

# Introduction to Deep Learning

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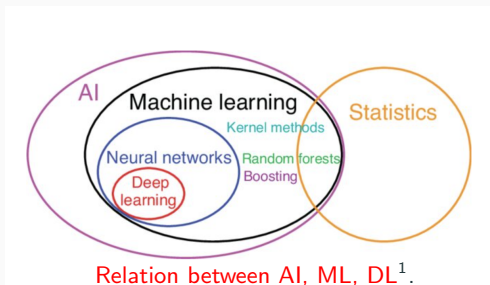
# Table of Contents – Introduction to Deep Learning

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**What are AI, ML, and DL?**

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# Relationship: AI, ML, and DL?



- **AI** = Broad goal: build intelligent systems.
- **ML** = A way to achieve AI using **data-driven learning**.
- **DL** = A modern ML technique using **deep neural networks**.
- Hierarchy: **AI**  $\supset$  **ML**  $\supset$  **DL**.

Mathematics is everywhere and plays a **key role** in building the theoretical foundation.

**Mathematicians** provide the backbone: optimization, probability, high-dimensional analysis.

<sup>1</sup> WW Hsieh (2022). Evolution of machine learning in environmental science—A perspective. *Environmental Data Science*

# AI, ML, and DL Overview

- **What is Artificial Intelligence (AI)?**

- Artificial Intelligence (AI) is the broad field of making machines “think and act like humans”.
- Includes: reasoning, problem-solving, learning, planning.
- AI is the umbrella term that covers both Machine Learning (ML) and Deep Learning (DL).

- **What is Machine Learning (ML)?**

- Machine Learning (ML) is a subset of AI.
- Focuses on algorithms that learn patterns from data without being explicitly programmed.
- Examples:
  - Supervised learning: classification, regression.
  - Unsupervised learning: clustering, dimensionality reduction.
  - Reinforcement learning: decision-making through rewards.

- **What is Deep Learning (DL)?**

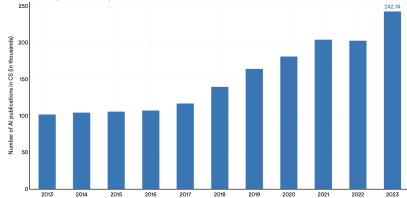
- Deep Learning (DL) is a subset of ML.
- Uses artificial neural networks with many layers (depth) inspired by the structure of the human brain.
- Good at handling high-dimensional data such as images, text, speech.

# Why Deep Learning is Popular Today

- Availability of **big data**.
- Increase in **computational power** (GPUs, TPUs).
- Advances in **algorithms** (better training methods, optimizers).
- Success in real-world applications: **computer vision**, **natural language processing**, **speech recognition** and many more.

Number of AI publications in CS worldwide, 2013-23

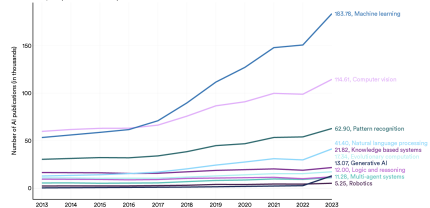
Source: AI Index, 2023 | Chart: 2023 AI Index report



Number of publications in CS, worldwide 2013-23.

Number of AI publications by select top topics, 2013-23

Source: AI Index, 2023 | Chart: 2023 AI Index report



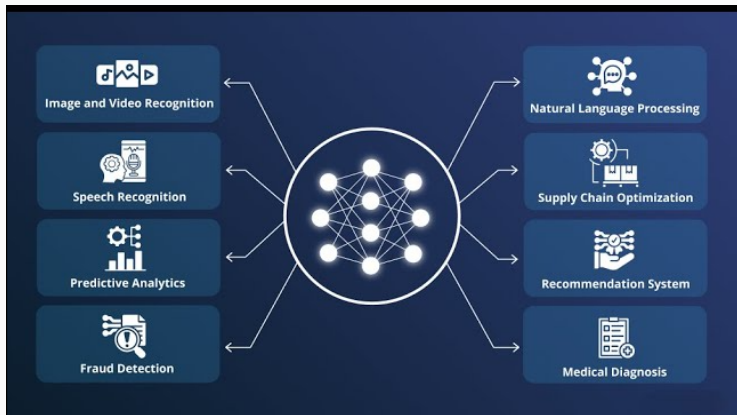
Number of publications by topics, 2013-23.

