, and transition metals. We find 17 ground states and 78 low-energy metastable phases. From fast calculations of zone-center electron-phonon coupling, 43 compounds are revealed to show electron-phonon coupling strength higher than that of MgB₂. An anti-correlation between energetic stability and electron-phonon coupling strength is identified. We suggest two phases, i.e., Li₃ZrB₈ and Ca₃YB₈, to be synthesized, which show reasonable energetic stability and superconducting critical temperature.

I. INTRODUCTION

Superconductivity has irreplaceable applications in many fields such as energy, medical care, transportation, and quantum computing. The search for new superconductors with a high critical temperature (T_c) is always a major scientific task that can open the door to many future techniques. Since 2001, the discovery of remarkably high superconducting T_c in MgB₂ [1] has stimulated great interest in searching for phonon-mediated superconductors in the layered hexagonal metal diborides structures [2–9]. Many attempts have been made by doping other elements to increase T_c of MgB₂ [10– 12, which include $Mg_{1-x}Li_xB_2$ [13], $Mg_{1-x}Zr_xB_2$ [14], $Mg_{1-x}Zn_xB_2$ [15], $Mg_{1-x}Nb_xB_2$ [16], $Mg_{1-x}Sc_xB_2$ [17], $Mg_{1-x}Ti_xB_2$ [18], $Mg_{1-x}Na_xB_2$ [15] and $Mg_{1-x}Ca_xB_2$ [15]. However, most experimental data show a decreasing trend of T_c with an increasing amount of doping metal. Other metal diborides phases without Mg have also been explored, such as ZrB_2 [19], NbB_2 [20] and TaB_2 [21]; however, they only showed vanishing T_c . Recent experiments identify MoB₂ [22] and WB₂ [23] showing high T_c while high pressures of greater than 50 GPa are required. First-principle calculations also demonstrated a few possibilities of high T_c in metal diborides. A wellknown case is the CaB₂ [24], where the electron-phonon coupling (EPC) is much stronger than that in MgB₂. Unfortunately, it's difficult to synthesize due to inferior thermodynamic stability. Doping with Cd and Ba in MgB_2 is predicted to show higher T_c than MgB₂, but such doped structures are also difficult to be synthesized experimentally [25, 26]. Therefore, the thermodynamic stability and superconducting properties should be considered simultaneously to find new experimentally feasible ternary superconductors in metal diborides.

While the dopants should be as diverse as possible, it is

difficult to efficiently screen out promising superconductors among a large number of candidates with theoretical T_c calculations. This is mainly because the detailed calculation of the electron-phonon coupling from densityfunctional perturbation theory [27] is complicated and We recently found that single-cell time-consuming. frozen-phonon calculations of the EPC strength of the zone-center phonons can be an efficient alternative to full density functional theory (DFT) evaluation of the Eliashberg function [28]. It well distinguishes strong EPC in the MgB₂ and high-pressure hydride systems [28]. Therefore it can be a fast descriptor of the full Brillouin zone EPC constant for the metal diboride family. In this work, we employ this method to perform a fast screening of strong EPC on ternary metal diboride phases. A large number of substituted phases are screened based on energetical stability and zone-center EPC strength. The detailed full Brioullion zone calculations of EPC and T_c are performed for promising candidates.

II. RESULTS AND DISCUSSION

A. Binary metal diboride phases

We first examine the effect of metal substitution in the binary metal diboride structure with 12 elements including Ca, Cd, K, Na, Zn, Li, Nb, Y, Sc, Zr, Ti and Mg. The energetic stability is described by the energy above the convex hull E_d (see the method section for definition of E_d). The EPC strength is described with the zone-center EPC strength λ_{Γ} (see the method section for definition of λ_{Γ}). As shown in Fig. 1, Zr, Ti, Sc, Y, Nb diborides show good energetic stability but no EPC. Ca, Cd, K diborides show strong EPC but poor energetic stability. An anticorrelation between E_d and λ_{Γ} can be seen in these binary

