SQL Spring 2018

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Databases CS348

SQL (Structured Query Language)



- Based on the Relational Calculus:
 - ⇒ Conjunctive queries aka. SELECT blocks
 - \Rightarrow Set operations
 - \Rightarrow Update language
 - ⇒ Non First-order features
- BAG (multiset) Semantics
- NULL values
 - \Rightarrow avoid if at all possible
- A committee design
 - \Rightarrow often more pragmatic than logical
 - ⇒ evolving standard: SQL-89, SQL-92, SQL-1999,

SQL:2003/2006/2008/2011/2016

SQL (cont.)

Three major parts of the language:

- DML (Data Manipulation Language)
 - ⇒ Query language
 - ⇒ Update language

Also: Embedded SQL (SQL/J) and ODBC (JDBC) ⇒ necessary for application development

- 2 DDL (Data Definition Language)
 - ⇒ defines schema for relations
 - ⇒ creates (modifies/destroys) database objects.
- 3 DCL (Data Control Language)
 - ⇒ access control

SQL Data Types

Values of attributes in SQL:

```
integer
                 integer (32 bit)
                 integer (16 bit)
smallint.
                 fixed decimal
decimal(m,n)
                 IEEE float (32 bit)
float.
                 character string (length n)
char(n)
varchar(n)
                 variable length string (at most n)
                 year/month/day
date
                 hh:mm:ss.ss
time
```

Sample Database Revisited

```
AUTHOR (aid integer, name char (20))
WROTE (author integer, publication char(8))
PUBLICATION (pubid char(8), title char(70))
BOOK (pubid char (8),
     publisher char(50), year integer)
JOURNAL (pubid char (8),
        volume integer, no integer, year integer)
PROCEEDINGS (pubid char (8),
            year integer)
ARTICLE (pubid char(8), crossref char(8),
        startpage integer, endpage integer)
```

... SQL is **NOT** case sensitive.

The Basic "SELECT Block"

Basic syntax:

- 3 SELECT DISTINCT <results>
- 1 FROM <tables>
- 2 WHERE <condition>

■ Allows formulation of conjunctive (\exists, \land) queries of the form

$$\Big\{ < \texttt{results} > | \ \exists < \texttt{unused} > . \left(\bigwedge < \texttt{tables} > \right) \land < \texttt{condition} > \Big\}$$

- ⇒ a conjunction of <tables> with <condition>
- ⇒ <results> specifies values in the resulting tuples, and
- ⇒ <unused> are variables not used in <results>

List all authors in the database:

```
SQL> select distinct *
2 from author;

AID NAME
--- -------
1 Toman, David
2 Chomicki, Jan
3 Saake, Gunter
```

The FROM clause cannot be used on its own

- ⇒ the "SELECT *" notation
- ⇒ also reveals all attribute names

Variables vs. Attributes

- Relational Calculus uses *positional* notation, i.e.,
 - EMP(x, y, z) is true whenever the x, y, and z components of an answer can be found as a tuple in the instance of EMP
 - ⇒ no need for attribute names
 - ⇒ inconvenient for relations with high arity
- SQL uses *corelations* (tuple variables) and *attribute names* to assign *default variable names* to components of tuples:
 - R [AS] p in SQL stands for $R(p.a_1, \dots p.a_n)$ in RC where a_1, \dots, a_k are the *attribute names* declared for R.

List all publications with at least two authors,

```
\{p \mid \exists a_1, a_2. \mathtt{WROTE}(a_1, p) \land \mathtt{WROTE}(a_2, p) \land a_1 \neq a_2\}:
```

```
SQL> select distinct r1.publication
  2 from wrote r1, wrote r2
  3 where r1.publication = r2.publication
     and r1.author != r2.author;
PUBLICATION
ChSa98
ChTo98
ChTo98a
```

⇒ cannot share a variable (p) in the two WROTE relations

need for explicit equality "r1.publication = r2.publication"

List titles of all books,

```
\{t \mid \exists p, b, y. \texttt{PUBLICATION}(p, t) \land \texttt{BOOK}(p, b, y)\}:
```

⇒ relations can serve as their own *corelations* when *unambiguous*publication stands for "publication publication", i.e.,

publication(publication.publid, publication.title)

The "FROM" Clause (summary)

Syntax:

FROM
$$R_1$$
 [[AS] n_1],..., R_k [[AS] n_k]

