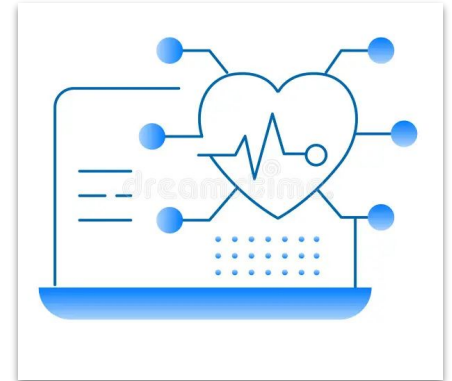


# AI Colab Group 1 - Clinical Data Science & Modeling

## Machine Learning-Based Prediction of Type 2 Diabetes from Kidney Function and $\beta$ -cell Dysfunction

Yara Yaghi, Naod Dawit, Kareem Aly, Indira Kuppa





## Proposed Research Question

How does kidney function, as measured by creatinine clearance (CCR) and blood urea nitrogen (BUN), relate to  $\beta$ -cell dysfunction and type 2 diabetes risk, and does this relationship differ by smoking status and alcohol consumption among U.S. adults?



## Potential Hypothesis

Reduced kidney function, indicated by lower creatinine clearance and higher BUN, is associated with impaired  $\beta$ -cell function and increased risk of type 2 diabetes, especially among current smokers and drinkers.

# BACKGROUND AND INTRODUCTION



- Type 2 Diabetes (T2D) affects over 36 million U.S. adults and is a leading cause of cardiovascular disease, kidney failure, and premature mortality.
- Early identification of individuals at risk is crucial to enable timely intervention and prevent complications.
- Kidney function markers such as Creatinine Clearance (CCR) and Blood Urea Nitrogen (BUN) have shown associations with metabolic dysfunction and may serve as early predictors of T2D.
- $\beta$ -cell dysfunction, which impairs insulin secretion, plays a central role in the development of T2D and can be measured using HOMA- $\beta$ , derived from fasting glucose and insulin.
- Lifestyle factors like smoking and alcohol consumption may further influence the relationship between biological markers and diabetes risk.

# Literature Review



## Metabolic & Behavioral factors:

- Individuals with high-normal fasting glucose (91–99 mg/dL) have a greater risk of developing diabetes compared to those with lower normal levels (Brambilla et al., 2011)
- Triglycerides are an independent and early predictor of type 2 diabetes (Zhao et al., 2019)
- High BMI amplifies risk across all metabolic risk markers (Zhao et al., 2019)
- Smoking and alcohol use worsen metabolic regulation (Akhuemonkhan & Lazo, 2017)

# Literature Review



## Beta Cell Dysfunction

- Beta cells located in the pancreas produce and secrete insulin (Dludla et al., 2023).
- Beta cell dysfunction indicates impaired insulin secretion, contributing to T2DM (Dludla et al., 2023).
- The Homeostatic Model Assessment of Beta-cell Function (HOMA-B) and the Insulinogenic Index can be used to indicate beta cell function (Kim et al., 2024; Sung et al., 2009)
  - These indicators can be calculated with fasting and post-load glucose and insulin values.

# Literature Review



## Type II Diabetes:

- Type II diabetes, also known as adult-onset diabetes, occurs when the body is not able to utilize insulin correctly and sugar builds up in the blood (Mayo Clinic, 2025).
  - Type II diabetes is more common in older adults (hence adult-onset), however, more and more children are being diagnosed with the rise of childhood obesity (Mayo Clinic, 2025).
- As of 2024, more than 38 million Americans have diabetes, with close to 95% of diagnoses being for Type II diabetes (CDC, 2024)
  - Mostly in adults over 45 years old, but more and more children are getting diagnosed.

# OBJECTIVES



- Primary Objective
  - To evaluate the relationship between kidney function (measured by Creatinine Clearance and BUN) and  $\beta$ -cell dysfunction (via HOMA- $\beta$ ) in predicting the risk of Type 2 Diabetes among U.S. adults.
- Secondary Objectives
  - ▶ To assess whether smoking status modifies the association between kidney/ $\beta$ -cell function and diabetes risk.
  - ▶ To determine if alcohol consumption influences these relationships.
  - ▶ To build a predictive model for Type 2 Diabetes using clinical and lifestyle variables from NHANES.



# DATA SOURCE



- Dataset: National Health and Nutrition Examination Survey (NHANES)
- Years Covered: 1999–2020 (Multiple 2-year cycles combined)
- Population: U.S. adults aged 30 and above
- Source Website: <https://wwwn.cdc.gov/nchs/nhanes/>

## NHANES Data Modules Used (2007–2017):

- Demographics Module
- Laboratory Module
  - ▶ Fasting Glucose & Insulin (LBXGLU, LBXIN)
  - ▶ Kidney Function Biomarkers: BUN & Creatinine (LBXSBU, LBXSCR)
  - ▶ Urine Albumin-Creatinine Ratio & Components (URDACT, URXUMA, URXUCR)
- Diabetes Questionnaire Module
- Smoking Questionnaire Module
- Alcohol Use Questionnaire Module

# INCLUSION AND EXCLUSION CRITERIA



## Included:

- Participants from NHANES cycles 1999-2020
- Age  $\geq 30$ 
  - To minimize inclusion of early-onset or Type 1 diabetes
- Has Type 2 diabetes (self-reported)
- Available fasting glucose and fasting insulin values

