

COMPILER DESIGN

Syntactic analysis – part II

First and follow sets

Recursive descent and table-driven predictive parsing

Generating FIRST and FOLLOW sets

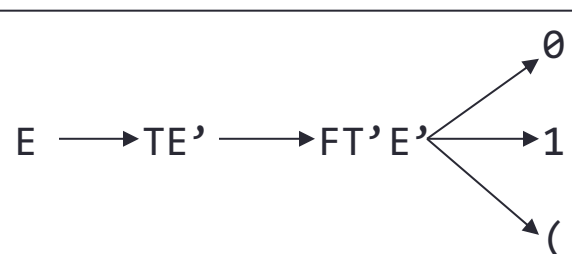
Generating FIRST sets

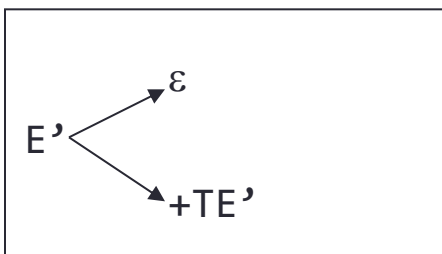
- If $\alpha \xRightarrow{*} \beta$, where β begins with a terminal symbol x , then $x \in \text{FIRST}(\alpha)$.
- Algorithmic definition:

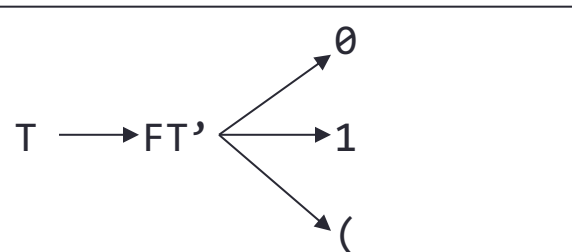
```
FIRST(A) =  
1. if ( (A ∈ T) ∨ (A is ε) )  
   then FIRST(A) = {A}  
2. if ( (A ∈ N) ∧ (A → S1S2...Sk ∈ R) | Si ∈ (N ∪ T) )  
   then 2.1. FIRST(A) ⊇ (FIRST(S1) - {ε})  
         2.2. if ∃ i < k (ε ∈ FIRST(S1), ..., FIRST(Si) )  
               then FIRST(A) ⊇ FIRST(Si+1)  
         2.3. if (ε ∈ FIRST(S1), ..., FIRST(Sk) )  
               then FIRST(A) ⊇ {ε}
```

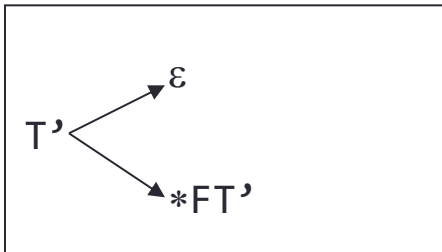
- Or, generate the **lookahead tree** for A

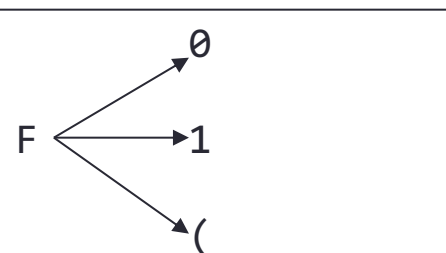
Example: lookahead trees

$$\begin{aligned}
 E &\rightarrow TE' \\
 E' &\rightarrow \varepsilon \mid +TE' \\
 T &\rightarrow FT' \\
 T' &\rightarrow \varepsilon \mid *FT' \\
 F &\rightarrow (E) \mid 0 \mid 1
 \end{aligned}$$


$$\text{FIRST}(E) = \{0, 1, (\}$$


$$\text{FIRST}(E') = \{\varepsilon, +\}$$


$$\text{FIRST}(T) = \{0, 1, (\}$$


$$\text{FIRST}(T') = \{\varepsilon, *\}$$


$$\text{FIRST}(F) = \{0, 1, (\}$$

Generating the FOLLOW sets

- FOLLOW(A) is the set of terminals that can come right after an **A** in any sentential form derivable from the grammar of the language.
- Algorithmic definition:

```
FOLLOW( A | A ∈ N ) =  
1. if ( A == S )  
   then ( FOLLOW(A) ⊇ { $ } )  
2. if ( B → αAβ ∈ R )  
   then ( FOLLOW(A) ⊇ ( FIRST(β) - { ε } ) )  
3. if ( ( B → αAβ ∈ R ) ∧ ( β  $\xRightarrow{*}$  ε ) )  
   then ( FOLLOW(A) ⊇ FOLLOW(B) )
```