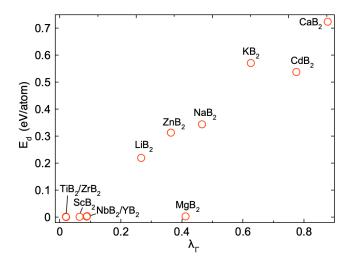


FIG. 1. E_d - λ_{Γ} diagram of 12 binary MB₂ structures. Lower E_d indicates better stability. Higher λ_{Γ} indicates stronger EPC.

phases. A stronger EPC (larger λ_{Γ}) leads to worse energetic stability (higher E_d). Interestingly, MgB₂ phase shows both a decent λ_{Γ} and strong energetic stability, which is an outlier from the general anti-correlation of the two properties. CaB₂ system shows the best EPC but poor energetic stability, making it difficult to synthesize in experiments [24]. Therefore the correlation in Fig. 1 is in line with the previous findings that substitution of Mg in MgB₂ either leads to lower T_c or reduced stability. This demonstrates that the λ_{Γ} provides a good description of EPC strength for metal diboride systems, consistent with a previous comparative study between MgB₂ and AlB₂ [28].

B. $M_{1-x}N_xB_2$ ternary convex hull

We calculate energetic stability and EPC strength in the ternary $M_{1-x}N_xB_2$ by mixing 12 metals on the metal sites in the supercell of the AlB₂ structure (see Method). The combination of M and N results in 66 (C_{12}^2) M-N-B ternary systems with 198 geometrially inequivalent structures containing three compositions, i.e., MNB₄, MN₃B₈ and M₃NB₈. The convex hull is constructed for each ternary phase to describe its energetic stability. Taking Nb-Sc-B as an example in Fig. 2, the compositional space (Gibbs triangle) is partitioned into multiple triangular pieces by the ground state structures, which form the corners of the convex hull for the corresponding ternary system. The known stable ground states are obtained from the Materials Project (MP) [29] database. In Fig. 2(a), the known Na-Sc-B phases form a reference convex hull. If any new structure has formation energy below this convex hull surface, it is defined as a new ground state, and the convex hull surface is updated by including the new phase. In Fig. 2(b), three Nb-Sc-B structures (ScNbB₄, ScNb₃B₈, Sc₃NbB₈) are found to be the ground states

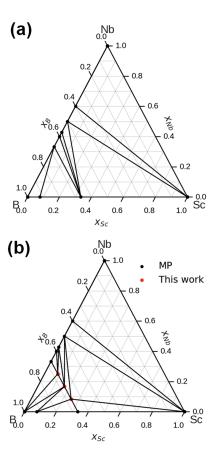


FIG. 2. Convex hull of the Sc-Nb-B system. (a) Previously reported phases from MP database; (b) Convex hull including the $ScNbB_4$, $ScNb_3B_8$ and Sc_3NbB_8 phases. The black lines separate the compositional space to Gibbs triangles.

phases, considerally modifying the original convex hull reference shown in Fig. 2(a).

In total, 17 ternary phases are identified as ground states, including ScNbB₄, ScNb₃B₈, Sc₃NbB₈, LiNb₃B₈, $CaNbB_4,\ YNbB_4,\ YZrB_4,\ ZrScB_4,\ Zr_3ScB_8,\ ZrNbB_4,$ ScTiB₄, Mg₃ScB₈, MgNbB₄, MgNb₃B₈, Ti₃NbB₈, TiNbB₄ and TiNb₃B₈. Two of them, i.e., TiNbB₄ and ZrNbB₄, are previously reported in the MP database. Moreover, $Mg_{0.75}Sc_{0.25}B_2$ [17] and three $Zr_{1-x}Nb_xB_2$ (x=0.25, 0.5, 0.75) were experimentally synthesized [30].The convex hulls are updated by including the new ground states, shown in Supplementary Materials Fig. S2.Besides, we identify many lowenergy metastable states that may be synthesizable by experiments, especially under non-equilibrium syn-For instance, recent experiments on thesis routes. LiNiB showed that the $\text{Li}_{0.75}[\text{NiB}]_2$ phase with E_d =0.21 eV/atom can be synthesized from high-temperature reactions [31]. Metastable $SnTi_2N_4$ ($E_d=0.2$ eV/atom) [32] and metastable ZnMoN₂ in a wurtzite-derived structure ($E_d=0.16 \text{ eV/atom}$) [33] are all successfully synthesized in experiments. Here, by using a threshold of $E_d < 0.2 \text{ eV/atom}$, we identify 78 metastable metal diboride phases, which may have experimental synthesiz-