# Applications of Deep Learning

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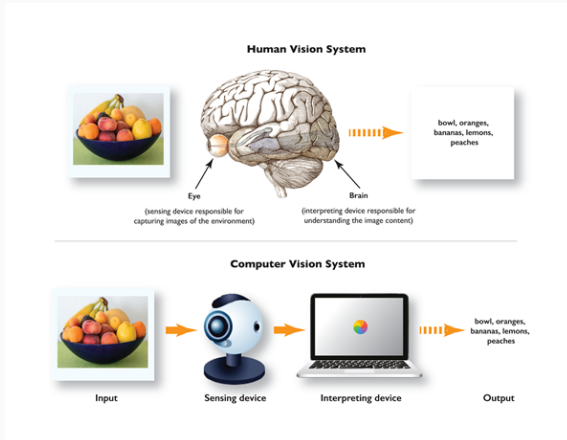
# Applications of Deep Learning

- Deep Learning is everywhere in our daily lives.
- Different neural network architectures are suited to different tasks.
- Applications span across science, industry, medicine, entertainment, and daily utilities.





# Computer Vision Applications



- **Image Classification & Recognition** – Face unlock on phones, airport screening.  
Algorithm: **Convolutional Neural Networks (CNNs)**.
- **Object Detection** – Self-driving cars recognizing pedestrians and vehicles.  
Algorithms: **YOLO, Faster R-CNN**.
- **Medical Imaging** – Detecting tumors, X-ray analysis. **CNNs, U-Net (segmentation)**.

# Natural Language Processing (NLP)

NLP is the process through which AI is taught to **understand the rules and syntax of language**, programmed to **develop complex algorithms to represent those rules**, and then made to **use those algorithms to carry out specific tasks** like these.



Language generation



Answering questions



Text classification



Sentiment analysis



Machine translation

- **Machine Translation** – Google Translate. **Algorithms:** Recurrent Neural Networks (RNNs), Transformers.
- **Chatbots & Assistants** – Siri, Alexa, ChatGPT. **Transformers, Large Language Models (LLMs).**
- **Text Summarization & Sentiment Analysis.** **BERT, GPT-family models.**

# Other Applications of Deep Learning

- **Speech and Audio Applications**

- **Speech Recognition** – Voice typing, dictation apps. **RNNs, LSTMs, Transformers (Wav2Vec)**.
- **Voice Assistants** – Alexa, Google Assistant. **End-to-End Speech Models**.
- **Music Generation** – AI composing songs. **GANs, Sequence Models**.

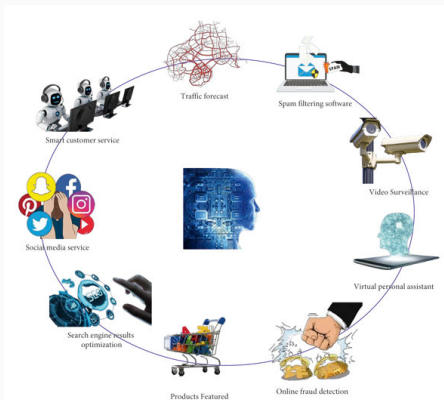
- **Healthcare and Life Sciences**

- **Disease Diagnosis** – AI detecting diabetic retinopathy, cancers. **CNNs, Ensemble Models**.
- **Drug Discovery** – Predicting molecule properties. **Graph Neural Networks (GNNs)**.
- **Personalized Medicine**. **Deep Reinforcement Learning, Bayesian DL**.

- **Science and Engineering Applications**

- **Climate Modeling & Weather Forecasting**. **Spatiotemporal DL Models**.
- **Physics Simulations** – AI accelerating fluid dynamics, particle simulations. **Physics-Informed Neural Nets (PINNs)**.
- **Astronomy** – Galaxy classification, exoplanet detection. **CNNs, Autoencoders**.