Example

$E \rightarrow TE'$
 $E' \rightarrow \varepsilon \mid +TE'$
 $T \rightarrow FT'$
 $T' \rightarrow \varepsilon \mid *FT'$
 $F \rightarrow (E) \mid 0 \mid 1$

$FST(E) : \{ 0, 1, (\}$
 $FST(E') : \{ \varepsilon, + \}$
 $FST(T) : \{ 0, 1, (\}$
 $FST(T') : \{ \varepsilon, * \}$
 $FST(F) : \{ 0, 1, (\}$

$FLW(E) : \{ \$^1,)^4 \}$
 $FLW(E') : \{ \{ \$,) \}^6 \}$
 $FLW(T) : \{ \{ + \}^2, \{ \$,) \}^{5,7} \}$
 $FLW(T') : \{ \{ +, \$,) \}^9 \}$
 $FLW(F) : \{ \{ * \}^3, \{ +, \$,) \}^{8,10} \}$
 $: \{ \$,) \}$
 $: \{ \$,) \}$
 $: \{ +, \$,) \}$
 $: \{ +, \$,) \}$
 $: \{ *, +, \$,) \}$

1. (1) : $(A == S) \Rightarrow (FLW(A) \supseteq \{ \$ \})$: $FLW(E) \supseteq \{ \$ \}$
2. (2) : $E \rightarrow TE'$: $(B \rightarrow \alpha A \beta) \Rightarrow (FLW(A) \supseteq (FST(\beta) - \{ \varepsilon \}))$: $FLW(T) \supseteq (FST(E') - \{ \varepsilon \})$
 (2) : $E' \rightarrow +TE'$: $(B \rightarrow \alpha A \beta) \Rightarrow (FLW(A) \supseteq (FST(\beta) - \{ \varepsilon \}))$: $FLW(T) \supseteq (FST(E') - \{ \varepsilon \})$
3. (2) : $T \rightarrow FT'$: $(B \rightarrow \alpha A \beta) \Rightarrow (FLW(A) \supseteq (FST(\beta) - \{ \varepsilon \}))$: $FLW(F) \supseteq (FST(T') - \{ \varepsilon \})$
 (2) : $T' \rightarrow *FT'$: $(B \rightarrow \alpha A \beta) \Rightarrow (FLW(A) \supseteq (FST(\beta) - \{ \varepsilon \}))$: $FLW(F) \supseteq (FST(T') - \{ \varepsilon \})$
4. (2) : $F \rightarrow (E)$: $(B \rightarrow \alpha A \beta) \Rightarrow (FLW(A) \supseteq (FST(\beta) - \{ \varepsilon \}))$: $FLW(E) \supseteq (FST()) - \{ \varepsilon \}$
5. (3) : $E \rightarrow TE'$: $((B \rightarrow \alpha A \beta) \wedge (\varepsilon \in FST(\beta))) \Rightarrow (FLW(A) \supseteq FLW(B))$: $FLW(T) \supseteq FLW(E)$
6. (3) : $E \rightarrow TE'$: $((B \rightarrow \alpha A \beta) \wedge (\varepsilon \in FST(\beta))) \Rightarrow (FLW(A) \supseteq FLW(B))$: $FLW(E') \supseteq FLW(E)$
7. (3) : $E' \rightarrow +TE'$: $((B \rightarrow \alpha A \beta) \wedge (\varepsilon \in FST(\beta))) \Rightarrow (FLW(A) \supseteq FLW(B))$: $FLW(T) \supseteq FLW(E')$
 (3) : $E' \rightarrow +TE'$: $((B \rightarrow \alpha A \beta) \wedge (\varepsilon \in FST(\beta))) \Rightarrow (FLW(A) \supseteq FLW(B))$: $FLW(E') \supseteq FLW(E')$
8. (3) : $T \rightarrow FT'$: $((B \rightarrow \alpha A \beta) \wedge (\varepsilon \in FST(\beta))) \Rightarrow (FLW(A) \supseteq FLW(B))$: $FLW(F) \supseteq FLW(T)$
9. (3) : $T \rightarrow FT'$: $((B \rightarrow \alpha A \beta) \wedge (\varepsilon \in FST(\beta))) \Rightarrow (FLW(A) \supseteq FLW(B))$: $FLW(T') \supseteq FLW(T)$
10. (3) : $T' \rightarrow *FT'$: $((B \rightarrow \alpha A \beta) \wedge (\varepsilon \in FST(\beta))) \Rightarrow (FLW(A) \supseteq FLW(B))$: $FLW(F) \supseteq FLW(T')$
 (3) : $T' \rightarrow *FT'$: $((B \rightarrow \alpha A \beta) \wedge (\varepsilon \in FST(\beta))) \Rightarrow (FLW(A) \supseteq FLW(B))$: $FLW(T') \supseteq FLW(T')$