## Excluded:

- Missing key health variables
- Missing demographic & behavioral variables
- eGFR  $< 30$  mL/min/1.73m<sup>2</sup>
  - Indicates severe chronic kidney disease (Stage 4+)
- Participants without diabetes (self-reported)

# DATA DICTIONARY BEFORE CLEANING

	Feature Name	Data Type	Missing Values	Unique Values	Description
0	SEQN	float64	0	27706	Respondent sequence number (unique ID for each...
1	LBXGLU	float64	1489	1332	Fasting glucose (mg/dL)
2	LBXIN	float64	2015	4210	Fasting insulin (μU/mL)
3	LBXSBU	float64	1801	75	Blood urea nitrogen (BUN) (mg/dL), marker of k...
4	LBXSCR	float64	1800	317	Serum creatinine (mg/dL), used to assess kidne...
5	LBXSATSI	float64	1826	210	Serum sodium concentration (mmol/L)
6	SMQ020	float64	140	4	Ever smoked at least 100 cigarettes in life (1 ...
7	SMQ040	float64	15294	3	Current smoking status (1 = Every day, 2 = Som...
8	EverDrank	float64	17061	3	No description available
9	DrinkFrequency	float64	6055	82	No description available
10	AvgDrinksPerDay	float64	11018	30	No description available
11	RIDAGEYR	float64	0	68	Age in years at time of screening
12	RIAGENDR	float64	0	2	Gender (1 = Male, 2 = Female)
13	DMDEDUC2	float64	628	7	Education level (1 = Less than 9th grade to 5 ...
14	INDFMPIR	float64	2668	501	Ratio of family income to poverty level (highe...
15	DIQ010	float64	0	4	Doctor told you have diabetes (1 = Yes, 2 = No)
16	DID040	float64	24323	85	Age when first told you had diabetes
17	DIQ050	float64	4369	3	Currently taking insulin (1 = Yes, 2 = No)
18	DIQ070	float64	22596	3	Currently taking pills to lower blood sugar (1...
19	SurveyCycle	object	0	10	NHANES survey cycle years
20	URDACT	float64	641	12090	Urine albumin-to-creatinine ratio (mg/g), mark...

## STEP 1: DATA MERGE

- Downloaded relevant NHANES datasets from 1999-2020 by cycle (e.g., GLU, BIOPRO, ALQ, etc.)
- Selected only features required for analysis (e.g., glucose, insulin, smoking, alcohol, blood urea nitrogen, etc.)
- Merged tables within each year on SEQN (patient ID's) to build year-specific datasets
- Performed feature engineering to standardize column names across years
- Calculated missing features from older datasets to standardize all columns (e.g., using Creatine and Albumin to calculate ACR (urine albumin-to-creatinine ratio))
- Added 'SurveyCycle' column to track year range
- Merged all yearly datasets into one master dataframe

## STEP 2: DATA PREPARATION

- Checking for duplicate entry IDs in the all years datasets
- Selected individuals with diabetes
- Flagged likely Type 1 Diabetes based on:
  - Diagnosed before age 30
  - Started insulin within one year
  - Not currently on diabetes pills or missing pill info
- Removed flagged Type 1 cases to retain likely Type 2 Diabetes patients only
- Handled missing values using median, mode, and logical imputation (e.g., setting alcohol variables to 0 for non-drinkers).
- Feature Engineer:
  - HOMA-B ( $\beta$ -cell function):  $(20 \times \text{Insulin}) / (\text{Glucose} - 3.5)$
  - Excluded participants with  $\text{eGFR} < 30 \text{ mL/min/1.73m}^2$  (indicating severe kidney disease)

# DATA DICTIONARY AFTER CLEANING

	Feature Name	Data Type	Missing Values	Unique Values	Description
0	SEQN	float64	0	3113	Respondent sequence number (unique ID for each...
1	FastingGlucose	float64	0	733	Fasting glucose level (mg/dL)
2	FastingInsulin	float64	0	1948	Fasting insulin level (µU/mL)
3	BUN	float64	0	51	Blood urea nitrogen (mg/dL), marker of kidney ...
4	SerumCreatinine	float64	0	167	Serum creatinine (mg/dL), used to estimate kid...
5	LBXSATSI	float64	0	107	Serum sodium concentration (mmol/L)
6	EverSmoked100	float64	0	4	Ever smoked at least 100 cigarettes in life (1...
7	EverDrank	float64	0	3	Ever had at least one alcoholic drink (1 = Yes...
8	DrinkFrequency	float64	0	28	Drinking frequency over past 12 months (0 = Ra...
9	AvgDrinksPerDay	float64	0	18	Average number of alcoholic drinks per day ove...
10	Age	float64	0	68	Age in years at time of screening
11	Gender	float64	0	2	Gender (1 = Male, 2 = Female)
12	Education	float64	0	7	Education level (1 = Less than 9th grade to 5 ...
13	IncomeToPovertyRatio	float64	0	464	Ratio of family income to poverty level
14	HasDiabetes	float64	0	1	Has doctor-diagnosed diabetes (1 = Yes, 2 = No)
15	DIQ050	float64	0	3	Currently taking insulin (1 = Yes, 2 = No)
16	DIQ070	float64	0	3	Currently taking diabetes pills (1 = Yes, 2 = No)
17	ACR	float64	0	2538	Urine albumin-to-creatinine ratio (mg/g), mark...
18	likely_type1	bool	0	1	Flag for likely type 1 diabetes (True/False)
19	T2D	int64	0	1	Type 2 diabetes classification (1 = Yes, 0 = No)
20	IncomeMissing	int64	0	2	Flag if income data is missing (True/False)
21	HOMA_B	float64	0	2920	Homeostatic Model Assessment of Beta-cell func...
22	CurrentSmoker_2.0	int64	0	2	Current smoking status: some days (dummy varia...
23	CurrentSmoker_3.0	int64	0	2	Current smoking status: not at all (dummy vari...
24	CurrentSmoker_Missing	int64	0	2	Current smoking status missing (dummy variable)
25	CurrentSmoker_Not at all	int64	0	2	Current smoking status labeled 'Not at all'
26	SurveyCycle_2001-2002	int64	0	2	Survey cycle dummy for 2001–2002
27	SurveyCycle_2003-2004	int64	0	2	Survey cycle dummy for 2003–2004
28	SurveyCycle_2005-2006	int64	0	2	Survey cycle dummy for 2005–2006
29	SurveyCycle_2007-2008	int64	0	2	Survey cycle dummy for 2007–2008
30	SurveyCycle_2009-2010	int64	0	2	Survey cycle dummy for 2009–2010
31	SurveyCycle_2011-2012	int64	0	2	Survey cycle dummy for 2011–2012
32	SurveyCycle_2013-2014	int64	0	2	Survey cycle dummy for 2013–2014
33	SurveyCycle_2015-2016	int64	0	2	Survey cycle dummy for 2015–2016
34	SurveyCycle_2017-2020	int64	0	2	Survey cycle dummy for 2017–2020
35	EverDrank_Label_Drinks Alcohol	int64	0	2	Label indicating whether participant drinks al...
36	eGFR	float64	0	2233	Estimated glomerular filtration rate (mL/min/1...