# Deep Learning in Daily Life



- **Recommendation Systems** – Netflix, YouTube, Amazon. **DL + Collaborative Filtering, Embedding Models.**
- **Finance & Banking** – Fraud detection, credit scoring. **RNNs, Autoencoders, Graph DL.**
- **Transportation** – Google Maps predicting traffic. **Spatiotemporal Graph Networks.**
- **Social Media** – Instagram filters, auto-captioning. **GANs, CNNs.**

# Historical Development of Deep Learning

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# Early Origins of Neural Networks (1940s–1960s)

- McCulloch & Pitts (1943): **First neuron model**<sup>2</sup>.
  - **Inspired by biology**: proposed neurons as simple binary threshold logic units.
  - **Key idea**: networks of such units can compute logical functions.
- Hebbian Learning (1949): **"Neurons that fire together, wire together."**<sup>3</sup>
  - **Core principle**: connections between neurons strengthen when activated together.
  - **Limitation**: unsupervised and biologically inspired, but mathematically vague at the time.
- Rosenblatt's Perceptron (1958): **First trainable neural network**<sup>4</sup>.
  - **Innovation**: introduced a supervised learning rule for adjusting weights.

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<sup>2</sup>W. McCulloch, W. Pitts, "A logical calculus of the ideas immanent in nervous activity," *Bull. Math. Biophys.*, 1943.

<sup>3</sup>D. Hebb, *The Organization of Behavior*, 1949.

<sup>4</sup>F. Rosenblatt, "The Perceptron, A probabilistic model for information storage and organization in the brain," *Psychological Review*, 1958.

# First AI Winter (1970s)

- Minsky & Papert (1969): [Limitations of Perceptrons](#)<sup>5</sup>.
  - **Contribution:** provided mathematical analysis of perceptrons.
  - **Key finding:** single-layer perceptrons **cannot solve non-linearly separable problems** (e.g., XOR).
  - **Long-term value:** highlighted the need for multi-layer architectures.
- Consequence: **Loss of funding and interest in neural networks**.
  - **Funding agencies (DARPA, NSF):** reduced support for AI research due to unmet expectations.
  - **Public perception:** AI seen as overhyped and underdelivering.
  - **Shift in focus:** research moved toward symbolic AI (logic-based systems) during this period.
- Mathematics' Role: [Formal proofs of limitations](#).
  - **Provided clarity:** precise theorems about linear separability and perceptron capabilities.
  - **Influenced theory:** laid groundwork for computational learning theory.
  - **But:** reinforced skepticism about neural networks' practical usefulness at the time.

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<sup>5</sup> M. Minsky, S. Papert, *Perceptrons: An Introduction to Computational Geometry*, 1969.

# Backpropagation Revolution (1980s)

- Werbos (1974): [Introduction of Backpropagation](#)<sup>6</sup>.
  - **Core idea**: used the **chain rule of calculus** to efficiently compute gradients in multi-layer networks.
  - **Importance**: allowed training of deeper models compared to single-layer perceptrons.
  - **Limitation**: remained largely unnoticed in the 1970s, as computing power and datasets were limited.
- Rumelhart, Hinton & Williams (1986): [Popularization of Backpropagation](#)<sup>7</sup>.
  - **Breakthrough**: demonstrated successful training of multi-layer NNs.
  - **Challenge**: still slow to train on large, real-world datasets of the time.
- [Enabled Multilayer Neural Networks](#)
  - **Overcame**: limitations of single-layer perceptrons (e.g., XOR).
  - **Led to**: revival of neural networks research after the First AI Winter.
  - **Issue**: still vulnerable to vanishing gradients in very deep networks.

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<sup>6</sup> P. Werbos, "Beyond Regression: New Tools for Prediction and Analysis in the Behavioral Sciences," PhD thesis, Harvard, 1974.

<sup>7</sup> D. Rumelhart, G. Hinton, R. Williams, "Learning Representations by Back-propagating Errors," *Nature*, 1986.



## Second AI Winter (1987–1995)

- Neural Nets vs. Symbolic AI Debate
  - **Symbolic AI**: focused on logic, rules, and expert systems (knowledge-based reasoning).
  - **Neural networks**: emphasized learning from data via connectionist models.
  - **Conflict**: symbolic AI dominated research funding, while neural nets were still seen as limited.
- Hardware and Data Insufficient for Neural Nets
  - **Computing power**: CPUs of the era too slow for large-scale training.
  - **Data availability**: datasets were small and not representative of real-world complexity.
  - **Result**: neural nets struggled to scale beyond small toy problems.
- In this time, **Neural networks were largely sidelined in favor of statistical approaches.**

