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**A THESIS**

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REQUIREMENT FOR THE MASTER PROJECT IN PURE MATHEMATICS**

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*Divisors in Algebraic Geometry, Central Simple Algebras and  
Severi-Brauer Varieties*

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# Introduction

The purpose of the present work is to present how algebraic varieties offer a bridge connecting different areas in mathematics. Especially, we are interested here in making clear how ideas (and even more, theories) are converted from an algebraic (resp., number field) aspect to a purely geometric one. We also, indicate -sometimes-how reverse feedbacks are gained from the introduction of geometric language in the study of specific topics in algebra and number field theories.

This thesis is written -mostly- in a self contained manner and is designated to introduce a non-specialist reader in algebraic geometry to this mathematical world. For this end, we present in this manuscript many necessary backgrounds from various algebraic and geometric areas, and we give -as possible- detailed proofs for the results contained here.

Roughly speaking, the introduction of algebraic varieties in mathematics can be considered as an attempt to combine tools, objects and arguments from algebra (at first commutative algebra) and some corresponding topological spaces defined in a manner shaped to fit with what existed in differential manifold theory. As will be seen in more details throughout the first chapter, classical affine varieties are defined by means of polynomial functions with coefficients in a base field preferably taken to be algebraically closed. Precisely, they are the vanishing locus of families of polynomials in a finite Cartesian product of this base field. Some authors -as we will do in this work- prefer to add the extra condition that they are ‘irreducible’ with respect to Zariski topology. Taking (coordinates) algebras of these (affine) varieties, allows then to establish a nice correspondence with finitely generated domains over the base field. This in fact generalizes to give an equivalence of categories between the category of ‘non necessary irreducible’ affine varieties and the category of ‘reduced’ finitely generated algebras over this field. As in differential geometry, projective varieties are defined similarly by means of homogeneous polynomials, and special open covers of them are given by affine varieties, making it possible to lift properties from the affine case to the projective one.

The importance of the above equivalence of categories arises from the fact that for (some) algebraic objects, we can benefit from all topological and geometric properties of the corresponding varieties. In this sense, many purely geometric notions are connected to some algebraic ones, e.g., in the affine case, the dimension of a variety (i.e., the topological dimension of its underlying space) coincides with the (Krull) dimension of the corresponding affine coordinate algebra.

In contrast with differential setting, algebraic varieties are not Hausdorff in general, and so an algebraic group for example -defined in the same manner as Lie group in differential geometry- is not a topological group. Nevertheless, a separation notion does exist for algebraic varieties and any morphism of affine schemes turns to be separated. Moreover, the idea of working locally on a variety, especially using germs of regular functions, is a main idea that remains valid in this algebraic context. Besides, many notions inspired from differential manifolds like closed and open immersions are very helpful in the study of these algebraic varieties. We have also a notion of (algebraic) tangent space which allows benefiting from connections with Lie theory when dealing for example with algebraic groups.

In modern algebraic geometry, the use of sheaf theory made it possible to work on a general commutative base ring (not only on a base field) and affine varieties, as will be explained in the second chapter, are defined by means of the spectrum of the considered ring. For non affine varieties like projective ones, scheme theory developed essentially in Alexander’s pioneer work and that of his collaborators, made it possible to generalize arbitrary varieties to the context of (commutative) rings. The idea of schemes consists in some gluing of spectra of many (commutative) rings along open subsets. This theory heavily relies on category language and the landscape appears very difficult without sufficient understanding of classical varieties. Schemes theory at its earlier beginning served to settle many important conjectures like Weil conjectures and Mordell conjecture. It becomes today an important component in many mathematical areas and continues to intervene in solving

many hard problems. Indeed, many algebraic results still continue to have only geometric proofs. As in algebraic topology and also in differential geometry, a notion of (algebraic) vector bundles was defined and used to build Grothendieck groups (of varieties). More generally, all K-theory groups are defined by using these algebraic bundles in the same model as for commutative rings. Indeed, in the language of modules over schemes -see the second chapter- and up to an equivalence of categories, algebraic vector bundles are exactly coherent modules over (Noetherian) schemes. Also, when dealing with an affine scheme, they correspond categorically - under some canonical equivalence- to finitely generated projective modules over the base ring. Besides this approach relating schemes to K-groups, many properties of schemes can be described by using adequate cohomological complexes.

In this manuscript we give two applications of algebraic geometry showing the above said interplay between algebra, number field theory and varieties. The first one concerns the notion of divisors in algebraic geometry and the second one deals with Severi-Brauer varieties.

The notion of divisors for varieties is part of intersection theory in algebraic geometry. It can be considered as an extension of the well known Kronecker's divisors in algebraic number field theory. Historically, it is known that Kronecker's divisors were built on a simple but fascinating idea which consists in determining greatest common divisors inside the polynomial algebra (in one indeterminate) over the rational field. The main tool used for this end was an easy notion of the 'content' of a polynomial which is the greatest common divisor of its coefficients in the case of a polynomial with integer coefficients. Indeed, at that time such simple notions were often the starting point of flourishing theories. Hermann Weyl developed then an axiomatization of divisors built on the same principal of Dedekind's elegant 'ideal theory' to give information on prime factorizations. A divisor became then some well defined ideal and a multiplicative group was then derived from nonzero divisors. This group was then related to other groups defined in Dedekind's theory. Moreover, besides working over a rational field, divisors were extended to be defined over more base fields, e.g., number fields. The study of divisors benefited from several algebraic and number field tools, e.g., Dedekind's discriminants, Picard group., but a great raise was due to the use of valuation theory, where divisors took another aspect based on the notion of 'places', which are closed to valuation rings. Plainly, Dedekind's and valuation approaches had opened new perspectives in the study of divisors; nevertheless, it is worthy to mention that the ancient (and almost forgotten) theory of 'contents' preserves some advantages when compared with these new approaches (e.g., it is independent of the considered base field which is not the case for Dedekind's approach).

The use of valuation language in the study of divisors, allowed for algebraic number fields at first - then for varieties - developing Riemann-Roch theory which is now widely applied in different areas of mathematics, especially in coding theory and cryptography.

Divisors in algebraic geometry were first defined on (classical) curves, since (special) discrete valuations exist on the function field of such a curve and local rings of nonsingular curve's points are regular. The theory was then extended to codimension one varieties in schemes theory and gave rise to Chow groups, where a general intersection theory was built from algebraic cycles. A (Weil) divisor is then a cycle of codimension one. Unfortunately, we did not deal in this manuscript with this more general (intersection) theory for it would need more special background. Let's finally mention that divisors have close connection with vector bundles. Indeed, there is a one-to-one correspondence between equivalence classes of (Weil) divisors and isomorphism classes of (algebraic) line bundles.

The other example illustrating the usefulness of varieties that we treat in this manuscript concerns Severi-Brauer varieties which are widely applied in studying central simple algebras. They appeared in François Châtelet's paper [7] but historically it is announced that they appeared before and are due in part to Severi (see [2]). As will be explained in the third chapter, to every central simple algebra, one can attach a corresponding Severi-Brauer variety and this last one encodes information on splitting fields of such algebra. Indeed, Amitsur used in [1] the function field of this attached variety and defined a generic splitting field for the considered algebra. Since then, Severi-Brauer varieties became very useful in the study of Brauer groups, groups that classify central simple algebras over some fixed fields.

Throughout different discussions in this manuscript, we don't pretend originality, and we refer the reader to a list of references at the end.

In an attempt to achieve our described aim in this work, we organize the content of this manuscript as follows.

In the first chapter, which consists of two parts, we introduce in the first part the necessary background of (classical) affine and projective varieties. In particular, we define Zariski topology for such varieties. We define regular functions, morphisms and rational maps of varieties. We describe how a coordinate ring is associated to an affine variety and how equivalence of categories relate both sides. We show how a projective variety is covered by affine opens. We prove that the dimension of an affine variety coincides with the (Krull) dimension of its corresponding coordinate algebra. We define tangent spaces and study some elementary properties of nonsingular points. Also, we define the notion of normal varieties and show that a nonsingular variety is necessarily normal. In the second part of this chapter, we introduce divisors in terms of places and study some of their properties on (classical) curves. We give in particular a detailed survey on Riemann-Roch theory on these curves.

The second chapter, consisting of three parts deals with the theory of schemes. The first part lays out the basic definitions and properties of sheaf theory. The second one discusses schemes, morphisms between schemes, fiber products and dimension of schemes. It deals also with local and global properties of schemes. This includes the notions of Noetherian, irreducible, reduced, integral, regular, normal, separated, proper, projective schemes. We also study modules over schemes. The third part deals with cohomological interpretations in scheme theory and introduce Weil and Cartier divisors (defined now in terms of schemes). For a full treatment of sheaves, schemes, Weil divisors and Cartier divisors, we refer to [9], [17] and [12].

The third chapter consists of two parts. In the first one, we give a brief survey on simple and semisimple modules, on central simple algebras and prove in particular fundamental theorems like Wedderburn's theorem, the double centralizer theorem and Skolem-Noether theorem. We show how to build and we study Brauer group of a field and show how crossed products relate this group to a second Galois cohomology group. For more details on central simple algebras, we refer to [15], [10] and [21]. The second part, concerns Severi-Brauer varieties and discusses some of their properties and the interplay between these varieties, central simple algebras and some cohomological interpretations.

# Notation and terminology

$k$	<i>a field</i>
$k[T_1, \dots, T_n]$	<i>The (commutative) <math>k</math>–algebra of polynomials in <math>n</math> indeterminates with coefficients in <math>k</math>.</i>
$\mathbb{A}^n$	<i>The affine space of dimension <math>n</math> over <math>k</math>.</i>
$\mathbb{P}^n$	<i>The projective space of dimension <math>n</math> over <math>k</math></i>
$\mathbb{Z}, \mathbb{Q}, \mathbb{R}, \mathbb{C}$	<i>The ring of integers, rational numbers, real numbers, complex numbers.</i>
$R$	<i>a commutative ring with identity element.</i>
UFD	<i>Unique factorization domain.</i>
PID	<i>Principal ideal domain.</i>
DVR	<i>Discrete valuation ring.</i>
$Z(S)$	<i>The set of common zeros of the polynomials in <math>S</math>.</i>
$I(X)$	<i>The ideal of a set <math>X</math>.</i>
$k[X]$	<i>The coordinate ring of an algebraic set.</i>
$\mathcal{O}(X)$	<i>The set of all regular functions on a variety <math>X</math> (the ring of regular functions on <math>X</math>)</i>
$\text{var}(k)$	<i>The category of varieties over <math>k</math>.</i>
$\mathcal{O}_x$	<i>The local ring of <math>X</math> at <math>x</math>, also called the ring of germs of regular functions at <math>x</math>.</i>
$T_x X$	<i>The tangent space to an algebraic set <math>X</math> at <math>x</math>.</i>
$\text{Der}_x(k[X])$	<i>The set of derivations of <math>k[X]</math> at <math>x</math>.</i>
$\text{RF}(X, Y)$	<i>The set of all rational functions from <math>X</math> to <math>Y</math>.</i>
$\mathcal{T}\mathcal{A}_k$	<i>The category of spaces of functions over <math>k</math>.</i>
$V^\vee, \text{Hom}(V, k)$	<i>The dual space of <math>V</math>.</i>
$R_{\mathfrak{p}}$	<i>localization at <math>\mathfrak{p}</math>.</i>
$\text{Div}(E)$	<i>The group of divisors of a function field <math>E/k</math>.</i>
$\mathcal{L}(D)$	<i>The Riemann-Roch Space.</i>
$l(D)$	<i><math>\dim_k(\mathcal{L}(D))</math>.</i>
$\mathbb{A}_E$	<i>The set of all adèles of <math>E/k</math>.</i>
$\mathbb{P}_E$	<i>The set of all places <math>P</math> of <math>E/k</math>.</i>
$\text{Top}$	<i>The category of topological spaces.</i>
$\mathcal{F}$	<i>(pre)sheaf on a topological space.</i>
$\mathcal{F}^+$	<i>Sheafification of presheaf <math>\mathcal{F}</math>.</i>
$\mathcal{F}_x$	<i>The stalk of a presheaf <math>\mathcal{F}</math> at a point <math>x</math>.</i>
$\text{AbSh}_X$	<i>The category of abelian sheaves.</i>
$\text{PreSh}_X$	<i>The category of presheaves on the topological space <math>X</math>.</i>
$f_*\mathcal{F}$	<i>The pushforward of <math>\mathcal{F}</math>.</i>
$f^{-1}\mathcal{G}$	<i>The pullback sheaf.</i>
$\mathcal{C}$	<i>a category.</i>
$\text{Spec}(R)$	<i>The set of all prime ideals of <math>R</math>.</i>
$\mathcal{RS}$	<i>The category of ringed spaces.</i>
$\text{Sh}_X$	<i>The category of sheaves on <math>X</math>.</i>
$\text{Sch}$	<i>The category of schemes.</i>
$\text{ASch}$	<i>The category of affine schemes.</i>
$\mathcal{O}_{\text{Spec}}$	<i>The Structure Sheaf on <math>\text{Spec}(R)</math>.</i>
$\text{QCoh}_{\mathcal{O}_X}$	<i>The category of quasi-coherent <math>\mathcal{O}_X</math>-modules.</i>
$\text{Coh}(\mathcal{O}_X)$	<i>The category of coherent <math>\mathcal{O}_X</math>-modules.</i>
$S(M)$	<i>The set for all submodules of <math>M</math>.</i>



# Notation and terminology

$\text{Cdiv}(X)$	<i>The group of Cartier divisors.</i>
$\text{Cdiv}_+(X)$	<i>The set of effective Cartier divisors.</i>
$\text{CaCl}(X) := \text{CaDiv}(X) / \sim$	<i>Cartier divisors class group.</i>
$\text{Div}(X)$	<i>The group of Weil divisors.</i>
$\text{Div}^0(X)$	<i>The principal divisors.</i>
$\text{Cl}(X) := \text{Div}(X) / \text{Div}^0(X)$	<i>Weil class group of <math>X</math></i>
$\text{CSA}(F)$	<i>The class of all central simple algebras over <math>F</math>.</i>
$\text{Br}(F)$	<i>The Brauer group of <math>F</math>.</i>
$\text{Br}(E/F)$	<i>The relative Brauer group of the field extension <math>E \supseteq F</math>.</i>
$G := \text{Gal}(E/F)$	<i>The Galois group of <math>E/F</math>.</i>
$(E, G, a)$	<i>The crossed product algebra over <math>F</math> determined by <math>E</math> and <math>a</math>.</i>
$A = (E/F, \sigma, \beta)$	<i>The cyclic algebra over <math>F</math> determined by <math>E</math> and <math>\beta</math>.</i>
$\text{AbGrp}$	<i>The category of abelian groups</i>
$H^0(G, M)$	<i>The zeroth cohomology set of <math>G</math> with coefficients in <math>M</math>.</i>
$H^1(G, M)$	<i>The first cohomology set of <math>G</math> with coefficients in <math>M</math>.</i>
$\text{Az}_n^F$	<i>The set of all isomorphy classes of central simple algebras <math>A</math> of dimension <math>n^2</math> over <math>F</math>.</i>
$\text{Az}_n^{E/F}$	<i>The set of all isomorphy classes of central simple algebras <math>A</math> which are of dimension <math>n^2</math> over <math>F</math> and split over <math>E</math>.</i>
$\text{BS}_m^F$	<i>The set of all isomorphy classes of Severi-Brauer varieties <math>X</math> of dimension <math>m</math> over <math>F</math>.</i>
$\text{BS}_m^{E/F}$	<i>The set of all isomorphy classes of Severi-Brauer varieties <math>X</math> of dimension <math>m</math> over <math>F</math>.</i>

## Chapter 1

# Introduction to the Geometry of Affine and Projective Spaces

In *Algebraic Geometry*, we study geometric objects - *varieties* - that are defined by polynomial equations. One fascinating aspect of this is that we can do geometry over arbitrary fields, however we can gain a lot of geometric intuition from looking at *algebraically closed fields*  $k^*$ . The theory developed here is often described as the commutative part of algebraic geometry for it relies heavily on concepts and results from *commutative algebra*. In particular, unless otherwise mentioned, all considered algebras in this chapter -as well as in the second one- are assumed to be commutative. More details about the content of this chapter were given in the general introduction of this manuscript and we see no interest to repeat this description here.

### 1.1 Affine and projective varieties

In this section, we will define the basic objects of our study : *Algebraic sets* in affine space of dimension an arbitrary integer  $n$   $\mathbb{A}^n = k^n$ . We define also *affine* and *projective varieties* and give some of their first properties..

Throughout the rest, we let  $k[T_1, \dots, T_n]$  denote the (commutative)  $k$ -algebra of polynomials in  $n$  indeterminates  $T_1, \dots, T_n$ , with coefficients in  $k$ . A polynomial  $f \in k[T_1, \dots, T_n]$  defines a function  $\tilde{f} : \mathbb{A}^n \rightarrow k$ , given by  $(a_1, \dots, a_n) \mapsto f(a_1, \dots, a_n)$ . The  $k$ -valued functions on  $\mathbb{A}^n$  form a  $k$ -algebra via pointwise addition and multiplication.

The map

$$\begin{array}{ccc} \varphi : k[T_1, \dots, T_n] & \longrightarrow & \{ \text{functions, } \mathbb{A}^n \rightarrow k \} \\ f & \longmapsto & \tilde{f} \end{array}$$

is a  $k$ -algebra homomorphism.

#### 1.1.1 Affine varieties

As seen aboven the *affine space* of dimension  $n$  over  $k$  is simply the set  $k^n$ . It will be denoted by  $\mathbb{A}_k^n$  or simply by  $\mathbb{A}^n$ . The elements (also called points)  $\mathbb{A}^n$  are then  $n$ -uples  $(a_1, \dots, a_n)$ , where  $a_i \in k$  for  $i = 1, \dots, n$ . Algebraic sets in the affine space are defined by means of subsets  $S \subseteq k[T_1, \dots, T_n]$ . For such a subset, we let by  $(S)$  be the ideal of  $k[T_1, \dots, T_n]$  generated by  $S$ .

**Definition 1.1.1** Let  $S \subseteq k[T_1, \dots, T_n]$  be any subset. The set

$$Z(S) := \{ (a_1, \dots, a_n) \in \mathbb{A}^n \mid f(a_1, \dots, a_n) = 0, \text{ for all } f(T_1, \dots, T_n) \in S \}$$

is called the *algebraic set* (of  $\mathbb{A}^n$ ) defined by  $S$ .

**Remarks 1.1.1** i) It is not hard to see that if the set of polynomials is larger, the set of common zeros is smaller, i.e.,

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\*A field  $k$  is *algebraically closed* if every non-constant polynomial (on one indeterminate and with coefficients in  $k$ ) has a root in  $k$ . It follows that every polynomial of degree  $n$  can be uniquely factorized (up to permutation of the factors) as

$$P = c \prod_{i=1}^n (X - a_i)$$

where  $c$  and the  $a_i$  are elements of  $k$ .

$$S \subseteq S' \implies Z(S') \subseteq Z(S)$$

ii) If  $I$  is the ideal generated by the polynomials in  $S$ , then we have  $Z(I) = Z(S)$ . So algebraic sets can be defined  $Z(I)$  for ideals  $I \subseteq k[T_1, \dots, T_n]$ . Recall that all ideals in  $k[T_1, \dots, T_n]$  are finitely generated by the **Hilbert Basis Theorem**.

**Examples 1.1.1** 1) Affine  $n$ -space itself is an algebraic set, since  $\mathbb{A}^n = Z(0)$ . Similarly, the empty set  $\emptyset = Z(1)$  is an algebraic set.

2) Any single point in  $\mathbb{A}^n$  is an algebraic set. Indeed, we have  $\{(a_1, \dots, a_n)\} = Z(T_1 - a_1, \dots, T_n - a_n)$ .

3) The **special linear group**,  $SL(n, k)$  which is the set of all matrices  $A = (a_{ij})_{1 \leq i, j \leq n}$  with entries in  $k$  and such that  $\det(A) = 1$ , can be viewed as a subset of  $\mathbb{A}^{n^2}$  by the **correspondence**

$$(a_{ij}) \longmapsto (a_{11}, \dots, a_{1n}, \dots, a_{21}, \dots, a_{2n}, \dots, a_{n1}, \dots, a_{nn})$$

This is an algebraic set because the determinant of a matrix is a polynomial function of the matrix-elements, so that  $SL(n, k)$  is the set of zeros of the polynomial,  $\det(A) - 1$  for  $A \in \mathbb{A}^{n^2}$ .

Here are some basic properties of algebraic sets and the ideals that generate them :

**Proposition 1.1.1** Let  $I, J$  be ideals of  $k[T_1, \dots, T_n]$ . Then

- i)  $I \subseteq J$  implies  $Z(J) \subseteq Z(I)$ .
- ii)  $Z(IJ) = Z(I \cap J) = Z(I) \cup Z(J)$ .
- iii)  $Z(\sum I_i) = \cap Z(I_i)$ .

**Proof.** i) For  $a \in Z(J)$ , we have  $f(a) = 0$ , for all  $f \in J$ , so in particular for all  $f \in I$ . So  $f \in Z(I)$ .

ii) Plainly, we have  $IJ \subseteq I \cap J \subseteq I, J$ , so  $Z(I \cap J) \subseteq Z(I) \cup Z(J)$ . For the reverse inclusions, let  $a \notin Z(I) \cup Z(J)$ , then there exists  $f \in I$  and  $g \in J$  such that  $f(a) \neq 0$  and  $g(a) \neq 0$ . Then  $fg(a) \neq 0$ , so  $a \notin Z(IJ)$ .

iii) For all  $j$ , we have  $I_j \subseteq \sum I_i$  then  $Z(\sum I_i) \subseteq Z(I_j)$ , hence  $Z(\sum I_i) \subseteq \cap Z(I_i)$ . Conversely, for  $a \in \cap Z(I_i)$ , we have  $a \in Z(I_i)$ , for all  $i$ . For each  $f \in \sum I_i$ , we can write  $f = \sum_{k=1}^r f_k$ , where  $f_k \in I_{i_k}$ ,  $k = 1, \dots, r$ . So,  $f(a) = \sum_{k=1}^r f_k(a) = 0$ , therefore  $a \in Z(\sum I_i)$

It follows that the **algebraic sets** in  $\mathbb{A}^n$  satisfy the axioms of the closed sets in a **topology**.

**Definition 1.1.2** The **Zariski topology** on  $\mathbb{A}^n$  is the topology for which the closed sets are algebraic sets of  $\mathbb{A}^n$ .

**Notation.** For a subset  $X \subseteq \mathbb{A}^n$ , define  $I(X) := \{f \in k[T_1, \dots, T_n] \mid f(x) = 0, \forall x \in X\}$ . The set  $I(X)$  is an ideal in  $k[T_1, \dots, T_n]$ . One can easily see that is an ideal in  $k[T_1, \dots, T_n]$ .

**Example 1.1.1** Let  $a = (a_1, \dots, a_n) \in \mathbb{A}^n$  be a point, then the ideal of the one-point set  $\{a\}$  is  $I(a) := I(\{a\}) = (T_1 - a_1, \dots, T_n - a_n)$ .

We have now constructed operations

$$\begin{array}{ccc} \{\text{Algebraic sets in } \mathbb{A}^n\} & \longleftrightarrow & \{\text{ideals in } k[T_1, \dots, T_n]\} \\ X & \longrightarrow & I(X) \\ Z(J) & \longleftarrow & J \end{array}$$

and should check whether they actually give a bijective **correspondence** between **ideals** of  $k[T_1, \dots, T_n]$  and **algebraic sets**.

**Lemma 1.1.1** Let  $S$  and  $S'$  be a subsets of  $k[T_1, \dots, T_n]$  and let  $X$  and  $X'$  be a subsets of  $\mathbb{A}^n$

- a) If  $X \subseteq X'$  then  $I(X') \subseteq I(X)$ .

- b)  $X \subseteq Z(I(X))$  and  $S \subseteq I(Z(S))$ .
- c) The **Zariski closure** of  $X$  is exactly  $Z(I(X))$ . So, if  $X$  is an algebraic set, then  $Z(I(X)) = X$ .
- d)  $I(X \cup X') = I(X) \cap I(X')$ .

**Proof.** a) Clear.

b) Clear.

c) By b), we have  $X \subseteq Z(I(X))$  and so  $\bar{X} \subseteq Z(I(X))$ . Conversely, let  $W \subseteq \mathbb{A}^n$  be an algebraic set containing  $X$  and write  $W = Z(S)$  for some  $S \subseteq k[T_1, \dots, T_n]$ . Then, again by b), we have  $S \subseteq I(Z(S)) = I(W) \subseteq I(X)$  and so  $Z(I(X)) \subseteq Z(S) = W$ , as required.

d) We have  $X, X' \subseteq X \cup X'$ , so by a) we get  $I(X \cup X') \subseteq I(X) \cap I(X')$ . Conversely for  $f \in I(X) \cap I(X')$ , we have  $f(x) = 0$ , for all  $x \in X \cup X'$ . So  $f \in I(X \cup X')$ .

By this lemma, the only thing left that would be needed for a bijective correspondence between **ideals** of  $k[T_1, \dots, T_n]$  and **algebraic sets**  $\mathbb{A}^n$  would be  $I(Z(J)) \subset J$  for any ideal  $J$  (so that then  $I(Z(J)) = J$  by part b). Unfortunately, the following example shows that why this is not true in general.

**Example 1.1.2** Let  $J \trianglelefteq \mathbb{C}[X]$  be a nonzero ideal. As  $\mathbb{C}[X]$  is a principal ideal domain and  $\mathbb{C}$  is algebraically closed, we have

$$J = ((X - b_1)^{m_1} \dots (X - b_n)^{m_n})$$

for some  $n \in \mathbb{N}$ , distinct elements  $b_1, \dots, b_n \in \mathbb{C}$ , and  $m_1, \dots, m_n \in \mathbb{N}$ . Obviously, the zero locus of this ideal in  $\mathbb{A}^1$  is  $Z(J) = \{b_1, \dots, b_n\}$ . The polynomials vanishing on this set are precisely those that contain each factor  $X - b_i$  for  $i = 1, \dots, n$  at least once, i. e. we have

$$I(Z(J)) = ((X - b_1) \cdots (X - b_n)) \neq J.$$

If at least one of the numbers  $m_1, \dots, m_n$  is greater than 1, this is a bigger ideal than  $J$ .

In what follows we will see that a bijective correspondence does however exist between algebraic sets in  $\mathbb{A}^n$  and some special ideals (radical ideals) of  $k[T_1, \dots, T_n]$ .

**Definition 1.1.3** Let  $R$  be a commutative ring and let  $J \subseteq R$  be an ideal. Then the set of  $a \in R$  with the property that  $a^m \in J$  for some  $m > 0$  is an ideal of  $R$ , called the **radical** of  $J$  and denoted  $\text{rad}(J)$ . We say that  $J$  is a radical ideal if  $\text{rad}(J) = J$ .

We say that the ring  $R$  is **reduced** if the zero ideal  $(0)$  is a radical ideal (in other words, if  $a \in R$  with that  $a^m = 0$ , for some positive integer  $m$ , then  $a = 0$ ).

**Lemma 1.1.2** If  $A$  and  $B$  are integral domains, with  $B$  integral over  $A$ , then  $B$  is a field if and only if  $A$  is a field.

**Proof.** Let  $b \in B$  be a nonzero element. Since  $B$  is an integral over  $A$ , then we can write

$$b^m + a_{m-1}b^{m-1} + \dots + a_0 = 0 \tag{1.1}$$

with  $m \in \mathbb{N}$  a nonzero natural integer,  $a_i \in A$  ( $1 \leq i \leq m$ ). Moreover, Since  $A$  is integral domain, we can suppose that  $a_0 \neq 0$ .

Suppose that  $A$  is a field, then  $a_0$  has an inverse in  $A$ . By (1.1), we have :

$$\begin{aligned} a_0 &= -(b^m + a_{m-1}b^{m-1} + \dots + a_1b) \\ &= -(b^{m-1} + a_{m-1}b^{m-2} + \dots + a_1)b \end{aligned}$$

$1 = -a_0^{-1}(b^{m-1} + a_{m-1}b^{m-2} + \dots + a_1)b$ , which shows that  $b$  is a unit of  $B$ . Conversely, suppose  $B$  is a field and  $r \in A$ . Then  $r^{-1} \in B$  and we can write  $r$  :

$$r^{-n} + a_{n-1}r^{-(n-1)} + \dots + a_0 = 0$$

for some positive integer  $n$  and some elements  $a_i \in R$ . If we multiply this equality by  $r^{n-1}$ , we get

$$r^{-1} + a_{n-1} + \dots + a_0r^{n-1} = 0.$$

Hence  $r^{-1} = -(a_{n-1} + \dots + a_0r^{n-1}) \in A$ .

**Theorem 1.1.1** Let  $A$  be a finitely generated algebra over  $k$ . If  $A$  is a field, then  $A$  is an algebraic extension of  $k$ .

**Proof.** See [6, Lemma, 9.1.2, p.454].

**Corollary 1.1.1** (*Hilbert's Nullstellensatz*) (weak form). Let  $k$  be an **algebraically closed** field. The maximal ideals of  $k[T_1, \dots, T_n]$  are precisely the ideals

$$I(a_1, \dots, a_n) = (T_1 - a_1, T_2 - a_2, \dots, T_n - a_n)$$

for all points  $(a_1, \dots, a_n) \in \mathbb{A}^n$

**Proof.** Let  $\mathfrak{m}$  be a maximal ideal of  $k[T_1, \dots, T_n]$  and  $A := \frac{k[T_1, \dots, T_n]}{\mathfrak{m}}$ . Plainly, obvious that  $A$  is a finitely generated algebra over  $k$  (generated by the elements  $T_i + \mathfrak{m}$  of  $A$ ); moreover by theorem 1.1.1,  $A$  is an algebraic field extension of  $k$ . Since  $k$  is algebraically closed, embedding  $\phi : k \rightarrow A (= \frac{k[T_1, \dots, T_n]}{\mathfrak{m}})$ ,  $a \mapsto a + \mathfrak{m}$  is an isomorphism (of fields). In particular there exists  $a_i \in k$  such that  $T_i + \mathfrak{m} = \phi(a_i)$  (for all  $1 \leq i \leq n$ ). This means that  $T_i - a_i \in \mathfrak{m}$ , so the ideal  $(T_1 - a_1, \dots, T_n - a_n)$  is contained in  $\mathfrak{m}$ . Conversely, for any  $f \in \mathfrak{m}$  considering  $f$  as a polynomial in  $T_1$  and taking the **Euclidean division** of  $f$  by  $T_1 - a_1$ , we get  $f = f_1(T_1, \dots, T_n)(T_1 - a_1) + r(T_2, \dots, T_n)$ , where  $f_1(T_1, \dots, T_n), r(T_2, \dots, T_n) \in k[T_1, \dots, T_n]$ , with  $\deg r(T_2, \dots, T_n) = 0$  i.e,  $T_1$  not appearing in  $r(T_2, \dots, T_n)$ . Once again, taking the Euclidean division of  $r(T_1, \dots, T_n)$  by  $T_2 - a_2$ , we get

$$f = f_1(T_1, \dots, T_n)(T_1 - a_1) + f_2(T_2, \dots, T_n)(T_2 - a_2) + r_3(T_3, \dots, T_n)$$

Continuing in this way, we get

$$f = f_1(T_1, \dots, T_n)(T_1 - a_1) + \dots + f_n(T_n)(T_n - a_n) + a.$$

We have  $T_i - a_i \in \mathfrak{m}$ , so necessarily  $a = 0$  (for  $a \in \mathfrak{m}$  and  $\mathfrak{m}$  is a maximal ideal of  $k[T_1, \dots, T_n]$ ). Therefore  $f \in (T_1 - a_1, \dots, T_n - a_n)$ . So  $\mathfrak{m} = (T_1 - a_1, T_2 - a_2, \dots, T_n - a_n)$ .

**Corollary 1.1.2** Let  $k$  be an **algebraically closed** field. For every proper ideal  $J$  in  $k[T_1, \dots, T_n]$ , there is a point  $x \in Z(J)$ .

**Proof.** Let  $J$  be an proper ideal in  $k[T_1, \dots, T_n]$  and let  $\mathfrak{m}$  be a maximal ideal of  $k[T_1, \dots, T_n]$  containing  $J$ . By corollary 1.1.1, we can write  $\mathfrak{m} = (T_1 - a_1, \dots, T_n - a_n)$ . As  $J \subseteq \mathfrak{m}$ , we may conclude that  $(a_1, \dots, a_n) \in Z(J)$ .

**Theorem 1.1.2** (*Hilbert's Nullstellensatz*). Let  $k$  be an **algebraically closed** field. Then for every ideal  $J$  of  $K[T_1, \dots, T_n]$  we have  $I(Z(J)) = \text{rad}(J)$

**Proof.** Let  $f \in \text{rad}(J)$ , then there is some positive integer  $n$  such that  $f^n \in J$ , so  $f^n$  vanishes on  $Z(J)$ , hence  $f$  vanishes on it too. Thus,  $I(Z(J)) \supseteq \text{rad}(J)$ . For the reverse inclusion, let's introduce a new auxiliary indeterminate is to introduce a new auxiliary variable  $T_{n+1}$ . Let's also consider some  $g \in I(Z(J))$  and let  $L$  be the ideal of the polynomial ring  $k[T_1, \dots, T_{n+1}]$  given by

$$L = J \cdot k[T_1, \dots, T_{n+1}] + t(1 - T_{n+1} \cdot g)$$

In geometric terms the zero-locus  $Z(L) \subseteq \mathbb{A}^{n+1}$  is the intersection of the the subset  $Z = Z(1 - T_{n+1}, g)$  and the inverse image  $\pi^{-1}(Z(J))$  of  $Z(J)$  under the projection  $\pi : \mathbb{A}^{n+1} \rightarrow \mathbb{A}^n$  that forgets the auxiliary coordinate  $T_{n+1}$ . This intersection is empty since obviously  $g$  does not vanish along  $Z$ , but vanishes identically on  $\pi^{-1}(Z(J))$ . The corollary 1.1.1 therefore gives that  $1 \in L$ , and there are polynomials  $f_i$  in  $J$  and  $h_i$  and  $h$  in  $k[T_1, \dots, T_{n+1}]$  satisfying a relation like

$$1 = \sum_{i=1}^m f_i(T_1, \dots, T_n) h_i(T_1, \dots, T_{n+1}) + h(1 - T_{n+1} \cdot g)$$

We substitute  $T_{n+1} = \frac{1}{g}$  and multiply through by a sufficiently high power  $g^N$  of  $g$  to obtain

$$g^N = \sum f(T_1, \dots, T_n) H_i(T_1, \dots, T_n)$$

where  $H_i(T_1, \dots, T_n) = g^N \cdot h_i(T_1, \dots, T_n, g^{-1})$ . Hence  $g \in \text{rad}(J)$ .

*Hilbert's Nullstellensatz*<sup>†</sup> precisely describes the *correspondence* between *algebra* and *geometry* :

**Corollary 1.1.3** Let  $k$  be an *algebraically closed* field.

- i) The map  $J \mapsto Z(J)$  defines a one-to-one *correspondence* between the set of radical ideals in  $k[T_1, \dots, T_n]$  and the set of algebraic subsets of  $\mathbb{A}^n$ . Its inverse is given by  $X \mapsto I(X)$ , for any algebraic set in  $\mathbb{A}^n$  i.e

$$\left\{ \begin{array}{c} \text{algebraic sets} \\ \text{in } \mathbb{A}^n \end{array} \right\} \xrightleftharpoons[Z]{I} \left\{ \begin{array}{c} \text{radical ideals in} \\ k[T_1, \dots, T_n] \end{array} \right\}. \quad (1.2)$$

- ii) There is a one-to-one *correspondence*

$$\begin{array}{ccc} \{ \text{points of } \mathbb{A}^n \} & \longleftrightarrow & \{ \text{maximal ideals of } k[T_1, \dots, T_n] \} \\ p & \longmapsto & m_p \end{array}$$

where  $m_p := (T_1 - p_1, \dots, T_n - p_n)$ ,

**Proof.** i) This follows from the fact that  $I(Z(J)) = J$  and  $Z(I(X)) = X$ , for every radical ideal  $J$  of  $k[T_1, \dots, T_n]$  and every algebraic set  $X$  in  $\mathbb{A}^n$ .

- ii) Let  $J$  be a maximal ideal of  $k[T_1, \dots, T_n]$ , then by corollary 1.1.1 there exists  $a_1, \dots, a_n \in k$  such that  $J = (T_1 - a_1, \dots, T_n - a_n) = m_p$ , hence  $J = m_p$ , where  $p = (a_1, \dots, a_n)$ . Then prove that  $p \mapsto m_p$  is a surjective map from  $\mathbb{A}^n$  onto the set of maximal ideals of  $k[T_1, \dots, T_n]$ . This map is also injective, indeed let  $p_1$  and  $p_2 \in \mathbb{A}^n$ , and suppose  $m_{p_1} = m_{p_2}$ , then  $Z(m_{p_1}) = Z(m_{p_2})$ , but we have  $Z(m_{p_i}) = \{p_i\}$  ( $1 \leq i \leq n$ ). So,  $p_1 = p_2$ .

**Corollary 1.1.4** The radical of an ideal of  $k[T_1, \dots, T_n]$  is equal to the intersection of the maximal ideals containing it.

**Remark 1.1.1** The *radical* of an ideal is the intersections of all prime ideals that contain it (corollary 1.1.4). The statement given here is true in the above context, where the basic field is algebraically closed.

**Proof.** Let a  $J \subseteq k[T_1, \dots, T_n]$  be an ideal. Because maximal ideals are radical, every maximal ideal containing  $J$  also contains  $\text{rad}(J)$ , so

$$\text{rad}(J) \subset \bigcap_{m \supset J} m$$

For each  $P = (a_1, \dots, a_n) \in k^n$ ,  $m_P = (T_1 - a_1, \dots, T_n - a_n)$  is a maximal ideal in  $k[T_1, \dots, T_n]$  and

$$f \in m_P \Leftrightarrow f(P) = 0$$

so

$$m_P \supset J \Leftrightarrow P \in Z(J)$$

If  $f \in m_P$  for all  $P \in Z(J)$ , then  $f$  vanishes on  $Z(J)$ , so  $f \in I(Z(J)) = \text{rad}(J)$ . It follows that

$$\text{rad}(J) \supseteq \bigcap_{P \in Z(J)} m_P$$

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<sup>†</sup>*Hilbert's Nullstellensatz* is a theorem that establishes a fundamental relationship between geometry and algebra. This relationship is the basis of algebraic geometry, a branch of mathematics. It connects algebraic sets to ideals in polynomial rings on algebraically closed fields. This relation was discovered by *David Hilbert* who proved the Nullstellensatz and several other important related theorems named after him (such as Hilbert's basic theorems).

## The coordinate ring of an algebraic set

The (affine) **coordinate ring** is one of the central concepts of **algebraic geometry**, particularly the theory of **affine algebraic sets**. It is the ring of **algebraic functions** on an algebraic set.

**Definition 1.1.4** Let  $X \subset \mathbb{A}^n$  be an **algebraic set**. The quotient ring

$$k[X] := k[T_1, \dots, T_n] / I(X)$$

is called the **affine coordinate ring** of  $X$ . It is a finitely generated algebra over  $k$ .

Two polynomials  $f$  and  $g$  on the indeterminates  $T_1, \dots, T_n$  restrict to the same function on  $X$  precisely when their difference  $f - g$  belongs to the ideal  $I(X)$ . Hence it is natural to interpret elements in  $k[X]$  as being polynomial functions from  $X$  into  $k$ , i.e.,  $k$ -valued functions on  $X$  that are restrictions of a polynomials.

**Example 1.1.3** Let  $X \subset \mathbb{A}^2$  be the hyperbola defined by  $XY - 1 = 0$ , so the coordinate ring is

$$k[X, Y] / (XY - 1) = k[X, X^{-1}].$$

the ring of so-called **Laurent polynomials**.

If  $X$  is an algebraic set of  $\mathbb{A}^n$  and if  $Y$  is an **algebraic set** contained in  $X$ , then as previously seen, we have  $I(X) \subseteq I(Y)$ . Conversely if  $I(Y)$  contains  $I(X)$ , then  $Y (= Z(I(Y))) \subseteq (Z(I(X)) = X$ . Moreover, in such a case,  $I(Y)/I(X)$  is a radical ideal of  $k[X]$ . It follows that there is a one-to-one **correspondence** between **radical ideals** in the coordinate ring  $k[X]$  and algebraic subsets contained in  $X$ . If  $\mathfrak{a}$  is an ideal in  $k[X]$ , we denote by  $Z(\mathfrak{a})$  the corresponding closed subset of  $X$ , i.e.,  $Z(\mathfrak{a}) := Z(\phi^{-1}(\mathfrak{a}))$ , where  $\phi : k[T_1, \dots, T_n] \rightarrow k[X]$  is the canonical epimorphism. Also, for a subset  $Y$  of  $X$ , we let  $I_X(Y) := I(Y)/I(X) (\in k[X])$ . In particular, for a point  $a = (a_1, \dots, a_n) \in X$ , we let to be  $I_X(a)$ . Note that if  $f, g$  are polynomials of  $k[T_1, \dots, T_n]$  with  $f + I(X) = g + I(X)$  in  $k[X]$ , then for any  $x \in X$ , we have  $f(x) = g(x)$ , so  $f + I(X)$  defines a  $k$ -valued function on  $X$ . One can then see that  $Z_X(Y) = \{f + I(X) \in k[X] \mid f(y) = 0 \text{ for all } y \in Y\}$ .

**Proposition 1.1.2** The **coordinate ring**,  $k[X]$  of an algebraic set,  $X$ , has the following properties :

- i) The points of  $X$  are in a one-to-one correspondence with the maximal ideals of  $k[X]$ .
- ii) The closed sets of  $X$  are in a one-to-one correspondence with the radical ideals of  $k[X]$ .
- iii) If  $f \in k[X]$  and  $p \in X$  with corresponding maximal ideal  $\mathfrak{m}_p$ , then  $k[X]/\mathfrak{m}_p$  is isomorphic (as a field to  $k$ ) and under this identification we have  $f(p) = \pi(f)$ , where  $\pi : k[X] \rightarrow k[X]/\mathfrak{m}_p$  is the canonical epimorphism

For the proof of the previous proposition we need some lemmas.

**Lemma 1.1.3** Let  $R$  be a ring and let  $I$  of  $R$  be an ideal and let

$$p : R \rightarrow R/I$$

Then  $p$  induces a one-to-one correspondence between ideals of  $R/I$  and ideals  $J$  of  $R$  that contain  $I$  addition, for any ideal  $I$  of  $R$  and any ideal  $K$  of  $R/I$ ,

- a)  $p(I)$  is prime or maximal in  $R/I$  if and only if  $I$  is prime or maximal in  $R$ .
- b)  $p^{-1}(K)$  is prime or maximal in  $R$  if and only if  $K$  is prime or maximal in  $R/I$ .

**Proof.** See [25, Lemma A.1.24, p.335].

We will also need to know the effect of multiple quotients :

**Lemma 1.1.4** Let  $I \subset J$  be ideals of a ring  $R$  and let

- i)  $f : R \rightarrow R/I$ ,
- ii)  $g : R \rightarrow R/J$  and



iii)  $h : R/I \rightarrow (R/I)/f(J)$  be the canonical projections. Then  $(R/I)/f(J) = R/J$  and the diagram

$$\begin{array}{ccc} R & \xrightarrow{f} & R/I \\ \downarrow g & & \downarrow h \\ R/J & \xrightarrow{\quad} & (R/I)/f(J) \end{array}$$

commutes.

**Proof.** See [25, Lemma A.1.25, p.337].

**Proof.** Let  $X \subset \mathbb{A}^n$  be an algebraic set. If

$$\pi : k[T_1, \dots, T_n] \rightarrow k[X]$$

is the canonical projection, and  $J \subset k[X]$  is an ideal, then lemma 1.1.3 implies that

$$J \mapsto \pi^{-1}(J)$$

is a bijection from the set of ideals of  $k[X]$  onto the set of ideals of  $k[T_1, \dots, T_n]$  containing  $I(X)$ . Prime, and maximal ideals in  $k[X]$  correspond to prime, and maximal ideals in  $k[T_1, \dots, T_n]$  containing  $I(X)$ .

The fact that radical ideals are intersections of maximal ideals (see corollary 1.1.4) implies that this correspondence respects radical ideals too. If  $p = (a_1, \dots, a_n) \in X \subset \mathbb{A}^n$  is a point, the maximal ideal of functions in  $k[T_1, \dots, T_n]$  that vanish at  $p$  is

$$L = (T_1 - a_1, \dots, T_n - a_n) \subset k[T_1, \dots, T_n]$$

and this gives rise to the maximal ideal  $\pi(L) \subset k[X]$ .

Clearly

$$Z(\pi^{-1}(J)) = Z(J) \subseteq X$$

So  $J \mapsto Z(J)$  is a bijection between the set of radical ideals in  $k[X]$  and the algebraic sets contained  $X$ . To see that  $f(p) = \pi(f)$ , it suffices to apply lemma 1.1.4.

### Irreducible topological spaces

The algebraic set  $X = \{xy = 0\} \subset \mathbb{A}^2$  can be written as the union of the two coordinate axes  $X_1 = \{x = 0\}$  and  $X_2 = \{y = 0\}$ , which are themselves algebraic sets. However,  $X_1$  and  $X_2$  cannot be decomposed further into finite unions of smaller algebraic sets. We now want to generalize this idea. It turns out that this can be done completely in the language of topological spaces. This has the advantage that it applies to more general cases, i.e. open subsets of algebraic sets.

**Definition 1.1.5** i) Topological space  $X$  is said to be **reducible** if it can be written as a union  $X = X_1 \cup X_2$ , where  $X_1$  and  $X_2$  are (nonempty) closed subsets of  $X$  not equal to  $X$ . It is called **irreducible** otherwise. A subset  $Y$  of  $X$  is **irreducible** if it is an irreducible topological space with respect to the **induced topology**.

ii) A topological space  $X$  is called **disconnected** if it can be written as a disjoint union  $X = X_1 \cup X_2$  of (nonempty) closed subsets of  $X$  not equal to  $X$ . It is called **connected** otherwise.

**Remark 1.1.2** Note that a **Hausdorff** topological space is always **reducible** unless it consists of at most one point. Thus the notion of **irreducibility** is relevant only for **non-Hausdorff** spaces. Also one should compare it with the notion of a **connected** space.

**Proposition 1.1.3** Let  $X$  be a topological space. Then :

- 1)  $X$  is **irreducible** if and only if the intersection of any two nonempty open subsets is nonempty.



2) If  $X$  is *irreducible*, then every nonempty open subset  $U$  of  $X$  is *dense* and *irreducible*.

**Proof.** 1) Assume first that  $X$  is irreducible and let  $U_1$  and  $U_2$  be two open subsets of  $X$ . If  $U_1 \cap U_2 = \emptyset$ , it would follow, when taking complements, that  $X = U_1^c \cup U_2^c$ , and  $X$  being irreducible, we would have that  $U_i^c = X$  for either  $i = 1$  or  $i = 2$ , hence  $U_i = \emptyset$  for one of the  $i$ 's. To prove the other implication, assume that  $X$  is expressed as a union  $X = X_1 \cup X_2$  with the  $X_i$ 's being closed. Then  $X_1^c \cap X_2^c = \emptyset$ ; hence either  $X_1^c = \emptyset$  or  $X_2^c = \emptyset$ , and therefore either  $X_1 = X$  or  $X_2 = X$ .

2) Let  $U$  be a nonempty open subset of  $X$ . We have  $X = \overline{U} \cup (X \setminus U)$ , where  $\overline{U}$  is the closure of  $U$  in  $X$ , since  $X$  is irreducible and  $X \setminus U \neq U$ , then  $\overline{U} = X$ . Now that  $U$  is irreducible, let  $U_1, U_2$  be two nonempty open subsets of  $U$ . Since  $X$  is irreducible, then by 1) above the open subsets  $U \cap U_1$  and  $U \cap U_2$  of  $X$  are nonempty. Hence, again by 1) are two nonempty open subsets of  $X$ , since  $X$  is irreducible, by 1)  $(U \cap U_1) \cap (U \cap U_2)$  is nonempty. Therefore  $U_1 \cap U_2$  is nonempty, which yields (by 1))  $U$  is irreducible.

**Lemma 1.1.5** Let  $X$  be a topological space. A subspace  $Y \subseteq X$  in  $X$  is *irreducible* if and only if its closure  $\overline{Y}$  is *irreducible*.

**Proof.** By proposition 1.1.3 a subset  $Z$  of  $X$  is irreducible if and only if for any two open subsets  $U$  and  $V$  of  $X$  which meet  $Z$ ,  $U \cap V$ , also meet  $Z$ , i.e., if  $Z \cap U \neq \emptyset$  and  $Z \cap V \neq \emptyset$  we have  $Z \cap (U \cap V) \neq \emptyset$ . Therefore, to conclude, it suffices to notice that an open subset of  $X$  meets  $Y$  if and only if it meets  $\overline{Y}$ .

**Definition 1.1.6** A maximal irreducible subset of a topological space  $X$  is called an *irreducible component* of  $X$ .

Let  $X$  be a topological space. Lemma 1.1.5 shows that every *irreducible component* is closed. The set of irreducible subsets of  $X$  is ordered inductively, as for every chain of irreducible subsets their union is again irreducible. Thus **Zorn's lemma**<sup>‡</sup> implies that every *irreducible* subset is contained in an *irreducible component* of  $X$ . In particular, every point of  $X$  is contained in an irreducible component. This shows that  $X$  is the union of its *irreducible components*.

For later use, we record one more lemma.

**Lemma 1.1.6** Let  $X$  be a topological space and let  $X = \bigcup_{i \in I} U_i$  be an open covering of  $X$  by connected open subsets  $U_i$ .

- 1) If  $X$  is not connected, then there exists a nonempty subset  $J$  of  $I$  such that for all  $j \in J, i \in I \setminus J, U_j \cap U_i = \emptyset$ .
- 2) If  $X$  is connected,  $I$  is finite, and all the  $U_i$  are irreducible, then  $X$  is irreducible.

**Proof.** To prove 1), note that if we can write  $X = V_1 \cup V_2$  as a disjoint union of open and closed subsets  $V_1, V_2$ , then each  $U_i$  is contained in either  $V_1$  or  $V_2$ , so we can set  $J = \{i \in I; U_i \subseteq V_1\}$ .

For the second part, recall that every irreducible subset is contained in an irreducible component, so the assumption implies that  $X$  has only finitely many irreducible components, say  $X_1, \dots, X_n$ . Assume  $n > 1$ . Since the  $X_i$  are closed, and  $X$  is connected,  $X_1$  must intersect another irreducible component, say  $X_2$  and let  $x \in X_1 \cap X_2$ . Let  $i \in I$  with  $x \in U_i$ . Then  $U_i \cap X_1$  is open and hence dense in  $X_1$ , and similarly for  $X_2$ , so that the closure of  $U_i$  in  $X$  contains  $X_1 \cup X_2$ , a contradiction.

Next proposition relates irreducible algebraic sets in  $\mathbb{A}^n$  to prime ideals of  $k[T_1, \dots, T_n]$ .

**Proposition 1.1.4** An affine algebraic set  $X \subseteq \mathbb{A}^n$  is *irreducible* if and only if  $I(X)$  is a *prime* ideal of  $k[T_1, \dots, T_n]$  (which is equivalent to the fact that  $k[X]$  is a domain).

**Proof.** Suppose  $X$  is irreducible and let  $f, g \in k[T_1, \dots, T_n]$  be such that  $fg \in I(X)$ . Then  $X \subseteq Z(fg) = Z(f) \cup Z(g)$ . Since  $X$  is irreducible, then  $X$  is contained in  $Z(f)$  or in  $Z(g)$ . So  $f \in I(X)$  or  $g \in I(X)$ , proving that  $I(X)$  is a prime ideal.

conversely, suppose that  $X$  is the union of two closed subsets  $X_1$  and  $X_2$  that are both different from  $X$ . Then, for  $i = 1, 2$ , there exist  $f_i \in I(X_i) \setminus I(X)$  ( $i = 1, 2$ ) It is clear that  $f_1 f_2$  vanishes on  $X_1 \cup X_2 = X$ , so that  $f_1 f_2 \in I(X)$ . Thus,  $I(X)$  is not a prime ideal of  $k[T_1, \dots, T_n]$ .

<sup>‡</sup>Zorn's lemma, also known as **Kuratowski-Zorn** lemma originally called maximum principle, is a statement in the language of set theory, equivalent to the axiom of choice, that is often used to prove the existence of a mathematical object when it cannot be explicitly produced.

- Example 1.1.4** a) The affine space  $\mathbb{A}^n$  is irreducible (and thus connected) by Proposition 1.1.4, since its coordinate ring  $k[\mathbb{A}^n] = k[T_1, \dots, T_n]$  is an integral domain.
- b) The union  $X = V(x_1 x_2) \subset \mathbb{A}^2$  of the two coordinate axes  $X_1 = V(x_2)$  and  $X_2 = V(x_1)$  is not irreducible, since  $X = X_1 \cup X_2$ . But  $X_1$  and  $X_2$  themselves are irreducible. This gives a decomposition of  $X$  into a union of two irreducible spaces.

**Remark 1.1.3** The correspondence of Corollary 1.1.3 induces a bijection

$$\{\text{irreducible algebraic sets of } \mathbb{A}^n\} \longleftrightarrow \{\text{prime ideals in } k[T_1, \dots, T_n]\}$$

From the **Nullstellensatz**, we obtain the following relations between algebraic objects and geometric one :  
Let  $A = k[T_1, \dots, T_n]$  with  $k$  algebraically closed field. Then the mappings  $X \mapsto I(X)$  and  $J \mapsto Z(J)$  give a one-to-one inclusion reversing correspondence between the objects in the left and right-hand columns in the following table :

Algebra	Geometry	
maximal ideals of $A$	points of $\mathbb{A}^n$	(1.3)
prime ideals of $A$	irreducible algebraic sets of $\mathbb{A}^n$	
radical ideals of $A$	algebraic sets $\mathbb{A}^n$	

**Definition 1.1.7** An affine algebraic variety is an irreducible algebraic sets of  $\mathbb{A}^n$ .

In what follows we introduce the concept of a Noetherian (topological) space. As will be seen, these spaces allow nice decomposition into irreducible components.

### Noetherian topological spaces

**Definition 1.1.8** A topological space  $X$  is called **Noetherian** if it satisfies the descending chain condition for closed subsets : for any sequence closed subsets of  $X$  : If :

$$Y_1 \supseteq Y_2 \supseteq \dots$$

, is a such sequence, then there is an integer  $r$  such that  $Y_r = Y_j$ , for all  $j \geq r$ .

**Lemma 1.1.7** Let  $X$  be a topological space that has a finite covering  $X = \bigcup_{i=1}^r X_i$  by **Noetherian** subspaces. Then  $X$  itself is **Noetherian**.

**Proof.** Let  $X \supseteq Y_1 \supseteq Y_2 \supseteq \dots$  be a descending chain of closed subsets of  $X$ . Then  $(Y_j \cap X_i)_j$  is a descending chain of closed subsets in  $X_i$ . Therefore there exists an integer  $N_i \geq 1$  such that  $Y_j \cap X_i = Y_{N_i} \cap X_i$  for all  $j \geq N_i$ . For  $N := \max \{N_1, \dots, N_r\}$ , we have  $Y_j = Y_N$  for all  $j \geq N$ .

**Lemma 1.1.8** Let  $X$  be a Noetherian topological space.

- i) Every subspace of  $X$  is **Noetherian**.
- ii) Every open subset of  $X$  is **compact** (in particular,  $X$  is **compact**).

**Proof.** i) Let  $(Z_i)_i$  be a descending chain of closed subsets of a subspace  $Y$ . Then the closures  $\overline{Z_i}$  of  $Z_i$  in  $X$  form a descending chain of closed subsets of  $X$  which becomes stationary by hypothesis. As we have  $Z_i = Y \cap \overline{Z_i}$ , this shows that the chain  $(Z_i)_i$  becomes stationary as well.

ii) By i) it suffices to show that  $X$  is compact. Let  $(U_i)_i$  be an open covering of  $X$  and let  $\mathcal{U}$  be the set of those open subsets of  $X$  that are finite unions of the subsets  $U_i$ . As  $X$  is Noetherian,  $\mathcal{U}$  has a maximal element  $V$ . Clearly  $V = X$ , otherwise there existed an  $U_i$  such that  $V \subsetneq V \cup U_i \in \mathcal{U}$ . This shows that  $(U_i)_i$  has a finite sub-covering.

**Example 1.1.5**  $\mathbb{A}^n$  is a **Noetherian** topological space. Indeed, If  $Y_1 \supseteq Y_2 \supseteq \dots$  is a descending chain of closed subsets, then  $I(Y_1) \subseteq I(Y_2) \subseteq \dots$  is an ascending chain of ideals in  $A := k[T_1, \dots, T_n]$ . Since  $A$  is a **Noetherian** ring, this chain of ideals is eventually stationary. But for each  $i$ ,  $Y_i = Z(I(Y_i))$ , so the chain  $Y_i$  is also stationary.

**Proposition 1.1.5** If  $X$  is an algebraic subset of  $\mathbb{A}^n$ , then  $X$  is a Noetherian space.

**Proof.** Let  $X$  be an algebraic subset of  $\mathbb{A}^n$ , by lemma 1.1.8 i) and example 1.1.5, then  $X$  is a **Noetherian** space.

**Theorem 1.1.3** Let  $X$  be a Noetherian topological space. Then  $X$  is a union of finitely many irreducible closed subsets  $X_k$  of  $X$ . Furthermore, if  $X_i \not\subseteq X_j$  for any  $i \neq j$ , then the subsets  $X_k$  are unique, up to a permutation of the indices.

**Proof.** Let us prove the first part of this result. If  $X$  is irreducible, then the assertion is obvious. Otherwise,  $X = X_1 \cup X_2$ , where  $X_i$  are proper closed subsets of  $X$ . If both of them are irreducible, the assertion is true. Otherwise, one of them, say  $X_1$  is reducible. Hence  $X_1 = X'_1 \cup X'_2$  as above. Continuing in this way, we either stop somewhere and get the assertion or obtain an infinite strictly decreasing sequence of closed subsets of  $X$ . But the later case is impossible because  $X$  is Noetherian. To prove the second assertion, we assume that

$$X = X_1 \cup \dots \cup X_s = W_1 \cup \dots \cup W_t$$

where no one of the  $X_i$  (resp.  $W_j$ ) is contained in another  $X_{i'}$  (resp.  $W_{j'}$ ). We can assume that  $s \leq t$ . Obviously, we have :

$$X_1 = (X_1 \cap W_1) \cup \dots \cup (X_1 \cap W_t)$$

Since  $X_1$  is irreducible, one of the subsets  $X_1 \cap W_j$  is equal to  $X_1$ , i.e  $X_1 \subseteq W_j$ . We may assume that  $j = 1$ . Similarly, we show that  $W_1 \subseteq X_i$  for some  $i$ . Hence  $X_1 \subseteq W_1 \subseteq X_i$ . This contradicts the assumption  $X_i \not\subseteq X_j$  for  $i \neq j$ , so necessarily  $i = j$ , hence  $X_1 = W_1$  repeating this argument for  $X_2, \dots, X_s$ , we may assume that  $X_i = W_i$ , for all  $1 \leq i \leq s$ . It will follow that necessarily  $t = s$ .

**Remark 1.1.4** Compare this proof with the proof of the theorem on **factorization** of integers into **prime factors**. Irreducible **components** play the role of prime factors.

In view of proposition 1.1.5, we can apply the previous terminology to affine algebraic sets  $X$ .

**Corollary 1.1.5** Every algebraic set in  $\mathbb{A}^n$  can be expressed uniquely -up to a permutation of the indices- as a union of varieties, no one containing another.

**Example 1.1.6** Let  $f = f_1^{a_1} \dots f_r^{a_r}$  be a decomposition of  $f$  into a product of irreducible polynomials. Then

$$Z(f) = Z(f_1) \cup \dots \cup Z(f_r)$$

since the ideal  $(f_i)$  of  $k[T_1, \dots, T_n]$ , generated by  $f_i$  is prime, then  $Z(f_i)$  is a variety, therefore the above gives the decomposition of  $Z(f)$  into a union of varieties.

## 1.1.2 Projective varieties

We fix a ground field  $k$ , which we will always assume to be **algebraically closed** (we will nevertheless recall this fact in the statement of the main theorems). Let  $\mathbb{P}^n$  denote the **projective space** consisting of lines passing through the origin, but without including the origin the vector space  $k^{n+1}$ . An element of  $\mathbb{P}^n$  represented by the line generated by the nonzero vector  $x = (x_0, \dots, x_n) \in k^{n+1}$  will be denoted by  $[x] = (x_0 : \dots : x_n)$ . The elements  $(k$  is not necessarily a number field)  $x_0, \dots, x_n$  are not all zero, and they are defined only up to a common scalar multiple. They are called the **homogeneous coordinates** of the point  $[x] \in \mathbb{P}^n$ .