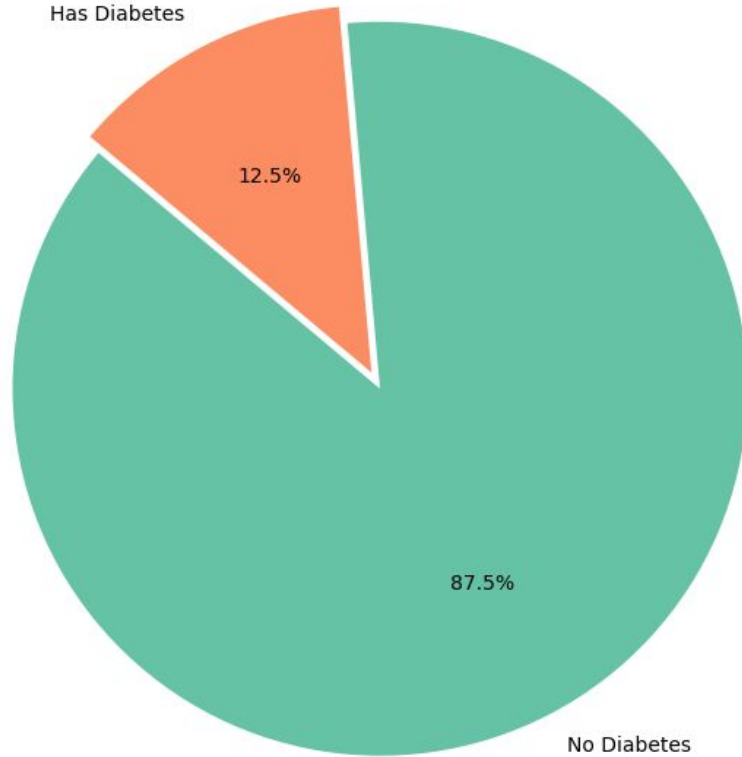
Features: 37  
Rows (# of patients): 3,113  
No missing values.  
No duplicates.

After some further analysis, many features will be dropped.



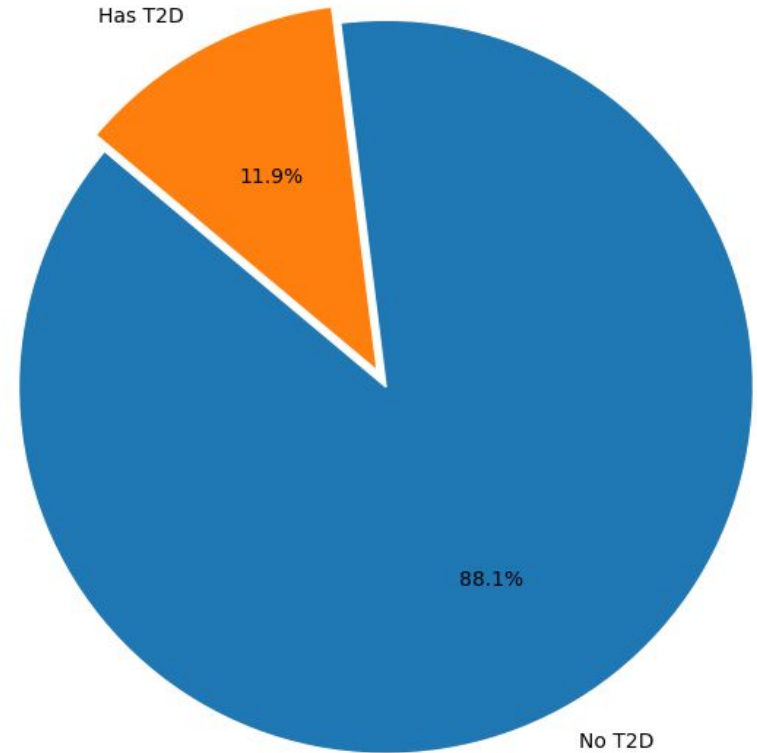
# EXPLORATORY ANALYSIS AND VISUALIZATIONS

Distribution of Participants by Diabetes Status



Pie chart represents the proportion of participants with and without diabetes using the `HasDiabetes` variable (`DIQ010`) from the NHANES dataset. `DIQ010` is a self-reported survey question that asks: “Has a doctor ever told you that you have diabetes?”