- \blacksquare R_i are relation (table) names
- \blacksquare n_i are distinct identifiers
- The clause represents a **conjunction** $R_1 \wedge ... \wedge R_k$
 - \Rightarrow all variables of R_i 's are distinct
 - ⇒ we use (co)relation names to resolve ambiguities
- Cannot appear alone
 - ⇒ only as a part of the select block

The "SELECT" Clause

Syntax:

SELECT DISTINCT
$$e_1$$
 [[AS] n_1],..., e_k [[AS] n_k]

- Eliminate superfluous attributes from answers (∃)
- Form expressions:
 - ⇒ built-in functions applied to values of attributes
- 3 Give names to attributes in the answer

Standard Expressions

We can create values in the answer tuples using built-in functions:

On numeric types:

$$+, -, *, /, \dots$$
 (usual arithmetic)

On strings:

- Constants (of appropriate types)
 "SELECT 1" is a valid query in SQL-92
- UDF (user defined functions)

Note: all attribute names MUST be "present" in the FROM clause.

For every article list the number of pages:

```
SQL> select distinct pubid, endpage-startpage+1
2 from article;

PUBID ENDPAGE-STARTPAGE+1
----- ChTo98 40
ChTo98a 28
Tom97 19
```

Naming Attributes in the Results

Results of queries ← Tables

What are the names of attributes in the result of a SELECT clause?

- A single attribute: inherits the name
- An expression: implementation dependent

We can—and should—explicitly name the resulting attributes:

 \Rightarrow "<expr> AS <id>" where <id> is the new name

For every article list the number of pages, and name the resulting attributes id, number of pages:

The "WHERE" Clause

Syntax:

WHERE < condition>

Additional conditions on tuples that qualify for the answer.

- Standard atomic conditions:
 - equality: =, != (on all types)
 - order: <, <=, >, >=, <> (on numeric and string types)
- Conditions may involve expressions
 - ⇒ similar conditions as in the SELECT clause

Example(s)

Find all journals printed since 1997:

```
      SQL> select * from journal where year >= 1997;

      PUBID
      VOLUME
      NO
      YEAR

      ------
      JLP-3-98
      35
      3
      1998
```

Find all articles with more than 20 pages:

Boolean Connectives

Atomic conditions can be combined using **boolean connectives**:

- AND (conjunction)
- OR (disjunction)
- NOT (negation)

List all publications with at least two authors:

Summary

- Simple SELECT block accounts for many queries ⇒ all in ∃, ∧ fragment of relational calculus
- Additional features
 - alternative names for relations
 - expressions and naming in the output
 - built-in atomic predicates and boolean connectives
- Well defined semantics (declarative and operational)

Complex Queries in SQL

- So far we can write only \exists , \land queries
 - ⇒ the SELECT BLOCK queries
 - ⇒ not sufficient to cover all safe RC queries
- Remaining connectives:
 - 1 \vee , \neg : are expressed using **set operations**
 - ⇒ easy to enforce *range-restriction requirements*
 - $2 \forall$: rewrite using negation and \exists
 - \Rightarrow the same for \rightarrow , \leftrightarrow , etc.

Set Operations at Glance

Answers to *Select Block*s are **relations** (sets of tuples)

⇒ we can apply **set operations** on them

- Set union: Q₁ UNION Q₂
 - \Rightarrow the set of tuples in Q_1 or in Q_2 .
 - \Rightarrow used to express "or".
- Set difference: Q_1 EXCEPT Q_2
 - \Rightarrow the set of tuples in Q_1 but not in Q_2 .
 - \Rightarrow used to express "and not".
- Set intersection: Q₁ INTERSECT Q₂
 - \Rightarrow the set of tuples in both Q_1 and Q_2 .
 - ⇒ used to express "and" (redundant, rarely used).

Q_1 and Q_2 must have **union-compatible** signatures:

⇒ same number and types of attributes

Example: Union

List all publication ids for books or journals:

```
SQL> (select distinct pubid from book)
2 union
3 (select distinct pubid from journal);

PUBID
----
ChSa98
JLP-3-98
```

Example: Set Difference

List all publication ids except those for articles:

```
SQL> (select distinct pubid from publication)

2 except

3 (select distinct pubid from article);

PUBID

----
ChSa98
DOOD97
JLP-3-98
```

What About Nesting of Queries?

We can use *SELECT Blocks* (and other *set operations*) as arguments of *set operations*.

What if we need to use a **set operation** inside of a **SELECT Block**?

- Can use distributive laws
 - $\Rightarrow (A \lor B) \land C \equiv (A \land C) \lor (B \land C)$
 - ⇒ often **very** cumbersome
- Nest set operation inside a select block.
 - *⇒* common table expressions

Naming (Sub-)queries

Idea:

Queries denote **relations**. We provide a **naming** mechanism that allows us to assign names to (results of) queries.

