Chapter 4
The Processor

Chulyun Kim

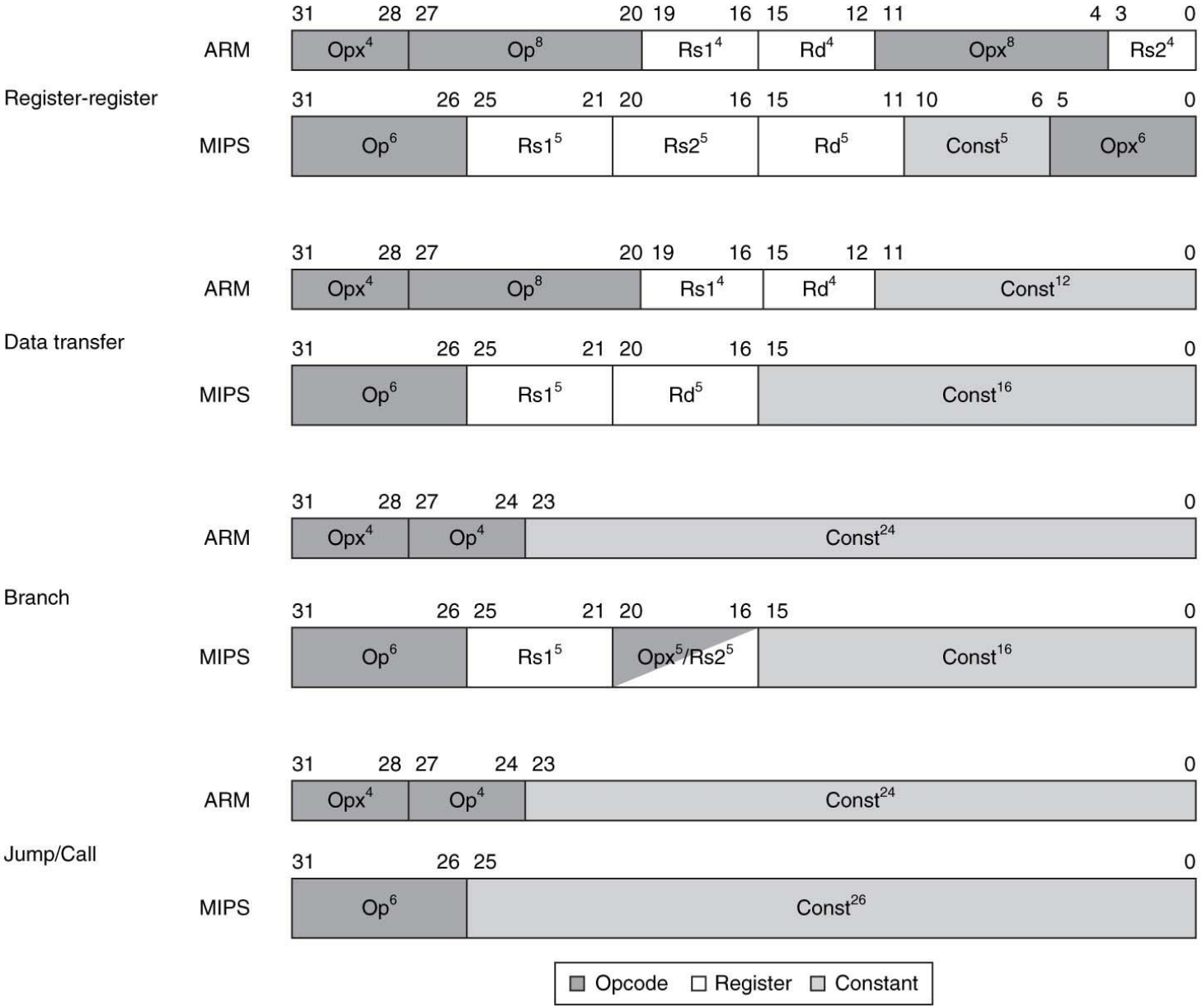
* This material is based on the lecture slides provided by Morgan Kaufmann

Introduction

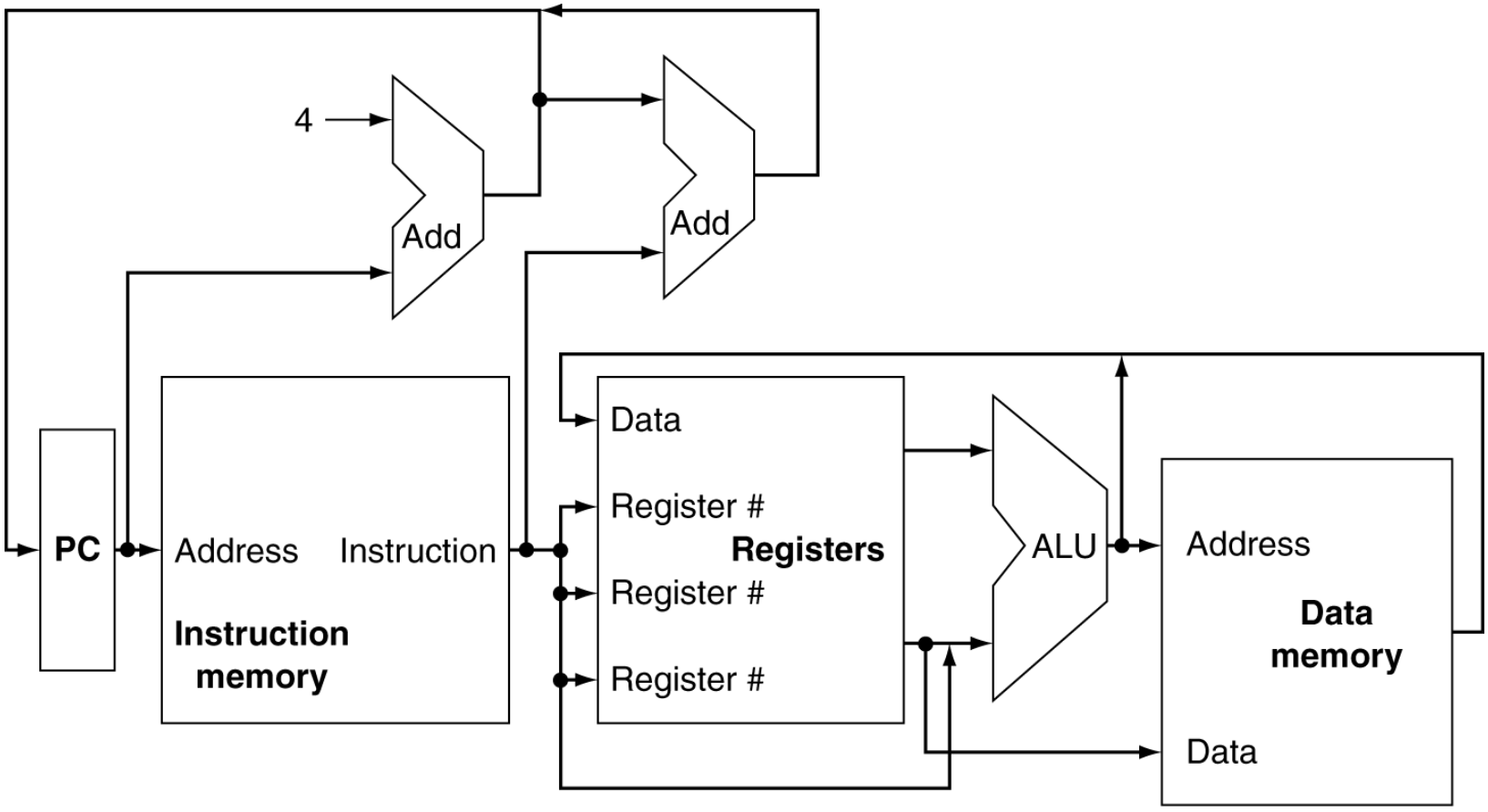
- CPU performance factors
  - Instruction count
    - Determined by ISA and compiler
  - CPI and Cycle time
    - Determined by CPU hardware
- We will examine a simplified version of MIPS implementations
- Simple subset, shows most aspects
  - Memory reference: `lw`, `sw`
  - Arithmetic/logical: `add`, `sub`, `and`, `or`, `slt`
  - Control transfer: `beq`, `j`

Instruction Execution

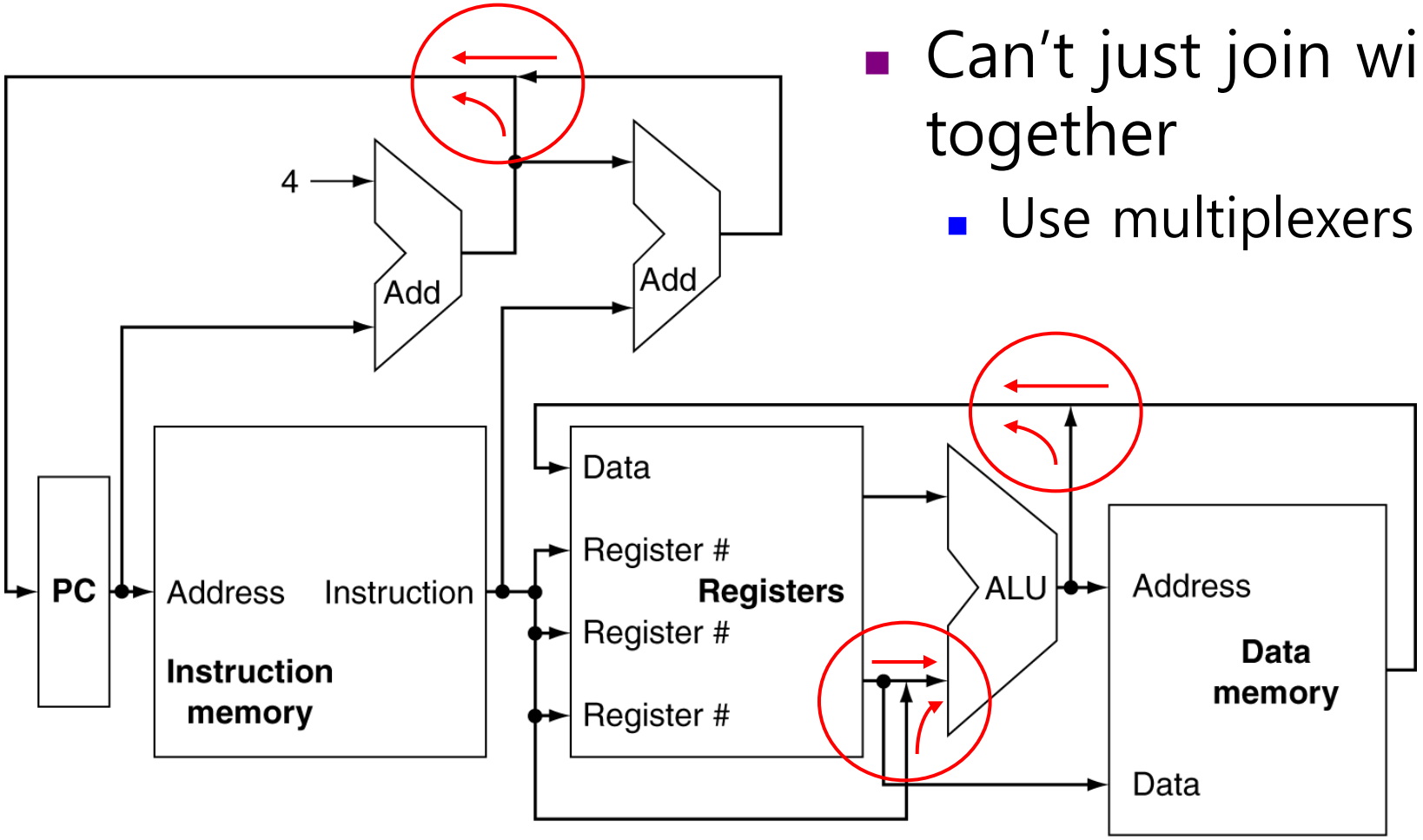
- PC → instruction memory → fetch instruction
- Register numbers → register file → read registers
- Depending on instruction class
  - Use ALU to calculate
    - Arithmetic result
    - Memory address for load/store
    - Branch target address
  - Access data memory for load/store
  - PC ← target address or PC + 4


Register-register
ARM
31 28 27 20 19 16 15 12 11 4 3 0
Opx4
Op8
Rs14
Rd4
Opx8
Rs24
MIPS
31 26 25 21 20 16 15 11 10 6 5 0
Op6
Rs15
Rs25
Rd5
Const5
Opx6
Data transfer
ARM
31 28 27 20 19 16 15 12 11 0
Opx4
Op8
Rs14
Rd4
Const12
MIPS
31 26 25 21 20 16 15 0
Op6
Rs15
Rd5
Const16
Branch
ARM
31 28 27 24 23 0
Opx4
Op4
Const24
MIPS
31 26 25 21 20 16 15 0
Op6
Rs15
Opx5/Rs25
Const16
Jump/Call
ARM
31 28 27 24 23 0
Opx4
Op4
Const24
MIPS
31 26 25 0
Op6
Const26
Opcode
Register
Constant

CPU Overview

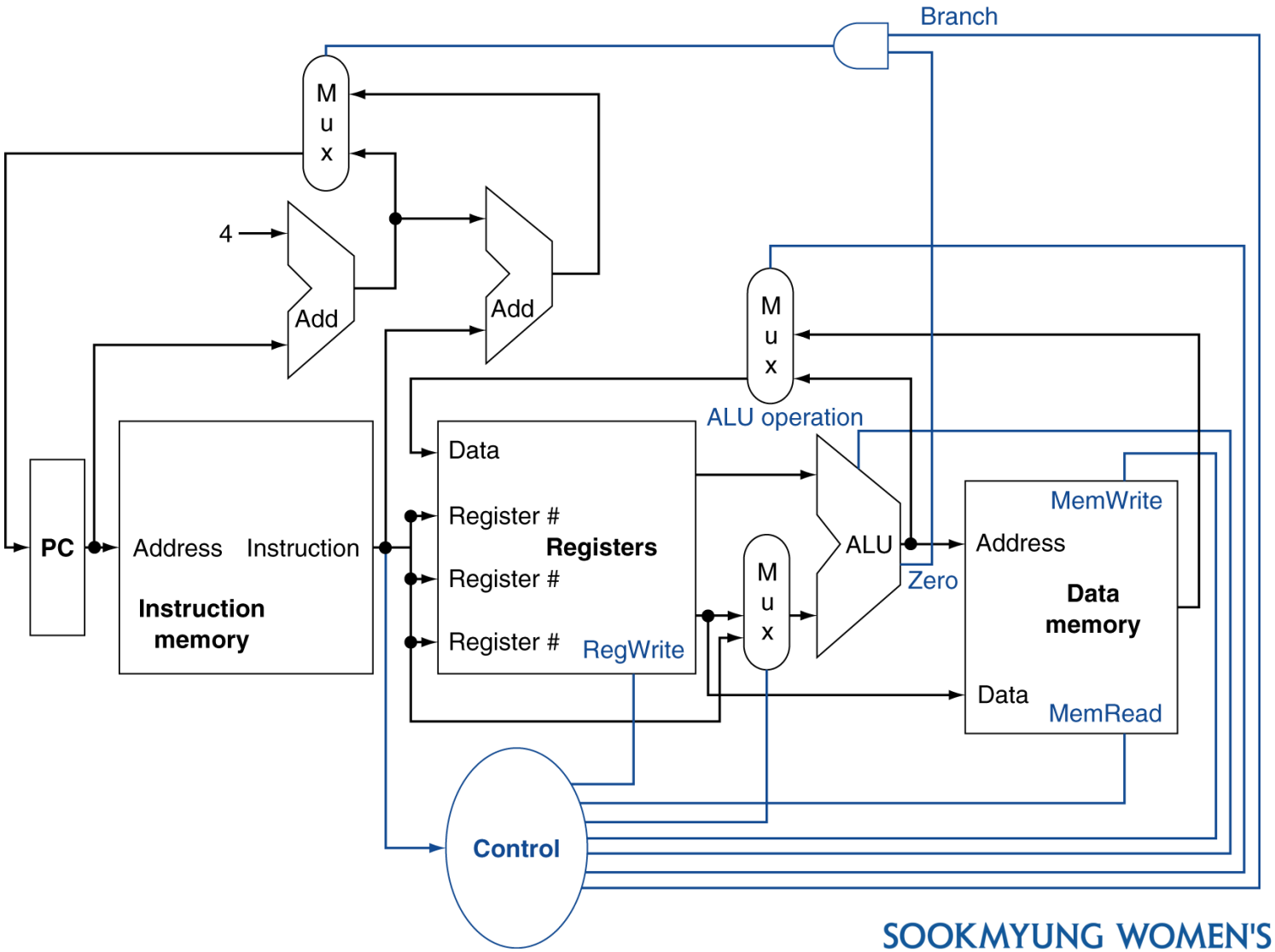


Multiplexers



- Can't just join wires together
  - Use multiplexers

Control

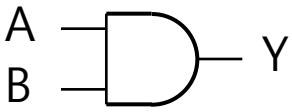

Branch
M
u
x
4
Add
Add
M
u
x
ALU operation
PC
Address
Instruction
Instruction
memory
Data
Register #
Registers
Register #
Register #
RegWrite
M
u
x
ALU
Zero
MemWrite
Address
Data
memory
Data
MemRead
Control

Logic Design Basics

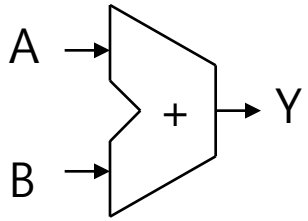
- Information encoded in binary
  - Low voltage = 0, High voltage = 1
  - One wire per bit
  - Multi-bit data encoded on multi-wire buses
- Combinational element
  - Operate on data
  - Output is a function of input
- State (sequential) elements
  - Store information

Combinational Elements

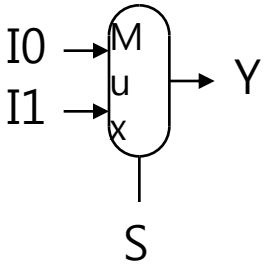
- AND-gate
  - Y = A & B



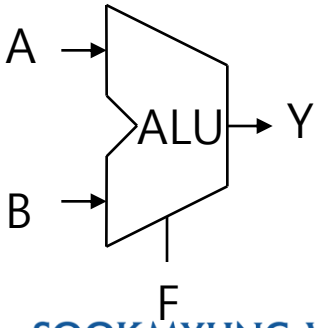
- Adder
  - Y = A + B



- Multiplexer
  - Y = S ? I1 : I0

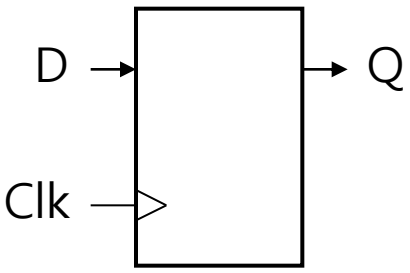


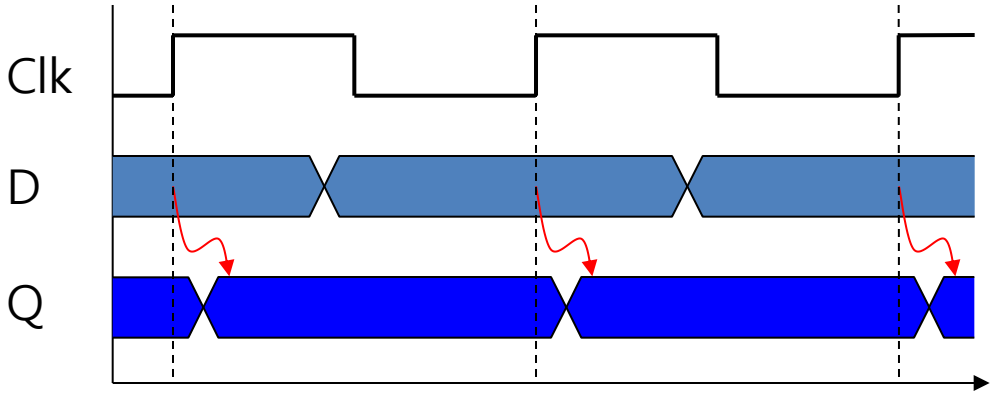
- Arithmetic/Logic Unit
  - Y = F(A, B)