Proportion of Participants with T2D



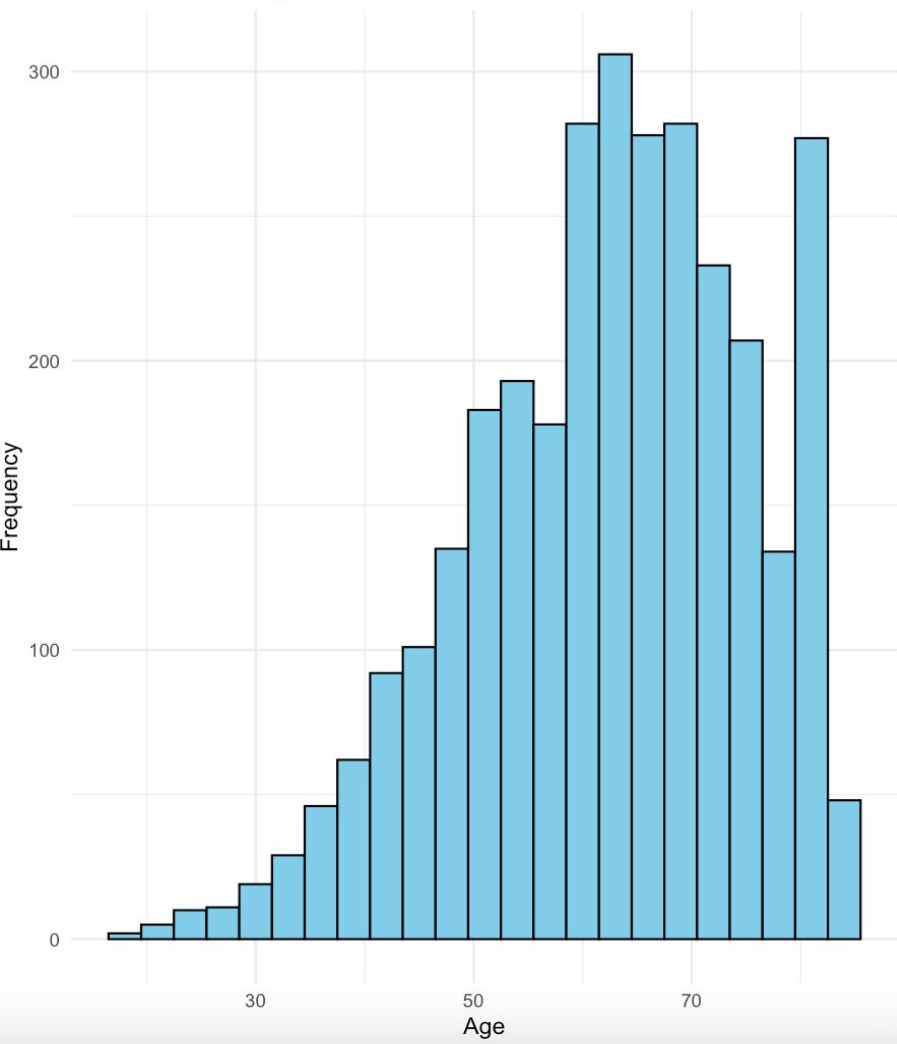
Pie chart includes participants after filtering out likely Type 1 diabetes, so it shows

- `T2D = 1` → Confirmed Type 2 Diabetes
- `T2D = 0` → Everyone else (Non-Diabetic only)

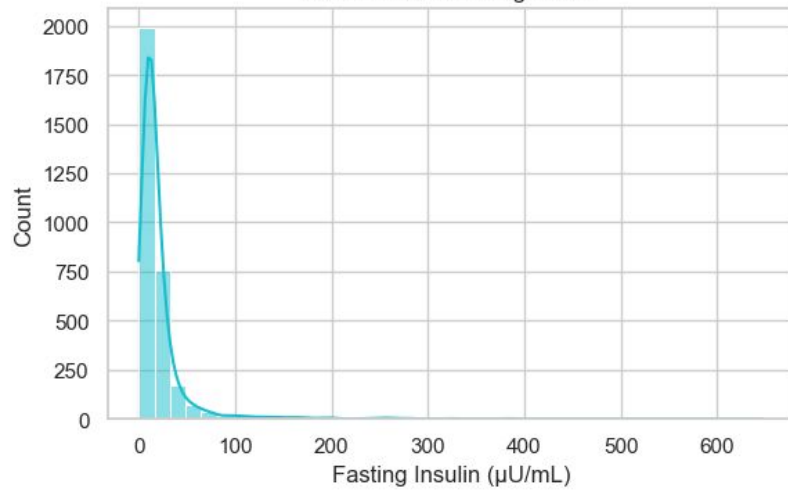
Reflects a cleaned T2D cohort (e.g., 3113 out of ~27,000)