# Resurgence via Statistical Learning (1990s–2000s)

- Vapnik: [Support Vector Machines \(SVMs\)](#)<sup>8</sup>.
  - **Core idea**: maximize the margin between classes for better generalization.
  - **Kernel trick**: enabled solving non-linear problems by mapping inputs into higher-dimensional feature spaces.
  - **Limitation**: computationally expensive for very large datasets; less effective with raw high-dimensional data (e.g., images).
- Pearl: [Probabilistic Graphical Models](#)<sup>9</sup>.
  - represented complex dependencies between variables using graphs (Bayesian networks, Markov networks).
- Jordan (1990s): [Variational Methods and Bayesian Networks](#).
- Mathematics Foundations: [Convex optimization, functional analysis, probability](#).
  - **Convex optimization**: ensured global minima for SVMs and related models.
  - **Functional analysis**: supported kernel methods and reproducing kernel Hilbert spaces (RKHS).

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<sup>8</sup>V. Vapnik, *The Nature of Statistical Learning Theory*, 1995; Recent edition in 2013.

<sup>9</sup>J. Pearl, *Probabilistic Reasoning in Intelligent Systems*, 1988; Recent edition in 2014.

# Deep Learning Boom (2006–2015)

- Hinton et al. (2006): [Deep Belief Networks \(DBNs\)](#)<sup>10</sup>.
  - **Core idea:** stacked Restricted Boltzmann Machines trained layer-by-layer in an unsupervised manner. This reintroduced **deep learning** to the research community after the second AI winter.
- **ImageNet Breakthrough** (Krizhevsky, Sutskever, Hinton, 2012)<sup>11</sup>.
  - **AlexNet:** deep convolutional neural network with ReLU activations, dropout, and GPU acceleration. **Key Achievement:** reduced ImageNet classification error by nearly half, a massive leap forward.
- Mikolov et al. (2013): [Word2Vec Embeddings](#)<sup>12</sup>.
  - **Innovation:** represented words as dense vectors capturing semantic meaning. This revolutionized NLP, enabling analogies.
- Goodfellow et al. (2014): [Generative Adversarial Networks \(GANs\)](#)<sup>13</sup>.
  - **Idea:** generator vs. discriminator game to learn realistic data generation.

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<sup>10</sup> G. Hinton, S. Osindero, Y. Teh, "A fast learning algorithm for deep belief nets," *Neural Computation*, 2006.

<sup>11</sup> A. Krizhevsky, I. Sutskever, G. Hinton, "ImageNet Classification with Deep Convolutional Neural Networks," NIPS, 2012.

<sup>12</sup> T. Mikolov et al., "Efficient Estimation of Word Representations in Vector Space," arXiv:1301.3781.

<sup>13</sup> I. Goodfellow et al., "Generative Adversarial Nets," NIPS, 2014.

# Modern Era (2017–Present)

- Vaswani et al. (2017): [Transformers](#)<sup>14</sup>.
  - **Key idea**: replaced recurrence and convolutions with **self-attention**.  
**Around 200k citations**
  - **Impact**: enabled efficient parallel training and captured long-range dependencies.
- [Large Language Models \(LLMs\)](#): GPT, BERT.
  - **Advances**: pretraining on massive corpora with fine-tuning or in-context learning.
  - **Impact**: revolutionized NLP, coding, reasoning, and multimodal AI (e.g., GPT-4, Gemini, Claude).
- [Multimodal & Reinforcement Learning Advances](#).
  - **AlphaGo/AlphaZero (2016-2018)**: deep RL + search, breakthrough in strategic games.
  - **Diffusion models (2020+)**: new generative paradigm for images (e.g., Stable Diffusion, DALL-E).
  - **Multimodal AI**: unifying vision, text, speech (e.g., CLIP, Flamingo, GPT-4V).

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<sup>14</sup> A. Vaswani et al., "Attention is All You Need," NeurIPS, 2017.

# The Big 3 Heroes of Deep Learning



(a) **Yann LeCun** – Chief AI Scientist at Meta, Prof. at NYU  
*Pioneer of CNNs (Convolutional Neural Networks)<sup>a</sup>*

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<sup>a</sup>Y. LeCun et al., “Gradient-based learning applied to document recognition,” Proc. IEEE, 1998.



(b) **Geoffrey Hinton** – Prof. Emeritus at Toronto, Adviser at Vector Inst.  
*Backpropagation, deep belief networks<sup>a</sup>*  
Received 2024 Nobel Prize in Physics.