Sequential Elements

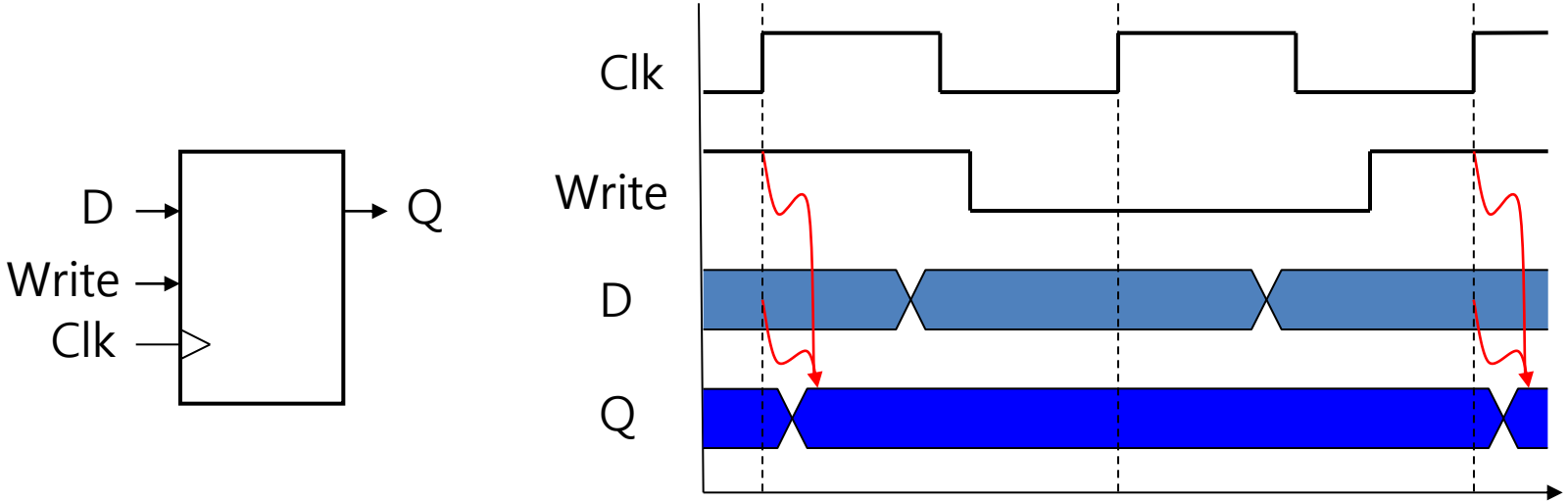
- Register: stores data in a circuit
  - Uses a clock signal to determine when to update the stored value
  - Edge-triggered: update when Clk changes from 0 to 1





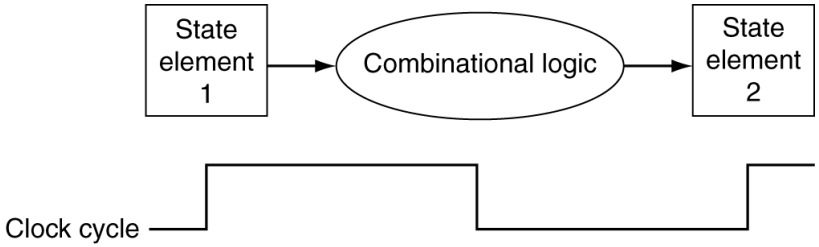
Sequential Elements

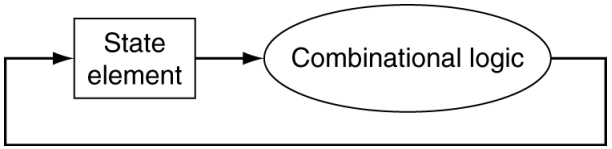
- Register with write control
  - Only updates on clock edge when write control i nput is 1
  - Used when stored value is required later



Clocking Methodology

- Combinational logic transforms data during clock cycles
  - Between clock edges
  - Input from state elements, output to state element
  - Longest delay determines clock period

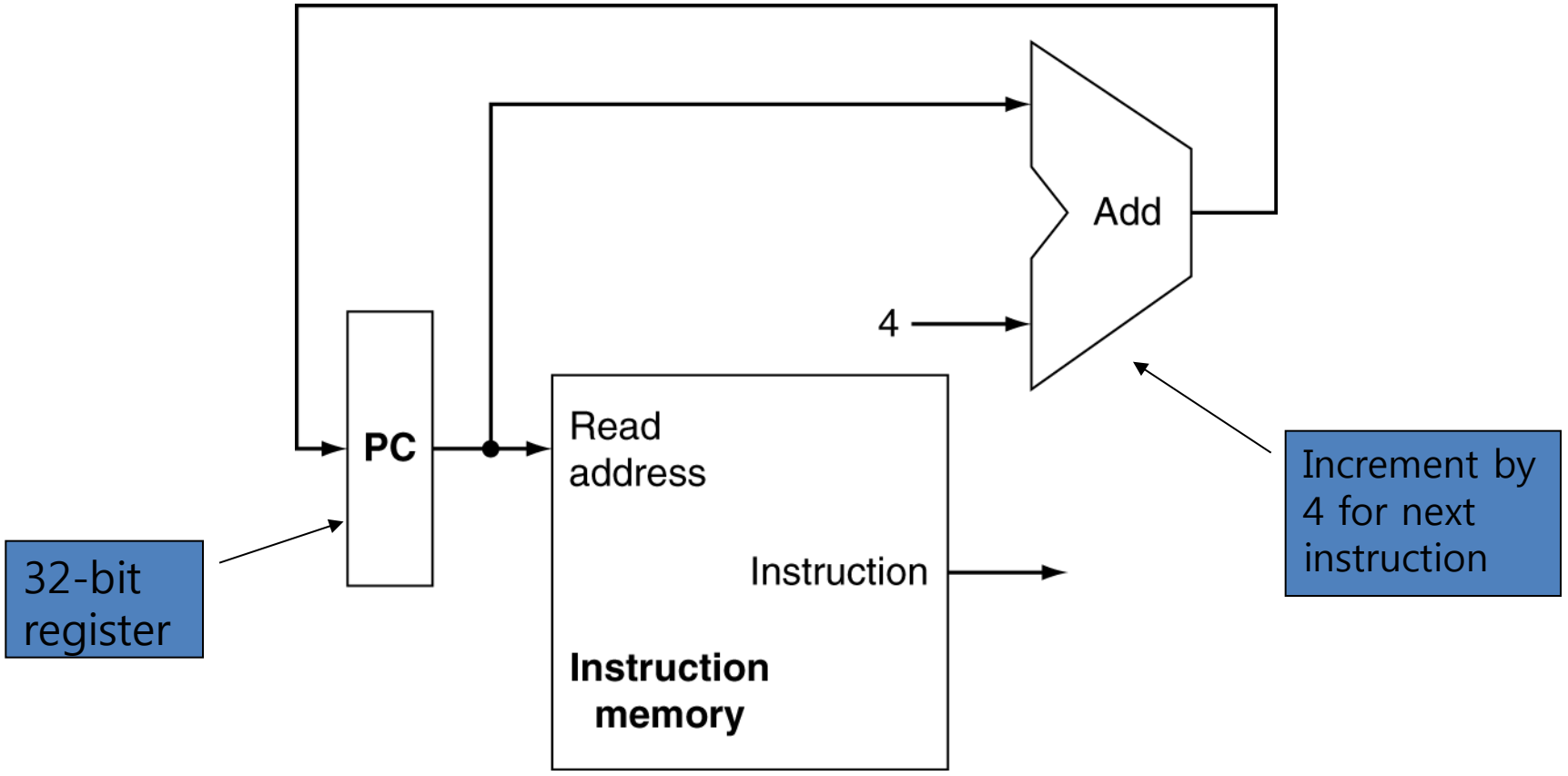




Building a Datapath

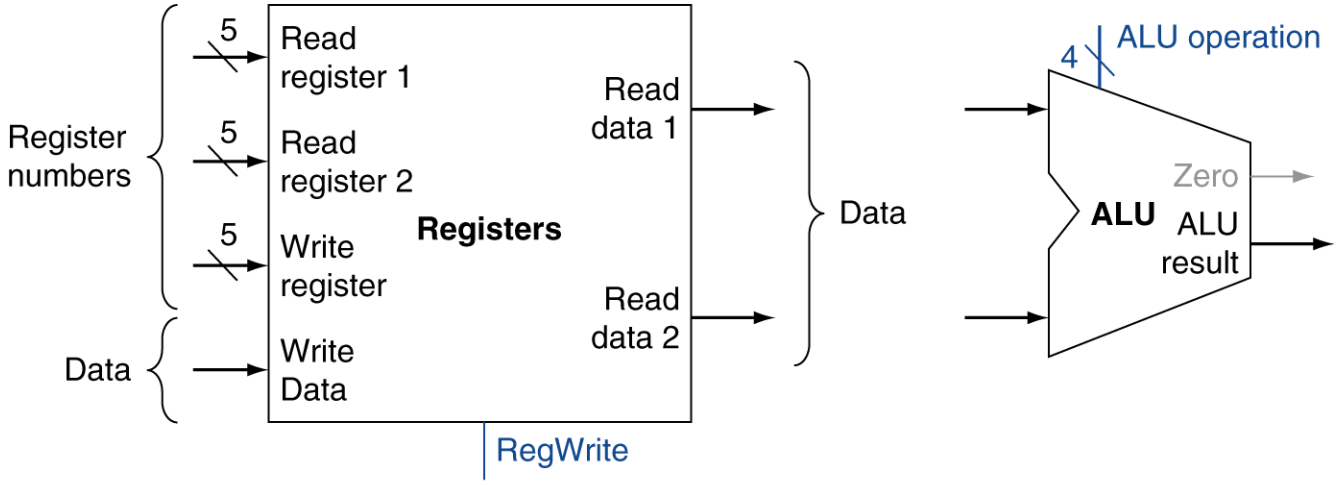
- Datapath
  - Elements that process data and addresses in the CPU
    - Registers, ALUs, mux's, memories, …
- We will build a MIPS datapath incrementally
  - Refining the overview design

Instruction Fetch



R-Format Instructions

- Read two register operands
- Perform arithmetic/logical operation
- Write register result

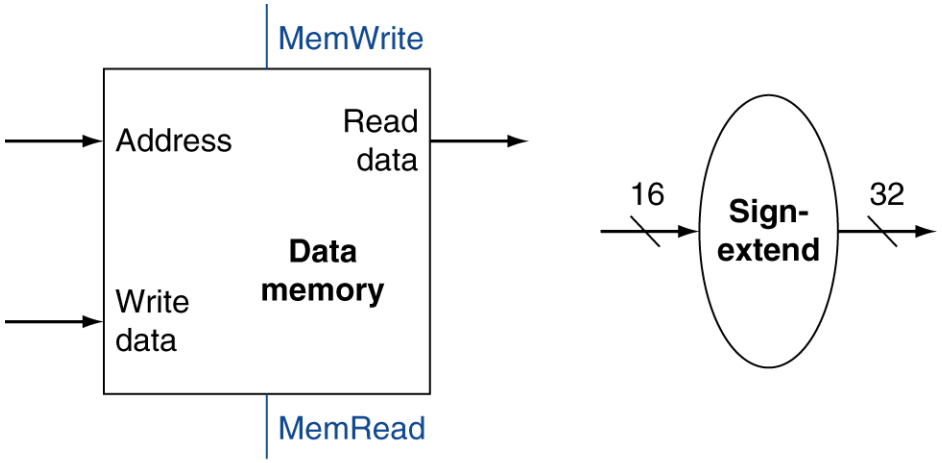


a. Registers

b. ALU

Load/Store Instructions

- Read register operands
- Calculate address using 16-bit offset
  - Use ALU, but sign-extend offset
- Load: Read memory and update register
- Store: Write register value to memory



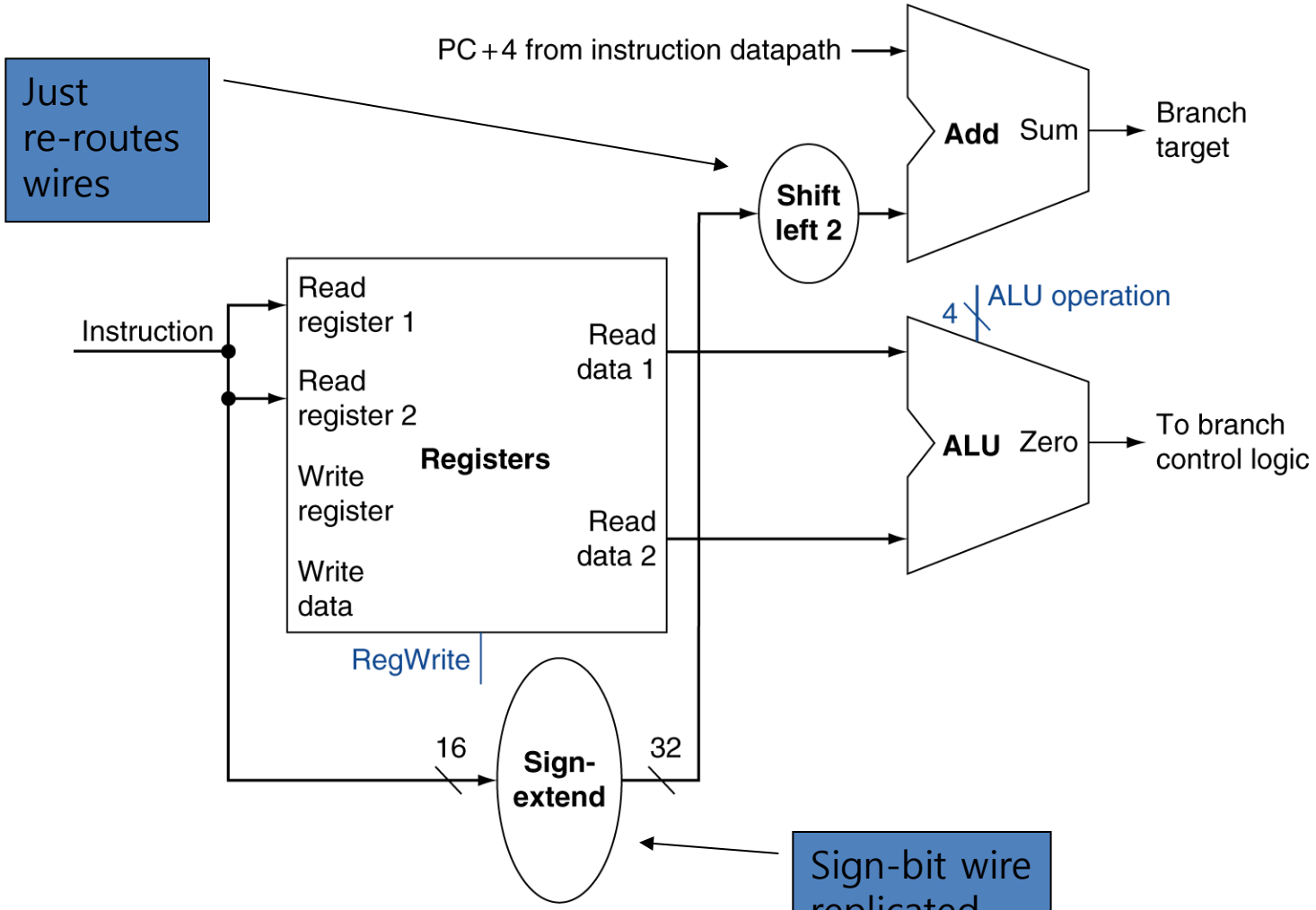
a. Data memory unit

b. Sign extension unit

Branch Instructions

- Read register operands
- Compare operands
  - Use ALU, subtract and check Zero output
- Calculate target address
  - Sign-extend displacement
  - Shift left 2 places (word displacement)
  - Add to PC + 4
    - Already calculated by instruction fetch