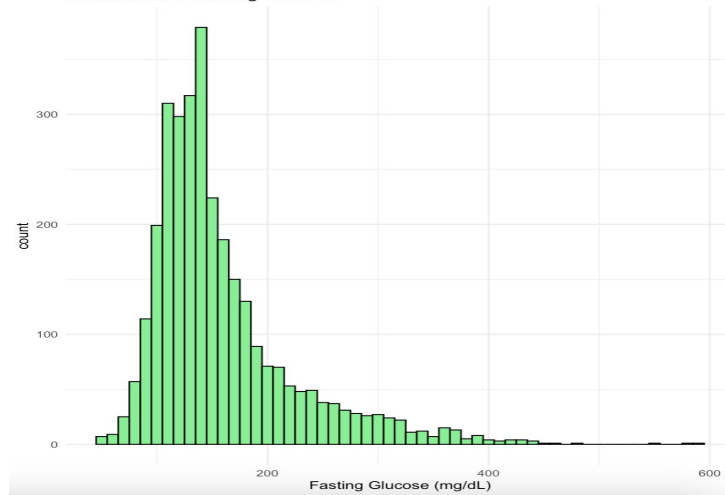
Distribution of Age



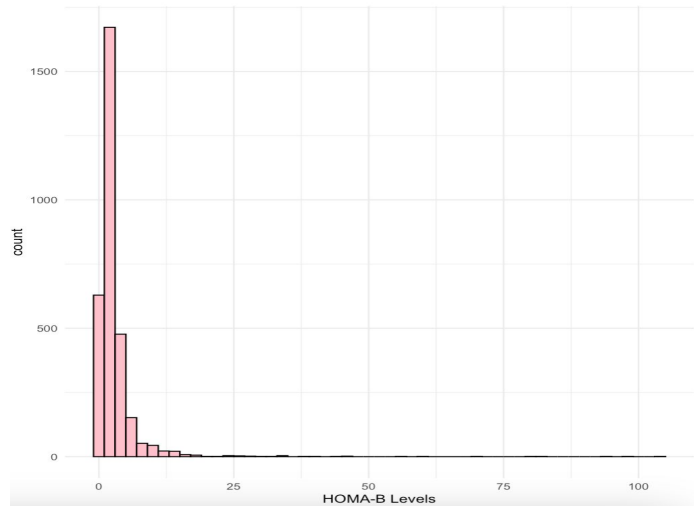
Distribution of Fasting Insulin



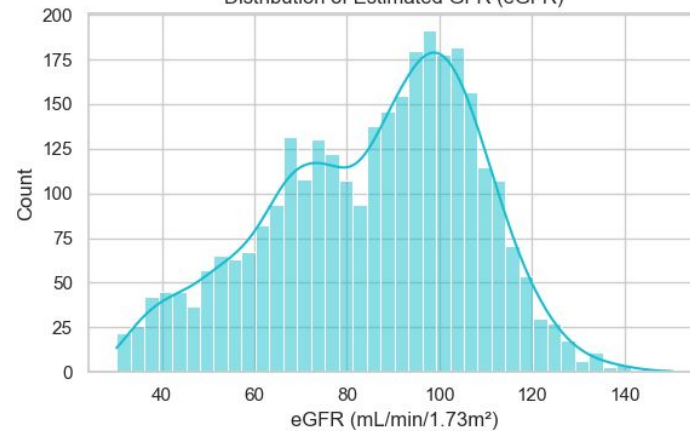
Distribution of Fasting Glucose



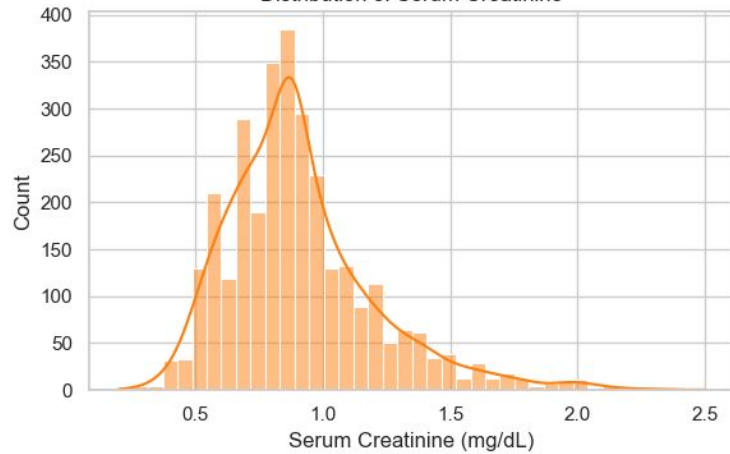
Distribution of HOMA-B



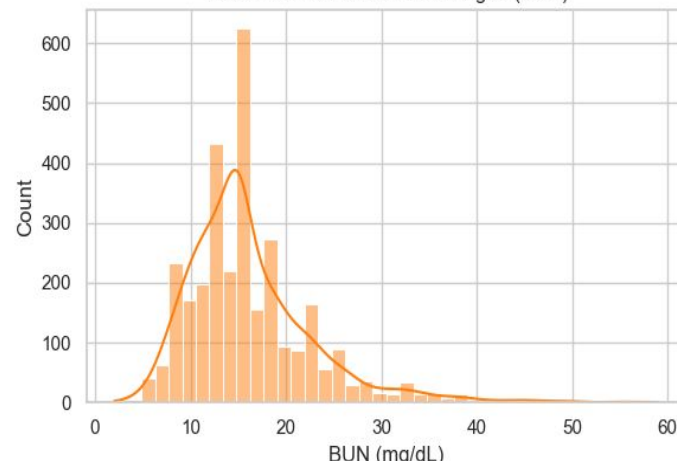
Distribution of Estimated GFR (eGFR)