 \Rightarrow can be used later in place of (base) relations.

Syntax:

```
WITH fool [<opt-schema-1>]
   AS ( <query-1-goes-here> ),
   ...
   foon [<opt-schema-n>]
   AS ( <query-n-goes-here> )
<query-that-uses-fool-...-foon-as-table-names>
```

List all publication titles for books or journals:

```
SQL> with bookorjournal (pubid) as
       ( (select distinct pubid from book)
         union
         (select distinct pubid from journal)
  5
     select distinct title
     from publication, bookorjournal
    where publication.pubid = bookorjournal.pubid;
TITLE
Logics for Databases and Information Systems
Journal of Logic Programming
```

The FROM clause revisited

- Using the WITH mechanism is sometimes cumbersome:
 - ⇒ we don't want to name every subexpression
- SQL-92 allows us to **inline** queries in the FROM clause:

```
FROM ..., ( <query-here> ) <id>, ...
```

- \Rightarrow <id> stands for the result of <query-here>.
- \Rightarrow unlike for base relations, <id> is mandatory.
- In "old" SQL (SQL-89) this does NOT work; views were the only option...

List all publication titles for journals or books:

```
SOL> select distinct title
  2 from publication,
          ( (select distinct pubid from journal)
            union
            (select distinct pubid from book) ) jb
    where publication.pubid = jb.pubid;
TITLE
Logics for Databases and Information Systems
Journal of Logic Programming
```

Can't we just use OR instead of UNION?

- A common mistake:
 - \Rightarrow use of OR in the WHERE clause instead of the UNION operator
- An incorrect solution:

```
select distinct title
from publication, book, journal
where publication.pubid = book.pubid
or publication.pubid = journal.pubid
```

Often works, but consider where there are no books.

Summary on First-Order SQL

- SQL introduced so far captures all of RC (relational calculus)
 - ⇒ optionally with duplicate semantics
 - ⇒ powerful (many queries can be expressed)
 - ⇒ efficient (PTIME, LOGSPACE)

Shortcomings:

- ⇒ some queries are hard to write (syntactic sugar)
- ⇒ no "counting" (aggregation)
- ⇒ no "path in graph" (recursion)

WHERE Subqueries

- Additional (complex) search conditions
 - ⇒ query-based search predicates
- Advantages
 - simplifies writing queries with negation
- Drawbacks
 - complicated semantics (especially when duplicates are involved)
 - very easy to make mistakes
- VERY COMMONLY used to formulate queries

Overview of WHERE Subqueries

■ Presence/absence of a *single* value in a query

```
<attr> IN ( <query> )
<attr> NOT IN ( <query> )
```

Relationship of a value to some/all values in a query

```
<attr> op SOME ( <query> ) <attr> op ALL ( <query> )
```

■ Emptiness/non-emptiness of a query

```
EXISTS ( <query> )
NOT EXISTS ( <query> )
```

In the first two cases <query> must be unary.

Example: "<attr> in (<query>)"

```
SQL> select distinct title
2 from publication
3 where pubid in (select pubid from article);

TITLE
-----
Temporal Logic in Information Systems
Datalog with Integer Periodicity Constraints
Point-Based Temporal Extension of Temporal SQL
```

"Pure" SQL Equivalence

Nesting in the WHERE clause is mere syntactic sugar:

```
select r.b
from r
from r
where r.a in (
select distinct b
from s
from s
) as s
)
where r.a = s.b
```

All of the remaining constructs can be rewritten in similar fashion.

Example: "<attr> not in (<query>)"

All author-publication ids for all publications except books and journals:

```
SOL> select *
  2 from wrote
  3 where publication not in (
        ( select pubid from book )
  5 union
        ( select pubid from journal ) );
AUTHOR PUBLICAT
      1 ChTo98
      1 ChTo98a
      1 Tom 97
      2 ChTo98
      2 ChTo98a
```

... search conditions may contain complex queries.

```
"<attr> not in (<query>)" (cont.)
```

... another formulation:

```
SQL> select *
2 from wrote
3 where publication not in (
4 select pubid from book
5 ) and publication not in (
6 select pubid from journal
7 )
```

... and may be combined using boolean connectives.