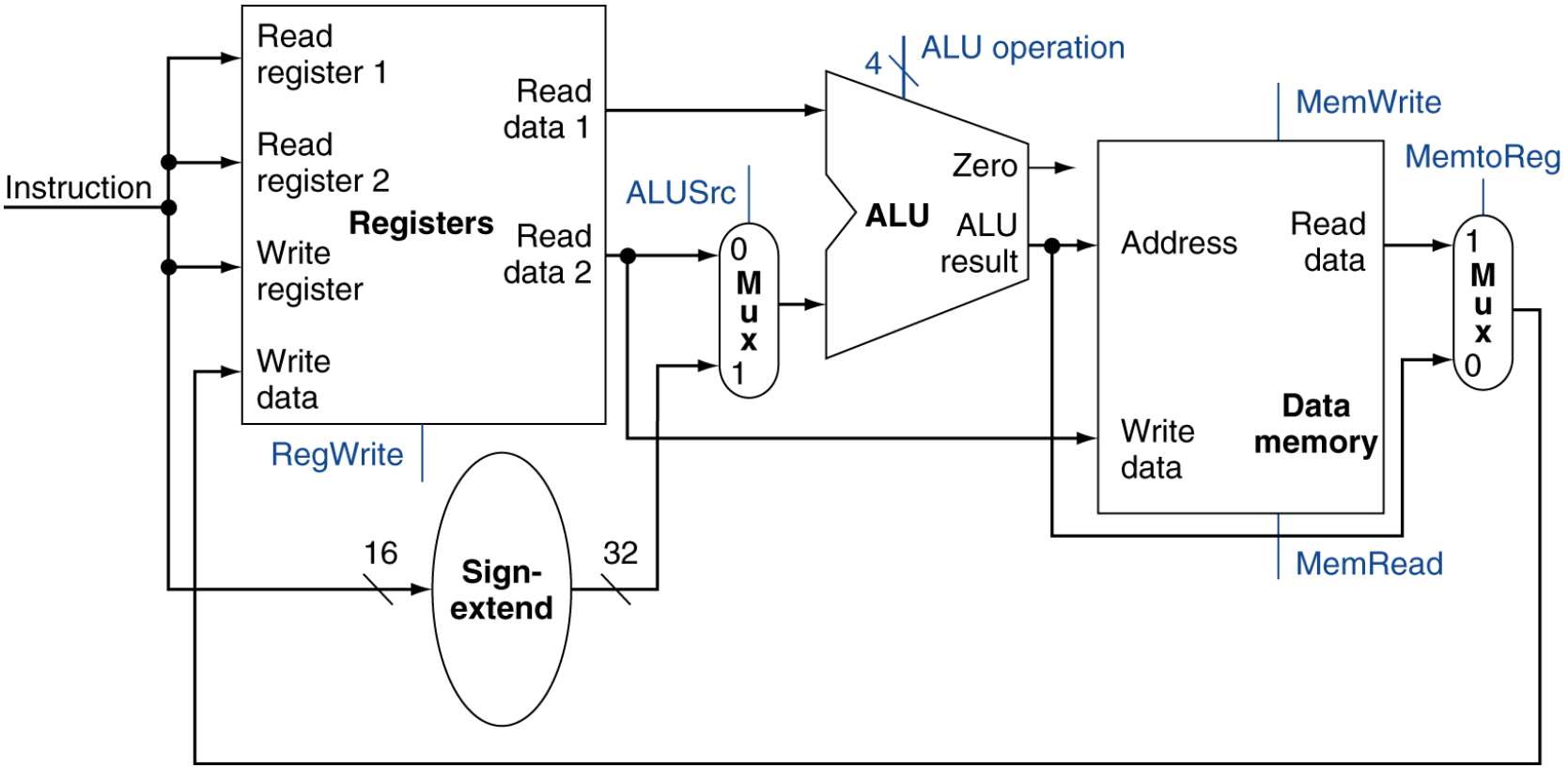
Branch Instructions



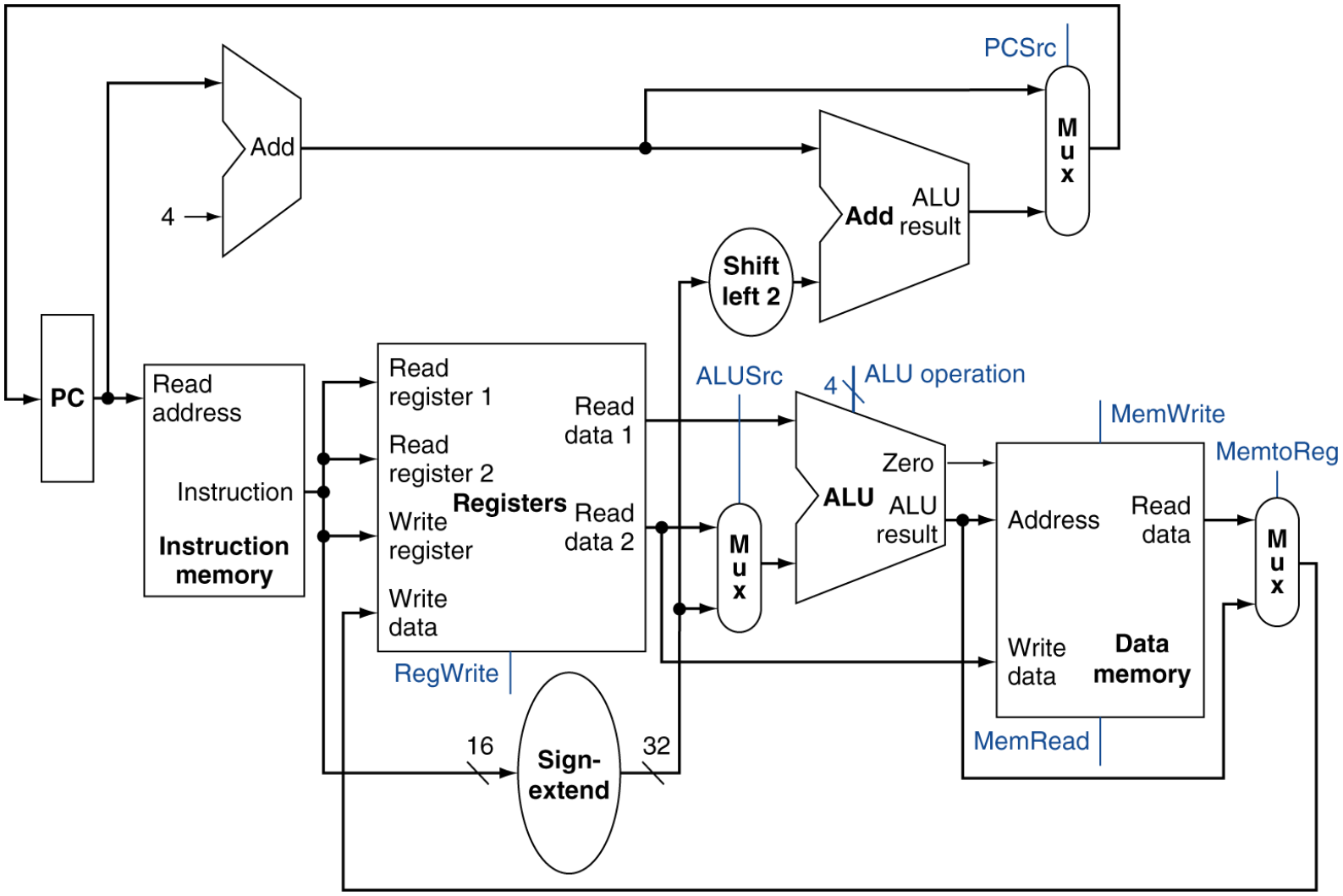
Composing the Elements

- First-cut data path does an instruction in one clock cycle
  - Each datapath element can only do one function at a time
  - Hence, we need separate instruction and data memories
- Use multiplexers where alternate data sources are used for different instructions

R-Type/Load/Store Datapath



Full Datapath



ALU Control

- ALU used for
  - Load/Store: F = add
  - Branch: F = subtract
  - R-type: F depends on funct field

ALU control	Function
0000	AND
0001	OR
0010	add
0110	subtract
1100	NOR

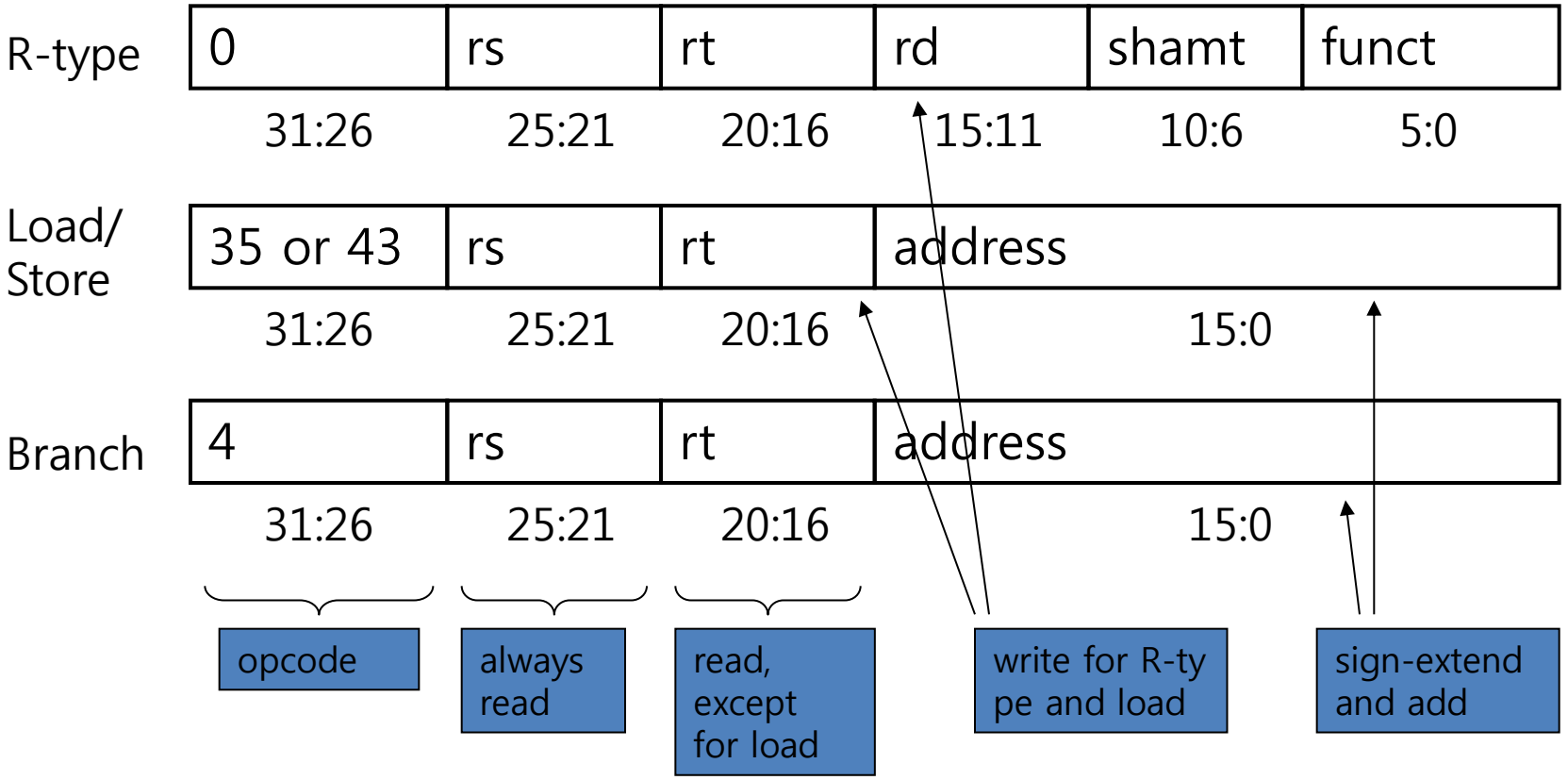
ALU Control

- Assume 2-bit ALUOp derived from opcode
  - Combinational logic derives ALU control

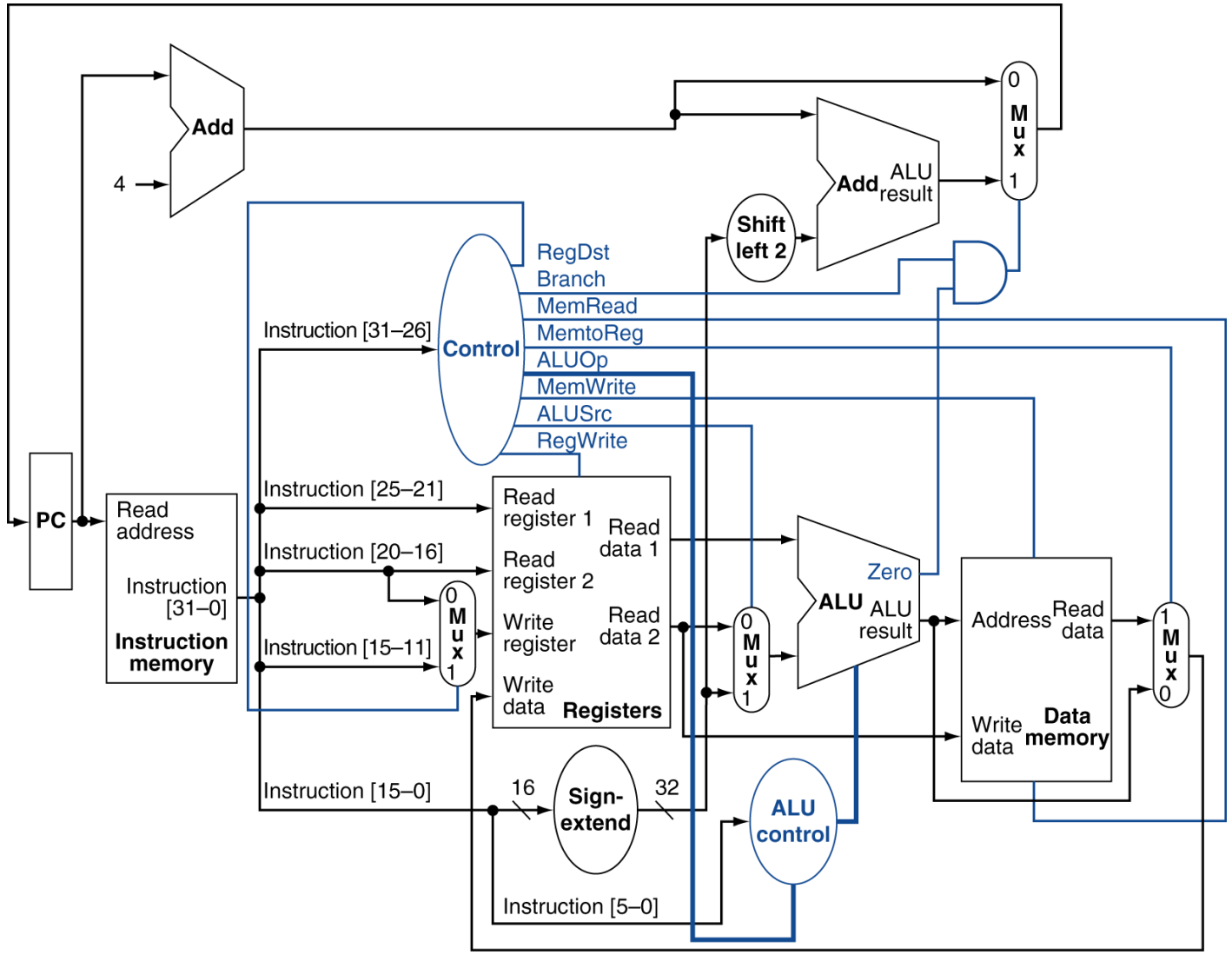
opcode	ALUOp	Operation	funct	ALU function	ALU control
lw	00	load word	XXXXXX	add	0010
sw	00	store word	XXXXXX	add	0010
beq	01	branch equal	XXXXXX	subtract	0110
R-type	10	add	100000	add	0010
		subtract	100010	subtract	0110
		AND	100100	AND	0000
		OR	100101	OR	0001

The Main Control Unit

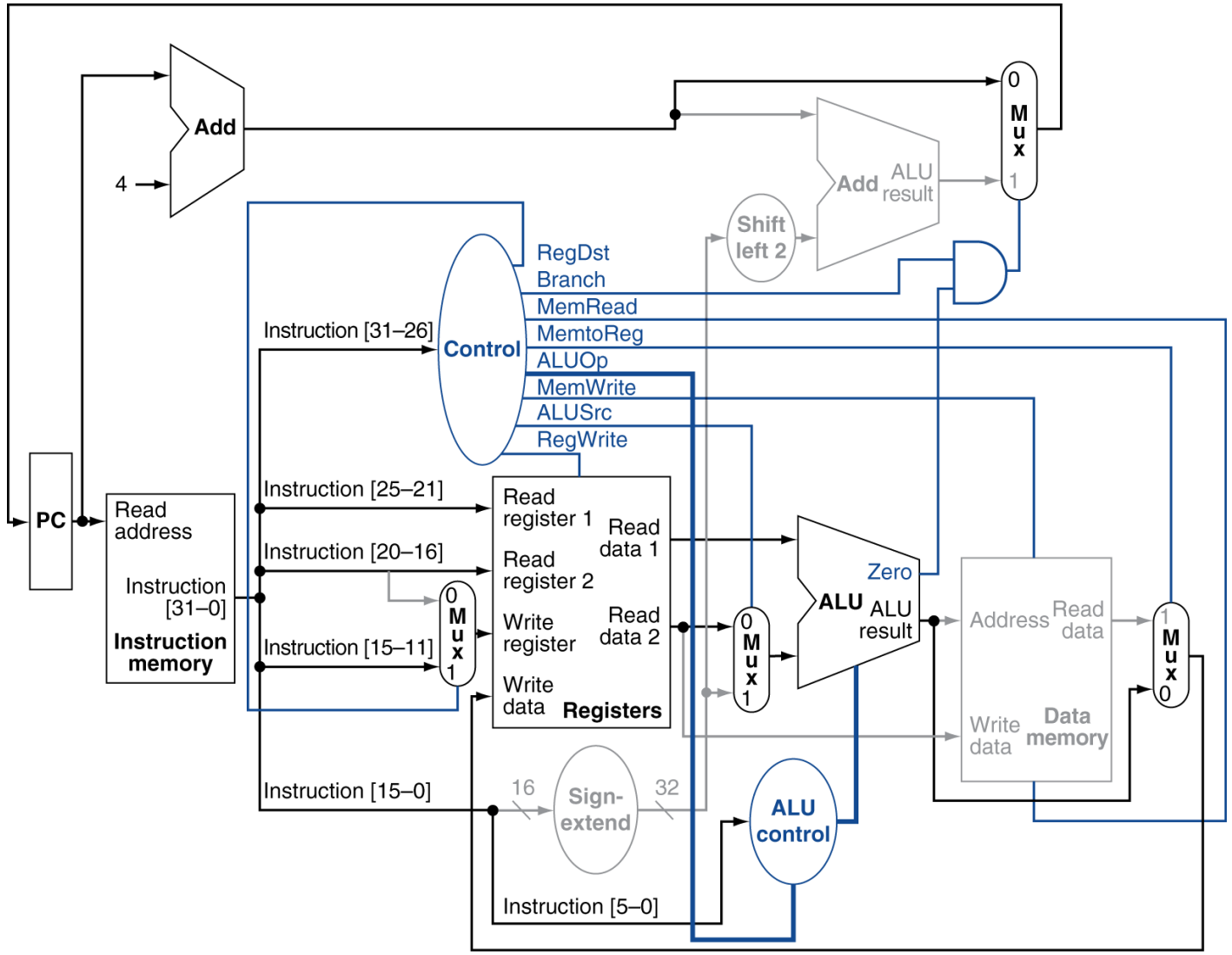
- Control signals derived from instruction