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<sup>a</sup>Rumelhart, Hinton, Williams, “Learning Representations by Back-Propagating Errors,” Nature, 1986.



(c) **Yoshua Bengio** – Prof. at Université de Montréal, Mila Institute  
*Foundations of deep learning, generative models*

All three received the 2018 Turing Award.

## Other Ground-Breaking Contributors

- [Jürgen Schmidhuber](#) – IDSIA, Switzerland  
*Long Short-Term Memory (LSTM)*<sup>15</sup>
- [Sepp Hochreiter](#) – JKU Linz, Austria  
*Co-inventor of LSTM, now driving AI in bioinformatics.*
- [Ian Goodfellow](#) – Apple, ex-OpenAI/Google  
*Invented GANs (Generative Adversarial Networks)*<sup>16</sup>
- [Andrew Ng](#) – Stanford Univ., DeepLearning.AI  
*Massive impact via online education and applied deep learning.*

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<sup>15</sup>S. Hochreiter, J. Schmidhuber, "Long Short-Term Memory," Neural Computation, 1997.

<sup>16</sup>Goodfellow et al., "Generative Adversarial Nets," NeurIPS, 2014.

- Frank Rosenblatt – Inventor of the *Perceptron* (1958).
- Marvin Minsky & Seymour Papert – Showed limitations of perceptrons (1969).
- Paul Werbos – First described *backpropagation* (1974, PhD thesis).

## Required Tools to Learn DL

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# Mathematical Tools

- **Linear Algebra** – vectors, matrices, eigenvalues.
  - **Neural nets** = compositions of linear maps + nonlinearities.
  - **Key ops**: dot products, SVD, eigendecomposition.
- **Probability & Statistics** – distributions, Bayesian methods.
  - Uncertainty modeling, Bayesian priors, likelihood.
  - **Applications**: dropout, variational inference.
- **Calculus** – differentiation, gradients, optimization.
  - **Backpropagation** = repeated chain rule.
  - **Gradient descent** = discrete dynamical system.
- **Optimization Theory** – convex analysis, gradient descent.
  - **Convex optimization** ensures global minima (e.g., SVMs).
  - **Non-convex** DL optimization studied via dynamical systems.

These are the **mathematical backbone of Deep Learning**. No doubt you all know much more than that.

# Programming Foundations

- **Programming Language: Python** – most widely used in AI/ML/DL.  
(we will use throughout)
  - **Reason:** clean syntax, huge ecosystem, numerical + scientific packages.
  - **Alternative:** R (good for statistics, but less popular for ML/DL).
- **Mathematical Libraries:** NumPy, SciPy, SymPy.
  - **NumPy:** linear algebra, tensors (good enough for basic use).
  - **SciPy:** optimization, special functions.
  - **SymPy:** symbolic algebra (useful for derivations).
- **Data Handling:** Pandas, SQL, basic wrangling.
  - **Pandas:** tabular data (like matrices for applied mathematicians).
  - **SQL:** structured data queries.

**For documentation:** Jupyter Notebooks (we will use throughout)

# Deep Learning Frameworks

- TensorFlow (Google) – scalable for research & production. (This is the one we will use throughout the reading group)
- PyTorch (Meta) – flexible, Pythonic, favored by researchers.
- JAX (Google) – high-performance, automatic differentiation.
- Keras: simple API for beginners.
- Why? Provide ready-made building blocks (autograd, layers, optimizers).

# Computational Tools

- **GPUs & TPUs** – parallel linear algebra operations.
  - **NVIDIA CUDA GPUs** dominate current DL.
  - **TPUs (Google)**: specialized for tensor ops.
- **Cloud Platforms**:
  - Google Colab (**This is the one we will use throughout the reading group**)
  - AWS
  - Azure
  - Kaggle.
- **Local Workstations**: CUDA-enabled NVIDIA GPUs.
- Why? **Training DL models without GPUs is prohibitively slow.**

**Dont worry! You will get all of this in your Google Colab, mostly for free, but for limited uses**

## **Our Plans for the Reading Group**

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- All slides, code, and reading material will be available on GitHub:

**<https://github.com/yadavrishikesh/Deep-Learning-Slides-Code>**

- This will include organized topics every week with structured notes, code, and references.