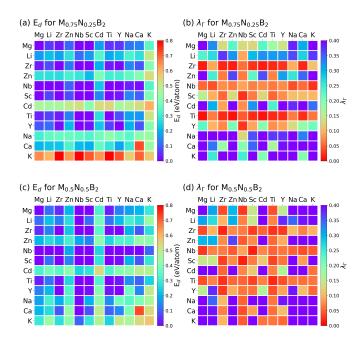


FIG. 3. E_d and λ mapping of ternary metal diboride compounds. (a) and (b) are Ed and λ for $M_{0.75}N_{0.25}B_2$; (c) and (d) are E_d and λ for $M_{0.5}N_{0.5}B_2$. X axis indicates N site and Y axis indicates M site.

ability. The detailed information on these metastable phases is shown in Supplementary Table S1.

C. \mathbf{E}_d - λ_{Γ} correlation in ternary $\mathbf{M}_{1-x}\mathbf{N}_x\mathbf{B}_2$

To describe EPC, λ_{Γ} are computed for all ternary $M_{1-x}N_xB_2$. The maps of E_d and λ_{Γ} with all substituted structures are shown in Fig. 3. The color of each grid indicates the E_d or λ value of the corresponding phases. The more bluish coding indicates better energetic stability or stronger EPC. The data of the binary phases are listed in the diagonal grids as reference. One can see the compounds containing Mg, Zr, Nb, Sc, Ti, and Y elements show better thermodynamic stability while those containing Mg, Li, Cd, Na, Ca and K have better EPC strength.

The $E_{d^-}\lambda_{\Gamma}$ correlation of all ternary phases are plotted in Fig. 4(a), which also shows a general trend of anti-correlation between stability and EPC strength, although the ternary data points appear to be more scattered than the binary ones shown in Fig. 1. To understand the cation mixing effect in ternary phases, we recalculate E_d and λ_{Γ} values by linearly interpolating between binary phases (e.g., $E_d(M_{1-x}N_xB_2)=(1-x)E_d(MB_2)+xE_d(NB_2)$), and compare the interpolated values with the real values in Fig. 4(b). E_d mostly follow the y=x line, indicating insignificant mixing enthalpy for the ternary phases. However, λ_{Γ} in Fig. 4(b) strongly deviates from the y=x line. A large group of ternary phases show a deteriorated λ_{Γ} compared to the linear combina-