Let  $f \in k[T_0, \dots, T_n]$  be a polynomial of degree  $d$  with **homogeneous** decomposition

$$f = f_0 + \dots + f_d.$$

Given a point  $x = (x_0 : \dots : x_n) \in \mathbb{P}^n$ , we cannot define the expression  $f(x)$  as  $f(x_0, \dots, x_n)$ , since it clearly depends on the choice of a vector representing  $x$ . Indeed, a general representative for  $x$  will have the form  $(\lambda x_0, \dots, \lambda x_n)$  (with  $\lambda \neq 0$ ) and then  $f((\lambda x_0, \dots, \lambda x_n)) = f_0(\lambda x_0, \dots, \lambda x_n) + \dots + f_d(\lambda x_0, \dots, \lambda x_n) = f_0(x_0, \dots, x_n) + \dots + \lambda^d f_d(x_0, \dots, x_n)$ , which clearly varies when  $\lambda$  varies. However, if  $f$  is homogeneous of degree  $d$ , we have  $f(\lambda x_0, \dots, \lambda x_n) = \lambda^d f(x_0, \dots, x_n)$ .

Even if then  $f(x)$  is not defined neither, it makes sense at least to say when it is zero, since obviously  $f(\lambda x_0, \dots, \lambda x_n) = 0$  for any  $\lambda \neq 0$  if and only if  $f(x_0, \dots, x_n) = 0$ .

**Lemma 1.1.9** Let  $k$  be an infinite field,  $f \in k[T_0, \dots, T_n]$ ,  $f_0, \dots, f_d$  be forms with  $\deg(f_i) = i$ , such that  $f = \sum_{i=0}^d f_i$ .  $P \in \mathbb{P}^n(k)$  is a root of  $f$  if and only if  $P$  is a root of  $f_i$  for all  $0 \leq i \leq d$ .

**Proof.** If  $P$  is a root of every  $f_i$ , then obviously it is also a root of  $f$ . Conversely, let  $(x_0 : \dots : x_n)$  be a fixed tuple of *homogeneous coordinates* of  $P$ . We consider the polynomial

$$g(\lambda) = f(\lambda x_0, \dots, \lambda x_n) = \sum_{i=0}^d \lambda^i f_i(x_0, \dots, x_n)$$

For  $P$  to be a root of  $f$ , the polynomial  $g$  must vanish on all  $\lambda \in k \setminus \{0\}$ . Since  $k$  is infinite, this is only possible if  $g = 0$ , i.e.  $f_i(x_0, \dots, x_n) = 0$  for all  $0 \leq i \leq d$ .

The main objects we are going to study will be the subsets of a projective space defined as zeros of *homogeneous* polynomials. More precisely :

**Definition 1.1.9** A *projective algebraic* set  $X \subset \mathbb{P}^n$  is a subset for which there exists a set of *homogeneous* polynomials  $\{f_j \mid j \in J\}$  such that

$$X = \{p \in \mathbb{P}^n \mid f_j(p) = 0 \text{ for all } j \in J\}$$

For practical reasons, and in view of the previous lemma, we will say that  $f(x) = 0$  for a point  $x \in \mathbb{P}^n$  and an arbitrary polynomial  $f \in k[T_0, \dots, T_n]$  if and only if any *homogeneous component* of  $f$  vanishes at  $x$ . With this convention we can make the following definitions :

**Definition 1.1.10** i) The projective algebraic set defined by a subset  $M \subseteq k[T_0, \dots, T_n]$  will be

$$Z(M) := \{x \in \mathbb{P}^n \mid f(x) = 0, \text{ for any } f \in M\}.$$

ii) The *homogeneous ideal* of a subset  $X \subseteq \mathbb{P}^n$  will be the ideal

$$I(X) := \{f \in k[T_0, \dots, T_n] \mid f(x) = 0 \text{ for any } x \in X\}.$$

iii) The *graded ring* of a projective algebraic set  $X$  is the ring

$$S(X) := k[T_0, \dots, T_n] / I(X).$$

**Remarks 1.1.2** 1) If we want to distinguish these projective constructions from the affine ones in definition 1.1.9 and definition 1.1.1, we will denote them by  $Z_p(M)$  and  $I_p(X)$ , and the affine ones by  $Z_a(S)$  and  $I_a(X)$ , respectively.

2) ideal  $I$  of  $k[T_0, \dots, T_n]$  is said to be *homogeneous* if, for every  $f = \sum_{i=0}^d f_i \in I$ ,  $f_i$  form of degree  $i$  also  $f_i \in I$  for  $0 \leq i \leq d$ . So, as one can easily see,  $X \subseteq \mathbb{P}^n$ , the ideal  $I(X)$  is *homogeneous*.

**Example 1.1.7** a) As in the affine case, the empty set  $\emptyset = Z_p(1)$ , and the whole space  $\mathbb{P}^n = Z_p(0)$  are projective algebraic sets.

b) Let  $x \in \mathbb{P}^n$  be a point. Then the one-point set  $\{x\} = Z_p(T_0 - x_0, \dots, T_n - x_n)$ , with  $(x_0, \dots, x_n)$  the *homogeneous coordinates* of  $x$  is a projective algebraic set.

**Proposition 1.1.6** The operators  $Z_p$  and  $I_p$  satisfy the following properties :

- i)  $I(\mathbb{P}^n) = \{0\}$  ( $k$  is assumed to be infinite),  $I_p(\emptyset) = k[T_0, \dots, T_n]$ ,  $Z_p(\{0\}) = \mathbb{P}^n$ , and  $Z_p(\{1\}) = \emptyset$ .
- ii) If  $M \subset k[T_0, \dots, T_n]$  and  $(M)$  is the ideal generated by  $M$ , then  $Z_p(M) = Z_p((M))$ . In particular, any projective algebraic set can be defined by a finite number of equations.
- iii) If  $M \subset M' \subset k[T_0, \dots, T_n]$ , then  $Z_p(M') \subset Z_p(M) \subset \mathbb{P}^n$ .
- iv) If  $\{M_j\}_{j \in J}$  is a collection of subsets of  $k[T_0, \dots, T_n]$ , then  $Z_p\left(\bigcup_{j \in J} M_j\right) = \bigcap_{j \in J} Z_p(M_j)$ .

- v) If  $\{I_j\}_{j \in J}$  is a collection of ideals of  $k[T_0, \dots, T_n]$ , then  $Z_p\left(\sum_{j \in J} I_j\right) = \bigcap_{j \in J} Z_p(I_j)$ .
- vi) If  $I \subset k[T_0, \dots, T_n]$  is any **homogeneous** ideal, then  $Z_p(I) = Z_p(\text{rad}(I))$ .
- vii) If  $I, I' \subset k[T_0, \dots, T_n]$  are two **homogeneous** ideals, then  $Z_p(I \cap I') = Z_p(II') = Z_p(I) \cup Z_p(I')$ .
- ix) If  $X \subset X' \subset \mathbb{P}^n$ , then  $I_p(X') \subset I_p(X)$ .
- x) If  $\{X_j\}_{j \in J}$  is a collection of subsets of  $\mathbb{P}^n$ , then  $I_p\left(\bigcup_{j \in J} X_j\right) = \bigcap_{j \in J} I_p(X_j)$ .
- xi) For any  $X \subset \mathbb{P}^n$ ,  $X \subset Z_p(I(X))$ , with equality holding if and only if  $X$  is a projective algebraic set.

**Proof.** We will just prove the first part of i), leaving the rest since it can be proved by analogous arguments as we saw in the affine case. So we just need to prove that a homogeneous polynomial vanishing at  $\mathbb{P}^n$  is necessarily the zero polynomial. We will prove it by induction on  $n$ , the case  $n = 0$  being trivial. So assume  $n > 1$  and write  $f = f_0 + f_1 T_1 + \dots + f_d T_n^d$ , with  $f_0, f_1, \dots, f_d \in k[T_0, \dots, T_{n-1}]$  and  $f_d \neq 0$ . We thus know by induction hypothesis that we can find  $(x_0 : \dots : x_{n-1})$  such that  $f_d(x_0, \dots, x_{n-1}) \neq 0$ . But then the polynomial  $f(x_0, \dots, x_{n-1}, T_n) \in k[T_n]$  is nonzero, so it has a finite number of roots. Hence the fact that  $k$  is infinite implies that we can find a point  $(x_0 : \dots : x_{n-1} : x_n)$  not vanishing on  $f$ .

**Definition 1.1.11** Part i), iv) and vii) of proposition 1.1.6 show that the set of **projective algebraic sets** satisfy the axioms needed to be the closed sets of a topology in  $\mathbb{P}^n$ . This topology (in which the closed sets are exactly the projective algebraic sets) is called the **Zariski topology** on  $\mathbb{P}^n$ . The intersection of a projective algebraic set with an open set will be called a **quasi-projective algebraic set**. The topology induced by the **Zariski topology** on any **quasi-projective algebraic set** will be still called **Zariski topology** on that **quasi-projective algebraic set**.

Recall the following : Let  $I$  be a homogeneous ideal of  $k[T_0, \dots, T_n]$ . We say that  $I$  is homogeneous prime (or graded prime) if for any forms (i.e., homogeneous polynomials)  $f$  and  $g$  of  $k[T_0, \dots, T_n]$ , if  $fg \in I$ , then  $f \in I$  or  $g \in I$ . The (homogeneous) ideal  $I$  is said to be prime if the above implication holds but for arbitrary polynomials (not necessarily homogeneous)  $f$  and  $g$  of  $k[T_0, \dots, T_n]$ .

**Lemma 1.1.10** a) A homogeneous ideal  $I$  of  $k[T_0, \dots, T_n]$  is prime if and only if

$$fg \in I \text{ implies } f \in I \text{ or } g \in I$$

for arbitrary forms  $f, g \in k[T_0, \dots, T_n]$ .

b) If  $I$  is homogeneous, then also  $\text{rad}(I)$  is homogeneous.

**Proof.** a) We have to show that a homogeneous ideal  $I$  of  $k[T_0, \dots, T_n]$  is prime if and only if it is homogeneous prime. One sense of this implication is clear. Remains to prove that  $I$  is prime when it is homogeneous prime. To see this, assume that there exists polynomials  $f, g$  such that

$$fg \in I, \text{ but } f, g \notin I$$

Let  $f, g$  be such that  $\deg(fg)$  is least with this property. Write

$$\begin{aligned} f &= f_k + \dots + f_0 \\ g &= g_l + \dots + g_0 \end{aligned}$$

where  $f_i, g_i$  are forms of degree  $i$ , and both  $f_k$  and  $g_l$  are nonzero. Since  $I$  contains  $fg$ , it must also contain its highest degree form  $f_k g_l$ , and therefore either  $f_k$  or  $g_l$ . Assume  $f_k \in I$ . Then also  $(f_{k-1} + \dots + f_0)g = fg - f_k g \in I$ , and it is of lower degree than  $fg$ . So either  $(f_{k-1} + \dots + f_0) \in I$ , and therefore  $f \in I$ , or  $g \in I$ .

b) Let  $f = f_0 + \dots + f_k$  be a polynomial of  $k[T_0, \dots, T_n]$  with  $f_0, \dots, f_k$  being forms with increasing degrees. It suffices to show that  $f \in \text{rad}(I)$  implies  $f_k \in \text{rad}(I)$ . From  $f \in \text{rad}(I)$  we get  $f^m = f_k^m + \text{lower degree forms} \in I$  for some  $m$ , so  $f_k^m \in I$ , and therefore  $f_k \in \text{rad}(I)$ .



**Theorem 1.1.4** An ideal  $I$  of  $k[T_1, \dots, T_n]$  is homogeneous if and only if it is generated by a (finite) set of forms.

**Proof.** A homogeneous ideal is clearly generated by forms (i.e., by homogeneous polynomials). Conversely, an ideal that is generated by forms is plainly a homogeneous ideal. Indeed, these facts are true in general for any ideal of a graded ring. The fact that such a generating subset can be finite follows from the fact that the polynomial ring  $k[T_0, \dots, T_n]$  is noetherian.

As for **affine algebraic sets**, we call a **projective algebraic set**  $X \subseteq \mathbb{P}^n$  **irreducible** if it is so when endowed with its Zariski topology, i.e., if it cannot be written as the union of two algebraic subsets.

An **irreducible** projective algebraic set is called a **projective variety**. Analogously to the affine case one proves that every projective algebraic set can be decomposed uniquely into a union of finitely many projective varieties. These coincide with the irreducible components of the projective algebraic set.

Furthermore, in analogy to the affine case, one shows (using Lemma 1.1.10, a)) that the projective algebraic set  $X$  is irreducible if and only if  $I_p(X)$  is prime.

In what follows, we want to show that  $\mathbb{A}^n$  can be considered as a topological subspace of  $\mathbb{P}^n$ . To do this, we need the following definition :

**Definition 1.1.12** i) Let  $f = \sum_{i_1, \dots, i_n \in \mathbb{N}} a_{i_1, \dots, i_n} T_1^{i_1} \cdots T_n^{i_n}$  be a (nonzero) polynomial of degree  $d$  of  $k[T_1, \dots, T_n]$ . We define its **homogenization** to be the polynomial

$$\begin{aligned} f^h &:= T_0^d f\left(\frac{T_1}{T_0}, \dots, \frac{T_n}{T_0}\right) \\ &= \sum_{i_1, \dots, i_n \in \mathbb{N}} a_{i_1, \dots, i_n} T_0^{d-i_1-\dots-i_n} T_1^{i_1} \cdots T_n^{i_n} \text{ of } k[T_0, \dots, T_n] \end{aligned}$$

obviously this is a homogeneous polynomial of degree  $d$ .

ii) The **homogenization** of an ideal  $I \subseteq k[T_1, \dots, T_n]$  is defined to be the ideal  $I^h$  of  $k[T_0, \dots, T_n]$  generated by all  $f^h$  for  $f \in I$ .

**Remark 1.1.5** In the above the homogenization  $f^h$  would be called the homogenization with respect to the (new) indeterminate  $T_0$ . The same homogenization could be made with respect to any other (new) indeterminate, e.g., when a polynomial  $f \in k[R, S]$ , then for any new indeterminate  $V$ , one can define a homogenization of  $f$  with respect to  $V$  and have a polynomial  $f^h \in k[R, S, V]$ .

**Example 1.1.8** For  $f = T_1^2 - T_2^2 - 1 \in k[T_1, T_2]$ , we have  $f^h = T_1^2 - T_2^2 - T_0^2 \in k[T_0, T_1, T_2]$ .

**Remark 1.1.6** If  $f, g \in K[T_1, \dots, T_n]$  are polynomials of degree  $d$  and  $e$ , respectively, then  $fg$  has degree  $d + e$ , and so we get

$$(fg)^h = T_0^{d+e} f\left(\frac{T_1}{T_0}, \dots, \frac{T_n}{T_0}\right) \cdot g\left(\frac{T_1}{T_0}, \dots, \frac{T_n}{T_0}\right) = f^h \cdot g^h.$$

However,  $(f + g)^h$  is clearly not equal to  $f^h + g^h$  in general.

**Notation.** Let  $f_i = T_i \in k[T_0, \dots, T_n]$  and consider the open subset  $U_i = \mathbb{P}^n \setminus Z_p(T_i)$  of  $\mathbb{P}^n$ . We define the map

$$\phi_i : U_i \rightarrow \mathbb{A}^n, (x_0 : \dots : x_n) \mapsto \left(\frac{x_0}{x_i} : \dots : \frac{x_n}{x_i}\right)$$

As one can easily see,  $\phi$  is a bijective map, with inverse

$$\psi_i : \mathbb{A}^n \rightarrow U_i, (a_0, \dots, \hat{a}_i, \dots, a_n) \mapsto (a_0 : \dots : 1 : \dots : a_n)$$

**Proposition 1.1.7** For  $i \in \{0, \dots, n\}$  the map

$$\phi_i : U_i \rightarrow \mathbb{A}^n, (x_0 : \dots : x_n) \mapsto \left(\frac{x_0}{x_i} : \dots : \frac{x_n}{x_i}\right)$$

is a **homeomorphism**<sup>S</sup> when  $U_i$  and  $\mathbb{A}^n$  are endowed with their Zariski topologies.

<sup>S</sup>A **homeomorphism** between two topological spaces  $X$  and  $Y$  is a bijection  $f : X \rightarrow Y$  both  $f$  and  $f^{-1}$  are continuous.

**Proof.** We will show this result for  $i = 0$  and (the other cases follow in the same way). Let  $X \subseteq \mathbb{A}^n$  be an algebraic set of  $\mathbb{A}^n$  and write  $X = Z(f_1, \dots, f_r)$  with  $f_1, \dots, f_r \in k[T_1, \dots, T_n]$ . One can easily see that  $\phi^{-1}(X) = Z_p(g_1, \dots, g_r) \cap U_0$ , where  $g_j = f_j^h$ , for all  $j$  (recall here that  $f_j^h$  denotes the homogenization of  $f_j$ ). So,  $\phi^{-1}(X)$  is closed  $U_0$ . Conversely, let  $Y$  be an algebraic set of  $U_0$ , then we can write  $Y = Z_p(g_1, \dots, g_r) \cap U_0$  with  $g_1, \dots, g_r$  the homogeneous polynomials in  $k[T_0, \dots, T_n]$ . One can see that  $\phi(Y) = Z_a(Q_1, \dots, Q_r)$ ,  $Q_i(T_1, \dots, T_n) = g_i(1, \dots, T_n)$ .

**Remark 1.1.7** We have :

$$\mathbb{P}^n = \cup_{i=0}^n U_i$$

where  $U_i = \mathbb{P}^n \setminus Z_p(T_i)$  and by the above  $U_i \simeq \mathbb{A}^n$ , i.e.,  $U_i$  and  $\mathbb{A}^n$  are homeomorphic. Thus  $\mathbb{P}^n$  has a covering by open subsets all homeomorphic to  $\mathbb{A}^n$ .

## 1.2 Dimension of a variety

In this section, we will introduce the notion of **dimension** of a topological space, and we will give some of its elementary properties. Before this we will recall some facts concerning the (Krull) dimension of a (commutative) ring since will apply this in the study of the dimension of an algebraic variety (**projective** or **affine**).

### 1.2.1 Dimension of rings

**Definition 1.2.1** Let  $R$  be a commutative ring and  $P$  a prime ideal of  $R$ .

i) The height of  $P$  is the greatest integer  $n$  when there exists a family

$$P_0 \subsetneq \dots \subsetneq P_n = P$$

with all  $P_i$  being prime ideals of  $R$ . We write in this case  $\text{ht}(P) = n$ . If such (greatest) integer does not exist, such a large integer does not exist we write  $\text{ht}(P) = \infty$ .

ii) The (**Krull**) dimension of the ring  $R$  is

$$\dim(R) := \sup\{\text{ht}(P) \mid P \subseteq R \text{ prime}\}$$

**Examples 1.2.1** 1) Fields are of dimension 0.

2) If  $R$  is a principal ideal ring which is not a field, then  $\dim(R) = 1$ .

3) For any field  $k$ ,  $\dim(k[X]) = 1$ .

### 1.2.2 Transcendence Degree

We can describe the **size** of a field extension  $k/E$  using the idea of dimension from linear algebra

$$[k : E] = \dim_E(k)$$

But this doesn't say enough about the size of really big field extensions.

$$[k(T_1) : k] = [k(T_1, \dots, T_n) : k] = \infty$$

Another notion of the size of a field extension  $k/E$ , called **transcendence degree** is widely used in field theory and linear algebra. It has the following two important properties.

$$\text{tr.deg}_k(k(T_1, \dots, T_n)) = n$$

and if  $k/E$  is algebraic,  $\text{tr.deg}_E(k) = 0$ .

## Algebraic (In)dependence

**Definition 1.2.2** A subset  $S$  of  $k$  said to be **algebraically independent** over  $E$ , if for all nonzero polynomials  $f(T_1, \dots, T_n) \in E[T_1, \dots, T_n]$ , and  $s_1, \dots, s_n \in S$  (all distinct), we have  $f(s_1, \dots, s_n) \neq 0$ . Otherwise, we say that  $S$  is **algebraically dependent** over  $E$ .

**Example 1.2.1** 1) If  $k/E$  is an algebraic extension and  $\alpha \in k$  then  $\{\alpha\}$  is algebraically dependent over  $E$ .

2) In  $k(T_1, \dots, T_n)/k$ ,  $\{T_1, \dots, T_n\}$  is algebraically independent.

**Lemma 1.2.1** If  $S \subseteq k$  is algebraically independent, then  $S$  is maximal if and only if  $k$  is algebraic over  $E(S)$ .

**Proof.** See [29, Section 030D].

**Theorem 1.2.1 (Exchange Lemma).** Let  $k/E$  be a field extension. If  $k$  is algebraic over  $E(a_1, \dots, a_n)$ , and  $\{b_1, \dots, b_m\}$  is an algebraically independent set, then  $m \leq n$ .

**Proof.** See [29, Section 030D].

**Corollary 1.2.1** If  $k/E$  has a maximal, finite, algebraically independent set  $\{s_1, \dots, s_n\}$ , then any other maximal algebraically independent set also has size  $n$ .

**Remarks 1.2.1** i) In fact it is true that if  $k/E$  has two maximal algebraically independent sets  $S$  and  $T$  then  $|S| = |T|$ . This is analogous to the fact that the cardinality of a vector space basis is unique, even when it is infinite. The proof of this fact is difficult, and we will not need this result. We refer the reader to [29, ch.09FA, Section 030D].

ii) Every extension  $k/E$  has a maximal algebraically independent subset.

**Definition 1.2.3** 1) A maximal algebraically independent subset  $S \subseteq k$  is called a **transcendence base** for  $k/E$ . So by the above lemma,  $S$  is a transcendence base for  $k/E$  if and only if  $S$  is algebraically independent and  $k$  is algebraic over  $E(S)$ .

2) The **transcendence degree** of  $k/E$  is the size of a **transcendence base**. It is denoted  $\text{tr.deg}(k/E)$ .

**Example 1.2.2**  $\text{tr.deg}_{\mathbb{Q}}(\mathbb{Q}(\sqrt{2})) = 0$ .

**Theorem 1.2.2** Let  $k$  be a field and  $A$  be a finitely generated algebra over  $k$ . Assume that  $A$  is an **integral domain** and let  $F$  be its field of fractions. Then  $\dim(A) = \text{tr.deg}_k(F)$ .

**Proof.** See [6, Theorem, 8.9.11, p. 282].

**Example 1.2.3** We have  $\text{tr.deg}_k(k(T_1, \dots, T_n)) = n$ , so  $\dim(k[T_1, \dots, T_n]) = n$ .

### 1.2.3 Dimension of a topological space

**Definition 1.2.4** Let  $X$  be a nonempty topological space. Considering a strictly increasing chain of irreducible closed subsets of  $X$ :

$$X_0 \subsetneq X_1 \subsetneq \dots \subsetneq X_d$$

. we call  $d$  the length of this chain (that is, the number of inclusions in the chain).

The **Krull dimension** of  $X$  is the supremum of the lengths of such chains, denote it by  $\dim(X)$ . we then write  $\dim(X) = d$ .

**Remarks 1.2.2** 1) This notion has no interest if  $X$  is a **Hausdorff** space. Indeed, in such a case we have  $\dim(X) = 0$ .

2) By convention we assume that the **dimension** of the empty set is equal  $-1$ .

3) Note that the **dimension** of  $X$  may be equal to  $\infty$ .



**Lemma 1.2.2** Let  $X$  be a nonempty topological space and  $Y$  be a nonempty subspace of  $X$ . Then  $\dim(Y) \leq \dim(X)$ . In particular, if  $\dim(X)$  is finite, then also  $\dim(Y)$  is so (in this case, the integer  $\dim(X) - \dim(Y)$  is called the **co-dimension** of  $Y$  in  $X$ ).

**Proof.** Let  $S_0 \subsetneq \cdots \subsetneq S_d$  a family of irreducible closed subsets of  $Y$  and for each  $i$ , let  $\bar{S}_i$  be the closure of  $S_i$  in  $X$ , then by Lemma 1.1.5,  $\bar{S}_0 \subseteq \cdots \subseteq \bar{S}_d$  is a family of (increasing) irreducible closed subsets of  $X$ . Moreover, for any  $i \in \{1, \dots, d\}$ , we have  $S_i = \bar{S}_i \cap Y$ , so  $\bar{S}_{i-1} \neq \bar{S}_i$ , hence  $\dim(Y) \leq \dim(X)$ .

**Proposition 1.2.1** Let  $X$  be a nonempty topological space. The following statements hold :

- i) If  $X = \bigcup_{i \in I} U_i$  is an open of  $X$ , then  $\dim(X) = \sup\{\dim(U_i)\}$ .
- ii) If  $X$  is **Noetherian**, and  $X_1, \dots, X_d$  are its **irreducible components**, then  $\dim(X) = \sup_i\{\dim(X_i)\}$ .
- iii) If  $Y \subseteq X$  is closed,  $X$  is **irreducible**,  $\dim(X)$  is finite and  $\dim(X) = \dim(Y)$ , then  $Y = X$ .

**Proof.** i) Let  $X_0 \subsetneq \cdots \subsetneq X_d$  be a chain of irreducible closed subsets of  $X$  and let  $x_0$  be a point of  $X_0$ , then of  $X$ . Let  $x \in X_0$  be a point: there exists an index  $i \in I$  such that  $x \in U_i$ . Plainly, for all  $j \in \{0, \dots, d\}$ ,  $X_j \cap U_i$  is nonempty; moreover this last set is an irreducible closed subset of  $U_i$ . Consider

$$X_0 \cap U_i \subseteq X_1 \cap U_i \subseteq \cdots \subseteq X_d \cap U_i$$

of irreducible closed subsets of  $U_i$ . it is a chain of length  $d$ . We check that for any  $0 \leq j \leq d-1$ , we have  $X_j \cap U_i \neq X_{j+1} \cap U_i$ . This shows that  $\dim(X) \leq \dim(U_i)$ . Thus,  $\dim(X) \leq \sup_i\{\dim(U_i)\}$ . The reverse inequality follows by lemma 1.2.2.

- ii) Any chain of irreducible closed subsets of  $X$  is completely contained in an **irreducible component** of  $X$ . Therefore,  $\dim(X) \leq \sup_i\{\dim(X_i)\}$ . As in i) above the equality follows by lemma 1.1.5.
- iii) Let  $Y$  be a proper closed subset of  $X$  and let  $Y_0 \subsetneq \cdots \subsetneq Y_d$  be a chain of irreducible closed subsets of  $X$ . Considering the following chain

$$Y_0 \subsetneq \cdots \subsetneq Y_d \subsetneq X$$

of irreducible closed subsets of  $X$ , we see that  $\dim(Y) < \dim(X)$ .

In what follows, we restrict our attention to the case of varieties. We recall that  $k$  denotes an algebraically closed field.

### Dimension of an affine variety

Let  $X \subseteq \mathbb{A}^n$  be a **quasi-affine** variety.

**Theorem 1.2.3** Let  $X$  be an affine variety. Then

$$\dim(X) = \dim(k[X])$$

where  $k[X]$  is the **affine coordinate ring** of  $X$ .

**Proof.** Let  $X_0 \subsetneq \cdots \subsetneq X_m$  be a family of **irreducible** closed subsets of  $X$  (i.e of **affine varieties** contained in  $X$ ), then

$$P_0 = I(X_m) \subsetneq \cdots \subsetneq P_m = I(X_0)$$

and  $P_0, \dots, P_m$  are prime ideals of  $k[T_1, \dots, T_n]$ . For any  $i \in \{1, \dots, m\}$ , we have  $X_i \subseteq X$ , so  $I(X) \subseteq I(X_i)$ . Thus,  $P_i$  are prime ideals which contain  $I(X)$ . It follows that  $P_i + I(X)$  are distinct prime ideals of  $k[X]$ . Therefore,  $\dim(X) \leq \dim(k[X])$ .

The reverse inequality follows in the same way by noticing that any prime ideal of  $k[X]$  corresponds to a well defined irreducible closed subset of  $X$ .

**Corollary 1.2.2** Let  $X$  be an **affine variety**. Then

$$\dim(X) = \text{tr.deg}(\text{Frac}(k[X]))$$

**Proof.** Since  $X$  is an affine variety, then  $k[X]$  is a finitely generated  $k$ -algebra that is an integral domain, so  $\dim(k[X]) = \text{tr} \cdot \deg_k(\text{Frac}(k[X]))$ . The corollary follows then by Theorem 1.2.2.

**Corollary 1.2.3**

$$\dim(\mathbb{A}^n) = n.$$

**Proof.** Indeed, we have  $\dim(\mathbb{A}^n) = \dim(k[T_1, \dots, T_n]) = n$

**Corollary 1.2.4** The dimension of an affine variety is finite.

**Proof.** Let  $X \subseteq \mathbb{A}^n$  be an affine variety. Then by lemma 1.2.2, we have

$$\dim(X) \leq n.$$

## 1.3 Regular functions and morphisms

In this section, we will define **regular functions** on both affine and projective varieties and also morphisms between varieties. We show at the end of this section that there is an equivalence of categories between the category of affine varieties (over the base field  $k$ ) and the category of finitely generated (integral) domains over  $k$ .

### 1.3.1 Regular functions

**Definition 1.3.1** Let  $X \subseteq \mathbb{A}^n$  be a **quasi-affine** variety and let  $x \in X$ .

- i) A function  $f : X \rightarrow k$  is said to be **regular** at  $x$  if there exists an open subset  $U \subseteq X$  containing  $x$  and polynomials  $g, h \in k[T_1, \dots, T_n]$ , with  $h(y) \neq 0$  for all  $y \in U$ , such that for all  $y \in U$ , we have

$$f|_U(y) = \frac{g(y)}{h(y)}$$

- ii) A function  $f : X \rightarrow k$ , is called a **regular function** if  $f$  is **regular** at all points of  $X$ .

**Example 1.3.1** Let  $f \in k[T_1, \dots, T_n]$ , then the polynomial function defined is a **regular function** on any quasi-affine variety  $X$  of  $\mathbb{A}^n$ .

**Proposition 1.3.1** Let  $X$  be a **quasi-affine** variety.

- 1) If  $f : X \rightarrow k$  is a **regular function**, then  $f$  is continuous for the **Zariski topologies** on  $X$  and  $k$ .
- 2) If  $f$  and  $g$  are **regular functions** on  $X$  that restrict to the same function on some nonempty open subset  $U \subseteq X$ , then  $f = g$ .

**Proof.** 1) As **continuity** is a local notion, it suffices to consider the case where  $f = \frac{g}{h}$  for some polynomial functions  $g$  and  $h$  with  $h$  nowhere vanishing. Recall that the proper closed subsets of  $k$  (for its Zariski topology) are the finite subsets of  $k$ , so continuity of  $f$  then follows from the fact that, for  $a \in k$ , we have  $f^{-1}(a) = Z(g - ah)$ , which is a closed subset of  $X$ .

- 2) The set  $Z = \{x \in X | f(x) = g(x)\}$  is the inverse image of  $0 \in k$  under the **regular function**  $f - g$ , so by 1)  $Z$  a closed subset of  $X$ . Suppose that if  $f|_U = g|_U$ , then it follows from the fact that  $U$  is dense in  $X$  (Proposition 1.1.3) that  $Z = X$ .

**Definition 1.3.2** Let  $X \subseteq \mathbb{P}^n$  be a **quasi-projective** variety and let  $x \in X$

- i) A function  $f : X \rightarrow k$ , is said to be **regular** at the point  $x$  if there exists an open subset  $U \subseteq X$  containing  $x$  and **homogeneous polynomials** of the same degree  $g, h \in k[T_0, \dots, T_n]$  with  $h(y) \neq 0$  for all  $y \in U$ , such that for all  $y \in U$ , we have

$$f|_U(y) = \frac{g(y)}{h(y)}.$$

ii) A function  $f : X \longrightarrow k$  is called a **regular function** if it is **regular** at all points of  $X$ .

**Proposition 1.3.2** Let  $f : X \longrightarrow k$ , be a **regular function**. Then  $f$  is continuous when both  $X$  and  $k$  are endowed with their Zariski topologies.

**Proof.** As in the affine case, it is enough to prove that for any element  $a \in k$ ,  $f^{-1}(a)$  is closed in  $X$ ,  $a \in k$ . For all  $x \in X$ , a convenient open neighbourhood  $U$  of  $x$ , and **homogeneous polynomials** of the same degree  $g, h$  with  $h(y) \neq 0$ , for all  $y \in U$  such that

$$f|_U(y) = \frac{g(y)}{h(y)}.$$

Then

$$f^{-1}(a) = \{y \in U \mid g(y) - ah(y) = 0\} = U \cap Z_p(g - ah)$$

, which is clearly closed in  $U$ . The proposition then the following lemma.

**Lemma 1.3.1** Let  $Y$  be a topological space,  $Y = \bigcup_{i \in I} U_i$  be an open covering of  $Y$  and  $Z$  a subset of  $Y$ . Then  $Z$  is a closed subset of  $Y$  if and only if  $Z \cap U_i$  is closed in  $U_i$  for all  $i$ .

**Proof.** If  $Z$  is closed in  $Y$ , then clearly  $Z \cap U_i$  is a closed subset of  $U_i$  for all  $i \in I$ . Conversely, the fact that each  $Z \cap U_i$  is closed in  $U_i$  implies the existence of a collection of closed subsets  $Z_i$  of  $X$  such that  $U_i \cap Z = U_i \cap Z_i$ . We then have :

$$\begin{aligned} Y \setminus Z &= \bigcup_{i \in I} (U_i \setminus Z) \\ &= \bigcup_{i \in I} (U_i \cap Y \setminus Z) \\ &= \bigcup_{i \in I} (U_i \cap Y \setminus Z_i) \end{aligned}$$

which implies that  $Z$  is a closed subset of  $Y$ .

**Terminology :** In what follows, the word **variety** will be used to mean a **quasi-affine** or a **quasi-projective** variety (which includes **affine** and **projective** varieties).

### 1.3.2 Morphisms of varieties

**Definition 1.3.3** Let  $X$  and  $Y$  be varieties. A **morphism of varieties**  $\phi : X \longrightarrow Y$  is a continuous map such that for all nonempty open subset  $V$  of  $Y$ , and for any **regular function**  $f : V \longrightarrow k$ , the map  $f \circ \phi : \phi^{-1}(V) \longrightarrow k$  is a **regular function**.

**Notation.** Let  $X$  and  $Y$  be tow varieties. We denote by  $\text{Hom}_{\text{Var}}(X, Y)$  the set of morphisms from  $X$  to  $Y$ .

**Remark 1.3.1** The **composition** of two morphisms is a morphism. Indeed, one can consider the category of varieties whose morphisms are those defined in above.

Let  $U_i = \mathbb{P}^n \setminus Z_p(T_i)$ , we previously saw that  $U_i$  is homeomorphic to  $\mathbb{A}^n$ . The next proposition shows that the canonical homeomorphism between  $U_i$  and  $\mathbb{A}^n$  is an isomorphism of varieties.

**Proposition 1.3.3** Let  $U_i = \mathbb{P}^n \setminus Z_p(T_i)$ . Then the map

$$\phi_i : U_i \longrightarrow \mathbb{A}^n, (x_0 : \dots : x_n) \longmapsto \left( \frac{x_0}{x_i}, \dots, \frac{x_n}{x_i} \right).$$

is an **isomorphism** of varieties

**Proof.** We have already shown in proposition 1.1.7 that  $\phi$  is a homeomorphism.

To simplify the notation we take  $i = 0$  and denote  $U_0$  and  $\phi_0$  simply by  $U$  and  $\phi$ , respectively. To show that  $\phi$  is a morphism of varieties, let  $V$  be a nonempty open subset of  $\mathbb{A}^n$  and let  $f : V \longrightarrow k$  be a regular function. Locally,  $f$  is a quotient of two polynomials functions, so without losing the generality we can assume that  $f$  is a quotient on the whole  $V$  i.e., there exist polynomials  $g$  and  $h \in k[T_1, \dots, T_n]$ , such that for all  $y \in V$ ,  $h(y) \neq 0$  and  $f = \frac{g}{h}$ . One can then easily deduce that  $f \circ \phi : \phi^{-1}(V) \longrightarrow k$  is a regular function. Indeed, we have :

$$(f \circ \phi)(y) = \left( \frac{g}{h} \circ \phi \right)(y) = \frac{g \circ \phi(y)}{h \circ \phi(y)} = \frac{T_0^d f^h(y)}{T_0^e g^h(y)}, \text{ for all } y \in \phi^{-1}(V)$$

where  $e = \deg(f)$  and  $d = \deg(g)$ .

Conversely, Recall  $\phi^{-1} : \mathbb{A}^n \rightarrow U$  is defined by  $(b_1, \dots, b_n) \mapsto (1 : b_1 : \dots : b_n)$ . Let  $W$  be a nonempty open subset of  $U$  and  $g : W \rightarrow k$  a regular function.  $g \circ \phi^{-1} : \phi(W) \rightarrow k$  is a regular function. Then, locally  $g$  is a quotient of two homogeneous polynomials of the same degree. Also here without losing the generality we can suppose that on whole  $W$   $g$  is a quotient of such polynomial functions, say  $\frac{P}{Q}$  where  $P, Q \in k[T_0, \dots, T_n]$  i.e  $\forall y \in W, Q(y) \neq 0$  and  $g(y) = \frac{P(y)}{Q(y)}$ .

$g \circ \phi^{-1} : \phi(W) \rightarrow k$ , is then defined as follows :

$$g \circ \phi^{-1}(x) = \frac{s(P)(x)}{s(Q)(x)}, \forall x \in \phi(W), \text{ where } s(P) := P(1, T_1, \dots, T_n).$$

This shows that  $g \circ \phi^{-1} : \phi(W) \rightarrow k$  is a regular function. This shows that  $\phi$  is an isomorphism of varieties.

**Remark 1.3.2** We previously saw that  $\mathbb{P}^n = \bigcup_{i=0}^n U_i$ . Moreover, we saw that  $U_i$  is homeomorphic to  $\mathbb{A}^n$ , so  $\dim(U_i) = n$ . It follows that  $\dim(\mathbb{P}^n) = \sup_i(\dim(U_i)) = n$

**Lemma 1.3.2** Let  $X$  be an affine variety and  $\phi : X \rightarrow k (= \mathbb{A}^1)$  be a map. Then,  $\phi$  is a morphism of varieties if and only if  $\phi$  be a regular function.

**Proof.** Straightforward.

**Proposition 1.3.4** Let  $X$  be an arbitrary variety and let  $Y \subseteq \mathbb{A}^m$  be an **affine variety**. A map of sets  $\psi : X \rightarrow Y$  is a morphism if and only if  $t_i \circ \psi$  is a regular function on  $X$  for each  $i$ , where  $t_1, \dots, t_m$  are the coordinate functions on  $\mathbb{A}^m$ .

**Proof.** By lemma 1.3.2, for all  $i \in \{1, \dots, m\}$ ,  $t_i$  is a morphism. So, assuming that  $\psi$  is a morphism, it follows that  $t_i \circ \psi$  is also a morphism. Conversely, suppose that for all  $i$ ,  $t_i \circ \psi$  is a regular function, then for any polynomial function  $f : Y \rightarrow k$

$f \circ \psi$  is regular function. So, for any algebraic set  $Z(P_1, \dots, P_r) \subseteq Y$ , it follows from the equality

$$\psi^{-1}(P_1, \dots, P_r) = \bigcap_{i=1}^r (P_i \circ \psi)^{-1}(\{0\})$$

that  $\psi$  is continuous. Let  $g : Y \rightarrow k$  be a regular function, then there exists a nonempty open subset  $U \subseteq Y$  and polynomials  $g_1, g_2$  such that  $g|_U = \frac{g_1}{g_2}$ . Thus, for any  $x \in \psi^{-1}(U)$  :

$$g|_U(\psi(x)) = \frac{g_1(\psi(x))}{g_2(\psi(x))}$$

and we know  $g_i \circ \psi$  is regular functions for  $i = 1, 2$ . So,  $g \circ \psi : \psi^{-1}(U) \rightarrow k$  is a regular function.

Now, we introduce some **rings of functions** associated with any varieties.

**Definition 1.3.4** Let  $X$  be a variety. We denote by  $\mathcal{O}(X)$  the set of all **regular functions** on  $X$ . One can easily see that endowed with the natural addition and multiplication,  $\mathcal{O}(X)$  is in fact a (commutative) ring we call the ring of **regular functions** on  $X$ . For all  $x \in X$ , we define the **local ring** of  $X$  at  $x$ , denoted  $\mathcal{O}_{X,x}$ , or simply by  $\mathcal{O}_x$ , as being the ring of **germs** of regular functions at  $x$ .  $\mathcal{O}_x$  can be defined as follows : the set of all pairs  $(U, f)$ , where  $U$  is an open subset of  $X$  containing  $x$  and  $f : U \rightarrow k$  is a regular function, and we consider on this set of pairs the following relation :

$$(U, f) \sim (V, g) \text{ if } f|_{U \cap V} = g|_{U \cap V}$$

One can easily see that this is an **equivalence relation**. We define  $\mathcal{O}_x$  to be the corresponding quotient set. Usually, when there is no risk of confusion, we just write  $f$  for the class of some pair  $(U, f)$ . For a convenient set of polynomials  $S$  and regular function  $g$  defined on some open subset  $U \setminus Z(S)$  of  $U$ , we will write  $g|_{U \setminus Z(S)}$  or  $g|_{U \setminus Z(S)}$ , for the class defined by the pair  $(U \setminus Z(S), g)$ . Note that  $\mathcal{O}_x$  is indeed a **local ring** for the canonical addition and multiplication laws. Its maximal ideal  $\mathfrak{m}_x$  is the set of germs of regular functions, which vanish at  $x$  (for if for a regular function  $f$ , we have  $f(x) \neq 0$ , then  $\frac{1}{f}$  is **regular function** in some neighborhood of  $x$ ). One can easily see that the **residue field**  $\mathcal{O}_x / \mathfrak{m}_x$  is **isomorphic** to  $k$ .

**Remarks 1.3.1** 1) In what follows, we will need to consider the (canonical) structure of  $\mathcal{O}(X)$  as a  $k$ -algebra.

We precise that this structure is given by the following operations :

Let  $f : X \rightarrow k$  and  $g : X \rightarrow k$  be two regular functions on  $X$ , then.

- \*  $f + g : X \rightarrow k$ , is defined by  $x \mapsto f(x) + g(x)$ .
- \*  $fg : X \rightarrow k$ , is defined by  $x \mapsto f(x)g(x)$ .
- \*  $\lambda f : X \rightarrow k$ , is defined by  $x \mapsto \lambda f(x)$ , for all  $\lambda \in k$ .

2) Similarly, it is easily verified that  $\mathcal{O}_x$  is a  $k$ -algebra when equipped by the following operations :

- \*  $\langle U, f \rangle + \langle V, g \rangle = \langle U \cap V, f|_{U \cap V} + g|_{U \cap V} \rangle$ .
- \*  $\langle U, f \rangle \times \langle V, g \rangle = \langle U \cap V, f|_{U \cap V} \times g|_{U \cap V} \rangle$ .
- \*  $\lambda \cdot \langle U, f \rangle = \langle U, \lambda f \rangle$ .

**Definition 1.3.5** Let  $X$  be a variety, we define the **function field**  $k(X)$  of  $X$  as follows : an element of  $k(X)$  is an **equivalence class** of pairs  $(U, f)$  where  $U$  is a nonempty open subset of  $X$ ,  $f$  is a **regular function** on  $U$ , and where we identify two pairs  $(U, f)$  and  $(V, g)$  when  $f = g$  on  $U \cap V$ .

**Remark 1.3.3** Note that  $k(X)$  is indeed a field, for :

- \* Let  $\langle U, f \rangle$  and  $\langle V, g \rangle$  two elements of  $k(X)$ . Since  $X$  is **irreducible**, any two nonempty open subsets have a nonempty intersection (see proposition 1.1.3). We define :

$$\langle U, f \rangle + \langle V, g \rangle := \langle U \cap V, f|_{U \cap V} + g|_{U \cap V} \rangle.$$

We show that this defines an abelian group structure on  $k(X)$ . In the same way we define the product of two elements of  $k(X)$  and the product of an element of  $k(X)$  by a scalar of  $k$ . We can easily see that this gives a (commutative) ring structure on  $k(X)$ .

- \* If  $\langle U, f \rangle \in k(X)$  with  $f \neq 0$ , we can restrict  $f$  to the open set  $W = U \setminus Z(f)$  it does not vanish, so that  $\frac{1}{f}$  is **regular function** on  $W$ , hence  $\langle U, f \rangle$  is invertible in  $k(X)$  with inverse  $\langle W, \frac{1}{f} \rangle$ .

### Relation between $k[X]$ and $\mathcal{O}(X)$ when $X$ is an affine variety

Considering an affine variety  $X \subseteq \mathbb{A}^n$ , the algebraic object  $k[X] := k[T_1, \dots, T_n] / I(X)$  consists of all polynomials  $k[T_1, \dots, T_n]$  modulo the equivalence relation  $\sim$  (i.e.,  $f \sim g$  if  $f - g \in I(X)$ ). We can identify each element of  $k[X]$  with a function defined on  $X$  i.e., if  $P \in k[T_1, \dots, T_n]$ , then we let  $f_{P+I(X)} : X \rightarrow k$  be the map defined by  $f_{P+I(X)}(x) := P(x)$  for all  $x \in X$ . It is clear that  $f_{P+I(X)}$  is a **regular function** on  $X$ . Thus we have a map :

$$\begin{aligned} \gamma : k[X] &\longrightarrow \mathcal{O}(X) \\ P + I(X) &\longmapsto f_{P+I(X)} \end{aligned} \quad (1.4)$$

It is easy to verify that  $\gamma$  is a homomorphism of  $k$ -algebras. Moreover, by proposition 1.3.1, 2)  $\gamma$  is injective.

**Theorem 1.3.1** Let  $X \subseteq \mathbb{A}^n$ , be an affine variety with affine coordinate ring  $k[X]$ . Then :

- i) The  $k$ -algebras  $k[X]$  and  $\mathcal{O}(X)$  are isomorphic (a canonical isomorphism is given by the map  $\gamma$  in above).
- ii) For each point  $x \in X$ , let  $\mathfrak{m}_x \subseteq k[X]$  be the ideal of functions vanishing at  $x$ . Then  $x \mapsto \mathfrak{m}_x$  gives a 1-1 correspondence between the points of  $X$  and the maximal ideals of  $k[X]$ .
- iii) For any point  $x \in X$  we have  $k[X]_{\mathfrak{m}_x} = (T_1 - x_1, \dots, T_n - x_n)$  is isomorphic to  $\mathcal{O}_x$  and we have  $\dim(\mathcal{O}_x) = \dim(X)$ .
- iv)  $\text{Frac}(k[X])$  is isomorphic (as a field) to  $k(X)$  and the transcendence degree of the finitely generated extension  $k(X)/k$  is equal to  $\dim(X)$ .

**Proof.** i) We have seen above that the map  $\gamma : k[X] \rightarrow \mathcal{O}(X)$  is a  $k$ -algebra monomorphism. We will see below that it is also surjective, hence an algebra isomorphism.



- ii) By proposition 1.1.2  $x \mapsto \mathfrak{m}_x$  is a one-to-one correspondence between the points of  $X$  and the maximal ideals of  $k[X]$ .
- iii) Let  $f \in k[T_1, \dots, T_n]$  be a polynomial, and let's denote its image in  $k[X]$  by  $\bar{f}$ . For a point  $x = (x_1, \dots, x_n) \in X$  such that  $\bar{f}(x) \neq 0$ ,  $\gamma(\bar{f})$  is a unit with inverse  $1/\gamma(\bar{f})|_{X \setminus Z(f)}$ . Thus, we obtain an algebra homomorphism

$$k[X]_{\mathfrak{m}_x} \longrightarrow \mathcal{O}_x$$

induced by  $\gamma$ , which is injective (since any polynomial functions that coincide on a nonempty subset of  $X$  are actually equal). Moreover, this is surjective by definition of a regular function. We previously saw that  $\dim(X) = \text{tr} \cdot \deg_k(\text{Frac}(k[X]))$ . Moreover, we have  $\dim(\mathcal{O}_x) = \text{tr} \cdot \deg_k(\text{Frac}(\mathcal{O}_x))$ . We have also  $\text{Frac}(k[X]) = \text{Frac}(k[X]_{\mathfrak{m}_x})$ , so  $\dim(X) = \dim(\mathcal{O}_x)$ .

- iv) Any nonzero element  $f \in k[X]$  maps under  $\gamma$  to a unit with inverse  $(\frac{1}{f})|_{X \setminus Z(f)}$ . Thus we obtain an injective map

$$\text{Frac}(k[X]) \hookrightarrow k(X)$$

In fact, this map is also surjective : for each nonzero  $\langle U, f \rangle \in k(X)$ , we have  $\langle U, f \rangle \in \mathcal{O}_x$  for some  $x \in X$ . This follows by the already established isomorphism in iii) and the fact that the following diagram commutes :

$$\begin{array}{ccc} k[X]_{\mathfrak{m}_x} & \xrightarrow{\sim} & \mathcal{O}_x \\ \downarrow & & \downarrow \\ \text{Frac}(k[X]) & \hookrightarrow & k(X) \end{array}$$

By theorem 1.2.2  $\dim(k(X)) = \text{tr} \cdot \deg_k(k(X))$  and that  $\dim(X) = \dim(k[X])$ . Hence  $k(X)$  is an algebraic extension of  $k$  with transcendence degree equal to  $\dim(X)$ .

To end the proof of i), let's show that the homomorphism  $\gamma$  is surjective. It suffices to see that, up to identification, we have :

$$\begin{aligned} k[X] &\subseteq \mathcal{O}(X) \\ &\subseteq \bigcap_{x \in X} \mathcal{O}_x \\ &\subseteq \bigcap_{x \in X} k[X]_{\mathfrak{m}_x} \end{aligned}$$

Surjectivity now follows from the general fact that for an integral domain  $R$ , we have  $\bigcap_m R_m = R$  (where the intersection is considered inside the fractions field of  $R$ ).

**Remark 1.3.4** Let  $U$  be a nonempty open set of  $X$ . We can define a homomorphism of algebras over  $k$ ,  $h$  from  $k[X]$  into  $k[U]$  by

$$\langle V, f \rangle \mapsto \langle V \cap U, f|_{V \cap U} \rangle.$$

One can easily see that  $h$  is isomorphism of algebras over  $k$ . So  $k[U] \simeq k[X]$ . Let  $X$  be an arbitrary variety and  $Y$  an affine variety and let  $\phi : X \rightarrow Y$  be a morphism. Then there is induced map

$$\begin{aligned} \phi^* : \mathcal{O}(Y) &\longrightarrow \mathcal{O}(X) \\ f &\longmapsto \phi^*(f) := f \circ \phi \end{aligned}$$

We have also already seen that  $k[Y] \simeq \mathcal{O}(Y)$  (theorem 1.3.1). We get then a map  $k[Y] \rightarrow \mathcal{O}(X)$ , which is a homomorphism of algebras over  $k$ , and so get a map

$$\begin{aligned} \beta : \text{Hom}_{\text{var}}(X, Y) &\longrightarrow \text{Hom}_{k\text{-alg}}(k[Y], \mathcal{O}(X)) \\ \phi &\longmapsto \phi^* \end{aligned}$$

The following proposition shows that this map is bijective.

**Proposition 1.3.5** The map  $\beta$  defined previously is bijective.

**Proof.** We describe an inverse to  $\beta$ . Let  $h : k[Y] \rightarrow \mathcal{O}(X)$  be a homomorphism of algebras over  $k$  and let  $y_i : Y \rightarrow k$  be the coordinate functions. We previously saw that  $k[Y]$  can be (canonically) identified with  $\mathcal{O}(Y)$ . Under this identification, the functions  $y_i$  plainly generate the  $k$ -algebra of  $k[Y]$  (we can also take  $y_i = T_i + I(Y) \in k[Y]$ ). Let  $z_i = h(y_i) \in \mathcal{O}(X)$ , so that  $z_i : X \rightarrow k$  is a regular function. Suppose that  $Y$  is a variety in  $\mathbb{A}^n$ , and consider the map

$$\begin{aligned} \phi_h : X &\longrightarrow \mathbb{A}^n \\ x &\longmapsto (z_1(x), \dots, z_n(x)) \end{aligned}$$

For each  $P \in I(Y)$ , i.e.,  $P + I(Y) = 0$  in  $k[Y]$ , we have  $P(\phi_h(x)) = P(z_1(x), \dots, z_n(x)) = P(h(y_1)(x), \dots, h(y_n)(x))$ . Since  $h$  is a homomorphism we have  $P(h(y_1)(x), \dots, h(y_n)(x)) = h(P + I(Y))(x) = 0$ , and so  $\phi_h(x) \in Z(I(Y)) = Y$ , which shows that  $\phi_h(X) \subseteq Y$ . If we write  $t_i$  for the coordinate function of  $\mathbb{A}^n$  (so that  $y_i = t_{i_Y}$ ), then we have  $t_i \circ \phi_h = z_i$  for all  $i$ . It follows from proposition 1.3.4 that  $\phi_h$  is a morphism (of varieties). We have then

$$\begin{aligned} \alpha : \text{Hom}_{k\text{-alg}}(k[Y], \mathcal{O}(X)) &\longrightarrow \text{Hom}_{\text{var}}(X, Y) \\ h &\longmapsto \phi_h \end{aligned}$$

Let's show that  $\alpha$  and  $\beta$  are mutually inverse to each other. We have  $\beta(\phi_h) = \phi_h^* : f \mapsto f \circ \phi_h$ , for all  $f \in k[Y]$ . Let  $x \in X$ , then  $f \circ \phi_h(x) = f(h(y_1)(x), \dots, h(y_n)(x))$ . So, writing  $f = Q + I(Y)$ , for some  $Q \in k[T_1, \dots, T_n]$ . We have  $f \circ \phi_h(x) = h(f)(x)$ . This shows that  $\phi_h^*(f) = h(f)$ . So  $\beta(\phi_h) = h$ , i.e.  $\beta \circ \alpha(h) = h$ . It follows that  $\beta \circ \alpha = \text{id}_{\text{Hom}_{k\text{-alg}}(k[Y], \mathcal{O}(X))}$ . Similarly, given  $\psi : X \rightarrow Y$ , and we have  $\alpha \circ \beta(\psi) = \alpha(\psi^*) = \phi_{\psi^*} : X \rightarrow Y$ ,  $x \mapsto (t_1 \circ \psi(x), \dots, t_n \circ \psi(x)) = \psi(x)$ . which shows that  $\alpha \circ \beta(\psi) = \psi$ . It follows that  $\alpha \circ \beta = \text{id}_{\text{Hom}_{\text{var}}(X, Y)}$ .

**Corollary 1.3.1** If  $X$  and  $Y$  are two affine varieties, then  $X$  and  $Y$  are isomorphic if and only if  $k[X]$  and  $k[Y]$  are isomorphic as algebras over  $k$ .

**Proof.** Immediate from proposition 1.3.5.

**Remark 1.3.5** In the *language of categories*, we can express the above result as follows :

**Corollary 1.3.2** The functor  $X \rightarrow k[X]$  induces an arrow-reversing equivalence of *categories* between the category of *affine varieties* over  $k$  and the category of *finitely generated integral domains* over  $k$ .

**Proof.** Immediate from proposition 1.3.5.

## 1.4 Rational functions

In *Algebraic Topology*, the notion of *homeomorphism* is relaxed to *homotopy* equivalence which leads to significant theorems (*Whitehead's Theorem*)<sup>¶</sup> relating *topology* to *algebra*. Similarly, *rational functions* are a relaxation of morphisms of varieties. We continue in this section, we explore how this notion interacts with algebra. We continue in this to assume that  $k$  is an *algebraically closed field*.

Let  $X$  and  $Y$  be two varieties. We consider the set  $S_{X,Y}$  of all pairs  $(U, \phi)$ , where  $U$  is a nonempty open subset of  $X$ , and  $\phi : U \rightarrow Y$  is a morphism of varieties. On  $S_{X,Y}$ , we define the following equivalence relation

$$(U, \phi) \sim (V, \psi) \text{ if and only if } \phi_{U \cap V} = \psi_{U \cap V}$$

The equivalence class of  $(U, \phi)$  by this relation will be denoted  $\langle U, \phi \rangle$ .

**Definition 1.4.1** i) A *rational function* of varieties  $X \rightarrow Y$  is an equivalence class (with respect to the above equivalence relation) of a pair  $(U, \phi)$ , where  $U \subseteq X$  is an open subset, and  $\phi : U \rightarrow Y$  a morphism.

ii) We say that a *rational function*  $X \rightarrow Y$  is *dominant* if for some (or equivalently, any) representative pair  $(U, \phi)$ ,  $\phi(U)$  is dense in  $Y$ .

<sup>¶</sup>In homotopy theory, the *Whitehead theorem* states that if a continuous mapping  $f$  between CW complexes  $X$  and  $Y$  induces isomorphisms on all homotopy groups, then  $f$  is a homotopy equivalence. This result was proved by J. H. C. Whitehead in two landmark papers from 1949, and provides a justification for working with the concept of a CW complex that he introduced there.

**Remark 1.4.1** Let  $\phi : X \longrightarrow Y$ , and  $\psi : Y \longrightarrow Z$  be two **rational functions**. Suppose that  $\phi = (U, \phi)$ , and  $\psi = (V, \psi)$ , and that  $\phi(U) \cap V$  is nonempty. Then we may define the composition of  $\phi$ , and  $\psi$  by taking the pair  $(\psi \circ \phi, \phi^{-1}(V))$ .

Note that in general, we cannot compose rational functions. The problem might be that the image of the first function might lie in the locus, where the second function is not defined. However there will never be a problem when  $\phi$  is **dominant**.

**Lemma 1.4.1** Let  $f : X \longrightarrow Y$  be a continuous map and  $U$  an open subset of  $Y$ . Then  $\overline{f^{-1}(U)} \subseteq f^{-1}(\overline{U})$ .

**Proof.** This follows from the fact that  $f$  is continuous and  $f^{-1}(U) \subseteq f^{-1}(\overline{U})$ .

**Lemma 1.4.2** Let  $X$  be a variety,  $Y$  be an affine variety,  $\phi : X \longrightarrow Y$  be a morphism of varieties and  $\phi^* : \mathcal{O}(Y) \rightarrow \mathcal{O}(X)$  be the corresponding algebra homomorphism. Then

$$\overline{\phi(X)} = Y \text{ if and only if } \phi^* \text{ is injective}.$$

**Proof.** Suppose that  $\overline{\phi(X)} = Y$ , and let  $f \in k[Y]$  such that  $\phi^*(f) = 0$ , i.e  $f \circ \phi = 0$ , then  $f(\phi(X)) = 0$  or equivalently  $\phi(X) \subseteq f^{-1}(0)$ . By identifying  $k[Y]$  with  $\mathcal{O}(Y)$ , one can see that  $f$  is continuous, so  $f^{-1}(\{0\})$  is a closed subset in  $Y$ . Moreover, by assumption,  $Y = \overline{\phi(X)}$ , so  $Y = f^{-1}(0)$ , or equivalently  $f = 0$ . This shows that  $\phi^*$  is injective. Conversely, suppose that  $\overline{\phi(X)} \neq Y$ , so that there exists  $P \in I(\overline{\phi(X)})$  with  $P \notin I(Y)$ . Let  $f = P + I(Y)$ , then we have  $\phi^*(f) = 0$ , but  $f \neq 0$ , because  $P \notin I(Y)$ .

**Remark 1.4.2** In particular, if  $\phi : X \longrightarrow Y$  is a **rational function**, and  $(U, \phi)$  is one representative of  $\phi$  and if we assume that  $Y$  is an affine variety, then

$$\overline{\phi(U)} = Y \text{ if and only if } \phi^* : k[Y] \longrightarrow \mathcal{O}(U) \text{ is injective.}$$

Consequently

$\phi$  is **dominant** if and only if for any **representative**  $(U, \phi)$  of  $\phi$ ,  $\phi^* : k[Y] \longrightarrow \mathcal{O}(U)$  is **injective**.

**Proposition 1.4.1** Let  $\phi : X \longrightarrow Y$  be a **rational function** between two varieties, with  $\phi$  **dominant**. Then  $\phi$  induces a homomorphism of field extensions of  $k$ .

$$\phi^\perp : k(Y) \longrightarrow k(X)$$

**Proof.** Let  $(U, \phi)$  one representative of  $\phi$ . The fact that  $\phi$  is dominant implies that  $\phi(U) \cap W$  is nonempty for any nonempty open subset  $W$  of  $Y$ . This yields that  $\phi^{-1}(W)$  is nonempty in  $X$ , and hence dense.

Let  $\langle V, f \rangle$  be an element of  $k(Y)$ , then  $f \circ \phi$  is defined on  $\phi^{-1}(V)$ , and hence gives an element  $\langle \phi^{-1}(V), f \circ \phi \rangle$  of  $k(X)$ .

$\phi^\perp$  is a homomorphism of fields. One can easily see that this construction yields a homomorphism if field extension of  $k$   $\phi^\perp : k(Y) \longrightarrow k(X)$ .

**Proposition 1.4.2** Let  $X$  and  $Y$  be an arbitrary variety and  $Y$  be an **affine variety**. Any homomorphism of fields over  $k$ ,  $h : k(Y) \longrightarrow k(X)$  is induced by a **dominant rational function**  $\phi : X \longrightarrow Y$ .

**Proof.** Let  $h : k(Y) \longrightarrow k(X)$  be a nonzero homomorphism field extensions of  $k$ . We want to show that  $h$  is induced by a **rational function**  $\phi_h : X \longrightarrow Y$ . For that, consider the restriction  $h|_{k[Y]} : k[Y] \longrightarrow \mathcal{O}(X)$ . Since  $h$  is a homomorphism of fields, then in particular,  $h|_{k[Y]}$  is injective.