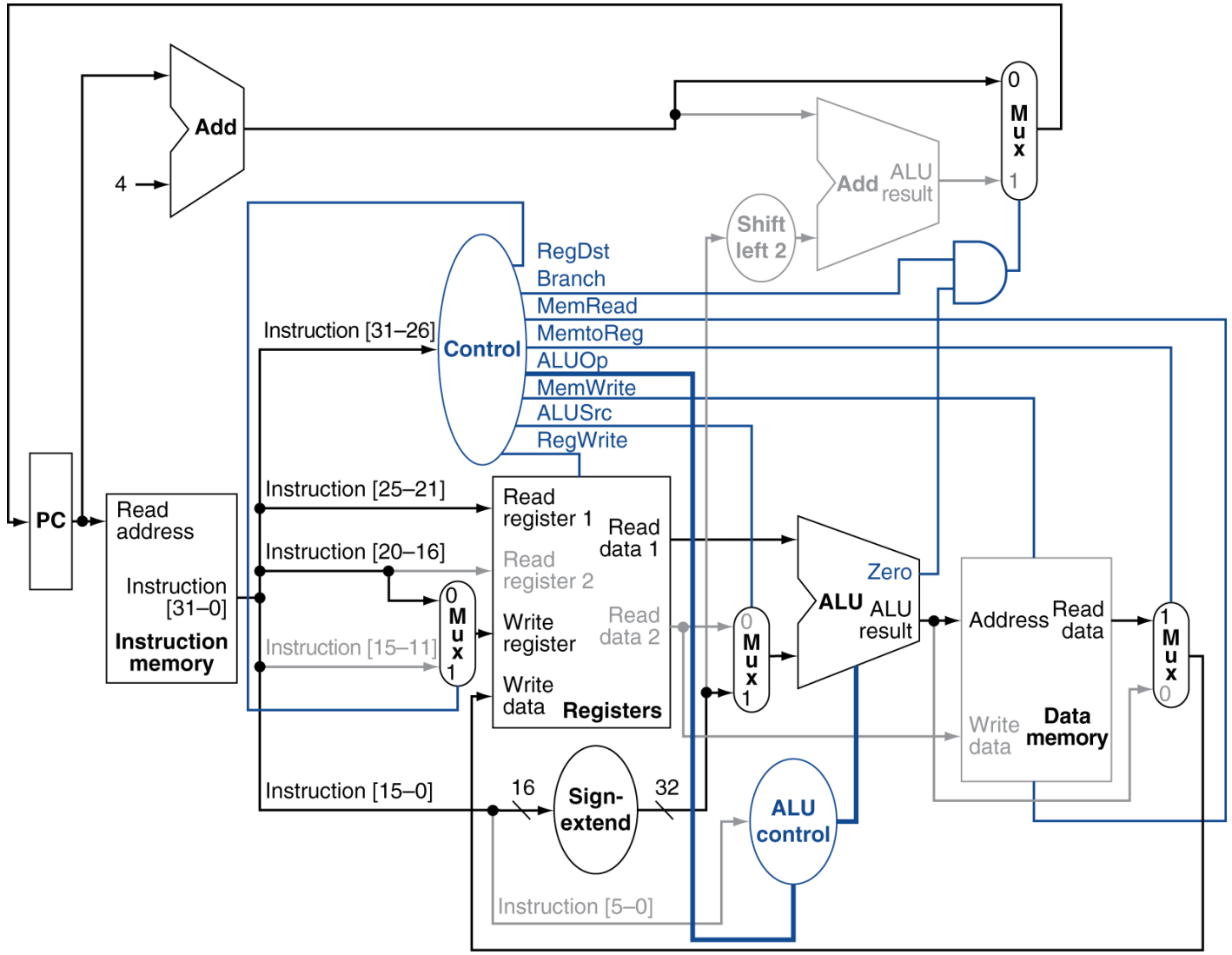
Datapath with Control



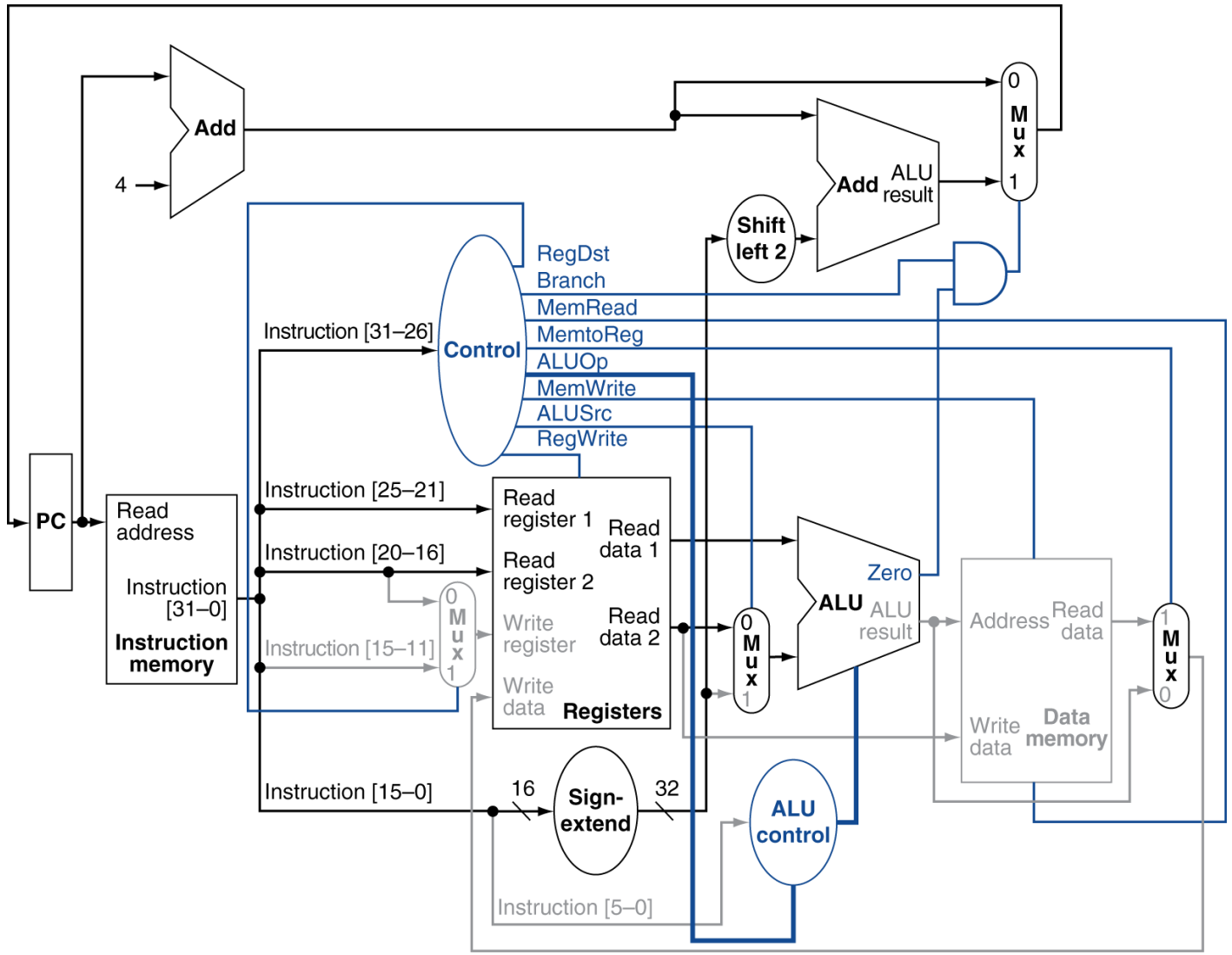
R-Type Instruction



Load Instruction



Branch-on-Equal Instruction

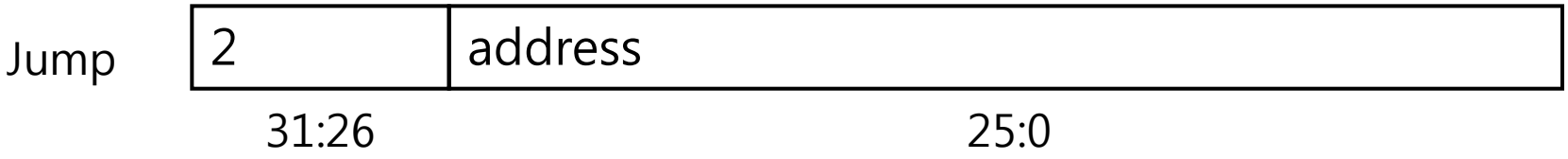


Setting of Control Lines

Instruction	RegDst	ALUSrc	Memto-Reg	Reg-Write	Mem-Read	Mem-Write	Branch	ALUOp1	ALUOp0
R-format	1	0	0	1	0	0	0	1	0
lw	0	1	1	1	1	0	0	0	0
sw	X	1	X	0	0	1	0	0	0
beq	X	0	X	0	0	0	1	0	1

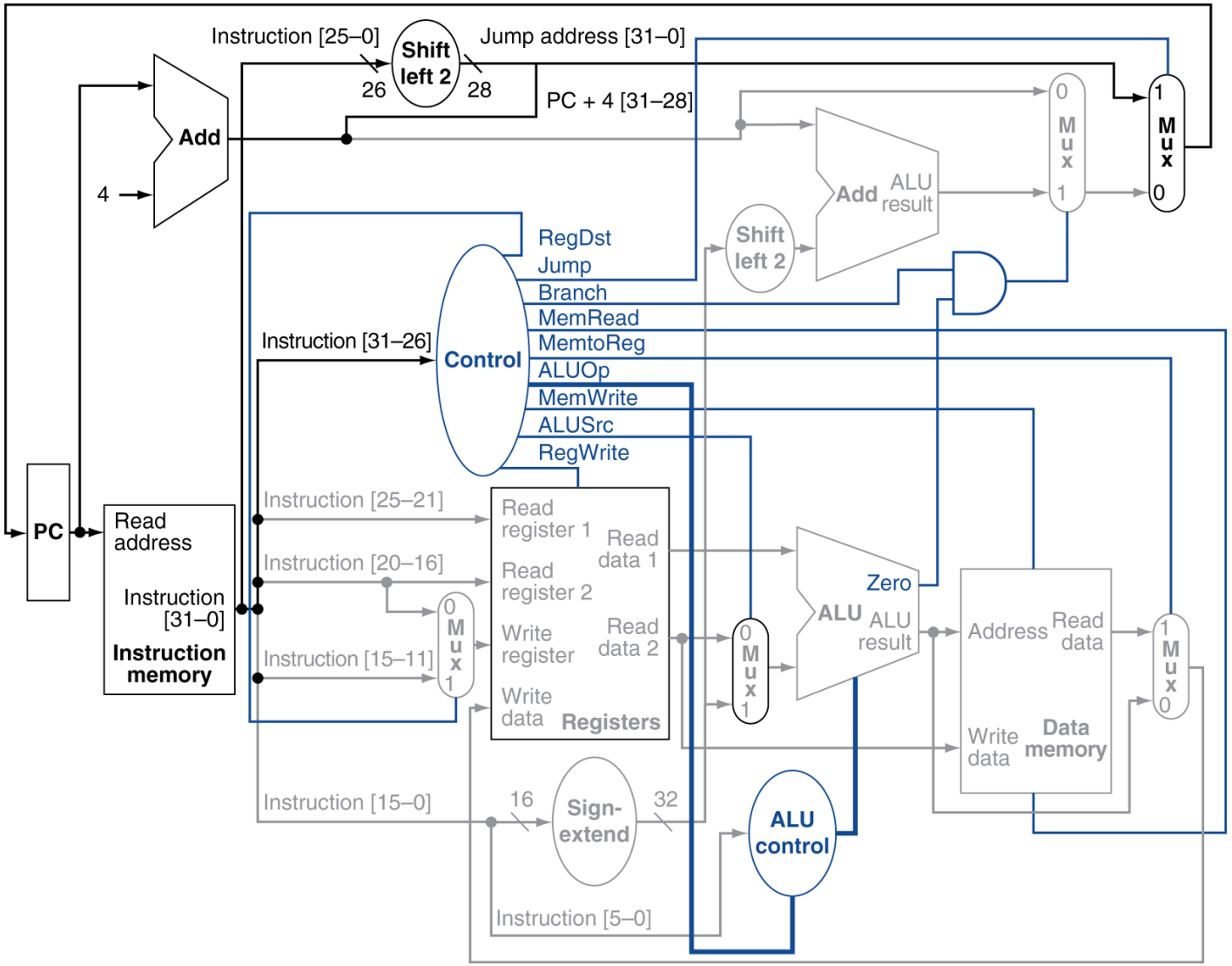
Input or output	Signal name	R-format	lw	sw	beq
Inputs	Op5	0	1	1	0
	Op4	0	0	0	0
	Op3	0	0	1	0
	Op2	0	0	0	1
	Op1	0	1	1	0
	Op0	0	1	1	0
Outputs	RegDst	1	0	X	X
	ALUSrc	0	1	1	0
	MemtoReg	0	1	X	X
	RegWrite	1	1	0	0
	MemRead	0	1	0	0
	MemWrite	0	0	1	0
	Branch	0	0	0	1
	ALUOp1	1	0	0	0
	ALUOp0	0	0	0	1

Implementing Jump



- Jump uses word address
- Update PC with concatenation of
  - Top 4 bits of old PC
  - 26-bit jump address
  - 00
- Need an extra control signal decoded from opcode

Datapath with Jump Added



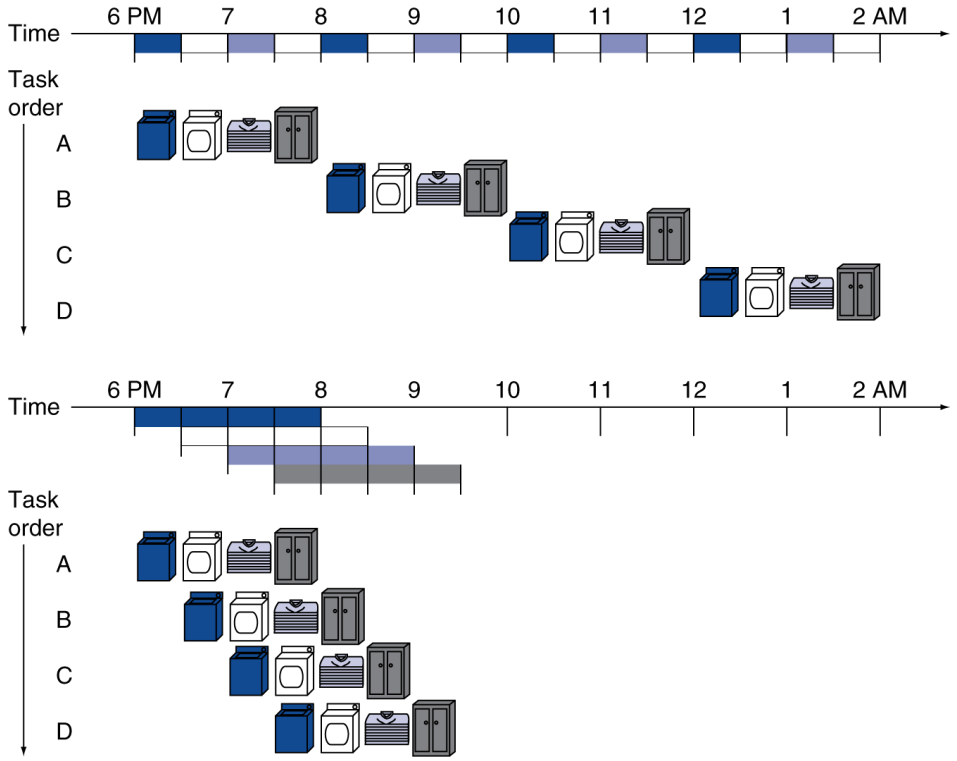
Performance Issues

- Longest delay determines clock period
  - Critical path:

    Instruction memory → register file → ALU → data memory → register file
- Not feasible to vary period for different instructions
- Violates design principle
  - Making the common case fast
- We will improve performance by pipelining

Pipelining Analogy

- Pipelined laundry: overlapping execution
  - Parallelism improves performance



- Four loads:
  - Speedup
    = 8/3.5 = 2.3
- Non-stop:
  - Speedup
    = 2n/(0.5n + 1.5) ≈ 4
    = number of stages

MIPS Pipeline

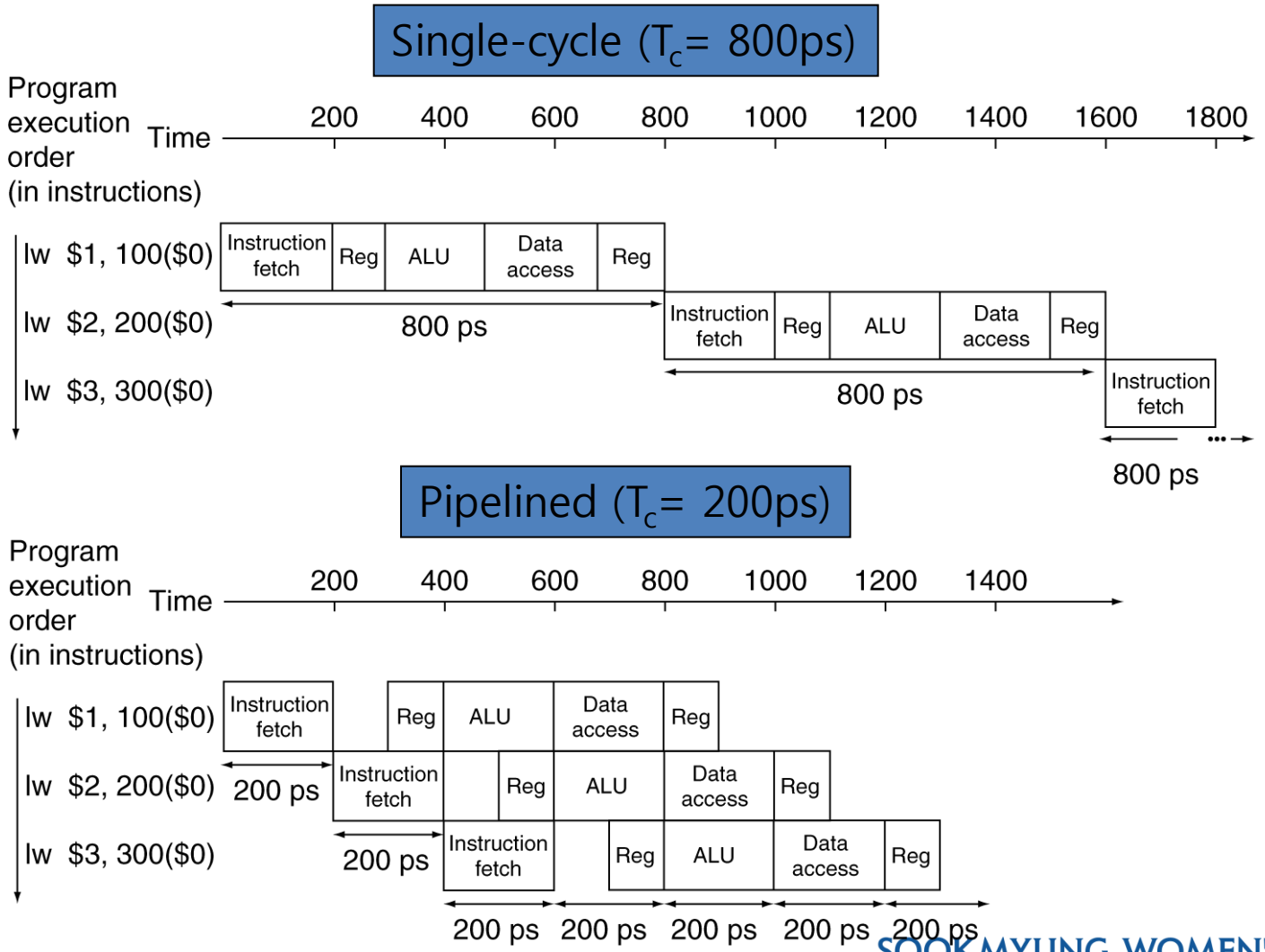
- Five stages, one step per stage
  1. IF: Instruction fetch from memory
  2. ID: Instruction decode & register read
  3. EX: Execute operation or calculate address
  4. MEM: Access memory operand
  5. WB: Write result back to register

Pipeline Performance

- Assume time for stages is
  - 100ps for register read or write
  - 200ps for other stages
- Compare pipelined datapath with single-cycle data path

Instr	Instr fetch	Register read	ALU op	Memory access	Register write	Total time
lw	200ps	100 ps	200ps	200ps	100 ps	800ps
sw	200ps	100 ps	200ps	200ps		700ps
R-format	200ps	100 ps	200ps		100 ps	600ps
beq	200ps	100 ps	200ps			500ps

Pipeline Performance



Pipeline Speedup

- If all stages are balanced
  - i.e., all take the same time
  - Time between instructions$_{pipelined}$
    = $\frac{\text{Time between instructions}_{nonpipelined}}{\text{Number of stages}}$
- If not balanced, speedup is less
- Speedup due to increased throughput
  - Latency (time for each instruction) does not dec rease

Pipelining and ISA Design

- MIPS ISA designed for pipelining
  - All instructions are 32-bits
    - Easier to fetch and decode in one cycle
    - c.f. x86: 1- to 17-byte instructions
  - Few and regular instruction formats
    - Can decode and read registers in one step
  - Load/store addressing
    - Can calculate address in 3rd stage, access memory in 4th stage
  - Alignment of memory operands
    - Memory access takes only one cycle

Hazards

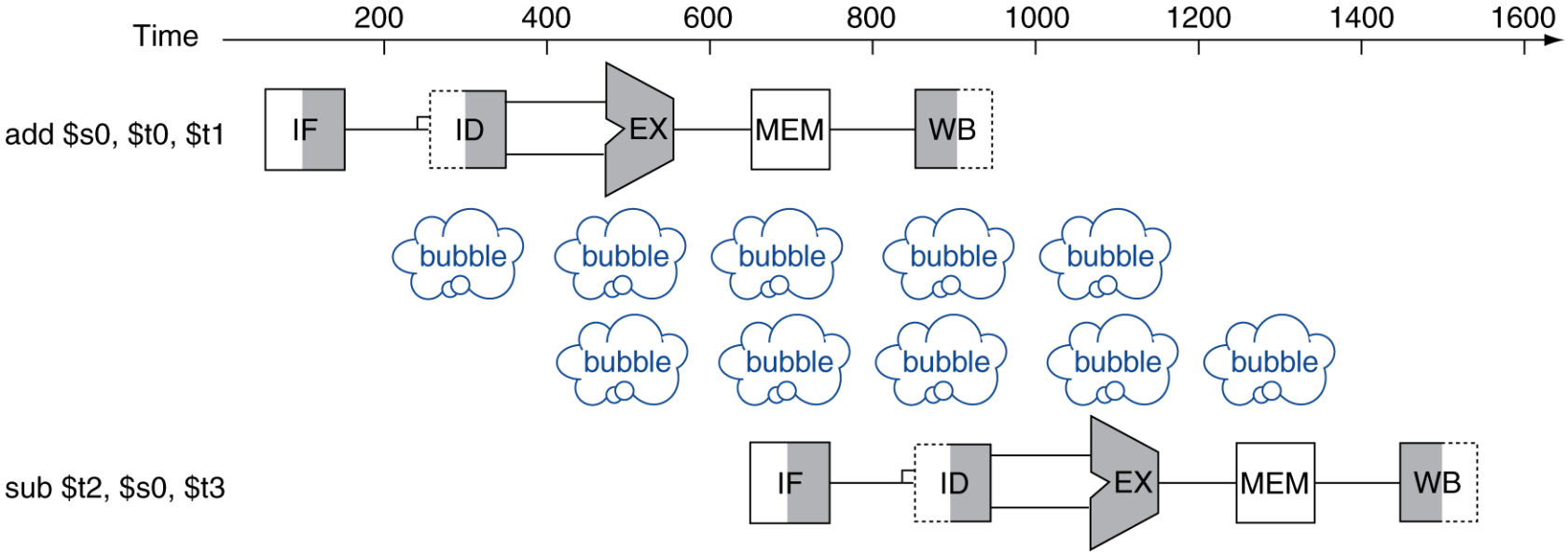
- Situations that prevent starting the next instruction in the next cycle
- Structure hazards
  - A required resource is busy
- Data hazard
  - Need to wait for previous instruction to complete its data read/write
- Control hazard
  - Deciding on control action depends on previous instruction

Structure Hazards

- Conflict for use of a resource
- In MIPS pipeline with a single memory
  - Load/store requires data access
  - Instruction fetch would have to *stall* for that cycle
    - Would cause a pipeline “bubble”
- Hence, pipelined datapaths require separate instruction/data memories
  - Or separate instruction/data caches