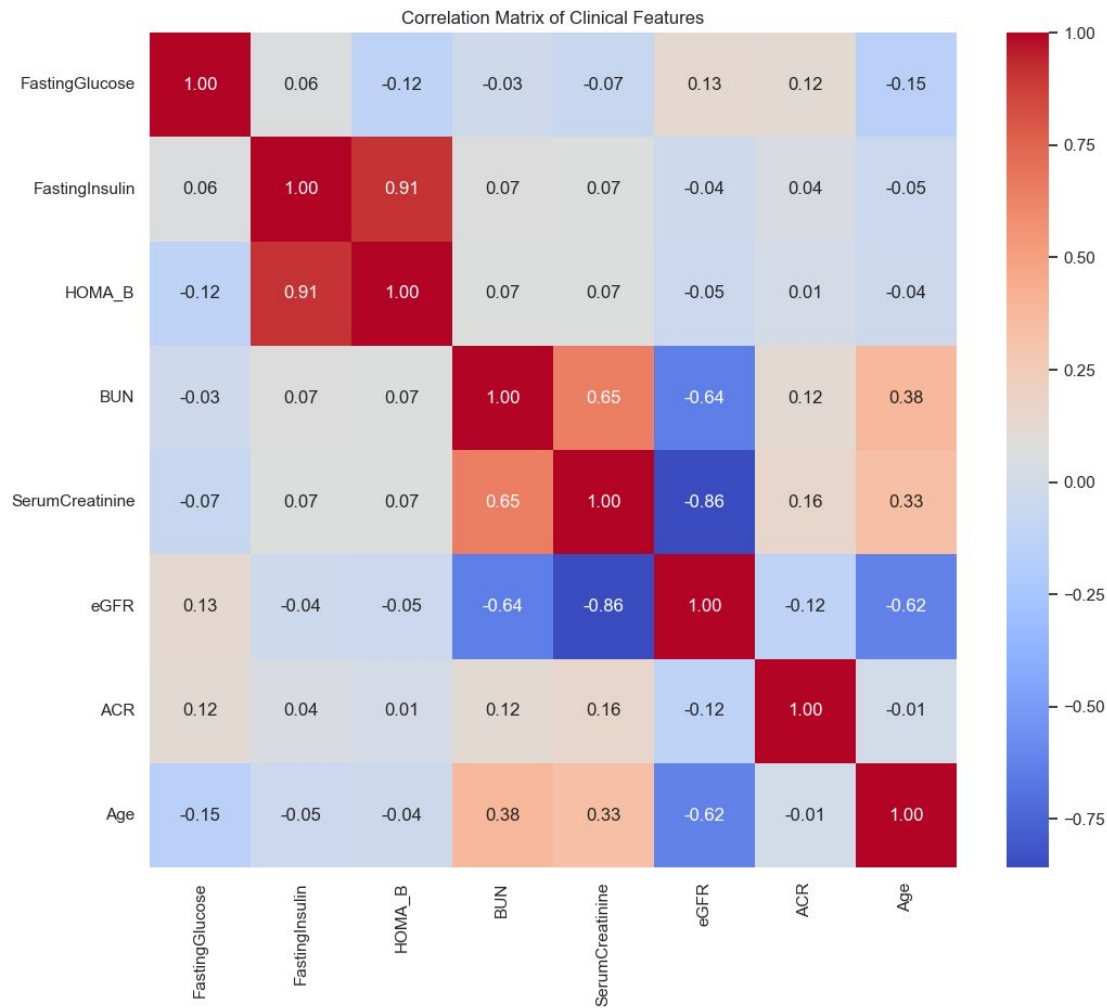


Distribution of Serum Creatinine



Distribution of Blood Urea Nitrogen (BUN)





## Correlation Matrix of Clinical Features

HOMA-B and Fasting Insulin show a very strong positive correlation (0.91), confirming their close mathematical and physiological relationship.

Serum Creatinine and eGFR display a very strong inverse relationship ( $-0.86$ ), which is expected since eGFR is calculated from serum creatinine and age.