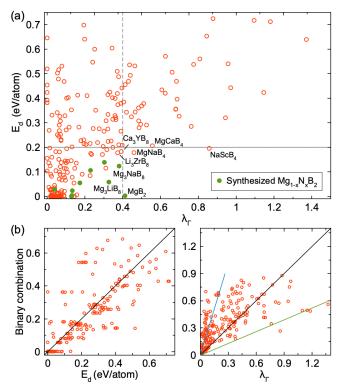


FIG. 4. E_d and λ_{Γ} correlation in ternary metal diborides. (a) E_d - λ_{Γ} diagram for ternary $M_{1-x}N_xB_2$ structures. Green solid symbol indicates phases previously synthe sized by experiments [1, 13–18]. The horizontal line indicates the range of synthesizable energetic stability. The vertical dashed line indicates λ_{Γ} value similar to MgB₂. (b) The comparison between the E_d (left pannel) and λ_{Γ} (right panel) of ternary phases and the linear combinations of their binary counterparts. The x axis shows the value of tenary phases, i.e., $E_d(M_{1-x}N_xB_2)$ or $\lambda_{\Gamma}(M_{1-x}N_xB_2)$. The y axis shows the linear combination of the binary counterparts, i.e. $(1-x)E_d(MB_2)+xE_d(NB_2)$ or $(1-x)\lambda_{\Gamma}(MB_2)+x\lambda_{\Gamma}(NB_2)$. The black line indicates y=x correlation. Blue and green lines indicate the deterioration and enhancement of λ_{Γ} , respectively.

tion of parent phases (blue line in Fig. 4(b)). Only a small group of ternary phases show an enhancement of λ_{Γ} due to the mixing (green line). This provides a qualitative explanation to many previously failed attempts at increasing T_c of MgB₂ by doping with other elements. Because the substitution has a much higher chance of deteriating, instead of enchancing, the EPC. Therefore, it is necessary to perform a high-throughput screening of many substitution possibilities. We also checked the correlation between the density of states at Fermi level N(ϵ_F) and E_d or λ_{Γ} , and verified that N(ϵ_F) is not a dominant factor to fully describe the total energy and EPC.

A few ternary metal diborides containing Mg have been successfully synthesized previously [1, 13–18], as marked in Fig. 4. Table I lists detailed information on the doped $Mg_{1-x}N_xB_2$ that have been reported experimentally or calculated theoretically [1, 13–18, 25, 34, 35]. One can

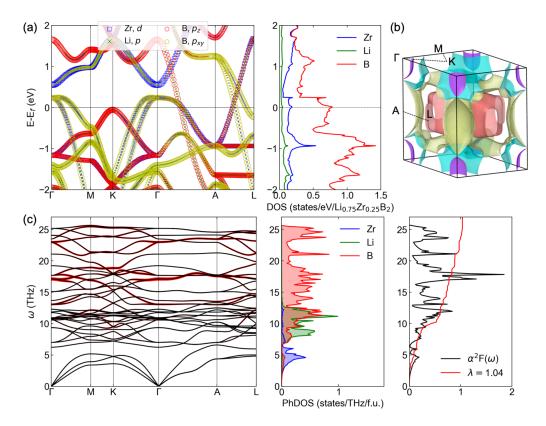


FIG. 5. Electron structure and electron-phonon calculations for Li₃ZrB₈. (a) Electronic band structure and projected density of states. (b) Fermi surface. (c) Phonon dispersion, phonon density of state and Eliashberg spectrum. The red bands on the phonon dispersion indicate the strength of EPC.

see λ_{Γ} shows a consistent trend with the reported T_c values, indicating that the zone-center EPC calculation can reliably estimate the superconducting properties of this material family. It's important to note that experiments show that 20% of Zn, 10% of Na and 10% of Ca can be doped in the MgB₂ structure [15], while their E_d values are in the range of 0.1-0.2 eV/atom. Therefore, it provides a range of E_d to identify metastable phases that may be accessible in experiments. In Fig. 4, we use $E_d < 0.2$ eV/atom as the criteria to select structures with good stability. We use a threshold of $\lambda_{\Gamma} \sim 0.4$, (i.e. $\sim \lambda_{\Gamma}$ of MgB₂) to screen out phases with good EPC. The phases of interest that satisfy both criteria are located in the lower-right area of Fig. 4. While most phases in or near this area are the doped MgB₂, it also identifies three non-MgB₂ phases for further study, namely NaScB₄, Li₃ZrB₈ and Ca₃YB₈. By checking the full Brillouin zone phonon spectrum, we find NaScB₄ shows strong imaginary phonons (see Supplementary Fig. S3) while Li₃ZrB₈ and Ca₃YB₈ are dynamically stable. By analyzing the zone-center phonon modes, we find the EPCs in both systems are contributed by Raman-active E_{2q} modes, as shown in Fig. S4. These modes are twodimensional on the boron layers, similar to the stretching modes in MgB₂, while the distributions on boron atoms are different (Fig. S4). It's interesting to note that λ_{Γ} of Li₃ZrB₈ is higher than those of LiB₂ and ZrB₂. There-