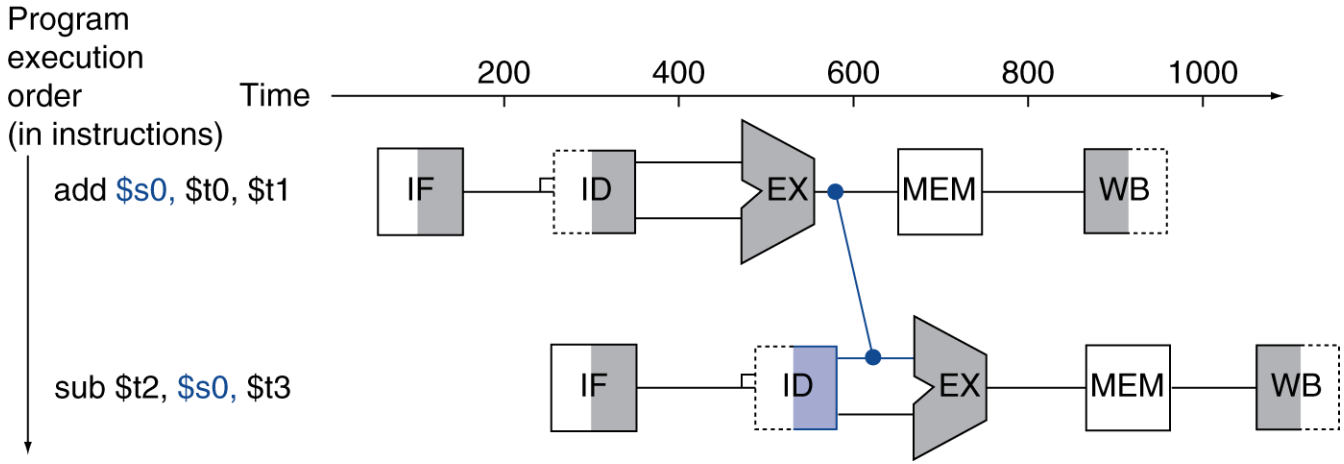
Data Hazards

- An instruction depends on completion of data access by a previous instruction
  - `add  $s0, $t0, $t1`
    `sub  $t2, $s0, $t3`



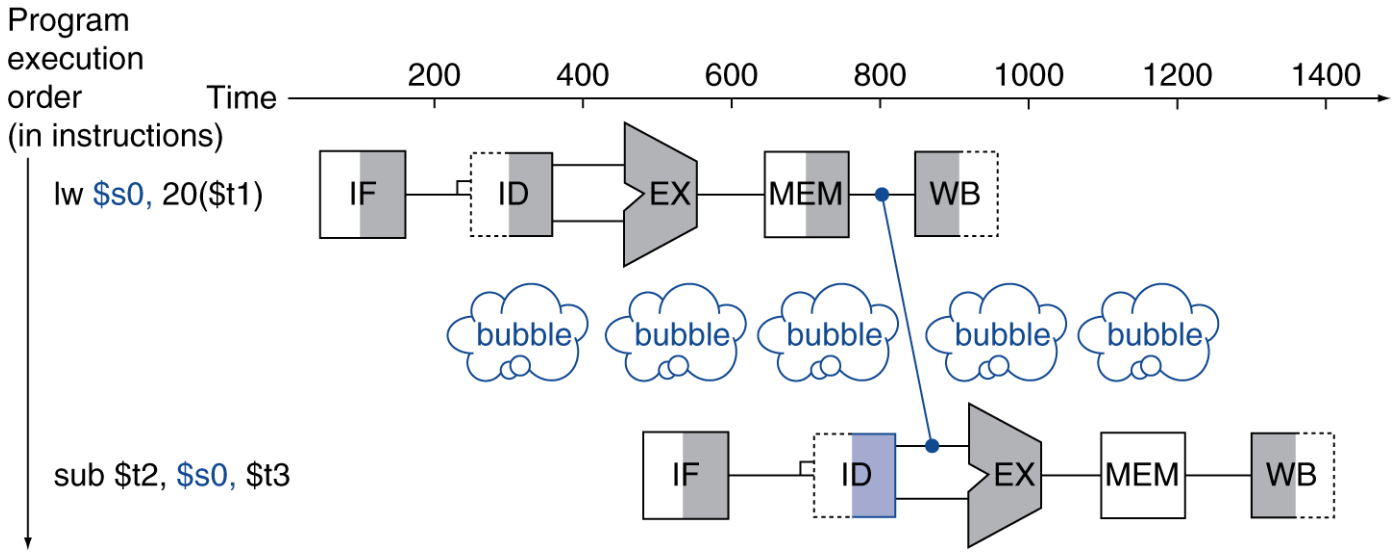
Forwarding (aka Bypassing)

- Use result when it is computed
  - Don't wait for it to be stored in a register
  - Requires extra connections in the datapath



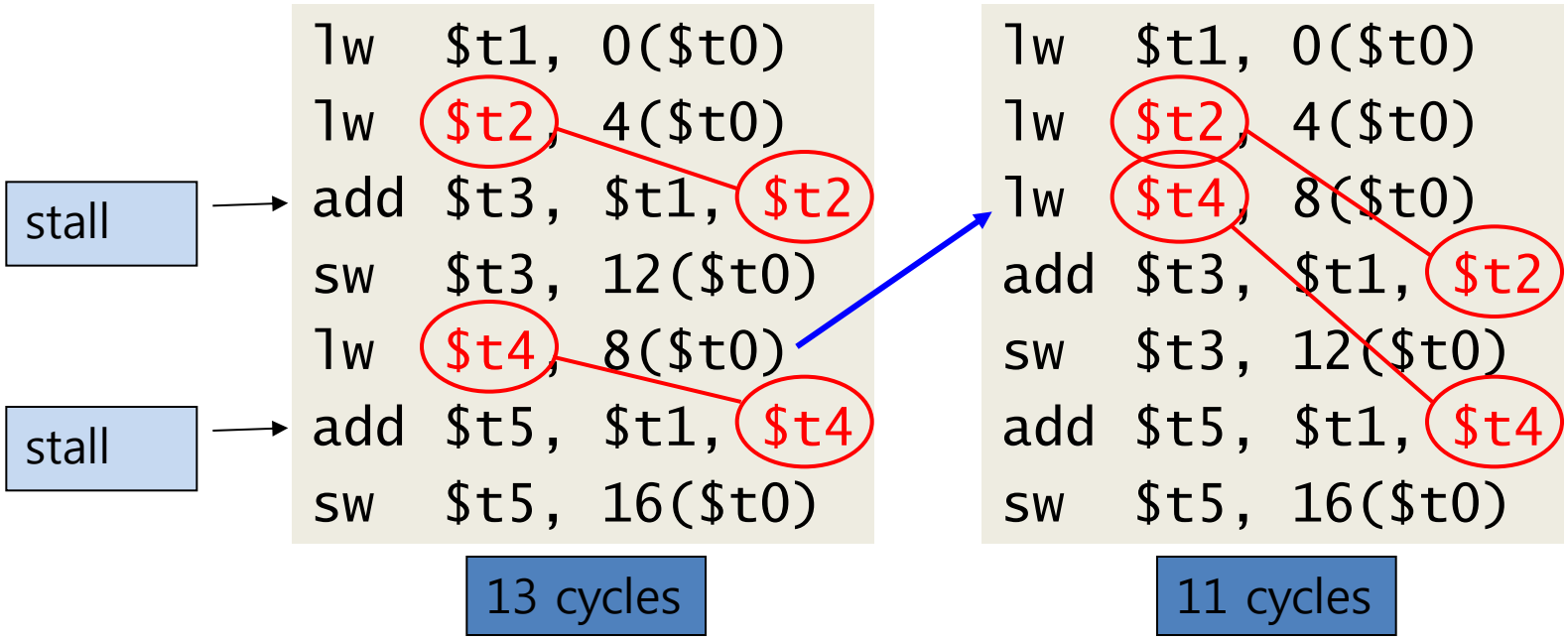
Load-Use Data Hazard

- Can't always avoid stalls by forwarding
  - If value not computed when needed
  - Can't forward backward in time!



Code Scheduling to Avoid Stalls

- Reorder code to avoid use of load result in the next instruction
- C code for `A = B + E; C = B + F;`

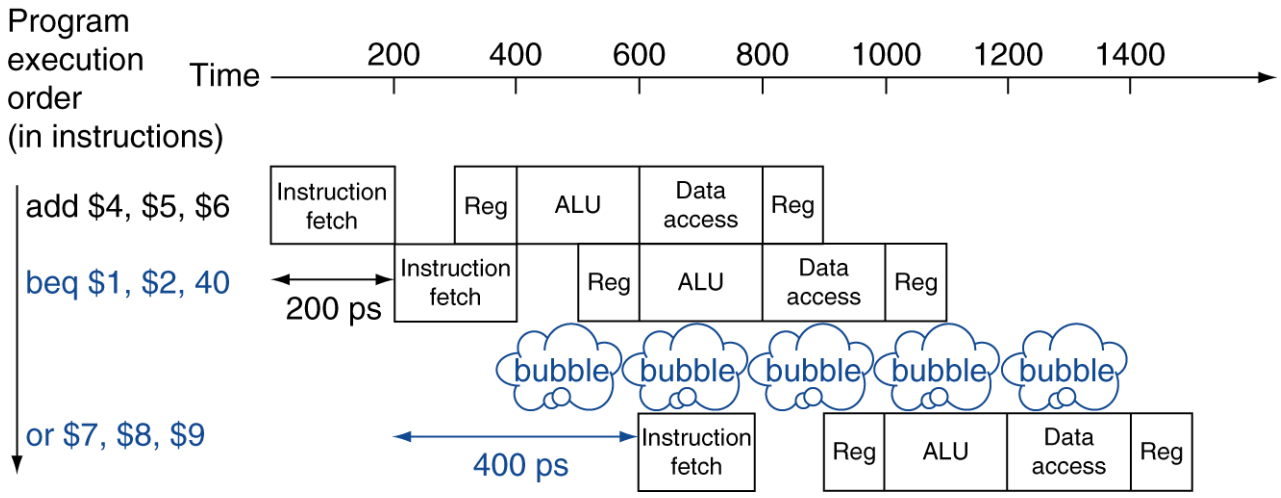


Control Hazards

- Branch determines flow of control
  - Fetching next instruction depends on branch outcome
  - Pipeline can't always fetch correct instruction
    - Still working on ID stage of branch
- In MIPS pipeline
  - Need to compare registers and compute target early in the pipeline
  - Add hardware to do it in ID stage

Stall on Branch

- Wait until branch outcome determined before fetching next instruction

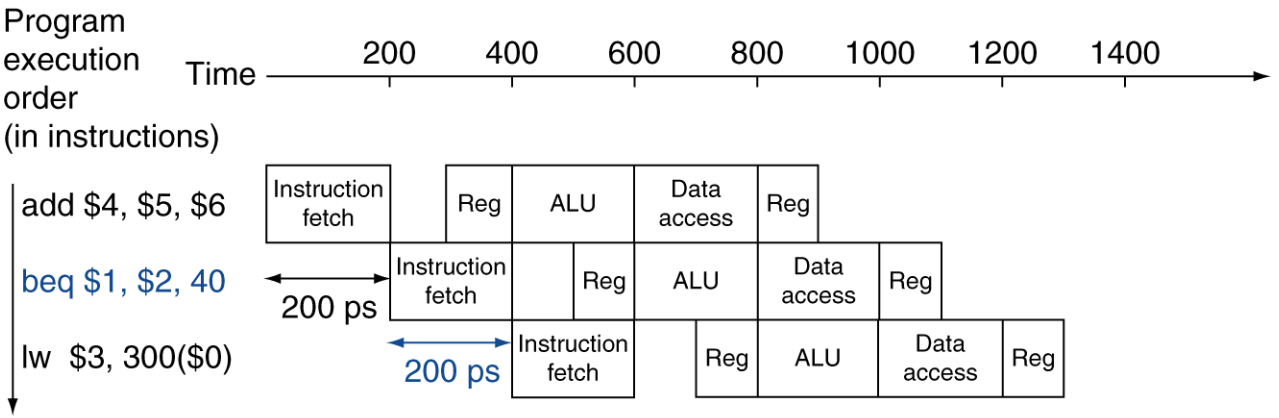


Branch Prediction

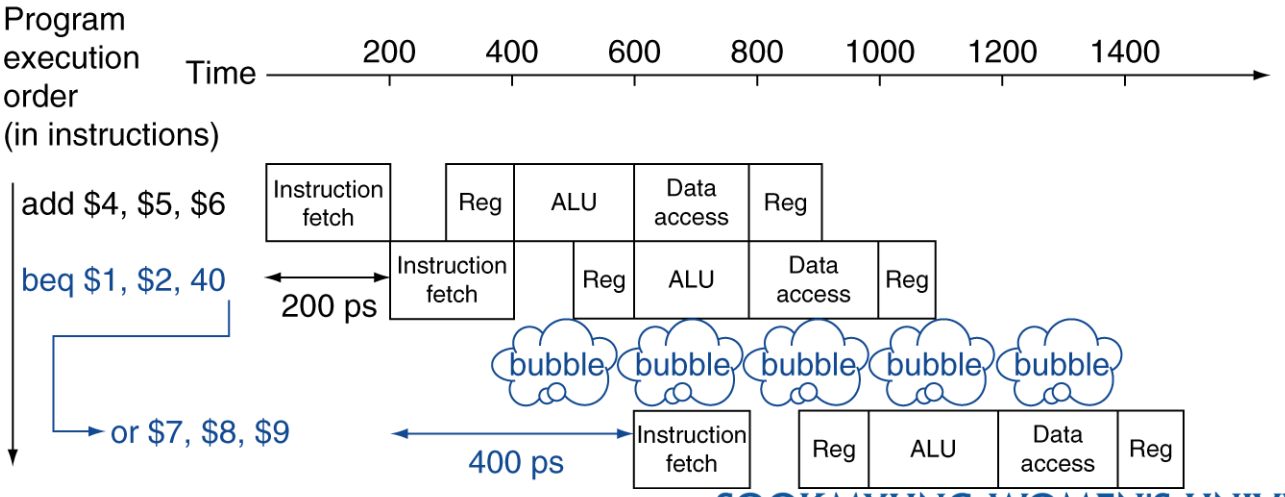
- Longer pipelines can't readily determine branch outcome early
  - Stall penalty becomes unacceptable
- Predict outcome of branch
  - Only stall if prediction is wrong
- In MIPS pipeline
  - Can predict branches not taken
  - Fetch instruction after branch, with no delay

MIPS with Predict Not Taken

Prediction correct



Prediction incorrect



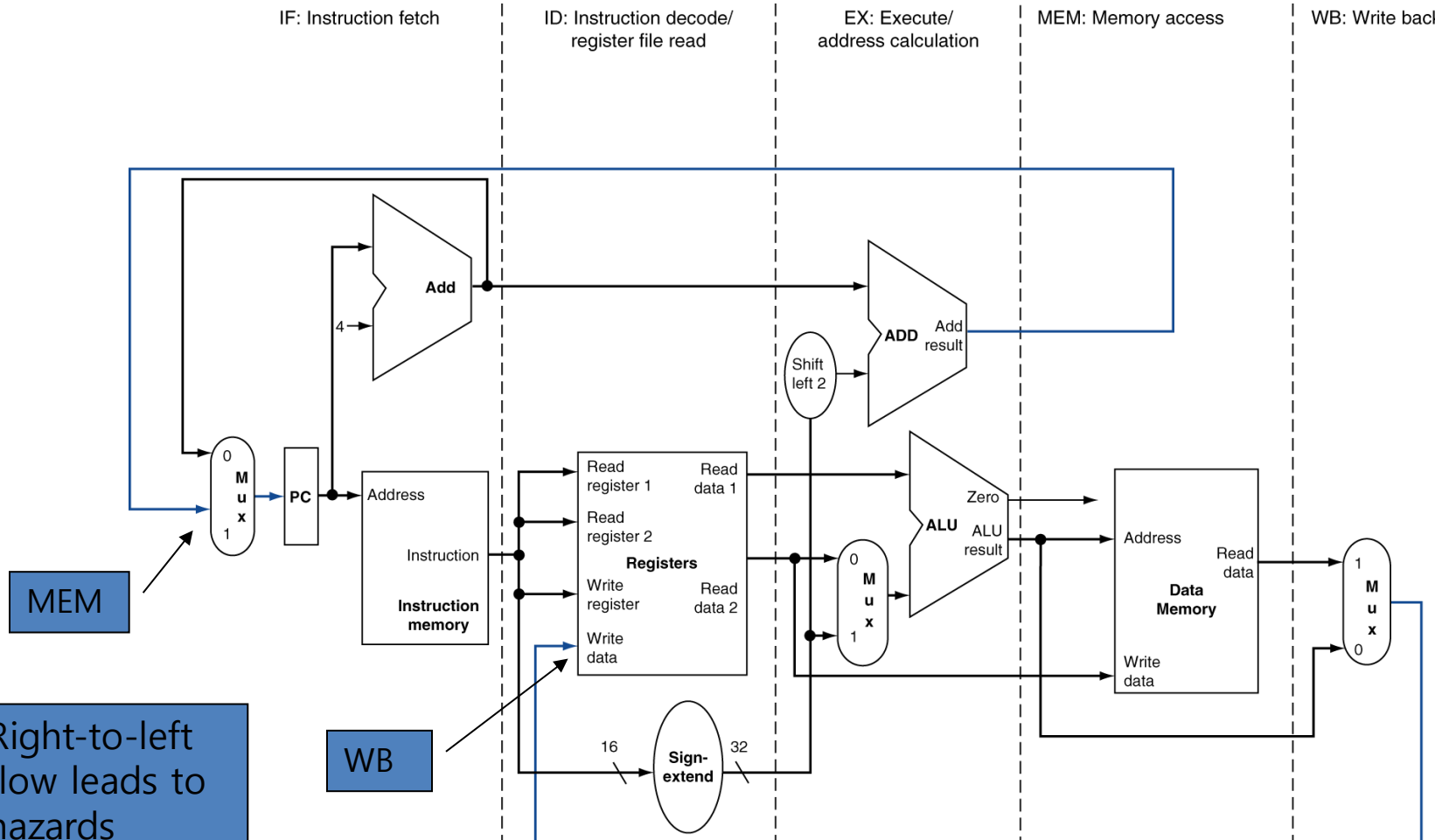
More-Realistic Branch Prediction

- Static branch prediction
  - Based on typical branch behavior
  - Example: loop and if-statement branches
    - Predict backward branches taken
    - Predict forward branches not taken
- Dynamic branch prediction
  - Hardware measures actual branch behavior
    - e.g., record recent history of each branch
  - Assume future behavior will continue the trend
    - When wrong, stall while re-fetching, and update history