Let  $y_i := T_i + I(Y)$  be the canonical generators of the  $k$ -algebra  $k[Y]$ . We have  $h(y_i) \in k(X)$ , so we can write  $h(y_i) = \langle U_i, f_i \rangle$ , where  $U_i$  is a nonempty open subset of  $X$ , and  $f_i : U_i \longrightarrow k$  is a **regular function**. Since  $X$  is a variety, then  $U := \bigcap_{i=1}^n U_i$  is nonempty. We have  $\langle U_i, f_i \rangle = \langle U, f_i|_U \rangle$ , we can write  $h(y_i) = \langle U, g_i \rangle$ , where  $g_i = f_i|_U$ . It follows that  $h(y_i) \in \mathcal{O}(U)$  for all  $i$ . Thus,  $h(y_i) \in \mathcal{O}(U)$ . By proposition 1.3.5,  $h|_{k[Y]}$  corresponds to a morphism of varieties

$$\begin{aligned} \phi_{h|_{k[Y]}} : U &\longrightarrow Y \\ x &\longmapsto (h(y_1)(x), \dots, h(y_n)(x)) \end{aligned}$$

We have  $h|_{k[Y]}$  is injective and  $h|_{k[Y]} = (\phi_{h|_{k[Y]}})^*$ , so by lemma 1.4.2  $\overline{\phi_{h|_{k[Y]}(U)}} = Y$ .  $\langle U, \phi_h \rangle$  is a **dominant rational function** from  $X$  to  $Y$  and as one can easily see  $h$  is induced by this (dominant) rational function.



**Notation.** Let  $X$  and  $Y$  be varieties. We will consider the following notation :

1)  $\mathbf{RF}(X, Y) := \{ \text{The set of all rational functions from } X \text{ to } Y \}.$

2)

$$\begin{array}{ccc} \gamma : \mathbf{FR}(X, Y) & \longrightarrow & \text{Hom}(k(Y), k(X)) \\ \phi & \longmapsto & \phi^\perp \end{array}$$

3)

$$\begin{array}{ccc} \lambda : \text{Hom}_{k\text{-alg}}(k(Y), k(X)) & \longrightarrow & \mathbf{FR}(X, Y) \\ h & \longmapsto & \phi_h \end{array}$$

**Theorem 1.4.1** Let  $X$  and  $Y$  be two affine varieties, then there is a bijection between  $\mathbf{FR}(X, Y)$  and  $\text{Hom}_{k\text{-alg}}(k(Y), k(X))$ .

**Proof.** Similar to the proof of proposition 1.3.5.

**Definition 1.4.2** We say that a **dominant** rational function  $\phi : X \longrightarrow Y$  of varieties is **bi-rational** if it has an inverse. In this case we say that  $X$  and  $Y$  are **bi-rational** (or bi-rationally equivalent) and we write by  $X \sim_{\text{bir}} Y$ .

**Proposition 1.4.3** Let  $X$  and  $Y$  be two varieties. Then the following statements are equivalent

- 1)  $X$  and  $Y$  are **bi-rational**.
- 2)  $X$  and  $Y$  contain **isomorphic** open subsets.
- 3) The function fields of  $X$  and  $Y$  are **isomorphic**.

**Proof.** One can derive from theorem 1.4.1 that 1)  $\Leftrightarrow$  3) and clearly 2) implies 1). It remains to prove that if  $X$  and  $Y$  are bi-rational, then they contain **isomorphic** open subsets. Let  $\phi : X \longrightarrow Y$  be a **bi-rational** function with inverse  $\psi : Y \longrightarrow X$ . Suppose that  $\phi$  is defined on  $U$ , and  $\psi$  is defined on  $V$ . Let  $W := \phi^{-1}(V) \subseteq U$  and let  $f := \phi|_W$ . Then  $f : W \longrightarrow f(W) \subseteq V$ . Note that  $\psi \circ f : W \longrightarrow W$  is the identity morphism. Therefore  $f(W) = \psi^{-1}(W)$  is an open and so  $\psi : f(W) \longrightarrow W$  is the inverse of  $f$ .

**Example 1.4.1** The projective space  $\mathbb{P}^n$ , and the affine space  $\mathbb{A}^n$  are **bi-rationally** equivalent.

**Corollary 1.4.1** The correspondence  $X \longrightarrow k(X)$  defines an equivalence between the category of varieties over  $k$  with morphisms the dominant rational functions and the category of finitely generated field extensions of  $k$ .

## 1.5 Tangent spaces and singularities

We continue to assume in this section that  $k$  is an **algebraically closed**.

### 1.5.1 Tangent spaces

In **Differential Geometry**, tangent spaces at least for **smooth manifolds**, arise very naturally. The tangent space at a single point is best described as the collection of possible starting directions one can take when travelling from that point along the manifold. We will see in this section that a similar notion does exist for **algebraic varieties**. For this, we will start with the definition for **affine varieties**, and build from that towards a more general formulation.

**Notation.** For  $f \in k[T_1, \dots, T_n]$  and  $x = (x_1, \dots, x_n) \in \mathbb{A}^n$ . The linear map  $k^n \longmapsto k$  given by

$$d_x f(a) := \sum_{j=1}^n \frac{\partial f}{\partial T_j}(x) a_j, \forall a = (a_1, \dots, a_n) \in k^n \quad (1.5)$$

sends a vector  $a \in k^n$  to the "**directional derivative**" of  $f$  at  $x$  along that vector. Thus, for a geometric interpretation,  $d_x f(a) = 0$  precisely for those directions in which  $f$  is stationary at  $x$ .

**Definition 1.5.1** Let  $X$  be a nonempty affine algebraic set,  $x \in X$ . Let  $v \in k^n$ , we say that  $v$  is tangent to a  $X$  at  $x$  if  $d_x g(v) = 0$ , for all  $g \in I(X)$ . The set of all vectors  $v$  of  $k^n$  which verifies this condition is called the **tangent space** to  $X$  at  $x$ . We denote it by  $T_x X$ .

**Remarks 1.5.1** 1) Let  $f_1, \dots, f_r \in k[T_1, \dots, T_n]$  be such that  $I(X) = (f_1, \dots, f_r)$  and let  $g \in k[T_1, \dots, T_n]$ . For  $x \in X$ , we have :

$$\frac{\partial(f_i g)}{\partial T_j}(x) = f_i(x) \frac{\partial g(x)}{\partial T_j} + g(x) \frac{\partial f_i}{\partial T_j}(x) = g(x) \frac{\partial f_i}{\partial T_j}(x). \quad (1.6)$$

Note that an element of  $I(X)$  is of the form  $\sum_{j=1}^r f_j h_j$  where  $h_j \in k[T_1, \dots, T_n]$ . So, using (1.6) we can restrict ourselves in Definition 1.5.1 to the case where  $g$  describes only the elements  $f_1, \dots, f_r$ .

2) Also, we can see the **tangent space** to  $X$  at  $x$  as

$$T_x X = \bigcap_{g \in I(X)} \ker(d_x g) \subseteq k^n.$$

So, clearly  $T_x X$  is  $k$ -vector subspace of  $k^n$ .

3) The **tangent space** is sometimes called the **Zariski tangent space**, when it is necessary to distinguish it from other kinds of tangent.

4)  $T_x X = \{(v_1, \dots, v_n) \in k^n \mid \sum_{i=1}^n \frac{\partial f}{\partial T_i}(x) v_i = 0, \text{ for all } f \in I(X)\} = \ker(J_x)$ , where  $J_x$  is the **Jacobian matrix**

$$J_x = \left( \frac{\partial f_i}{\partial T_j}(x) \right)_{1 \leq i \leq r, 1 \leq j \leq n} \quad (1.7)$$

so, we have  $\dim(T_x X) = n - \text{rank}(J_x)$ .

**Example 1.5.1** Let  $X \subseteq \mathbb{A}^2$  be the affine algebraic set defined by the polynomial

$$f(T_1, T_2) = T_2^2 - T_1^3$$

we have  $\frac{\partial f}{\partial T_1} = -3T_1^2$ , and  $\frac{\partial f}{\partial T_2} = 2T_2$ . So

$$\frac{\partial f}{\partial T_1}(0,0) = \frac{\partial f}{\partial T_2}(0,0) = 0.$$

Hence  $d_{(0,0)}f$  is the zero map. Thus

$$T_{(0,0)}X = \mathbb{A}^2.$$

We have another definition of **tangent space** in terms of **derivations**.

### Tangent space in terms of derivations

Recall that if  $M$  is a real manifold, and  $p \in M$ , a tangent vector  $X_p$  in  $T_p M$  defines a derivation of the  $\mathbb{R}$ -algebra  $C_p(M)$  :

$$\begin{array}{ccc} C_p(M) & \longrightarrow & \mathbb{R} \\ f & \longmapsto & X_p(f) := d_p f(X_p) \end{array} \quad (1.8)$$

In particular, we have

$$X_p(fg) = X_p(f)g(p) + f(p)X_p(g)$$

The derivation is actually an  $\mathbb{R}$ -derivation, since  $X_p(\alpha) = 0$  for all constant functions  $\alpha \in \mathbb{R}$ . Using **Taylor's formula** can prove that the tangent space of  $M$  at  $p$  is actually isomorphic to the vector space of derivations of  $C_p(M)$  with values in  $\mathbb{R}$  (Cf., [27]) :

$$T_p M \simeq \text{Der}_{\mathbb{R}}(C_p(M), \mathbb{R})$$

We will see below how algebraic tangent spaces are defined in a similar way :

**Definition 1.5.2** Let  $X \subseteq \mathbb{A}^n$  be a nonempty affine algebraic set and  $D : k[X] \longrightarrow k$  be a homomorphism of  $k$ -vector spaces. We say that  $D$  is a **derivation** of  $k[X]$  at  $x$  if for all  $f, g \in k[X]$ , we have :

$$D(fg) = f(x)D(g) + g(x)D(f).$$

We denote by  $\text{Der}_x(k[X])$  the set of **derivations** of  $k[X]$  at  $x$ .

**Remark 1.5.1** One can easily see that  $\text{Der}_x(k[X])$  is  $k$ -vector space.

Note that if  $\mathfrak{m}_x$  is the maximal ideal of  $k[X]$  corresponding to a point  $x$  of  $X$  i.e.,  $\mathfrak{m}_x = \{P + I(X) \mid P(x) = 0\}$ , then up to a field isomorphism,  $k[X]_{\mathfrak{m}_x}/\mathfrak{m}_x$  is a field and  $k$  is algebraically closed. Note also, that if we identify  $k[X]$  with its canonical image in the localized algebra  $k[X]_{\mathfrak{m}_x}$  and so  $\mathfrak{m}_x$  the maximal ideal of  $k[X]_{\mathfrak{m}_x}$ , then for the same reason, we have  $k[X]_{\mathfrak{m}_x}/\mathfrak{m}_x = k$ .

**Remark 1.5.2** Let  $(R, \mathfrak{m})$  be a Noetherian local ring and  $k = R/\mathfrak{m}$ , then  $\mathfrak{m}/\mathfrak{m}^2$  is a finitely generated  $k$ -vector space. By **Nakayama's Lemma**,  $\dim_k(\mathfrak{m}/\mathfrak{m}^2)$  is the minimal number of generators of  $\mathfrak{m}$ .

In particular, if we take,  $R = k[X]_{\mathfrak{m}_x}$ , then  $\mathfrak{m}_x/\mathfrak{m}_x^2$  is a  $k$ -vector space. We will denote its dual space, i.e.,  $\text{Hom}(\mathfrak{m}_x/\mathfrak{m}_x^2)$  by  $(\mathfrak{m}_x/\mathfrak{m}_x^2)^\vee$ .

**Lemma 1.5.1** Let  $X \subseteq \mathbb{A}^n$  be an affine algebraic set and  $x$  be a point of  $X$ . Then there exists a **homomorphism** of  $k$ -vector spaces from  $T_x X$  into  $\text{Der}_x(k[X])$ .

**Proof.** Let  $v = (v_i)_{1 \leq i \leq n}$  be a vector of  $T_x X$  and consider the map

$$\begin{aligned} D_v : k[T_1, \dots, T_n] &\longrightarrow k \\ f &\longmapsto \sum_{i=1}^n \frac{\partial f}{\partial T_i}(x) v_i \end{aligned}$$

It is clear that  $D_v$  is a homomorphism of  $k$ -vector spaces. Moreover, we have  $D_v(fg) = f(x)D_v(g) + g(x)D_v(f)$  for all  $f, g \in k[T_1, \dots, T_n]$ . Also, by definition, for all  $f \in I(X)$ , we have  $D_v(f) = 0$ . So  $D_v$  induces a homomorphism of  $k$ -vector spaces from  $k[X]$ .

$$\begin{aligned} D_v : k[X] &\longrightarrow k \\ \bar{f} &\longmapsto \sum_{i=1}^n \frac{\partial f}{\partial T_i}(x) v_i \end{aligned}$$

Which is an element of  $\text{Der}_x(k[X])$ .

The map

$$\begin{aligned} D : T_x X &\longrightarrow \text{Der}_x(k[X]) \\ v &\longmapsto D_v \end{aligned}$$

is a homomorphism of  $k$ -vector spaces. Indeed, we have :

$$D(v + \lambda w)(f) = \sum_{i=1}^n \frac{\partial f}{\partial T_i}(x)(v_i + \lambda w_i) = \sum_{i=1}^n \frac{\partial f}{\partial T_i}(x)v_i + \lambda \sum_{i=1}^n \frac{\partial f}{\partial T_i}(x)w_i = D_v(f) + \lambda D_w(f), f \in k[X].$$

**Lemma 1.5.2** Let  $X \subseteq \mathbb{A}^n$  be an affine algebraic set,  $x \in X$ . Then there exists a homomorphism of  $k$ -vector spaces from  $\text{Der}_x(k[X])$  into  $(\mathfrak{m}_x/\mathfrak{m}_x^2)^\vee$

**Proof.** Plainly, any  $\Delta \in \text{Der}_x(k[X])$  induces a homomorphism of  $k$ -vector spaces that we denote also by  $\Delta$

$$\Delta : \mathfrak{m}_x \longrightarrow k$$

Let  $f, g \in \mathfrak{m}_x$ , then we have

$$\Delta(fg) = f(x)\Delta(g) + g(x)\Delta(f) = 0.$$

So  $\Delta$  induces a homomorphism  $k$ -vector spaces :

$$\Delta_x : \mathfrak{m}_x/\mathfrak{m}_x^2 \longrightarrow k.$$

It's clear that  $\Delta_x \in (\mathfrak{m}_x/\mathfrak{m}_x^2)^\vee$ . Moreover, one can easily see that

$$\begin{aligned} \Theta : \text{Der}_x(k[X]) &\longrightarrow (\mathfrak{m}_x/\mathfrak{m}_x^2)^\vee \\ \Delta &\longmapsto \Delta_x \end{aligned}$$

is a homomorphism of  $k$ -vector spaces.

**Lemma 1.5.3** Let  $X \subseteq \mathbb{A}^n$  be an affine algebraic set and  $x$  be an element of  $X$ .  $x \in X$ . Then there exists a homomorphism of  $k$ -vector spaces from  $(\mathfrak{m}_x/\mathfrak{m}_x^2)^\vee$  into  $T_x X$ .

**Proof.** Let  $\Gamma \in (\mathfrak{m}_x/\mathfrak{m}_x^2)^\vee$  and let  $v_i := \Gamma(T_i - x_i + \mathfrak{m}_x^2)$ , then put  $v = (v_i)_{1 \leq i \leq n}$ . Let us show that  $v \in T_x X$ . For  $f \in I(X)$ . Using Taylor's development, we have

$$f \equiv f(x) + \sum_{i=1}^n \frac{\partial f}{\partial T_i}(x)(T_i - x_i) \pmod{\mathfrak{m}_x^2} \quad (1.9)$$

hence  $f + \mathfrak{m}_x^2 = \sum_{i=1}^n \frac{\partial f}{\partial T_i}(x)(T_i - x_i) + \mathfrak{m}_x^2$ .

On the other hand we have

$$f + I(X) = 0 \text{ in } k[X].$$

Then

$$f + \mathfrak{m}_x^2 = 0 \text{ in } \mathfrak{m}_x/\mathfrak{m}_x^2.$$

Therefore,

$$\begin{aligned} 0 &= \Gamma(f + \mathfrak{m}_x^2) \\ &= \Gamma\left(\sum_{i=1}^n \frac{\partial f}{\partial T_i}(x)(T_i - x_i) + \mathfrak{m}_x^2\right) \\ &= \sum_{i=1}^n \frac{\partial f}{\partial T_i}(x) \Gamma((T_i - x_i) + \mathfrak{m}_x^2) \\ &= \sum_{i=1}^n \frac{\partial f}{\partial T_i}(x) v_i \end{aligned}$$

which means that  $v \in T_x X$ . We get then a the map

$$\begin{aligned} \Lambda : \mathfrak{m}_x/\mathfrak{m}_x^2 &\longrightarrow T_x X \\ \Gamma &\longmapsto (v_i)_{1 \leq i \leq n} \end{aligned}$$

where  $v_i = \Gamma(T_i - x_i + \mathfrak{m}_x^2)$ . One can easily  $\Lambda$  is a  $k$ -vector spaces homomorphism.

**Proposition 1.5.1** Let  $X$  be a nonempty affine algebraic set of  $\mathbb{A}^n$ . Then for any  $x \in X$ , we have

$$T_x X \simeq \text{Der}_x(k[X]) \simeq (\mathfrak{m}_x/\mathfrak{m}_x^2)^\vee$$

**Proof.** It suffices to verify that homomorphisms  $\Theta$ ,  $D$  and  $\Lambda$  defined in the preceding lemmas are *isomorphisms* of  $k$ -vector spaces.

We aim in what follows to define and study the tangent space of any (algebraic) variety.

**Definition 1.5.3** Let  $X$  be a projective quasi-variety,  $x$  be a point of  $X$  and  $\mathfrak{m}_x$  be the maximal ideal of  $\mathcal{O}_x$ . The *tangent space* of  $X$  at  $x \in X$  is as

$$T_x X := \text{Hom}_k(\mathfrak{m}_x/\mathfrak{m}_x^2, k) := (\mathfrak{m}_x/\mathfrak{m}_x^2)^\vee$$

**Remarks 1.5.2** Let  $X$  and  $Y$  be two varieties, then we have the following :

1) For any morphism  $\phi : X \longrightarrow Y$  of varieties and any  $x \in X$ , there is an induced homomorphism of algebras

$$\phi^* : \mathcal{O}_{\phi(x)} \longrightarrow \mathcal{O}_x$$

which sends the maximal ideal  $\mathfrak{m}_{\phi(x)}$  of  $\mathcal{O}_{\phi(x)}$  inside the maximal ideal  $\mathfrak{m}_x$  of  $\mathcal{O}_x$ , i.e.,  $\phi^*(\mathfrak{m}_{\phi(x)}) \subseteq \mathfrak{m}_x$ . Indeed, let  $f \in \mathfrak{m}_{\phi(x)}$ , then  $\phi^*(f) = f \circ \phi$ . So,  $\phi^*(f)(x) = f(\phi(x)) = 0$ . We get then an induced algebra homomorphism

$$\mathfrak{m}_{\phi(x)}/\mathfrak{m}_{\phi(x)}^2 \longrightarrow \mathfrak{m}_x/\mathfrak{m}_x^2$$

which dually yields a  $k$ -homomorphism of vector spaces

$$T_x \phi : T_x X \longrightarrow T_{\phi(x)} Y$$

2) If  $g : Y \longrightarrow Z$  is a morphism and  $z = g(\phi(x))$ , then

$$T_z g \circ T_x \phi = T_x(g \circ \phi)$$

3)  $T_x(id_X) = id_{T_x X}$ .

4) If  $\phi$  is an **isomorphism**, then we have a corresponding (induced) homomorphism of  $k$ -vector spaces

$$T_x \phi : T_x X \longrightarrow T_{\phi(x)} Y$$

is an **isomorphism**. Indeed, let  $\varphi$  be the inverse of  $\phi$ ,

$$T_{\phi(x)} \varphi : T_{\phi(x)} Y \longrightarrow T_x X.$$

Moreover, we have  $T_{\phi(x)} \varphi \circ T_x \phi = T_x(\varphi \circ \phi) = T_x(id_X) = id_{T_x X}$ , and  $T_x \phi \circ T_{\phi(x)} \varphi = T_{\phi(x)}(\phi \circ \varphi) = T_{\phi(x)}(id_Y) = id_{T_{\phi(x)} Y}$ . This shows that  $T_x \phi$  is an **isomorphism**.

**Lemma 1.5.4** If  $R$  is a **Noetherian** local ring with maximal ideal  $\mathfrak{m}$  and let  $k := R/\mathfrak{m}$ , then  $\dim(R) \leq \dim_k(\mathfrak{m}/\mathfrak{m}^2)$ .

**Proof.** See [3, Corollary 11.15].

**Proposition 1.5.2** Let  $X$  be a variety and  $x$  be a point of  $X$ . Then

$$\dim_k(T_x X) \geq \dim(X)$$

**Proof.** Let  $\mathcal{O}_x$  be the local ring of  $X$  at  $x$  and  $\mathfrak{m}_x$  be the maximal ideal of  $\mathcal{O}_x$ . We previously saw that  $\dim(X) = \dim(\mathcal{O}_x)$ . Also, by lemma 1.5.4, we have  $\dim_k(\mathfrak{m}_x/\mathfrak{m}_x^2) \geq \dim(\mathcal{O}_x)$ . So,  $\dim_k T_x(X) = \dim_k(\mathfrak{m}_x/\mathfrak{m}_x^2) \geq \dim(X)$ .

**Definition 1.5.4** Let  $R$  be a Noetherian ring with maximal ideal  $\mathfrak{m}$  and let  $k := R/\mathfrak{m}$ . We say that  $R$  is regular if  $\dim_k(\mathfrak{m}/\mathfrak{m}^2) = \dim(R)$ .

**Definition 1.5.5** Let  $X$  be an algebraic set. The dimension of  $X$  at a point  $x$ , denoted by  $\dim_x(X)$ , is the maximum of the dimensions of **irreducible components** of  $X$  containing  $x$ .

**Corollary 1.5.1** Let  $X$  be an algebraic set and  $x \in X$ . Then

$$\dim_k(T_x X) \geq \dim_x(X).$$

**Proof.** Let  $Z$  be an irreducible component of  $X$  containing  $x$ . Obviously  $T_x Z \subseteq T_x X$ . So

$$\dim_x(Z) \leq \dim_k(T_x Z) \leq \dim_k(T_x X).$$

Hence

$$\dim_k(T_x X) \geq \dim_x(X).$$

## 1.5.2 Singularities

**Definition 1.5.6** Let  $X \subseteq \mathbb{A}^n$  be an affine variety of dimension  $d$ , and  $x \in X$ .

- i) We say that  $X$  is **nonsingular** (or regular or smooth) in  $x$  if  $\text{rank } J_x = n - d$ .
- ii) We say that  $X$  is nonsingular if it is nonsingular at all its points.

**Notation.** We will write  $\text{Sing}(X) := \{x \in X \mid x \text{ singular}\}$ .

**Example 1.5.2** Let  $X = Z(f)$ , where  $f \in k[T_1, T_2]$ . Then  $X$  is **nonsingular** at  $x \in X$  if and only if

$$\left( \frac{\partial f}{\partial T_1}(x), \frac{\partial f}{\partial T_2}(x) \right) \neq (0, 0).$$

For example let  $f = T_1^3 - T_2^2$  and  $x = (a, b)$ . We have  $J_{(a,b)} = \begin{pmatrix} 3a^2 & -2b \end{pmatrix}$ , so  $X$  is **nonsingular** at  $x$  if and only if  $(a, b) \neq (0, 0)$ .

**Definition 1.5.7** Let  $X$  be a variety and  $x \in X$ . We say that  $X$  is **nonsingular** at  $x$  if the local ring  $\mathcal{O}_x$  is regular ring. We say that  $X$  is **nonsingular** if it is **nonsingular** at every point.

**Lemma 1.5.5** Let  $X$  be an affine algebraic set of  $\mathbb{A}^n$ . For any integer  $d$ , the set  $X_d := \{x \in X \mid \dim_k(T_x X) \geq d\}$  is a closed subset of  $X$ .

**Proof.** Let  $f_1, \dots, f_r \in k[T_1, \dots, T_n]$  be such that  $I(X) = (f_1, \dots, f_r)$ . By remarks 1.5.1, we have  $T_x X = \bigcap_{i=1}^r \ker(d_x f_i) = \ker(J_x)$ , where

$$J_x = \left( \frac{\partial f_i}{\partial T_j}(x) \right) \quad 1 \leq i \leq r, \quad 1 \leq j \leq n$$

So  $\dim(T_x X) = n - \text{rank}(J_x)$ . Hence

$$X_d = \{x \in X \mid \text{rank}(J_x) < n - d\}$$

We know that  $\text{rank}(J_x) < n - d$  is equivalent the fact that : every  $(n - d) \times (n - d)$  sub-matrix of  $J_x$  has determinant zero. The determinant of a sub-matrix of  $J_x$  is a polynomial function, so  $X_d$  is a **closed** subset of  $X$ .

**Corollary 1.5.2** Let  $x$  be an affine algebraic set of  $\mathbb{A}^n$ . Then the following statements are equivalent :

- i)  $X$  is singular at  $x$ .
- ii)  $\dim(T_x X) > \dim(X)$ .
- iii) The Jacobian matrix  $J_x$  does not have full rank.

**Proposition 1.5.3** Let  $X$  be an affine algebraic set of  $\mathbb{A}^n$ . The set  $\text{Sing}(X)$  of singular points of  $X$  is a **closed** subset of  $X$ .

**Proof.** By lemma 1.5.4, and proof of lemma 1.5.5 the set of singular points is the set of points where the **rank** of the Jacobian matrix is  $< n - d$ , where  $d = \dim(X)$ . Thus,  $\text{Sing}(X)$  is an algebraic set defined by the ideal generated by  $I(X)$  together with all determinants of  $(n - d) \times (n - d)$  sub-matrices of the matrix  $J_x$ .

By the above,  $\text{Sing}(X)$  is a **closed** subset of  $X$ . In what follows, we want that to show that it is a proper subset of  $X$ .

**Lemma 1.5.6** Let  $X, Y$  be two varieties and  $\phi : X \rightarrow Y$  be a **bi-rational** function. If  $X$  admits a **nonsingular** point, then so does  $Y$ .

**Proof.** By the above,  $\text{Sing}(X)$  is a closed subset of  $X$  if  $X$  has a **nonsingular** points, then there exists an open dense subset  $U \subseteq X$  containing only **nonsingular** points. Since  $X \sim_{\text{bir}} Y$ , then by proposition 1.4.3, there exists two open sets  $W \subseteq X$  and  $V \subseteq Y$  so that  $\phi|_W : W \rightarrow V$  is an isomorphism. So  $Y$  has a **nonsingular points** as well (any point of  $\phi(W \cap U)$  will do).

**Lemma 1.5.7** Let  $X$  be a variety of dimension  $d$ . Then  $X$  is **bi-rationally** equivalent to a hypersurface  $\mathbb{A}^{d+1}$ .

**Proof.** See [12, proposition 4.9].

**Lemma 1.5.8** Let  $X$  be an affine hypersurface, then  $\text{Sing}(X)$  is a **proper closed** subset of  $X$ .

**Proof.** Assume that  $X$  is an affine subvariety of  $\mathbb{A}^{n+1}$  and write  $X = Z(f)$ , with  $f$  is irreducible. We have  $x \in \text{Sing}(X)$  if and only if  $\frac{\partial f}{\partial T_i}(x) = 0$ , for all  $i \in \{1, \dots, n+1\}$ .

$\text{Sing}(X) = X$ ,  $\frac{\partial f}{\partial T_i} \in I(X) = (f)$ . Note that  $(f)$  a prime ideal of  $k[T_1, \dots, T_{n+1}]$  and  $\frac{\partial f}{\partial T_i}$  has smaller degree (than  $f$ ). So,  $\text{Sing}(X) = X$  if and only if  $\frac{\partial f}{\partial T_i}$  is the zero polynomial for all  $i$ , which means that  $f$  is constant, a contradiction.

**Theorem 1.5.1** Let  $X$  be an affine variety. Then the set  $\text{Sing}(X)$  of singular points **proper closed** subset of  $X$ .

**Proof.** By lemma 1.5.7,  $X$  is **bi-rationally** equivalent to hypersurface  $H$  in  $\mathbb{A}^{d+1}$ , so by proposition 1.4.3 there exist open subsets  $U \subseteq X$  and  $W \subseteq H$  which  $U \simeq W$ . As seen in lemma 1.5.8,  $\text{Sing}(H)$  is a proper closed subset of  $H$ . Therefore  $\text{Sing}(W)$  is proper subset of  $W$ .



## 1.6 Prevarieties

*Affine varieties* are special objects in the category  $\mathcal{TA}$  of topological spaces with distinguished algebras of regular functions. In order to define (abstract) algebraic varieties, we have to replace  $\mathcal{TA}$  with the category of spaces (*space of functions*) over  $k$ , where one has not only a distinguished sub-algebra  $\mathcal{O}_X$  on the entire space  $X$ , but for every open subset  $U$  of  $X$ . In this section, we define this more general category that we denote by  $\mathcal{TA}_k$ . We recall that throughout  $k$  is an *algebraically closed* field.

**Notation.** Let  $X$  be a topological space. For any open subset  $U$  of  $X$ . We pose

$$\mathcal{Map}(U) := \{f : U \longrightarrow k\}$$

the set of all maps defined on  $U$  and with values in  $k$ .

$\mathcal{Map}(U, k)$  is a  $k$ -algebra equipped with the usual laws.

**Definition 1.6.1** A *space of functions* over  $k$  is a topological space  $X$  together with a family  $\mathcal{O}_X$  of sub-algebras over  $k$ ,  $\mathcal{O}_X(U) \subseteq \mathcal{Map}(U, k)$  for every open subset  $U$  of that satisfy the following properties :

- i) If  $W, U$  are two open subsets of  $X$  such that  $W \subseteq U$ , then for any  $f \in \mathcal{O}_X(U)$ , the restriction  $f|_W \in \mathcal{Map}(W, k)$  is an element of  $\mathcal{O}_X(W)$ .
- ii) Given an open subset  $U$  of  $X$  and an open cover  $(U_i)_{i \in I}$  of  $U$ , i.e.,  $U_i$  are open subsets of  $X$  such that  $U = \cup_{i \in I} U_i$ , together with  $f_i \in \mathcal{O}_X(U_i)$  such that

$$f_i|_{U_i \cap U_j} = f_j|_{U_i \cap U_j}$$

for all  $i, j \in I$ . There exists a unique  $f \in \mathcal{O}_X(U)$  such that  $f|_{U_i} = f_i$ , for all  $i$ .

**Remark 1.6.1** The *space of functions*  $(X, \mathcal{O}_X)$  will often be simply denoted by  $X$ .

**Examples 1.6.1** 1) Let  $X$  be a  $C^\infty$ -manifold. For any open subset  $U$  of  $X$  define

$$\mathcal{O}_X(U) := \{f : U \longrightarrow \mathbb{R} \mid f \text{ is } C^\infty\}$$

with restriction maps given by restrictions of functions. Then  $(X, \mathcal{O}_X)$  is a space of functions over  $\mathbb{R}$ .

2) Let  $X$  be a *quasi-affine* variety, for an arbitrary open subset  $U$  of  $X$ , let

$$\mathcal{O}_X(U) := \{f : U \longrightarrow k \mid f \text{ being a regular function}\}.$$

Then  $(X, \mathcal{O}_X)$  is a *space of functions*.

**Definition 1.6.2** (*Morphism of space with functions*) A morphisms  $(X, \mathcal{O}_X) \longrightarrow (Y, \mathcal{O}_Y)$  of spaces with functions is a continuous map  $f : X \longrightarrow Y$  such that for any open subset  $V$  of  $Y$ , and any  $\psi \in \mathcal{O}_Y(V)$ , we have  $\psi \circ f \in \mathcal{O}_X(f^{-1}(V))$ .

**Notation.** We will denote  $\psi \circ f$  by  $f^*\psi$ .

**Proposition 1.6.1** Let  $X, Y$  and  $Z$  be *spaces of functions* over  $k$ . Then

- i) For any open subset of  $X$ , the inclusion map  $\iota : U \longrightarrow X$  is a morphism of spaces of functions.
- ii) The identity is a morphism.
- iii) If  $f : X \longrightarrow Y$  and  $g : Y \longrightarrow Z$  are morphisms of spaces of functions, then  $g \circ f$  is a morphism.

**Proof.** i) By definition of the induced topology on  $U$ ,  $\iota$  is continuous. For any open subset  $V$  of  $X$  and for any  $\psi \in \mathcal{O}_X(V)$  we have for every  $x \in \iota^{-1}(V)$ ,  $\iota(x) = x$ , so  $\psi \circ \iota(x) = \psi(x)$ . Therefore,  $\psi \circ \iota \in \mathcal{O}_U(\iota^{-1}(V))$ .

ii) By i) It suffices to take  $U = X$ , and we have  $\text{id}_X = \iota$ .

iii) It's clear that  $g \circ f$  is continuous. Let  $W$  be an open subset of  $Z$ , and  $\psi \in \mathcal{O}_Z(W)$ . Then

$$g^*\psi \in \mathcal{O}_Y(g^{-1}(W)).$$

So

$$f^*g^*\psi \in \mathcal{O}_X(f^{-1}(g^{-1}(W)))$$

Therefore, we get

$$(g \circ f)^*\psi \in \mathcal{O}_X((g \circ f)^{-1}(W)).$$

**Definition 1.6.3** We define the category  $\mathcal{TA}_k$  as follows :

\* **Objects** :  $(X, \mathcal{O}_X)$  where  $X$  is a topological space.

\* **Morphisms** : morphisms of spaces with functions.

**Remarks 1.6.1** i) If  $X = \bigcup_{i \in I} U_i$  is an open cover of  $X$ ,  $\beta_i : U_i \rightarrow X$  are the inclusions, and  $f : X \rightarrow Y$  is any map, then  $f$  is a morphism if and only if  $f \circ \beta_i$  is a morphism for all  $i$ .

ii)  $f : (X, \mathcal{O}_X) \rightarrow (Y, \mathcal{O}_Y)$  is an isomorphism if and only if  $f$  is a homeomorphism and for any open  $V \subseteq Y$

$$\psi : V \rightarrow k \text{ is in } \mathcal{O}_Y(V) \text{ if and only if } f^*\psi \in \mathcal{O}_X(f^{-1}(V)).$$

iii) If  $X \subseteq \mathbb{A}^n$ ,  $Z \subseteq \mathbb{A}^m$  are two affine varieties, one can easily see that a map  $h : X \rightarrow Z$  is a morphism in the new sense of definition 1.6.2 if and only if it is a morphism in the sense definition 1.3.3.

**Definition 1.6.4** An element  $(X, \mathcal{O}_X)$  in  $\mathcal{TA}_k$  is an **affine variety** if it is isomorphic in  $\mathcal{TA}_k$  to certain  $(Y, \mathcal{O}_Y)$ , where  $Y$  is an algebraic set of some  $\mathbb{A}^m$ .

**Notation.** Let  $X$  be a space with functions and let  $U \subseteq X$  be an open subspace. We denote by  $(U, \mathcal{O}_{X|U})$  the space  $U$  of functions  $\mathcal{O}_U(W) := \mathcal{O}_{X|U}(W) := \mathcal{O}_X(W)$ , for any open subset  $W$  of  $U$ .

**Definition 1.6.5** A **prevariety** is a connected **space with functions**  $X$  with a finite open cover by **affine varieties**. This is a topological space  $X$  with an open cover  $(U_i)_{i \in I}$  such that  $(U_i, \mathcal{O}_{U_i})$  is **isomorphic** to an **affine variety**.

**Remark 1.6.2** Morphisms of **prevarieties** are just morphisms in  $\mathcal{TA}_k$ .

**Lemma 1.6.1** Let  $X$  be a topological space, and  $X = U_1 \cup \dots \cup U_r$  be an open cover of  $X$  with all  $U_i$  nonempty. Then  $X$  is irreducible if and only if  $U_i$  is irreducible for all  $i$ , and  $U_i \cap U_j$  is irreducible for all  $i, j$ .

**Proof.** See [25, A.119, p.357].

**Proposition 1.6.2** Every prevariety  $X$  is an **irreducible** topological space.

**Proof.** Immediate, by lemma 1.6.1.

**Proposition 1.6.3** Let  $(X, \mathcal{O}_X)$  be a **space with functions**. If  $(X, \mathcal{O}_X)$  is a **prevariety**, then  $X$  is a **Noetherian** topological space.

**Proof.** Write  $X = U_1 \cup \dots \cup U_r$ , where  $(U_i, \mathcal{O}_{U_i})$  are affine. Then  $U_i$  is **Noetherian** for all  $i$ . Note that any chain

$$S_1 \supseteq S_2 \supseteq \dots$$

of closed subsets in  $X$  gives a chain

$$S_1 \cap U_i \supseteq S_2 \cap U_i \supseteq \dots$$

of closed subsets in  $U_i$ , so there exists  $m_i$  such that  $S_j \cap U_i = S_{j+1} \cap U_i$  for  $j > m_i$ , whence  $S_j = S_{j+1}$  for  $j > \max\{m_1, \dots, m_r\}$ .

**Properties 1.6.1** Let  $(X, \mathcal{O}_X)$  be a **space with functions**



i) If  $(X, \mathcal{O}_X)$  is a prevariety, then  $\mathcal{O}_X$  is subspace of the  $\mathcal{C}_X(U)$  of continuous functions to  $k$ , i.e.,

$$\mathcal{C}_X(U) = \{f : U \longrightarrow k \mid f \text{ continuous} \}$$

ii) If  $(X, \mathcal{O}_X)$  is a prevariety and  $\psi \in \mathcal{O}_X(X)$ , then  $U := \{x \in X \mid \psi(x) \neq 0\}$  is an open subset of  $X$ , and we have  $\frac{1}{\psi} \in \mathcal{O}_X(U)$ .

iii) All statements about dimensions of *quasi-affine* varieties to *prevarieties*.

iv) If  $(X, \mathcal{O}_X)$  is a *prevariety*, then the open subsets of  $X$  that are affine form a basis for the topology of  $X$ .

**Proof.** i) Immediate.

iii) Immediate.

iv) Let  $\{X_i\}$  be any open affine covering of  $X$ . If  $U \subseteq X$  is an open subset of  $X$ , the sets  $U_i := U \cap X_i$  form an open covering of  $U$ . The  $U_i$ 's will not necessarily be affine, but we know that the principal open sets in  $X_i$  form a basis for its topology, so are affine varieties. Hence we can cover each of the  $U_i$ 's, and thereby  $U$ , by affine opens.

## 1.7 Normal varieties

In this section, we define the notion of a normal variety that corresponds to normal domains in algebra. In particular, we show that any nonsingular variety is normal.

Along this section, we continue to assume that  $k$  is an *algebraically closed field*.

### Normal rings

**Definition 1.7.1** Let  $R$  be an integral domain with quotient field  $K$ . We say that  $R$  is normal if  $R$  coincides with its integral closure in  $K$ .

**Remark 1.7.1** For more details on *normal domains* one can see e.g., [3, Chapter 5].

**Example 1.7.1** 1) A *UFD* is a normal domain. ([20, Vol I, p.261].)

2) Any *DVR* is a normal domain.

**Proposition 1.7.1** Let  $R$  be a domain and  $K$  its field of fractions. Then, the following statements are equivalent.

- a)  $R$  is normal.
- b)  $S^{-1}R$  is normal for any multiplicative set of  $R$ .
- c)  $R_{\mathfrak{p}}$  is normal for all  $\mathfrak{p} \in \text{Spec}(R)$ .
- d)  $R_{\mathfrak{m}}$  is normal for all  $\mathfrak{m} \in \text{Spm}(R)$ .

**Proof.** See [22, Proof of theorem 4.1].

### Normal varieties

**Definition 1.7.2** Let  $X$  be an algebraic variety over  $k$  and  $x \in X$ . We say that  $x$  is *normal* if the local ring  $\mathcal{O}_x$  is a *normal domain*. We say that  $X$  is *normal* if all points of  $X$  are  $x \in X$  is *normal*.

**Proposition 1.7.2** Let  $X$  be an affine variety, then  $X$  is a *normal* if and only if the coordinate ring  $k[X]$  is a *normal domain*.

**Proof.** If  $X$  is *normal*, then for all  $x \in X$ ,  $\mathcal{O}_x$  is normal domain. By theorem 1.3.1, we have  $k[X]_{\mathfrak{m}_x} \simeq \mathcal{O}_x$ , so  $k[X]_{\mathfrak{m}_x}$  is a *normal domain*. Recall that  $\mathfrak{m}_x$  describe all possible maximal ideals of  $k[X]$  when  $x$  describes all points of  $X$ , therefore by proposition 1.7.1  $k[X]$  is a *normal domain*. Conversely, if  $k[X]$  is a normal domain, then by proposition 1.7.1  $k[X]_{\mathfrak{m}_x}$  is normal for all  $x \in X$ , hence  $\mathcal{O}_x (\simeq k[X]_{\mathfrak{m}_x})$  is normal for all  $x \in X$ . So  $X$  is *normal*.

- Examples 1.7.1** 1)  $k[T_1, \dots, T_n]$  is a **UFD** so as seen above. Recall that  $k[T_1, \dots, T_n] = K[X]$ , where  $X = \mathbb{A}^n$ , so by proposition 1.7.2  $\mathbb{A}^n$  is **normal**.
- 2) Let  $X = Z(T_2^2 - T_1^3) \subseteq \mathbb{A}^2$ , then  $X$  is not normal, indeed we have  $k[X] = k[T_1, T_2]/(T_2^2 - T_1^3) \simeq k[T^2, T^3]$  which is not an integrally closed domain in its field of fractions  $k(T^2, T^3) = k(T)$ . Indeed,  $X^2 - T^2 = 0$  is an equation of integral dependence of  $T$  over  $k[T^2, T^3]$ , but  $T \notin k[T^2, T^3]$ . In fact, the **integral closure** of  $k[T^2, T^3]$  in  $k(T)$  is  $k[T]$ .

**Theorem 1.7.1** Let  $X$  be a **normal variety**. Then the ring of regular functions  $\mathcal{O}(X)$  is a normal domain.

**Proof.** We know that  $\mathcal{O}(X) = \bigcap_{x \in X} \mathcal{O}_x$ . (intersection taken in  $k(X)$ ). Thus, the **integral closure** of  $\mathcal{O}(X)$  in  $k(X)$  is contained in  $\bigcap_{x \in X} \mathcal{O}_x$  (as each  $\mathcal{O}_x$  is normal), which is equal to  $\mathcal{O}(X)$ .

**Remark 1.7.2** Even if  $\mathcal{O}(X)$  is a **normal domain**,  $X$  need not be normal for general varieties. Indeed, in example 1.7.1, let  $\bar{X}$  to be the **projective closure** of  $X$ . It is a projective variety, and thus  $\mathcal{O}(X) = k$ , whence it is a normal domain. But, as  $X$  not a normal variety, then  $\bar{X}$  cannot be normal.

**Theorem 1.7.2** Let  $X$  be **nonsingular** variety, then  $X$  is **normal**.

**Proof.** Let  $x \in X$ , by definition the **local ring**  $\mathcal{O}_x$  regular, hence a **UFD**, hence by example 1.7.1.

**Remark 1.7.3** There are varieties which have **singular** points but are still **normal**. For example  $X := Z(T_1 T_2 - T_3^2)$  is normal and  $\mathcal{O}_{(0,0,0)}$  is not a regular ring.

## 1.8 Divisors in algebra

In this section, we introduce the basic definitions and results concerning divisors in terms of **places** on rational fields. This will prepare necessary background to give **Riemann-Roch** result on **curves** in the next section. Throughout this section  $k$  denotes a field and  $E$  an extension field of  $k$ .

### 1.8.1 Places

**Definition 1.8.1** Let  $E$  be a field and  $k$  be a subfield of  $E$ . We say  $E/k$  is a **function field** if there is at least one element  $x \in E$  that is transcendental over  $k$ . The field  $k$  is called in this case a constant field of  $E$ . In case  $E = k(x)$ , we say that  $E$  is a **rational function field** (over  $k$ ).

**Notation.** For any field  $F$  and any vector space  $V$  over  $F$ , we denote by  $\dim_F(V)$  or also by  $[V : F]$  the dimension of  $V$  over  $F$ .

**Definition 1.8.2** Let  $E/k$  be a field extension. We say that  $E/k$  is an **algebraic function field** in one variable if there exists a transcendental element  $x$  of  $E$  over  $k$  such that  $E/k(x)$  is a finite extension, i.e.  $[E : k(x)] < +\infty$ . We call  $k$  the **full constant field** of  $E$ .

Now, we introduce the notions of **valuation rings** and **places** in this restricted case of a function field extension.

**Definition 1.8.3** Let  $E/k$  be a function field extension. A valuation ring of the function field  $E/k$  is a ring  $\mathcal{O} \subseteq E$  with the following properties :

- i)  $k \subsetneq \mathcal{O} \subsetneq E$ .
- ii) For every  $x \in E$ , we have  $x \in \mathcal{O}$  or  $x^{-1} \in \mathcal{O}$ .

**Example 1.8.1** If we take  $E = k(T)$ , i.e., the quotient field of the polynomial ring  $k[T]$ , then given an irreducible monic polynomial  $q(T) \in k[T]$ , we consider the set

$$\mathcal{O}_{q(T)} := \left\{ \frac{f(T)}{g(T)} \mid f(T), g(T) \in k[T], q(T) \nmid g(T) \right\}$$

then it is easy to see  $\mathcal{O}_{q(T)}$  is a valuation ring of  $k(T)/k$ .

**Proposition 1.8.1** Let  $\mathcal{O}$  be a valuation ring of a function field extension  $E/k$  and let  $\tilde{k}$  be the algebraic closure of  $k$  in  $E$ . Then the following hold :

- i)  $\mathcal{O}$  is a local with maximal ideal  $\mathcal{M} := \mathcal{O} \setminus \mathcal{O}^\times$ , where  $\mathcal{O}^\times$  the group of units of  $\mathcal{O}$ .
- ii) For every nonzero element  $x$  of  $E$ , we have  $x \in \mathcal{M}$  if and only if  $x^{-1} \in \mathcal{O}$ .
- iii) For the field  $\tilde{k}$ , we have  $\tilde{k} \subseteq \mathcal{O}$  and  $\tilde{k} \cap \mathcal{M} = 0$ .

**Proof.** i) It suffices to see that  $\mathcal{O} \setminus \mathcal{O}^\times$  is an ideal of  $\mathcal{O}$  (so  $\mathcal{O} \setminus \mathcal{O}^\times$  is the unique maximal ideal of  $\mathcal{O}$ ).

- ii) Assume that  $x \in \mathcal{M}$ . If  $x^{-1} \in \mathcal{O}$ , then we would have  $1 = xx^{-1} \in \mathcal{M}$ , which is not true. Conversely, if  $x^{-1} \notin \mathcal{O}$ , then  $x \in \mathcal{O}$  and  $x$  is not invertible in  $\mathcal{O}$ , so by the above  $x \in \mathcal{M}$ .
- iii) Let  $x$  be a nonzero element of  $\tilde{k}$ , and suppose that  $x \notin \mathcal{O}$ , then  $x^{-1} \in \mathcal{O}$ . Since  $x^{-1}$  also algebraic over  $k$ , there are elements  $\alpha_1, \dots, \alpha_m \in k$  with  $1 + \dots + \alpha_m(x^{-1})^m = 0$ . Hence  $x^{-1}(\alpha_m(x^{-1})^{m-1} + \dots + \alpha_1) = -1$ , which implies that  $x = (\alpha_m(x^{-1})^{m-1} + \dots + \alpha_1) \in k[x^{-1}] \subseteq \mathcal{O}$ . So  $x \in \mathcal{O}$ , a contradiction. Therefore,  $\tilde{k} \subseteq \mathcal{O}$ . Since all nonzero invertible elements of  $\tilde{k}$  are then invertible in  $\mathcal{O}$ , then  $\tilde{k} \cap \mathcal{M} = 0$ .

**Definition 1.8.4** A valuation of  $E/k$  is a map  $\mathcal{V} : E \longrightarrow \mathbb{R} \cup \{\infty\}$  satisfying the following conditions.

- i)  $\mathcal{V}(x) = \infty$  if and only if  $x = 0$ .
- ii)  $\mathcal{V}(xy) = \mathcal{V}(x) + \mathcal{V}(y)$  for all  $x, y \in E$ .
- iii)  $\mathcal{V}(x + y) \geq \min\{\mathcal{V}(x), \mathcal{V}(y)\}$  for all  $x, y \in E$ .
- iv)  $\mathcal{V}(E^*) \neq \{0\}$ .
- v)  $\mathcal{V}(a) = 0$  for all  $a \in k^*$ .

**Remarks 1.8.1** i) The symbol  $\infty$  means some element not in  $\mathbb{R}$  such that  $\infty + \infty = \infty + m = m + \infty = \infty$ , and  $\infty > n$  for all  $m, n \in \mathbb{R}$ .

- ii) Note that if  $\mathcal{V}(x) \neq \mathcal{V}(y)$ , we have  $\mathcal{V}(x + y) = \min\{\mathcal{V}(x), \mathcal{V}(y)\}$ .
- iii) If the image  $\mathcal{V}(E^*)$  is a discrete set in  $\mathbb{R}$ , then  $\mathcal{V}$  is called discrete. If  $\mathcal{V}(E^*) = \mathbb{Z}$ , then  $\mathcal{V}$  is called normalized.

Two discrete valuations  $\mathcal{V}$  and  $\mathcal{V}'$  of  $E/k$  are called equivalent if there exists a constant  $\lambda > 0$  such that

$$\mathcal{V}(x) = \lambda \mathcal{V}'(x) \text{ for all } x \in E^*.$$

One can easily to see that this an equivalence relation between the discrete evaluations of  $E/k$ . An equivalence class of discrete valuation of  $E/k$  is called a **place** of  $E/k$ .

If  $\mathcal{V}$  is a **discrete valuation** of  $E/k$ , then  $\mathcal{V}(E^*)$  is a nonzero discrete subgroup of  $(\mathbb{R}, +)$ , and so we have  $\mathcal{V}(E^*) = c\mathbb{Z}$  for some positive  $c \in \mathbb{R}$ . Thus, there exists a uniquely determined **normalized valuation** of  $E$  that is equivalent to  $\mathcal{V}$ . In other words, every place  $P$  of  $E/k$  contains a uniquely determined **normalized valuation** of  $E/k$ , which is denoted by  $\mathcal{V}_P$ . Thus, we can identify places of  $E/k$  and (discrete) **normalized valuations** of  $E/k$ .

For the normalized valuation  $\mathcal{V}_P$  of  $E/k$  we have  $\mathcal{V}_P(E^*) = \mathbb{Z}$ . Thus, there exists an element  $\alpha \in E$  satisfying  $\mathcal{V}_P(\alpha) = 1$ . Such an element  $\alpha$  is called a **local parameter** (or **uniformizing parameter**) of  $E$  at the place  $P$ .

**Definition and Notation 1.8.1** 1)  $\mathbb{P}_E := \{P \mid P \text{ is a place of } E/k\}$ .

2) For a place  $P$  of  $E/k$ , we set

$$\mathcal{O}_P := \{x \in E \mid \mathcal{V}_P(x) \geq 0\}.$$

We call  $\mathcal{O}_P$  the valuation ring of the place  $P$ .

**Proposition 1.8.2** Let  $P \in \mathbb{P}_E$ , the valuation ring  $\mathcal{O}_P$  has a unique maximal ideal given by

$$\mathcal{M}_P := \{x \in E \mid \mathcal{V}_P(x) \geq 1\}$$

**Proof.** One can easily see that  $\mathcal{M}_P$  is an ideal of  $\mathcal{O}_P$ . Since  $1 \in \mathcal{O}_P \setminus \mathcal{M}_P$ , we obtain that  $\mathcal{M}_P$  is a proper ideal. It remains to show that any proper ideal  $I$  of  $\mathcal{O}_P$  is contained in  $\mathcal{M}_P$ . Let  $x \in I$  and suppose that  $\mathcal{V}_P(x) = 0$ . Then  $\mathcal{V}_P(x^{-1}) = -\mathcal{V}_P(x) = 0$ , and so  $x^{-1} \in \mathcal{O}_P$ . Thus,  $1 = xx^{-1} \in I$  and, hence,  $I = \mathcal{O}_P$  a contradiction. Therefore,  $\mathcal{V}_P(x) \geq 1$  and  $I \subseteq \mathcal{M}_P$ .

It is also necessary to understand some of the next result to recall that every valuation of a function field in one variable is discrete (see [19, Theorem 1.5.12, p.19]).

**Definition 1.8.5** Let  $P \in \mathbb{P}_E$ ,  $\mathcal{O}_P$  its corresponding valuation ring and  $\mathcal{M}_P$  the maximal ideal of  $\mathcal{O}_P$ . The field  $E_P := \mathcal{O}_P / \mathcal{M}_P$  is called the **residue class field** of  $P$ . The canonical map, denoted  $x \mapsto \bar{x}_P$  (make this notation throughout the rest for the residue map images), from  $E$  to  $E_P$  is called the **residue class map** with respect to  $P$ . The degree of  $P$ , denoted  $\deg(P)$ , is the dimension  $[E_P : k]$ . We say that  $P$  is a **rational** place of  $E/k$  if  $\deg(P) = 1$ .

**Corollary 1.8.1** The field  $\tilde{k}$  of constants of  $E/k$  is a finite field extension of  $k$ .

**Proof.** Choose some  $P \in \mathbb{P}_E$ . Since  $\tilde{k}$  can be embedded into  $E_P$  via the residue class map, then  $[\tilde{k} : k] \leq [E_P : k] < \infty$ .

**Proposition 1.8.3** Let  $E/k$  be a function field,  $R$  be a subring of  $E$  with  $k \subseteq R$  and  $J$  a nonzero ideal of  $R$ . Suppose that  $J$  is a proper ideal of  $R$ , then there is a place  $P \in \mathbb{P}_E$  that  $J \subseteq \mathcal{M}_P$  and  $R \subseteq \mathcal{O}_P$ .

**Proof.** See [28, Theorem 1.1.19, p.7].

**Remark 1.8.1** Recall that if  $E/k$  is a function field in one variable, then by proposition 1.8.3 above that the set  $\mathbb{P}_E$  is nonempty.

**Definition 1.8.6** Let  $P \in \mathbb{P}_E$  and  $x \in E$ .

- i) We say that  $P$  is a **zero** of  $x$  if  $\mathcal{V}_P(x) > 0$ .
- ii) We say that  $P$  is a **pole** of  $x$  if  $\mathcal{V}_P(x) < 0$ .
- iii) If  $\mathcal{V}_P(x) = n > 0$ , we say that  $P$  is a **zero** of  $x$  of order  $n$ .
- iv) If  $\mathcal{V}_P(x) = -n < 0$ , we say that  $P$  is a **pole** of  $x$  of order  $n$ .

**Corollary 1.8.2** Let  $E/k$  be a function field and  $x$  an element of  $E$  that is transcendental over  $k$ . Then  $x$  has at least one zero and one pole.

**Proof.** Let  $x \in E$ . Let  $R = k[x]$ , and the ideal  $J = xk[x]$ . By proposition 1.8.3 there exists a place  $P \in \mathbb{P}_E$  with  $x \in \mathcal{M}_P$ , hence  $P$  is a zero of  $x$ . The same argument proves that  $x^{-1}$  has a zero  $P' \in \mathbb{P}_E$ . So  $P'$  is a pole of  $x$ .

**Lemma 1.8.1** (**Approximation Theorem**). Let  $E/k$  be a function field in one variable,  $P_1, \dots, P_m$  be distinct places of  $E/k$ ,  $x_1, \dots, x_m \in E$  and  $n_1, \dots, n_m$  be integers. Then there is some  $x \in E$  such that

$$\mathcal{V}_{P_i}(x - x_i) = n_i \text{ for } i = 1, \dots, m.$$

**Proof.** See [19, Theorem 1.5.18, p.22].

**Corollary 1.8.3** Let  $E/k$  be a function field in one variable. Then  $E/k$  has infinitely many places.

**Proof.** Suppose there are only finitely many places, say  $P_1, \dots, P_m$ . By lemma 1.8.1 we can find a nonzero element  $x \in E$  with  $\mathcal{V}_{P_i}(x) > 0$  for  $i = 1, \dots, m$ . Then  $x$  is transcendental over  $x$ , since it has zeros. But  $x$  has no pole, this is a contradiction to Corollary 1.8.2.

## 1.8.2 Divisors

As previously said in the introduction, divisors in algebraic geometry are in extension of divisors in number field theory. They reveal a large amount of information about the **variety** in question. In this section, we define a divisor in terms of places of the considered function field in one variable. In the next section, considering a curve over an algebraically closed field, the function field of this curve will be a function field in one variable, and hence one can translate the definitions and results given here to this geometric case. Many results in the rest of this chapter will allow to retrieve information about **zeros**, **poles** and the structure of functions defined on the variety through the use of divisors. In this paragraph,  $E/k$  will always denote an **algebraic function field** in (always replace in the rest function field of one variable by function field in one variable) one variable such that  $k$  is the **full constant field** of  $E/k$ .

**Definition 1.8.7** The **divisor group** of  $E/k$  is defined as the (additively written) free abelian group which is generated by the places of  $E/k$ , it is denoted by  $\text{Div}(E)$ . The elements of  $\text{Div}(E)$  are called divisors of  $E/k$ . In other words, a divisor is a formal sum

$$D = \sum_{P \in \mathbb{P}_E} n_P P.$$

where  $n_P \in \mathbb{Z}$  and  $n_P = 0$  for all but finitely many  $n_P$ .

A divisor of the form  $D = P$  with  $P \in \mathbb{P}_E$  is called a **prime divisor**.

**Remarks 1.8.2** i) The addition of divisors is defined component-wise :

$$\sum_{P \in \mathbb{P}_E} n_P P + \sum_{P \in \mathbb{P}_E} m_P P = \sum_{P \in \mathbb{P}_E} (n_P + m_P) P.$$

ii) For  $Q \in \mathbb{P}_E$  and  $D = \sum_{Q \in \mathbb{P}_E} n_Q Q \in \text{Div}(E)$ , we define  $\mathcal{V}_Q(D) := n_Q$ .

**Definition 1.8.8** (**Support of a divisor**) Let  $D$  be a divisor of  $E/k$ . The **support** of  $D$  is defined as

$$\text{supp}(D) := \{P \in \mathbb{P}_E \mid n_P \neq 0\}.$$

It is more convenient to write  $D = \sum_{P \in \text{supp}(D)} n_P P$ .

**Definition 1.8.9** (**Degree of a divisor**) The **degree** of a divisor is defined as

$$\deg\left(\sum_{P \in \mathbb{P}_E} n_P P\right) = \sum_{P \in \mathbb{P}_E} n_P \cdot \deg(P) \in \mathbb{Z}.$$

Obviously, the degree is a group homomorphism  $\deg : \text{Div}(E) \rightarrow \mathbb{Z}$ . Its kernel is denoted by

$$\text{Div}^0(E) = \{D \in \text{Div}(E) \mid \deg(D) = 0\}.$$

Note that a partial ordering on  $\text{Div}(E)$  is defined by

$$D \leq D' \Leftrightarrow \mathcal{V}_P(D) \leq \mathcal{V}_P(D') \text{ for all } P \in \mathbb{P}_E.$$

The **reflexivity**, **antisymmetry** and **transitivity** follow directly from the definition.

**Remark 1.8.2** Note that this partial ordering on  $\text{Div}(E)$  is not total in general. Indeed, If we take  $E = \mathbb{F}_q(x)$  and

$$\begin{cases} P_\infty = \left\{ \frac{f(x)}{g(x)}, f(x), g(x) \in \mathbb{F}_q[x], \deg(f(x)) < \deg(g(x)) \right\} \\ P_\alpha = P_{x-\alpha} = \left\{ \frac{f(x)}{g(x)}, f(x), g(x) \in \mathbb{F}_q[X], X - \alpha \nmid g(x) \text{ and } X - \alpha \mid f(x) \right\} \end{cases}$$

Then  $D = 4P_\alpha - 2P_\infty$  and  $D' = P_\alpha$  are not comparable

**Theorem 1.8.1** Let  $E/k$  be a function field in one variable,  $x \in E \setminus k$  and let  $P_1, \dots, P_m$  be zeros of  $x$ . Then

$$\sum_{i=1}^m \mathcal{V}_{P_i}(x) \cdot \deg(P_i) \leq [E : k(x)].$$

**Proof.** Set  $n := [E : k(x)]$ . Suppose that

$$\sum_{i=1}^m \mathcal{V}_{P_i}(x) \cdot \deg(P_i) > n$$

We have  $x \notin k$ , so  $x$  is not algebraic over  $k$  (since  $k$  is a full constant subfield of  $E$ ). We set  $n_i = \mathcal{V}_{P_i}(x)$  and  $\mathcal{V}_j = \mathcal{V}_{P_j}$  for  $1 \leq i \leq m$ . Put  $\mathcal{O} := \bigcap_{i=1}^m \mathcal{O}_i$  where  $\mathcal{O}_i = \mathcal{O}_{P_i}$ . By lemma 1.8.1 we can choose an element  $y_i \in E$  such that  $\mathcal{V}_i(y_i) = -1$  with  $\mathcal{V}_i(y_i) = 0$  for all  $j$  with  $1 \leq j \leq m$  and  $i \neq j$ . Since  $[E_{P_i} : k]$  is finite (as  $k$ -vector space), then there exist  $z_{it} \in \mathcal{O}$ ,  $1 \leq t \leq \deg(P_i)$  such that  $\{z_{it}(P_i)\}_{1 \leq t \leq \deg(P_i)}$  forms a  $k$ -basis of the residue class field  $E_{P_i}$ . In order to arrive at the desired contradiction, it suffices to show that  $z_{it}y_i^j \in E$  ( $1 \leq t \leq \deg(P_i), 1 \leq j \leq n_i, 1 \leq i \leq m$ ) are linearly independent over  $k(x)$ . Suppose there is a nontrivial combination, then it can be written as :

$$\sum_{i=1}^m \sum_{j=1}^{n_i} \eta_{ij} y_i^j + x \sum_{i=1}^m \sum_{j=1}^{n_i} \alpha_{ij} y_i^j = 0 \quad (1.10)$$

where  $\eta_{ij}, \alpha_{ij} \in \mathcal{O}$ , either  $\eta_{ij} = 0$  or  $\mathcal{V}_{P_i}(\eta_{ij}) = 0$  and the latter case occurs for at least one pair  $(i, j)$ . Now, let  $d$  such that

$$\mathcal{V}_{P_d}(\eta_{ij}) = 0, \text{ for some } j \text{ with } 1 \leq j \leq n_d.$$

Then

$$\mathcal{V}_{P_d}(\sum_{i=1}^m \sum_{j=1}^{n_i} \eta_{ij} y_i^j) < 0.$$

and

$$\mathcal{V}_{P_d}(x \sum_{i=1}^m \sum_{j=1}^{n_i} \alpha_{ij} y_i^j) \geq 0.$$

a contradiction.