$FOLLOW(A \mid A \in N) =$

1. if ($A == S$)
 then ($FOLLOW(A) \supseteq \{ \$ \}$)
2. if ($B \rightarrow \alpha A \beta \in R$)
 then ($FOLLOW(A) \supseteq (FIRST(\beta) - \{ \varepsilon \})$)
3. if ($(B \rightarrow \alpha A \beta \in R) \wedge (\beta \Rightarrow \varepsilon)$)
 then ($FOLLOW(A) \supseteq FOLLOW(B)$)

Recursive descent predictive parsing

Method

- Build FIRST and FOLLOW sets
- For each non-terminal, we have a corresponding function
- In each function, for each possible right-hand-side of the corresponding productions, we have a possible path to follow.
- The choice is made according to the FIRST set of the right hand sides.
- If one of the alternatives is of the form $\mathbf{A} \rightarrow \epsilon$, the path is followed according to the FOLLOW set of the left-hand-side of the production.
- If no valid path is found, the function returns *false*.
- If any of the paths is followed without error, the function returns *true*.

Constructing the parser

- Main parser function is:

```
parse(){  
    lookahead = nextToken()  
    if (startSymbol() ^ match("$") ) return(true)  
    else return(false)}
```

- function to match tokens with the lookahead symbol (next token in input):

```
match(token){  
    if (lookahead == token)  
        lookahead = nextToken(); return(true)  
    else  
        lookahead = nextToken(); return(false)}
```

Constructing the parser

- For each non-terminal in the grammar, we construct a parsing function. All parsing functions have the same form:

```
LHS(){  
    if (lookahead ∈ FIRST(RHS1) )  
        if (non-terminals() ∧ match(terminals) )  
            write("LHS→RHS1") ; return(true)  
        else return(false)  
    else if (lookahead ∈ FIRST(RHS2) )  
        if (non-terminals() ∧ match(terminals) )  
            write("LHS→RHS2") ; return(true)  
        else return(false)  
    else if ...  
    else if (lookahead ∈ FOLLOW(LHS) )  
        write("LHS→ε") ; return(true)  
    else  
        return(false)}
```

// LHS→RHS1 | RHS2 | ... | ε
// LHS→RHS1

// LHS→RHS2

// other right hand sides
// only if LHS→ε exists

Example

```
E → TE'  
E' → ε | +TE'  
T → FT'  
T' → ε | *FT'  
F → ( E ) | 0 | 1
```

```
E(){ // E→TE'  
    if (lookahead ∈ FIRST(TE') )  
        if (T() ∧ E'() )  
            write("E→TE' ") ; return(true)  
        else return(false)  
    else  
        return(false)}
```

Example

```
E → TE'  
E' → ε | +TE'  
T → FT'  
T' → ε | *FT'  
F → ( E ) | 0 | 1
```

```
E'(){ // E'→+TE' | ε  
    if (lookahead ∈ FIRST(+TE') )  
        if ( match('+') ∧ T() ∧ E'() )  
            write("E'→+TE' ") ; return(true)  
        else return(false)  
    else if (lookahead ∈ FOLLOW(E') )  
        write("E'→ε") ; return(true)  
    else  
        return(false)}
```

Example

```
E → TE'
E' → ε | +TE'
T → FT'
T' → ε | *FT'
F → ( E ) | 0 | 1
```

```
T(){ // T→FT'
    if (lookahead ∈ FIRST(FT') )
        if (F() ∧ T'() )
            write("T→FT' ") ; return(true)
        else return(false)
    else
        return(false)}
```