Pipeline Summary

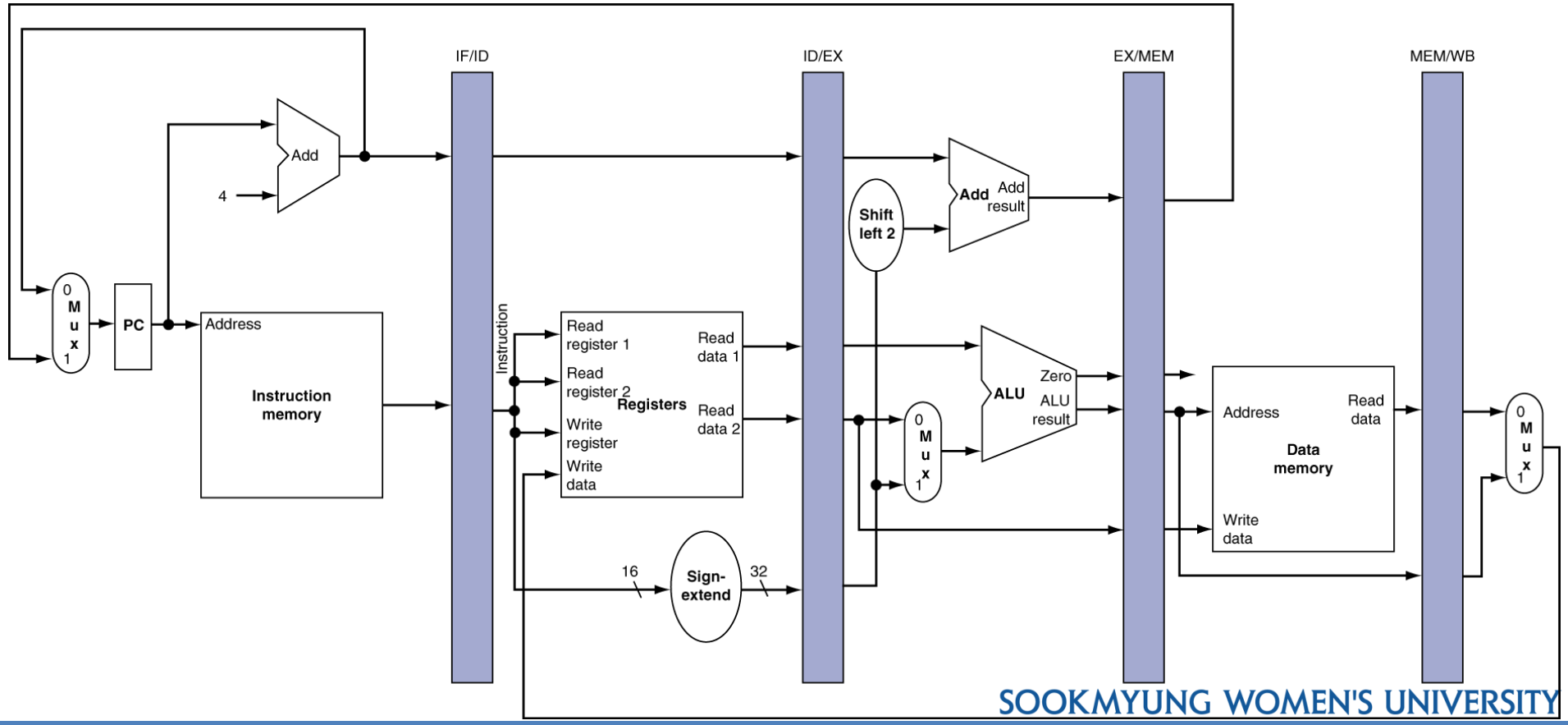
- Pipelining improves performance by increasing instruction throughput
  - Executes multiple instructions in parallel
  - Each instruction has the same latency
- Subject to hazards
  - Structure, data, control
- Instruction set design affects complexity of pipeline implementation

MIPS Piplelined Datapath



Pipeline registers

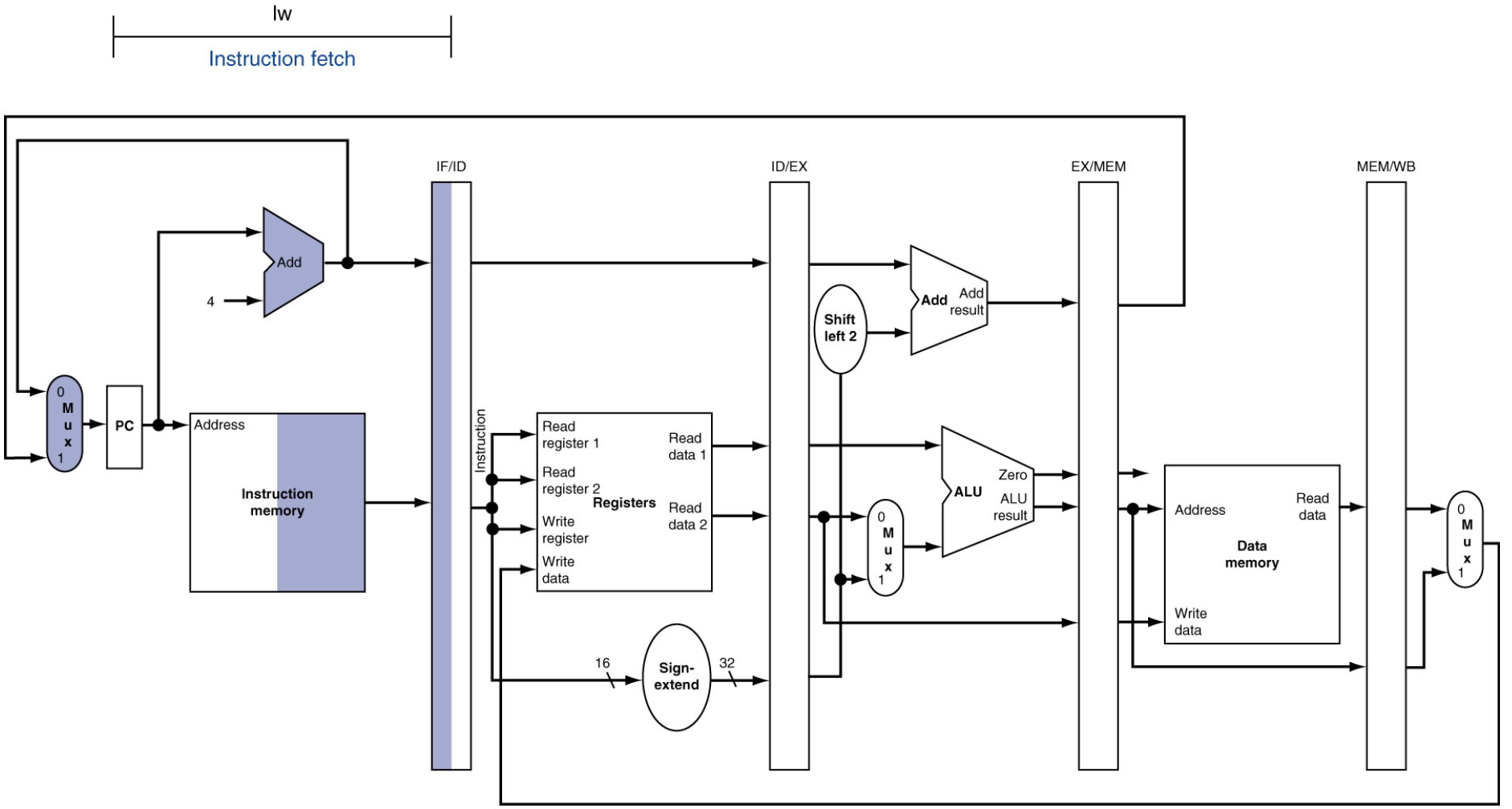
- Need registers between stages
  - To hold information produced in previous cycle



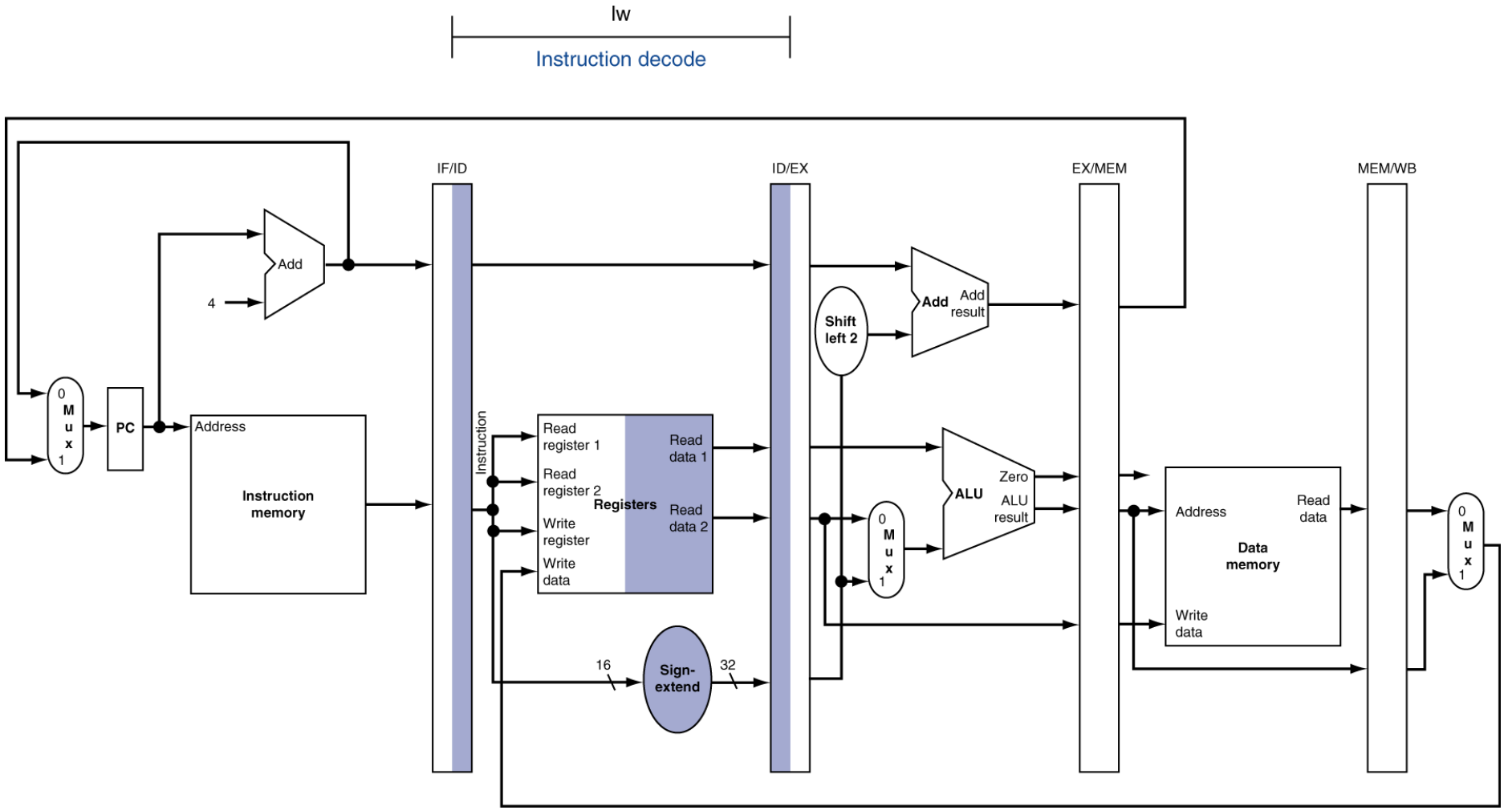
Pipeline Operation

- Cycle-by-cycle flow of instructions through the pipelined datapath
  - "Single-clock-cycle" pipeline diagram
    - Shows pipeline usage in a single cycle
    - Highlight resources used
  - c.f. "multi-clock-cycle" diagram
    - Graph of operation over time
- We'll look at "single-clock-cycle" diagrams for load & store

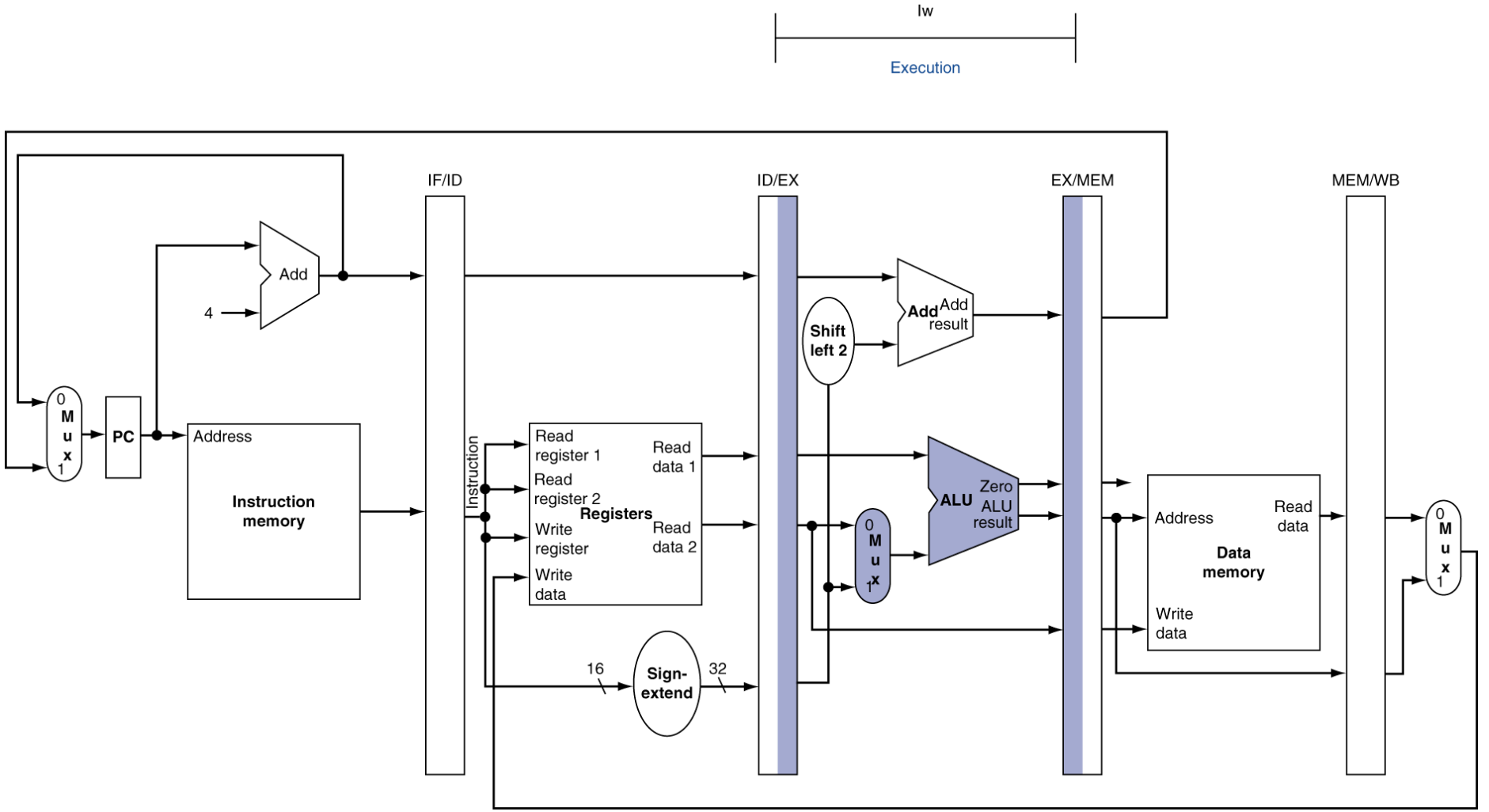
IF for Load, Store, …



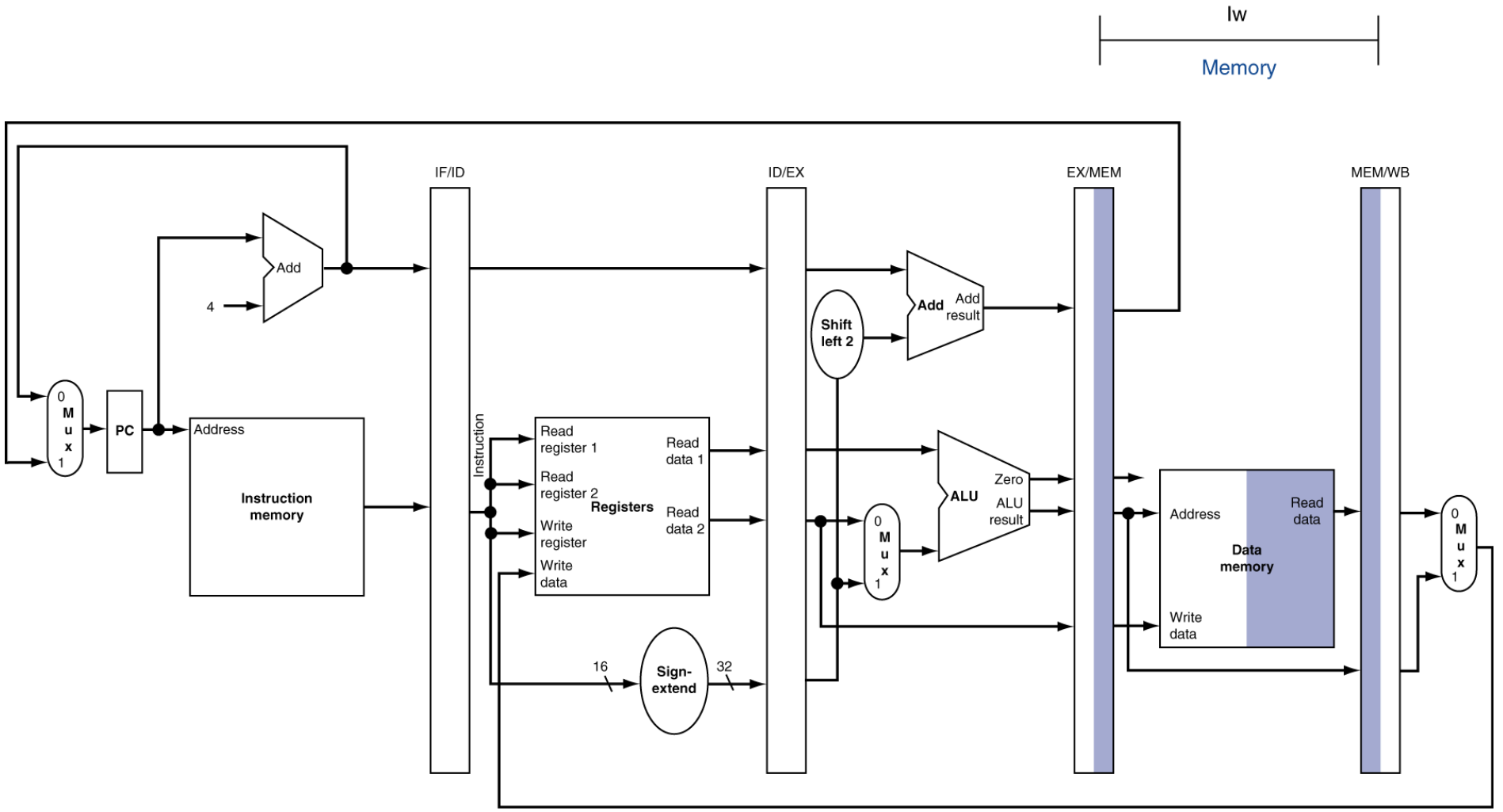
ID for Load, Store, …



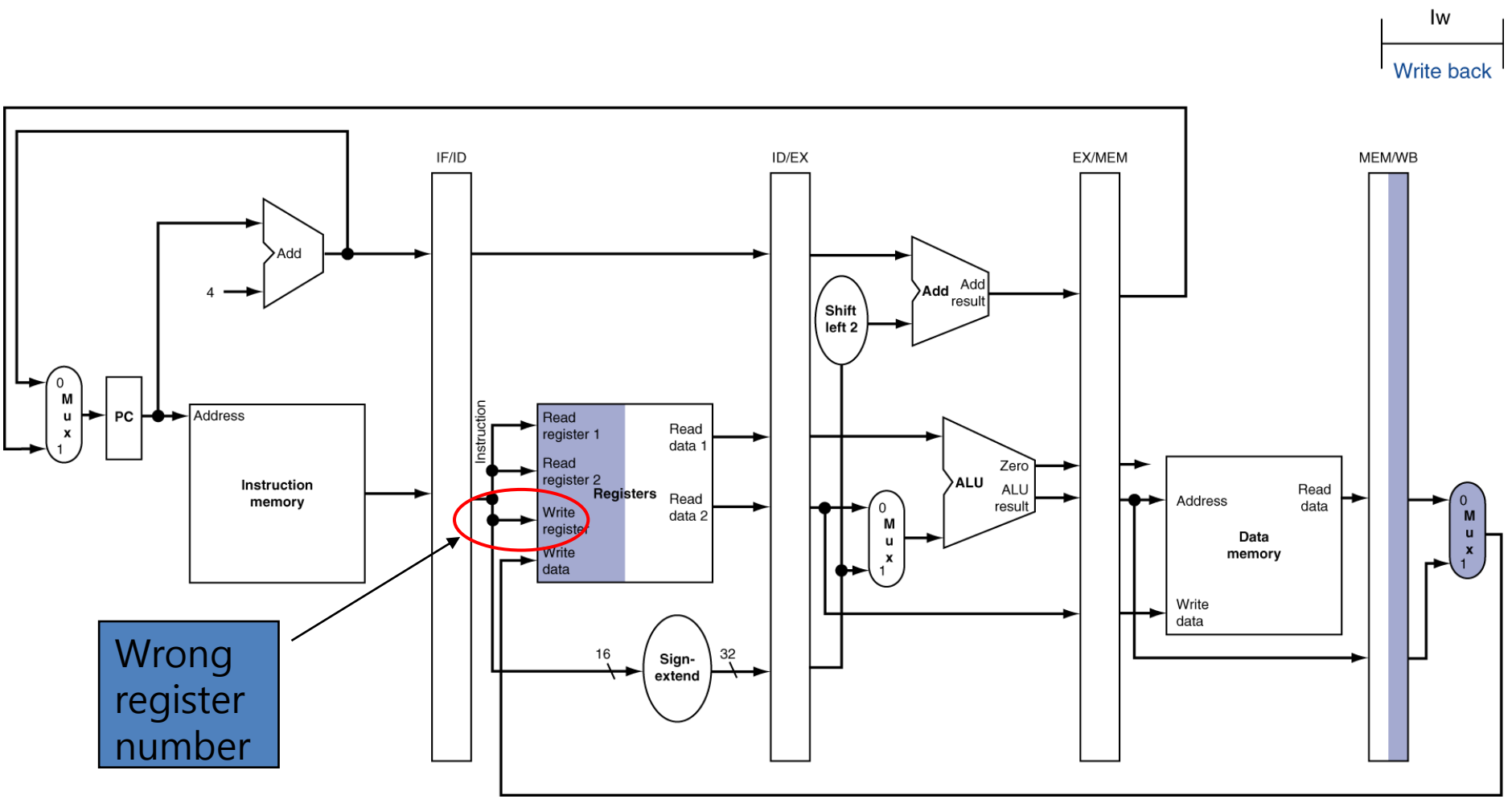
EX for Load


lw
Execution
IF/ID
ID/EX
EX/MEM
MEM/WB
Add
4
M
u
x
0
1
PC
Address
Instruction memory
Instruction
Read register 1
Read register 2
Registers
Write register
Write data
Read data 1
Read data 2
16
Sign-extend
32
Shift left 2
Add
Add result
ALU
Zero
ALU result
Address
Read data
Data memory
Write data