Example: "<attr> op SOME/ALL (<query>)"

Find the longest articles (a way expressing max):

```
SQL> select distinct pubid
 2 from article
 3 where endpage-startpage >= all (
        select endpage-startpage
 5 from article
 6);
PUBID
ChTo98
```

```
"<attr> = some (<query>)" = "<attr> in (<query>)"
"<attr> <> all (<query>)" = "<attr> not in (<query>)"
```

Parametric Subqueries

- So far, *subqueries* were **independent** of the *main* query
 - ⇒ not correlated
 - ⇒ not much fun (good only for simple queries)
- SQL allows **parametric** (correlated) subqueries.

Parametric subqueries have the form "<query>" mentioning

where $<attr>_i$ is an attribute in the main query.

The truth of a predicate defined by a subquery is determined for each substitution (tuple) in the main query:

- instantiate all the parameters and
- check for the truth value as before . . .

Example: "EXISTS (<query>)"

Parametric subqueries are most common for "existential" subqueries:

```
SOL> select *
  2 from wrote r
  3 where exists ( select *
          from wrote s
          where r.publication = s.publication
          and r.author <> s.author );
    AUTHOR PUBLICAT
         1 ChTo98
         1 ChTo98a
         2 ChTo98
         2 ChTo98a
         2 ChSa98
         3 ChSa98
```

Example: "NOT EXISTS (<query>)"

It is easy to now complement conditions:

```
SQL> select *
 2 from wrote r
 3 where not exists (
 4 select *
        from wrote s
        where r.publication = s.publication
       and reauthor <> seauthor
    );
   AUTHOR PUBLICAT
        1 Tom 97
```

Example: "<attr> IN (<query>)"

```
SOL> select *
 2 from wrote r
 3 where publication in (
        select publication
 5 from wrote s
    where r.author <> s.author
 7);
 AUTHOR PUBLICAT
      1 ChTo98
      1 ChTo98a
      2 ChTo98
      2 ChTo98a
      2 ChSa98
      3 ChSa98
```

More levels of Nesting

- WHERE subqueries are just queries
 - \Rightarrow we can nest again and again and ...
 - ⇒ every nested subquery can use attributes from the enclosing queries as parameters.
 - ⇒ correct naming is imperative
- Used to formulate very complex search conditions
 - ⇒ attributes present in the subquery only CANNOT be used to construct the result(s).

Example

List all authors who always publish with someone else:

```
SOL> select distinct al.name
  2 from author al, author a2
  3 where not exists (
  4
       select *
  5
       from publication p, wrote w1
  6
       where p.pubid = w1.publication
         and a1.aid = w1.author
 8
         and a2.aid not in (
  9
                 select author
10
                 from wrote
11
                 where publication = p.pubid
12
                   and author <> al.aid
13
14);
```

Summary

 WHERE subqueries enable easy formulation of queries of the form

"All x in R such that (a part of) x doesn't appear in S".

- Subqueries only stand for WHERE conditions
 - ⇒ CANNOT be used to produce results.
- You can use input parameters, but these must be bound in the main query
- All of these are just a syntactic sugar and can be expressed using queries nested in the FROM clause
 - but it might be quite hard . . .
 - and it is easy to make mistakes (be very careful)

How do we Modify a Database?

Naive approach:

```
	extit{DBSTART;} \ r_1 := Q_1(DB); \ \dots \ r_k := Q_k(DB); \ 	extit{DBCOMMIT;} \
```

Not an acceptable solution in practice

Incremental Updates

Idea

Tables are large but **updates are small** ⇒ Incremental updates

- Insertion of a tuples (INSERT)
 - \Rightarrow constant tuple
 - ⇒ results of queries
- Deletion of tuples (DELETE)
 - ⇒ based on match of a condition
- Modification of tuples (UPDATE)
 - ⇒ allows updating "in place"
 - ⇒ based on match of a condition

SQL Insert

One constant tuple (or a fixed number):

```
INSERT INTO r[(a1,...,ak)]
 \forall \text{ALUES } (\forall 1,...,\forall k) 
 \Rightarrow \text{ adds tuples } (v_1,...,v_k) \text{ to } r. 
 \Rightarrow \text{ the type of } (v_1,...,v_k) \text{ must match the schema definition of } r.
```

Multiple tuples (generated by a query):

```
INSERT INTO r ( Q )
```

 \Rightarrow adds result of Q to r

Example: inserton of a tuple

Add a new author:

```
SOL> insert into author
           values (4, 'Niwinski, Damian',
                   'zls.mimuw.edu.pl/~niwinski');
1 row created.
SQL> select distinct aid, name, url from author;
ATD NAME
                     URL
  1 Toman, David db.uwaterloo.ca/~david
  2 Chomicki, Jan cs.monmouth.edu/~chomicki
  3 Saake, Gunter
  4 Niwinski, Damian zls.mimuw.edu.pl/~niwinski
```