Example

```
E → TE'
E' → ε | +TE'
T → FT'
T' → ε | *FT'
F → ( E ) | 0 | 1
```

```
T'(){ // T'→*FT' | ε
    if (lookahead ∈ FIRST(*FT') )
        if ( match('*') ∧ F() ∧ T'() )
            write("T'→*FT' ") ; return(true)
        else return(false)
    else if (lookahead ∈ FOLLOW(T') )
        write("T'→ε") ; return(true)
    else
        return(false)}
```

Example

```
E → TE'  
E' → ε | +TE'  
T → FT'  
T' → ε | *FT'  
F → ( E ) | 0 | 1
```

```
F(){ // F → 0 | 1 | (E)  
    if (lookahead ∈ FIRST(0) )  
        if ( match('0') )  
            write("F→0") ; return(true)  
        else return(false)  
    else if (lookahead ∈ FIRST(1) )  
        if ( match('1') )  
            write("F→1") ; return(true)  
        else return(false)  
    else if (lookahead ∈ FIRST((E)) )  
        if ( match('(') ∧ E() ∧ match(')') )  
            write("F→(E)") ; return(true)  
        else return(false)  
    else  
        return(false)}
```

Table-driven predictive parsing

Method

- Build FIRST and FOLLOW sets
- Build the parser table
- Implement the parser algorithm

Building the parsing table

- Algorithm:

```
1.  $\forall p : ( (p \in R) \wedge (p : A \rightarrow \alpha) )$   
   do steps 2 and 3  
2.  $\forall t : ( (t \in T) \wedge (t \in \text{FIRST}(\alpha)) )$   
   add  $A \rightarrow \alpha$  to  $TT[A, t]$   
3. if  $( \varepsilon \in \text{FIRST}(\alpha) )$   
    $\forall t : ( (t \in T) \wedge (t \in \text{FOLLOW}(A)) )$   
       add  $A \rightarrow \alpha$  to  $TT[A, t]$   
4.  $\forall e : ( (e \in TT) \wedge (e == \emptyset) )$   
   add “error” to e
```

Building the parsing table

$$\begin{aligned} E &\rightarrow TE' \\ E' &\rightarrow \varepsilon \mid +TE' \\ T &\rightarrow FT' \\ T' &\rightarrow \varepsilon \mid *FT' \\ F &\rightarrow (E) \mid 0 \mid 1 \end{aligned}$$

$$\begin{aligned} \text{FST}(E) &: \{ 0, 1, (\} \\ \text{FST}(E') &: \{ \varepsilon, + \} \\ \text{FST}(T) &: \{ 0, 1, (\} \\ \text{FST}(T') &: \{ \varepsilon, * \} \\ \text{FST}(F) &: \{ 0, 1, (\} \end{aligned}$$

$$\begin{aligned} \text{FLW}(E) &: \{ \$,) \} \\ \text{FLW}(E') &: \{ \$,) \} \\ \text{FLW}(T) &: \{ +, \$,) \} \\ \text{FLW}(T') &: \{ +, \$,) \} \\ \text{FLW}(F) &: \{ *, +, \$,) \} \end{aligned}$$

1. $\forall p : ((p \in R) \wedge (p : A \rightarrow \alpha))$
do steps 2 and 3
2. $\forall t : ((t \in T) \wedge (t \in \text{FIRST}(\alpha)))$
add $A \rightarrow \alpha$ to $\text{TT}[A, t]$
3. if $(\varepsilon \in \text{FIRST}(\alpha))$
 $\forall t : ((t \in T) \wedge (t \in \text{FOLLOW}(A)))$
add $A \rightarrow \alpha$ to $\text{TT}[A, t]$
4. $\forall e : ((e \in \text{TT}) \wedge (e == \emptyset))$
add “error” to e

r1: $E \rightarrow TE'$:	$\text{FIRST}(TE') = \{0, 1, (\}$:	$\text{TT}[E, 0][E, 1][E, (]$
r2: $E' \rightarrow +TE'$:	$\text{FIRST}(+TE') = \{+ \}$:	$\text{TT}[E', +]$
r3: $E' \rightarrow \varepsilon$:	$\text{FOLLOW}(E') = \{ \$,) \}$:	$\text{TT}[E', \$][E',)]$
r4: $T \rightarrow FT'$:	$\text{FIRST}(FT') = \{0, 1, (\}$:	$\text{TT}[T, 0][T, 1][T, (]$
r5: $T' \rightarrow *FT'$:	$\text{FIRST}(*FT') = \{ * \}$:	$\text{TT}[T', *]$
r6: $T' \rightarrow \varepsilon$:	$\text{FOLLOW}(T') = \{ +, \$,) \}$:	$\text{TT}[T', +][T', \$][T',)]$
r7: $F \rightarrow 0$:	$\text{FIRST}(0) = \{ 0 \}$:	$\text{TT}[F, 0]$
r8: $F \rightarrow 1$:	$\text{FIRST}(1) = \{ 1 \}$:	$\text{TT}[F, 1]$
r9: $F \rightarrow (E)$:	$\text{FIRST}((E)) = \{ (\}$:	$\text{TT}[F, (]$