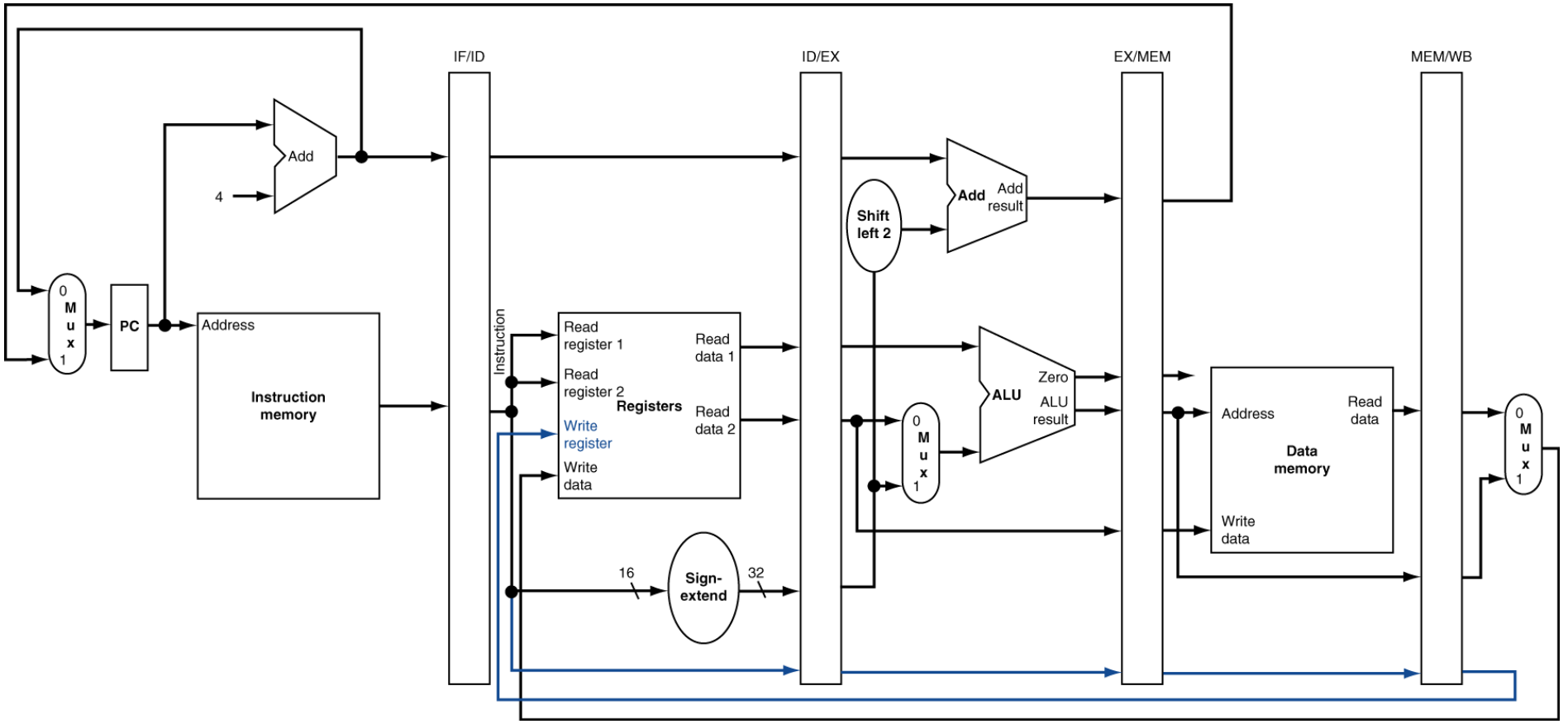
MEM for Load


lw
Memory
IF/ID
ID/EX
EX/MEM
MEM/WB
Add
4
M u x
0
1
PC
Address
Instruction memory
Instruction
Read register 1
Read register 2
Registers
Write register
Write data
Read data 1
Read data 2
Shift left 2
Add
Add result
ALU
Zero
ALU result
16
Sign-extend
32
Address
Data memory
Read data
Write data

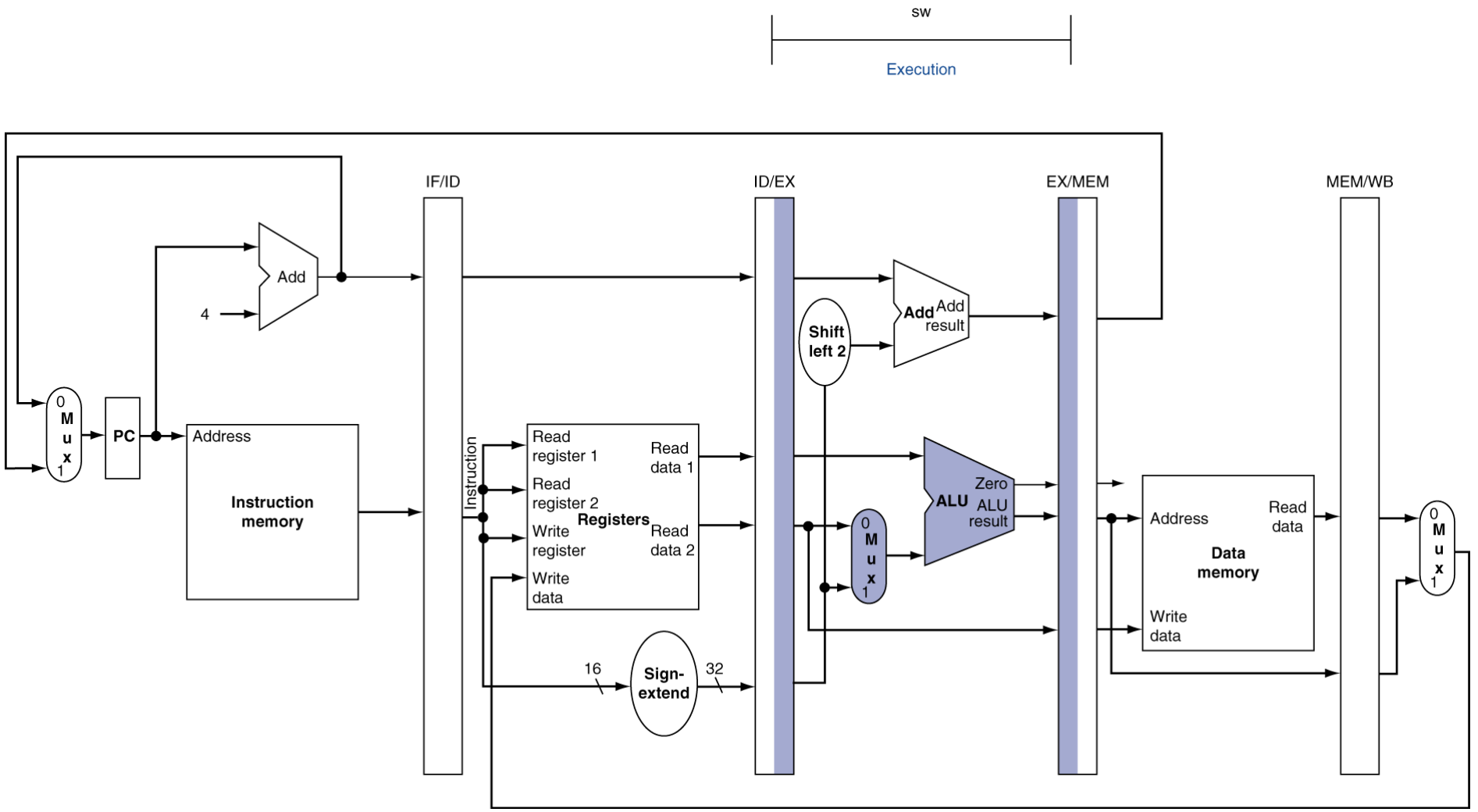
WB for Load


lw
Write back
IF/ID
ID/EX
EX/MEM
MEM/WB
Add
4
M u x
0
1
PC
Address
Instruction memory
Instruction
Read register 1
Read register 2
Registers
Write register
Write data
Read data 1
Read data 2
Shift left 2
Add
Add result
ALU
Zero
ALU result
16
Sign-extend
32
Address
Data memory
Read data
Write data
Wrong register number

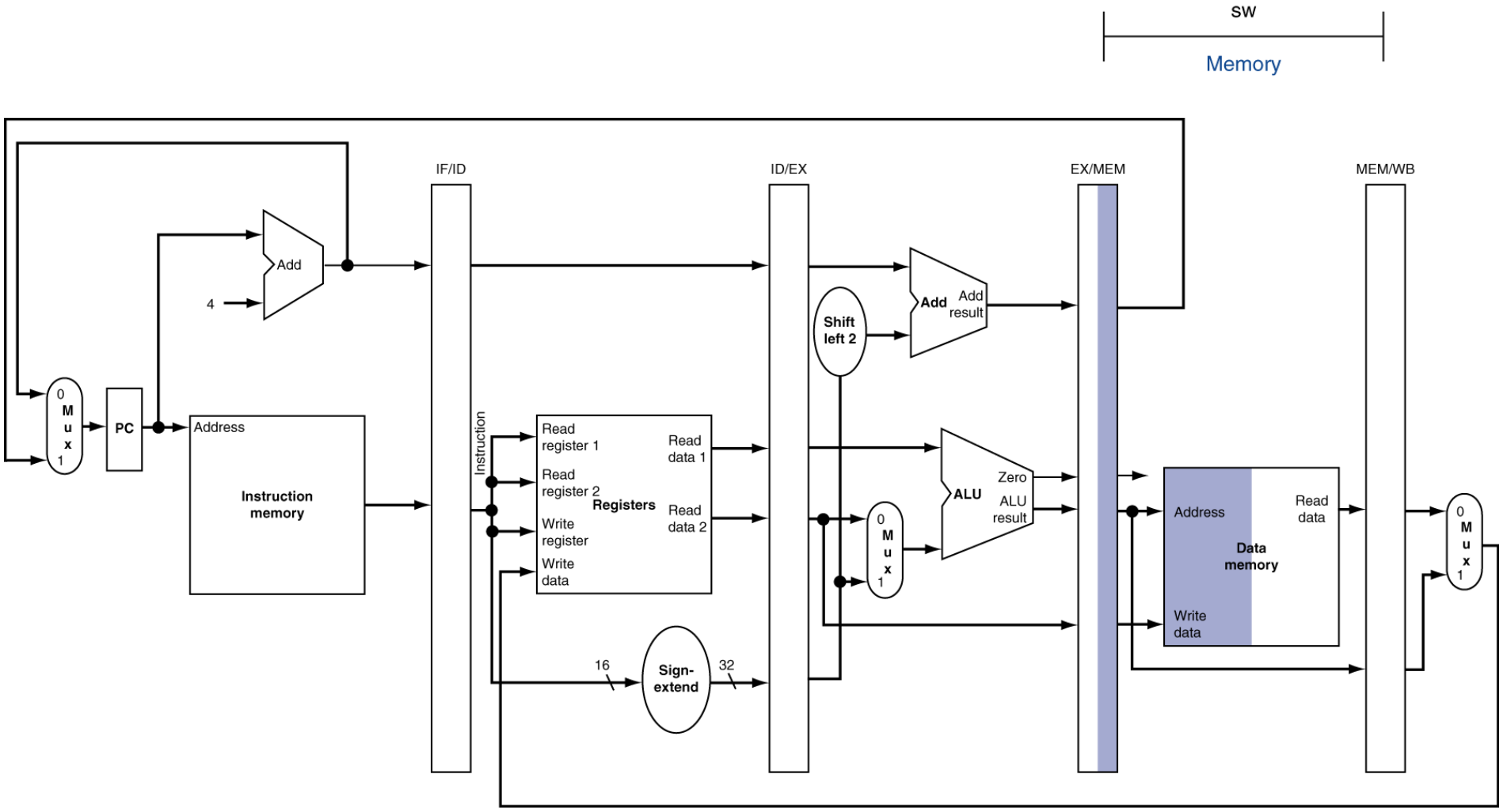
Corrected Datapath for Load



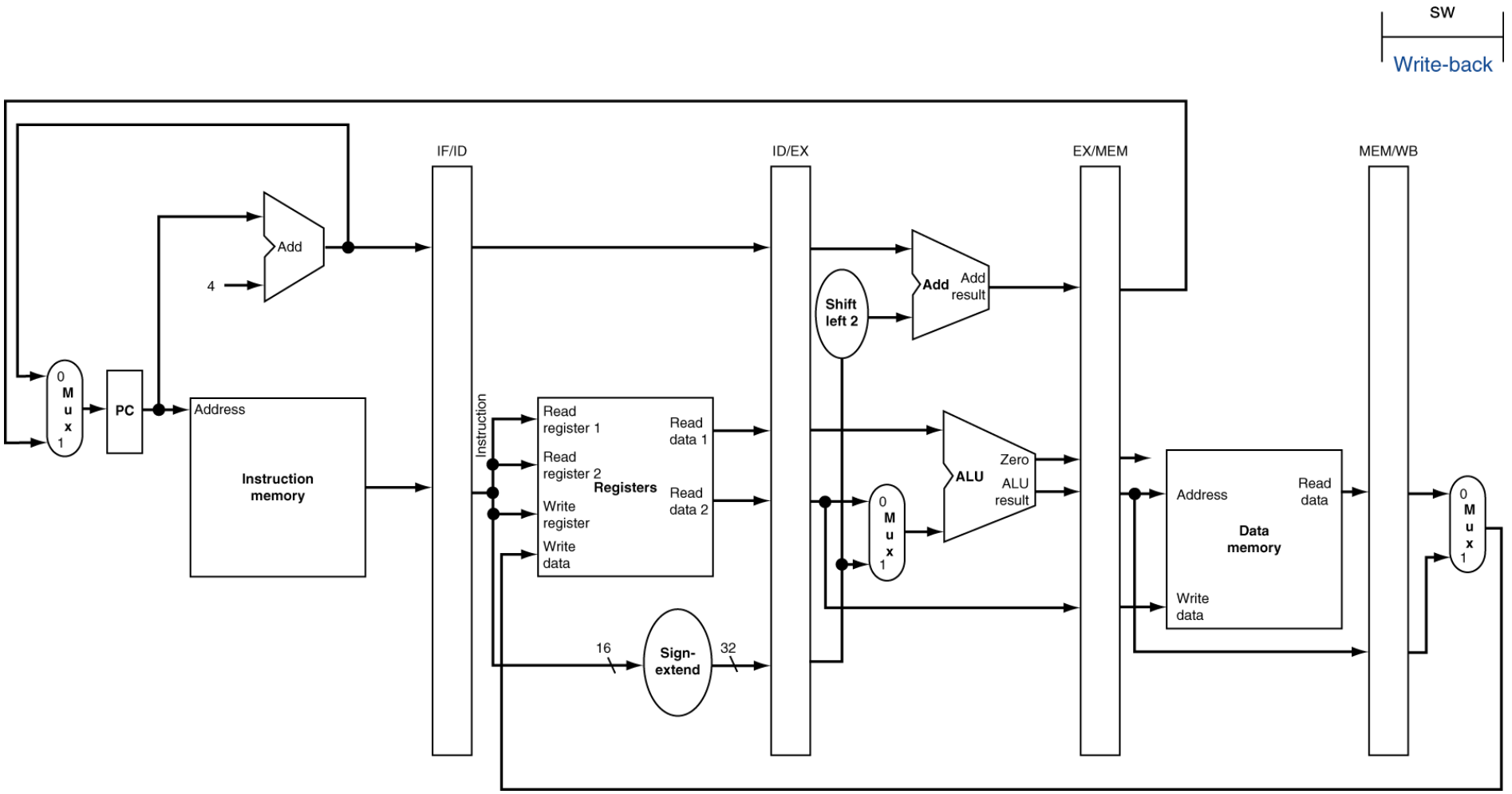
EX for Store


sw
Execution
IF/ID
ID/EX
EX/MEM
MEM/WB
Add
4
M u x
0
1
PC
Address
Instruction memory
Instruction
Read register 1
Read register 2
Write register
Write data
Registers
Read data 1
Read data 2
16
Sign-extend
32
Shift left 2
Add
Add result
ALU
Zero
ALU result
Address
Read data
Data memory
Write data

MEM for Store

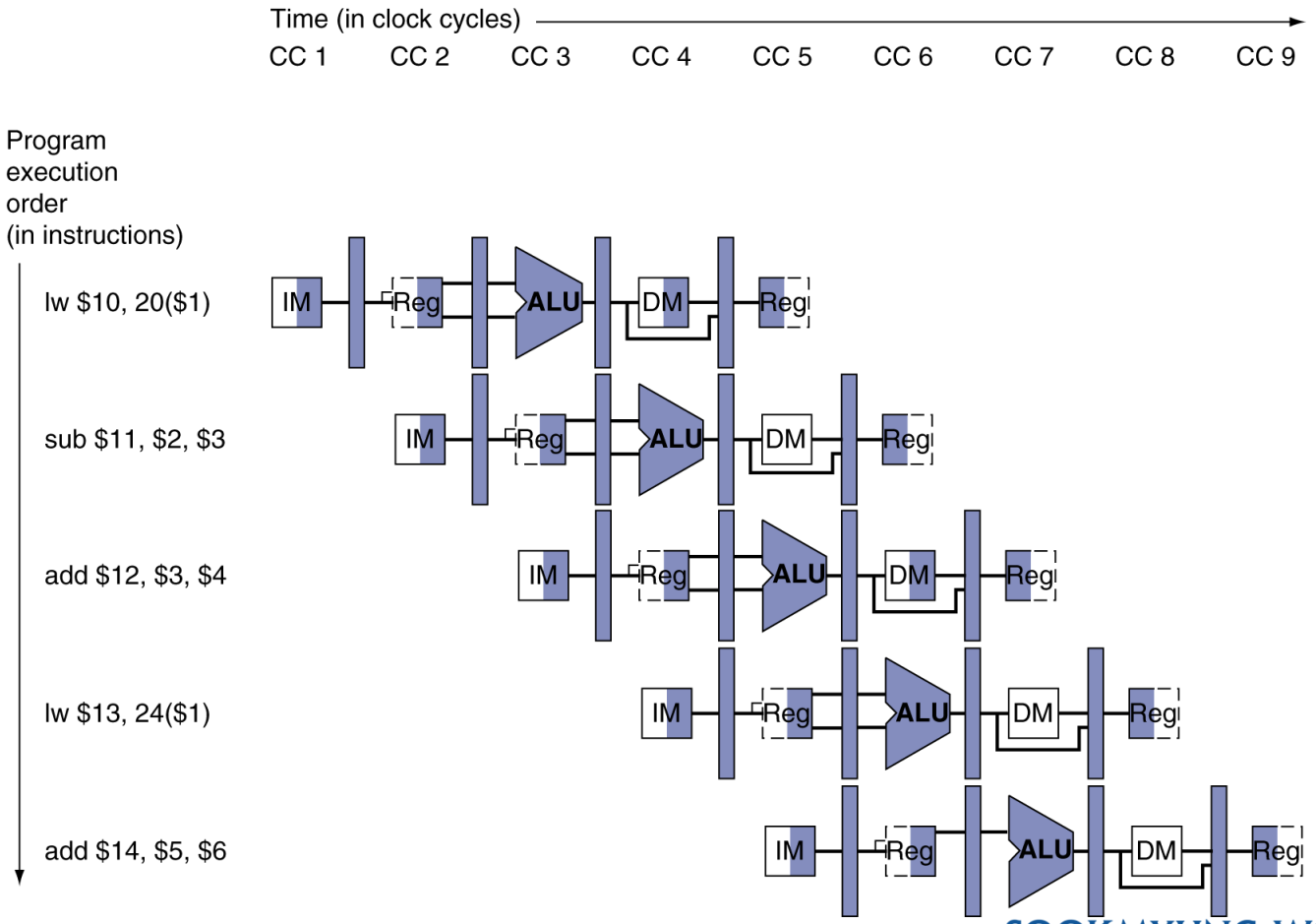


WB for Store


SW
Write-back
IF/ID
ID/EX
EX/MEM
MEM/WB
Add
4
M
u
x
0
1
PC
Address
Instruction memory
Instruction
Read register 1
Read register 2
Registers
Write register
Write data
Read data 1
Read data 2
Shift left 2
Add
Add result
ALU
Zero
ALU result
Address
Read data
Data memory
Write data
16
Sign-extend
32