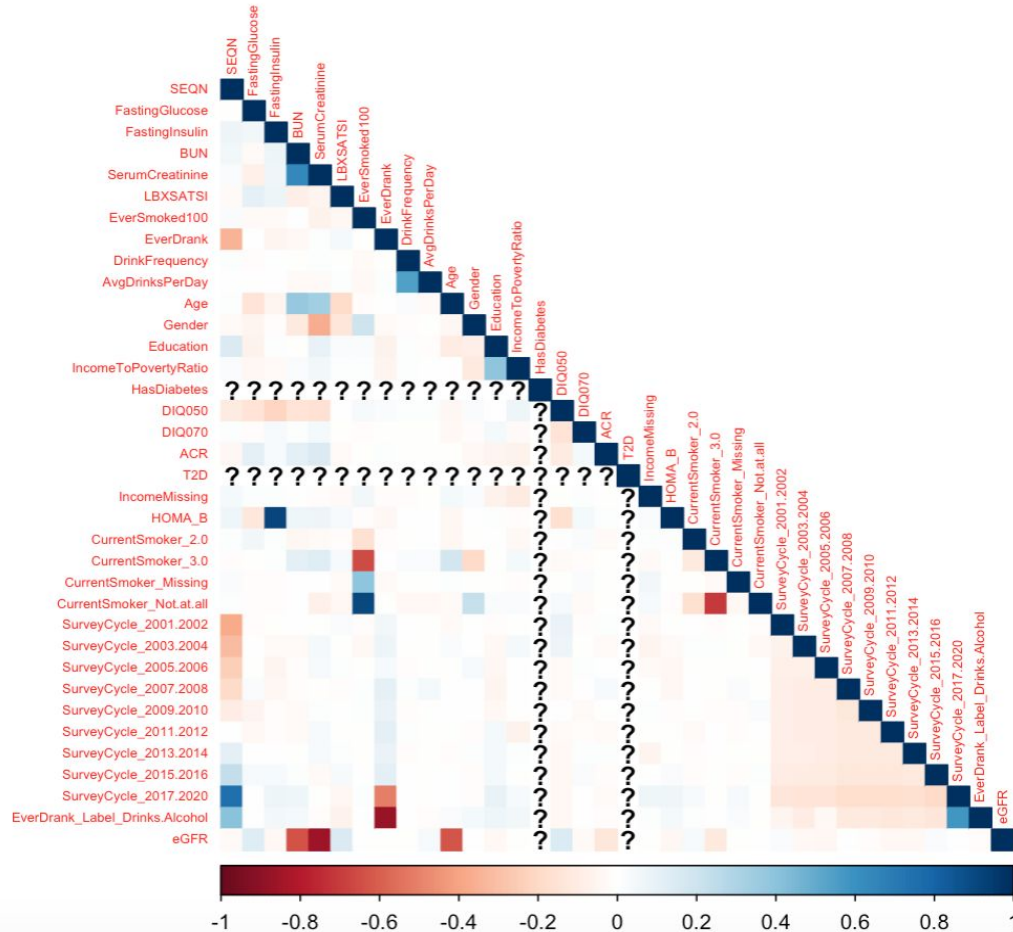
BUN is moderately correlated with both eGFR ( $-0.64$ ) and Serum Creatinine (0.65), reflecting its role as a renal function marker.

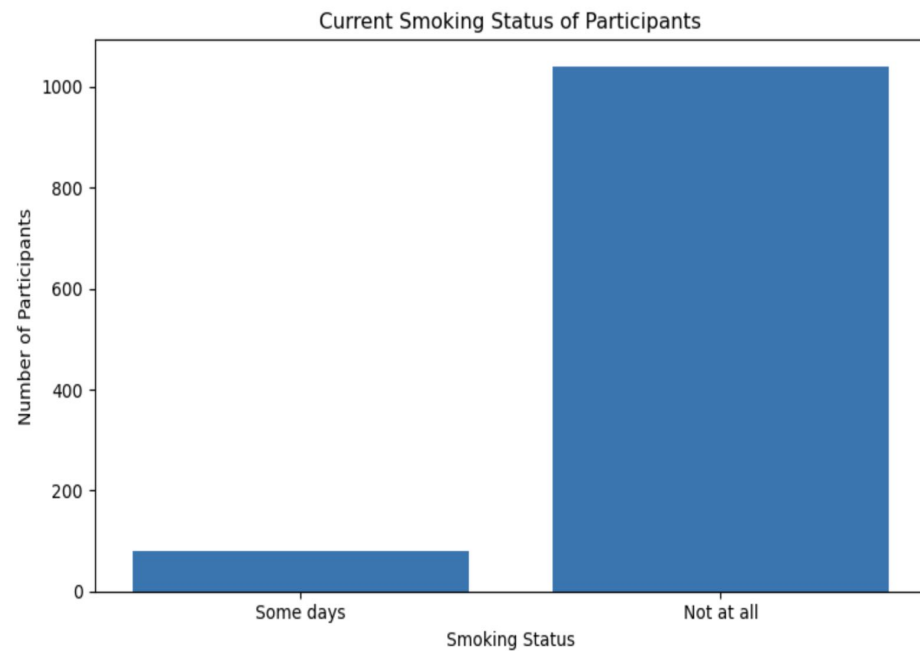
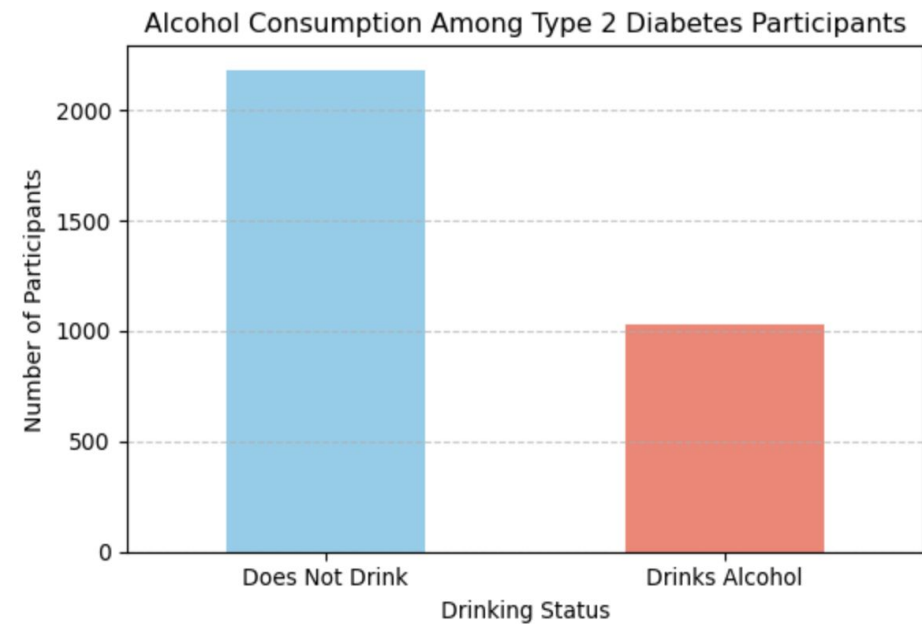
Age shows a moderate negative correlation with eGFR ( $-0.62$ ), indicating declining kidney function with aging.