Building the parsing table

$$r1: E \rightarrow TE'$$

$$r2: E' \rightarrow +TE'$$

$$r3: E' \rightarrow \varepsilon$$

$$r4: T \rightarrow FT'$$

$$r5: T' \rightarrow *FT'$$

$$r6: T' \rightarrow \varepsilon$$

$$r7: F \rightarrow \emptyset$$

$$r8: F \rightarrow 1$$

$$r9: F \rightarrow (E)$$

TT	\emptyset	1	()	+	*	\$
E	r1	r1	r1				
E'				r3	r2		r3
T	r4	r4	r4				
T'				r6	r6	r5	r6
F	r7	r8	r9				

Parsing algorithm

```
parse(){
    push($)
    push(S)
    a = nextToken()
    while ( top() ≠ $ ) do
        x = top()
        if ( x ∈ T )
            if ( x == a )
                pop() ; a = nextToken()
            else
                skipErrors() ; error = true
        else
            if ( TT[x,a] ≠ 'error' )
                pop() ; inverseRHSMultiplePush(TT[x,a])
            else
                skipErrors() ; error = true
    if ( ( a ≠ $ ) ∨ ( error == true ) )
        return(false)
    else
        return(true)}
```

∗: **skipErrors()** will be explained in lecture 5

Table parsing example

	Stack	Input	Production	Derivation
1	\$E	(0+1)*0\$		E
2	\$E	(0+1)*0\$	r1: $E \rightarrow TE'$	$\Rightarrow TE'$
3	\$E'T	(0+1)*0\$	r4: $T \rightarrow FT'$	$\Rightarrow FT'E'$
4	\$E'T'F	(0+1)*0\$	r9: $F \rightarrow (E)$	$\Rightarrow (E)T'E'$
5	\$E'T')E((0+1)*0\$		
6	\$E'T')E	0+1)*0\$	r1: $E \rightarrow TE'$	$\Rightarrow (TE')T'E'$
7	\$E'T')E'T	0+1)*0\$	r4: $T \rightarrow FT'$	$\Rightarrow (FT'E')T'E'$
8	\$E'T')E'T'F	0+1)*0\$	r7: $F \rightarrow 0$	$\Rightarrow (0T'E')T'E'$
9	\$E'T')E'T'0	0+1)*0\$		
10	\$E'T')E'T'	+1)*0\$	r6: $T' \rightarrow \epsilon$	$\Rightarrow (0E')T'E'$
11	\$E'T')E'	+1)*0\$	r2: $E' \rightarrow +TE'$	$\Rightarrow (0+TE')T'E'$
12	\$E'T')E'T+	+1)*0\$		

Table parsing example

	Stack	Input	Production	Derivation
13	\$E'T')E'T	1)*0\$	r4:T→FT'	$\Rightarrow (0+FT'E')T'E'$
14	\$E'T')E'T'F	1)*0\$	r8:F→1	$\Rightarrow (0+1T'E')T'E'$
15	\$E'T')E'T'1	1)*0\$		
16	\$E'T')E'T') *0\$	r6:T'→ ϵ	$\Rightarrow (0+1E')T'E'$
17	\$E'T')E') *0\$	r3:E'→ ϵ	$\Rightarrow (0+1)T'E'$
18	\$E'T')) *0\$		
19	\$E'T'	*0\$	r5:T'→*FT'	$\Rightarrow (0+1)*FT'E'$
20	\$E'T'F*	*0\$		
21	\$E'T'F	0\$	r7:F→0	$\Rightarrow (0+1)*0T'E'$
22	\$E'T'0	0\$		
23	\$E'T'	\$	r6:T'→ ϵ	$\Rightarrow (0+1)*0E'$
24	\$E'	\$	r3:E'→ ϵ	$\Rightarrow (0+1)*0$
25	\$	\$		success