**Corollary 1.8.4** Let  $E/k$  be a function field in one variable. Then every nonzero element  $x \in E$  has only finitely many **zeros** and **poles**.

**Proof.** Let  $x$  be a nonzero element of  $E$ . If  $x \in k$ ,  $x$  has neither zeros nor poles. If  $x \in E \setminus k$ , then  $x$  is transcendental over  $k$ , so by theorem 1.8.1, the number of zeros is finite. The same argument shows that  $x^{-1}$  has only a finite number of zeros, so  $x$  has a finite number of poles.

**Definition 1.8.10 (Effective divisor)** A divisor  $D = \sum_{P \in \mathbb{P}_E} n_P P$  is called **effective** (or positive) at  $P$  if  $n_P \geq 0$ . And  $D$  is called **effective** if it is effective at each  $P$ .

**Definition 1.8.11 (Zero divisor, pole divisor and principal divisor)** Let  $0 \neq x \in E$  and denote by  $\mathcal{Z}$  (resp.  $\mathcal{P}$ ) the set of **zeros** (resp. **poles**) of  $x$  in  $\mathbb{P}_E$ . Then we define

i) The **zero divisor**  $(x)_0$  of  $x$  by

$$(x)_0 = \sum_{P \in \mathcal{P}} \mathcal{V}_P(x) P.$$

ii) The **pole divisor**  $(x)_\infty$  of  $x$  by

$$(x)_\infty = \sum_{P \in \mathcal{P}} (-\mathcal{V}_P(x)) P.$$

iii) The **principal divisor** of  $x$  by

$$(x) = (x)_0 - (x)_\infty.$$

**Remark 1.8.3** Clearly  $(x)_0 \geq 0$ ,  $(x)_\infty \geq 0$  and

$$(x) = \sum_{P \in \mathbb{P}_E} \mathcal{V}_P(x) P. \quad (1.11)$$

Sometimes the **principal divisor** of  $x$  is denoted by  $\text{div}(x)$ . Obviously,  $\text{div}$  is a group homomorphism  $\text{div} : E^* \rightarrow \text{Div}(E)$ .



**Definition 1.8.12** The group

$$\text{Princ}(E) := \{\text{div}(x) \mid 0 \neq x \in E\}$$

is called the group of **principal divisors** of  $E/k$ . The quotient group

$$\text{Cl}(E) := \text{Div}(E) / \text{Princ}(E).$$

is called the **divisor class group** of  $E/k$ . Two divisors  $D$  and  $D'$  belonging to the same residue class of  $\text{Cl}(E)$  are said to be equivalent, we write  $D \sim D'$ . This means that  $D' = D + \text{div}(x)$  for some  $x \in E \setminus \{0\}$ .

## 1.9 Curves and Riemann-Roch Theorem

In this section we introduce a fundamental space attached to the study of divisors on a function field in one variable, the so-called Riemann-Roch space. A space that is in particular well known in modern geometric coding theory and also in cryptography. We also introduce the notion of an adèle space and genus of such function field and Weil differentials. As the reader can see, all these notions are part of algebraic number field theory and apply very well in the case of a (smooth) algebraic affine curve via its rational function field.

### 1.9.1 Curves

Let us start by giving the definition of **algebraic curves**. Unless otherwise mentioned, we continue to assume in the rest of this chapter that  $k$  is an algebraically closed field.

**Definition 1.9.1** Let  $X$  be an algebraic variety over  $k$ . We say that  $X$  is an affine (resp. projective) algebraic curve if  $\dim(X) = 1$ .

**Notation.** Sometimes we will denote the algebraic curve  $X$  over a field  $k$  by  $X/k$ .

**Example 1.9.1** Let  $f(X, Y)$  be an irreducible polynomial in two indeterminates coefficients in  $k$ . Then the graph in  $k^2$  which is defined by the equation  $f(X, Y) = 0$  is an algebraic curve.

Let  $R$  be a local domain of dimension one with maximal ideal  $\mathfrak{m}$  and let  $h := R/\mathfrak{m}$ . Recall that  $R$  is a discrete valuation ring if and only if  $\dim_h(\mathfrak{m}/\mathfrak{m}^2) = 1$ .

**Proposition 1.9.1** Let  $X \subseteq \mathbb{A}^n$  be an affine algebraic curve and  $x \in X$ . Then  $X$  is smooth at  $x$  if and only if  $\mathcal{O}_x$  is a **discrete valuation** ring.

**Proof.** Note that  $X$  is nonsingular at  $x$  if and only if the local ring  $\mathcal{O}_x$  is regular ring. Moreover, since  $X$  is an affine curve, then  $\dim(\mathcal{O}_x) = \dim(X) = 1$  (see theorem 1.3.1 iii). So  $X$  is smooth at  $x$  if and only if  $\mathcal{O}_x$  is a valuation ring.

**Proposition 1.9.2** Let  $X$  be an affine algebraic curve. Then the set of singular points is a finite proper closed subset of  $X$ .

**Proof.** We already saw in theorem 1.5.1 that the set of singular points of  $X$  is a proper closed subset of  $X$ . It is finite by [19, Theorem 3.1.7, p.71].

**Remark 1.9.1** For more details on **nonsingular curves**, we refer to [19, Chapter 3].

### 1.9.2 Riemann-Roch Theorem

In this subsection, we fix an algebraic function field in one variable  $E/k$ . As the reader can see, most results in this section do not need the field  $k$  to be algebraically closed. Nevertheless, since  $k$  is a full constant field of  $E$ , then  $k$  is algebraically closed in  $E$ .

### The vector space $\mathcal{L}(D)$

Let  $D$  be a divisor of  $E/k$ , let

$$\mathcal{L}(D) := \{x \in E^* \mid \text{div}(x) + D \geq 0\} \cup \{0\}.$$

One can easily see that  $\mathcal{L}(D)$  is a  $k$ -vector space. This space is called **Riemann-Roch Space**. Its dimension over  $k$  will be denoted by  $l(D)$ , i.e.,  $l(D) := \dim_k(\mathcal{L}(D))$ .

For any divisor of  $E/k$ , then  $x \in \mathcal{L}(D)$  if and only if  $\mathcal{V}_P(x) \geq -\mathcal{V}_P(D)$  for all  $P \in \mathbb{P}_E$ .

**Proposition 1.9.3** Let  $D, D'$  be two divisor of  $E/k$ . Then :

- i) For the zero divisor  $0$ , we have  $\mathcal{L}(0) = k$ , and  $l(0) = 1$ .
- ii) If  $D \leq D'$ , then  $\mathcal{L}(D)$  is a subspace of  $\mathcal{L}(D')$  and  $\dim_k(\mathcal{L}(D')/\mathcal{L}(D)) \leq \deg(D') - \deg(D)$ .
- iii) If  $D \geq 0$ , then  $l(D) \geq 1$ .
- iv)  $l(D)$  is finite for all  $D$ .
- v) For any element  $x \in E$ , we have  $l(D + \text{div}(x)) = l(D)$ .

**Proof.** i) For any  $x \in k^*$ , we have  $\text{div}(x) = 0$ . So  $\text{div}(x) + 0 \geq 0$ , then  $k \subseteq \mathcal{L}(D)$ . Conversely, if  $x \in \mathcal{L}(D) \setminus \{0\}$ , then  $\text{div}(x) \geq 0$ . This means that  $x$  has no pole so  $x \in k$  by corollary 1.8.2. Moreover,  $l(0) = \dim_k(\mathcal{L}(0)) = \dim_k(k) = 1$ .

ii) Assume that  $D \leq D'$ , let  $x \in \mathcal{L}(D)$ , then  $\text{div}(x) + D' = \text{div}(x) + D + (D' - D) \geq 0$ . So  $x \in \mathcal{L}(D')$ . For the second assertion we can assume that  $D' = D + P$  for some  $P \in \mathbb{P}_E$ , the general case follows then by induction. Choose an element  $x_0 \in E$  with  $\mathcal{V}_P(x_0) = \mathcal{V}_P(D') = \mathcal{V}_P(D) + 1$ . For  $x \in \mathcal{L}(D')$  we have  $\mathcal{V}_P(x) \geq -\mathcal{V}_P(D') = -\mathcal{V}_P(x_0)$ , so  $xx_0 \in \mathcal{O}_P$ . Thus we obtain a  $k$ -linear map

$$\begin{aligned} \Phi: \mathcal{L}(D') &\longrightarrow E_P \\ x &\longmapsto \overline{xx_0}_P \end{aligned}$$

For an element  $x \in E$ , we have  $x \in \ker(\Phi)$  if and only if  $\mathcal{V}_P(xx_0) > 0$ , i.e.  $\mathcal{V}_P(x) \geq -\mathcal{V}_P(D)$ . Hence  $\ker(\Phi) = \mathcal{L}(D)$  and  $\Phi$  induce a  $k$ -linear injective mapping from  $\mathcal{L}(D')/\mathcal{L}(D)$  to  $E_P$ . So  $\dim_k(\mathcal{L}(D')/\mathcal{L}(D)) \leq \dim(E_P) = \deg(P) = \deg(D') - \deg(D)$ .

iii) By i) and ii) we know that  $k = \mathcal{L}(0)$  is a subspace of  $\mathcal{L}(D)$ . So  $1 = l(0) \leq l(D)$ .

iv) Assume that  $D \geq 0$ . Then applying i) and ii), we get  $l(D) = \dim_k(\mathcal{L}(D)/\mathcal{L}(0)) + 1 \leq \deg(D) + 1$ . So  $l(D) < +\infty$ . If  $D$  is arbitrary, then it suffices to consider some positive divisor  $D'$  such that  $D \leq D'$  and to conclude.

v) Let  $D \in \text{Div}(E)$  and let  $x \in E$ . Then one can easily see that  $\mathcal{L}(D) = x\mathcal{L}(D + \text{div}(x))$ . Since  $x\mathcal{L}(D + \text{div}(x))$  and  $\mathcal{L}(D + \text{div}(x))$  have the same dimension over  $k$ , then we have  $l(D + \text{div}(x)) = l(D)$ .

**Remark 1.9.2** v) implies that if  $D'$  is a divisor equivalent to  $D$ , then  $l(D) = l(D')$ .

**Lemma 1.9.1** Let  $D \in \text{div}(E)$ , if  $D = D_+ - D_-$  with positive divisors  $D_+$  and  $D_-$ , then

$$l(D) \leq \deg(D_+) + 1$$

**Proof.** Since  $\mathcal{L}(D) \subseteq \mathcal{L}(D_+)$ , it is sufficient to show that

$$l(D_+) \leq \deg(D_+) + 1.$$

But this by what we have already shown (See the proof of iv) in proposition 1.9.3.

**Remark 1.9.3** It follows by the above lemma that if  $D \geq 0$ , then we have

$$l(D) \leq \deg(D) + 1 \tag{1.12}$$

**Proposition 1.9.4** All principal divisors have degree zero. More precisely, let  $x \in E \setminus k$ , then we have

$$\deg(x)_0 = \deg((x)_\infty) = [E : k(x)]$$

**Proof.** Set  $m := [E : k(x)]$  and  $D := (x)_\infty = \sum_{i=1}^r -\mathcal{V}_{P_i}(x)P_i$  where  $P_1, \dots, P_r$  are all the pole of  $x$ . Then  $\deg(D) = \sum_{i=1}^r \mathcal{V}_{P_i}(x^{-1}) \cdot \deg(P_i) \leq [E : k(x)]$  (see theorem 1.8.1). Conversely, let  $m := [E : k(x)]$  and let's show that  $m \leq \deg(D)$  as well.  $[E : k(x)] = m$ . For this let's choose a basis  $\beta_1, \dots, \beta_m$  of  $E/k(x)$  and a divisor  $G \geq 0$  such that  $\text{div}(\beta_i) \geq -G$  for  $i = 1, \dots, m$ . We have

$$l(tD + G) \geq m(t + 1) \text{ for all } t \geq 0.$$

which follows immediately from the fact  $x^i \beta_j \in \mathcal{L}(tD + G)$  for  $i = 0, \dots, r, j = 0, \dots, m$ . Set  $d = \deg(G)$ , we get  $m(t + 1) \leq l(tD + G) \leq t\deg(D) + d + 1$  by lemma 1.9.1. Thus

$$t(\deg(D) - m) \geq m - d - 1 \quad (1.13)$$

for all  $t \in \mathbb{N}$ , the right hand side of (1.13) is independent of  $t$ , therefore (1.13) is possible only when  $\deg(D) \geq m$ . We have thus proved that  $\deg((x)_\infty) = [E : k(x)]$ . Since  $(x)_0 = (x^{-1})_\infty$ , we conclude that  $\deg((x)_0) = \deg((x^{-1})_\infty) = [E : k(x^{-1})] = [E : k(x)]$ .

**Corollary 1.9.1** If  $\deg(D) < 0$ , then  $l(D) = 0$ .

**Proof.** Assume that  $\deg(D) < 0$  and suppose that there is some nonzero  $x \in \mathcal{L}(D)$ , then by definition  $\deg(\text{div}(x) + D) \geq 0$ , but by applying Proposition 1.9.4 and the fact that  $\deg$  is a group homomorphism, we have, since we have  $\deg(\text{div}(x) + D) = \deg(D) (< 0)$ . It follows then that  $\mathcal{L}(D) = \{0\}$ , so  $l(D) = 0$ .

## Adèles

Most results here are true for an arbitrary function field  $E/k$ .

**Definition 1.9.2** An adèle of  $E/k$  is a mapping

$$\begin{array}{ccc} \beta : \mathbb{P}_E & \longrightarrow & E \\ P & \longmapsto & \beta_P \end{array}$$

such that  $\beta_P \in \mathcal{O}_P$  for all but a finite number of  $P \in \mathbb{P}_E$ . We may regard an adèle as an element of the direct product  $\prod_{P \in \mathbb{P}_E} E$  and therefore use the notation  $\beta = (\beta_P)_{P \in \mathbb{P}_E}$ .

The set

$$\mathbb{A}_E := \{\beta \mid \beta \text{ is an adèle of } E/k\}$$

is called the **adèle space** of  $E/K$ . The **principal adèle** of an element  $x \in E$  is the adèle whose components are equal to  $x$ . This gives the diagonal embedding  $x \mapsto (x, x, x, \dots)$ , from  $E$  to  $\mathbb{A}_E$ .

**Remarks 1.9.1** i)  $\mathbb{A}_E$  is a vector space over  $k$ .

ii) The valuations  $\mathcal{V}_P$  of  $E/k$  extend naturally to  $\mathbb{A}_E$  by setting  $\mathcal{V}_P(\beta) := \mathcal{V}_P(\beta_P)$  (where  $\beta_P$  is the  $P$ -component of the adèle  $\beta$ ). By definition 1.9.2,  $\mathcal{V}_P(\beta) \geq 0$  for all but finitely many  $P \in \mathbb{P}_E$ .

**Definition 1.9.3** For any divisor  $D = \sum_{P \in \mathbb{P}_E} n_P P$ , we define

$$\mathbb{A}_E(D) := \{\beta \in \mathbb{A}_E \mid \mathcal{V}_P(\beta) + \mathcal{V}_P(D) \geq 0 \text{ for all } P \in \mathbb{P}_E\}.$$

Obviously this is a  $k$ -subspace of  $\mathbb{A}_E$ .

For divisors  $D = \sum_{P \in \mathbb{P}_E} n_P P$  and  $D' = \sum_{P \in \mathbb{P}_E} m_P P$ , we define  $\min\{D, D'\} := \sum_{P \in \mathbb{P}_E} \min\{n_P, m_P\} P$ , and  $\max\{D, D'\} := \sum_{P \in \mathbb{P}_E} \max\{n_P, m_P\} P$ .

**Proposition 1.9.5** Let  $D = \sum_{P \in \mathbb{P}_E} n_P P$  and  $D' = \sum_{P \in \mathbb{P}_E} m_P P$ . Then the following statements hold.

i) If  $D \leq D'$ , then  $\mathbb{A}_E(D) \subseteq \mathbb{A}_E(D')$  and

$$\dim_k(\mathbb{A}_E(D')/\mathbb{A}_E(D)) = \deg(D') - \deg(D).$$

ii)  $\mathbb{A}_E(\min\{D, D'\}) = \mathbb{A}_E(D) \cap \mathbb{A}_E(D')$ .

iii)  $\mathbb{A}_E(\max\{D, D'\}) = \mathbb{A}_E(D) + \mathbb{A}_E(D')$

**Proof.** i) If  $D \leq D'$ , then by definition,  $m_P \geq n_P$  for all  $P$ . Let  $(\beta_P)_{P \in \mathbb{P}_E} \in \mathbb{A}_E(D)$  then

$$\mathcal{V}_P(\beta_P) + m_P \geq \mathcal{V}_P(\beta_P) + n_P \geq 0, \text{ for all } P \in \mathbb{P}_E.$$

Thus  $(\beta_P)_{P \in \mathbb{P}_E} \in \mathbb{A}_E(D')$ , which shows that  $\mathbb{A}_E(D) \subseteq \mathbb{A}_E(D')$ . Let's prove the rest by induction on  $\deg(D') - \deg(D)$ .

\*) If  $\deg(D') = \deg(D)$ , then necessarily  $D = D'$  (for  $D \leq D'$ ), so we have  $D = D'$ ,  $\mathbb{A}_E(D') = \mathbb{A}_E(D)$ , hence  $\mathbb{A}_E(D')/\mathbb{A}_E(D) = \{0\}$ .

\*) For the rest of the induction, it suffices to consider the case where  $\deg(D') - \deg(D) = 1$ ,  $D' = D + P$  for some place  $P$ . Choose  $x_0 \in E$ , with  $\mathcal{V}_P(x_0) = \mathcal{V}_P(D') = \mathcal{V}_P(D) + 1$  and consider the  $k$ -linear map  $\Phi : \mathbb{A}_E(D') \rightarrow E_P$  defined by  $\beta \mapsto x_0 \beta_P$ , which is surjective with kernel  $\ker(\Phi) = \mathbb{A}_E(D)$ , and so

$$\dim_k(\mathbb{A}_E(D')/\mathbb{A}_E(D)) = \dim_k(E_P) = \deg(P) = 1.$$

ii) Since  $\min\{D, D'\} \leq D, D'$ , then by i),  $\mathbb{A}_E(\min\{D, D'\}) \subseteq \mathbb{A}_E(D) \cap \mathbb{A}_E(D')$ . Conversely, if  $(\beta) \in \mathbb{A}_E(D)$  and  $(\beta_P) \in \mathbb{A}_E(D')$ , then for any  $P \in \mathbb{P}_E$ ,

$$\mathcal{V}_P(\beta_P) + n_P \geq 0 \text{ and } \mathcal{V}_P(\beta_P) + m_P \geq 0$$

Thus, we have

$$\mathcal{V}_P(\beta_P) + \min\{n_P, m_P\} \geq 0.$$

Therefore

$$\mathbb{A}_E(D) \cap \mathbb{A}_E(D') = \mathbb{A}_E(\min\{D, D'\}).$$

iii) We have  $D, D' \leq \max\{D, D'\}$ , so by i),  $\mathbb{A}_E(D) + \mathbb{A}_E(D') \subseteq \mathbb{A}_E(\max\{D, D'\})$ . Conversely, for  $(\beta_P) \in \mathbb{A}_E(D)$ ,  $(\alpha_P) \in \mathbb{A}_E(D')$ , if  $\beta_P = -\alpha_P$  then one can conclude easily. For arbitrary case, we have  $\mathcal{V}_P(\beta_P + \alpha_P) \geq \min\{\mathcal{V}_P(\beta_P), \mathcal{V}_P(\alpha_P)\}$ . Thus for all places  $P$ ,

$$\mathcal{V}_P(\beta_P + \alpha_P) + \max\{n_P, m_P\} \geq \min\{\mathcal{V}_P(\beta_P), \mathcal{V}_P(\alpha_P)\} + \max\{n_P, m_P\}$$

and

$$\min\{\mathcal{V}_P(\beta_P), \mathcal{V}_P(\alpha_P)\} + \max\{n_P, m_P\} \geq 0.$$

**Lemma 1.9.2** Let  $D, D'$  be two divisor of  $E/k$ , if  $D \leq D'$ . Then

$$\dim_k((\mathbb{A}_E(D') + E)/(\mathbb{A}_E(D) + E)) = (\deg(D') - l(D')) - (\deg(D) - l(D)).$$

**Proof.** We have an exact sequence of linear mappings

$$0 \longrightarrow \mathcal{L}(D')/\mathcal{L}(D) \xrightarrow{\gamma_1} \mathbb{A}_E(D')/\mathbb{A}_E(D) \xrightarrow{\gamma_2} (\mathbb{A}_E(D') + E)/(\mathbb{A}_E(D) + E) \longrightarrow 0$$

$\gamma_1, \gamma_2$  are defined in the obvious manner. The only nontrivial assertion is that the kernel of  $\gamma_2$  is contained in the image of  $\gamma_1$ . In order to prove this let  $\beta \in \mathbb{A}_E(D')$  with  $\gamma_2(\beta + \mathbb{A}_E(D)) = 0$ . Then  $\beta \in \mathbb{A}_E(D) + E$ , so there is some  $x_0 \in E$  with  $\beta - x_0 \in \mathbb{A}_E(D)$ . As  $\mathbb{A}_E(D) \subseteq \mathbb{A}_E(D')$ . We conclude that  $x_0 \in \mathbb{A}_E(D') \cap E = \mathcal{L}(D')$ . Therefore  $\beta + \mathbb{A}_E(D) = x_0 + \mathbb{A}_E(D) = \gamma_1(x_0 + \mathcal{L}(D))$  lies in the image of  $\gamma_1$ . From the exactness of the above sequence, we get that

$$\begin{aligned} \dim_k((\mathbb{A}_E(D') + E)/(\mathbb{A}_E(D) + E)) &= \dim_k(\mathbb{A}_E(D')/\mathbb{A}_E(D)) - \dim_k(\mathcal{L}(D')/\mathcal{L}(D)) \\ &= (\deg(D') - l(D')) - (\deg(D) - l(D)). \end{aligned}$$

In the second equality here, we used proposition 1.9.5 i).

For any divisor  $D$  of  $E/k$ , we define

$$r(D) := \deg(D) - l(D).$$

We obtain a map  $r : \text{Div}(E) \rightarrow \mathbb{Z}$ . We have then the following lemma :

**Lemma 1.9.3** Let  $x \in E^*$  and  $D, D'$  be two divisors on  $E$ . The following statements hold :

- i) If  $D \leq D'$  then  $r(D) \leq r(D')$
- ii)  $r(\text{div}(x) + D) = l(D)$ .

**Proof.** i) This follows from lemma 1.9.2.

ii) By proposition 1.9.3 v)

$$l(D + \text{div}(x)) = l(D);$$

Moreover, we have  $\deg(\text{div}(x) + D) = \deg(D) + \deg(\text{div}(x))$  and by proposition 1.9.4  $\deg(\text{div}(x)) = 0$ . So  $r(D) = \deg(D) - l(D)$ .

**Proposition 1.9.6** Let  $E/k$  be an algebraic function field,  $r(D)$  has an upper bound, when  $D$  describes the divisors of  $E/k$ .

**Proof.** Let  $x \in E \setminus k$ . By proposition 1.9.4  $\deg((x)_\infty) = [E, k(x)]$  which we denote by  $m$ . We have that  $x$  is integral over  $\mathcal{O}_P$ . Since if we use  $R$  denote the integral closure of  $k[x]$  in  $E$ , consider any  $y \in R$ , if  $\mathcal{V}_P(x) \geq 0$  then  $x \in \mathcal{O}_P$ . So  $k[x] \subseteq \mathcal{O}_P$ . Since  $\mathcal{O}_P$  is integrally closed in  $E$ ,  $\mathcal{V}_P(y) \geq 0$ . Thus if  $\mathcal{V}_P(y) < 0$  then  $\mathcal{V}_P(x) < 0$ , i.e. any pole of  $y$  will be a pole of  $x$ . Because the pole divisor is effective for any  $x \in E^*$ , there is some  $n \in \mathbb{N}$ , so that  $(y)_\infty \leq n(x)_\infty$  and  $n(x)_\infty + \text{div}(y) \geq (y)_0 \geq 0$ . For any element  $y$  of  $R$ ,  $y \in \mathcal{L}(n(x)_\infty)$  for some  $n > 0$  (depending only on  $y$ ). We can find a basis  $\{z_1, \dots, z_m\}$ , since  $[E : k(x)] = m$  where each  $z_i \in R$ . Thus  $z_i \in \mathcal{L}(n_i(x)_\infty)$  for some  $n_i \in \mathbb{N}$ . Take  $n = \max_{1 \leq i \leq m} \{n_i\} > 0$ . So each  $z_i \in \mathcal{L}(n(x)_\infty)$ . Since  $x$  is transcendental over  $k$ , then for any  $t \geq n$ ,  $\{x^i z_j : 1 \leq j \leq m, 0 \leq i \leq t - n\}$  are linear independent over  $k$  and are all in  $\mathcal{L}(t(x)_\infty)$ . Thus  $l(t(x)_\infty) \geq m(t - n + 1)$ . We find that

$$\begin{aligned} r(t(x)_\infty) &= \deg(t(x)_\infty) - l(t(x)_\infty) \\ &\leq (tm) - (m(t - n + 1)) \\ &= mn - n. \end{aligned} \tag{1.14}$$

We know that  $\{r(t(x)_\infty)\}_{t \in \mathbb{Z}}$  is an increasing sequence of integers, but by (1.14) it is bounded and thus eventually constants. Let the constant to be  $g - 1$  to ensure  $g$  is nonnegative. If  $t = 0$  then  $t(x)_\infty = 0$  and  $r(0) = -1$ . We want to prove that  $r(D) \leq g - 1$  for all divisors  $D$ . For a divisor  $D$ , we want to break up the support of  $D$  into some parts where  $x$  has no poles, and where  $x$  has poles. We do this as follows :

$$\begin{aligned} -D &= D' + D'' \\ \text{supp}(D') \cap \text{supp}((x)_\infty) &= \emptyset \\ \text{supp}(D'') &\subseteq \text{supp}((x)_\infty). \end{aligned}$$

Consider any place  $P$  where  $D'$  is not effective, since  $x$  doesn't have a pole at  $P$ ,  $k[x] \subseteq \mathcal{O}_P$ . And  $k[x] \cap m_P \neq \{0\}$ , thus  $k[x] \cap m_P$  is a prime ideal of  $k[x]$ . Choose  $t_P(x)$  to be a nonzero irreducible element generating  $k[x] \cap m_P$ , thus there is some integer  $n_P \geq 1$  such that

$$\text{div}((t_P(x))^{n_P} + D')$$

is effective at  $P$ . Since  $k[x] \subseteq R$ ,  $\text{supp}((t_P(x))_\infty) \subseteq \text{supp}((x)_\infty)$ . Thus  $\text{supp}((t_P(x))_\infty) \cap D' = \emptyset$ . Thus in  $\text{supp}((x)_\infty)$ ,  $\text{div}(t_P(x))^{n_P} + D'$  will only have negative coefficients. Then for

$$f_x := \prod_P (t_P(x))^{n_P} \in k[x]$$

$\text{div}(f_x) + D'$  is effective except where  $x$  has a pole. Similarly  $\text{supp}(D'') \subseteq \text{supp}((x)_\infty)$ , we have that  $D''$  is effective every where except where  $x$  has poles. Therefore

$$\text{div}(f_x) + D' + D'' = \text{div}(f_x) - D$$

will be effective outside the support of  $(x)_\infty$ . If we choose large enough  $d \in \mathbb{Z}$ , then  $\text{div}(f_x) - D + d(x)_\infty$  is effective. Then  $\text{div}(f_x) + d(x)_\infty \geq D$ . By Lemma 1.9.3,

$$r(\text{div}(f_x) + d(x)_\infty) = r(d(x)_\infty) \geq r(D).$$

If we take  $d$  to be large enough,  $r(d(x)_\infty) = g - 1$ , so  $r(D) \leq g - 1$ .

## Genus and the Riemann's theorem

**Definition 1.9.4** (*genus*) Let  $E/k$  be a function field in one variable, the genus of  $E$  is defined by

$$g := 1 + \max_D(r(D)).$$

i.e  $g$  is the last integer for which

$$\deg(D) - l(D) \leq g - 1.$$

holds for any divisor  $D$  of  $E/k$ .

Proposition 1.9.6 (with this definition) gives a proof to the following famous Riemann's Theorem.

**Theorem 1.9.1** Let  $E/k$  be an algebraic function field, then there exists a nonnegative integer  $g$  depending only on  $E$  such that

$$l(D) \geq \deg(D) + 1 - g \quad (1.15)$$

for every divisor  $D$  of  $E$ .

**Proof.** Clear.

**Corollary 1.9.2** There exists an integer  $c$  depending only on  $E$  such that

$$l(D) = \deg(D) + 1 - g$$

for any divisor  $D$  of  $E/k$  satisfying  $\deg(D) \geq c$ .

**Proof.** Let  $D$  be a divisor of  $E/k$  and choose  $D_0$  with  $g = 1 + r(D_0)$  and set  $c := \deg(D_0) + g$ . If  $\deg(D) \geq c$ , then

$$l(D - D_0) \geq \deg(D - D_0) + 1 - g \geq c - \deg(D_0) + 1 - g = 1.$$

So there is a nonzero element  $z \in \mathcal{L}(D - D_0)$ . Consider the divisor  $D' := \text{div}(z) + D$  which is  $\geq D_0$ . We have

$$\begin{aligned} \deg(D) - l(D) &= \deg(D) - l(D') \\ &\geq \deg(D_0) - l(D_0) = g - 1 \end{aligned}$$

Hence  $l(D) \leq \deg(D) + 1 - g$ .

**Corollary 1.9.3** Let  $D$  be a divisor such that  $\deg(D) \geq c$  where  $c$  is the constant from corollary 1.9.2, we have

$$\mathbb{A}_E(D) + E = \mathbb{A}_E.$$

**Proof.** By lemma 1.9.2

$$\dim_k((\mathbb{A}_E(D') + E) / \mathbb{A}_E(D) + E) = r(D') - r(D).$$

for any divisors  $D' \geq D$ , by corollary 1.9.2  $\deg(D) \geq c$  implies  $r(D) = g - 1$ . Thus if  $\deg(D'), \deg(D'') \geq c$ , then

$$\mathbb{A}_E(D'') + E = \mathbb{A}_E(D') + E$$

For any divisor  $D = \sum_P n_P P$  with  $\deg(D) \geq c$  and for any adèle  $(\beta_P)$ , define  $G := \max(D, -\text{div}(\beta_P))$ . Therefore,  $G \geq D$ ,  $\deg(G) \geq \deg(D) \geq c$ . By the above we get  $\mathbb{A}_E(G) + E = \mathbb{A}_E(D) + E$ . We have also  $(\beta_P) \in \mathbb{A}_E(-\text{div}(\beta_P)) \subseteq \mathbb{A}_E(G) \subseteq \mathbb{A}_E(G) + E = \mathbb{A}_E(D) + E$ . If  $\deg(D)$  is large enough, then any adèle is in  $\mathbb{A}_E(D) + E$  and we have  $\mathbb{A}_E \supseteq \mathbb{A}_E(D) + E$  since  $\mathbb{A}_E(D)$  and  $E$  are both subsets of the adèles under the diagonal embedding. Thus

$$\mathbb{A}_E(D) + E = \mathbb{A}_E.$$

In the case of an algebraic function field  $E/k$ , we already defined its genus, so by the same way we define the genus of an algebraic nonsingular projective curve as :

**Definition 1.9.5** The *genus* of a nonsingular projective curve  $X$  over  $k$  is defined to be the genus of its  $k$ -rational function field  $k(X)$ .

So far, we have a precise bound for  $l(D)$  based on  $\deg(D)$ , then to calculate  $l(D)$  precisely, we need to introduce another object called the *Weil differentials*.



## Weil differentials

**Definition 1.9.6** A *Weil differential*  $\omega$  on a function field  $E$  over an algebraically closed field  $k$ , is a  $k$ -linear map from  $\mathbb{A}_E$  to  $k$  such that there is some divisor  $D$  of  $E/k$  where  $\omega$  vanishes both on  $\mathbb{A}_k(D) + E$ . In other words  $\omega|_{\mathbb{A}_E(D)+E} \equiv 0$ .

**Notation.** We denote the space of differentials on  $E$  by  $\Omega_E$  and the space of differential vanishes on  $\mathbb{A}_E(D) + E$  for some divisor  $D$  denote by  $\Omega_E(D)$ .

**Remark 1.9.4**  $\Omega_E(D)$  can be viewed as a  $k$ -vector space, in analogy with adèles,  $\Omega_E(D)$  is a  $k$ -linear subspace of  $\Omega_E$ .

**Proposition 1.9.7** For any divisor  $D$ ,  $\Omega_E(D)$  is finite dimensional over  $k$ ,

$$l(D) = \deg(D) - g + 1 + \dim_k(\Omega_E(D)).$$

**Proof.**  $\omega \in \Omega_E(D)$  if and only if when  $\omega$  is from  $\mathbb{A}_E/(\mathbb{A}_E(D) + E)$  to  $k$ .

$$\Omega_E(D) = \text{Hom}(\mathbb{A}_E/(\mathbb{A}_E(D) + E), k)$$

Next, by corollary 1.9.2 we have  $\Omega_E(D) = ((\mathbb{A}_E(G) + E)/(\mathbb{A}_E(D) + E))^\vee$  for any divisor  $G \geq D$  with large enough degree. Thus by lemma 1.9.2 for any divisors  $D' \geq D$  we have

$$\dim_k((\mathbb{A}_E(D') + E)/(\mathbb{A}_E(D) + E)) = r(D') - r(D).$$

So by duality, we obtain

$$\dim_k(\Omega_E(D)) = r(D') - r(D).$$

Since  $r(D') = g - 1$  and  $\mathbb{A}_E(D') + E = \mathbb{A}_E$  for any divisor  $D'$  with larger enough degree,

$$\dim_k(\Omega_E(D)) = g - 1 - (\deg(D) - l(D)).$$

**Corollary 1.9.4**  $g = \dim_k(\Omega_E(0))$ .

**Proof.** By proposition 1.9.7

$$\begin{aligned} l(0) &= \deg(0) - g + 1 + \dim_k(\Omega_E(0)) \\ 1 &= 0 - g + 1 + \dim_k(\Omega_E(0)). \end{aligned}$$

**Lemma 1.9.4** Let  $\omega$  be any nonzero differential, there exists a greatest divisor  $D$  such that, for any other divisor  $G$ ,  $D \leq G$  if and only if  $\omega$  vanishes on  $\mathbb{A}_E(G)$ .

**Proof.** Let  $\mathcal{A}_\omega$  be the set of divisors  $D$  such that  $\omega|_{\mathbb{A}_E(D)} \equiv 0$ . By corollary 1.9.3

$$\deg(G) \geq c \Rightarrow \mathbb{A}_E(G) + E = \mathbb{A}_E$$

Or, equivalently,

$$\mathbb{A}_E \neq \mathbb{A}_E(G) + E \Rightarrow \deg(G) < c$$

There is some adèle  $\beta$  on which  $\omega$  does not vanish, since  $\omega$  is nonzero. Thus  $\mathbb{A}_E(G) + E$  is not all of the adèles, so we have a bound on the degree of divisors in the set  $\mathcal{A}_\omega$ . Now, let's fix some divisor  $D$  of maximal degree in  $\mathcal{A}_\omega$ . We want to show that this divisor of maximal degree is unique. Indeed, if we choose any other divisor  $D' \in \mathcal{A}_\omega$ ,  $\omega$  vanishes on both  $\mathbb{A}_E(D')$  and  $\mathbb{A}_E(D)$ . So  $\omega$  will also vanish on  $\mathbb{A}_E(D') + \mathbb{A}_E(D) = \mathbb{A}_E(\max\{D, D'\})$ .  $\max\{D, D'\} \in \mathcal{A}_\omega$ . Since  $\max\{D, D'\} \geq D$  and  $D$  is of maximal degree in  $\mathcal{A}_\omega$ , we have that  $D = \max\{D, D'\}$ ,  $D \geq D'$ . Thus the divisor in  $\mathcal{A}_\omega$  which has maximal degree is indeed unique.

**Definition 1.9.7** Let  $\omega \neq 0$  be a differential. The principal divisor  $\text{div}(\omega)$  is defined as the divisor  $D$  of greatest degree such that  $\omega$  vanishes on  $\mathbb{A}_E(D)$ .

By lemma 1.9.4, for all  $\beta \in \mathbb{A}_E(\text{div}(\omega))$ ,  $\omega(\beta) = 0$ , and if for all  $\beta \in \mathbb{A}_E(G)$  we have  $\omega(\beta) = 0$ , then  $G \leq \text{div}(\omega)$ .

**Proposition 1.9.8** Let  $\omega \in \Omega_E$ . Then

i) For any  $t \in E^*$ , we have

$$\text{div}(t\omega) = \text{div}(t) + \text{div}(\omega).$$

ii) Let  $D$  be any divisor, then

$$\mathcal{L}(\text{div}(\omega) - D) \subseteq \Omega_E(D).$$

**Proof.** i) Let  $(\beta_P) \in \mathbb{A}_E$ ,  $D = \sum_P n_P P$ ,  $t \in E^*$ .

$$\begin{aligned} t\beta \in \mathbb{A}_E &\Leftrightarrow \mathcal{V}_P(t\beta_P) + n_P \geq 0, \forall P \\ &\Leftrightarrow \mathcal{V}_P(t) + \mathcal{V}_P(\beta_P) + n_P \geq 0 \\ &\Leftrightarrow \mathcal{V}_P(\beta_P) + (\mathcal{V}_P(t) + n_P) \geq 0 \\ &\Leftrightarrow (\beta_P) \in \mathbb{A}_E(\text{div}(t) + D). \end{aligned} \quad (1.16)$$

Thus if  $\omega$  vanishes on  $\mathbb{A}_E(D)$ , then  $t\omega$  vanishes on  $\mathbb{A}_E(D + \text{div}(t))$  and the reverse also true, thus we have

$$\omega \in \Omega_E(D) \Leftrightarrow t\omega \in \Omega_E(\text{div}(t) + D). \quad (1.17)$$

Now, obviously,  $\omega \in \Omega_E(\text{div}(\omega))$ . Let  $\mathcal{A}_{t\omega}$  be defined as in lemma 1.9.4. Thus

$$\text{div}(t) + \text{div}(\omega) \in \mathcal{A}_{t\omega}.$$

So

$$\text{div}(t\omega) \geq \text{div}(t) + \text{div}(\omega). \quad (1.18)$$

By (1.17), we know that  $(t\omega) \in \Omega_E(\text{div}(t) + (\text{div}(t\omega) - \text{div}(t)))$  implies  $\omega \in \Omega_E(\text{div}(t\omega) - \text{div}(\omega))$ . Then by definition

$$\text{div}(t\omega) \leq \text{div}(t) + \text{div}(\omega).$$

since  $\text{div}(\omega) \leq \text{div}(t\omega) - \text{div}(t)$ . Combining with (1.18), the statements hold.

ii) Let  $t \in E^*$ , we have

$$t \in \mathcal{L}(\text{div}(\omega) - D) \iff \text{div}(t) + \text{div}(\omega) - D \geq 0 \iff \text{div}(t\omega) \geq D.$$

By proposition 1.9.5 i)

$$\mathbb{A}_E(D) \subseteq \mathbb{A}_E(\text{div}(t\omega))$$

and  $t\omega$  vanishes on  $\Omega_E(D)$  since it on  $\Omega_E(t\omega)$

**Theorem 1.9.2** The space of Weil differentials is a one dimensional  $E$ -vector space.

**Proof.** By proposition 1.9.8, we have that if for two different differentials  $\omega, \omega'$ , and any divisor  $D$  :

$$\mathcal{L}(\text{div}(\omega) - D) \subseteq \Omega_E(D), \quad \mathcal{L}(\text{div}(\omega') - D) \subseteq \Omega_E(D).$$

And  $\omega, \omega'$  are linearly dependent over  $E$  since if we have some nonzero element  $t$  in the intersection and  $t = \alpha\omega = \beta\omega'$  for some nonzero  $\alpha$  and  $\beta$  in  $E$ . We also have

$$\mathcal{L}(\text{div}(\omega) - D) \subseteq \Omega_E(D), \text{ and } \mathcal{L}(\text{div}(\omega') - D) \subseteq \Omega_E(D).$$

as  $k$ -subspaces. Let's suppose that

$$\mathcal{L}(\text{div}(\omega) - D) \cap \mathcal{L}(\text{div}(\omega') - D) = \{0\}.$$

Then we have

$$\Omega_E(D) \supseteq \mathcal{L}(\text{div}(\omega) - D) \oplus \mathcal{L}(\text{div}(\omega') - D).$$

So

$$\dim_k(\Omega_E(D)) \geq l(\text{div}(\omega) - D) + l(\text{div}(\omega_1) - D).$$

Choose some integer  $n \geq 1$  and a place  $P$ , if we let  $D = -nP$ . By proposition 1.9.7

$$\dim_k(\Omega_E(D)) = \dim_k(\Omega(-nP)) = l(-nP) - \deg(-nP) + g - 1.$$

$l(-nP) = 0$  since there are no nonzero functions which has a zero but has no poles. Thus by proposition 1.9.6 we have that  $\dim_k(\Omega_E(-nP)) = n + g - 1$ . We have

$$l(\operatorname{div}(\omega) + nP) \geq \deg(\operatorname{div}(\omega)) + nP - g + 1 = \deg(\operatorname{div}(\omega))n - g + 1.$$

and

$$l(\operatorname{div}(\omega') + nP) \geq \deg(\operatorname{div}(\omega')) + nP - g + 1 = \deg(\operatorname{div}(\omega))n - g + 1.$$

Thus, if  $\mathcal{L}(\operatorname{div}(\omega) - D) \cap \mathcal{L}(\operatorname{div}(\omega') - D) = \{0\}$ . And

$$n + g - 1 \geq 2n - 2g + 2 + \deg(\operatorname{div}(\omega)) + \deg(\operatorname{div}(\omega'))$$

which implies that

$$n \leq 3g - 3 - \deg(\operatorname{div}(\omega)) - \deg(\operatorname{div}(\omega')).$$

Clearly, this inequality will be false if we take  $n$  to be large enough, Thus we have that if  $D = -nP$ ,  $\mathcal{L}(\operatorname{div}(\omega) - D) \cap \mathcal{L}(\operatorname{div}(\omega') - D) \neq \{0\}$ . Thus we have the result. Any two different differentials are linearly independent over  $E$ . Therefore, the space of Weil differentials is a one dimensional  $E$  vector space.

**Corollary 1.9.5** For any differential  $\omega \in \Omega_E(D)$ ,  $\omega \neq 0$ ,

$$\mathcal{L}(\operatorname{div}(\omega) - D) \simeq \Omega_E(D)$$

as  $k$ -vector spaces.

**Proof.** By proposition 1.9.8 ii), there is an injective map

$$\begin{array}{ccc} \Phi : \mathcal{L}(\operatorname{div}(\omega) - D) & \longrightarrow & \Omega_E(D) \\ t & \longmapsto & t\omega \end{array}$$

And since the space of Weil differentials is a one dimensional  $E$ -vector space as we just proved, any nonzero differential  $\omega'$  can be written as  $\omega' = \alpha\omega$  for some  $\alpha \in E^*$ . Next, we want to prove that

$$\operatorname{div}(\alpha) + \operatorname{div}(\omega) = \operatorname{div}(\alpha\omega) \geq D.$$

i.e., we would only need to show that  $\alpha \in \mathcal{L}(\operatorname{div}(\omega) - D)$ . Suppose on the contrary,  $\alpha \notin \mathcal{L}(\operatorname{div}(\omega) - D)$ , then  $\operatorname{div}(\alpha\omega) < D$ , moreover,

$$\deg(\operatorname{div}(\alpha\omega)) = \deg(\operatorname{div}(\alpha)) + \deg(\operatorname{div}(\omega)) = \deg(\operatorname{div}(\omega)) < \deg(D).$$

By lemma 1.9.4,  $\operatorname{div}(\omega) \geq D$  since  $\omega \in \Omega_E(D)$ , so  $\deg(\operatorname{div}(\omega)) \geq \deg(D)$ . Therefore we have proved the claim,  $\Phi$  is an isomorphism from  $\mathcal{L}(\operatorname{div}(\omega) - D)$  to  $\Omega_E(D)$ .

This is a fundamental result in the algebraic geometry of curves.

**Theorem 1.9.3 (Riemann-Roch Theorem)** For any divisor  $D$  and nonzero differential  $\omega$

$$l(D) = \deg(D) - g + 1 + l(\operatorname{div}(\omega) - D).$$

**Proof.** By Corollary 1.9.5, for any divisor  $D$  and nonzero differential  $\omega$ , we have

$$\dim_E(\Omega_E(D)) = l(\operatorname{div}(\omega) - D).$$

Then by proposition 1.9.7 we have

$$l(D) = \deg(D) - g + 1 + \dim_k(\Omega_E(D)).$$

Thus

$$l(D) = \deg(D) - g + 1 + l(\operatorname{div}(\omega) - D).$$

**Corollary 1.9.6** *The degree of the divisor of any nonzero differential is  $2g - 2$ .*

**Proof.** In theorem 1.9.3 putting  $D = 0$ , we get  $l(\text{div}(\omega)) = g$ , and then putting  $D = \text{div}(\omega)$  yields  $\deg(\text{div}(\omega)) = 2g - 2$ .

**Corollary 1.9.7** *For any divisor  $D$  with  $\deg(D) \geq 2g - 1$*

$$l(D) = \deg(D) - g + 1.$$

**Proof.** If  $D \in \text{Div}(E)$  with  $\deg(D) \geq 2g - 1$ , then  $\deg(\text{div}(\omega) - D) = 2g - 2 - \deg(D) < 0$ . But we showed in the proof of corollary 1.9.1 that for any divisor  $G$  with  $\deg(G) < 0$ ,  $l(G) = 0$ . Then  $l(\text{div}(\omega) - D) = 0$ . Hence

$$l(D) = \deg(D) - g + 1 + l(\text{div}(\omega) - D) = \deg(D) - g + 0 = \deg(D) - g + 1.$$

**Remark 1.9.5** *(Generalization of the theorem)*

The **Riemann-Roch Theorem** can be generalized not only, to surfaces. Even in higher dimensions, there is the so called the **Hirzebruch-Riemann-Roch Theorem**, named after **Friedrich Hirzebruch**, **Bernhard Riemann**, and **Gustav Roch**. The Hirzebruch-Riemann-Roch Theorem is the first generalization of the classical Riemann-Roch Theorem to all higher dimensions. Later in the history of algebraic geometry, the **Grothendieck Riemann-Roch Theorem** is a generalisation of the Hirzebruch Riemann-Roch Theorem.

## Chapter 2

# Introduction to Schemes

In this chapter we aim to present basic background of scheme theory. The material developed here covers elementary definitions and properties and is oriented in order to prepare necessary tools to understand the meaning of Severi-Brauer varieties in the third chapter. We will however study some local and global properties of schemes like the notions of reduced, integral, regular, normal, separated, proper, projective schemes. We will also study modules over schemes, some cohomological interpretations in scheme theory and introduce Weil and Cartier divisors.

## 2.1 Generalities on sheaf theory

*Sheaves* are tools which allow us to keep track of local *information* on a topological space in a single mathematical object. Their use is ubiquitous throughout *algebraic geometry*. In this section, we will study their basic theory. We present the notions of *presheaf* and *sheaf* on a topological space, that of morphisms of *presheaves*, as well as their first properties : *injectivity* and *surjectivity*, *exact sequences*. We then study the direct image and inverse image functors, which allow to pass from a *sheaf* on a topological space to a *sheaf* on another space and which play a fundamental role in the study of the *schemes*. Finally, we end with the study of the gluing of bundles

**Notation.** Let  $X$  be a topological space. We denote by  $\mathcal{T}_X$  the category having for objects the open subsets of  $X$  and for morphisms identity maps and inclusions.

$\mathcal{C}$  will denote a category, which can be the category of sets (also denoted by  $\text{Set}$ ), that of groups (also denoted by  $\text{Grp}$ ), that of  $R$ -modules (also denoted by  $\text{Ring}$ ), that of  $R$ -modules (also denoted by  $R - \text{Alg}$ ), for some ring  $R$ .

### 2.1.1 Presheaves

**Definition 2.1.1** Let  $X$  be a topological space. A *presheaf*  $\mathcal{F}$  on  $X$  consists of the following data :

- i) For every open subset  $U$  of  $X$ , a set  $\mathcal{F}(U)$ .
- ii) Whenever  $U \subseteq V$  are two open subsets of  $X$ , a map

$$\text{res}_{V,U} : \mathcal{F}(V) \longrightarrow \mathcal{F}(U)$$

called the *restriction* map, which satisfies the following conditions :

- a)  $\text{res}_{U,U} = \text{id}_{\mathcal{F}(U)}$ .
- b) Having three open subsets  $U \subseteq V \subseteq W$  of  $X$ , then  $\text{res}_{V,U} \circ \text{res}_{W,V} = \text{res}_{W,U}$

**Remarks 2.1.1** 1) We will mostly write  $s|_U$  for  $s$  when  $s \in \mathcal{F}(U)$ . The elements of  $\mathcal{F}(U)$  are usually called *sections* of (the presheaf  $\mathcal{F}$ ) over  $U$ .

- 2) By considering  $\mathcal{F}(U)$  as object in some category  $\mathcal{C}$  and assuming that  $\text{res}_{V,U}$  is a morphism between the objects  $\mathcal{F}(V)$  and  $\mathcal{F}(U)$ , we may define more generally a presheaf  $\mathcal{F}$  on  $X$  into  $\mathcal{C}$ . Note that we can state definition 2.1.1 in another way : Let  $X$  be a topological space. A *presheaf*  $\mathcal{F}$  on  $X$  (into a category  $\mathcal{C}$ ) is a *contravariant functor* from  $\mathcal{T}_X$  into  $\mathcal{C}$ .

$$\begin{aligned} \mathcal{F} : \mathcal{T}_X &\longrightarrow \mathcal{C} \\ U &\longmapsto \mathcal{F}(U) \end{aligned}$$

- Examples 2.1.1** 1) For a topological space, a presheaf  $\mathcal{C}_X$  of  $\mathbb{R}$ -algebras on  $X$  is defined by assigning to every open  $U \subseteq X$  the set of **continuous functions**  $U \rightarrow \mathbb{R}$ .
- 2) Let  $X$  be a variety, we previously considered the presheaf of  $k$ -algebras  $\mathcal{O}_X$ . For any open  $U \subseteq X$ ,  $\mathcal{O}_X(U)$  is the  $k$ -algebra of **regular functions**. If  $X$  be an **affine variety** we have  $\mathcal{O}_X(U) = k[U]$ .
- 3) Let  $X$  be a topological space, the formula :

$$U \mapsto \begin{cases} \mathbb{Z} & \text{if } U = X \\ \{0\} & \text{otherwise} \end{cases}$$

defines a presheaf of **abelian groups** on  $X$ .

Although it is possible to define a presheaf of a topological space  $X$  into an arbitrary category  $\mathcal{C}$ , we will be interested in what follows only in cases where the objects of  $\mathcal{C}$  are sets (that could have an additional structure) and the morphisms  $\text{res}_{V,U}$  are maps (which are morphisms for the extra structure on  $\mathcal{F}(V)$  and  $\mathcal{F}(U)$ ).

**Definition 2.1.2** Let  $\mathcal{F}$  be a presheaf on  $X$ , a **subpresheaf**  $\mathcal{G}$  (of  $\mathcal{F}$ ) is a presheaf on  $X$  such that  $\mathcal{G}(U) \subseteq \mathcal{F}(U)$  for every open  $U \subseteq X$ , and such that the restriction maps of  $\mathcal{G}$  are induced by those of  $\mathcal{F}$ .

**Example 2.1.1** If  $U$  is an open subset of  $X$ , every presheaf  $\mathcal{F}$  on  $X$  induces, in an obvious way, a presheaf  $\mathcal{F}_U$  on  $U$  by setting  $\mathcal{F}_U(V) = \mathcal{F}(V)$  for every open subset  $V$  of  $U$ . This is the restriction of  $\mathcal{F}$  to  $U$ .

### Morphisms of presheaves

**Definition 2.1.3** Let  $\mathcal{F}$  and  $\mathcal{G}$  be two **presheaves** on  $X$ . A morphism of presheaves  $\psi$  from  $\mathcal{F}$  to  $\mathcal{G}$  consists of the datum, for all open  $U$  of  $X$ , of a morphism  $\psi(U)$  from  $\mathcal{F}(U)$  to  $\mathcal{G}(U)$ , so that the diagram

$$\begin{array}{ccc} \mathcal{F}(V) & \xrightarrow{\psi(V)} & \mathcal{G}(V) \\ \text{res}_{V,U} \downarrow & & \downarrow \text{res}_{V,U} \\ \mathcal{F}(U) & \xrightarrow{\psi(U)} & \mathcal{G}(U) \end{array}$$

commutes for any pair  $(U, V)$  of open subsets of  $X$  such that  $U \subseteq V$ .

- Remarks 2.1.2** i) The commutativity of the diagram is written :  $\psi(V)(s)|_U = \psi(U)(S|_U)$ , where  $s \in \mathcal{F}(V)$ .
- ii) Morphisms of **presheaves** can be composed. So that presheaves on the topological space  $X$  form a category, that we will denote by  $\text{PreSh}_X$ .
- iii) A morphism  $\psi : \mathcal{F} \rightarrow \mathcal{G}$  between two presheaves  $\mathcal{F}$  and  $\mathcal{G}$  is an **isomorphism** if it has a two-sided inverse i.e, a morphism  $\phi : \mathcal{G} \rightarrow \mathcal{F}$  such that  $\psi \circ \phi = \text{id}_{\mathcal{G}}$  and  $\phi \circ \psi = \text{id}_{\mathcal{F}}$ .

**Definition 2.1.4** Assume  $\mathcal{C}$  has **direct limits**. The **stalk** of a presheaf  $\mathcal{F}$  at a point  $x \in X$  is

$$\mathcal{F}_x := \varinjlim_{x \in U} \mathcal{F}(U)$$

The direct limit is taken over open neighborhoods of  $x$ , and restriction maps between them. Given a section  $s \in \mathcal{F}(U)$ , and a point  $x \in U$ , we let  $s_x \in \mathcal{F}_x$  denote the image of  $s$  under the natural morphism

$$\begin{array}{ccc} \mathcal{F}(U) & \longrightarrow & \mathcal{F}_x \\ s & \longmapsto & s_x \end{array}$$

An element of the **stalk** is called a **germ**.

More generally, if  $Y \subseteq X$  is a **closed** and **irreducible** subset. Then, we set

$$\mathcal{F}_Y := \varinjlim_{U \cap Y \neq \emptyset} \mathcal{F}(U)$$



**Notation.** Let  $X$  be a topological space and  $x \in X$ , we denote by  $\mathcal{V}$  the set of open neighborhoods of  $x$ , which is filtering for the opposite order to inclusion i.e, for all  $U, V \in \mathcal{V}$  we have

$$U \leq V \iff V \subseteq U.$$

**Remark 2.1.1** We can identify  $\mathcal{F}_x$  as the quotient of the set of pairs  $(U, s)$ , where  $U \in \mathcal{V}$  and where  $s$  is a section of  $\mathcal{F}$  on  $U$ , by the relation of equivalence defined as follows :

$$(U, s) \sim (V, t) \text{ if and only if there exists an open neighborhood } W \text{ of } x \text{ in } U \cap V \text{ such that } s|_W = t|_W.$$

Moreover, we can see  $\mathcal{F}_x$  as the set of sections of  $\mathcal{F}$  defined in the neighborhood of  $x$ . Two sections belonging to  $\mathcal{F}_x$  being considered as equal if they coincide in some neighborhood of  $x$ .

**Example 2.1.2** 1) Let  $\mathcal{F}(U) = \{ \text{continuous functions } U \rightarrow \mathbb{R} \}$ . Then  $\mathcal{F}_x$  the set of *germs* of continuous functions at  $x$ .

**Proposition 2.1.1** Let  $\psi : \mathcal{F} \rightarrow \mathcal{G}$  be a morphism of presheaves, then  $\psi$  induces for every point  $x \in X$  a morphism  $\psi_x : \mathcal{F}_x \rightarrow \mathcal{G}_x$  between the *stalks*, where  $\psi_x$  is defined by  $\psi_x(s_x) = (\psi(U)(s))_x$  for any open subset  $U$  of  $X$ ,  $s \in \mathcal{F}(U)$ , and  $x \in U$ .

**Proof.** If  $s \in \mathcal{F}(U)$  and  $t \in \mathcal{F}(V)$  are such that  $s_x = t_x$ , then there exists an open neighborhood  $W$  of  $x$  such that  $s|_W = t|_W$ . So  $\psi(U)(s)|_W = \psi(W)(s|_W)$  and  $\psi(V)(t)|_W = \psi(W)(t|_W)$ . Hence  $(\psi(U)(s))_x = (\psi(V)(t))_x$ .

Note that if  $\psi : \mathcal{F} \rightarrow \mathcal{G}$  and  $\phi : \mathcal{G} \rightarrow \mathcal{Z}$  are two morphisms of sheaves we have  $(\psi \circ \phi)_x = \psi_x \circ \phi_x$  and  $(id_{\mathcal{F}})_x = id_{\mathcal{F}_x}$ . Moreover,  $\psi \rightarrow \psi_x$  define a *functor* from the category of sheaves over  $X$  to the category  $\mathcal{C}$ .

**Definition 2.1.5** Let  $\psi : \mathcal{F} \rightarrow \mathcal{G}$  be a morphism of presheaves

- i) We say that  $\psi$  is *injective* if for any open subset  $U$  of  $X$ ,  $\psi(U) : \mathcal{F}(U) \rightarrow \mathcal{G}(U)$  is *injective*.
- ii) We say that  $\psi$  is *surjective* if for all  $x \in X$ ,  $\psi_x : \mathcal{F}_x \rightarrow \mathcal{G}_x$  is *surjective*.

## 2.1.2 Sheaves

**Definition 2.1.6** We say that a *presheaf*  $\mathcal{F}$  is a *sheaf* if we have the following properties :

- i) (*Uniqueness*) Let  $U$  be an open subset of  $X$ ,  $s \in \mathcal{F}(U)$ ,  $\{U_i\}_{i \in I}$  a covering of  $U$  by open subsets  $U_i$ . If  $s|_{U_i} = 0$  for every  $i \in I$ , then  $s = 0$ .
- ii) (*Gluing axiom*) If  $U = \bigcup_{i \in I} U_i$ , and if  $s_i \in \mathcal{F}(U_i)$  is a collection of sections matching on the overlaps; that is, they satisfy

$$s_i|_{U_i \cap U_j} = s_j|_{U_i \cap U_j}$$

for all  $i, j \in I$ , then there exists a section  $s \in \mathcal{F}(U)$  so that  $s|_{U_i} = s_i$ , for all  $i \in I$

**Remarks 2.1.3** 1) When  $\mathcal{F}$  is a presheaf of groups or of an algebraic structure that is in particular a group, we can replace *i* by : for all  $s, t \in \mathcal{F}(U)$  such that for  $i \in I$ ,  $s|_{U_i} = t|_{U_i}$  then  $s = t$ .

- 2) The section  $s$  in *ii*) is unique by condition *i*).

**Examples 2.1.2** 1) Let  $X$  be a topological space,  $U \mapsto C^0(U, \mathbb{R})$  is a sheaf of  $\mathbb{R}$ -algebras over  $X$ .

- 2) In example 2.1.1, if moreover,  $\mathcal{F}$  is a sheaf then  $\mathcal{F}|_U$  is still a sheaf.

## Morphisms of sheaves

**Definition 2.1.7** A morphism of *sheaves* is just a morphism of the underlying presheaves.

**Remarks 2.1.4** 1) The sheaves of  $X$  form a *full subcategory*  $Sh_X$  of category of the *presheaves* on  $X$ .

2) The notions *injective*, *surjective* and *isomorphism* for sheaves are defined in the same way as for presheaves.

**Lemma 2.1.1** Let  $X$  be a topological space and let  $U$  be an open subset of  $X$ .

- i) Let  $\mathcal{F}$  be a sheaf on  $X$  and let  $s, t \in \mathcal{F}(U)$  be two sections such that  $s_x = t_x$  for every  $x \in U$ . Then  $s = t$ .
- ii) Let  $\mathcal{F}, \mathcal{G}$  be presheaves on  $X$  and let  $\psi, \phi : \mathcal{F} \rightarrow \mathcal{G}$  be morphisms of presheaves on  $X$  such that  $\mathcal{F}_x = \mathcal{G}_x$  for every  $x \in X$ . If  $\mathcal{G}$  is a sheaf, then  $\mathcal{F} = \mathcal{G}$ .