fore, Li_3ZrB_8 can be an example of the enhanced EPC group due to the mixing (green line in Fig. 4 (b)).

D. Superconductivity in Li₃ZrB₈ and Ca₃YB₈

Because of promising synthesizability and EPC in Li_3ZrB_8 and Ca_3YB_8 phases, we perform DFPT calculations to compute the full Brioullion zone EPC constant and calculate T_c with McMillan equations (see Method). Fig. 5 shows the electronic structure and phonon spectrum for Li_3ZrB_8 . The bands at the Fermi level are mainly from B's p electrons mixed with Zr's d electrons (Fig. 5(a)). Compared to the electronic structure of MgB₂ (Supplementary Fig. S5), the flat bands from Γ to A shows a cross at the Fermi level, which also results in a significant change in the Fermi surface in Fig. 5 (b).

We calculate T_c for Li₃ZrB₈ and Ca₃YB₈ with McMillan equations. We also re-calculate T_c for MgB₂ with the same method and same density of k- and q-grid (see Method section). This provides us a reference to estimate T_c in the two ternary systems. For MgB₂, we obtain isotropic T_c =19 K with the Allen-Dynes formula. This is consistent with the previous calculation (22 K in Ref. [36]) but underestimates the T_c compared to the experimental value of 39 K. The error is mainly due to the McMillan equation. One may improve it by employing

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$Mg_{1-x}N_xB_2$	λ_{Γ}	Calculated T_c (K)	Experimental T_c (K)	E_d (eV/atom)
$ m MgB_2$	0.41	42 [26]	39 [1]	0.0
${\rm Mg_{0.75}Nb_{0.25}B_2}$	0.13	-	$39.3 \ (x=0.05) \ [16]$	0.004
${\rm Mg_{0.75}Li_{0.25}B_2}$	0.33	$31 \ (x=0.2) \ [34]$	$38.3 \ (x=0.3) \ [13]$	0.058
${\rm Mg_{0.75}Na_{0.25}B_2}$	0.38	$44-54 \ (x=0.2) \ [35]$	38 (x=0.1) [15]	0.123
${\rm Mg_{0.75}Ca_{0.25}B_2}$	0.31	$41-52 \ (x=0.2) \ [35]$	$38 \ (x=0.1) \ [15]$	0.139
${\rm Mg_{0.75}Zn_{0.25}B_2}$	0.23	$33 \ (x=0.2) \ [34]$	$38 \ (x=0.2) \ [15]$	0.106
$Mg_{0.75}Zr_{0.25}B_2$	0.13	-	$37.3 \ (x=0.2) \ [14]$	0.023
${\rm Mg_{0.75}Ti_{0.25}B_2}$	0.18	25.5 [25]	$30 \ (x=0.2) \ [18]$	0.055
$Mg_{0.75}Sc_{0.25}B_2$	0.13	11.4 [25]	8.2 [17]	0.0
${\rm Mg_{0.5}Ti_{0.5}B_2}$	0.04	4.9 [25]	$26 \ (x=0.4) \ [18]$	0.029
$\mathrm{Mg_{0.5}Sc_{0.5}B_{2}}$	0.15	8.8 [25]	-	0.003

TABLE I. Zone-center EPC strength λ_{Γ} , previously calculated T_c or experimental T_c and energy above the convex hull E_d from present calculations for doped $Mg_{1-x}N_xB_2$ phases. The x in the bracket shows the previously studied composition.

a more sophisticated anisotropic Eliashberg theory [24] and Migdal-Eliashberg equation [37]. Nevertheless, using the same accuracy, we obtain T_c as 38 K and 10 K for Li₃ZrB₈ and Ca₃YB₈, respectively. Therefore, Li₃ZrB₈ shows T_c almost twice as larger than the one in MgB₂, while the T_c of Ca₃YB₈ is half of MgB₂.