Example: use of a query

Add a new author (without looking up author id):

```
SOL> insert into author (
       select max(aid)+1, 'Snodgrass, Richard T.',
              'www.cs.arizona.edu/people/rts'
      from author );
1 row created.
SOL> select distinct aid, name from author;
AID NAME
  1 Toman, David
  2 Chomicki, Jan
  3 Saake, Gunter
  4 Damian Niwinski
  5 Snodgrass, Richard T.
```

SQL Delete

Deletion using a condition:

```
DELETE FROM r
WHERE cond
```

- \Rightarrow deletes all tuples that match cond.
- Deletion using cursors (later)
 - ⇒ available in embedded SQL
 - \Rightarrow only way to delete one out of two duplicate tuples

Example

Delete all publications that are not articles or the collections an article appears in:

```
SQL> delete from publication
            where pubid not in (
  3
                   select pubid
                   from article
            ) and pubid not in (
                   select crossref
                   from article
            );
 rows deleted.
```

SQL Update

- Two components:
 - 1 an update statement (SET)
 - \Rightarrow an assignment of values to attributes.
 - 2 a search condition (WHERE)

Syntax:

```
UPDATE r
SET <update statement>
WHERE <condition>
```

Example

```
SQL> update author
 2 set url = 'brics.dk/~david'
  3 where aid in (
          select aid
  5
           from author
  6
          where name like 'Toman%'
           );
1 row updated.
SOL> select * from author;
AID NAME
                   URL
 1 Toman, David //brics.dk/~david
```

Support for Transactions

The DBMS guarantees noninterference (serializability) of all data access requests to tables in a database instance

Transaction starts with first access of the database

```
⇒ until it sees:
```

■ COMMIT: make changes permanent

```
SQL> commit;
Commit complete.
```

■ or ROLLBACK: discard changes

```
SQL> rollback;
Rollback complete.
```

Aggregation

Standard and very useful extension of First-Order Queries.

- Aggregate (column) functions are introduced to
 - ⇒ find number of tuples in a relation
 - ⇒ add values of an attribute (over the whole relation)
 - ⇒ find minimal/maximal values of an attribute
- Can apply to groups of tuples that with equal values for (selected) attributes
- Can NOT be expressed in Relational Calculus

Aggregation in SQL

The same in SQL syntax:

```
SELECT x1,...,xk, agg1,...,agg1 FROM Q GROUP BY x1,...,xk
```

Restrictions:

- All attributes in the SELECT clause that are **NOT** in the scope of an aggregate function **MUST** appear in the GROUP BY clause.
- aggi are of the form count(y), sum(y), min(y), max(y), or avg(y) where y is an attribute of Q (usually not in the GROUP BY clause).

Operational Reading

- Partition the input relation to groups with equal values of grouping attributes
- 2 On each of these partitions apply the aggregate function
- 3 Collect the results and form the answer

Example (count)

For each publication, count the number of authors:

Example (sum)

For each author, count the number of article pages:

... not quite correct: it doesn't list 0 pages for author 3.

The HAVING clause

■ The WHERE clause can't impose conditions on values of aggregates

⇒ WHERE clause has to be used before GROUP BY

■ SQL allows a HAVING clause instead

 \Rightarrow like WHERE, but for aggregate values...

■ The aggregate functions used in the HAVING clause may be different from those in the SELECT clause; the grouping, however, is common.

The HAVING clause is mere SYNTACTIC SUGAR

... and can be replaced by a nested query and a WHERE clause.

Example

List publications with exactly one author:

```
SQL> select publication, count(author)
2 from wrote
3 group by publication
4 having count(author) = 1;

PUBLICAT COUNT(AUTHOR)
-----
Tom97 1
```

... This query *can* be written without aggregation.

Example (revisited.)

For every author, count the number of books and articles:

```
SQL> select distinct aid, name, count (publication)
 2 from author, (
 3 (select distinct author, publication
       from wrote, book
       where publication = pubid )
 6 union all
 7 (select distinct author, publication
       from wrote, article
       where publication = pubid ) ) ba
10 where aid = author
11
    group by name, aid;
```

Summary

- SQL covered so far:
 - Simple SELECT BLOCK
 - 2 Set operations
 - 3 Formulation of complex queries, nesting of queries, and views
 - Updating Data
 - 5 Aggregation
- This covers pretty much all of the useful SQL DML
 - \Rightarrow the Bad and Ugly coming next ...