**Proof.** i) Let  $x \in U$ , since  $s_x = t_x$ , there exists an open subset  $W_x$  of  $U$  containing  $x$  such that  $s|_{W_x} = t|_{W_x}$ . Since  $(W_x)_x$  is an open covering of  $U$ , according to condition *i*) in definition 2.1.6, it comes that  $s = t$ .

- ii) Let  $W$  be an open subset of  $X$  and let  $s \in \mathcal{F}(W)$ . We need to prove that  $s$  has the same image under the maps  $\psi(W)$  and  $\phi(W)$ , let  $t = \psi(U)(s)$  and  $l = \phi(U)(s)$ . For all  $x \in W$ , we have  $t_x = \psi_x(s_x) = \phi_x(s_x) = l_x$ . Since  $\mathcal{G}$  is a sheaf, so by *i*) we get that  $t = l$ .

**Proposition 2.1.2** Let  $\psi : \mathcal{F} \rightarrow \mathcal{G}$  be a morphism of sheaves. Then  $\psi$  is *injective* if and only if  $\psi_x : \mathcal{F}_x \rightarrow \mathcal{G}_x$  is *injective* for every  $x \in X$ .

**Proof.** Suppose  $\psi$  is injective. Let  $x \in X$  and  $s_x \in \mathcal{F}_x$  such that  $\psi_x(s_x) = 0$ , where  $s \in \mathcal{F}(U)$  and  $U$  is an open neighborhood of  $x$ , so  $(\psi(U)(s))_x = 0$ . Then, there exists an open neighborhood  $W$  of  $x$  such that  $\psi(U)(s)|_W = 0$  or that  $\psi(W)(s|_W) = 0$ . From the *injectivity* of  $\psi$  it comes that  $s|_W = 0$ , thus  $s_x = 0$ . Conversely, suppose that for all  $x \in X$ ,  $\psi_x$  is injective, we fix an open subset  $V$  of  $X$  and  $s \in \mathcal{F}(V)$  such that  $\psi(V)(s) = 0$ , locally we have, for all  $x \in V$ ,  $\psi_x(s_x) = (\psi(U)(s))_x = 0$ , it comes from local injectivity, that for all  $x \in V$ ,  $s_x = 0$ . Hence  $s = 0$ .

**Remark 2.1.2** Proposition 2.1.2 gives a local characterization of the *injectivity*.

**Theorem 2.1.1** Let  $\psi : \mathcal{F} \rightarrow \mathcal{G}$  be a morphism of sheaves. The following assertions are equivalent :

- i)  $\psi$  is an *isomorphism*.
- ii) For every  $x \in X$ ,  $\psi_x : \mathcal{F}_x \rightarrow \mathcal{G}_x$  is an *isomorphism*.
- iii)  $\psi$  is both *injective* and *surjective*.

**Proof.** i)  $\Rightarrow$  ii) Let  $\phi$  be the inverse morphism of  $\psi$ . Plainly, for every  $x \in X$ , we have  $\phi_x \circ \psi_x = id_{\mathcal{F}_x}$  and  $\psi_x \circ \phi_x = id_{\mathcal{G}_x}$ . So  $\psi_x$  is an isomorphism.

ii)  $\Rightarrow$  iii) Immediate, according to proposition 2.1.2 and definition 2.1.5, ii)

iii)  $\Rightarrow$  i) We will construct the inverse  $\phi$  of  $\psi$ . Let  $W$  be an open subset of  $X$  and  $t \in \mathcal{G}(W)$ , for every  $x \in W$ , there exists  $U_x$  an open neighborhood of  $x$  and  $s^x \in \mathcal{F}(U_x)$  such that  $t_x = \psi_x(s^x_x) = (\psi(U_x)(s^x))_x$ . Hence there exists  $V_x \subseteq U_x \cap W$  neighborhood of  $x$  such that  $t|_{V_x} = (\psi(V_x)(s^x_{|V_x}))|_{V_x}$ . If  $y \in W$ , then  $\psi(V_x \cap V_y)(s^x_{|V_x \cap V_y}) = \psi(V_x \cap V_y)(s^y_{|V_x \cap V_y})$ , so  $s^x_{|V_x \cap V_y} = s^y_{|V_x \cap V_y}$ , as the family  $(V_x)_{x \in U}$  forms a covering of  $U$ , then  $(s^x)_x$  rises to a section  $s$  of  $\mathcal{F}$  on  $U$ , and we have  $\psi(U)(s) = t$ , the *uniqueness* of  $s$  follows from the injectivity of  $\psi$ . We set  $\phi(U)(t) = s$ , then  $\phi$  is the inverse of  $\psi$ .

## Sheafification

In this paragraph, we answer the following question : How to build a *sheaf* from a *presheaves*?

**Definition 2.1.8** Let  $\mathcal{F}$  be a presheaf on a topological space  $X$ . We call associated sheaf with  $\mathcal{F}$  any sheaf  $\mathcal{F}^+$  equipped with a morphism of presheaves  $\beta : \mathcal{F} \rightarrow \mathcal{F}^+$  satisfying the following **universal property** : For any morphism of presheaves  $\psi : \mathcal{F} \rightarrow \mathcal{G}$ , where  $\mathcal{G}$  is a sheaf, there exists a unique morphism of sheaves  $\bar{\psi} : \mathcal{F}^+ \rightarrow \mathcal{G}$  such that the following diagram is commutative :

$$\begin{array}{ccc} \mathcal{F} & \xrightarrow{\psi} & \mathcal{G} \\ \beta \downarrow & \nearrow \bar{\psi} & \\ \mathcal{F}^+ & & \end{array}$$

**Remark 2.1.3** The **uniqueness** of  $\mathcal{F}^+$  when it exists is an immediate consequence of the universal property.

**Proposition 2.1.3** Let  $\mathcal{F}$  be a presheaf on a topological space  $X$ . Then the sheaf  $\mathcal{F}^+$  associated with  $\mathcal{F}$  exists and is a unique up to isomorphism. Moreover, using the above notation, all  $x \in X$ , the induced morphism  $\beta : \mathcal{F}_x \rightarrow \mathcal{F}_x^+$  is an isomorphism.

**Proof.** Let  $\mathcal{F}$  be a presheaf on  $X$ . Consider  $Z := \coprod_{x \in X} \mathcal{F}_x$  (**disjoint union**) and consider the map  $\pi : Z \rightarrow X$  defined by : for all  $s_x$ ,  $\pi(s_x) = x$ . For any open  $V$  of  $X$  and  $s \in \mathcal{F}(V)$ , let  $\pi_s$  be the map  $\pi_s : V \rightarrow Z$  defined by  $\pi_s(x) = s_x$ . Note that  $\pi(\pi_s(x)) = x$  i.e  $\pi \circ \pi_s = \text{id}_V$  ( $\pi_s$  is a **section** and  $\pi$  is a **retraction**). We now endow  $Z$  with the topology which makes all maps  $\pi_s : V \rightarrow Z$ ,  $V$  open subset of  $X$  and  $s \in \mathcal{F}(V)$ , continuous. For any open subset  $V$  of  $X$ , we define  $\mathcal{F}^+(V) := \{g : V \rightarrow Z / g \text{ continuous and } \pi \circ g = \text{id}_V\}$  it is the set of sections of  $Z$  on  $V$ .

- \* For every  $W \subseteq V$ , the restriction  $\mathcal{F}^+(V) \rightarrow \mathcal{F}^+(W)$  is the usual restriction, i.e  $g \rightarrow g|_W$ . In particular  $\mathcal{F}^+$  is a presheaf.
- \* Condition **i**) in definition 2.1.6 is immediate.
- \* If  $(W_j)_j$  is a covering of  $V$  and  $g_j \in \mathcal{F}^+(W_j)$  are such that for all  $i, j$ ,  $g_i|_{W_i \cap W_j} = g_j|_{W_i \cap W_j}$ , then as the  $g_j$  are continuous, and coincide on the intersections, there exists  $g : V \rightarrow Z$  which is continuous such that for all  $j$ ,  $g|_{W_j} = g_j$ . Moreover  $g$  is a section in fact : for all  $x \in V$ , there is some  $j$  such that  $x \in W_j$ ,  $\pi \circ g(x) = \pi(g(x)) = \pi(g_j(x)) = x$ .  $\mathcal{F}^+$  is a sheaf.
- \* Definition of  $\beta : \mathcal{F} \rightarrow \mathcal{F}^+$  : For any open subset  $V$  of  $X$  and  $s \in \mathcal{F}(V)$ , we define  $\beta(V)(s) := \pi_s \in \mathcal{F}^+(V)$ .
- \* Compatibility with restrictions : let  $W \subseteq V$  two open subsets of  $X$ ,  $s \in \mathcal{F}(V)$  and  $x \in W$ , we have  $\beta(V)(s)|_W(x) = \pi_s(x) = s_x = (s|_W)(x) = \pi_{s|_W}(x)$ . So  $\beta(V)(s)|_W = \beta(W)(s|_W)$ .
- \* Let  $\mathcal{G}$  be a sheaf, and  $\psi : \mathcal{F} \rightarrow \mathcal{G}$  be a morphism of presheaves. We cut a section  $g$  of  $\mathcal{F}^+(V)$  into small sections (sections of  $\mathcal{F}$ ) on a covering  $W_j$  of  $V$ , then by sending them to the  $\mathcal{G}(W_j)$ , then we stick back into  $\mathcal{G}$ . Sections of  $\mathcal{F}^+$  are obtained by gluing **sections** of  $\mathcal{F}$ , so  $\mathcal{F}_x = \mathcal{F}_x^+$ .

**Remark 2.1.4** If  $\mathcal{F}$  is a sheaf, it follows from the **universal property** that  $\mathcal{F} \simeq \mathcal{F}^+$ .

**Example 2.1.3 (Constant sheaves)** Let  $A$  be a group (or a ring, an algebra, . . .), then

$$U \mapsto \begin{cases} A & \text{if } U \neq \emptyset \\ \{0\} & \text{otherwise} \end{cases}$$

is a presheaf and the **associated sheaf** is called the **constant sheaf** associated to  $A$ . We denoted by  $\underline{A}$ . For any  $x \in X$ , we have  $\underline{A}_x = A$ .

## Subsheaves and Quotient sheaves

Throughout, we fix a category of objects that have an algebraic structure which are in particular groups, say e.g.,  $\mathcal{C} = \mathcal{G}p$  or  $\mathcal{R} - \mathcal{M}od$ .

## Subsheaves

**Definition 2.1.9** Let  $\mathcal{F}$  and  $\mathcal{G}$  be two sheaves on  $X$ , we say that  $\mathcal{F}$  is a **subsheaf** of  $\mathcal{G}$ , if for any open subset  $U$  of  $X$ ,  $\mathcal{F}(U) \subseteq \mathcal{G}(U)$  and such that we have compatibility with the restrictions induced from  $\mathcal{F}$  and  $\mathcal{G}$ , i.e., For every open subsets  $U \subseteq V$  of  $X$ , the following diagram is commutative :

$$\begin{array}{ccc} \mathcal{F}(V) & \hookrightarrow & \mathcal{G}(V) \\ \text{res}_{V,U} \downarrow & & \downarrow \text{res}_{V,U} \\ \mathcal{F}(U) & \hookrightarrow & \mathcal{G}(U) \end{array}$$

**Remark 2.1.5**  $\mathcal{F}$  is a subsheaf of  $\mathcal{G}$  if, the canonical injection  $\iota : \mathcal{F} \longrightarrow \mathcal{G}$  is a morphism of sheaves.

**Definition 2.1.10** Let  $\psi : \mathcal{F} \longrightarrow \mathcal{G}$  a morphism of presheaves on  $X$ . We define the presheaf  $\ker(\psi)$  by the formula :

$$U \longmapsto \ker(\psi(U))$$

for any open subset  $U$  of  $X$ .  $\ker(\psi)$  is said to be the **kernel** of  $\psi$ , it's a subpresheaf of  $\mathcal{F}$ . and  $\psi$  is injective if and only if its **kernel** is the **trivial** presheaf.

Using the notation of Definition 2.1.10, one can easily see that  $\psi$  is injective if and only if its kernel is the trivial presheaf.

**Lemma 2.1.2** Let  $\psi : \mathcal{F} \longrightarrow \mathcal{G}$  be a morphism of sheaves. Then the presheaf  $\ker(\psi)$  is a sheaf.

**Proof.** Let  $U$  be an open of  $X$ ,  $(U_j)_j$  be a covering of  $U$  and  $s_j \in \ker(\psi(U_j))$  such that for  $i, j$ ,  $s_i|_{U_i \cap U_j} = s_j|_{U_i \cap U_j}$ . Since  $s_j \in \mathcal{F}(U_j)$ , then  $(s_j)_j$  rises to a **section**  $s$  of  $\mathcal{F}$  over  $U$ , but for every  $x \in U$ , there exists  $i$  such that  $x \in U_j$ , and we have  $(\psi(U))(s)_x = (\psi(U_j))(s_j)_x = 0$ . So  $\psi(U)(s) = 0$ . Hence  $s \in \ker(\psi(U))$ . On the other hand, if  $s \in \ker(\psi(U))$  such that for every  $j$ ,  $s|_{U_j} = 0$ , then  $s = 0$  (because  $s \in \mathcal{F}(U)$  and  $\mathcal{F}$  is a sheaf).

**Definition 2.1.11** Let  $\psi : \mathcal{F} \longrightarrow \mathcal{G}$  be a morphism of presheaves on  $X$ . We define the  $\text{im}(\psi)$  presheaf by the formula :

$$U \longmapsto \text{im}(\psi(U))$$

for any open set  $U$  of  $X$ . One can easily see that  $\text{im}(\psi)$  is indeed a subpresheaf of  $\mathcal{G}$ . We say that  $\text{im}(\psi)$  is the **image presheaf** of  $\psi$ .

**Remark 2.1.6** Note that the presheaf  $\text{im}(\psi)$  is not in general a sheaf. In the same way we define the presheaf  $U \longmapsto \text{coker} - \text{pr}(\text{im}(\psi))$  which too is not in general a sheaf. This justifies the following definition.

**Definition 2.1.12** Let  $\psi : \mathcal{F} \longrightarrow \mathcal{G}$  be a morphism of sheaf. The **sheaf associated** with the image presheaf  $\text{im} - \text{pr}(\psi)$  called the **image sheaf** of  $\psi$  is denoted  $\text{im}(\psi)$ . In the same way we define the **cokernel sheaf** and that we denote by  $\text{coker}(\psi)$

Note that in general  $(\text{im}(\psi))(U) \neq \text{im}(\psi(U))$ . The first term is section of the sheaf  $\text{im}(\psi)$  on the open set  $U$ , while the second is the image of the morphism  $\psi(U)$ . More precisely, we have :

**Theorem 2.1.2** Let  $\psi : \mathcal{F} \longrightarrow \mathcal{G}$  be a morphism of sheaves. Then, the following assertions hold :

- i) For any open subset  $U$  of  $X$ , and  $s \in \mathcal{G}(U)$ .  $s \in (\text{im}(\psi))(U)$  if and only if there exists an open covering  $(U_j)$  of  $U$  and  $t_j \in \mathcal{F}(U_j)$  such that, for any  $j$ ,  $s|_{U_j} = \psi(U_j)(t_j)$ .
- ii)  $\psi$  is **surjective** if and only if, for any open subset  $U$  of  $X$  and  $s \in \mathcal{G}(U)$ , there exists an open covering  $(U_j)_j$  of  $U$  and  $t_j \in \mathcal{F}(U_j)$  such that, for any  $j$ ,  $s|_{U_j} = \psi(U_j)(t_j)$ .
- iii)  $\psi$  is **surjective** if and only if  $\mathcal{G} = \text{im}(\psi)$ .

**Proof.** i)  $\text{im}(\psi)$  is the sheaf associated with presheaf  $U \longmapsto \text{im}(\psi(U))$ , hence the result.

ii) If  $\psi$  is surjective, let  $U$  an open subset of  $X$  and  $s \in \mathcal{G}(U)$ , for all  $x \in U$ , by theorem 2.1.1, the map  $\psi_x$  is surjective. So there exists  $t_x \in \mathcal{F}_x$  such that  $\psi_x(t_x) = s_x$ . Therefore, there exists an open neighborhood  $U_x \subseteq U$ , and  $t^x \in U_x$  such that  $s|_{U_x} = \psi(U_x)(t^x)$ . The covering  $(U_x)_{x \in U}$  answers the question. Conversely, let  $x \in X$  and  $s \in \mathcal{G}(U)$ . Let  $(U_j)_j$  be covering of  $U$  and  $t_j \in \mathcal{F}(U_j)$  such that  $s|_{U_j} = \psi(U_j)(t_j)$  for all  $j$ . Since  $\mathcal{F}$  is a sheaf then there is  $t \in \mathcal{F}(U)$  such that  $t|_{U_j} = t_j$  for all  $j$ . In particular, for every  $j$  such that  $x \in U_j$ ,  $s_x = (s|_{U_j})_x = (\psi(U_j)(t_j))_x = \psi_x(t_j)$ . Hence  $\psi$  is surjective.

iii) Immediate from i) and ii).

## Quotients sheaves

Assume that  $\mathcal{F}$  is a subsheaf of the sheaf  $\mathcal{G}$ . Then we can define a presheaf whose sections over  $U$  are the quotient  $\mathcal{G}(U)/\mathcal{F}(U)$ . The restriction maps of  $\mathcal{F}$  and  $\mathcal{G}$  are compatible the inclusions  $\mathcal{F}(U) \subseteq \mathcal{G}(U)$  and hence pass to the quotient  $\mathcal{G}(U)/\mathcal{F}(U)$ . This presheaf, i.e.,  $U \mapsto \mathcal{G}(U)/\mathcal{F}(U)$ , is called quotient presheaf of  $\mathcal{G}$  by  $\mathcal{F}$ .

**Definition 2.1.13** The quotient sheaf  $\mathcal{G}/\mathcal{F}$  is the sheafification of the quotient presheaf of  $\mathcal{G}$  by  $\mathcal{F}$ .

**Proposition 2.1.4** Let  $\mathcal{F}$  be a subsheaf of  $\mathcal{G}$ ,  $x \in X$ . Then  $(\mathcal{G}/\mathcal{F})_x = \mathcal{G}_x/\mathcal{F}_x$ .

**Proof.**  $\mathcal{G}/\mathcal{F}$  is the sheaf associated with the presheaf  $U \mapsto \mathcal{G}(U)/\mathcal{F}(U)$  whose stalks at  $x$  is clearly isomorphic to  $\mathcal{G}_x/\mathcal{F}_x$ .

## Continuous maps and sheaves

So far, we have only talked about sheaves defined on a single topological space. We are going to study in this paragraph some transformations of sheaves via continuous mappings between topological spaces.

Let  $f : X \rightarrow Y$  be a continuous map of topological spaces. We will define the pushforward and pullback functors for presheaves and sheaves.

### Pushforward

**Definition 2.1.14** Let  $f : Y \rightarrow X$  be a continuous map between topological spaces. Let  $\mathcal{F}$  be a presheaf on  $X$ . We define the pushforward of  $\mathcal{F}$  by the formula :

$$f_*\mathcal{F}(V) = \mathcal{F}(f^{-1}(V))$$

for any open  $V \subseteq Y$ .

Given opens  $W \subseteq V$  of  $Y$  open the restriction map is given by the commutativity of the diagram

$$\begin{array}{ccc} f_*\mathcal{F}(V) & \xlongequal{\quad} & \mathcal{F}(f^{-1}(V)) \\ \downarrow & & \downarrow \text{res}_{f^{-1}(V), f^{-1}(W)} \\ f_*\mathcal{F}(W) & \xlongequal{\quad} & \mathcal{F}(f^{-1}(W)) \end{array}$$

It is clear that this defines a presheaf on  $Y$ .

**Remark 2.1.7** The construction is clearly functorial in the presheaf  $\mathcal{F}$  and hence we obtain a functor

$$\begin{array}{ccc} f_* : \text{PreSh}_X & \longrightarrow & \text{PreSh}_Y \\ \mathcal{F} & \longmapsto & f_*\mathcal{F} \end{array}$$

**Proposition 2.1.5** Let  $f : X \rightarrow Y$  be a continuous map and  $\mathcal{F}$  be a sheaf on  $X$ . Then  $f_*\mathcal{F}$  is a sheaf on  $Y$ .

**Proof.** This immediately follows from the fact that if  $(W_j)_j$  is an open covering of some open subset  $W$  of  $Y$  then,  $\bigcup_j f^{-1}(W_j)$  is an open covering of the open  $f^{-1}(W)$ . Consequently, we obtain a functor

$$f_* : \text{Sh}_X \longrightarrow \text{Sh}_Y$$

This is compatible with composition in the following strong sense :

**Lemma 2.1.3** Let  $f : X \longrightarrow Y$  and  $g : Y \longrightarrow Z$  be continuous maps of topological spaces. Then, the functors  $(g \circ f)_*$  and  $g_* \circ f_*$  are equal.

**Proof.** Immediate.

### Pullback

We saw in example 2.1.1 that if  $\mathcal{F}$  is a sheaf on  $X$ , then for any open subset  $U$  of  $X$   $\mathcal{F}|_U$  is a sheaf on  $U$ . Now if we take an arbitrary subset  $Z$  of  $X$ , the restriction of  $\mathcal{F}$  on  $Z$  is not necessarily a sheaf because an open set  $W$  of  $Z$  is not necessarily an open set of  $X$ .

Next definition gives the meaning of  $\mathcal{F}|_Z$ , when  $Z$  is a closed subset of  $X$ . This will be generalized in Definition 2.1.16 to give the meaning of the pullback presheaf defined by a continuous map. For this purpose, note that if  $f : X \longrightarrow Y$  is a continuous map between topological spaces and  $V$  is an open of  $Y$ , then the family  $(U)_{f(U) \subseteq V}$  consisting of all open subsets  $U$  of  $X$  satisfying  $f(U) \subseteq V$ , is an inductive system for the inverse of the inclusion relation.

**Definition 2.1.15** If  $\iota : Z \longrightarrow X$  is the inclusion of a closed subset  $Z$  of  $X$ , and  $V$  is an open subset of  $Z$ . We define the restriction  $\mathcal{F}|_Z$  as the *sheafification* of the following presheaf

$$V \longmapsto \varinjlim_{V \subseteq U} \mathcal{F}(U)$$

**Definition 2.1.16** Let  $f : X \longrightarrow Y$  be a continuous map between topological spaces and  $\mathcal{G}$  be a presheaf on  $Y$ . We define the *pullback* presheaf of  $\mathcal{G}$  by the formula :

$$f_p \mathcal{G}(U) = \varinjlim_{f(U) \subseteq V} \mathcal{G}(V).$$

**Remark 2.1.8** In the language of *categories*. The *pullback* presheaf  $f_p \mathcal{G}$  of  $\mathcal{G}$  is defined as the *left adjoint* of the *pushforward*  $f_*$  on presheaves. In other words,  $f_p \mathcal{G}$  will be a *presheaf* on  $X$  such that

$$\text{Mor}_{\text{PreSh}_X}(f_p \mathcal{G}, \mathcal{F}) = \text{Mor}_{\text{PreSh}_Y}(\mathcal{G}, f_* \mathcal{F})$$

**Proposition 2.1.6** Let  $f : X \longrightarrow Y$  be a continuous map between topological spaces,  $x$  be a point of  $X$  and  $\mathcal{G}$  be a presheaf on  $\mathcal{Y}$ . Then, up to an isomorphism, we have  $(f_p \mathcal{G})_x = \mathcal{G}_{f(x)}$ .

**Proof.**

$$\begin{aligned} (f_p \mathcal{G})_x &= \varinjlim_{x \in U} f_p \mathcal{G}(U) \\ &= \varinjlim_{x \in U} \varinjlim_{f(U) \subseteq V} \mathcal{G}(V) \\ &= \varinjlim_{f(x) \in V} \mathcal{G}(V) \\ &= \mathcal{G}_{f(x)} \end{aligned}$$

**Definition 2.1.17** Let  $f : X \longrightarrow Y$  be a continuous map between topological spaces and  $\mathcal{G}$  be a sheaf on  $Y$ . The *pullback* sheaf  $f^{-1} \mathcal{G}$  is defined by the formula :

$$f^{-1} \mathcal{G} = (f_p \mathcal{G})^+$$

$f^{-1} \mathcal{G}$  is also called the *inverse image* along the map  $f$ .

**Remark 2.1.9**  $f^{-1}$  defines a functor :

$$\begin{array}{ccc} f^{-1} : & \text{Sh}_Y & \longrightarrow & \text{Sh}_X \\ & \mathcal{G} & \longmapsto & f^{-1} \mathcal{G} \end{array}$$

The *pullback*  $f^{-1}$  is a *left adjoint* of *pushforward* on sheaves.

$$\text{Mor}_{\text{Sh}_X}(f^{-1} \mathcal{G}, \mathcal{F}) = \text{Mor}_{\text{Sh}_Y}(\mathcal{G}, f_* \mathcal{F}).$$

For more details see [9, 1.12.1, p.38].



**Example 2.1.4** Let  $\mathcal{F}$  be a sheaf on  $X$  and  $x \in X$ . Let  $\iota : \{x\} \rightarrow X$  be the inclusion map, then  $\iota^{-1}\mathcal{F} = \mathcal{F}_x$

**Lemma 2.1.4** Let  $f : X \rightarrow Y$  be a continuous map between topological spaces,  $x \in X$  and  $\mathcal{G}$  be a sheaf on  $Y$ , then the *stalks*  $(f^{-1}\mathcal{G})_x$  and  $\mathcal{G}_{f(x)}$  are equals.

**Proof.** This is a combination of proposition 2.1.3 and proposition 2.1.6.

**Lemma 2.1.5** Let  $f : X \rightarrow Y$  and  $g : Y \rightarrow Z$  be continuous maps of topological spaces. The functors  $(g \circ f)^{-1}$  and  $f^{-1} \circ g^{-1}$  are canonically isomorphic. Similarly,  $(g \circ f)_p = f_p \circ g_p$ , for presheaves.

**Proof.** This follows from the fact that *adjoint functors* are unique up to unique isomorphism, and Lemma 2.1.3.

### Exact sequences of sheaves

In this paragraph, we will define what is an *exact sequence* of sheaves, and we will study some of their properties. For this we will restrict our study to the case of sheaves of *groups*.

**Definition 2.1.18** A sequence of presheaves with presheaves morphisms

$$\dots \longrightarrow \mathcal{F}^{j-1} \xrightarrow{\psi^{j-1}} \mathcal{F}^j \xrightarrow{\psi^j} \mathcal{F}^{j+1} \xrightarrow{\psi^{j+1}} \dots$$

is said to be exact if for all  $i$ ,  $\text{Im}(\psi^{i-1}) = \ker(\psi^i)$ . In particular the following *exact sequence* is called a *short exact sequence* when it is exact :

$$0 \longrightarrow \mathcal{F} \longrightarrow \mathcal{G} \longrightarrow \mathcal{H} \longrightarrow 0$$

**Remark 2.1.10** Let  $\psi : \mathcal{F} \rightarrow \mathcal{G}$  be a morphism of sheaves. Then by,

i)  $\psi$  is injective if and only if

$$0 \longrightarrow \mathcal{F} \xrightarrow{\psi} \mathcal{G}$$

is an exact sequence.

ii)  $\psi$  is surjective if and only if

$$\mathcal{F} \xrightarrow{\psi} \mathcal{G} \longrightarrow 0$$

is an exact sequence.

**Example 2.1.5** Let  $X = \mathbb{C}$ , and  $\mathcal{O}_X$  the sheaf of holomorphic functions and consider the map  $d : \mathcal{O}_X \rightarrow \mathcal{O}_X$ , sending  $f(z)$  to  $f'(z)$ . There is an exact sequence

$$0 \longrightarrow \mathbb{C}_X \longrightarrow \mathcal{O}_X \xrightarrow{d} \mathcal{O}_X \longrightarrow 0$$

Indeed,

\* A function whose derivative vanishes identically is *locally constant*, so  $\ker(d)$  is the constant sheaf  $\mathbb{C}_X$ .

\* In small open disks any holomorphic function is a derivative.

**Lemma 2.1.6** Let  $\psi : \mathcal{F} \rightarrow \mathcal{G}$  be a morphism of sheaves on  $X$ . Then for any  $x \in X$ , we have  $(\ker \psi)_x = \ker(\psi_x)$  and  $(\text{im } \psi)_x = \text{im}(\psi_x)$ .

**Proof.** Let  $s_x \in (\ker(\psi))_x$ , and let  $U$  an open neighborhood of  $x$  such that  $s \in (\ker(\psi))(U) = \ker(\psi(U))$ , so  $\psi(U)(s) = 0$ , hence  $\psi_x(s_x) = (\psi(U)(s))_x = 0$ , so  $s_x \in \ker(\psi_x)$ . Conversely, if  $\psi_x(s_x) = 0$ , then  $(\psi(U)(s))_x = 0$  ( $U$  is an open neighborhood of  $x$  and  $s \in \mathcal{F}(U)$ ), then there exists an open neighborhood  $W \subseteq U$  of  $x$  such that  $\psi(U)(s)|_W = 0$ , it comes while  $\psi(W)(s|_W) = 0$  and therefore  $s|_W \in \ker(\psi(W))$  whence  $s_x = (s|_W)_x \in (\ker(\psi))_x$ . One can proceed similarly for the image.

**Theorem 2.1.3** *A sequence of sheaves with sheaves morphisms*

$$\dots \longrightarrow \mathcal{F}^{j-1} \xrightarrow{\psi^{j-1}} \mathcal{F}^j \xrightarrow{\psi^j} \mathcal{F}^{j+1} \xrightarrow{\psi^{j+1}} \dots$$

is an exact sequence if and only if for any  $x \in X$

$$\dots \longrightarrow \mathcal{F}_x^{j-1} \xrightarrow{\psi_x^{j-1}} \mathcal{F}_x^j \xrightarrow{\psi_x^j} \mathcal{F}_x^{j+1} \xrightarrow{\psi_x^{j+1}} \dots$$

is an exact sequence.

**Proof.**

$$\dots \longrightarrow \mathcal{F}^{j-1} \xrightarrow{\psi^{j-1}} \mathcal{F}^j \xrightarrow{\psi^j} \mathcal{F}^{j+1} \xrightarrow{\psi^{j+1}} \dots$$

is exact sequence if and only if, for any  $j$ ,  $\text{im}(\psi^{j-1}) = \ker(\psi^j)$  if and only if, for any  $x \in X$  and for any  $j$ ,  $\text{im}(\psi_x^{j-1}) = \ker(\psi_x^j)$  if and only if,

$$\dots \longrightarrow \mathcal{F}_x^{j-1} \xrightarrow{\psi_x^{j-1}} \mathcal{F}_x^j \xrightarrow{\psi_x^j} \mathcal{F}_x^{j+1} \xrightarrow{\psi_x^{j+1}} \dots$$

is exact sequence.

**Proposition 2.1.7** *Let  $\mathcal{F}$  be a subsheaf of  $\mathcal{G}$  on  $X$ . Then*

$$0 \longrightarrow \mathcal{F} \longrightarrow \mathcal{G} \longrightarrow \mathcal{G}/\mathcal{F} \longrightarrow 0$$

is exact sequence.

**Proof.** By proposition 2.1.4, for any  $x \in X$ ,

$$0 \longrightarrow \mathcal{F}_x \longrightarrow \mathcal{G}_x \longrightarrow \mathcal{G}_x/\mathcal{F}_x = (\mathcal{G}/\mathcal{F})_x \longrightarrow 0$$

is exact sequence. Hence the result.

**Remark 2.1.11** *If*

$$0 \longrightarrow \mathcal{F} \longrightarrow \mathcal{G} \longrightarrow \mathcal{H} \longrightarrow 0$$

is an exact sequence over  $X$ , then  $\mathcal{F}$  identified with a sub-sheaf of  $\mathcal{G}$  and  $\mathcal{G}/\mathcal{F} \simeq \mathcal{H}$ .

**Corollary 2.1.1** *Let  $\psi : \mathcal{F} \longrightarrow \mathcal{G}$  be a morphism of sheaves. Then*

$$1) \text{im}(\psi) \simeq \mathcal{F}/\ker(\psi).$$

$$2) \text{coker}(\psi) \simeq \mathcal{G}/\text{im}(\psi).$$

**Proof.** 1) It is easy to check that for all  $x \in X$ , we have

$$0 \longrightarrow (\ker(\psi))_x \longrightarrow \mathcal{F}_x \longrightarrow \text{im}(\psi)_x \longrightarrow 0$$

It follows by theorem 2.1.3, that

$$0 \longrightarrow \ker(\psi) \longrightarrow \mathcal{F} \longrightarrow \text{im}(\psi) \longrightarrow 0$$

is an exact sequence. Also by remark 2.1.11 we have  $\text{im}(\psi) \simeq \mathcal{F}/\ker(\psi)$

2) Similar to 1).

### 2.1.3 Glueing sheaves

In this section, we fix a topological space  $X$ , and we consider an open covering  $\{U_i\}_{i \in I}$  of  $X$  with a sheaf  $\mathcal{F}_i$  on each subset  $U_i$ . Our goal is to "glue" the  $\mathcal{F}_i$  together, that is we search for a global sheaf  $\mathcal{F}$  such that  $\mathcal{F}|_{U_i} = \mathcal{F}_i$  for all  $i \in I$ .

**Notation.** i) For  $i, j \in I$ , we denote by  $U_{ij}$  the intersection  $U_i \cap U_j$ .

ii) For  $i, j, k \in I$ , we denote by  $U_{ijk}$  the intersection  $U_i \cap U_j \cap U_k$ .

**Definition 2.1.19** A **Gluing Datum** consists of a family of sheaves  $\mathcal{F}_i$  over  $U_i$  and a family of morphisms  $\delta_{ij} : \mathcal{F}_i|_{U_{ij}} \rightarrow \mathcal{F}_j|_{U_{ij}}$  such that

i)  $\delta_{ii} = \text{id}_{\mathcal{F}_i}$ .

ii)  $\delta_{ji} = \delta_{ij}^{-1}$ .

iii)  $\delta_{ik} = \delta_{jk} \circ \delta_{ij}$  on  $U_{ijk}$ .

A morphism of gluing datum  $(\mathcal{F}_i, \delta_{ij}) \rightarrow (\mathcal{G}_i, \eta_{ij})$  is a family of morphism of sheaves  $\psi_i : \mathcal{F}_i \rightarrow \mathcal{G}_i$  such that the following diagram

$$\begin{array}{ccc} \mathcal{F}_i & \xrightarrow{\psi_i} & \mathcal{G}_i \\ \delta_{ij} \downarrow & & \downarrow \delta_{ij} \\ \mathcal{F}_j & \xrightarrow{\psi_j} & \mathcal{G}_j \end{array}$$

is commutative.

**Theorem 2.1.4 (Gluing sheaves)** There exists a sheaf  $\mathcal{F}$  on  $X$ , unique up to isomorphism such that there are isomorphisms  $\theta_i : \mathcal{F}|_{U_i} \rightarrow \mathcal{F}_i$  such that there are satisfying

$$\theta_j = \delta_{ij} \circ \theta_i.$$

**Proof.** Let  $W$  be an open subset of  $X$ . We write  $W_i = U_i \cap W$ , and  $W_{ij} = U_{ij} \cap W$ . We are going to define the sections of  $\mathcal{F}$  over  $W$  by gluing sections of the  $\mathcal{F}_i$ 's over  $W_i$ 's along the  $W_{ij}$ 's using the isomorphisms  $\delta_{ij}$ . We define

$$\mathcal{F}(W) := \{(s_i)_{i \in I} \mid \delta_{ji}(s_i|_{W_{ij}}) = s_j|_{W_{ij}}\} \subseteq \prod_{i \in I} \mathcal{F}_i(W_i). \quad (2.1)$$

The  $\delta_{ij}$ 's are morphisms of sheaves and therefore are compatible with all restrictions maps (see definition 2.1.3). So if  $V \subseteq W$  is another open subset we have

$$\delta_{ij}(s_i|_{W_{ij}}) = s_j|_{W_{ij}}.$$

Because of this, the defining condition (2.1) is compatible with componentwise restrictions, and they can therefore be used as the restriction maps in  $\mathcal{F}$ . So We have defined a presheaf on  $X$ .

\* **The first step** : is to establish the isomorphisms  $\theta_i : \mathcal{F}|_{U_i} \rightarrow \mathcal{F}_i$ . To avoid getting confused by the names of the indices, we shall work with a fixed index  $j \in I$ . Suppose  $W \subseteq U_j$  is an open set. We have  $W = W_j$ , and projecting from the product  $\prod_{i \in I} \mathcal{F}_i(W_i)$  onto the component

$$\mathcal{F}_j(W) = \mathcal{F}_j(W_j)$$

gives us a map  $\theta : \mathcal{F}|_{W_j} \rightarrow \mathcal{F}_j$ . Moreover,  $\theta((s_i)_{i \in I}) = s_j$ . The situation is summarized in the following commutative diagram

$$\begin{array}{ccc} \mathcal{F}(W) & \hookrightarrow & \prod_{i \in I} \mathcal{F}_i(W_i) \\ & \searrow \theta_j & \downarrow \pi_j \\ & & \mathcal{F}_j(W) \end{array}$$

Now, we want to show that  $\theta_j$ 's give the desired isomorphisms. We note that on the restrictions  $W_{jj'}$ , the requirement in the proposition, that

$$\theta_{j'} = \eta_{j'j} \circ \theta_j$$

is fulfilled. This follows directly from the (2.1) that

$$s_j|_{W_{jj'}} = \delta_{jj'}(s_{j'}|_{W_{jj'}}).$$

- \*  $\theta_j$  is **surjective** : Let  $\alpha$  a section of  $\mathcal{F}_j(W)$  over some  $W \subseteq U_j$ , and pose  $s = (\delta_{ij}(\alpha|_{W_{ij}}))_{i \in I}$ . Then  $s$  satisfies (2.1) and is an element  $\mathcal{F}(W)$ . Indeed, by definition 2.1.19 iii) we obtain

$$\delta_{ki}(\delta_{ij}(\alpha|_{W_{kij}})) = \delta_{kj}(\alpha|_{W_{kij}}).$$

for each  $i, k \in I$ , and that is just the condition (2.1). As  $\delta_{jj}(\alpha|_{W_{jj}}) = \alpha$  by the first gluing request, the element  $s$  projects to the section  $\alpha$  of  $\mathcal{F}_j$ .

- \*  $\theta_j$  is injective : Since  $s_j = 0$  it follows that  $s_i|_{W_{ij}} = \delta_{ij}(s_j) = 0$  for each  $i \in I$ . Now  $\mathcal{F}_j$  is a sheaf, and the  $\{V_{ij}\}_{i \in I}$  constitute an open covering of  $W_j$ , so we may conclude that  $s = 0$  by definition 2.1.6 i).
- \* **The final step** : To show that  $\mathcal{F}$  is a sheaf. Let  $\{W_j\}_{j \in I}$  be an open covering of  $W \subseteq U$ , and  $s_j \in \mathcal{F}(W_j)$  is a bunch of sections matching on the intersections  $W_{jj'}$ . Since  $\mathcal{F}|_{U_i \cap W}$  is a sheaf patch together to give sections  $s_i$  in  $\mathcal{F}_{U_i \cap W}$  matching on the overlaps  $U_{ij} \cap W$ . This last condition means that  $\delta_{ij}(s_i) = s_j$ . By definition  $(s_i)_{i \in I}$ , then is a section in  $\mathcal{F}(W)$  restricting to  $s_i$ . Hence the result.  
**The Gluing axiom** (see definition 2.1.6) is easier : Let  $s = (s_i)_{i \in I}$  in  $\mathcal{F}(W)$ , and a covering  $\mathcal{L} = \{V_j\}_{j \in J}$  of  $W$  such that  $s|_{V_j} = 0$  for all  $j \in J$ , then also  $s|_{V_j \cap W_i} = 0$ , and since  $\{V_j \cap W_i\}_{j \in J}$  forms a covering of  $W_i$ , we must have  $s|_{W_i} = 0$  as well, since  $\mathcal{F}_{W_i} = \mathcal{F}_i$  is a sheaf. But from the (2.1) we thus see that  $s = 0$ .

## 2.2 Spectrum of a ring and ringed spaces

### 2.2.1 Spectrum of ring

In this section, for a commutative ring  $R$ , we will define Zariski topology on the spectrum  $\text{Spec}(R)$  of  $R$  and study some of the basic properties of this topological space. One can already notice the analogy with Zariski topology defined on affine algebraic sets, indeed, this last one is fully inspired from the first one in an attempt to make our work on varieties free from the assumption that the base field  $k$  is algebraically closed (even free from working on varieties defined only on field). We define then and study some basic facts concerning ringed spaces for which we make intinsif call to sheaf theory. All this is made to prepare necessary tools to define schemes of rings which will generalize the notion of (classical) algebraic sets.

**Definition 2.2.1** Let  $R$  be a commutative ring. The set of all **prime ideals** of  $R$  is called the **spectrum** of  $R$ . It will be denoted by  $\text{Spec}(R)$ .

**Remark 2.2.1** Plainly, the set of all maximal ideals of  $R$  is a subset of  $\text{Spec}(R)$ , it is denoted by  $\text{Spm}(R)$ . By **Krull theorem**, if  $R$  is nonzero ring, then  $R$  has a maximal ideal, so if  $R$  is nonzero ring\* then  $\text{Spec}(R)$  is nonempty.

**Examples 2.2.1** 1) If  $R$  be a field, then  $\text{Spec}(R) = \{0\}$ .

2)  $\text{Spec}(\mathbb{Z}) = \{p\mathbb{Z} \mid p \text{ prime number}\} \cup \{0\}$ .

3) By corollary 1.1.1, if  $R$  is an **algebraically closed** then for any positive integer  $n$ ,  $\text{Spec}(R[T_1, \dots, T_n]) = \{(T_1 - a_1, \dots, T_n - a_n) \mid \text{where } a_i \in R\}$

**Notation.** Let  $R$  be a ring and  $S$  be a subset of  $R$ .

\* We define

$$V(S) = \{P \in \text{Spec}(R) \mid S \subseteq P\}.$$

\* For any  $f \in R$ , we denote by  $D(f)$  the complement of  $V(\{f\})$  i.e,

$$D(f) = \{P \in \text{Spec}(R) \mid f \notin P\}.$$

**Remark 2.2.2** One can easily see that  $V(1) = \emptyset$  and  $V(0) = \text{Spec}(R)$ .

**Proposition 2.2.1** Let  $R$  be a ring,  $S, M$  are subsets of  $R$ ,  $I, J$  be ideals of  $R$  and  $f \in R$ . Then, the following statements hold :

1) If  $S \subseteq M$ , then  $V(M) \subseteq V(S)$ .

2) Let  $(S)$  be the ideal generated by  $S$ , then we have  $V(S) = V((S))$ .

3)  $V(J) = V(\text{rad}(J))$ .

4)  $V(I) = \emptyset$  if and only if  $I = R$ .

5)  $V(I) = V(J)$  if and only if  $\text{rad}(I) = \text{rad}(J)$ .

6)  $V(I) \cup V(J) = V(I \cap J) = V(IJ)$ .

7) If  $\{I_j\}$  is a family of ideals of  $R$ , then

$$\bigcap_j V(I_j) = V\left(\bigcup_j I_j\right).$$

**Proof.** 1) Clear.

2) Plainly, we have  $V((S)) \subseteq V(S)$ . Conversely,  $P \in V(S)$  and  $g \in (S)$ , we need to show that  $g \in P$ . We can write  $g = \sum_{j=1}^r f_j h_j$ ,  $f_j \in S$ ,  $h_j \in R$ . Since  $S \subseteq P$ , then for all  $j \in \{1, \dots, r\}$ ,  $f_j \in P$ . So  $f_j h_j \in P$ . Thus,  $\sum_{j=1}^r f_j h_j \in P$  which means that  $g \in P$ .

---

\*Recall that we have assumed that all our considered rings are nonzero.

- 3) Since  $J \subseteq \text{rad}(J)$ , then clearly  $V(\text{rad}(J)) \subseteq V(J)$ . Conversely,  $P \in V(J)$ , then we have  $J \subseteq P$ , so  $\text{rad}(J) \subseteq \text{rad}(P) = P$ . Thus  $P \in V(\text{rad}(J))$ .
- 4) As seen above, we have  $V(R) = \emptyset$ . Suppose that  $I \neq R$ , then there exists be a maximal ideal of  $R$  such that  $I \subseteq M$ . By 1) we have  $V(M) \subseteq V(I)$ . Since  $M$  is prime, then  $M \in V(M)$ . So  $V(I) \neq \emptyset$ .
- 5) If  $\text{rad}(I) = \text{rad}(J)$ , then by 3),  $V(I) = V(J)$ . Conversely, suppose that  $V(I) = V(J)$ , then  $\bigcap_{I \subseteq P} P = \bigcap_{J \subseteq P} P$ , which means that  $\text{rad}(I) = \text{rad}(J)$ .
- 6) We have  $I \cap J \subseteq I, J$ , so by 1)  $V(I) \cup V(J) \subseteq V(I \cap J)$ . Conversely, let  $P \in V(I) \cup V(J)$ , then either  $P$  contains  $I$  or  $J$ . Suppose  $P$  contains  $I$ . Then  $P$  contains  $I \cap J$ . Hence  $P \in V(I \cap J)$ . The rest is clear.
- 7) Note that  $P \in \bigcap_j V(I_j)$  if and only if  $P$  contains all  $I_j$  if and only if  $P$  contains  $\bigcup_j I_j$  if and only if  $P \in V(\bigcup_j I_j)$ .

**Remark 2.2.3** Proposition 2.2.2 implies that the subsets  $V(S)$  form the closed subsets of a topology on  $\text{Spec}(R)$ .

**Definition 2.2.2** Let  $R$  be a commutative ring. The topology on  $\text{Spec}(R)$  whose closed sets are the sets  $V(S)$ , where  $S$  describes all subsets of  $R$  is called the **Zariski topology** of  $\text{Spec}(R)$ . For  $f \in R$ ,  $D(f)$  is plainly an open subset of  $\text{Spec}(R)$  called **principal open** of  $\text{Spec}(R)$ .

- Remarks 2.2.1**
- i) Let  $P \in \text{Spec}(R)$ , then  $P$  is a closed point of  $\text{Spec}(R)$  (i.e.,  $\{P\}$  is a closed subset of  $\text{Spec}(R)$ ) is closed if and only if  $P$  is a maximal ideal of  $R$ .
  - ii)  $(0) (= \{0\}) \in \text{Spec}(R)$  if and only if  $R$  has a nonzero divisors.

**Proposition 2.2.2** For a commutative ring  $R$ , the following statements hold :

- 1)  $D(f) = \emptyset$ , if and only if  $f \in N(R)$ , the nilradical of  $R$ .
- 2)  $D(f) = \text{Spec}(R)$ , if and only if  $f \in U(R)$ , the unit of  $R$ .
- 3) For all  $f, g \in R$ ,  $D(fg) = D(f) \cap D(g)$ .
- 4) For every  $m \in \mathbb{N}$ ,  $D(f^m) = D(f)$ .

**Proof.** 1)  $D(f) = \emptyset \Rightarrow f \in P$  for all prime ideals  $P$  of  $R$ , thus  $f \in N(R)$ . Conversely, if

$$\begin{aligned}
 f \in N(R) &\Rightarrow \exists m \in \mathbb{N}^*, f^m = 0 \\
 &\Rightarrow \forall P \in \text{Spec}(R), f^m \in P. \\
 &\Rightarrow f \in P, \forall P \in \text{Spec}(R) \\
 &\Rightarrow V(f) = \text{Spec}(R). \\
 &\Rightarrow D(f) = \emptyset.
 \end{aligned}$$

- 2) If  $D(f) = \text{Spec}(R)$ , then  $f$  is not in any prime ideal, and so it is not in any maximal ideal. Since every non-unit is contained in some maximal ideal,  $f$  must be a unit. Conversely For every prime ideal cannot contain an invertible element, it follows that  $f$  is not in any prime ideal, and so  $D(f) = \text{Spec}(R)$ .

$$3) P \in D(fg) \iff fg \notin P \iff f \notin P \text{ and } g \notin P \iff P \in D(f) \text{ and } P \in D(g) \iff P \in D(f) \cap D(g).$$

4)

$$\begin{aligned}
 P \in D(f^m) &\iff f^m \notin P \\
 &\iff f \notin P \\
 &\iff P \in D(f).
 \end{aligned}$$

**Theorem 2.2.1** The sets  $D(f)$  form a **basis** for the **Zariski topology**.

**Proof.** It suffices to show for any Ideal  $J$  of  $R$  we have  $\text{Spec}(R) \setminus V(J) = \bigcup_{f \in J} D(f)$ . We have  $P \in \text{Spec}(R) \setminus V(J)$  if and only if  $J \not\subseteq P$ . if and only if there exists some  $f \in J \setminus P$  if and only if  $P \in \bigcup_{f \in J} D(f)$ .



**Notation.** For any  $Y \subseteq \text{Spec}(R)$ , let  $j(Y) := \{f \in R \mid Y \subseteq V(f)\}$ . One has  $j(Y) = \bigcap_{P \in Y} P$ . In particular,  $j(Y)$  is a **radical** ideal of  $R$ .

**Lemma 2.2.1** 1) If  $Y_1$  and  $Y_2$  are subsets of  $\text{Spec}(R)$  such that  $Y_1 \subseteq Y_2$ , then  $j(Y_2) \subseteq j(Y_1)$ .

2) If  $(Y_t)_{t \in T}$  is a family of subsets of  $\text{Spec}(R)$ , then  $j(\bigcup_{t \in T} Y_t) = \bigcap_{t \in T} j(Y_t)$ .

3) For every subset  $Y$  of  $\text{Spec}(R)$ , we have  $Y \subseteq V(j(Y))$ .

4) For every subset  $S$  of  $R$ , we have  $S \subseteq j(V(S))$ .

**Proof.** 1)  $j(Y_2) = \bigcap_{P \in Y_2} P \subseteq \bigcap_{P \in Y_1} P = j(Y_1)$ .

2)

$$\begin{aligned} f \in j(\bigcup_{t \in T} Y_t) &\iff \bigcup_{t \in T} Y_t \subseteq V(f). \\ &\iff \forall t \in T, Y_t \subseteq V(f). \\ &\iff f \in \bigcap_{t \in T} j(Y_t) \end{aligned}$$

3) Let  $P \in Y$ . Since  $j(Y) = \bigcap_{P \in Y} P \subseteq P$ , then  $P \in V(j(Y))$ .

4) We have  $j(V(S)) = \bigcap_{P \in V(S)} P$ , then  $S \subseteq \bigcap_{P \in V(S)} P$ . If  $f \in S$ , then for every  $P \in V(S)$ ,  $f \in P$ . So  $f \in \bigcap_{P \in V(S)} P = j(V(S))$ .

The following result gives a **characterization** of the **closure** of a subset of  $\text{Spec}(R)$ .

**Proposition 2.2.3** Let  $Y$  be a subset of  $\text{Spec}(R)$ . Then  $\bar{Y} = V(j(Y))$ .

**Proof.** By lemma 2.2.1, 2)  $Y \subseteq V(j(Y))$ , then  $\bar{Y} \subseteq V(j(Y))$ . Conversely, we will show that any closed set containing  $Y$  must contain  $V(j(Y))$ . If  $Y \subseteq V(S)$ , then if  $P \in Y$ , we must have  $S \subseteq P$ , and this yields  $S \subseteq j(Y)$ . So  $V(j(Y)) \subseteq V(S)$ . Proving  $V(j(Y))$  is the smallest closed set containing  $Y$ .

**Remark 2.2.4** Let  $X$  be a topological space, if  $X$  is **Hausdorff**, then for every  $x \in X$ , we have  $\{x\}$  is closed.

**Corollary 2.2.1** Let  $X = \text{Spec}(\mathbb{Z})$ ,  $X$  is not **Hausdorff**. In fact :  $j(\{0\}) = \bigcap_{P \in X} P$ . By proposition 2.2.3, we have  $\overline{\{0\}} = V(j(\{0\})) = V(0) = \text{Spec}(\mathbb{Z})$ . So  $\{0\}$  is not closed.

In the **chapter 1**, we obtain a one-to-one **correspondence** between the set of **algebraic sets** of  $\mathbb{A}^n$  and the set of **radical ideals** of  $k[T_1, \dots, T_n]$ , when  $k$  is **algebraically closed**. By the following maps  $X \longrightarrow I(X)$  and  $J \longrightarrow Z(J)$ . Similarly, we replace  $\mathbb{A}^n$  by  $\text{Spec}(R)$  and  $k[T_1, \dots, T_n]$ , by  $R$ , for any nonzero commutative ring. We also obtain a one-to-one **correspondence** between the set of **radical ideals** of  $R$ , and the set of **closed subsets** of  $\text{Spec}(R)$ . This is the goal of the following theorem :

**Theorem 2.2.2** Let  $R$  be a commutative ring. Then

- i) For every ideal  $I$  of  $R$ , one has  $j(V(I)) = \text{rad}(I)$ .
- ii) The maps  $S \longrightarrow V(S)$  and  $Y \longrightarrow j(Y)$  induce bijections, inverse one of the other, between the set of **radical ideals** of  $R$  and the set of **closed subsets** of  $\text{Spec}(R)$ .

**Proof.** i)  $j(V(I)) = \bigcap_{P \in V(I)} P = \bigcap_{I \subseteq P} P = \text{rad}(I)$ .

ii) This follows directly from i) and proposition 2.2.3.

**Remark 2.2.5** Given that the **spectrum** of ring is a topological space, it is natural and useful to find continuous maps on Specs. It turns out that homomorphisms between rings induce continuous maps between their **spectrums**.

**Proposition 2.2.4** i) Let  $\psi : R \longrightarrow A$  be a homomorphism of rings. Then  $\psi$  induces a **continuous** map  $\psi^* : \text{Spec}(A) \longrightarrow \text{Spec}(R)$  given by  $\psi^*(Q) = \psi^{-1}(Q)$ .

ii) Let  $J$  be an ideal of  $R$  and  $\pi : R \longrightarrow R/J$  be the **canonical homomorphism**. Then  $\pi^*$  is a homeomorphism from  $\text{Spec}(R/J)$ , to the subspace  $V(J)$  of  $\text{Spec}(R)$ .

**Proof.** i) Let  $P \in \text{Spec}(A)$ ,  $P \neq A$ , one has  $1 \notin P$ , hence  $1 = \psi^{-1}(1) \notin \psi^{-1}(P)$ . So  $\psi^{-1}(P) \neq R$ . Moreover, for all  $x, y \in R$  such that  $xy \in \psi^{-1}(P)$ , then  $\psi(xy) = \psi(x)\psi(y) \in P$ . Since  $P$  is a prime ideal, so  $\psi(x) \in P$  or  $\psi(y) \in P$ . By definition of a prime ideal this prove that  $x \in \psi^{-1}(P)$  or  $\psi^{-1}(P)$ . We are to verify that inverse images of closed sets are closed, let  $J \subseteq A$  be an ideal. We have

$$(\psi^*)^{-1}(V(J)) = V(\psi(J)).$$

In fact : If  $Q \in (\psi^*)^{-1}(V(J))$ , then  $\psi^*(Q) = \psi^{-1}(Q) \in V(J)$ .

$$\begin{aligned} \Rightarrow J &\subseteq \psi^{-1}(Q) \\ \Rightarrow \psi(J) &\subseteq \psi(\psi^{-1}(Q)) \subseteq Q \\ \Rightarrow Q &\in V(\psi(J)). \end{aligned}$$

Now if  $Q \in V(\psi(J))$ , then  $\psi(J) \subseteq Q$ .

$$\begin{aligned} \Rightarrow J &\subseteq \psi^{-1}(Q) = \psi^*(Q) \\ \Rightarrow Q &\in (\psi^*)^{-1}(V(J)). \end{aligned}$$

ii) We know that the prime ideals of  $R/J$  are of the form  $I/J$  with  $I$  a prime ideal of  $R$  containing  $J$ . So  $\text{Spec}(R/J) = \{P/J \mid P \in \text{Spec}(R), J \subseteq P\}$ , so  $\pi^*$  is a **correspondence** one-to-one between  $\text{spec}(R/J)$ , and  $V(J)$ . By **i** we take  $\psi = \pi$ , and  $A = R/J$ , we get  $\pi^*$  is a continuous map. Moreover  $\pi^*$  is a bijective and for every ideal  $L$  of  $R/J$ , one has  $\pi^*(V(J)) = V(\pi^{-1}(J))$ , so that  $\pi^*$  is a closed map. Hence  $\pi^*$  is a **homeomorphism**. Consequently  $\text{Spec}(R/J)$  is homeomorphic to  $V(J)$ .

**Corollary 2.2.2** Let  $R$  be a commutative ring, then  $\text{Spec}(R)$  is **homeomorphic** to  $\text{Spec}(R/N(R))$  where  $N(R)$  denotes the **nilradical** of  $R$ .

**Proof.** By proposition 2.2.4, ii), the canonical surjection  $\pi : R \rightarrow R/N(R)$ , induces the one-to-one, continuous map  $\pi^* : \text{Spec}(R/N(R)) \rightarrow \text{Spec}(R)$ , given by  $\pi^*(Q/N(R)) = Q$ , where  $Q$  is an arbitrary prime ideal of  $R$ . By the **correspondence theorem**,  $Q/N(R) \in \text{Spec}(R/N(R))$ , and hence  $\pi^*$  is bijective. To show it is a homeomorphism, it suffices to show that it is a closed map. It easy to check that, for any  $V(J/N(R))$  be an arbitrary closed subset in  $\text{Spec}(R/N(R))$ . We have  $\pi^*(V(J/N(R))) = V(J)$ . Hence  $\pi^*$  is a **homeomorphism**.

**Remark 2.2.6** We now consider the relationship between  $\text{Spec}(R)$ , and  $\text{Spec}(S^{-1}R)$  where  $S^{-1}R$  is localizataion. By [3, Proposition, 3.11, p.41], there is one-to-one **correspondence** between **prime ideals** of  $S^{-1}R$ , and **prime ideals** of  $R$  disjoint from  $S$ . That is, an arbitrary prime ideal of  $S^{-1}R$  is of the form  $S^{-1}P$  where  $P \in \text{Spec}(R)$  with  $P \cap S = \emptyset$ . We let  $\Omega := \{P \in \text{Spec}(R) \mid P \cap S = \emptyset\}$ , and view this as subspace of  $\text{Spec}(R)$  using subspace topology. Hence, the closed subset in  $\Omega$  is of the form  $\Omega \cap V(J)$ .

**Proposition 2.2.5** The map  $\theta : \Omega \rightarrow \text{Spec}(S^{-1}R)$ , given by  $\theta(P) = S^{-1}P$  is a **homeomorphism**.

**Proof.** It is easy to check that  $\theta$  is a bijection.

For the continuity of  $\theta$ . We show that the pre-image of closed set is closed in subspace topology. Let  $J$  be an ideal of  $R$  we have

$$\theta^{-1}(V(S^{-1}J)) = \Omega \cap V(J).$$

In fact :

$$\begin{aligned} P \in \theta^{-1}(V(S^{-1}J)) &\iff \theta(P) \in V(S^{-1}J) \\ &\iff S^{-1}J \subseteq S^{-1}P \\ &\iff \forall x \in J, \frac{x}{1} = \frac{p}{s}, p \in P \\ &\iff \exists \lambda \in S / \lambda(sx - p) = 0 \\ &\iff s\lambda x \in P \\ &\iff x \in P \\ &\iff J \subseteq P \\ &\iff P \in \Omega \cap V(J) \end{aligned}$$

So  $\theta$  is continuous map. Consequently, we need to show  $f$  is closed to show it is **homeomorphism**. Let  $V(J) \cap \Omega$  be a closed in  $\Omega$ . We have

$$\theta(V(J) \cap \Omega) = V(S^{-1}J).$$

In fact :

' $\subseteq$ ' Let  $Q \in \theta(V(J) \cap \Omega)$ , there exists  $P \in V(J) \cap \Omega$  such that  $\theta(P) = Q$ . Since  $P \in V(J) \cap \Omega \iff J \subseteq P$  and  $P \cap S = \emptyset$ , so  $S^{-1}J \subseteq S^{-1}P$ . Hence  $\theta(P) = S^{-1}P \in V(S^{-1}J)$ .

' $\supseteq$ '  $S^{-1}P \in V(S^{-1}J)$  implies that  $S^{-1}J \subseteq S^{-1}P$ . Thus for all  $x \in J$ ,  $\frac{x}{1} = \frac{p}{s}$ , for  $p \in P$

$$\begin{aligned} &\iff \exists \lambda \in S / \lambda(xs - p) = 0 \\ &\iff \lambda xs \in P. \\ &\Rightarrow x \in P \\ &\Rightarrow J \subseteq P. \end{aligned}$$

Therefore,  $S^{-1}P = \theta(P) \in \theta(V(J) \cap \Omega)$ . So  $\theta$  is a **closed map**. In summary  $\theta$  is a **homeomorphism**.