III. CONCLUSION

In summary, using first-principles high-throughput calculations, we search for ternary metal diborides with energetic stability and high EPC strength in 66 systems. 17 phases are identified to be stable ternary ground states and the ternary phase diagrams of these systems are updated accordingly. 78 metastable phases with $E_d < 0.2$ eV/atom are also identified. An anti-correlation between energetic stability and EPC strength is revealed in both binary and ternary metal diborides. Two systems, Li₃ZrB₈ and Ca₃YB₈, show both high synthesizability and strong EPC strength. The T_c of Li₃ZrB₈ is predicted to be twice as large as that of MgB₂, calculated based on the McMillan formulism with the same parameters. The experimental verification of our prediction is highly desirable. Our studies demonstrate zone-center phonon calculations as an encouraging method for massive screening of multi-component systems for conventional high- T_c superconductors.

IV. COMPUTATIONAL METHODS

The AlB₂-type primitive cell (space group: P6/mmm) was expanded by $1\times1\times2$ or $2\times2\times1$ to generate $M_{1-x}N_xB_2$ ternary metal diboride structures (M and N representing the metal elements). The ratio x includes 0.25 (M₃NB₈), 0.50 (MNB₄) and 0.75 (MN₃B₈). We consider unique substitutional sites, which generate one configuration for M_3NB_8 or MN_3B_8 and two for MNB_4

(see Supplementary Materials Fig. S1 for details). The ternary structures are optimized by ab initio calculations, which were performed using the projector augmented wave (PAW) method [38] within density functional theory as implemented in the VASP code [39, 40]. The exchange and correlation energy are treated without the spin-polarized generalized gradient approximation (GGA) and parameterized by the Perdew-Burke-Ernzerhof formula (PBE) [41]. A plane-wave basis was used with a kinetic energy cutoff of 520 eV, and the convergence criterion for the total energy was set to 10^{-5} eV. Monkhorst-Pack's sampling scheme [42] was adopted for Brillouin zone sampling with a k-point grid of $2\pi \times 0.033 \text{ Å}^{-1}$. The lattice vectors (supercell shape and size) and atomic coordinates are fully relaxed until the force on each atom is less than 0.01 eV/Å.

The formation energy \mathbf{E}_f of ternary $\mathbf{M}_x \mathbf{N}_y \mathbf{B}_z$ is calculated by

$$E_f = \frac{E(M_x N_y B_z) - xE(M) - yE(N) - zE(B)}{x + y + z}$$
 (1)

where $E(M_xN_yB_z)$ is the total energy of the $M_xN_yB_z$; E(M), E(N) and E(B) are the total energy of M, N, and B ground-state bulk phases, respectively. To characterize the energetic stability of $M_xN_yB_z$, the formation energy differences with respect to the three reference phases forming the Gibbs triangle on the convex hull (denoted as E_d) are calculated. If E_d =0, it indicates the $M_xN_yB_z$ is a new ground state and the existing convex hull should be updated. The reference of convex hulls are obtained from the Material Project dataset [29].