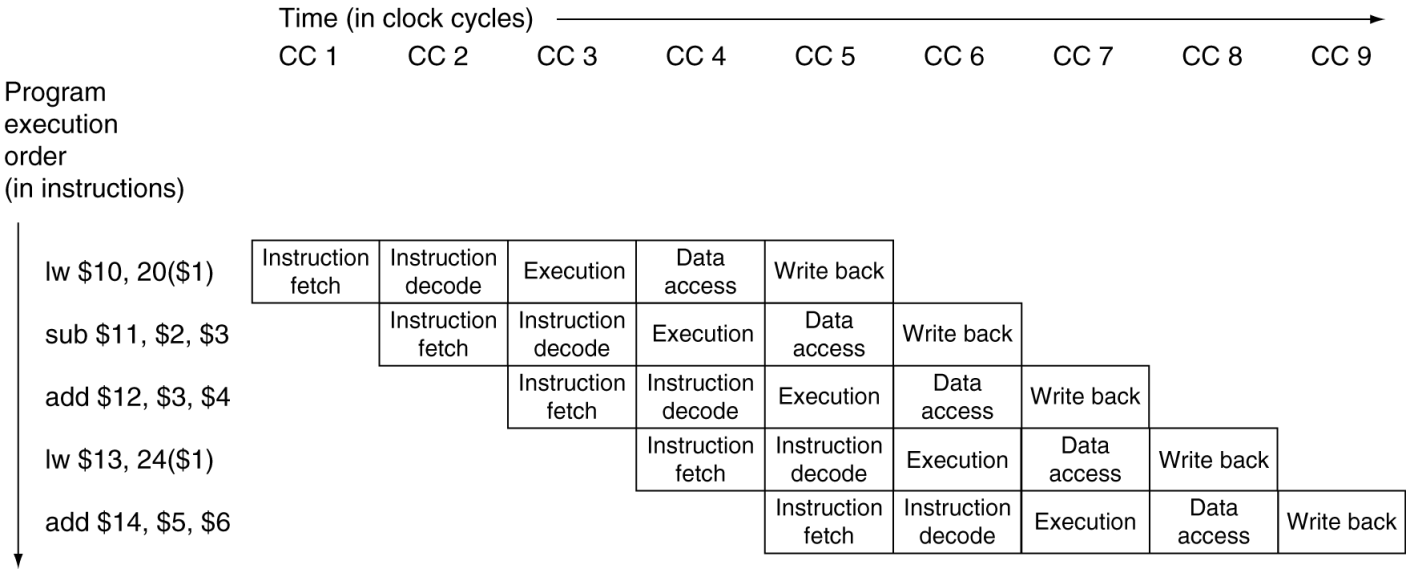
Multi-Cycle Pipeline Diagram

- Form showing resource usage



Multi-Cycle Pipeline Diagram

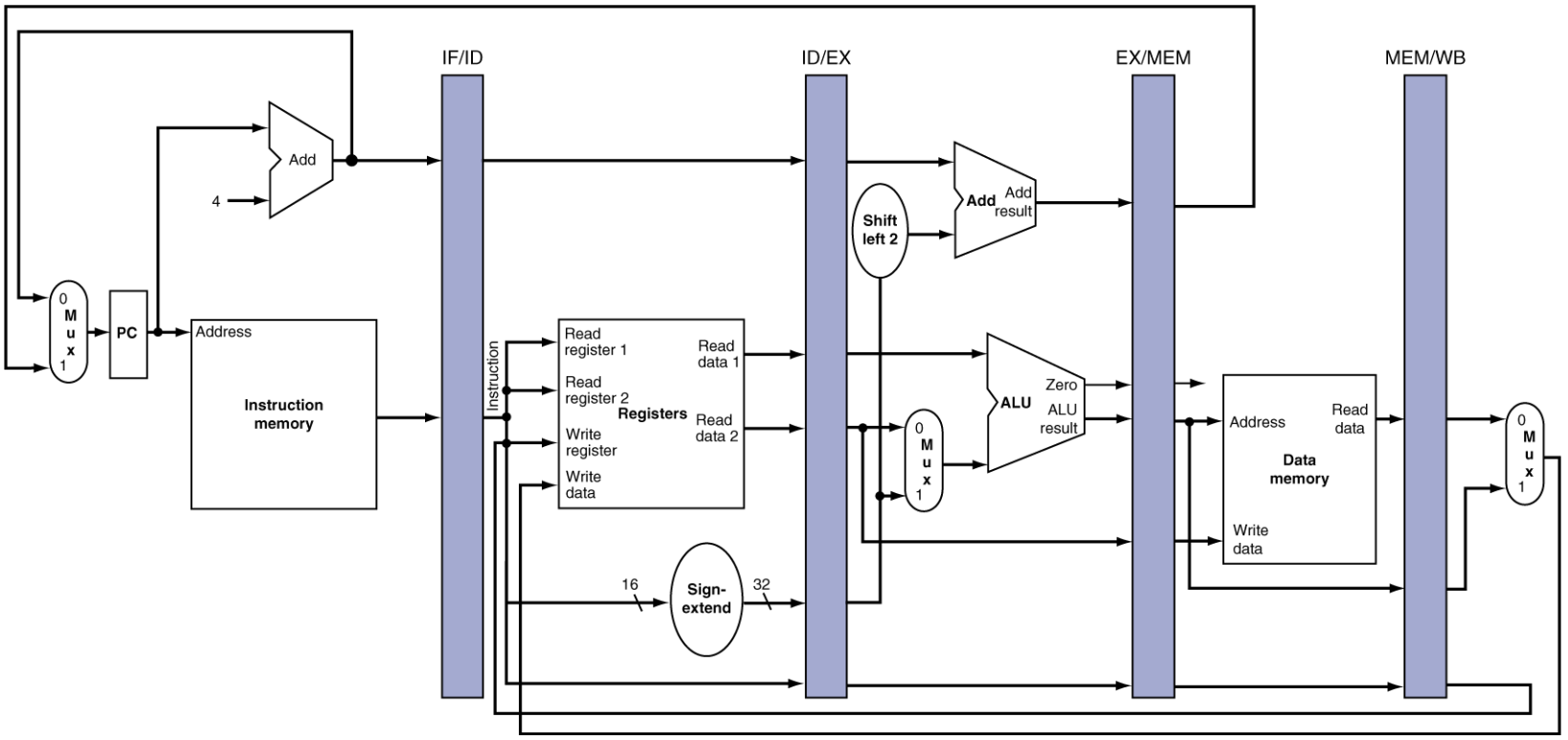
- Traditional form



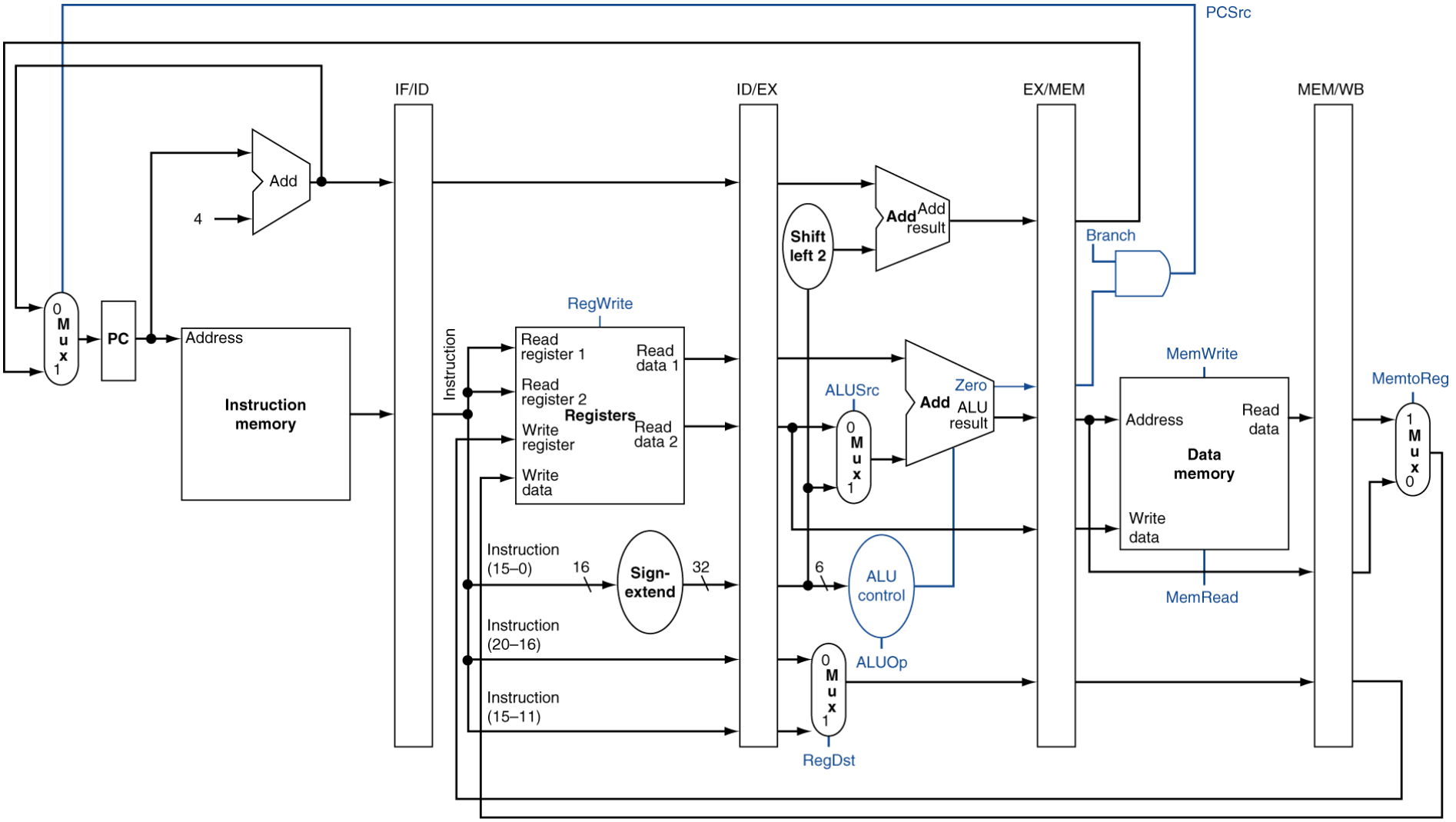
Single-Cycle Pipeline Diagram

- State of pipeline in a given cycle

add $14, $5, $6	lw $13, 24 ($1)	add $12, $3, $4	sub $11, $2, $3	lw $10, 20($1)
Instruction fetch	Instruction decode	Execution	Memory	Write-back

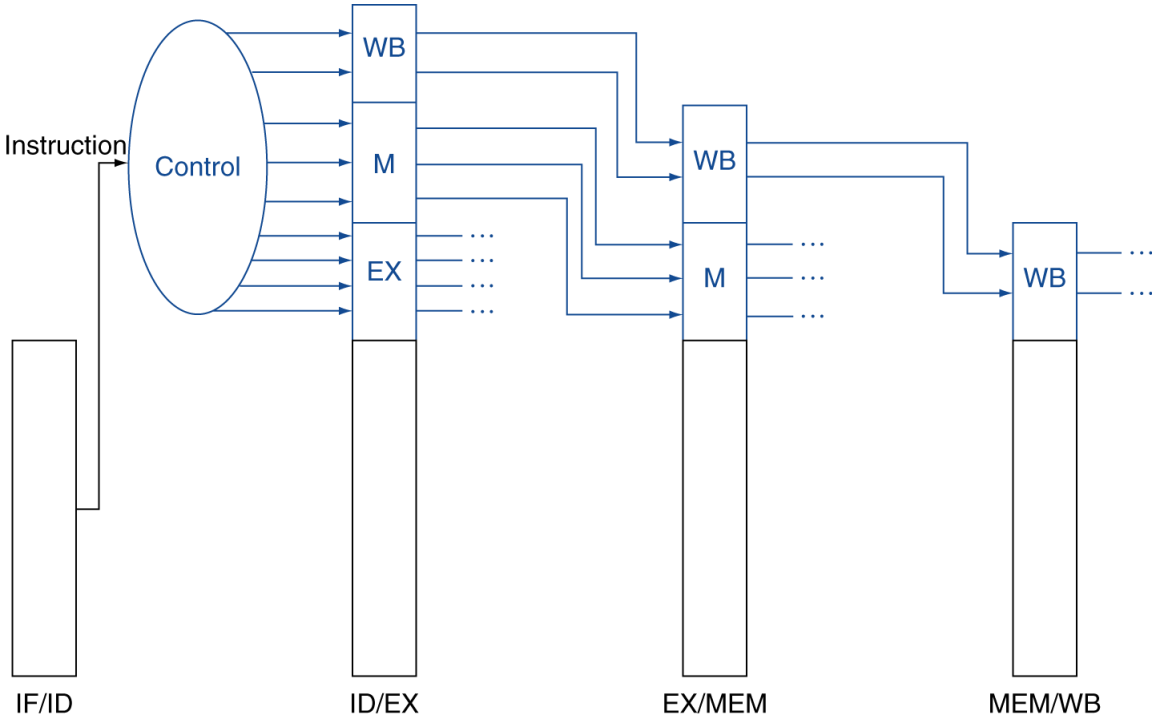


Piplelined Control

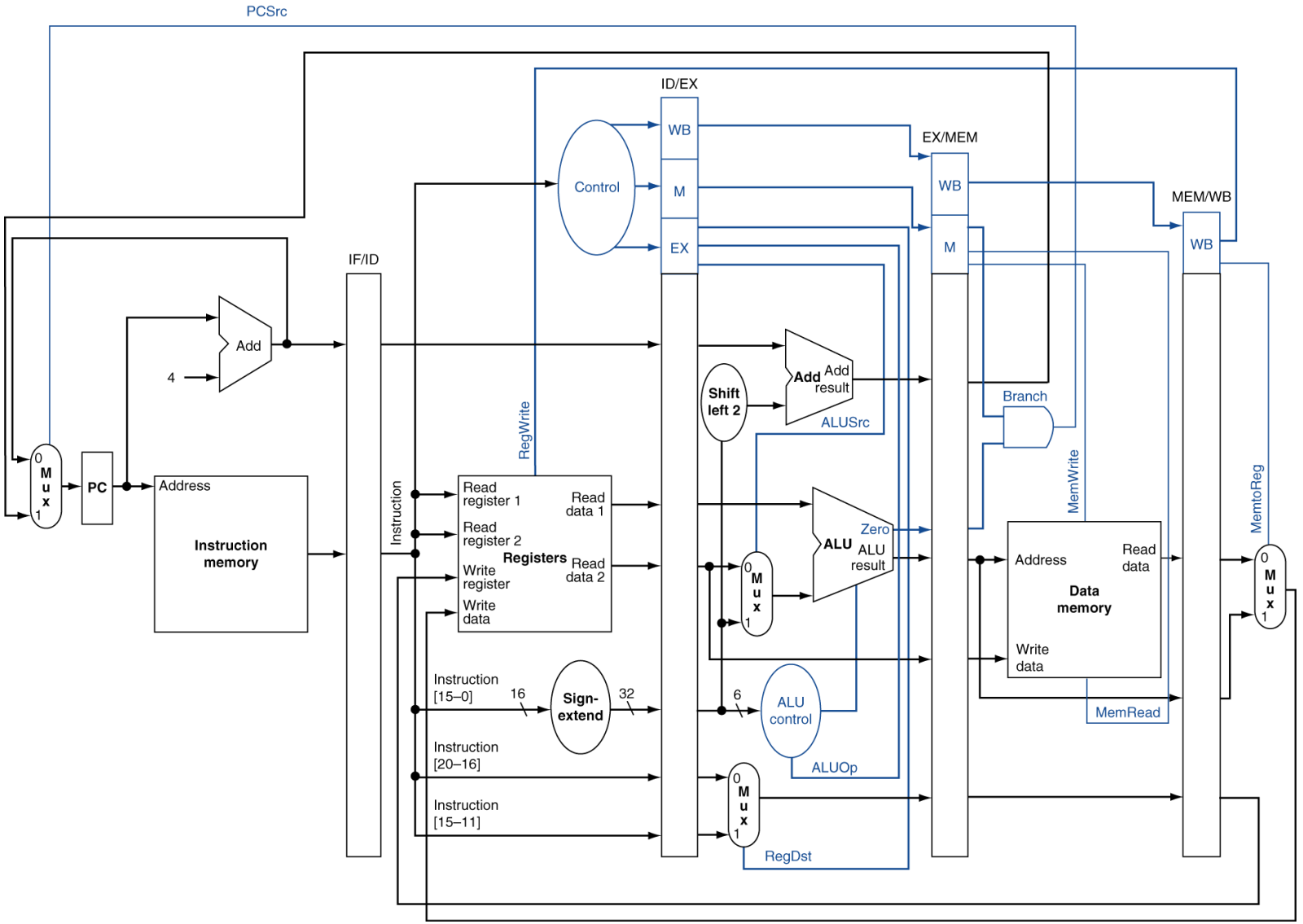


Pipelined Control

- Control signals derived from instruction
  - As in single-cycle implementation



Piplelined Control



Stalls and Performance

- Stalls reduce performance
  - But are required to get correct results
- Compiler can arrange code to avoid hazards and stalls
  - Requires knowledge of the pipeline structure

Fallacies

- Pipelining is easy (!)
  - The basic idea is easy
  - The devil is in the details
    - e.g., detecting data hazards
- Pipelining is independent of technology
  - So why haven't we always done pipelining?
  - More transistors make more advanced techniques feasible
  - Pipeline-related ISA design needs to take account of technology trends
    - e.g., predicated instructions

Pitfalls

- Poor ISA design can make pipelining harder
  - e.g., complex instruction sets (VAX, IA-32)
    - Significant overhead to make pipelining work
    - IA-32 micro-op approach
  - e.g., complex addressing modes
    - Register update side effects, memory indirection
  - e.g., delayed branches
    - Advanced pipelines have long delay slots

Concluding Remarks

- ISA influences design of datapath and control
- Datapath and control influence design of ISA
- Pipelining improves instruction throughput using parallelism
  - More instructions completed per second
  - Latency for each instruction not reduced
- Hazards: structural, data, control