**Lemma 2.2.2** Let  $R$  be a commutative ring. Then  $\text{Spec}(R)$  is **compact**.

**Proof.** It suffices to show any cover of  $\text{Spec}(R)$  by basic open sets, has a finite sub-cover. Assume  $\text{Spec}(R) \subseteq \bigcup_{t \in T} D(f_t)$  and let  $J := (\{f_t, t \in T\})$ , be an ideal of  $R$  generated by the  $f_t$ . Hence, for any  $P \in \text{Spec}(R)$ ,  $P \in D(f_t)$  for some  $t \in T$ . Thus,  $J$  cannot be contained in any prime ideal of  $R$ , which implies  $V(J) = \emptyset$ . Since every proper ideal is contained in a maximal, and hence prime ideal, we must have that  $J = R$ . Then  $1 = \sum_{t=1}^r h_t f_t$ . For any  $P \in \text{Spec}(R)$ , we have  $1 \notin P$  which means  $f_{t_j} \notin P$ , for some  $j \in \{1, \dots, r\}$ . Hence  $f \in D(f_{t_j})$ . So  $\text{Spec}(R) \subseteq \bigcup_{j=1}^r D(f_{t_j})$ . Consequently  $\text{Spec}(R)$  is **compact**.

**Proposition 2.2.6** Let  $R$  be a commutative ring, let  $f \in R$ . Then  $D(f)$  is **compact** with respect to the subspace topology on  $\text{Spec}(R)$ .

**Proof.** We consider  $S := \{f^k \mid k \geq 0\}$  a multiplicative set. We have

$$\Omega := \{P \in \text{Spec}(R) / P \cap S = \emptyset\} = D(f)$$

In fact :

$$\begin{aligned} P \in \Omega &\iff P \cap S = \emptyset \\ &\iff \forall k \geq 0, f^k \notin P \\ &\iff f \notin P \\ &\iff P \in D(f). \end{aligned}$$

By lemma 2.2.2  $\text{Spec}(R)$  is compact. So  $\text{Spec}(S^{-1}R)$  is compact, by proposition 2.2.5. Hence,  $D(f) = \Omega$ , which is **homeomorphic** to  $\text{Spec}(R)$ . Consequently  $D(f)$  is **compact**.

**Theorem 2.2.3** Let  $R$  be a **Noetherian** ring, then  $\text{Spec}(R)$  is a **Noetherian** topological space.

**Proof.** Note that if  $I, J$  two ideals of  $R$  such that  $V(I) \subseteq V(J)$ , then  $\text{rad}(J) \subseteq \text{rad}(I)$  by theorem 2.2.2. So the correspondence given above is order reversing. Now let

$$V(J_1) \supseteq V(J_2) \supseteq \dots$$

is a descending chain of closed sets in  $\text{Spec}(R)$ . Then we obtain the ascending chain

$$\text{rad}(J_1) \subseteq \text{rad}(J_2) \subseteq \dots$$

of ideals in  $R$ . Since  $R$  is **Noetherian** ring, hence there exists  $d \in \mathbb{N}$  such that, for all  $r \geq d$ ,  $\text{rad}(J_d) = \text{rad}(J_r)$ . By the above we get  $V(J_d) = V(J_r)$ , for all  $r \geq d$  showing  $\text{Spec}(R)$  is **Noetherian**.

## Irreducibility

In chapter 1, we have characterized the **irreducible algebraic sets** in the same way, we will give a **characterization** of the **irreducible subsets** in  $\text{Spec}(R)$ . The following result **characterizes** the subsets of  $\text{Spec}(R)$  which **irreducible**.

**Lemma 2.2.3** Let  $R$  be a commutative ring and  $P$  be a prime ideal of  $R$ . Then  $V(P)$  is **irreducible** in  $\text{Spec}(R)$ .

**Proof.** Suppose that  $V(P) = V(J_1) \cup V(J_2)$ ,  $J_1, J_2$  two ideals of  $R$ . Since  $P \in V(P)$ , then  $P \in V(J_1)$  or  $P \in V(J_2)$ , assume that  $P \in V(J_1)$ . So  $J_1 \subseteq P$ . For  $Q \in V(P)$ ,  $J_1 \subseteq P \subseteq Q$ , then  $Q \in V(J_1)$ . Consequently,  $V(P) = V(J_1)$ .

**Proposition 2.2.7** Let  $J$  be an ideal of  $R$ . If  $V(J)$  is *irreducible*, then  $\text{rad}(J)$  is a prime ideal of  $R$ .

**Proof.** Let  $f, g \in R$  such that  $fg \in \text{rad}(J)$  and  $f, g \notin \text{rad}(J)$ . Hence there exists two prime ideals  $P, Q$  such that  $J \subseteq P, Q$  with  $f \notin P$  and  $g \notin Q$ , then  $P \in V(J) \cap D(f)$  and  $Q \in V(J) \cap D(g)$  are two nonempty open subset in  $V(J)$ . Since  $V(J)$  is irreducible, by proposition 1.1.3 we have  $(V(J) \cap D(f)) \cap (V(J) \cap D(g)) \neq \emptyset$ . Let  $L$  be in the intersection.

$L \in V(J) = V(\text{rad}(J))$ , then  $\text{rad}(J) \subseteq L$  and  $L \in D(f) \cap D(g) = D(fg)$  implies  $fg \notin L$  which contradicts, the fact  $fg \in \text{rad}(J) \subseteq L$ . Hence  $\text{rad}(J)$  is a prime ideal.

**Remark 2.2.7** If  $\text{rad}(J)$  is a prime ideal, by lemma 2.2.3 then  $V(J)$  is *irreducible*.

**Proposition 2.2.8** For any *closed subset*  $Y \subseteq \text{Spec}(R)$  is *irreducible* if and only if  $Y$  is of the form  $Y = V(P)$  for some ideal  $P \in \text{Spec}(R)$ .

**Proof.** Let  $Y = V(J)$  be an irreducible in  $\text{Spec}(R)$ , by proposition 2.2.7 we have  $\text{rad}(J)$  is a prime ideal and by proposition 2.2.1 we have  $V(J) = V(\text{rad}(J))$ . So  $Y = V(P)$ , when  $P = \text{rad}(J) \in \text{Spec}(R)$ . Conversely, if  $Y = V(P)$  for some prime ideal. Then by lemma 2.2.3  $V(P)$  is irreducible.

**Theorem 2.2.4** Let  $R$  be a commutative ring. Then  $\text{Spec}(R)$  is *irreducible* if and only if  $N(R)$  is a prime ideal.

**Proof.** Suppose that  $N(R)$  is a prime ideal. We have for every  $P \in \text{Spec}(R)$ ,  $N(R) \subseteq P$  then  $P \in V(N(R))$ . So  $\text{Spec}(R) = V(N(R))$ , by remark 2.2.7  $V(N(R))$  is *irreducible*. Consequently,  $\text{Spec}(R)$  is irreducible. Conversely, Suppose that  $\text{Spec}(R)$  is irreducible. Let  $fg \in N(R)$ : To show that  $N(R)$  is a prime ideal, then we need to show that either  $f \in N(R)$  or  $g \in N(R)$ . By proposition 2.2.2, 3)  $D(fg) = D(f) \cap D(g)$ , if we assume that  $D(f)$  and  $D(g)$  are nonempty, by proposition 2.2.2, 1)  $f$  and  $g$  are not nilpotent. Since  $\text{Spec}(R)$  is *irreducible*,  $D(f)$  and  $D(g)$  are nonempty open subsets of  $\text{Spec}(R)$ ,  $D(f) \cap D(g) = D(fg)$  is nonempty. This implies that  $fg$  is not nilpotent. This leads to the contradiction to the assumption that  $fg \in N(R)$ . Thus either  $D(f) = \emptyset$ , or  $D(g) = \emptyset$ . Hence  $f \in N(R)$  or  $g \in N(R)$ .

## Generic points

**Definition 2.2.3** Let  $X$  be a topological space and  $Y$  be a *closed subset* of  $X$ . Let  $x \in Y$  we say that  $x$  is a generic point for  $Y$  if  $Y$  is the *closure* of the singleton  $\{x\}$ , i.e.,  $Y = \overline{\{x\}}$ .

**Examples 2.2.2** 1) Let  $P$  be a prime ideal of  $R$ , then  $\overline{P} = V(P)$  and  $P$  is the only *generic point* of  $V(P)$ .

2) For an *integral domain*  $R$ , the zero ideal  $N(R) (= (0))$  is prime, and  $\overline{\{(0)\}} = \text{Spec}(R)$ . Then  $(0)$  is a *generic point* of  $\text{Spec}(R)$ .

## 2.2.2 Ringed spaces

**Definition 2.2.4** A *ringed topological space* is a pair  $(X, \mathcal{O}_X)$  consisting of a space and a *sheaf* of rings  $\mathcal{O}_X$  it called the *structure sheaf*.

**Examples 2.2.3** 1) Let  $X$  be a topological space and  $\mathcal{O}_X (= \mathcal{C}^0(., \mathbb{R}))$  is a sheaf of continuous real functions on  $X$ . Then  $(X, \mathcal{O}_X)$  is a *ringed space*.

2) If  $M$  is a  $\mathcal{C}^\infty$ -manifold, then the sheaf  $\mathcal{C}^\infty(., \mathbb{R})$  of *smooth functions* is a sheaf of rings on  $M$ .

**Remark 2.2.8** Let  $(X, \mathcal{O}_X)$  be *ringed space* and  $U$  an open subset of  $X$ , then  $(U, \mathcal{O}_{X|U})$  is a *ringed space*, the *structure sheaf*  $\mathcal{O}_{X|U}$  denoted by  $\mathcal{O}_U$ .

**Definition 2.2.5** A morphism of *ringed spaces* is pair  $(f, f^\sharp) : (X, \mathcal{O}_X) \longrightarrow (Y, \mathcal{O}_Y)$ , where  $f : X \longrightarrow Y$  is continuous map, and  $f^\sharp : \mathcal{O}_Y \longrightarrow f_*\mathcal{O}_X$  is a morphism of sheaves of rings on  $Y$ .

**Remark 2.2.9** For every open subset  $U$  of  $Y$  :

1)  $f^\sharp(U) : \mathcal{O}_Y(U) \longrightarrow \mathcal{O}_X(f^{-1}(U))$  is a **ring homomorphism**.

2)

$$\begin{array}{ccc} \mathcal{O}_Y(U) & \xrightarrow{f^\sharp(U)} & \mathcal{O}_X(f^{-1}(U)) \\ \text{res}_{U,V} \downarrow & & \downarrow \text{res}_{f^{-1}(U), f^{-1}(V)} \\ \mathcal{O}_Y(V) & \xrightarrow{f^\sharp(V)} & \mathcal{O}_X(f^{-1}(V)) \end{array}$$

where  $V \subseteq U \subseteq Y$ .

3) We denote the set of morphisms of ringed spaces from  $X$  to  $Y$  by  $\text{Hom}((X, \mathcal{O}), (Y, \mathcal{O}_Y))$ , and note that

$$\text{Hom}((X, \mathcal{O}), (Y, \mathcal{O}_Y)) \cong \{f : X \longrightarrow Y \text{ and } f^\flat : f^{-1}\mathcal{O}_Y \longrightarrow \mathcal{O}_X\}$$

(see [9, Lemma 1.45])

**Notation.** Let  $(f, f^\sharp) : (X, \mathcal{O}_X) \longrightarrow (Y, \mathcal{O}_Y)$  be a morphism of **ringed spaces**. By abuse of notation we will write  $f$  instead of  $(f, f^\sharp)$ .

**Examples 2.2.4** 1) Let  $\psi : U \longrightarrow V$  be a morphism of variety then  $\psi$  induced map of **ringed spaces**

$$(U, \mathcal{O}_U) \longrightarrow (V, \mathcal{O}_V)$$

where  $\mathcal{O}_U$  (respectively  $\mathcal{O}_V$ ) is the sheaf of **regular functions** on  $U$  (resp  $V$ ).

We take  $f = \psi : U \longrightarrow V$  is a continuous map and  $f^\sharp : \mathcal{O}_V \longrightarrow f_*\mathcal{O}_U$  is defined by : For each open set  $W \subseteq V$

$$\begin{array}{ccc} \mathcal{O}_V(W) & \longrightarrow & \mathcal{O}_U(f^{-1}(W)) \\ h & \longmapsto & f^\sharp(h) := h \circ \psi. \end{array}$$

2) Let  $(X, \mathcal{O}_X)$  be a ringed space, and  $W \subseteq X$  be an open subset. and let  $j : W \longrightarrow X$  be canonical injection. Then  $(j, j^\sharp) : (W, \mathcal{O}_W) \longrightarrow (X, \mathcal{O}_X)$  is a morphism of **ringed spaces**, where for every open  $U$  of  $X$ ,  $j^\sharp(U) : \mathcal{O}_X(U) \longrightarrow j_*\mathcal{O}_W(U) (= \mathcal{O}_W(U \cap W))$  is a morphism of **restriction**.

**Remarks 2.2.2** 1) Let  $f : (X, \mathcal{O}_X) \longrightarrow (Y, \mathcal{O}_Y)$  be a morphism of ringed spaces. For any  $x \in X$   $f$  induces a morphism of the **stalks**  $f_x^\sharp : \mathcal{O}_{Y, f(x)} \longrightarrow \mathcal{O}_{X, x}$

2) Let  $(f, f^\sharp) : (X, \mathcal{O}_X) \longrightarrow (Y, \mathcal{O}_Y)$ , and  $(h, h^\sharp) : (Y, \mathcal{O}_Y) \longrightarrow (Z, \mathcal{O}_Z)$  two morphisms of **ringed spaces**. it is clear that  $h \circ f$  is a continuous map and by lemma 2.1.3, we have  $(h \circ f)_* = h_* \circ f_*$ , then  $(h \circ f)_*\mathcal{O}_X = h_*(f_*\mathcal{O}_X)$ , since  $f_*\mathcal{O}_X$  is a sheaf on  $Y$  then  $h_* \circ f_*\mathcal{O}_X$  is a sheaf on  $Z$ . Consequently, we can composed two morphisms of **ringed spaces**, this is the following definition :

**Definition 2.2.6** Let  $(f, f^\sharp) : (X, \mathcal{O}_X) \longrightarrow (Y, \mathcal{O}_Y)$  and  $(h, h^\sharp) : (Y, \mathcal{O}_Y) \longrightarrow (Z, \mathcal{O}_Z)$  be morphisms of **ringed spaces**. The composition is given by the map  $h \circ f$  and the morphism of sheaf

$$\mathcal{O}_Z \longrightarrow h_*\mathcal{O}_Y \longrightarrow h_*f_*\mathcal{O}_X$$

where the second map is the image of  $f^\sharp$  from  $(X, \mathcal{O}_X) \longrightarrow (Y, \mathcal{O}_Y)$  under  $h_*$ .

**Remark 2.2.10** 1) The **composition** of morphisms of **ringed spaces** is will be denoted by the formula :

$$(h, h^\sharp) \circ (f, f^\sharp) = (h \circ f, f^\sharp \circ h^\sharp).$$

2) We get a category  $\mathcal{RS}$  of **ringed spaces**.

3) An **isomorphism** of ringed spaces is a morphism which has an inverse.

4) If  $X$  is a **ringed space** and  $Z$  be a topological space with structure sheaf  $\mathcal{O}_X$ , and  $f : Z \longrightarrow X$  be a continuous map. Then  $f^{-1}\mathcal{O}_X$  is a structure sheaf on  $Z$ . In particular any subspace of a **ringed space** is a ringed space.



## Locally ringed spaces

**Definition 2.2.7** i) A **locally ringed** space is **ringed** space  $(X, \mathcal{O}_X)$  with the property that the stalk of each point is a local ring. In other words for all  $x \in X$ ,  $\mathcal{O}_{X,x} = \varinjlim_{x \in U} \mathcal{O}_X(U)$  is a local ring.

ii) Given a **locally ringed** space  $(X, \mathcal{O}_X)$  we say that  $\mathcal{O}_{X,x}$  is the **local ring** of  $X$  at  $x$ . We denote  $\mathfrak{m}_{X,x}$  or simply  $\mathfrak{m}_x$  the maximal ideal of  $\mathcal{O}_{X,x}$ . The **residue field** of  $X$  at  $x$  is  $\mathcal{O}_{X,x}/\mathfrak{m}_x$  and we denoted by  $k(x)$ .

**Example 2.2.1** Let  $X$  be a complex analytic manifolds and  $\mathcal{O}_X$  the sheaf of holomorphic functions on  $X$  then  $(X, \mathcal{O}_X)$  is a **locally ringed spaces**

**Definition 2.2.8** A morphism of **locally ringed** spaces  $(X, \mathcal{O}_X) \longrightarrow (Y, \mathcal{O}_Y)$  is a collection of maps  $f : X \longrightarrow Y$  and  $f^\flat : f^{-1}\mathcal{O}_Y \longrightarrow \mathcal{O}_X$  of ringed spaces such that for all  $x \in X$  the map

$$f_x^\flat : \mathcal{O}_{Y,f(x)} \longrightarrow \mathcal{O}_{X,x}$$

is a **local homomorphism** i.e  $f_x^\flat(\mathfrak{m}_{f(x)}) \subseteq \mathfrak{m}_x$ .

**Remark 2.2.11**  $\mathcal{O}_{Y,f(x)} = (f^{-1}\mathcal{O}_Y)_x$  (see lemma 2.1.4).

**Example 2.2.2** Let  $M$  a manifold with the sheaf  $\mathcal{C}^\infty(M)$ . Then  $(M, \mathcal{C}^\infty(M))$  is a **locally ringed** space. and any morphism  $f : M \longrightarrow N$  of manifolds is smooth if and only if for every local section  $g$  of  $\mathcal{C}^\infty(N)$  the composition  $f^\sharp(g) := g \circ f$  is a local section of  $\mathcal{C}^\infty(M)$ . So  $f^\sharp : \mathcal{C}^\infty(N) \longrightarrow f_*\mathcal{C}^\infty(M)$  is a morphism of ringed space. For any  $p \in M$ , the ring of germs of functions  $\mathcal{C}^\infty(M)_p$  is a **local ring** with maximal ideal  $\mathfrak{m}_p$  the functions which vanish at  $p$ . let  $q = f(p)$ ,  $f^\sharp(\mathfrak{m}_q) \subseteq \mathfrak{m}_p$ . Indeed,  $g \in \mathfrak{m}_q$ ,  $f^\sharp(g)(p) = g(f(p)) = g(q) = 0$ . So  $f^\sharp$  induced a local homomorphism i.e,

$$f^\sharp : (\mathcal{C}^\infty(N))_q \longrightarrow (\mathcal{C}^\infty(M))_p.$$

Hence  $f^\sharp$  is a morphism of **locally ringed** space.

Let  $(X, \mathcal{O}_X)$  be a **locally ringed** space,  $x \in X$ . We have a **canonical surjection**  $\mathcal{O}_{X,x} \longrightarrow k(x)$ , note this surjection  $h \longmapsto h(x)$  called **evaluation** at  $x$ . We also have the equivalence  $h(x) \neq 0$  if and only if  $h$  is invertible in  $\mathcal{O}_{X,x}$ . Let  $U$  be an open subset of  $X$  and  $x \in U$ . The compound morphism  $\mathcal{O}_X(U) \longrightarrow \mathcal{O}_{X,x} \longrightarrow k(x)$  will also be denoted by  $h \longmapsto h(x)$  and note that if  $h$  is an **invertible** element of  $\mathcal{O}_X(U)$  then  $h(x)$  it is a nonzero element of  $k(x)$ .

**Theorem 2.2.5** Let  $(X, \mathcal{O}_X)$  be a **locally ringed** space,  $U$  be an open subset of  $X$ , and  $h \in \mathcal{O}_X(U)$ . The set  $D(h) := \{x \in U \mid h(x) \neq 0\}$  is an open subset of  $U$  and  $h$  is **invertible** in  $\mathcal{O}_X(U)$  if, and only if  $D(h) = U$ .

**Proof.** Let  $x \in D(h)$ , since  $h(x) \neq 0$ , then the germ  $h$  at  $x$  is not in the maximal ideal i.e  $h_x \notin \mathfrak{m}_x$  and therefore  $h_x$  is **invertible** in  $\mathcal{O}_{X,x}$ , then  $h_x s_x = 1$ , where  $s$  is a **section** of a neighborhood  $W$  of  $x$  which we can assume to be included in  $U$ , it then comes that  $(h|_W s)_x = 1$ , then there exists an open neighborhood  $V \subseteq W$  of  $x$  such that  $h|_V s|_V = 1$  in particular  $V \subseteq D(h)$ . If  $h$  is **invertible** in  $\mathcal{O}_X(U)$ , then its image in  $k(x)$  (for all  $x \in U$ ) is **invertible** and therefore not zero. Hence  $U = D(h)$ . Conversely, if  $D(h) = U$ , for all  $x \in U$ , we have  $h(x) \neq 0$ , so  $h_x$  is invertible in  $\mathcal{O}_{X,x}$  or again  $h$  is **invertible** in an open neighborhood of  $x$ , so the existence of in open covering  $(W_j)$  of  $U$  such that for all  $j$ ,  $h_j$  is **invertible** in  $\mathcal{O}_X(W_j)$  and let us note  $s_j$  the **inverse** of  $h_j$ . For all  $i, j$ ,  $s_i|_{W_i \cap W_j}$  (resp  $s_j|_{W_i \cap W_j}$ ) is the **inverse** of  $f|_{W_i \cap W_j}$  and by the **uniqueness** of the inverse we have  $s_i|_{W_i \cap W_j} = s_j|_{W_i \cap W_j}$ . Then there is a section  $s \in \mathcal{O}_X(U)$  such that  $s|_{W_j} = s_j, \forall j$  and  $(hs)|_{W_j} = h|_{W_j} s_j = 1$ . Hence  $hs = 1$ .

## 2.3 Affine schemes and varieties

As we have seen, one can view a differentiable manifold of dimension  $m$  as a **ringed space** that is locally the same as  $(\mathbb{R}^m, \mathcal{C}^\infty)$ . **Grothendieck**<sup>†</sup> defined a **scheme** in roughly the same way, with the important difference that, rather than one local model  $\mathbb{R}^m$  in each dimension, one needs to use all the ringed spaces  $\text{Spec}(R)$  for the local models.

<sup>†</sup>Alexander Grothendieck, (French 28 March 1928-13 November 2014) was a stateless and then French mathematician who became the leading figure in the creation of **modern algebraic geometry**. His research extended the scope of the field and added elements of commutative algebra, homological algebra, sheaf theory, and category theory to its foundations, while his so-called "relative" perspective led to revolutionary advances in many areas of pure mathematics. He is considered by many to be the greatest mathematician of the twentieth century.



### 2.3.1 Affine schemes

In section 2.1, we introduced the notion of *sheaf* in any topological space. In this section, we are interested in a very particular space, the *spectrum* of a commutative ring. Our goal is therefore the following :

- \* Define *spectrum* on  $\text{Spec}(R)$ , where  $R$  is a commutative ring.
- \* Show that  $\text{Spec}(R)$  equipped with the *Zariski topology* (see section 2.2) is a *locally ringed space*. Therefore, we obtain a functor  $R \mapsto \text{Spec}(R)$  from the *category of commutative rings* to the *category of locally ringed spaces*.
- \* We will define a *affine scheme* to be a *locally ringed space*  $X$ , where  $X = \text{Spec}(R)$  for some commutative ring  $R$ .

Throughout this section, we assume that  $R$  denotes a ring *commutative* with 1.

#### The Structure Sheaf on $\text{Spec}(R)$

**Definition 2.3.1** Let  $R$  be a ring,  $X := \text{Spec}(R)$ . We define a *sheaf* of rings on  $\text{Spec}(R)$  as follows. For  $U \in \mathcal{T}_X$ , let

$$\mathcal{O}_X(U) := \{s : U \longrightarrow \coprod_{P \in X} R_P \mid \text{for all } P \in U, \text{ we have } s(P) \in R_P, \text{ and for all } P \in U \text{ there is } a, f \in R, \text{ and } V \subseteq U \text{ such that } V \subseteq D(f) \text{ and } s(Q) = \frac{a}{f} \text{ for all } Q \in V\}$$

This formula clearly defines a *sheaf* on rings on  $X$ .

**Remark 2.3.1** 1) Note the similarity with the definition of the *regular functions* on a *variety*. The difference is that we consider functions into the various *local rings*, instead of to a *field*.

2) It is clear that *sums* and *products* of such functions are again such, and that the element 1 which gives 1 in each  $R_P$  is an *identity*. Hence  $\mathcal{O}_X(U)$  is a *commutative ring* with identity.

3) If  $V \subseteq U$  are two opens subsets of  $X$ , the restriction map  $\mathcal{O}_X(U) \longrightarrow \mathcal{O}_X(V)$ ,  $s \mapsto s|_V$  is a homomorphism of rings.

**Proposition 2.3.1** Let  $R$  be a ring,  $X = \text{Spec}(R)$ . Then :

- i) For all  $f \in R$ , we have a *canonical isomorphism*  $\mathcal{O}_X(D(f)) \simeq R_f$ , where  $R_f$  the *localization* of  $R$  by  $S = \{1, f, f^2, \dots\}$ .
- ii) If  $g \in R$  and  $g \in (f)$ , then there is commutative diagram

$$\begin{array}{ccc} \mathcal{O}_X(D(f)) & \longrightarrow & \mathcal{O}_X(D(g)) \\ \downarrow \simeq & & \downarrow \simeq \\ R_f & \longrightarrow & R_g \end{array}$$

where the vertical isomorphisms come from i).

- iii) There is a natural isomorphism for all  $P \in \text{Spec}(R)$ . This isomorphism fits in a commutative diagram

$$\begin{array}{ccc} \mathcal{O}_{X,P} & \xrightarrow{\simeq} & R_P \\ \uparrow & & \uparrow \\ \mathcal{O}_X(X) & \xrightarrow{\simeq} & R \end{array}$$

Here the vertical morphisms are the natural ones and the lower horizontal one comes from i).

**Proof.** i) Let  $f \in R$ , and  $\psi : R_f \longrightarrow \mathcal{O}_X(D(f))$  defined by :

$$\psi\left(\frac{a}{f^n}\right) := \text{map } s : D(f) \longrightarrow \prod_{P \in X} R_P \text{ is the image of } \frac{a}{f^n} \text{ in } R_P \text{ for all } P \in D(f)$$

It is clear that  $\psi$  is a homomorphism of rings. We wish to show that  $\psi$  is an **isomorphism**.

\*  $\psi$  is injective :

We have  $\ker(\psi) = \left\{ \frac{a}{f^n} \in R_f \mid \psi\left(\frac{a}{f^n}\right) = 0 \right\} = \left\{ \frac{a}{f^n} \in R_f \mid s(P) := \frac{a}{f^n} = 0, \forall P \in \text{Spec}(R) \right\}$  Suppose that  $\frac{a}{f^n} \in \ker(\psi)$  and  $\frac{a}{f^n} \neq 0$ , let  $\text{Ann}\left(\frac{a}{f^n}\right) := \left\{ g \in R_f \mid g \cdot \frac{a}{f^n} = 0 \right\}$  This is an ideal of  $R_f$ , called the **annihilator** of  $\frac{a}{f^n}$ , since  $\frac{a}{f^n} \neq 0$ , then  $\text{Ann}\left(\frac{a}{f^n}\right) \neq R_f$ , by the **Krull theorem** there exists a maximal ideal  $\mathfrak{m}$  of  $R_f$  such that  $\text{Ann}\left(\frac{a}{f^n}\right) \subseteq \mathfrak{m}$ . Then the image of  $\frac{a}{f^n}$  in  $R_{\mathfrak{m}}$  does not vanish by construction. Thus  $\frac{a}{f^n}$  must vanish.

\*  $\psi$  is surjective :

Let  $s \in \mathcal{O}_X(D(f))$ , we know that in the neighbourhood of every point of  $D(f)$ ,  $s$  is represented by a fraction. Since  $\mathcal{B} := \{D(g), g \in R\}$  is a basis of  $X$  (see theorem 2.2.1) and  $D(f)$  is **compact** (see proposition 2.2.6), there are  $f_1, \dots, f_r \in R$  such that  $D(f_j) \subseteq D(f)$  for all  $j \in \{1, \dots, r\}$  and  $D(f) = \bigcup_{j=1}^r D(f_j)$ , there are  $g_1, \dots, g_r \in R$  such that  $s$  is represented on  $D(f_j)$  by  $\frac{g_j}{f_j}$ . By proposition 2.2.2 we have  $D(f_i) \cap D(f_j) = D(f_i f_j)$ . Using the fact that  $\psi$  is injective, we get  $\frac{g_i}{f_i} = \frac{g_j}{f_j}$ . Hence for some  $m$

$$(f_i f_j)^m f_j g_i = (f_i f_j)^m f_i g_j.$$

Using the assumption and proposition 2.2.1, there are  $h_1, \dots, h_r \in R$  and  $d \geq 1$  such that  $f^d = \sum_{j=1}^r h_j f_j^{m+1}$ .

Let  $\beta := \sum_{j=1}^r h_j f_j^m g_j$ , it easy to check that  $\beta f_i^{m+1} = f^d f_i^m g_i$ . Then

$$\frac{\beta}{f^d} = \frac{g_i}{f_i}$$

in  $R_{f_i}$ . In other words,  $\frac{\beta}{f^d}$  is an element of  $D(f)$  whose image in  $\mathcal{O}_X(D(f))$  is  $s$ .

ii) Immediate, using the fact that if  $D(g) \subseteq D(f)$  if and only if  $g \in \text{rad}((f))$  if and only if  $g^m = fc$ , for some  $m \in \mathbb{N}$ . So  $f$  is invertible in  $R_g$ , we get a homomorphism of rings

$$\begin{aligned} \theta : R_f &\longrightarrow R_g \\ \frac{a}{f^n} &\longmapsto \frac{ac^n}{g^{mn}} \end{aligned}$$

iii) We have a natural isomorphism  $\mathcal{O}_{X,P}$  between  $\varinjlim_{f \in R, f \notin P} \mathcal{O}_X(D(f))$ . By i) and ii), this ring is naturally isomorphic to  $\varinjlim_{f \in R, f \notin P} R_P$  which can be identified with  $R_P$  (\*). (For another proof see [12, Proposition 2.2, p.71].)

**Remark 2.3.2** Let  $R$  be a ring and  $P \in \text{Spec}(R)$ . There is a natural isomorphism

$$\varinjlim_{f \in R, f \notin P} R_P \simeq R_P$$

Here the arrows in the **inductive system** are defined as follows. If  $g$  is a multiple of  $f$  then the arrow is the natural map. Otherwise there is no arrow. This justifying (\*)

**Theorem 2.3.1** Let  $R$  and  $T$  be two rings, and let  $\psi : R \longrightarrow T$  be a homomorphism of rings. Then :

i)  $(X = \text{Spec}(R), \mathcal{O}_X)$  is a **locally ringed space**.

ii)  $\psi$  induces a natural morphism of **locally ringed spaces**

$$(\psi, \psi^\#) : (Z := \text{Spec}(T), \mathcal{O}_Z) \longrightarrow (X := \text{Spec}(R), \mathcal{O}_X)$$

iii) Any morphism of **locally ringed spaces** from  $Z$  to  $X$  is induced by a homomorphism of rings  $\psi : R \longrightarrow T$  as in ii).

**Proof.** i) This follows from proposition 2.3.1 iii).

ii) By proposition 2.2.4  $\psi$  induces a continuous map  $\psi^* : Z \rightarrow X$ , we can localize  $\psi$  to obtain a local homomorphism of local rings  $\psi_Q : R_{\psi^{-1}(Q)} \rightarrow T_Q$ . Now, for any  $U$  open subset of  $X$ , we obtain a homomorphism of rings  $(\psi^*)^\# : \mathcal{O}_X(U) \rightarrow \mathcal{O}_Z((\psi^*)^{-1}(U))$  by the definition of  $\mathcal{O}$  composing with the maps  $\psi^*$  and  $\psi_Q$ . This gives the morphism of sheaves  $(\psi^*)^\# : \mathcal{O}_X \rightarrow (\psi^*)_* \mathcal{O}_Z$ .  $(\psi^*)^\#$  induced a map on stalks are just the local homomorphisms  $\psi_Q$ . Hence  $(\psi^*, (\psi^*)^\#)$  is a morphism of **locally ringed spaces**.

iii) Let  $(f, f^\#) : (Z, \mathcal{O}_Z) \rightarrow (X, \mathcal{O}_X)$  be a morphism of locally ringed spaces, by definition of morphism of locally ringed space, we have for any open subset  $V$  of  $X$ , we have a homomorphism of rings  $f^\#(V) : \mathcal{O}_X(V) \rightarrow \mathcal{O}_Z \psi^{-1}(V)$ . In particular  $V = X$ , by proposition 2.3.1 iii)  $\mathcal{O}_X(X) = R$ , and  $\mathcal{O}_Z(f^{-1}(X)) = \mathcal{O}_Z(Z) = T$ . So we get a homomorphism of rings  $\psi := f^\#(X) : R \rightarrow T$ . Let  $Q \in \text{Spec}(T)$ , we have an induced **local homomorphism** on the stalks,  $f_Q^\# : \mathcal{O}_{X, f(Q)} \rightarrow \mathcal{O}_{Z, Q}$  such that the following diagram

$$\begin{array}{ccc} R & \xrightarrow{\psi} & T \\ \downarrow & & \downarrow \\ R_{f(Q)} & \xrightarrow{f_Q^\#} & T_Q \end{array}$$

commutes. The assumption that  $f_Q^\#$  is local then gives  $\psi^{-1}(Q) = f(Q)$ , which shows that  $f$  coincides with the map  $Z \rightarrow X$  induced by  $\psi$ . it is immediate that  $f^\#$  also is induced by  $\psi$ . So that  $(f, f^\#)$  does indeed come from  $\psi$ .

**Corollary 2.3.1** Let  $R, T$  be a two rings. Then the map

$$\begin{array}{ccc} \chi : \text{Hom}_{\text{rings}}(R, T) & \longrightarrow & \text{Hom}((Z, \mathcal{O}_Z), (X, \mathcal{O}_X)) \\ \psi & \longmapsto & (\psi^*, (\psi^*)^\#) \end{array}$$

is a bijection.

**Proof.** This follows from theorem 2.3.1 ii) and iii).

Now, we come to the definition of a **scheme**.

**Definition 2.3.2** Let  $X$  be a **locally ringed space**. We say that  $X$  is an **affine scheme** if there exists a ring  $R$  such that  $X$  is isomorphic to the **spectrum** of  $R$ , i.e.  $X$  is an **affine scheme** if and only if  $(X, \mathcal{O}_X) \simeq (\text{Spec}(R), \mathcal{O}_{\text{Spec}})$ , where  $\simeq$  is an **isomorphism** of **locally ringed spaces** as defined in section 2.2.2.

**Examples 2.3.1** 1) For a field  $k$ ,  $\text{Spec}(k)$  consists of one single point, with structural sheaf  $k$ .

2)  $\text{Spec}(k[T_1, \dots, T_n])$  is the affine space  $\mathbb{A}^n$  over  $k$ . More generally, an affine variety over a field  $k$  is an affine scheme  $\text{Spec}(R)$ , where the ring  $R$  is a finitely generated  $k$ -algebra.

3) For any  $f \in R$ , then  $(D(f), \mathcal{O}_{X|D(f)})$  is an affine scheme. Indeed, The rings homomorphism

$$R \rightarrow R_f$$

induces a continuous map

$$h : \text{Spec}(R_f) \rightarrow \text{Spec}(R)$$

which is a homeomorphism onto its image  $D(f)$  (see proposition 2.2.5). Moreover,  $h^\#$  is an isomorphism. In fact : For any  $Q \in \text{Spec}(R_f)$

$$h_Q^\# : R_{h(Q)} \rightarrow (R_f)_Q$$

since  $f \notin Q \cap R$ . Thus

$$(D(f), \mathcal{O}_{X|D(f)}) \simeq (\text{Spec}(R_f), \mathcal{O}_{\text{Spec}})$$

- 4) If  $(X, \mathcal{O}_X)$  is an affine scheme,  $V \subseteq X$  an open subset and if we set  $\mathcal{O}_V := \mathcal{O}_{X|V}$  then  $(V, \mathcal{O}_V)$  is not necessarily an affine scheme as well (see [9], 4.1).

Now, we come to the general definition of a **scheme** :

**Definition 2.3.3** A **scheme** is a locally ringed space  $(X, \mathcal{O}_X)$  such that every point  $x$  in  $X$  has an open neighbourhood  $U$ , which is **isomorphic** to an **affine scheme** as a locally ringed space. For each point  $x$  of a **scheme**  $X$ , one defines its residue field  $k(x)$  as the quotient of the **local ring**  $\mathcal{O}_{X,x}$ , by its **maximal ideal**  $\mathfrak{m}_x$ .

**Remarks 2.3.1** 1) Equivalently,  $X$  is a **scheme** if there exists an open covering  $\{U_i\}_{i \in I}$  of  $X$  such that  $(U_i, \mathcal{O}_{X|U_i})$  is isomorphic to an **affine scheme**  $(\text{Spec}(R_i), \mathcal{O}_{\text{Spec}(R_i)})$  for some rings  $R_i$ .

- 2) We say that an open subset  $U$  of a scheme  $(X, \mathcal{O}_X)$  is **affine** if  $(U, \mathcal{O}_{X|U})$  is an affine scheme.

**Proposition 2.3.2** Any scheme has a basis of **affine** open subsets.

**Proof.** Let  $X$  be a scheme. By remarks 2.3.1, there exists an open covering  $\{U_i\}_{i \in I}$  of  $X$  such that  $(U_i, \mathcal{O}_{X|U_i})$  is an affine scheme, i.e. For any  $i \in I$  there is a ring  $R_i$ , a homeomorphism

$$\psi : U_i \longrightarrow \text{Spec}(R_i)$$

and isomorphism

$$\psi_i : \mathcal{O}_{\text{Spec}(R_i)} \longrightarrow \psi_i^*(\mathcal{O}_{X|U_i})$$

For each  $i$ , we know that  $\{D(f_i) \subseteq \text{Spec}(R_i) \mid f_i \in R_i\}$  is a basis for the topology of  $\text{Spec}(R_i)$  (see theorem 2.2.1). Moreover, these  $D(f_i)$  again define affine schemes by examples 2.3.1 3)

$$(D(f_i), \mathcal{O}_{\text{Spec}(R_i)|D(f_i)}) \simeq (\text{Spec}(R), \mathcal{O}_{\text{Spec}(R)}).$$

We pose  $V_i := \psi^{-1}(D(f_i)) \subseteq U_i$ , so that

$$(V_i, \mathcal{O}_{X|V_i}) \simeq (D(f_i), \mathcal{O}_{\text{Spec}(R_i)|D(f_i)})$$

is an affine schemes and  $\mathcal{B}_i := \{V_i \subseteq U_i \mid f_i \in R_i\}$  is a basis of the topology on  $U_i \subseteq X$ . Then  $\mathcal{B} = \bigcup_{i \in I} \mathcal{B}_i$  is a basis of the topology of  $X$  consisting of affine open subsets. It esay to check that for any  $W$  open of  $X$ , we have  $W = \bigcup_{ij} D(f_{ij})$ .

We now describe the morphisms between schemes.

## Morphisms of Schemes

**Definition 2.3.4** A morphism of **schemes** is just a morphism of the underlying **locally ringed** spaces.

**Remarks 2.3.2** 1) Observe that if  $f : Z \longrightarrow X$  is a morphism of **schemes**, then for each  $z \in Z$ , with image  $x = f(z)$ , there is an induced **homomorphism**  $\mathcal{O}_{X,x} \longrightarrow \mathcal{O}_{Z,z}$ , hence also a **homomorphism** between the **residue fields**  $k(x) \longrightarrow k(y)$ .

- 2) For  $x \in X$ , by proposition 2.3.1 we have a natural isomorphism  $\mathcal{O}_{X,x} = R_P$ . Moreover, we have  $\mathfrak{m}_x = PR_P$ , and  $k(x) = R_P/PR_P$ .

- 3) The **schemes** form a category (is a full subcategory of the category of **locally ringed spaces**), we shall denote by  $\text{Sch}$ .

- 4) We shall denoted by  $\text{ASch}$  the category of **affine schemes**.

**Theorem 2.3.2** There is an equivalence of categories

$$\begin{array}{ccc} \text{Spec} : (\mathcal{R}\text{ing})^{op} & \longrightarrow & \text{ASch} \\ R & \longmapsto & (\text{Spec}(R), \mathcal{O}_{\text{Spec}(R)}) \end{array}$$

**Proof.** It suffices to show that the  $\text{Spec}$  is fully faithful.

Let  $R, T$  two rings. We define two maps :

\* The map

$$\begin{array}{ccc} \chi : \text{Hom}_{\text{rings}}(R, T) & \longrightarrow & \text{Hom}((Z, \mathcal{O}_Z), (X, \mathcal{O}_X)) \\ \psi & \longmapsto & (\psi^*, (\psi^*)^\sharp) \end{array}$$

is a bijection (see corollary 2.3.1).

\* Let  $X = \text{Spec}(R), Z = \text{Spec}(T)$

$$\begin{array}{ccc} \Psi : \text{Hom}_{\text{ASch}}(Z, X) & \longrightarrow & \text{Hom}_{\text{Rings}}(R, T) \\ (f, f^\sharp) & \longmapsto & f^\sharp(X) \end{array}$$

With  $f^\sharp : \mathcal{O}_X(X)(= R) \longrightarrow \mathcal{O}_Z(Z)(= T)$ .

\* It is easy to see  $\chi \circ \Psi = \text{id}$ , and using theorem 2.3.1 iii), we conclude that  $\Psi \circ \chi = \text{id}$ .

### Relative schemes

**Grothendieck** has also introduced the relative viewpoint, whose idea is to study morphisms of schemes and how they behave instead of studying a scheme by itself.

**Definition 2.3.5** i) Let  $S$  be a (fixed) scheme. An  $S$ -scheme (or scheme over  $S$ ) is a scheme  $X$ , equipped with a morphism  $f : X \longrightarrow S$ .

ii) A morphism from  $(X, f : X \longrightarrow S)$  to  $(Y, g : Y \longrightarrow S)$  is a morphism of schemes  $h : X \longrightarrow Y$  such that the following diagram

$$\begin{array}{ccc} X & \xrightarrow{h} & Y \\ & \searrow f & \swarrow g \\ & S & \end{array}$$

is commutative.

**Remarks 2.3.3** 1) The schemes over  $S$  form a category  $\text{Sch}/S$ , and the set of morphisms as defined above is denoted by  $\text{Hom}_S(X, Y)$ .

2) The morphism  $h$  is called also  $S$ -morphism.

3) If  $R$  is a ring, we will say  $X$  is a scheme over  $R$  if  $X$  is a scheme over  $\text{Spec}(R)$ .

**Examples 2.3.2** 1) Let  $S$  be a scheme and  $X$  with  $f : X \longrightarrow S$  an  $S$ -scheme. Viewing  $S$  as an  $S$ -scheme with  $\text{id} : S \longrightarrow S$ . The  $S$ -morphism  $f$  is called an  $S$ -section.

2) Every **affine scheme** is a scheme over  $\mathbb{Z}$ . In fact : For any ring  $R$ , we have the natural map

$$\begin{array}{ccc} \phi : \mathbb{Z} & \longrightarrow & R \\ n & \longmapsto & n \cdot 1 \end{array}$$

3) An **affine variety**  $X$  over  $k$  comes with an inclusion  $k \longrightarrow k[X]$ . Applying  $\text{Spec}$  to this map, we see that the scheme associated any affine variety is a scheme over  $k$ .

Now, we come to special classes of morphisms.

## Open subschemes and closed subschemes

**Definition 2.3.6** i) An open **subscheme**  $U$  of a scheme  $X$  is an open subset, equipped with the restriction of the sheaf  $\mathcal{O}_X$  to  $U$ .

ii) An **open immersion** is a morphism of schemes  $X \rightarrow Y$  which induces an isomorphism from  $X$  to an **open subscheme** of  $Y$ .

The notion of **closed subscheme** is more complicated, because you have to define the **locally ringed** space structure on the closed subset, and there is no canonical one. First we have to define **closed immersions**.

**Definition 2.3.7** A **closed immersion** is a morphism  $f : X \rightarrow Y$  of schemes such that :

- i)  $f$  induces a **homeomorphism** (a **bicontinuous** map ) from  $X$  to a closed subset of  $Y$ .
- ii) The map of sheaves  $f^\# : \mathcal{O}_Y \rightarrow f_*\mathcal{O}_X$  is surjective (sens of definition 2.1.5).

**Example 2.3.1** Let  $R$  be a ring and  $J$  an ideal of  $R$ . Let  $X = \text{Spec}(R)$  and  $Z = \text{Spec}(R/J)$ . By proposition 2.2.4  $\pi^* : Z \rightarrow X$  is a **homeomorphism** from  $Z$  to  $V(J)$ , and  $(\pi^*)^\# : \mathcal{O}_X \rightarrow \pi_*\mathcal{O}_Z$  is surjective because it is surjective on the stalks.

**Definition 2.3.8** (**closed subscheme**) Let  $X$  be a scheme. A closed subscheme of  $X$  is an **equivalence class** of closed immersions into  $X$ .

**Remark 2.3.3** More precisely, A **closed subscheme** of a scheme  $X$  is a scheme  $Z$ , equipped with a **closed immersion**  $i : Z \rightarrow X$ , where one identifies the pairs  $(Z, i)$  and  $(Z', i')$  if there exists an **isomorphism** of schemes  $h : Z \rightarrow Z'$  such that the following diagram

$$\begin{array}{ccc} X & \xrightarrow{h} & Z' \\ \uparrow i & \nearrow i' & \\ Z & & \end{array}$$

is commutative

**Example 2.3.2**  $\text{Spec}(R/J)$  is a **closed subscheme** of  $\text{Spec}(R)$  with underlying topological space  $V(J)$ .

## Gluing schemes

Given a family  $\{X_i\}_{i \in I}$  of schemes indexed by a set  $I$ . In each of the schemes  $X_i$  we are given a collection of open subschemes  $X_{ij}$ , where the indices  $i$  and  $j$  run through  $I$ .

**Notation.** Let  $X_{ij} \subseteq X_i$  be open subschemes, and  $\delta_{ij} : X_{ij} \rightarrow X_{ji}$  isomorphisms of schemes for all  $i, j \in I$ . We require

- i)  $\delta_{ii} = id$ .
- ii)  $\delta_{ij}(X_{ij} \cap X_{ik}) = X_{ji} \cap X_{jk}$ .
- iii)  $\delta_{ik} = \delta_{ik} \circ \delta_{ij}$  on  $X_{ij} \cap X_{ik}$ .

**Proposition 2.3.3** Given gluing data  $X_i, \delta_{ij}$  as above, there exists a scheme  $X$  with open immersions  $\delta_i : X_i \rightarrow X$  such that

$$\begin{array}{ccccc} X_{ij} & \hookrightarrow & X_i & & \\ \delta_{ij} \downarrow & & \searrow \delta_i & & \\ X_{ji} & \hookrightarrow & X_j & \xrightarrow{\delta_j} & X \end{array} \quad (2.2)$$



and has the **universal property**: For every scheme  $Z$  and a family of morphisms of schemes  $\eta_i : X_i \longrightarrow Z$  satisfying

$$\begin{array}{ccccc}
 X_{ij} & \hookrightarrow & X_i & & \\
 \delta_{ij} \downarrow & & \searrow \eta_i & & \\
 X_{ji} & \hookrightarrow & X_j & \xrightarrow{\eta_j} & Z
 \end{array} \tag{2.3}$$

then there exists a unique  $\eta : X \longrightarrow Z$  such that

$$\begin{array}{ccc}
 X_i & \xrightarrow{\delta_i} & X \\
 & \searrow \eta_i & \downarrow \eta \\
 & & Z
 \end{array}$$

is commutative.

**Remarks 2.3.4** 1) In (2.2), we have  $\delta_{|X_{ij}} = \delta_{|X_{ji}} \circ \delta_{ij}$ .

2) In (2.3), we have  $\eta_{|X_{ij}} = \eta_{|X_j} \circ \delta_{ij}$ .

**Proof.** Let  $X := \coprod_i X_i / \sim$ , where  $x \in X_i \sim y \in X_j \iff y = \delta_{ij}(x)$ . This makes a topological space  $X$  with open subsets  $X_i \subseteq X$ . We have a sheaf  $\mathcal{O}_{X_i}$  on each  $X_i$ , and we glue them to get  $\mathcal{O}_X$  (see theorem 2.1.4). For more details for the proof we refer the reader to [9, Section 4.3, p.91].

**Example 2.3.3** Let  $X_1 = X_2 = \mathbb{A}_k^1$ ,  $X_{12} = X_{21} = \mathbb{A}_k^1 \setminus \{0\}$ . Write  $X_{12} = \text{Spec}(k[X, X^{-1}])$ ,  $X_{21} = \text{Spec}(k[Y, Y^{-1}])$ . Gluing them by  $X \mapsto Y^{-1}$ , we get the projective line  $\mathbb{P}^1$ .

## 2.3.2 Varieties

The main goal of this section shall be to

- \* describe how **schemes** are a generalization of **varieties**
- \* or, more precisely, how **varieties** are a special case of **schemes**.
- \* or, more precisely, how the category of varieties is a subcategory of that of schemes.
- \* or, to be really precise, how there is a **fully faithful** functor.

$$\tau : \text{Var}(k) \longrightarrow \text{Sch}_k$$

from the category of **varieties** over  $k$  to the category of **schemes** over  $\text{Spec}(k)$ .

If you feel like a **physicist**, you might want to regard this as a way of understanding observables like positions in terms of spectra of certain operators. This point of view is clearly more pronounced in **Alain Connes**<sup>‡</sup> notion of spectral geometry ("**Noncommutative geometry**"), which is to algebraic geometry roughly like **Riemannian geometry** is to topology. In both cases, the starting point is the basic observation that the information contained in ordinary spaces may be encoded in the (**rings** and / or **algebras** of) functions on these spaces.

Recall that from section 1.1 an **affine variety** is defined to be anything that looks like the set of common zeros of a collection of polynomials, and also recall that from section 2.3.1 a scheme is anything that locally looks like the spectrum of some ring.

<sup>‡</sup>**Alain Connes** (French, born 1 April 1947) is a French mathematician, and a theoretical physicist, known for his contributions to the study of operator algebras and noncommutative geometry. He is a professor at the "College de France", IHÉS, Ohio State University and Vanderbilt University. He was awarded the Fields Medal in 1982

**Notation.** Let  $X$  be a topological space and denote by  $t(X)$  the set of nonempty **irreducible closed subsets** of  $X$ . Hence if  $Z \subseteq X$  is closed, then  $t(Z) \subseteq t(X)$ . Moreover  $t$  has the following properties :

- i)  $t(Z_1 \cup Z_2) = t(Z_1) \cup t(Z_2)$  if  $Z_1, Z_2 \subseteq X$  are closed
- ii) For a family of closed subsets  $\{Z_i\}_i$ , we have  $t(\bigcap_i Z_i) = \bigcap_i t(Z_i)$ .

i) and ii) define a topology on the set  $t(X)$  by saying that  $Y \subseteq t(X)$  is closed if and only if  $Y = t(Z)$  for some closed subset  $Z \subseteq X$ .

In addition, a continuous map  $f : X_1 \longrightarrow X_2$  induces a continuous map  $t(f) : t(X_1) \longrightarrow t(X_2)$  given by

$$t(f) : Z \longrightarrow \overline{f(Z)}.$$

$t(f)$  is well-defined since  $Z$  **irreducible**  $\Rightarrow f(Z)$  **irreducible**  $\Rightarrow \overline{f(Z)}$  **irreducible**.

Thus  $t$  defines a functor  $\mathcal{T}op \longrightarrow \mathcal{T}op$ . Furthermore we have a continuous map

$$\begin{aligned} \gamma : X &\longrightarrow t(X) \\ x &\longmapsto \overline{\{x\}} \end{aligned}$$

This map  $\gamma$  is the tool we have to use to add **generic points** in order to construct a **scheme** from a **variety**. We will only sketch the proof of the following theorem. A more detailed proof can e.g. be found in [12].

**Theorem 2.3.3** Let  $k$  be an algebraically closed field. Then there exists a fully faithful functor  $\tau : \text{Var}(k) \longrightarrow \text{Sch}_k$  from the category of **varieties** over  $k$  to the category of **schemes** over  $\text{Spec}(k)$ .

**The idea of the proof :** Let  $X$  be a variety over  $k$  and denote by  $\mathcal{O}_X$  its sheaf of **regular functions**. We set

$$\tau(X) := (t(X), \gamma_* \mathcal{O}_X).$$

One has to show that this is indeed a scheme over  $\text{Spec}(k)$ . One first proves that  $(t(X), \beta_* \mathcal{O}_X)$  is a scheme if  $X$  is an affine variety. Then, by examples 2.3.2, we know that giving a morphism of schemes  $t(X) \longrightarrow \text{Spec}(K)$  is equivalent to endowing the sheaf  $\beta_* \mathcal{O}_X$  with the structure of a vector space over  $k$ . This is done by using theorem 2.3.2 : Since  $\beta^{-1}(t(X)) = X$ , we have

$$\text{Hom}_{\mathcal{S}h}((t(X), \beta_* \mathcal{O}_X), (\text{Spec}(k), \mathcal{O}_k)) \simeq \text{Hom}_{\text{rings}}(k, \beta_*(t(X))) = \text{Hom}_{\text{rings}}(k, \mathcal{O}_X(X)).$$

We define this ring homomorphism  $k \longrightarrow \mathcal{O}_X(X)$  by mapping  $a \in k$  to the constant function  $\lambda_a$  on  $X$ . It follows that  $\tau(X)$  is a scheme over  $\text{Spec}(k)$ . Now if  $X$  and  $Y$  are two varieties, one also needs to check that the natural map induced by  $\tau$

$$\text{Hom}_{\text{var}(k)}(X, Y) \longrightarrow \text{Hom}_{\mathcal{S}h_k}(\tau(Y), \tau(X)).$$

is a bijection, which implies that the functor

$$\text{Var}(k) \longrightarrow \mathcal{S}h_k.$$

The functor  $\tau$  being **fully faithful**, it follows again that we may identify the **category** of **varieties** over  $k$  with a **full subcategory** of the **category** of **schemes** over  $\text{Spec}(k)$  in the case of an algebraically closed field. Thus we may see varieties as being "embedded" into the category of schemes. In particular, that  $\tau(X) \simeq \tau(Y)$  as schemes if and only if  $X \simeq Y$  as varieties.

### New definition of a variety

**Definition 2.3.9** Let  $k$  be an algebraically closed field. We say that a scheme  $X$  over  $\text{Spec}(k)$  is an **affine variety** if it is isomorphic to the spectrum of the **coordinate ring** of an **affine variety**. In other words,  $X = \text{Spec}(R)$ , where  $R$  is a **finitely generated**  $k$ -algebra with no zero divisors.

### Examples 2.3.3 The schemes

- 1)  $\mathbb{A}_{\mathbb{C}}^1 = \text{Spec}(\mathbb{C}[t])$ .
- 2)  $\text{Spec}(\mathbb{C}[X, Y]/(X^2 - Y^3))$  are affine varieties.
- 3)  $\text{Spec}(\mathbb{C}[X, Y]/(XY))$  is not affine variety.

## 2.4 Fiber products and dimension of schemes

### 2.4.1 Fiber products

In classical geometry (The *theory of algebraic varieties*). We know that we can construct the *Cartesian product*  $X \times Y$  of two varieties  $X$  and  $Y$ . The identification  $\mathbb{A}^n \times \mathbb{A}^m = \mathbb{A}_k^{n+m}$  shows that this is a reasonable thing to do. Indeed, If  $X = Z(f_1, \dots, f_r) \subseteq \mathbb{A}_k^n$  and  $Y = Z(g_1, \dots, g_s) \subseteq \mathbb{A}_k^m$  are two *affine varieties*, then their product  $X \times Y$  is the *affine variety*  $Z(f_1, \dots, f_r, g_1, \dots, g_s) \subseteq \mathbb{A}_k^{n+m}$ , and departing from this, the general case is handled by a gluing process. However, with schemes we redefine

$$\mathbb{A}_k^n = \text{Spec}(k[T_1, \dots, T_n])$$

and the *cartesian product* no longer works even as sets!

We have to understand what the product really means. Let us start with sets  $X, Y$ , the product is a new set  $X \times Y$  with projections  $\pi_1 : X \times Y \rightarrow X$  and  $\pi_2 : X \times Y \rightarrow Y$  which is universal in the sense that given any other set  $Z$  with projections  $f_1 : Z \rightarrow X, f_2 : Z \rightarrow Y$ , we have a unique map  $\phi : Z \rightarrow X \times Y$ , namely  $\phi(z) = (f_1(z), f_2(z))$ , such that

$$\begin{array}{ccc} Z & \xrightarrow{f_2} & Y \\ f_1 \downarrow & \searrow \phi & \uparrow \pi_2 \\ X & \xleftarrow{\pi_1} & X \times Y \end{array}$$

commutes. This can be used to define the *product* in any *category*. Note that there is no guarantee that the product exists, but it will be unique up to isomorphism if it does.

In this subsection, we will consider a vast generalization of this. For any scheme  $S$  and any two  $S$ -schemes  $X \rightarrow S$  and  $Y \rightarrow S$  we will construct a new scheme, denoted  $X \times_S Y$ , equipped with projection morphisms  $\pi_X : X \times_S Y \rightarrow X$  and  $\pi_Y : X \times_S Y \rightarrow Y$  satisfying a certain *universal property*.

Let  $\mathcal{C}$  be category and  $S$  be a fixed object in  $\mathcal{C}$ .

**Definition 2.4.1** A *product* of  $X, Y \in \mathcal{C}$  (if it exists) is an object  $X \times Y \in \mathcal{C}$  with morphisms  $\pi_X, \pi_Y$  to  $X, Y$ . For any  $Z \in \mathcal{C}$  with morphisms we have to  $X, Y$  we have

$$\begin{array}{ccc} Z & & Y \\ & \searrow \exists \text{ unique} & \uparrow \pi_Y \\ & X \times Y & \\ & \downarrow \pi_X & \\ & X & \end{array}$$

**Example 2.4.1** For  $\mathcal{C} = \text{Set}$ ,  $X \times Y = \{(x, y) \in X \times Y \mid x \in X, y \in Y\}$  is the usual products of sets.

**Definition 2.4.2** (*Fiber product*) The fiber product of  $f : X \rightarrow S, g : Y \rightarrow S$  (if it exists) is an object  $X \times_S Y \in \mathcal{C}$  with morphism  $\pi_X, \pi_Y$  to  $X, Y$ . For any  $Z \in \mathcal{C}$  with morphisms  $\psi_1, \psi_2$  to  $X, Y$  (commuting with  $f, g$ ) we have

$$\begin{array}{ccccc} Z & & & & Y \\ & \searrow \exists \text{ unique} & & \uparrow \pi_Y & \\ & X \times_S Y & & & \\ & \downarrow \pi_X & & \downarrow g & \\ & X & \xrightarrow{f} & S & \end{array}$$

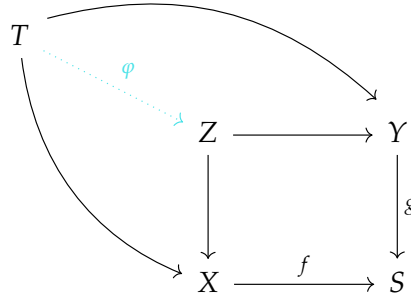
**Remarks 2.4.1**

- 1) We note the unique morphism  $Z \longrightarrow X \times_S Y$  by  $(\psi_1, \psi_2)_S$ .
- 2) We call  $\pi_X : X \times_S Y \longrightarrow X$  the **first projection**, and  $\pi_Y : X \times_S Y \longrightarrow Y$  the **second projection**.

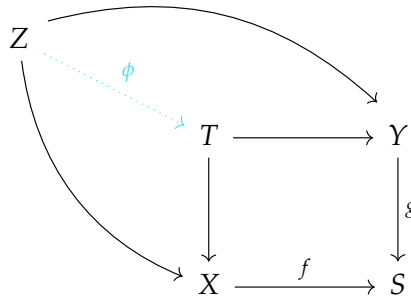
**Example 2.4.2** For sets or topological spaces  $X \times_S Y = \{(x, y) \in X \times Y \mid f(x) = g(y) \in S\}$ .

**Theorem 2.4.1** The **fiber product**  $X \times_S Y$  is unique if it exists. In other words, if  $Z$  and  $T$  are two fiber products satisfying the above **characteristic property**, then  $Z$  and  $T$  are canonically isomorphic.

**Proof.** Let  $Z$  and  $T$  be two fiber products satisfying the above characteristic property. In particular  $T$  comes together with morphisms to  $X$  and  $Y$ . As  $Z$  is a **fiber product**, we get a morphism  $\varphi : T \longrightarrow Z$



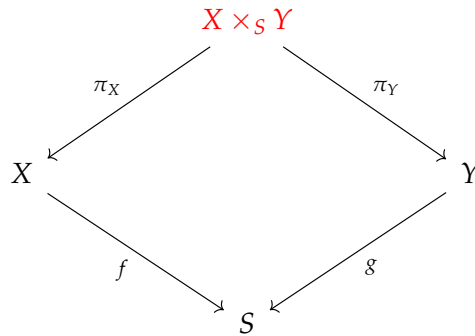
So that this diagram commutes. By symmetry we get a morphism  $\phi : Z \longrightarrow T$  as well. The diagram



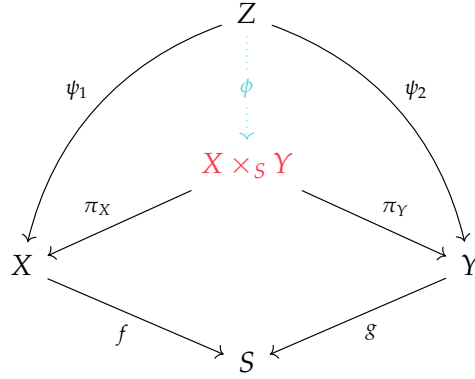
is then commutative by construction. But the same diagram is commutative too if we replace  $\varphi \circ \phi$  by  $\text{id}_Z$ . By uniqueness of a fiber product it follows that  $\varphi \circ \phi = \text{id}_Z$ . Moreover, by symmetry  $\phi \circ \varphi = \text{id}_T$ . So  $Z$  and  $T$  are canonical isomorphic.