The high-throughput screening of strong EPC in these metal borides is based on fast frozen-phonon calculation of zone-center EPC strength [28], defined by

$$\lambda_{\Gamma} = \sum_{\nu} \lambda_{\Gamma\nu} \tag{2}$$

where \sum_{ν} indicates the summation of all modes at zone-

center Γ . $\lambda_{\Gamma\nu}$ is defined by

$$\lambda_{\Gamma\nu} = \frac{\tilde{\omega}_{\Gamma\nu}^2 - \omega_{\Gamma\nu}^2}{4\omega_{\Gamma\nu}^2} \tag{3}$$

where the $\omega_{\Gamma\nu}$ and $\tilde{\omega}_{\Gamma\nu}$ are screened and unscreened phonon frequencies of mode ν at zone-center, respectively. The phonon frequencies were calculated with the single-cell and finite displacement method implemented in the Phonopy code [43]. The displacement amplitude in the frozen-phonon calculations is 0.02 Å. The convergence criterion of total energy is 10^{-8} eV.

The calculations of full Brillouin-zone EPC constants and T_c of MgB₂, Li₃ZrB₈, and Ca₃YB₈ were performed based on density-functional perturbation theory (DFPT) [27] implemented in Quantum ESPRESSO code [44–46]. We used the ultra-soft pseudopotentials from the GBRV library [47]. After the convergence test, the plane-wave cut-off and the charge density cut-off were chosen to be 60 and 500 Ry, respectively. The reference DFPT calculation of dynamical matrix and EPC matrix elements in MgB₂ is based on the AlB₂-type primitive cell with the k mesh of $24 \times 24 \times 24$ and the q mesh of $6 \times 6 \times 6$. The DFPT calculations of Li₃ZrB₈ and Ca₃YB₈ were based on the $2\times2\times1$ supercell, using the k of $12\times12\times24$ and the q mesh of $3\times3\times6$. The convergence threshold was 1×10^{-12} Ry. The gaussian smearing of width was 0.01 Rv.

The isotropic Eliashberg spectral function was obtained via the average over the Brillouin zone [48]

$$\alpha^2 F(\omega) = \frac{1}{2N(\epsilon_F)} \sum_{q\nu} \frac{\gamma_{q\nu}}{\hbar \omega_{q\nu}} \delta(\omega - \omega_{q\nu})$$
 (4)

where $N(\epsilon_F)$ is the density of states at the Fermi level ϵ_F ; $\omega_{\boldsymbol{q}\nu}$ denotes the phonon frequency of mode ν with wave vector \boldsymbol{q} . $\gamma_{\boldsymbol{q}\nu}$ is the phonon linewidth defined by $\gamma_{\boldsymbol{q}\nu} = \frac{2\pi\omega_{\boldsymbol{q}\nu}}{\Omega_{BZ}} \sum_{ij} \int d^3k |g^{ij}_{\boldsymbol{k},\boldsymbol{q}\nu}|^2 \delta(\epsilon_{\boldsymbol{q},i} - \epsilon_F) \delta(\epsilon_{\boldsymbol{k}+\boldsymbol{q},j} - \epsilon_F)$, where $g^{ij}_{\boldsymbol{k},\boldsymbol{q}\nu}$ is the EPC matrix element; $\epsilon_{\boldsymbol{q},i}$ and $\epsilon_{\boldsymbol{k}+\boldsymbol{q},j}$

are eigenvalues of Kohn-Sham orbitals at bands i, j and wave vectors q, k.

The full Brillouin-zone EPC constant λ is determined through the integration of the Eliashberg spectral function

$$\lambda = 2 \int \frac{\alpha^2 F(\omega)}{\omega} d\omega \tag{5}$$

The T_c is obtained with the analytical McMillan equation [49] modified by the Allen-Dynes [50, 51]

$$T_c = \frac{\omega_{log}}{1.2} \exp\left[\frac{-1.04(1+\lambda)}{\lambda(1-0.62\mu^*) - \mu^*}\right]$$
 (6)

where ω_{log} is the logarithmic average frequency $\omega_{log} = \exp\left[\frac{2}{\lambda}\int \frac{d\omega}{\omega}\alpha^2 F(\omega)log\omega\right]; \quad \mu^*$ is the effective screened Coulomb repulsion constant, set as 0.1.

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