ACR shows weak correlations with all other variables, suggesting it captures unique information (e.g., microalbuminuria) that complements other markers.

## Strong Correlations:

- Fasting Insulin & HOMA-B: HOMA- B is essentially proportional to fasting insulin (numerator of HOMA equation). As fasting insulin increases, so does HOMA-B levels.
- Serum Creatinine & eGFR: eGFR calculates the amount of blood filtered by the kidneys per minute, while Serum Creatine is a waste product filtered out by the kidneys.
- BUN & Serum Creatinine: when kidney function worsens and waste isn't filtered out effectively, both BUN and Serum Creatine rise.





May need SMOTE to balance dataset.

# Methodology

## Completed:

- **Data Collection & Integration:** Merged NHANES datasets from 1999–2020 across multiple survey cycles.
- **Feature Selection & Engineering:** Created derived features like HOMA-B and eGFR; harmonized alcohol and smoking variables across years.
- **Data Cleaning & Filtering:** Addressed missing values using mode/median/logical rules, removed biologically implausible entries, and filtered for Type 2 diabetes patients with valid eGFR.
- **One-Hot Encoding & Preprocessing:** Prepared categorical variables and normalized features for analysis.
- **Exploratory Data Analysis (EDA):** Visualize trends and feature distributions across subgroups (e.g., race, age, income).

## Future Work:

- **Feature Selection & Multicollinearity Handling:** Investigate and mitigate redundancy among features (e.g., insulin, glucose, HOMA-B).
- **Model Development:** Train classification models to predict outcomes of interest (e.g., poor  $\beta$ -cell function).
- **Model Evaluation & Tuning:** Use metrics like AUC, precision, and recall with cross-validation to assess performance.
- **Interpretability & Insights:** Identify top predictors and draw health-related conclusions.

# References



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## Family History: Literature Review

- Individuals with a first degree relative with diabetes have significantly higher odds of developing type 2 diabetes, independent of lifestyle (Duschek et al., 2023)
  - FH+ individuals show elevated BMI, triglycerides, ALT, and impaired fasting glucose, indicators of increased risk for type 2 diabetes (Akhuemonkhan & Lazo, 2017)
- Individuals with FH are more likely to have lifestyle risks
  - In a 2017 study, participants with a family history of diabetes were more likely to be smokers and/or overweight (Akhuemonkhan & Lazo, 2017)





## Literature Review

### Healthcare Access Barriers:

- Barriers such as lack of insurance, high medication costs, and transportation issues are linked to worse diabetes and hypertension control.
  - For instance, patients with transportation barriers had higher HbA1c and blood pressure levels over three years (Berkowitz et al., 2024).
- Social needs like housing insecurity and unemployment predict cardiometabolic risk (Drake et al., 2021)
- EHR models that include social determinants improve risk prediction for vulnerable patients (Howell et al., 2025).



## SES groups Literature Review

- Income and education levels change how behaviors and barriers translate into disease.
  - Lower-SES individuals face compounded effects of stress, access issues, and less healthy environments (Liu et al., 2023)
  - For example, smoking in a high-income, educated person may carry a lower cardiometabolic risk (due to better care access) than in a low-income person with the same behavior.
- Low-SES groups face “double jeopardy”: more barriers and more stress (Liu et al., 2023)
- High-stress latent profiles were disproportionately non-Hispanic Black and Hispanic. These groups had 2–4 times higher odds of having unmet needs than whites, contributing to elevated cardiometabolic burden (Fernandez et al., 2022)

## 2. Data Source: Kaggle Diabetes Dataset

Source:

Kaggle Dataset: Diabetes Dataset with 18 Features

- Dataset Size: 100,000 records
- Features: 18 clinical and behavioral variables related to diabetes

Key Variables Included:

- Metabolic Indicators: Glucose, Blood Pressure, Insulin, BMI, Skin Thickness
- Demographic Factors: Age, Gender
- Behavioral Factors: Smoking, Alcohol Consumption
- Outcome: Type 2 Diabetes (Diagnosed or Not)

Link to the Dataset -

<https://www.kaggle.com/datasets/pkdarabi/diabetes-dataset-with-18-features/data>



## Literature Review

### Physical Activity and Psychosocial Factors

- Among both adolescents and adults, physical activity is linked to reduce depressive symptoms and lower psychosocial distress (White et al., 2024).
  - This is contingent on how severe the depressive symptoms and mental health conditions are.
- A peer-reviewed cross-sectional study conducted with data from the CDC's Behavioral Risk Factors Surveillance System survey found that, on average, individuals have 3.4 poor mental health days per month.
  - However, those who exercise regularly had their average poor mental health days reduced by 40% (UCLA Health, 2018).



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<https://doi.org/10.1111/dom.16241>

# DATA FILES AND SOURCES

[https://www.cdc.gov/brfss/annual\\_data/annual\\_2015.html](https://www.cdc.gov/brfss/annual_data/annual_2015.html)

<https://www.kaggle.com/datasets/cdc/behavioral-risk-factor-surveillance-system/data>

<https://www.kaggle.com/datasets/alexteboul/heart-disease-health-indicators-dataset/data>