In the same analogy, the **fiber product** is defined in the category of schemes i.e we take  $\mathcal{C} = \text{Sh}$ . This is the following definition :

**Definition 2.4.3** Let  $X, Y, S$  be schemes with morphisms  $f : X \longrightarrow S$ , and  $g : Y \longrightarrow S$ . A product of  $X$  and  $Y$  over  $S$  is a scheme  $X \times_S Y$  with morphisms



along with the **universal property** that for any scheme  $Z$  with morphism  $\psi_1, \psi_2$  to  $X, Y$  such that  $f \circ \psi_1 = g \circ \psi_2$ , there exists a unique morphism  $\phi : Z \longrightarrow X \times_S Y$  such that  $\psi_1 = \pi_X \circ \phi$  and  $\psi_2 = \pi_Y \circ \phi$



**Remark 2.4.1** The scheme  $X \times_S Y$  is unique (see theorem 2.4.1).

**Proposition 2.4.1** **Fibre products** exist in the category of **schemes**.

**Proof.** See [12, Theorem 3.3, p.87].

**Consequence. 2.4.1** If  $X = \text{Spec}(A)$ ,  $Y = \text{Spec}(T)$  and  $S = \text{Spec}(R)$ . Then  $f, g$  make  $A$  and  $T$  into  $R$ -algebras, and  $X \times_S Y = \text{Spec}(A \otimes_R T)$

**Remark 2.4.2** Observe that if  $S \subseteq T$  is an open subscheme, then  $X \times_T Y = X \times_S Y$  as if  $j : S \longrightarrow T$  is the inclusion, then  $f \circ \psi_1 = g \circ \psi_2$  if and only if  $j \circ f \circ \psi_1 = j \circ g \circ \psi_2$ . Also observe that if  $V \subseteq X$  be an open, then  $U \times_S Y = \pi_X^{-1}(V) \subseteq X \times_S Y$ . Moreover,  $U \times_S Y$  is an open subscheme of  $X \times_S Y$ .

**Proposition 2.4.2** Let  $f : X \longrightarrow S$  and  $g : Y \longrightarrow S$  be morphisms of schemes. Let  $X \times_S Y$  the **fibre product**. Suppose that  $U \subseteq S$ ,  $V \subseteq X$ ,  $W \subseteq Y$  are opens subschemes such that  $f(V) \subseteq U$  and  $g(W) \subseteq U$ . Then the canonical morphism  $V \times_U W \longrightarrow X \times_S Y$  is an open immersion which identifies  $V \times_U W$  with  $\pi_X^{-1}(V) \cap \pi_Y^{-1}(W)$ .

**Proof.** Let  $Z$  be a scheme. Suppose  $\phi_1 : Z \longrightarrow V$  and  $\phi_2 : Z \longrightarrow W$  are morphisms such that  $f \circ \phi_1 = g \circ \phi_2$  as morphisms into  $U$ . Then they agree as morphisms into  $S$ . By the universal property of fibre product we get a unique morphism  $\phi : Z \longrightarrow X \times_S Y$ . Moreover,  $\phi$  has image contained in the open  $\pi_X^{-1}(V) \cap \pi_Y^{-1}(W)$ . Thus  $\pi_X^{-1}(V) \cap \pi_Y^{-1}(W)$  is a **fibre product** of  $V$  and  $W$  over  $U$ . The result follows from the uniqueness of **fibre product**.

### Basic properties of the fibre product

**Proposition 2.4.3** Let  $X, Y$  and  $Z$  be schemes over  $S$ . Then :

- i) (**Reflectivity**)  $X \times_S S \simeq X$ .
- ii) (**Symmetry**)  $X \times_S Y \simeq Y \times_S X$ .
- iii) (**Associativity**)  $(X \times_S Y) \times_S Z \simeq X \times_S (Y \times_S Z)$ .

If  $S'$  is a scheme over  $S$  and we assume that  $Y$  is as well a scheme over  $S'$ , then

- iv) (**Transitivity**)  $X \times_S S' \times_{S'} Y \simeq X \times_S Y$ , where  $X \times_S S'$  is a scheme over  $S'$  via the projection onto  $S'$  and  $Y$  is a scheme over  $S$  via the map  $S' \longrightarrow S$ .
- v) Let  $f_1 : X_1 \longrightarrow X$  and  $g_1 : Y_1 \longrightarrow Y$  two  $S$ -morphisms. There is a unique morphism  $f_1 \times g_1 : X_1 \times_S Y_1 \longrightarrow X \times_S Y$  such that the two squares in the diagram commute

$$\begin{array}{ccccc}
 X_1 & \xleftarrow{\pi_{X_1}} & X_1 \times_S Y_1 & \xrightarrow{\pi_{Y_1}} & Y_1 \\
 f_1 \downarrow & & \downarrow f_1 \times g_1 & & \downarrow g_1 \\
 X & \xleftarrow{\pi_X} & X \times_S Y & \xrightarrow{\pi_Y} & Y
 \end{array}$$

**Proof.** All there properties follows from the **universal property** of the **fiber product**.

## Fibres

**Definition 2.4.4** Let  $f : X \longrightarrow S$  be a morphism of schemes. Let  $s \in S$  be a point. The *scheme theoretic fibre*  $X_s$  of  $f$  over  $s$ , or simply the fibre of  $f$  over  $s$ , is the scheme fitting in the following fibre product diagram

$$\begin{array}{ccc} X_s = \operatorname{Spec}(k(s)) \times_S X & \longrightarrow & X \\ \downarrow & & \downarrow \\ \operatorname{Spec}(k(s)) & \longrightarrow & S \end{array}$$

The fibre  $X_s$  always as a scheme over  $k(s)$ .

**Proposition 2.4.4** The map  $\pi_X : X_s \longrightarrow X$  is a *homeomorphism* between  $X_s$  and  $f^{-1}(s)$ .

**Proof.** Without loss of generality, we may assume  $S = \operatorname{Spec}(R)$ ,  $X = \operatorname{Spec}(T)$ , and  $f$  is induced by  $\psi : R \longrightarrow T$ . Let  $s \in S$  be defined by the prime ideal  $\mathfrak{q}$ . We have  $k(s) = k(\mathfrak{q}) = R_{\mathfrak{q}}/\mathfrak{q}R_{\mathfrak{q}}$ . So  $X_s = \operatorname{Spec}(k(s)) \times_S X = \operatorname{Spec}(R_{\mathfrak{q}}/\mathfrak{q}R_{\mathfrak{q}} \otimes_R T) = \operatorname{Spec}(T_{\mathfrak{q}}/\mathfrak{q}T_{\mathfrak{q}})$ . Elements of  $\operatorname{Spec}(T_{\mathfrak{q}}/\mathfrak{q}T_{\mathfrak{q}})$  correspond bijectively to primes of  $T$  such that  $\psi(\mathfrak{q}) \subseteq \mathfrak{p}$ , and  $\mathfrak{p}$  does not intersect  $\psi(R \setminus \mathfrak{q})$ . This is equivalent to  $\psi^{-1}(\mathfrak{p}) = \mathfrak{q}$ . So the map  $\pi_X : X_s \longrightarrow f^{-1}(s)$  is a bijection. Since  $\operatorname{Spec}(T_{\mathfrak{q}}/\mathfrak{q}T_{\mathfrak{q}}) \longrightarrow \operatorname{Spec}(T_{\mathfrak{q}}) \longrightarrow \operatorname{Spec}(T)$  are successive embeddings, and  $f^{-1}(s)$  is endowed with the subspace topology,  $\pi_X$  is a *homeomorphism*.

**Remark 2.4.3** We may view a morphism  $f : X \longrightarrow S$  as family of fibers  $X_s$  parameterized by  $s \in S$ .

**Example 2.4.3** Let  $X := \operatorname{Spec}\left(\frac{k[X,Y,Z]}{(ZY-X^2)}\right)$  and  $S := \operatorname{Spec}(k[Z])$ . We have the inclusion  $k[Z] \hookrightarrow \frac{k[X,Y,Z]}{(ZY-X^2)}$  then we get a continuous map  $g : X \longrightarrow S$ . Moreover, we have morphism of schemes  $g^\sharp : X \longrightarrow S$ . Now, we identify the closed point of  $S$  with elements of  $k$ . For  $b \in k$ ,  $b \neq 0$ ,  $X_b$  is the *plane curve* define by  $bY = X^2$ .

## Base change

**Definition 2.4.5** Let  $X, S$ , and  $S'$  be schemes. We define  $X_{S'} := X \times_{S'} S$ , the following diagram

$$\begin{array}{ccc} X_{S'} & \xrightarrow{\pi_X} & X \\ \pi_{S'} \downarrow & & \downarrow g \\ S & \xrightarrow{f} & S' \end{array}$$

is *base change* of  $g$  to  $S$  via  $f$ .

**Remarks 2.4.2** 1) This generalises the idea of changing the "*base coefficients*".

2) Let  $h : Y \longrightarrow S$ , and let  $\psi : X \longrightarrow Y$  be a  $S$ -morphism, there is induced a morphism  $\psi_{S'} = \psi \times \operatorname{id}_{S'}$  from  $X_{S'}$  to  $Y_{S'}$  over  $S'$ , and the following diagram

$$\begin{array}{ccccc} X_{S'} & \xrightarrow{\psi_{S'}} & Y_{S'} & \xrightarrow{\pi_{S'}} & S' \\ \pi_X \downarrow & & \downarrow \pi_Y & & \downarrow g \\ X & \xrightarrow{\psi} & Y & \xrightarrow{h} & S \end{array}$$

is Cartesian.

**Examples 2.4.1** 1) Let  $S = \operatorname{Spec}(R)$ , then  $\mathbb{A}_S^m = \mathbb{A}_{\mathbb{Z}}^m \times_{\operatorname{Spec}(\mathbb{Z})} S$  is base change of  $\mathbb{A}_{\mathbb{Z}}^m (:= \operatorname{Spec}(\mathbb{Z}[T_1, \dots, T_m])) \longrightarrow \operatorname{Spec}(\mathbb{Z})$  to  $X$  via  $S \longrightarrow \operatorname{Spec}(\mathbb{Z})$ .



- 2)  $X = \text{Spec}(\mathbb{R}[T_1, \dots, T_n])$ ,  $S = \text{Spec}(\mathbb{R})$  and  $S' = \text{Spec}(\mathbb{C})$ . We have a map  $S \rightarrow S'$  via  $j : \mathbb{R} \rightarrow \mathbb{C}$ .  $X \times_S S' = \text{Spec}(\mathbb{R}[T_1, \dots, T_n] \otimes_{\mathbb{R}} \mathbb{C} \simeq \mathbb{C}[T_1, \dots, T_n])$ .

**Definition 2.4.6** We say that a property  $\mathcal{P}$  of morphism of schemes is stable under **base change** if for any morphism  $X \rightarrow S$  verifying  $\mathcal{P}$ ,  $X \times_S S'$  also verifies  $\mathcal{P}$  for every  $S$ -scheme  $S'$ .

### 2.4.2 Dimension of schemes

Recall that the **Krull dimension** of a ring  $R$  is defined as the supremum of length of all chains of prime ideals in  $R$ . Recall that the dimension of topological space  $X$  is the supremum of all integers  $d$  such that there exists a chain

$$Z_0 \subsetneq \dots \subsetneq Z_n$$

of distinct irreducible closed subsets (see definition 1.1.5) of  $X$  (see definition 1.2.4).

**Definition 2.4.7** Let  $X$  be a scheme. We define the **dimension** of  $X$  as the dimension of the underlying topological space.

**Proposition 2.4.5** Let  $X = \text{Spec}(R)$  be an affine scheme. The dimension of  $X$  equals the **Krull dimension** of  $R$ .

**Proof.** Let  $Z_0 \subsetneq \dots \subsetneq Z_r$  be a chain of distinct irreducible of  $X$ . By proposition 2.2.8, the  $Z_i$  are the form  $V(P_i)$ , for some  $P_i \in \text{Spec}(R)$ . Moreover, by theorem 2.2.2 i), we have  $j(V(P_i)) = \text{rad}(P_i) = P_i$  for all  $i$ . So for all  $i$ , we have  $Z_i \subsetneq Z_{i+1}$  implies  $j(Z_{i+1}) = P_{i+1} \subsetneq j(Z_i) = P_i$ . Hence, we get a chain of prime ideals of  $R$  such that

$$P_r \subsetneq \dots \subsetneq P_0.$$

Let  $Q_i = P_{r-i}$ , so

$$Q_0 \subsetneq \dots \subsetneq Q_r.$$

Hence  $\dim(X) \leq \dim(R)$ . Now, let  $P_0 \subsetneq \dots \subsetneq P_n$  be a chain of prime ideals of  $R$ . We applying  $V(\cdot)$ , we get a chain of irreducible closed subset of  $X$

$$V(P_n) \subsetneq \dots \subsetneq V(P_0).$$

Set  $Z_i = V(P_i)$ , then we get a chain

$$Z_0 \subsetneq \dots \subsetneq Z_n$$

of irreducible closed subsets of  $X$ . Hence  $\dim(R) \leq \dim(X)$ .

**Remark 2.4.4** Recall that, if  $R$  is a Noetherian ring, then  $\dim(R[T]) = \dim(R) + 1$ .

**Examples 2.4.2** 1) If  $R$  is a Noetherian ring. The dimension of  $\mathbb{A}_R^m = \text{Spec}(R[T_1, \dots, T_n])$  equals  $m + \dim(R)$ .

2)  $\dim(\text{Spec}(\mathbb{Z})) = 1$ . all maximal chain have the form  $V(P) \subsetneq V(0) = \text{Spec}(\mathbb{Z})$ .

3) If  $k$  is a field we have  $\dim(k) = 0$ . So  $\dim(\text{Spec}(k)) = 0$ .

**Properties 2.4.1** Let  $X$  be a scheme.

i) If  $Y \subseteq X$  be an open or a closed subscheme, then  $\dim(Y) \leq \dim(X)$ .

ii) By proposition 2.3.2 we have  $X = \bigcup_{i \in I} \text{Spec}(R_i)$ , then  $\dim(X) = \sup_i (\dim(\text{Spec}(R_i)))$  (see proposition 1.2.1 i)).

## Codimension

Let  $X$  be a topological space, and let  $Z \subseteq X$  be an irreducible closed subset of  $X$ .

\* The codimension  $\text{codim}(Z, X)$  of  $Z$  is defined to be

$$\sup\{m \mid \exists Z = Z_0 \subsetneq \cdots \subsetneq Z_m, \text{ such the } Z_i \text{ are irreducible closed}\}.$$

\* If  $Z$  is an arbitrary closed subset, we define its codimension as

$$\inf\{\text{codim}(Z', X) \mid Z' \subseteq Z \text{ irreducible and closed}\}.$$

By the correspondence between **closed subsets** and **prime ideals** (see theorem 2.2.2), the codimension of  $V(P)$  in  $\text{Spec}(R)$  is the height of the prime in  $R$ .

**Proposition 2.4.6** Let  $X$  be scheme, let  $x \in X$  be a point. Set  $Z = \overline{\{x\}}$ . Then  $\dim(\mathcal{O}_{X,x}) = \text{codim}(Z, X)$

**Proof.** Let  $Z \subsetneq Z_1 \subsetneq \cdots \subsetneq Z_r$  be a chain of distincts irreducible closed, then for any open neighborhood  $V$  of  $x$  the generic points  $y_1, \dots, y_r$  the  $Z_i$  are contained in  $V$ . We can assume that  $V = \text{Spec}(R)$  is an affine open of  $x$  (see proposition 2.3.2), then the generic points correspond to prime ideals  $P_r \subsetneq \cdots \subsetneq P_x$  in  $R$ . Taking the supremum gives  $\dim(\mathcal{O}_{X,x}) = \text{codim}(Z, X)$ .

## 2.5 Local and global properties of schemes

In this section, we survey some of the main geometric properties of schemes.

### 2.5.1 Noetherian schemes

**Definition 2.5.1** i) A scheme  $X$  is called **locally Noetherian** if  $X$  admits an affine open covering  $X = \bigcup_{i \in I} X_i$  such that  $\mathcal{O}_X(X_i)$  is **Noetherian** ring for all  $i$ .

ii) A scheme  $X$  is called **Noetherian** if it's **compact** and **locally Noetherian**.

**Remarks 2.5.1** 1) Recall that  $X$  is **compact** if every open covering of  $X$  has a finite subcovering.

2) Recall from lemma 2.2.2, for any commutative ring  $\text{Spec}(R)$  is compact. So an affine scheme is compact.

3) From the definition it follows that a general scheme is **Noetherian** if and only it can be covered by finitely many open affines  $\text{Spec}(R_i)$  where each  $R_i$  is **Noetherian**.

**Lemma 2.5.1** Let  $R$  be a ring and  $S$  is an multiplicatively closed subset of  $R$ . Then  $S^{-1}R$  is **Noetherian** ring.

**Proof.** See [3, Proposition 7.3, p.80].

**Theorem 2.5.1** Let  $X$  be a scheme. Then  $X$  is **locally Noetherian** if and only if for any open subset  $U$  of  $X$ , which is isomorphic to an affine scheme  $(\text{Spec}(R), \mathcal{O}_{\text{Spec}})$  as locally ringed space, the ring  $R$  is **Noetherian**.

**Proof.** By simple logical reductions using lemma 2.5.1 and proposition 2.2.6, the statement of the theorem can be shown to be equivalent to the following statement of commutative algebra. Let  $R$  be a ring, let  $g_1, \dots, g_r \in R$  be such that  $1 \in (g_1, \dots, g_r)$  i.e  $R = (g_1, \dots, g_r)$ . If  $R_{g_i}$  is Noetherian for all  $i$ , then  $R$  is Noetherian. This is what we shall prove.

Let  $J \subseteq R$  be an ideal, let  $\psi_i : R \longrightarrow R_{g_i}$  be the natural homomorphism. Then we have

$$J = \bigcap_{i \in \{1, \dots, r\}} \psi_i^{-1}(\psi_i(J)R_{g_i}) \quad (2.4)$$

where  $\psi_i(J)R_{g_i}$  is an ideal in  $R_{g_i}$  generated by  $\psi_i(J)$ . Indeed, for all  $i \in \{1, \dots, r\}$ , and  $x \in J$  we have

$$\begin{aligned} \psi_i(x) \in \psi_i(J)R_{g_i} &\Rightarrow x \in \psi_i^{-1}(\psi_i(J)R_{g_i}) \\ &\Rightarrow x \in \bigcap_{i \in \{1, \dots, r\}} \psi_i^{-1}(\psi_i(J)R_{g_i}) \\ &\Rightarrow J \subseteq \bigcap_{i \in \{1, \dots, r\}} \psi_i^{-1}(\psi_i(J)R_{g_i}). \end{aligned}$$

Conversely, let  $c \in \bigcap_{i \in \{1, \dots, r\}} \psi_i^{-1}(\psi(J)R_{g_i})$ . For  $i \in \{1, \dots, r\}$ , let  $a_i \in R$  and  $n_i \in \mathbb{N}$  be such that  $\psi_i(c) = \frac{a_i}{g_i^{n_i}}$ , where  $a_i \in J$ . We can assume that all  $n_i$  are equal to one  $n \in \mathbb{N}$ . There is one  $m \in \mathbb{N}$  such that

$$g_i^m (g_i^n c - a_i) = 0$$

Hence  $g_i^{n+m} \in J$ . Now, from the assumption that  $(g_1, \dots, g_r) = R$ , and by proposition 2.2.1 5) we seen that there are element  $b_i \in R$  such that

$$\sum_i b_i g_i^{m+n} = 1$$

Thus  $c \in J$ . Now consider an ascending chain of ideals of  $R$

$$J_1 \subseteq J_2 \subseteq \dots$$

For all  $i \in \{1, \dots, r\}$

$$\psi_i(J_1)R_{g_i} \subseteq \psi_i(J_2)R_{g_i} \subseteq \dots \quad (2.5)$$

is an ascending chain of ideals of  $R_{g_i}$ , which must become stationary because  $R_{g_i}$  is **Noetherian**, since there are only finite many  $R_{g_i}$  we can find  $l > i$  ( $\forall i \in \{1, \dots, r\}$ )  $\psi_i(J_l)R_{g_i} = \psi_i(J_{l+1})R_{g_i} = \dots$ . But then by (2.5)  $J_l = \bigcap_{i \in \{1, \dots, r\}} \psi_i^{-1}(\psi_i(J_l)) = \bigcap_{i \in \{1, \dots, r\}} \psi_i^{-1}(\psi_i(J_{l+1})) = J_{l+1} = \dots$ . We conclude that  $J_1 \subseteq J_2 \subseteq \dots$  is eventually stationary. Hence  $R$  is **Noetherian**.

**Proposition 2.5.1** Let  $R$  be a ring. Then  $\text{Spec}(R)$  is **Noetherian** if and only if  $R$  is **Noetherian**.

**Proof.**  $\Rightarrow$ ) You should think of this as a purely algebraic fact : Refining the cover, we assume that each  $X_i = R_{f_i}$ . By proof of theorem 2.5.1  $R$  is Noetherian provided that each localization  $R_{f_i}$  is Noetherian, and  $1 \in (f_1, \dots, f_r)$ .

$\Leftarrow$ ) It follows from theorem 2.2.3.

**Proposition 2.5.2** Let  $X$  be a scheme, we assume that  $X$  is a **Noetherian**, then its underlining topological space is **Noetherian**.

**Proof.** Since  $X$  is compact, then  $X = \bigcup_{i=1}^r X_i$ , where  $(X_i, \mathcal{O}_{X_i}) \simeq (\text{Spec}(R_i), \mathcal{O}_{\text{Spec}(R_i)})$  and for a descending chain

$$Z_1 \supseteq Z_2 \supseteq \dots \quad (2.6)$$

gives a chain for all  $i \in \{1, \dots, r\}$

$$Z_1 \cap X_i \supseteq Z_2 \cap X_i \supseteq \dots \quad (2.7)$$

of closed subsets in  $X_i$ . Since  $X_i$  are Noetherian, so there exists  $m_i$  such that for  $j > m_i$ , we have  $X_i \cap Z_j = X_i \cap Z_{j+1}$ , whence  $Z_j = Z_{j+1}$  for  $j > \max\{m_1, \dots, m_r\}$ .

**Proposition 2.5.3** Let  $X$  be a **locally Noetherian** scheme. Then any closed or open subscheme of  $X$  is also **locally Noetherian**.

**Proof.** Without loss of generality, we may assume that  $X$  is Noetherian. Let  $(X_i)_{i \in I}$  be open subsets of  $X$  such that  $\forall i, X_i = \text{Spec}(R_i)$ , and  $X = \bigcup_{i \in I} X_i$ . Assume that each  $R_i$  is Noetherian.

Let  $Z \subseteq X$  be an open or closed subset, we will show that  $Z \cap X_i$  is Noetherian. Since  $Z \cap X_i$  is a closed or open subset of an affine scheme, we reduce to considering the case where  $X = \text{Spec}(R)$ .

If  $Z$  is open, by theorem 2.2.1, there are elements  $f_1, \dots, f_r \in R$  such that  $Z = \bigcup_{i=1}^r D(f_i) = \bigcup_{i=1}^r \text{Spec}(R_{f_i})$ . Since  $R$  is Noetherian, then by lemma 2.5.1 we have for all  $i$   $R_{f_i}$  are Noetherian, and by proposition 2.5.1 we have  $\text{Spec}(R_{f_i})$  is Noetherian. So  $Z$  is also Noetherian.

If  $Z$  is closed, we have  $Z = V(J)$  for some ideal  $J \subseteq R$ . We know that if  $R$  is Noetherian then  $R/J$  is also Noetherian. So  $\text{Spec}(R/J)$  is Noetherian, and by proposition 2.2.4 we have  $\text{Spec}(R/J)$  is homeomorphic to  $V(J)$ . Hence  $Z$  is Noetherian.

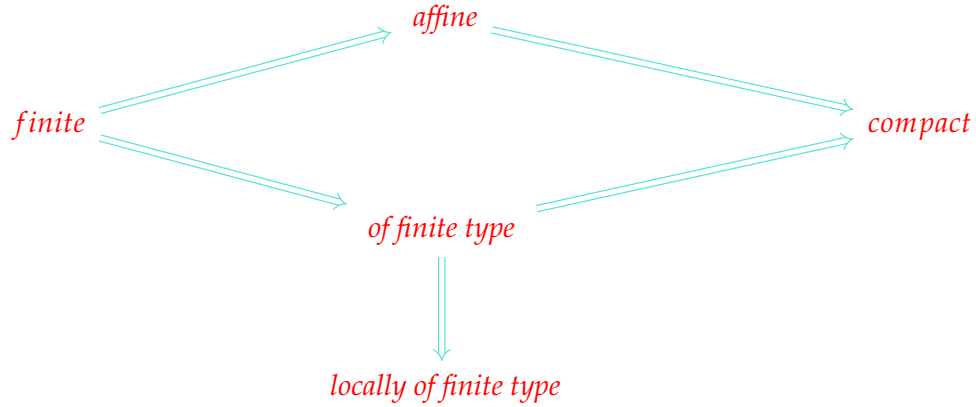
**Definition 2.5.2** Let  $f : X \rightarrow Y$  be a morphism of schemes.

- i)  $f$  is called **locally of finite type** if for every affine open  $U = \text{Spec}(R) \subseteq Y$ ,  $f^{-1}(U) = \bigcup_j V_j$  with each  $V_j = \text{Spec}(A_j)$  affine open subset such that  $A_j$  is finitely generated  $R$ -algebra.

- ii)  $f$  is called **compact** if  $Y = \bigcup_i Y_i$  with  $Y_i$  open affine such that  $f^{-1}(Y_i)$  is **compact**.
- iii)  $f$  is called **of finite type** if  $f$  is **locally of finite type** and **compact**.
- iv)  $f$  is called **finite** if  $Y = \bigcup_i Y_i$  with each  $Y_i = \text{Spec}(R_i)$  affine open for each  $i$   $f^{-1}(Y_i) = \text{Spec}(A_i)$  such that  $A_i$  is a finite  $R_i$ -algebra.
- v)  $f$  is called **affine** if  $Y = \bigcup_i U_i$  with  $U_i = \text{Spec}(R_i)$  affine open subset such that  $f^{-1}(U_i)$  is also **affine**.

**Remarks 2.5.2** 1) Recall that  $R$ -algebra  $A$  is finite if  $A$  is finitely generated as an  $R$ -module.

- 2) **Finiteness** is transitive : The composition of finite morphisms is finite this because finite generation of modules is transitive.
- 3) The base change of a morphism which is locally of finite type is locally of finite type. The same is true for morphisms of finite type (see [29]).
- 4) We have the following implications :



**Examples 2.5.1** 1)  $\text{Spec}(\mathbb{Q}) \rightarrow \text{Spec}(\mathbb{Z})$  is not locally of finite type.

- 2) Let  $R$  be a ring. Then  $\mathbb{A}^n \rightarrow \text{Spec}(R)$ , and  $\mathbb{P}^n \rightarrow \text{Spec}(R)$  are both of finite type.

## 2.5.2 Irreducible schemes

**Definition 2.5.3** A nonempty scheme is **connected** if its underlying topological space is **connected**, i.e cannot be written as a disjoint union of two open sets.

**Remark 2.5.1** Recall that, an element  $r \in R$  of ring, we say that  $r$  is an idempotent if  $r^2 = r$ . Its clear that 0 and 1 are two idempotent of  $R$ .

**Proposition 2.5.4** Let  $X = \text{Spec}(R)$  be an affine scheme. The following assertions are equivalent :

- i)  $X$  is **connected**.
- ii) The only idempotents of  $R$  are 0 and 1.

**Proof.** **i)  $\Rightarrow$  ii)** Assume that  $X$  is connected. If  $R$  contains an idempotent  $r$  such that  $r \neq (1 \text{ and } 0)$ , then we have  $R = r \cdot R \times (1 - r) \cdot R$ .  $rR$  and  $(1 - r) \cdot R$  are both non trivial. Hence  $\text{Spec}(R) = \text{Spec}(rR) \times \text{Spec}((1 - r) \cdot R) \simeq \text{Spec}(rR) \coprod \text{Spec}((1 - r) \cdot R)$ . Since there two closed subsets. So  $X$  is not connected.

**ii)  $\Rightarrow$  i)** Assume that 0,1 are the only idempotent of  $R$ . If  $X$  is not connected, then  $X = X_1 \coprod X_2$  with  $X_i \subsetneq X$  nonempty opens. Since  $\mathcal{O}_X(X) = \mathcal{O}_X(X_1) \times \mathcal{O}_X(X_2)$ . As  $X_i$  nonempty, then  $\mathcal{O}_X(X_i)$  are non trivial. Hence  $(1, 0)$  gives a non trivial idempotent of  $R$ . A contradiction.

**Definition 2.5.4** Let  $X$  be a scheme, we say that  $X$  is **irreducible** if its underlying topological space is **irreducible**.

**Remark 2.5.2** Irreducible  $\Rightarrow$  connected.

**Examples 2.5.2** 1) Let  $k$  be an algebraically closed field.  $\mathbb{A}_k^m = \text{Spec}(k[T_1, \dots, T_n])$  is irreducible.

2)  $X = k[X, Y]$ ,  $Z = V(XY) = V(X) \cup V(Y)$ . Then  $Z$  is not irreducible.

**Proposition 2.5.5** Let  $X = \text{Spec}(R)$  be an affine scheme. Then  $X$  is irreducible if and only if  $N(R)$  is a prime ideal.

**Proof.** See proof of theorem 2.2.4.

**Remarks 2.5.3** 1) Let  $X$  be a topological space and let  $x \in X$ , we say that  $x$  is a **generic point** if  $\overline{\{x\}} = X$  (see definition 2.2.3).

2) Recall that for a topological space  $X$ , an irreducible component of  $X$  is maximal irreducible closed subset of  $X$ .

3) Let  $X$  be a topological space. Let  $x, y \in X$ . Recall that  $y$  is a **specialization** of  $x$  ( $x$  **specializes** to  $y$ ) if  $y \in \overline{\{x\}}$ .

**Examples 2.5.3** 1) Let  $X$  be an affine scheme, and let  $P \in X$ , then  $\overline{P} = V(P)$ . Moreover,  $P$  is only point generic of  $V(P)$ .

2) Let  $R$  be a domain. Then  $(0)$  is only point generic of  $\text{Spec}(R)$ .

**Proposition 2.5.6** Let  $X$  be a scheme. Then :

- i) Every irreducible closed subset of  $X$  has a unique **generic point**.
- ii) For any **generic point**  $x \in X$ ,  $\overline{\{x\}}$  is irreducible component of  $X$ . Moreover, there exists a bijection between the set of **irreducible components** of  $X$  and the set of **generic points** of  $X$ .
- iii) For any  $x \in X$ , there exists a bijection between the set of **irreducible component** of  $\text{Spec}(\mathcal{O}_{X,x})$  and the set of irreducible component of  $X$  contains  $x$ .

**Proof.** i) Let  $Z$  be an irreducible closed of  $X$ . **Case 1** : If  $X$  is affine scheme i.e  $X = \text{Spec}(R)$  for some ring  $R$ . By proposition 2.2.8  $Z$  is irreducible if and only if  $Z$  is of the form  $Z = V(P)$ , for some prime ideal  $P$  of  $R$ . By example 2.5.3,  $P$  is only generic point of  $Z$ .

**Case 2** : If  $X$  is an arbitrary scheme. Let  $x \in X$ , such that  $x \in Z$ , then  $x$  has an affine neighborhood  $V \subseteq Z$ . Since  $Z$  is irreducible then  $Z \cap V \subseteq Z$  is irreducible and dense i.e  $\overline{Z \cap V} = Z$ . Moreover,  $Z \cap V \subseteq V$  is closed and irreducible with  $V$  an affine scheme, its contains a **generic point**  $x_0$ , which gives also a generic point of  $Z$ . If  $y_0$  was a second generic point that  $\overline{\{y_0\}} = Z$ , then  $y_0 \in Z \cap V$  and it follows immediately that  $x_0 = y_0$ .

ii) Let  $Z$  be an irreducible component of  $X$ , and  $x_0 \in Z$  be its **generic point**. Then we claim that  $x_0$  is a generic point of  $X$ , that is no point other than  $x_0$  can specialize to  $x_0$  : if  $y_0$  specialize to  $x_0$  then  $x_0 \in \overline{\{y_0\}}$ , hence  $Z = \overline{\{x_0\}} \subseteq \overline{\{y_0\}}$ . Since  $Z$  is a maximal irreducible closed subset of  $X$ , so  $\overline{\{x_0\}} = \overline{\{y_0\}}$ , hence  $x_0 = y_0$ . This show that  $x_0 \in X$  is a **generic point**. Its easy to check that  $x \mapsto \overline{\{x\}}$  is a bijection.

iii) We may assume that  $X = \text{Spec}(R)$ , with  $x \in X$  corresponds to a prime ideal  $P_x \subseteq R$  By the correspondence between irreducible closed subsets and the prime ideals of  $R$  (see lemma 2.2.3 and proposition 2.2.8). An irreducible component of  $X$  corresponds to a minimal prime ideal of  $R$ . Hence the irreducible components of  $X$  contains  $x$  are in one-to-one correspondence with minimal prime ideals of  $R$  which are contained in  $P_x$ , or still with the minimal prime ideals of  $R_{P_x} = \mathcal{O}_{X,x}$ , that is the irreducible component of  $\text{Spec}(\mathcal{O}_{X,x})$ .

### 2.5.3 Regular schemes

Recall that, a local Noetherian ring  $(R, \mathfrak{m})$  is said to be **regular** if  $\dim(R) = \dim_k(\mathfrak{m}/\mathfrak{m}^2)$ , where  $k := R/\mathfrak{m}$ . Recall that  $R$  is regular if and only if every local ring  $R_P$  of  $R$  is regular. For more details we refer the reader to [3, Theorem 11.22].

**Definition 2.5.5** Let  $X$  be a **locally Noetherian** scheme, and let  $x \in X$  be a point.

- i) We say that  $X$  is **regular** at  $x$ , or  $x$  is regular point of  $X$  if  $\mathcal{O}_{X,x}$  is **regular**.
- ii) We say that  $X$  is **regular** if  $X$  is regular at all points.
- iii) A point  $x \in X$  which is not **regular** is called a **singular** point of  $X$ .
- iv) A scheme that is not **regular** is said to be **singular**.

**Remark 2.5.3** For i) equivalently,  $X$  is regular at  $x$  if there exists an affine open neighbourhood  $U \subseteq X$  of  $x$  such that the rings  $\mathcal{O}_X(U)$  is **Noetherian** and **regular**.

**Proposition 2.5.7** Let  $X$  be a scheme. The following are equivalent :

- i)  $X$  is **regular**.
- ii) For every open  $U \subseteq X$  the ring  $\mathcal{O}_X(U)$  is Noetherian and regular.
- iii) There exists an affine open covering  $X = \bigcup_{i \in I} U_i$  such that each  $\mathcal{O}_X(U_i)$  is Noetherian and regular.
- iv) There exists an affine open covering  $X = \bigcup_i X_i$  such that each open subscheme  $X_i$  is regular.

**Proof.** i)  $\Rightarrow$  ii) Let  $U$  be an open subset of  $X$ . By theorem 2.5.1  $\mathcal{O}_X(U) \simeq (\text{Spec}(R), \mathcal{O}_{\text{Spec}})$  as locally ringed space for some ring  $R$ , and  $R$  is Noetherian ring. By theorem 2.2.3  $\text{Spec}(R)$  is Noetherian. So  $\mathcal{O}_X(U)$  is Noetherian. Let  $x \in X$  such that  $x \in U$ , since  $X$  is regular at  $x$  and by remarks 2.5.3  $\mathcal{O}_X(U)$  is regular.

ii)  $\Rightarrow$  iii) By proposition 2.3.2 then there exists an open covering  $(U_i)_{i \in I}$  of  $X$  such that  $X = \bigcup_{i \in I} U_i$ . By ii) for all  $i \in I$ ,  $\mathcal{O}_X(U_i)$  is Noetherian and regular.

iii)  $\Rightarrow$  iv) Immediate.

iv)  $\Rightarrow$  i) Assume that  $X = \bigcup_j X_j$  with  $X_j$  is regular for all  $j$ . Let  $x \in X$ ,  $\exists j_0$ , then  $X_{j_0}$  is regular at  $x$ . Taking  $U = X_{j_0}$ , we get  $\mathcal{O}_X(U)$  is regular and Noetherian. Hence,  $X$  is regular.

**Remark 2.5.4** If  $X$  is a regular scheme, then every open subscheme is regular.

**Corollary 2.5.1** Let  $X$  be a Noetherian scheme, then  $X$  is regular if and only if  $X$  is regular at all its closed points.

**Proof.** If  $X$  is regular, then  $X$  is regular at all points of  $X$ . In particular,  $X$  is regular at all closed points. Conversely, Note that, as  $X$  is Noetherian any closed subset of  $X$  admits a closed point.

**Definition 2.5.6** Let  $X$  be a locally Noetherian scheme. We denote the set of regular points of  $X$  by  $\mathbf{Reg}(X)$ , and we denote the set of singular points by  $\mathbf{Sing}(X)$ .

**Remark 2.5.5** Let  $X = \text{Spec}(R)$  be a Noetherian affine scheme. Then  $\text{Spec}(R)$  is regular if and only if for all  $P \in \text{Spec}(R)$ ,  $\mathcal{O}_{X,P} \simeq R_P$  is regular if and only if  $R$  is regular.

### 2.5.4 Reduced and integral schemes

#### Reduced schemes

Recall that a ring  $R$  is said to be **reduced** if it has no nilpotent elements, i.e the only **nilpotent** element of  $R$  is 0.  $R$  is called **integral** if for any  $a, b \in R$  such that  $ab = 0$ , then  $a = 0$  or  $b = 0$ .

**Definition 2.5.7** Let  $X$  be a scheme.

- i)  $X$  is called **reduced** at point  $x$ , if the local ring  $\mathcal{O}_{X,x}$  is **reduced**.



ii)  $X$  is called **reduced**, if it's reduced at all points.

**Proposition 2.5.8** Let  $X$  be a scheme. Then  $X$  is reduced if and only if for each nonempty open  $U \subseteq X$ , the ring  $\mathcal{O}_X(U)$  is reduced.

**Proof.** Assume that  $X$  is reduced and let  $U$  be an open of  $X$ , we want to show that  $\mathcal{O}_X(U)$  is reduced ring. Let  $f \in \mathcal{O}_X(U)$  be a section of  $U$  such that  $\exists m \in \mathbb{N}$ ,  $f^m = 0$ , we want to show that  $f = 0$ . The image  $f_x$  of  $f$  in  $\mathcal{O}_{X,x}$  is also nilpotent, by **reducedness** of  $\mathcal{O}_{X,x}$ ,  $f_x = 0$ . Since  $\mathcal{O}_X$  is a sheaf, by definition 2.1.6 i)  $f = 0$ . Conversely, let  $x \in X$  and  $f \in \mathcal{O}_{X,x}$ . Any representative  $(U, f \in \mathcal{O}_X(U))$  of  $f$  is nonzero and hence not nilpotent. So  $f$  is not nilpotent in  $\mathcal{O}_{X,x}$ .

**Remark 2.5.6** Note that a direct limit of **reduced** rings is still **reduced**.

**Proposition 2.5.9** Let  $X = \text{Spec}(R)$  be an affine scheme, then  $X$  is reduced if and only if  $R$  is **reduced**.

**Proof.** If  $X$  is reduced by proposition 2.5.8  $\mathcal{O}_X(X)$  is reduced and by proposition 2.3.1 iii)  $\mathcal{O}_X(X) \simeq R$ . So  $R$  is reduced. Conversely, let  $P \in \text{Spec}(R)$ , show that  $\mathcal{O}_{X,P}$  is reduced. By proposition 2.3.1 iii) we have  $\mathcal{O}_{X,P} \simeq R_P$ , and since any localisation of reduced ring is reduced. In particular the local rings of a reduced ring are reduced. So  $R_P$  is reduced. Hence  $\mathcal{O}_{X,P}$  is reduced.

**Remark 2.5.7** Let  $X$  be an affine scheme i.e  $X = \text{Spec}(R)$  for some ring  $R$ , the scheme  $X_{\text{red}} := \text{Spec}(R/N(R))$  is a reduced ring. Indeed,  $R/N(R)$  is reduced, and by proposition 2.5.9  $\text{Spec}(R/N(R))$  is reduced.

Let  $\pi : R \rightarrow R/N(R)$  be canonical homomorphism, then  $(\pi^*)^\sharp : \text{Spec}(R/N(R)) \rightarrow \text{Spec}(R)$  is a morphism of schemes (see theorem 2.3.1). Moreover,  $(\pi^*)^\sharp : X_{\text{red}} \rightarrow X$  is a closed immersion. Indeed, by corollary 2.2.2  $\pi^*$  is a homeomorphism, and  $(\pi^*)^\sharp$  is surjective. So by definition 2.3.7  $(\pi^*)^\sharp$  is a closed immersion.

**Examples 2.5.4** 1) For  $R = k[X]/(X^n)$ , and  $X = \text{Spec}(R)$ . Then  $X_{\text{red}} = \text{Spec}(k)$ .

2) Let  $X = \text{Spec}(R)$  with  $R = k[T_1, \dots, T_4]/J$ , where  $J = (T_1^2, T_1T_2, \dots, T_1T_4 - T_2T_4)$ , then  $X_{\text{red}} = \text{Spec}(k[T_1, \dots, T_4]/(T_1, T_2)) \simeq \mathbb{A}^2$ .

## Integral schemes

Recall that a ring  $R$  is called be **integral domain** if for any  $a, b \in R$  such that  $ab = 0$ , then  $a = 0$  or  $b = 0$ .

**Definition 2.5.8** Let  $X$  be a scheme.

- i) We say that  $X$  is **integral** at  $x \in X$  if  $\mathcal{O}_{X,x}$  is **integral domain**.
- ii) If  $X$  is **integral** at all points of  $X$ , and  $X$  is irreducible, then we say  $X$  is **integral**.

**Proposition 2.5.10** Let  $X$  be a scheme. Then  $X$  is **integral** if and only if  $\mathcal{O}_X(U)$  is integral domain for every open subset  $U$  of  $X$ .

**Proof.** Assume that  $X$  is integral. Let  $U$  be an open subset of  $X$ , and let  $f, g \in \mathcal{O}_X(U)$  such that  $fg = 0$ . Let  $X_f := \{x \in U \mid f(x) = 0\}$ , and  $X_g := \{x \in U \mid g(x) = 0\}$  ( $f(x)$  is the image of  $f$  in the residual field of  $X$  at  $x$ ).  $X_f$  and  $X_g$  are two closed subsets of  $X$ . Indeed, We only need to check  $X_f$  is closed in  $W$  for any  $W = \text{Spec}(R) \subseteq U$ , we have  $X_f \cap V = V(f)$ , and  $X_g \cap V = V(g)$ . So  $X_f$  and  $X_g$  are closed in  $W$ . By lemma 1.3.1  $X_f$  and  $X_g$  are closed in  $X$ . Moreover,  $X_f \cup X_g = U$ , since  $U$  is irreducible. Then  $X_f = U$  or  $X_g = U$ . Hence  $X_f = U$  or  $X_g = 0$ . By symmetry, we assume that  $X_f = U$ . Now we claim  $f = 0$ . Indeed, we only need to show that  $f|_V = 0$  for any affine open  $V \subseteq U$ . But  $f|_V \in N(\mathcal{O}_X(V))$  which is reduced. So  $f|_V = 0$ . Hence  $f = 0$ . Conversely, assume  $\mathcal{O}_X(U)$  is integral for any nonempty open  $U$  of  $X$ . In particular, all local rings  $\mathcal{O}_{X,x}$  are integral. It remains to check that  $X$  is irreducible. Indeed, otherwise,  $X = X_1 \cup X_2$  with  $X_i$  two closed subsets of  $X$  such that  $X_i \subsetneq X$ . Now, let  $V_i = X \setminus X_i$ ,  $i = 1, 2$  which is open in  $X$ . Moreover, we have  $V_1 \cap V_2 = \emptyset$ . Hence  $\mathcal{O}_X(U_1 \cup U_2) = \mathcal{O}_X(U_1) \times \mathcal{O}_X(U_2)$ . In particular  $\mathcal{O}_X(U)$  with  $U = V_1 \cup V_2$  is not integral. A contradiction.

**Proposition 2.5.11** Let  $X = \text{Spec}(R)$  be an affine scheme, then  $X$  is integral if and only if  $R$  is integral domain.

**Proof.** If  $X$  is integral, then by proposition 2.5.10 we have for any open subset  $U$  of  $X$ ,  $\mathcal{O}_X(U)$  is integral domain. In particular, for  $U = X$  we get  $\mathcal{O}_X(X)$  is integral domain. Since  $\mathcal{O}_X(X) \simeq R$ . So  $R$  is integral domain. Conversely, Assume that  $R$  is integral domain, then  $N(R)$  is a prime ideal. By theorem 2.2.4,  $\text{Spec}(R)$  is irreducible. Now let  $P \in \text{Spec}(R)$ , we have  $\mathcal{O}_{X,P}$  is integral domain because the localization of integral domain is also integral domain. Consequently,  $\text{Spec}(R)$  is integral.

**Example 2.5.1** Let  $Z = \text{Spec}(k[T_1, \dots, T_n])$  be an affine scheme, then  $X$  is integral.

**Proposition 2.5.12** Let  $X$  be a scheme. Then  $X$  is *integral* if and only if it's *reduced*, and *irreducible*.

**Proof.** Assume  $X$  is integral. Clearly it's reduced. If  $X$  is reducible then there exists  $X_1, X_2 \subsetneq X$  two closed subset of  $X$  such that  $X = X_1 \cup X_2$ , take  $U_i = X \setminus X_i$  for  $i = 1, 2$  two disjoint opens subsets. Then  $\mathcal{O}_X(U_1 \cup U_2) = \mathcal{O}_X(U_1) \times \mathcal{O}_X(U_2)$ , which isn't integral domain, since  $(1, 0) \cdot (0, 1) = 0$ . Thus  $X$  is irreducible. Now, assume  $X$  is reduced and irreducible. Let  $U \subseteq X$  be open and assume that  $f, g \in \mathcal{O}_X(U)$  such that  $fg = 0$ . Let

$$X_f = \{x \in U \mid f_x \in \mathfrak{m}_x\}, \text{ and } X_g = \{x \in U \mid g_x \in \mathfrak{m}_x\}$$

For any affine open  $W = \text{Spec}(R) \subseteq U$ , we have

$$(f|_W)_x \in \mathfrak{m}_x \text{ if and only if } x \in V(f|_W).$$

Thus,  $X_f \cap W = V(f)$  and  $X_g \cap W = V(g)$ . So  $X_f$  and  $X_g$  are closed. Moreover, we  $X_f \cup X_g = U$ . But  $X$  is irreducible, so  $U$  is as well (see proposition 1.1.3 ii)). So for example  $X_f = U$ . But then in  $R$ ,  $f$  is in every prime ideal, so  $f$  is nilpotent. So  $f = 0$ . Hence  $X$  is integral.

**Lemma 2.5.2** Let  $X$  be an integral scheme with a generic point  $\epsilon$ . Then :

- i)  $k(X) := \mathcal{O}_{X,\epsilon}$  is a field called the *function field* of  $X$ .
- ii) For any  $U \subseteq X$  open, the natural map  $\mathcal{O}_X(U) \longrightarrow \mathcal{O}_{X,\epsilon}$ , and  $\mathcal{O}_{X,x} \longrightarrow \mathcal{O}_{X,\epsilon}$  are injective.

**Proof.** i) To see that  $\mathcal{O}_{X,\epsilon}$  is a field, we may take an arbitrary nonempty open affine subset  $U = \text{Spec}(R)$  and observe that  $\mathcal{O}_{X,\epsilon} = R_{(0)}$  is the *fractions field* of  $R$ .

- ii) We may replace  $U$  by any nonempty open affine subset, and reduce to the case where  $U = \text{Spec}(R)$  is affine. In this case  $\mathcal{O}_X(U) = R \longrightarrow \text{Frac}(R) = \mathcal{O}_{X,\epsilon}$  is injective.

**Corollary 2.5.2** For  $X$  integral and open subsets  $W \subseteq U \subseteq X$ , the restriction map  $\mathcal{O}_X(U) \longrightarrow \mathcal{O}_X(W)$  is injective.

We say that an element  $f \in k(X)$  is defined (or *regular*) in the point  $x$  if  $f \in \mathcal{O}_{X,x}$ .

**Proposition 2.5.13** Let  $X$  be an integral scheme and let  $f \in k(X)$ . The set  $U_f := \{x \in X \mid f \in \mathcal{O}_{X,x}\}$  where  $f$  is defined, is open.

**Proof.** Let  $x \in U_f$  and let  $V := \text{Spec}(R)$  be an affine neighbourhood of  $x$ . Consider the ideal  $I_f := \{a \in R \mid af \in R\}$ . If  $P$  is a prime in  $R$ , then  $f \in R_P$  if and only if  $I_f \not\subseteq P$  that is,  $V(I_f)$  is the complement of  $U_f \cap \text{Spec}(R)$ .

**Proposition 2.5.14** Let  $X$  be an integral scheme with function field  $k(X)$ . Then

$$\mathcal{O}_X(U) = \bigcap_{x \in U} \mathcal{O}_{X,x} = \{f \in k(X) \mid f \text{ can be represented as } \frac{g}{h}, \text{ where } h(x) \neq 0, \forall x \in U\} \subseteq k(X).$$

**Proof.** We have clearly  $\mathcal{O}_X(U) \subseteq \bigcap_{x \in U} \mathcal{O}_{X,x}$ . Conversely, by the *sheaf condition*, and the injectivity proved in lemma 2.5.2 ii), we may assume that  $U = \text{Spec}(R)$  is an affine open. Then we are reduced to prove that  $R = \bigcap_{P \in \text{Spec}(R)} R_P$ , seen as a subring of  $\text{Frac}(R)$ . Indeed, for  $f \in \text{Frac}(R)$  such that  $f \in \bigcap_{P \in \text{Spec}(R)} R_P$ , then for  $P \in \text{Spec}(R)$ , there exists  $(a_P, b_P) \in R \times (R \setminus P)$  such that  $f = \frac{a_P}{b_P}$ . As  $R$  is *integral domain*, we deduce then  $fb_P \in R$ . If we take  $\{b_P, P \in \text{Spec}(R)\}$ , which generates the unit ideal. So one can find  $c_P \in R$ , almost all zero, such that  $1 = \sum_P c_P b_P$ , so  $f = \sum_P c_P f b_P = \sum_P c_P a_P$ . This gives the result.

**Remark 2.5.8** If  $X = \text{Spec}(R)$ , then

- 1)  $\mathcal{O}_X(D(f)) = \{ \frac{a}{f^m} \mid a \in R, m \geq 0 \} \subseteq \text{Frac}(R)$ .
- 2)  $\mathcal{O}_{X,x} = \{ \frac{f}{g} \mid f, g \in R, g \notin P_x \}$ .

**Examples 2.5.5** 1) The function field of  $\mathbb{A}_k^m = \text{Spec}(k[T_1, \dots, T_m])$  is  $k(T_1, \dots, T_m)$ .

2) The function field of  $\text{Spec}(\mathbb{Z})$  is  $\mathbb{Q}$ .

Let  $X$  be an **integral scheme** of **finite type**. We can study the dimension of  $X$  in terms of the function field:

**Proposition 2.5.15** Let  $X$  be an integral scheme of finite type over field  $K$  with function field  $k(X)$ . Then

- i)  $\dim(X) = \text{tr} \cdot \deg_K(k(X))$ .
- ii) For any open subset  $U$  of  $X$ ,  $\dim(X) = \dim(U)$ .
- iii) If  $Z \subseteq X$  be a closed subset of  $X$ , then

$$\text{codim}(Z, X) = \inf \{ \dim(\mathcal{O}_{X,z}) \mid z \in Z \} \text{ and } \dim(X) = \dim(Z) + \text{codim}(Z, X).$$

In particular, for a closed point  $x \in X$ ,  $\dim(X) = \dim(\mathcal{O}_{X,x})$

**Proof.** i) We may assume that  $X = \text{Spec}(R)$  is affine  $X$ . Since  $X$  is of finite type, then  $R$  is a finitely generated  $K$ -algebra, with the quotient field  $K := k(X)$ . By theorem 1.2.2, we have  $\dim(R) = \text{tr} \cdot \deg_K(\text{Frac}(R))$ , and by proposition 2.4.5, we have  $\dim(R) = \dim(\text{Spec}(R))$ . Hence,  $\dim(X) = \text{tr} \cdot \deg_K(\text{Frac}(R))$ .

ii) Let  $U$  be an open subset of  $X$ . As  $X$  and  $U$  have the same function field, and by i)  $\dim(U) = \dim(X)$ .

iii) We may assume that  $X = \text{Spec}(R)$ , and use the formula  $\dim(R/P) + \text{ht}(P) = \dim(R)$ . which holds for prime ideals in finitely generated  $K$ -algebras.

**Example 2.5.2**  $\dim(\mathbb{P}_k^m) = \dim(\mathbb{A}_k^m) = m$ . Indeed,  $\mathbb{P}_k^m$  satisfies the conditions of the proposition, and  $\mathbb{A}_k^m$  is an open dense subset of  $\mathbb{P}_k^m$ . Since  $\mathbb{A}_k^m$  has dimension  $m$ . So  $\dim(\mathbb{P}_k^m) = m$ .

Let  $\psi : R \longrightarrow A$  be a homomorphism of rings. We call  $A$  an integral  $R$ -algebra if for all  $a \in A$ ,  $\psi(R)[a]$  is a finitely generated  $R$ -module.  $A$  is a finite  $R$ -algebra if and only if  $A$  is finitely generated and integral.

**Definition 2.5.9** Let  $f : X \longrightarrow Y$  be a morphism of schemes. We say that  $f$  is **integral** if there exists an cover  $Y = \bigcup_i U_i$ , with  $U_i = \text{Spec}(R_i)$  affine open such that  $f^{-1}(U_i) = \text{Spec}(A_i)$ , and  $A_i$  integral over  $R_i$ .

$f$  is **finite** if and only if  $f$  **integral** and **locally of finite type**.

**Theorem 2.5.2** Let  $f : X \longrightarrow S$  be a morphism of schemes. If  $f$  is integral, then  $f$  is a closed.

**Proof.** Let  $f : X \longrightarrow Y$  be integral. Since a subset is closed if and only if its intersection with every member of an open cover is closed (see lemma 1.3.1). We may assume that  $Y = \text{Spec}(R)$  is affine scheme. In this case  $X = \text{Spec}(A)$  is affine, and  $f$  induced by  $\psi : R \longrightarrow A$ . Let  $J$  be an ideal of  $A$ ,  $V(J)$  a closed subset of  $X$ . Let  $I = \psi^{-1}(J)$ , we have  $R/I \longrightarrow A/J$  is integral as well. From the fact that every prime ideal in  $A/J$  is a contracted ideal, we have  $f(V(J)) = V(I)$ . Therefore,  $f$  is closed.

## 2.5.5 Normal schemes

A **normal domain** is a domain which is **integrally closed** in its field of fractions. Recall that a ring  $R$  is said to be **normal** if all its local rings are normal domains. Thus it makes sense to define a **normal scheme** as follows.

**Definition 2.5.10** Let  $X$  be a scheme.

- i) We say that  $X$  is **normal** at  $x \in X$  if the local ring  $\mathcal{O}_{X,x}$  is a **normal** domain.
- ii) We say that  $X$  is **normal** if its is **irreducible** and **normal** at all  $x \in X$ .

**Proposition 2.5.16** Let  $X$  be a scheme. The following are equivalent.

- i)  $X$  is **normal**.
- ii) For every open  $U \subseteq X$  the ring  $\mathcal{O}_X(U)$  is **normal** domain.

**Proof.** i)  $\Rightarrow$  ii) Suppose that  $X$  is normal. Let  $U$  be an open of  $X$ . The scheme  $X$  is integral. In particular  $\mathcal{O}_X(U)$  is integral domain. We may assume that  $U$  is affine, i.e.,  $U = \text{Spec}(R)$  for some ring  $R$ . As  $X$  is normal then  $U$  is normal, so for any prime ideal of  $R$ , the localization  $R_p$  is normal. Then  $R$  is normal. Hence  $\mathcal{O}_X(U)$  is normal domain.

ii)  $\Rightarrow$  i) Suppose that ii) is verified. Let  $x \in X$ , and let  $U$  be an open neighborhood of  $x$ . Then  $\mathcal{O}_X(U)$  is normal domain by ii). It follows by the result of algebra, every localization of a normal domain is normal again. So  $\mathcal{O}_{X,x}$  is normal domain. Hence  $X$  is normal at  $x$ .

**Remarks 2.5.4** If  $X$  is normal. Then :

- 1) There exists an affine open covering  $X = \bigcup_{i \in I} U_i$  such that each  $\mathcal{O}_X(U_i)$  is normal.
- 2) There exists an open covering  $X = \bigcup_{i \in I} X_i$  such that each open subscheme  $X_i$  is normal.

**Examples 2.5.6** 1)  $\mathbb{A}_k^m$  and  $\mathbb{P}_k^m$  are normal schemes.

- 2) All **regular** schemes are **normal** (This follows from the algebraic fact that local regular rings are **UFDs**).

**Proposition 2.5.17** Let  $X$  be a normal scheme. Then  $X$  reduced .

**Proof.** Let  $x \in X$ . Since  $\mathcal{O}_{X,x}$  is normal domain. In particular,  $\mathcal{O}_{X,x}$  is domain, so the only nilpotent element of  $\mathcal{O}_{X,x}$  is 0, then its reduced.

**Definition 2.5.11** Let  $f : X \rightarrow Y$  be a morphism of schemes. We say that  $f$  is **dominant** if the image of  $f$  is **dense** in  $Y$ .

If  $X$  and  $Y$  are integral,  $f$  is **dominant** is equivalent to saying that the **generic point** of  $X$  maps to the **generic point** of  $Y$ . Then  $f^\#$  induces a map between the stalks  $\mathcal{O}_{Y,\beta}$  and  $\mathcal{O}_{X,\epsilon}$ , where  $\epsilon$  and  $\beta$  are the generic points in  $X$  and  $Y$ . But by lemma 2.5.2 the stalks at the generic points are the **function fields**  $k(X)$  and  $k(Y)$ . Hence we obtain a map  $f^\# : k(Y) \rightarrow k(X)$ , which is injective.

**Proposition 2.5.18** Let  $f : X \rightarrow Y$  be a morphism of integral schemes. Then the following are equivalent :

- i)  $f$  is **dominant**.
- ii) For every affine open subsets  $U \subseteq X, V \subseteq Y$  such that  $f(U) \subseteq V$ , the ring homomorphism  $f^\# : \mathcal{O}_Y(V) \rightarrow \mathcal{O}_X(U)$  is injective.
- iii) For all  $x \in X$ , the local homomorphism  $f_x^\# : \mathcal{O}_{Y,f(x)} \rightarrow \mathcal{O}_{X,x}$  is injective.

**Proof.** i)  $\Leftrightarrow$  ii) We may assume that  $U = X = \text{Spec}(R)$ , and  $V = Y = \text{Spec}(A)$  and that  $f$  is induced by a homomorphism  $\psi : A \rightarrow R$  of integral domains. We see that  $f$  maps the **generic point** to the **generic point** if and only if  $\psi^{-1}(0) = (0)$  which holds true if and only if  $\psi$  is injective.

ii)  $\Rightarrow$  iii) Let  $x \in X$  Taking  $U$  be an affine open neighborhood of  $x$ , and  $V$  also an affine open neighborhood of  $f(x)$  such that  $f(U) \subseteq V$ . By ii)  $f^\# : \mathcal{O}_Y(V) \rightarrow \mathcal{O}_X(U)$  is injective. Then by proposition 2.1.2 the morphism of stalks is also injective. Hence  $f_x^\# : \mathcal{O}_{Y,f(x)} \rightarrow \mathcal{O}_{X,x}$  is injective.

iii)  $\Rightarrow$  ii) Suppose that For any  $x \in X$   $f_x^\# : \mathcal{O}_{Y,f(x)} \rightarrow \mathcal{O}_{X,x}$  is injective. By proposition 2.1.2  $f^\#$  is injective, so for any affine open  $U$  of  $X$ , and  $V \subseteq Y$  affine open such that  $f(U) \subseteq V$   $f^\# : \mathcal{O}_Y(V) \rightarrow \mathcal{O}_X(U)$  is injective.

As in section 1.7, so the question that arises is that for any integral scheme  $X$ , we can find a unique scheme  $\tilde{X}$  which is **normal**, and morphism  $\pi_X : \tilde{X} \rightarrow X$  such that satisfies some **universal property**.

**Theorem 2.5.3** Let  $X$  be an integral scheme, then there is a **normal** scheme  $\tilde{X}$ , and a morphism  $\pi : \tilde{X} \rightarrow X$  satisfying the following universal property : For any **dominant** morphism  $g : Y \rightarrow X$  from a normal scheme  $Y$ , there is a unique morphism  $h : Y \rightarrow \tilde{X}$  such that  $g = \pi_X \circ h$ .

**Proof.** The **uniqueness** follows from the universal property.

For the existence : Suppose first that  $X = \text{Spec}(R)$  is affine scheme. Let  $A$  be the **normalization** of  $R$  in the fraction field  $k$ . Let  $Y$  be a **normal** scheme, and let  $B = \mathcal{O}_Y(Y)$ . For a **dominant** morphism  $g : Y \rightarrow X$ , we have by proposition 2.5.18  $g^\#(X) : R \rightarrow B$  is injective, so by the universal property of **normalization** of rings there exists a unique morphism  $A \rightarrow B$  such that the following diagram

$$\begin{array}{ccc} R & \xrightarrow{g^\#(X)} & B \\ \downarrow i & \nearrow & \\ A & & \end{array}$$

commutes. So  $g^\#(X)$  it factors through a unique morphism  $R \rightarrow A \rightarrow B$ . Applying  $\text{Spec}(\cdot)$  we get  $Y = \text{Spec}(B) \rightarrow \text{Spec}(A) \rightarrow X = \text{Spec}(R)$ . So  $g$  factors via a unique morphism  $\tilde{g} : Y \rightarrow \text{Spec}(A)$ . The canonical map  $\text{Spec}(A) \rightarrow \text{Spec}(R)$  satisfies the **universal property** in the theorem.

Now, if  $X$  be an arbitrary integral scheme. Let  $V_i = \text{Spec}(R_i)$  be an affine cover. Note that there are **normalization** morphisms  $f_i : \tilde{V}_i \rightarrow V_i$  defined by  $j_i : R_i \rightarrow \tilde{R}_i$ . Consider the open subsets  $V_{ij} = V_i \cap V_j$  which is an open in both  $V_i$  and  $V_j$ . As  $f_{i|f_i^{-1}(V_{ij})} : f_i^{-1}(V_{ij}) \rightarrow V_{ij}$ , and  $f_{j|f_j^{-1}(V_{ij})} : f_j^{-1}(V_{ij}) \rightarrow V_{ij}$  are both **normalizations** of  $V_{ij}$ , they must coincide by the uniqueness. Hence by the **Gluing lemma** for morphisms, the morphisms  $f_i$  glue, so we obtain a scheme  $\tilde{X}$  and a morphism  $f : \tilde{X} \rightarrow X$ .

**Definition 2.5.12** The scheme  $\tilde{X}$  over  $X$  is called the **normalization** of  $X$ .

**Remarks 2.5.5** 1)  $X$  and  $\tilde{X}$  have the same dimension.

2) If  $X$  be a **normal** scheme. Then  $X$  and  $\tilde{X}$  are canonically isomorphic as locally ringed spaces.

**Example 2.5.3** Let  $X = \text{Spec}(A)$  where  $A = k[X, Y] / (Y^2 - X^3)$ . There is an isomorphism of  $k$ -algebras between  $R$  and  $k[t^2, t^3]$  given by sending  $X \mapsto t^2$  and  $Y \mapsto t^3$ . It is clear that  $k[t^2, t^3]$  is a **domain** with fraction field  $K = k(t)$ . Moreover, the **normalization** of  $R$  equals  $\tilde{R} = k[t]$ . The inclusion  $R \rightarrow \tilde{R}$  induces the **normalization morphism**  $f : \mathbb{A}_k^1 \rightarrow X$ .

## 2.5.6 Separated Schemes

Recall that a topological space  $X$  is **separated** (or **Hausdorff**) if and only if the diagonal  $\Delta(X) \subseteq X \times X$  is a closed. The analogue in **algebraic geometry** is given a scheme  $X$  over  $S$  ( $f : X \rightarrow S$  morphism of schemes) to consider the **diagonal morphism**

$$\Delta_{X/S} : X \rightarrow X \times_S X$$

This the unique morphism of schemes such that

$$\pi_i \circ \Delta_{X/S} = \text{id}_X, i = 1, 2, \text{ where } \pi_i^s \text{ denote the two projections.}$$

In terms of diagram we have the following diagram

$$\begin{array}{ccccc} X & & \xrightarrow{\quad} & X & \\ \searrow \Delta_{X/S} & & \nearrow \pi_2 & & \downarrow f \\ & X \times_S X & & & \\ \downarrow \pi_1 & & & & \\ X & \xrightarrow{\quad f \quad} & S & & \end{array}$$

**Definition 2.5.13** Let  $f : X \rightarrow S$  be a morphism of schemes.  $f$  is called an **immersion** if  $f$  factorizes as  $X \rightarrow U \rightarrow S$ , where  $X \rightarrow U$  is closed immersion and  $U \rightarrow S$  is open immersion.



**Lemma 2.5.3** Let  $f : X \longrightarrow Y$  be an immersion of schemes. Then  $f$  is closed immersion if and only if  $f(X) \subseteq Y$  is a closed subset.

**Proof.** See [29, Lemma 26.10.4].

**Lemma 2.5.4** If  $X$  and  $S$  are affine schemes. Then  $\Delta_{X/S} : X \longrightarrow X \times_S X$  is a closed immersion.

**Proof.** Let  $X = \text{Spec}(R)$ ,  $S = \text{Spec}(A)$  and  $f : X \longrightarrow Y$  be a morphism.  $f$  is separated. Indeed,  $\Delta_{X/S} : \text{Spec}(R) \longrightarrow \text{Spec}(R \otimes_A R)$  induced by the diagonal homomorphism of rings  $\Delta : R \otimes_A R \longrightarrow R$ . The latter is surjective ( $\forall r \in R, \Delta(r \otimes 1) = r$ ), hence it defines a closed immersion.

**Proposition 2.5.19** Let  $X$  be a scheme over  $S$  ( $f : X \longrightarrow S$ ). Then  $\Delta_{X/S}$  is an immersion.

**Proof.** Let  $S = \bigcup_i V_i$ ,  $V_i$  affine open. Let  $f^{-1}(V_i) = \bigcup_j U_{ij}$ ,  $U_{ij}$  affine open, let  $W_{ij} := \pi_1^{-1}(U_{ij}) \cap \pi_2^{-1}(U_{ij})$ . By proposition 2.4.2  $U_{ij} \times_{V_i} U_{ij}$  identifies with  $\pi_1^{-1}(U_{ij}) \cap \pi_2^{-1}(U_{ij})$ , so  $W_{ij} \simeq U_{ij} \times_{V_i} U_{ij}$ . Let  $W := \bigcup W_{ij}$ . We have  $\pi_k \circ \Delta_{X/S}(U_{ij}) \subseteq U_{ij}$  for  $k = 1, 2$ . So  $\Delta_{X/S}(U_{ij}) \subseteq \pi_1^{-1}(U_{ij}) \cap \pi_2^{-1}(U_{ij}) = W_{ij}$ . Thus  $\Delta_{X/S}$  factorizes as  $X \xrightarrow{\alpha} W \longrightarrow X \times_S X$ . Now,

$$\begin{aligned} \Delta_{X/S}^{-1}(W_{ij}) &= \Delta_{X/S}^{-1}(\pi_1^{-1}(U_{ij}) \cap \pi_2^{-1}(U_{ij})) \\ &= \Delta_{X/S}^{-1}(\pi_1^{-1}(U_{ij})) \cap \Delta_{X/S}^{-1}(\pi_2^{-1}(U_{ij})) \\ &= (\pi_1 \circ \Delta_{X/S})^{-1}(U_{ij}) \cap (\pi_2 \circ \Delta_{X/S})^{-1}(U_{ij}) \\ &= U_{ij} \end{aligned}$$

So the restriction of  $\alpha$  to  $U_{ij} \longrightarrow W_{ij}$  can be identified with  $\Delta_{U_{ij}/V_i}$  ( $f_{ij} : U_{ij} \longrightarrow V_i$  the restriction of  $f$ ). By lemma 2.5.4 each  $\Delta_{U_{ij}/V_i}$  is a closed immersion. Thus  $\alpha$  is closed. It follows that  $\Delta_{X/S} : X \longrightarrow X \times_S X$  is an immersion.

**Definition 2.5.14** Let  $X$  be a scheme, and let  $f : X \longrightarrow S$  be a morphism.

- i) We say that  $f$  is **separated** if the diagonal morphism  $\Delta_{X/S} : X \longrightarrow X \times_S X$  is **closed immersion**.
- ii) We say that  $X$  is **separated**  $S$ -scheme or  $X$  separated over  $S$ .
- iii) A scheme is said to be **separated** if  $X$  separated over  $\text{Spec}(\mathbb{Z})$ .
- iv) We say that  $f$  is **quasi-separated** if  $\Delta_{X/S}$  is **compact**.
- v) We say that  $X$  is **quasi-separated** if  $X \longrightarrow \text{Spec}(\mathbb{Z})$  is **quasi-separated**.

**Examples 2.5.7** 1) Any morphism of affine schemes is **separated**. In particular any affine scheme is separated.

2) Let  $X$  be a schemes. If the underlying space of  $X$  is locally Noetherian, then  $X$  is quasi-separated.

**Proposition 2.5.20** Let  $f : X \longrightarrow S$  be a morphism of schemes. Then  $f$  is separated if and only if  $\Delta_{X/S}(X) \subseteq X \times_S X$  is a closed.

**Proof.** If  $f$  is separated, then  $\Delta_{X/S}$  is a closed immersion. So  $\Delta_{X/S}(X)$  identifies with a closed of  $X \times_S X$  (see definition 2.3.7). Hence  $\Delta_{X/S}(X)$  is a closed. Conversely, as  $\Delta : X \longrightarrow X \times_S X$  is an immersion (see proposition 2.5.19), and  $\Delta_{X/S}(X)$  is closed. Then by lemma 2.5.3  $\Delta_{X/S}$  is a closed immersion.

**Proposition 2.5.21** Let  $f : X \longrightarrow S$  be a morphism of schemes with  $S = \text{Spec}(R)$  is affine. The following are equivalent :

- i)  $f$  is separated.
- ii) For every pair of affine opens  $U, V \subseteq X$ ,  $U \cap V$  is again affine. Moreover, the canonical homomorphism  $\mathcal{O}_X(U) \otimes \mathcal{O}_X(V) \longrightarrow \mathcal{O}_X(U \cap V)$  is surjective.
- iii) There exists an open affine covering  $X = \bigcup_{i \in I} U_i$  such that  $U_i \cap U_j$  is affine and the canonical homomorphism  $\mathcal{O}_X(U_i) \otimes \mathcal{O}_X(U_j) \longrightarrow \mathcal{O}_X(U_i \cap U_j)$  is surjective.



**Proof.**  $i) \Rightarrow ii)$  Assume  $f$  is separated. Let  $(U, V)$  be affine open of  $X$ . Write  $U = \text{Spec}(A)$ , and  $V = \text{Spec}(B)$  for  $R$ -algebras  $A$  and  $B$ . By consequence 2.4.1,  $U \times_S V = \text{Spec}(A) \times_{\text{Spec}(R)} \text{Spec}(B) = \text{Spec}(A \otimes_R B)$ . So  $U \times_S V$  is also an affine open of  $X \times_S X$ , hence its preimage,  $U \cap V$  by  $\Delta_{X/S}$  is also affine.

$ii) \Rightarrow iii)$  Immediate. Indeed, by proposition 2.3.2  $X = \bigcup_{i \in I} U_i$ ,  $U_i$  affine open, by 2), we have for every  $i, j$   $U_i \cap U_j$  is affine, and the canonical homomorphism  $\mathcal{O}_X(U_i) \otimes \mathcal{O}_X(U_j) \rightarrow \mathcal{O}_X(U_i \cap U_j)$  is surjective.

$iii) \Rightarrow i)$  Clearly the collection of affine opens  $U \times_S V$  for pairs  $U, V$  form an affine covering of  $X \times_S X$ . Moreover, the preimage of  $U \times_S V$  by  $\Delta_{X/S}$  is  $U \cap V$  which affine by 3). Moreover, the canonical homomorphism  $\mathcal{O}_X(U) \otimes \mathcal{O}_X(V) \rightarrow \mathcal{O}_X(U \cap V)$  is surjective. So  $\Delta_{X/S}$  is a closed immersion. Hence  $f$  is separated.

**Lemma 2.5.5** Let  $f : X \rightarrow S$ , and  $g : Y \rightarrow S$  be morphisms of schemes. Let  $S = \bigcup_{i \in I} U_i$  be an affine open covering of  $S$ . For each  $i \in I$ , let  $f^{-1}(U_i) = \bigcup_{j \in J_i} V_j$  be an open covering of  $f^{-1}(U_i)$ , and let  $g^{-1}(U_i) = \bigcup_{k \in K_i} W_k$  be an open covering of  $g^{-1}(U_i)$ . Then

$$X \times_S Y = \bigcup_{i \in I} \bigcup_{j \in J_i, k \in K_i} V_j \times_{U_i} W_k$$

is an affine open covering of  $X \times_S Y$ .

**Proof.** See [29, Lemma 26.17.4].

**Proposition 2.5.22** Let  $f : X \rightarrow S$  be a morphism of schemes. The followings are equivalent :

- i)  $f$  is quasi-separated.
- ii) For every pair of affine opens  $U, V \subseteq X$ , which map into a common affine open of  $S$ .  $U \cap V$  is a finite union of affine opens of  $X$ .
- iii) There exists an affine open covering  $S = \bigcup_{i \in I} U_i$ , and for each  $i$  an affine open covering  $f^{-1}(U_i) = \bigcup_{j \in J_i} V_j$  such that for each pair  $j, j' \in J_i$  the intersection  $V_j \cap V_{j'}$  is a finite union of affine opens of  $X$ .

**Proof.**  $iii) \Rightarrow i)$  By lemma 2.5.5 the covering  $X \times_S X = \bigcup_i \bigcup_{j, j'} V_j \times_{U_i} V_{j'}$  is an affine open of  $X \times_S X$ . Moreover,  $\Delta_{X/S}^{-1}(V_j \times_{U_i} V_{j'}) = V_j \cap V_{j'}$ , by definition 2.5.2,  $\Delta_{X/S}$  is compact. Hence  $f$  is quasi-separated.

$i) \Rightarrow ii)$  Let  $U, V$  be an affine opens of  $X$ . We have  $U \times_S V$  is an affine open of  $X \times_S X$ . Since  $\Delta_{X/S}^{-1}$  is a finite union of affine open of  $X$ . This gives 2).

$ii) \Rightarrow iii)$  By proposition 2.3.2  $S = \bigcup_{i \in I} U_i$ ,  $U_i$  affine open  $f^{-1}(U_i)$  is an open of  $X$ , and again by proposition 2.3.2  $f^{-1}(U_i) = \bigcup_{j \in J_i} V_j$ ,  $V_j$  affine open. for each  $j, j' \in J_i$ ,  $V_j \cap V_{j'}$  is also an affine open by 2)  $V_j \cap V_{j'}$  is a finite union of affine opens of  $X$ .

**Lemma 2.5.6** Let  $f : X \rightarrow S$ , and  $g : Y \rightarrow S$  be morphisms of schemes over  $S$ . Let  $h : S \rightarrow T$  be a morphism of scheme. Then induced morphism  $\iota : X \times_S Y \rightarrow X \times_T Y$ .

- i) If  $h$  is separated, then  $\iota$  is a closed immersion.
- ii) If  $h$  is quasi-separated, then  $\iota$  is compact.

**Proof.** See [29, Lemma 26.21.9].

**Lemma 2.5.7** Let  $f : X \rightarrow Y$  be a morphism of schemes over  $S$ .

- i) The  $\iota : X \rightarrow X \times_S Y$  is an immersion.
- ii) If  $Y$  is **separated** over  $S$ ,  $\iota$  is a closed immersion.
- iii) If  $Y$  is **quasi-separated** over  $S$ ,  $\iota$  is **compact**.

**Proof.** This is a special case of lemma 2.5.6 applied to the morphism  $X = X \times_Y Y \rightarrow X \times_S Y$ .

**Proposition 2.5.23** Let  $f : X \rightarrow S$  be a morphism of schemes. Let  $s : S \rightarrow X$  be a section of  $f$  ( $f \circ s = \text{id}_S$ ). Then :

- i) If  $f$  is *separated* then  $s$  is a *closed immersion*.
- ii) If  $f$  is *quasi-separated*, then  $s$  is *compact*.

**Proof.** This is a special case of lemma 2.5.7 applied to  $f = s$ , so  $\iota = s : S \longrightarrow S \times_S X$ .

**Lemma 2.5.8** Let  $S$  be a scheme. Let  $f : X \longrightarrow Y$  be an immersion (resp. *closed immersion*, resp. *open immersion*, resp. *compact*) of schemes over  $S$ . Then any base change of  $f$  is an *immersion* (resp. *closed immersion*, resp. *open immersion*, resp. *compact*).

**Proof.** See [29, Lemmas 26.18.2 and 26.19.3].

**Theorem 2.5.4** i) Open and closed immersions are *separated*.

- ii) Let  $f : X \longrightarrow Y$ , and  $g : Y \longrightarrow Z$  be two separated morphisms, then  $g \circ f$  is separated. In particular, immersions are separated.
- iii) Separated (resp. quasi-separated) morphisms are stable under base change.
- iv) Let  $f : X \longrightarrow Y$ , and  $g : Y \longrightarrow Z$  be morphisms such that  $g \circ f$  is separated (resp. quasi-separated). Then  $f$  is separated (resp. quasi-separated).
- v) A fibre product of separated (resp. quasi-separated) morphisms is separated (resp. quasi-separated).

**Proof.** i) In this case  $\Delta_{X/S}$  is an isomorphism.

- ii) Let  $X \xrightarrow{f} Y \xrightarrow{g} Z$  be morphisms. Assume that  $X \xrightarrow{f} Y$  and  $Y \xrightarrow{g} Z$  are separated. Then the diagonal  $\Delta_{X/Z} = \Delta_{g \circ f} : X \longrightarrow X \times_Z X$  can factor as

$$X \xrightarrow{\Delta_{X/Y}} X \times_Y X \xrightarrow{i} X \times_Z X$$

is closed because  $\Delta_{X/Y}$  is closed by assumption. As  $g$  is separated,  $\Delta_{Y/Z}$  is closed immersion and by lemma 2.5.6, and for  $i$  is closed immersion. So  $\Delta_{X/Z}$  is closed immersion. Hence  $g \circ f$  is separated.

- iii) Let  $f : X \longrightarrow Y$  be a morphism of schemes over a base  $S$ . Let  $T \longrightarrow S$  be a morphism of schemes. Let  $g : X_T = T \times_S X \longrightarrow Y_T = T \times_S Y$  be a the base change of  $f$ . Then

$$\Delta_g : X_T \longrightarrow X_T \times_{Y_T} X_T = T \times_S (X \times_Y X).$$

which is the base change of  $\Delta_f$ . Thus iii) follow from the fact that closed immersion and compact morphism are preserved under arbitrary base change (see lemma 2.5.8).

- iv) Assume that  $g \circ f$  is separated. Consider the factorization

$$X \xrightarrow{\Delta_{X/Y}} X \times_Y X \xrightarrow{i} X \times_Z X$$

by lemma 2.5.6  $i$  is an immersion, and by the assumption  $\Delta_{X/Z}(X)$  is closed. Hence  $\Delta_{X/Y}(X)$  is a closed. By proposition 2.5.20  $f$  is separated.

- v) Let  $f : X \longrightarrow Y$  and  $g : X' \longrightarrow Y'$  be morphisms of schemes over  $S$ . Then  $f \times g$  is the composition of

$$X \times_S X' \longrightarrow X \times_S Y' \text{ (a base change of } f \text{) and } X \times_S Y' \longrightarrow Y \times_S Y' \text{ (a base change of } g \text{)}.$$

Hence v) follow from i) and iii).

### 2.5.7 Proper morphisms

In topology, a **proper** morphism is one for which the inverse image of a **compact Hausdorff**<sup>§</sup> subspace set is **compact Hausdorff**. The **properness** of a morphism is essentially a topological property. As above, the lack of good **separation** for the **Zariski topology** means one needs to use a somewhat different notion.

Recall that a map of topological spaces  $f : X \longrightarrow Y$  is said to be **closed** if for any closed subset  $Z$  of  $X$ , its image  $f(Z) \subseteq Y$  is closed.

**Definition 2.5.15** Let  $f$  be a morphism of schemes

- i)  $f$  is said **universally closed** if every base change of  $f$  is a closed mapping.
- ii)  $f$  is said to be **proper** if  $f$  is **separated**, **of finite type**, and **universally closed**. We say  $X$  is proper over  $Y$ .
- iii) We say that  $X$  is proper if  $X$  is proper over  $\text{Spec}(\mathbb{Z})$ .

**Remark 2.5.9** For i)  $f$  is universally closed if for each morphism  $Z \longrightarrow Y$ , the projection  $\pi_Z : Z \times_Y X \longrightarrow Z$  is closed.

**Examples 2.5.8** 1) Closed morphisms are not stable under base change. For example,  $\mathbb{A}_k^1 \longrightarrow \text{Spec}(k)$  is closed but  $\mathbb{A}_k^2 = \mathbb{A}_k^1 \times \mathbb{A}_k^1 \longrightarrow \mathbb{A}_k^1$ , which is not closed. Indeed, the image of  $V(xy - 1)$  is the open subset  $\mathbb{A}_k^1 \setminus \{0\}$ , which is not closed.

- 2) Let  $f : R \longrightarrow A$  is a homomorphism of rings such that  $A$  is a finite  $R$ -module, then the induced map  $\text{Spec}(A) \longrightarrow \text{Spec}(R)$  is proper.

**Lemma 2.5.9** Let  $f : X \longrightarrow S$  be a morphism of schemes. The following are equivalent :

- i)  $f$  is universally closed.
- ii) There exists an open covering  $S = \bigcup_{i \in I} U_i$  such that  $f^{-1}(U_i) \longrightarrow U_i$  is universally closed for all  $i \in I$ .

**Proof.** i)  $\Rightarrow$  ii) By proposition 2.3.2  $S = \bigcup_i U_i$ , with  $U_i$  affine open of  $S$ . Since  $f$  is universally closed. Then the restriction of  $f$  over  $f^{-1}(U_i)$  for any  $i$  is also it is. Then  $f|_{f^{-1}(U_i)} : f^{-1}(U_i) \longrightarrow U_i$  is universally closed. Immediate.

**Proposition 2.5.24** Let  $f : X \longrightarrow S$  be a morphism of schemes. The following are equivalent :

- i)  $f$  is proper.
- ii) There exists an open covering  $S = \bigcup_{i \in I} U_i$  such that  $f^{-1}(U_i) \longrightarrow U_i$  is proper for all  $i \in I$ .

**Proof.** i)  $\Rightarrow$  ii) Since  $f$  is proper then in particular,  $f$  is universally closed. By lemma 2.5.9 there exists an open covering of  $S = \bigcup_{i \in I} U_i$  such that  $f^{-1}(U_i) \longrightarrow U_i$  is universally closed for all  $i \in I$ . Moreover, the restriction of  $f$  over  $f^{-1}(U_i)$  is still separated, and of finite type. So  $f^{-1}(U_i) \longrightarrow U_i$  is proper for all  $i \in I$ .

ii)  $\Rightarrow$  i) Immediate.

**Theorem 2.5.5** We have the following properties :

- i) Closed immersions are proper.
- ii) The composition of two proper morphisms is proper.
- iii) The base change of a proper morphism is still proper.
- iv) The product of two proper morphisms is proper : if  $f : X \longrightarrow Y$  and  $g : X' \longrightarrow Y'$  are proper, where all morphisms are morphisms of  $S$ -schemes, then  $f \times g : X \times_S X' \longrightarrow Y \times_S Y'$  is proper.

**Proof.** i) The base change of a closed immersion is a closed immersion (see Lemma 2.5.8). Hence it is universally closed. A closed immersion is separated (see theorem 2.5.4). A closed immersion is of finite type. Hence a closed immersion is proper.

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<sup>§</sup>A topological space  $X$  is **compact Hausdorff** if  $X$  is Hausdorff space and for every open cover of  $X$  has a finite subcover.

- ii) A composition of closed morphisms is closed. If  $X \rightarrow Y \rightarrow Z$  are universally closed morphisms, and for any morphism  $S \rightarrow Z$ , then we see that  $S \times_Z X = ((S \times_Z Y) \times_Y X) \rightarrow S \times_Z Y$  is closed, and  $S \times_Z Y \rightarrow S$  is closed. Hence the result for universally closed morphisms. We have seen that "separated" and "finite type" are preserved under compositions ( see theorem 2.5.4 and remarks 2.5.2 2)). Hence the result for proper morphisms.
- iii) This is true by definition for universally closed morphisms(see definition 2.5.15). It is true for separated morphisms (see theorem 2.5.4). It is true for morphisms of finite type (see remarks 2.5.2 3)). Hence it is true for proper morphisms.
- iv) This it follows from ii) and iii).

**Proposition 2.5.25** Suppose given a commutative diagram of schemes

$$\begin{array}{ccc} X & \xrightarrow{f} & Y \\ & \searrow g & \swarrow h \\ & Z & \end{array}$$

with  $h$  separated.

- i) If  $g$  is universally closed, then the morphism  $f$  is universally closed.
- ii) If  $g$  is proper, then the morphism  $f$  is proper.

**Proof.** Assume that  $g$  is universally closed (resp. proper). We factor the morphism as

$$X \longrightarrow X \times_S Y \xrightarrow{\Delta_Y} Y$$

The first morphism is a closed immersion (see lemma 2.5.7). Hence the first morphism is proper (see theorem 2.5.5 i)). The projection  $\pi_Y$  is the base change of a universally closed (resp. proper) morphism and hence universally closed (resp. proper), (see theorem 2.5.5 iii)). Thus  $f$  is universally closed (resp. proper) as the composition of universally closed (resp. proper) morphisms (see theorem 2.5.5 ii)).

## 2.5.8 Projective Schemes

We know that **projective** varieties are a special important class of varieties that are not affine, but still can be described globally without using glueing techniques. They arise from looking at homogeneous ideals, i.e. graded coordinate rings. A completely analogous construction exists in the category of schemes, starting with a graded ring and looking at homogeneous ideals in it.

### Graded rings

- \* Recall that a (non-negatively) **graded ring** is a ring  $R$  whose underlying additive group is a direct sum,

$$R = \bigoplus_{d \geq 0} R_d$$

such that the multiplication respects the grading :

$$R_d \cdot R_e \subseteq R_{d+e}.$$

We assume all rings are commutative, so  $R$  is an  $R_0$ -algebra.

- \* An element of  $R_d$  is said to be **homogeneous** of degree  $d$ , and one writes  $\deg(r) = d$ , when  $r \in R_d$
- \* Every nonzero element  $r \in R$  can be expressed uniquely as sum  $r = \sum_{d \geq 0} r_d$  with  $r_d \in R_d$ . The nonzero terms in the sum are called the **homogeneous** components of  $r$ .

- \* An ideal  $J \subseteq R$  is called **homogeneous** if and only if  $J = \sum_{d \geq 0} J_d$  with  $J_d = J \cap R_d$ . Note also  $J$  is homogeneous if for every  $f \in J$ ,  $f = \sum_{d \geq 0} f_d$ , then each  $f_d$  is also in  $J$ . Note that sums and product of **homogeneous** ideals are **homogeneous**.
- \* If  $J$  a homogeneous ideal, then  $R/J$  is also graded. Moreover,  $R/J = \bigoplus_{d \geq 0} R_d/J_d$ .
- \* A homomorphism  $\psi : R \longrightarrow S$  between two graded rings is homogeneous of degree  $d$  if  $\psi(R_d) \subseteq S_d$ .
- \* The **graded rings** together with the homogeneous homomorphism form a category  $GrRings$ .
- \* We will write  $R_+$  for the sum  $\bigoplus_{d > 0} R_d$ .
- \* We will meet graded rings having element of negative degree they are defined as above except that they decompose as

$$R = \bigoplus_{d \in \mathbb{Z}} R_d.$$

These are sometimes called  $\mathbb{Z}$ -graded rings.

- \* We can also define the **localization** of graded rings by : For any  $S$  multiplicative all whose element are homogeneous, one may define grading on  $S^{-1}R$  by letting  $\deg(\frac{f}{s}) = \deg(f) - \deg(s)$  for  $s \in S$ , and  $f$  a homogeneous element of  $R$ . In other words, one puts

$$(S^{-1}R)_d = \left\{ \frac{f}{s} \in S^{-1}R \mid f \in R_d, s \in S, \text{ and } \deg(f) - \deg(s) = d \right\}.$$

So, its easily verified, the localized ring  $S^{-1}R = \bigoplus_{d \in \mathbb{Z}} (S^{-1}R)_d$ .

**Example 2.5.4** Let  $A$  be a ring and let  $R = A[T_0, \dots, T_n]$ . Then  $R$  has the structure of graded ring, with  $R_0 = A$ , and  $R_n$  the free  $A$ -modules with basis the monomials  $T_0^{d_1} \dots T_n^{d_n}$  of total degree  $n = \sum_i d_i$ .

### The Proj construction

The functor **Spec** is the basic operation going from rings to schemes. We describe a related operation **Proj** from graded rings to schemes.

**Definition 2.5.16** Let  $R$  be graded ring.

- We denote by  $\text{Proj}(R)$  the set of **homogenous prime** ideals  $P \subseteq R$  such that  $P$  does not contain  $R_+$ . it is called the **projective** spectrum of  $R$ .
- For a homogeneous ideal  $J$ , we let

$$V_h(J) = \{P \in \text{Proj}(R) \mid J \subseteq P\}.$$

**Remark 2.5.10** The operation  $V_h$  has properties analogous to the properties for  $V$  listed in proposition 2.2.1. So we can define a topology on  $\text{Proj}(R)$  for which the closed subsets are exactly those the form  $V_h(J)$ , for  $J$  a **homogeneous** ideal of  $R$ . This topology is called the **Zariski topology** on  $\text{Proj}(R)$ . Note by definition we have  $V_h(R_+) = \emptyset$ .

**Lemma 2.5.10** For any homogeneous ideal  $J$  it holds that  $V_h(J) = V_h(J \cap R_+)$ .

**Proof.** Since  $V_h(R_+) = \emptyset$  and  $V_h(J \cap R_+) = V_h(J) \cup V_h(R_+) = V_h(J)$ .

### Principals opens of $\text{Proj}(R)$

Recall, in the affine case a principal open of  $\text{Spec}(R)$  is defined as  $D(f) := \{P \in \text{Spec}(R) \mid f \notin P\}$  for some  $f \in R$  (see definition 2.2.2). So the same analogue we define the **principal open** of  $\text{Proj}(R)$  by

$$D_+(f) = \{P \in \text{Proj}(R) \mid f \notin P\}$$

with  $f$  is homogeneous of positive degree i.e  $f \in R_d$ , and  $d > 0$ . We can also check that  $D_+(f) = D(f) \cap \text{Proj}(R)$ . These is open subset with respect to the Zariski topology on  $\text{Proj}(R)$ ,  $D_+(f) = \text{Proj}(R) \setminus V_h(f)$ .

**Proposition 2.5.26** Let  $f, g \in R$  be homogeneous of positive degree. Then

- i)  $D_+(f) \cap D_+(g) = D_+(fg)$ .
- ii) The sets  $D_+(f)$  for a basis for the **Zariski topology** on  $\text{Proj}(R)$  when  $f$  runs through the homogeneous element of  $R$  of positive degree.

**Proof.** i)

$$\begin{aligned} P \in D_+(f) \cap D_+(g) &\Leftrightarrow P \in D_+(f) \text{ and } P \in D_+(g) \\ &\Leftrightarrow f \notin P \text{ and } g \notin P \\ &\Leftrightarrow fg \notin P \\ &\Leftrightarrow P \in D_+(fg). \end{aligned}$$

- ii) Follows as in the affine case :  $V_h(J)$  is intersection of the  $V_h(f)$ 's for the homogeneous  $f \in J \cap R_+$ . So  $\text{Proj}(R) \setminus V_h(f) = \bigcup_{f \in J \cap R_+} D_+(f)$ . Hence any open subset is a union of sets of the form  $D_+(f)$ .

**Notation.** i) If  $P$  is a homogeneous prime ideal, then  $R_{(P)}$  denotes the elements of degree zero in the localisation of  $S$  at the set of homogeneous elements which do not belong to  $P$ .

- ii) In the affine case, there is a canonical homeomorphism between  $D(f)$  and  $\text{Spec}(R_f)$  (see the proof of proposition 2.2.6).

The same analogous as in the affine case, we have introduced the structural sheaf on  $\text{Spec}(R)$  (see definition 2.3.1). So we can also define the **structure sheaf** on  $\text{Proj}(R)$  by :

**Definition 2.5.17** Let  $R$  be graded ring, and  $X = \text{Proj}(R)$ . We define a sheaf of ring  $\mathcal{O}_X$  by considering for any open subset  $U \subseteq X$ , all functions

$$s : U \longrightarrow \prod_{P \in X} R_{(P)}$$

such that  $s(P) \in R_{(P)}$ , which locally represented by quotients. That is given any  $P \in U$  there is  $a, f \in R$  be homogeneous elements of the same degree and  $V \subseteq U$  such that  $V \subseteq D_+(f)$ , and  $s(Q) = \frac{a}{f}$  for all  $Q \in V$ .

**Proposition 2.5.27** Let  $R$  be graded ring and set  $X = \text{Proj}(R)$ .

- i) For every  $P \in X$ , the stalk  $\mathcal{O}_{X,P}$  is isomorphic to  $R_{(P)}$ .
- ii) For any homogeneous element  $f \in R_+$ , we have

$$(D_+(f), \mathcal{O}_{X|D_+(f)}) \simeq \text{Spec}(R_{(f)}).$$

where  $R_{(f)}$  consists of all element of degree zero in the localization  $R_f$ . In particular,  $\text{Proj}(R)$  is scheme.

**Proof.** i) Follows similar lines to the affine case (see the proof of proposition 2.3.1).

- ii) We are going to define an isomorphism

$$(\psi, \psi^\sharp) : (D_+(f), \mathcal{O}_{X|D_+(f)}) \longrightarrow \text{Spec}(R_{(f)}).$$

If  $J$  is any homogeneous ideal pf  $R$ , consider the ideal  $JR_f \cap R_{(f)}$ . In particular, if  $P$  is a prime ideal of  $R$ . Then  $\psi(P) := PR_f \cap R_{(f)}$  is a prime ideal of  $R_{(f)}$ . It is easy to see that  $\psi$  is a bijection follows similar lines the affine case see proof of proposition 2.3.1 iii).

\*  $J \subseteq P \Leftrightarrow JR_f \cap R_{(f)} \subseteq PR_f \cap R_{(f)} = \psi(P)$ . So that  $\psi$  is homeomorphism.

\* If  $P \in D_+(f)$ , then  $R_{(f)} \simeq (R_{(f)})_{\psi(P)}$ . As in the proof in the affine case (see proposition 2.3.1 ii)) and see examples 2.3.1. This induces a morphism  $\psi^\sharp$  of sheaves. Moreover,  $\psi^\sharp$  is an isomorphism.

**Remark 2.5.11** The **projective spectrum**  $\text{Proj}(R)$  is in a natural way a scheme over  $\text{Spec}(R_0)$  : the **structure map**  $\pi : \text{Spec}(R) \longrightarrow \text{Spec}(R_0)$  restricts to a continuous map on  $\text{Proj}(R)$ .

**Definition 2.5.18** Let  $R$  be a ring. **Projective**  $n$ -space over  $R$  denoted  $\mathbb{P}_R^n$  is the proj of the polynomial ring  $R[T_0, \dots, T_n]$ . When  $R = \mathbb{Z}$  we denote simply  $\mathbb{P}^n = \text{Proj}(\mathbb{Z}[T_0, \dots, T_n])$ .

**Remarks 2.5.6** 1) Note that  $\mathbb{P}_R^n$  is a scheme over  $S = \text{Spec}(R)$ .

- 2) Note that we can also define  $n$ -space  $\mathbb{P}_S^n$  over any scheme  $S$  as  $\mathbb{P}_S^n = \mathbb{P}^n \times_{\text{Spec}(\mathbb{Z})} S$ .



### Some basic properties of $\text{Proj}(R)$

**Theorem 2.5.6** Let  $R$  be a graded ring.

- i)  $\text{Proj}(R)$  is separated.
- ii) If  $R$  is Noetherian, then  $\text{Proj}(R)$  is Noetherian. In particular  $\text{Proj}(R)$  is compact.
- iii) If  $R$  is finitely generated over  $R_0$ , then  $\text{Proj}(R)$  is a finite type over  $\text{Spec}(R_0)$ .
- iv) If  $R$  is an integral domain, then  $\text{Proj}(R)$  is integral.

**Proof.** i) We have  $\text{Proj}(R)$  is covered by the opens sets  $D_+(f)$ , where  $f$  is a homogeneous element of  $R_+$ . These open sets are affine (see proposition 2.5.27 ii)) and we have  $D_+(f) \cap D_+(g) = D_+(fg)$  (see proposition 2.5.26). Thus to prove that  $\text{Proj}(R)$  is separated, we need only check condition ii) in proposition 2.5.21 i.e  $R_{(f)} \otimes R_{(g)} \longrightarrow R_{(fg)}$  is surjective for any  $f, g \in R_+$ , which it is.

ii) We have  $\text{Proj}(R)$  is covered by the affine  $\text{Spec}(R_{(f)})$ , which is Noetherian. Moreover, this covering of  $\text{Proj}(R)$  is finite. Then  $\text{Proj}(R)$  is compact. Hence  $\text{Proj}(R)$  is Noetherian (see definition 2.5.1 ii)).

iii) Since  $\text{Proj}(R)$  is covered by  $\text{Spec}(R_{(f)})$ , which are of finite type (respectively integral domain). So  $\text{Proj}(R)$  is of finite type (respectively integral).

**Definition 2.5.19 (Projective morphisms)** Let  $f : X \longrightarrow Y$  be a morphism of schemes.

- i) We say that  $f$  is **projective** if there exists an open covering  $Y = \bigcup_i Y_i$  such that  $f|_{f^{-1}(Y_i)} : f^{-1}(Y_i) \longrightarrow Y_i$  can be factored as

$$f^{-1}(Y_i) \xhookrightarrow{i} \mathbb{P}_{Y_i}^{n_i} = \mathbb{P}^{n_i} \times_{\text{Spec}(\mathbb{Z})} Y_i \longrightarrow Y_i$$

with  $i$  a closed immersion.

- ii) We say that  $f$  is **quasi-projective** if  $f$  factors via an open immersion  $g : X \longrightarrow \tilde{X}$ , and a projective  $S$ -morphism  $\pi : \tilde{X} \longrightarrow S$ .

$$X \xrightarrow{g} \tilde{X} \xrightarrow{\pi} S$$

**Remarks 2.5.7** 1) In some books of algebraic geometry, any morphism  $f : X \longrightarrow Y$  is said **projective** if  $f$  factors as  $f = \pi \circ i$  where  $i : X \longrightarrow \mathbb{P}_S^n$  is a closed immersion, and  $\pi : \mathbb{P}^n \times_{\text{Spec}(\mathbb{Z})} Y \longrightarrow Y$  is the projection.

- 2) For ii) the exist slightly different definitions in the literature see [29].

**Example 2.5.5**  $X = \mathbb{P}_R^n \longrightarrow \text{Spec}(R)$  is projective morphism.

**Proposition 2.5.28** The projective space  $\mathbb{P}_{\mathbb{Z}}^n$  is separated and of finite type.

**Proof.** \*)  $\mathbb{P}_{\mathbb{Z}}^n$  is separated. Indeed, By definition  $\mathbb{P}_{\mathbb{Z}}^n = \mathbb{P}^n \times_{\text{Spec}(\mathbb{Z})} \text{Spec}(\mathbb{Z})$ . Since  $\mathbb{P}^n \times_{\text{Spec}(\mathbb{Z})} \text{Spec}(\mathbb{Z}) \simeq \mathbb{P}^n$  (see proposition 2.4.3 i)) and  $\mathbb{P}^n = \text{Proj}(\mathbb{Z}[T_0, \dots, T_n])$  (see definition 2.5.18), so by theorem 2.5.6 i)  $\mathbb{P}^n$  is separated.

\*)  $\mathbb{P}_{\mathbb{Z}}^n$  is of finie type. Indeed, by construction,  $\mathbb{P}_{\mathbb{Z}}^n$  is of finite type over  $\mathbb{Z}$ .

**Theorem 2.5.7** Let  $S$  be a scheme. Then any projective morphism to  $S$  is proper, i.e. if  $f : X \longrightarrow S$  be a morphism of schemes. Then  $f$  is proper.

**Proof.** It suffices to see that for any  $n$ ,  $\mathbb{P}_{\mathbb{Z}}^n$  is proper over  $\text{Spec}(\mathbb{Z})$ . By proposition 2.5.28  $\mathbb{P}_{\mathbb{Z}}^n$  is separated and of finite type. It remains to show that it is universally closed. Let  $Z$  be a scheme, and let  $\pi_Z : \mathbb{P}_{\mathbb{Z}}^n := \mathbb{P}^n \times_{\text{Spec}(\mathbb{Z})} Z \longrightarrow Z$  be the canonical morphism. We must show that  $\pi_Z$  is closed (see remark 2.5.9). For the rest of the proof, the reader can consult Qing Liu book's [17], theorem 3.30, page 108.

**Corollary 2.5.3** We have the following properties :

- i) Closed immersions are projective morphisms.
- ii) The composition of two projective morphisms is projective morphism.
- iii) Projective morphisms are stable under base change.
- iv) Let  $f : X \longrightarrow S$  and  $g : Y \longrightarrow S$  be projective morphisms, then  $X \times_S Y \longrightarrow S$  is a projective morphism.

**Definition 2.5.20** (*projective schemes*) Let  $X$  be a scheme over  $S$ .

- i) We say that  $X$  is projective over  $S$  if the *structure morphism*  $f : X \longrightarrow S$  is projective.
- ii) We say that  $X$  is *quasi-projective* over  $S$  if the *structure morphism*  $f : X \longrightarrow S$  is *quasi-projective*.

## 2.6 Tangent spaces

Let  $X$  be a scheme and  $x \in X$ . Let  $\mathfrak{m}_x$  be the maximal ideal of  $\mathcal{O}_{X,x}$ , and  $k(x) = \mathcal{O}_{X,x}/\mathfrak{m}_x$  the *residual field* (see definition 2.3.3).

**Definition 2.6.1** Let  $X$  be a scheme, and let  $x \in X$ . Then  $\mathfrak{m}_x/\mathfrak{m}_x^2$  is a vector space over  $k(x)$  and the *Zariski tangent space* of  $X$  at  $x$  is by definition the dual vector space

$$T_x X = (\mathfrak{m}_x/\mathfrak{m}_x^2)^\vee.$$

**Remarks 2.6.1** 1) If  $\epsilon$  is a generic point of integral scheme  $X$ , we have  $\mathfrak{m}_\epsilon = 0$  ( see lemma 2.5.2 ). So the  $k(\epsilon)$ -vector space  $(\mathfrak{m}_\epsilon/\mathfrak{m}_\epsilon^2)^\vee$  does not contain any information about  $X$ .

2) For any point  $x \in X$ , if the local ring  $\mathcal{O}_{X,x}$  is *Noetherian*, *Nakayama's lemma* show that  $\dim_{k(x)}(\mathfrak{m}_x/\mathfrak{m}_x^2)$  is the minimal number of generators of  $\mathfrak{m}_x$  (see remark 1.5.2). In particular, if  $X$  is *locally Noetherian*,  $\dim_{k(x)}(T_x X)$  is finite.

3) For any open neighborhood of  $x$ , we have  $T_x X = T_x U$ .

4) Let  $f : X \longrightarrow Y$  be a morphism of schemes, let  $x \in X$  and  $y = f(x)$ . Then  $f_x^\# : \mathcal{O}_{Y,y} \longrightarrow \mathcal{O}_{X,x}$  canonically induced a  $k(x)$ -homomorphism of vector spaces

$$T_x f : T_x X \longrightarrow T_y Y \otimes_{k(y)} k(x).$$

and called the *tangent map* of at  $x$ .

**Proposition 2.6.1** Let  $X$  be a scheme. Then :

- i) If  $X$  is *locally Noetherian*, then any  $x \in X$   $\dim_{k(x)}(T_x X) \geq \dim(\mathcal{O}_{X,x})$ .
- ii) Let  $f : X \longrightarrow Y$  and  $g : Y \longrightarrow Z$  be a morphism of schemes. Then  $T_x g \circ f = (T_{f(y)} \otimes \text{id}_{k(x)}) \circ T_x f$

**Proof.** i) Let  $x \in X$ , and  $U = \text{Spec}(R)$  be an affine open neighborhood of  $x$ . Since  $X$  is locally Noetherian, then  $U$  is also Noetherian ( see theorem 2.5.1 ). Moreover,  $\mathcal{O}_{X,x} = R_P$ ,  $\mathfrak{m}_x = PR_P$  and  $k(x) = R_P/PR_P$  (see remarks 2.3.2 2). Since  $R$  is Noetherian, and  $R_P$  is local ring. So  $R_P$  is a Noetherian local ring. By lemma 1.5.4

$$\dim(\mathfrak{m}_x/\mathfrak{m}_x^2) \geq \dim(R_P).$$

So

$$\dim(T_x U) \geq \dim(\mathcal{O}_{X,x}).$$

Since

$$T_x U = T_x X.$$

Hence

$$\dim_{k(x)}(T_x X) \geq \dim(\mathcal{O}_{X,x}).$$

- ii) It follows from the definition.

**Definition 2.6.2** Let  $X$  be a locally Noetherian scheme, let  $x \in X$  be a point. We say that  $x$  is **regular** of  $X$  if  $\dim(\mathcal{O}_{X,x}) = \dim_{k(x)}(T_x X)$ . If  $x$  is not regular said to be **singular** point.

**Proposition 2.6.2** Let  $X$  be a locally Noetherian scheme. Then  $X$  is **regular** if and only if for any  $x \in X$ ,  $\dim(\mathcal{O}_{X,x}) = \dim_{k(x)}(T_x X)$ .

**Proof.**  $X$  is regular if and only if for all  $x \in X$ ,  $\mathcal{O}_{X,x}$  is regular if and only if for all  $x \in X$ ,  $\dim_{k(x)}(T_x X) = \dim(\mathcal{O}_{X,x})$ .

## 2.7 Modules over schemes

So far we discussed general properties of **sheaves**, in particular, of rings (see section 2.2.2). Similar as in the **module theory** in abstract algebra, the notion of **sheaves of modules** allows us to increase our understanding of a given ringed space, and to provide further techniques to play with functions, (or function-like objects). There are particularly important notions, namely, **quasi-coherent** and **coherent sheaves**. They are analogous notions of the usual **modules** (respectively, **finitely generated modules**) over a given ring. They also generalize the notion of **vector bundles**.

### 2.7.1 Sheaves of modules

Recall that an  $R$  module is just an additive abelian group equipped with a multiplicative action of  $R$ . Loosely speaking we can multiply members of the module by elements from the ring, and of course, the well known series of axioms must be satisfied. In a similar way, if  $X$  is a **ringed space**, we can also define an  $\mathcal{O}_X$  this the following definition.

**Definition 2.7.1** Let  $(X, \mathcal{O}_X)$  be a ringed space. A sheaf of  $\mathcal{O}_X$ -modules, or simply an  $\mathcal{O}_X$ -module, is a sheaf  $\mathcal{F}$  on  $X$  such that

- i) The group  $\mathcal{F}(U)$  is an  $\mathcal{O}_X(U)$ -module for each open set  $U \subseteq X$ .
- ii) For any  $V \subseteq U$  opens subsets of  $X$  the restriction map  $\text{res}_{U,V} : \mathcal{F}(U) \rightarrow \mathcal{F}(V)$  is compatible with the module structure via the rings homomorphism  $\mathcal{O}_X(U) \rightarrow \mathcal{O}_X(V)$ . In other words the natural diagram below is required to commute

$$\begin{array}{ccc} \mathcal{F}(U) \times \mathcal{O}_X(U) & \longrightarrow & \mathcal{F}(U) \\ \downarrow & & \downarrow \text{res}_{U,V} \\ \mathcal{F}(V) \times \mathcal{O}_X(V) & \longrightarrow & \mathcal{F}(V) \end{array}$$

where vertical arrows represent restrictions maps and horizontal ones multiplication maps.

**Definition 2.7.2** A morphism  $\psi : \mathcal{F} \rightarrow \mathcal{G}$  of  $\mathcal{O}_X$ -modules is a morphism of sheaves such that the map  $\psi(U) : \mathcal{F}(U) \rightarrow \mathcal{G}(U)$  is an  $\mathcal{O}_X(U)$ -module homomorphism for every open  $U \subseteq X$ .

**Remarks 2.7.1** i) We obtain a category of  $\mathcal{O}_X$ -modules, which we denote by  $\text{Mod}_X$ .

- ii) Let  $\mathcal{F}$  be an  $\mathcal{O}_X$ -module and  $x \in X$ , then the stalk  $\mathcal{F}_x$  carries a natural  $\mathcal{O}_{X,x}$ -module structure. The  $k(x)$ -vector space  $\mathcal{F}(x) := \mathcal{F}_x \otimes_{\mathcal{O}_{X,x}} k(x)$  is called the **fiber** of  $\mathcal{F}$  over  $x$ .

**Example 2.7.1** Let  $(X, \mathcal{O}_X)$  be a ringed space,  $\mathcal{F}, \mathcal{G}$  be  $\mathcal{O}_X$ -modules, and let  $\psi : \mathcal{F} \rightarrow \mathcal{G}$  be a morphism. Then :

- 1) " $\ker(\psi)$ ", " $\text{Im}(\psi)$ " are again  $\mathcal{O}_X$ -modules.
- 2) If  $\mathcal{F} \subseteq \mathcal{G}$  is an  $\mathcal{O}_X$ -submodule, then the quotient sheaf  $\mathcal{G}/\mathcal{F}$  (see definition 2.1.13) is an  $\mathcal{O}_X$ -module.

**Definition 2.7.3** Let  $\mathcal{F}, \mathcal{G}$  be two  $\mathcal{O}_X$ -modules

i) We denoted the group morphisms from  $\mathcal{F}$  to  $\mathcal{G}$  by  $\text{Hom}_X(\mathcal{F}, \mathcal{G})$  (or  $\text{Hom}_{\mathcal{O}_X}(\mathcal{F}, \mathcal{G})$ ).

ii) For  $U \subseteq X$ . The presheaf

$$U \longmapsto \text{Hom}_{\mathcal{O}_X}(\mathcal{F}|_U, \mathcal{G}|_U)$$

is a sheaf and we will call it the sheaf  $\text{Hom}$ .

iii) We may define the direct sum as

$$\mathcal{F} \oplus \mathcal{G} := \mathcal{F} \times \mathcal{G}.$$

More generally, Given a any set  $I$  and for each  $i \in I$  a  $\mathcal{O}_X$ -module we can form the direct sum

$$\bigoplus_{i \in I} \mathcal{F}_i$$

which is the sheafification of the presheaf that associates to each open  $U$  the direct sum of the modules  $\mathcal{F}_i(U)$ .

## Tensor product

Let  $\mathcal{F}, \mathcal{G}$  be sheaves of abelian groups on  $X$ . For any  $U \subseteq X$  open subset. We pose

$$U \longmapsto \mathcal{F}(U) \otimes_{\mathcal{O}_X(U)} \mathcal{G}(U).$$

It is clearly that define a presheaf on  $X$ .

**Definition 2.7.4** The sheaf associated to the presheaf  $U \longmapsto \mathcal{F}(U) \otimes_{\mathcal{O}_X(U)} \mathcal{G}(U)$  is called the **tensor product**. We denoted by  $\mathcal{F} \otimes_{\mathcal{O}_X} \mathcal{G}$ . If there is no confusing, we write  $\mathcal{F} \otimes \mathcal{G}$ .

**Properties 2.7.1** Let  $\mathcal{F}, \mathcal{G}$  be two  $\mathcal{O}_X$ -modules  $X$ .

i) Note that the stalk  $(\mathcal{F} \otimes \mathcal{G})_x$  at the point  $x$  is naturally isomorphic to tensor product  $\mathcal{F}_x \otimes_{\mathcal{O}_{X,x}} \mathcal{G}_x$ .

ii) Note that tensor product is right exact in the category of  $\mathcal{O}_X$ -modules i.e if  $\mathcal{F}$  is an  $\mathcal{O}_X$ -module and if

$$\mathcal{F}_1 \longrightarrow \mathcal{F}_2 \longrightarrow \mathcal{F}_3 \longrightarrow 0$$

is an exact sequence of  $\mathcal{O}_X$ -modules, then the induced sequence

$$\mathcal{F}_1 \otimes_{\mathcal{O}_X} \mathcal{F} \longrightarrow \mathcal{F}_2 \otimes_{\mathcal{O}_X} \mathcal{F} \longrightarrow \mathcal{F}_3 \otimes_{\mathcal{O}_X} \mathcal{F} \longrightarrow 0.$$

is exact.

iii) (Adjunction between  $\text{Hom}$  and  $\otimes$ ). Note that for three  $\mathcal{O}_X$ -modules  $\mathcal{F}, \mathcal{G}$  and there is natural isomorphism

$$\text{Hom}_{\mathcal{O}_X}(\mathcal{F}, \text{Hom}_{\mathcal{O}_X}(\mathcal{G}, \mathcal{H})) \simeq \text{Hom}_{\mathcal{O}_X}(\mathcal{F} \otimes \mathcal{G}, \mathcal{H}).$$

(See [9, 10.10, p. 187]).

## Pushforward and Pullback

Recall, that for any two topological spaces  $X$  and  $Y$  with continuous map  $f : X \longrightarrow Y$  between them. Let  $\mathcal{F}$  be sheaf of abelian groups. In section 2.1.2, we introduced two functors between The categories  $\text{Sh}_X$  and  $\text{Sh}_Y$ .

\* The **first functor** :

$$\begin{array}{ccc} f_* : \text{Sh}_X & \longrightarrow & \text{Sh}_Y \\ \mathcal{F} & \longmapsto & f_* \mathcal{F} \end{array}$$

and  $f_* \mathcal{F}(U) = \mathcal{F}(f^{-1}(U))$  for any  $U$  open of  $Y$ . This functor is called the **pushforward** (see definition 2.1.14).

\* The **second functor** :

$$\begin{array}{ccc} f^{-1} : \text{Sh}_Y & \longrightarrow & \text{Sh}_X \\ \mathcal{G} & \longmapsto & f^{-1} \mathcal{G} \end{array}$$

and  $f^{-1} \mathcal{G}(U) = (f_p \mathcal{G})^+(U)$  for any open  $U$  of  $X$  (see definition 2.1.17). If we suppose that  $\mathcal{C} = \text{AbGrp}$ , so we obtain two functors between  $\text{AbSh}_X$  and  $\text{AbSh}_Y$

In this paragraph, we parallel these two constructions when  $f$  is a morphism of schemes to obtain functors  $f_*$  and  $f^*$  between  $\text{Mod}_X$  and  $\text{Mod}_Y$ .

## Pushforward

Let  $f : (X, \mathcal{O}_X) \longrightarrow (Y, \mathcal{O}_Y)$  be a morphism of schemes. Let  $\mathcal{F}$  be an abelian sheaf on  $X$ , for any open  $U \subseteq Y$  we have  $f_*\mathcal{F}(U) = \mathcal{F}(f^{-1}(U))$ . In particular, we have  $f_*\mathcal{O}_X(U) = \mathcal{O}_X(f^{-1}(U))$ . When  $\mathcal{F}$  is an  $\mathcal{O}_X$ -module, then for each  $U \subseteq Y$ , it is then clear that  $f_*\mathcal{F}(U)$  is a module over  $f_*\mathcal{O}_X$ . Using the rings homomorphism  $f^\# : \mathcal{O}_Y \longrightarrow f_*\mathcal{O}_X$  to equip  $f_*\mathcal{F}$  with a natural  $\mathcal{O}_Y$ -module.

**Definition 2.7.5** The above  $\mathcal{O}_Y$ -module  $f_*\mathcal{F}$  is called the **direct image** (or the **pushforward**) of  $\mathcal{F}$  under  $f$ .

**Remarks 2.7.2** i) This construction is clearly functorial in the sheaf  $\mathcal{F}$ , and as in the section 2.1.2 we obtain a functor  $f_* : \text{Mod}_X \longrightarrow \text{Mod}_Y$ .

ii) The pushforward is functorial in the morphism  $f$  in the sens that  $(f \circ g)_* = f_* \circ g_*$  when  $f$  and  $g$  are composable morphism of schemes (see lemma 2.1.3).

**Proposition 2.7.1** Let  $f : (X, \mathcal{O}_X) \longrightarrow (Y, \mathcal{O}_Y)$  be a morphism of schemes. The functor

$$f_* : \text{Mod}_{\mathcal{O}_X} \longrightarrow \text{Mod}_{\mathcal{O}_Y}$$

is left exact.

**Proof.** See [29, Section 18.14, Lemma 18.14.3] 1).

## Pullback

Let  $f : (X, \mathcal{O}_X) \longrightarrow (Y, \mathcal{O}_Y)$  be a morphism of schemes. The pullback of sheaf of  $\mathcal{O}_Y$ -module more difficult to define (see section 2.1.2, definition 2.1.17).

Recall that if  $\mathcal{G}$  is a sheaf on  $Y$ , the inverse image  $f^{-1}\mathcal{G}$  is by sheafifying the presheaf

$$f_p\mathcal{G}(U) = \varinjlim_{f(U) \subseteq V} \mathcal{G}(V)$$

(see definition 2.1.16, definition 2.1.17). When  $\mathcal{G}$  is an  $\mathcal{O}_Y$ -module, this sheaf is natural an  $\mathcal{O}_X$  an  $f^{-1}\mathcal{O}_Y$ -module and we can make  $f^{-1}\mathcal{G}$  into an  $\mathcal{O}_X$ -module using the map  $f^{-1}\mathcal{O}_Y \longrightarrow \mathcal{O}_X$ .

We take the tensor product and define :

$$f^*\mathcal{G} := f^{-1}\mathcal{G} \otimes_{f^{-1}\mathcal{O}_Y} \mathcal{O}_X.$$

**Definition 2.7.6** The  $\mathcal{O}_X$ -module  $f^*\mathcal{G}$  is called the **pullback** of  $\mathcal{G}$  under  $f$ .

**Remarks 2.7.3** i) In particular,  $f^*\mathcal{O}_Y = f^{-1}\mathcal{O}_Y \otimes_{f^{-1}\mathcal{O}_Y} \mathcal{O}_X = \mathcal{O}_X$ .

iii) As in the case of the **pushforward**, also we get a functor  $f^* : \text{Mod}_{\mathcal{O}_Y} \longrightarrow \text{Mod}_{\mathcal{O}_X}$ .

iv) Note that  $f^*$  commutes with all colimits.

**Proposition 2.7.2** Let  $X$  be a scheme, for any  $x \in X$  we have

$$(f^*\mathcal{G})_x = \mathcal{G}_{f(x)} \otimes_{\mathcal{O}_{Y,f(x)}} \mathcal{O}_{X,x}$$

**Proof.** The stalks commutes with sheafification and tensor product ( see properties 2.7.1 i) ), and  $(f^{-1}\mathcal{G})_x = \mathcal{G}_{f(x)}$  (see lemma 2.1.4). So

$$\begin{aligned} (f^*\mathcal{G})_x &= (f^{-1}\mathcal{G} \otimes_{f^{-1}\mathcal{O}_Y} \mathcal{O}_X)_x \\ &= (f^{-1}\mathcal{G})_x \otimes_{f^{-1}\mathcal{O}_{Y,x}} \mathcal{O}_{X,x} \\ &= \mathcal{G}_{f(x)} \otimes_{\mathcal{O}_{Y,f(x)}} \mathcal{O}_{X,x}. \end{aligned}$$

**Proposition 2.7.3** Let  $f : (X, \mathcal{O}_X) \longrightarrow (Y, \mathcal{O}_Y)$  be a morphism of schemes. The functor

$$f^* : \text{Mod}_{\mathcal{O}_Y} \longrightarrow \text{Mod}_{\mathcal{O}_X}$$

is right exact.

**Proof.** See [29, Section 18.14, Lemma 18.14.3].

## Global generation

Let  $(X, \mathcal{O}_X)$  be a ringed space. Let  $\mathcal{F}$  be an  $\mathcal{O}_X$ -module, for any open subset  $U$  of  $X$  we have  $\mathcal{F}_x$  is an  $\mathcal{O}_{X,x}$ -module (see remarks 2.7.1), we have an  $\mathcal{O}_X(X)$ -module homomorphism  $\mathcal{F}(X) \rightarrow \mathcal{F}_x$ .

**Definition 2.7.7** i)  $\mathcal{F}$  is **globally generated** at  $x \in X$  if the image of  $\mathcal{F}(X) \rightarrow \mathcal{F}_x$  generates  $\mathcal{F}_x$  as an  $\mathcal{O}_{X,x}$ -module. In other words,  $\mathcal{F}(X) \otimes_{\mathcal{O}_X(X)} \mathcal{O}_{X,x} \rightarrow \mathcal{F}_x$  is surjective.

ii) We say that  $\mathcal{F}$  is **globally generated** if  $\mathcal{F}$  is **globally generated** at every point  $x \in X$ .

**Remark 2.7.1** For instance  $\mathcal{O}_X$  is globally generated, any  $\bigoplus_{i \in I} \mathcal{O}_X$  is also.

**Proposition 2.7.4** Let  $\mathcal{F}$  be an  $\mathcal{O}_X$ -module. Then  $\mathcal{F}$  is **generally generated** if and only if there is an epimorphism  $\bigoplus_{i \in I} \mathcal{O}_X \rightarrow \mathcal{F}$ .

**Proof.** If  $\mathcal{F}$  is generally generated the homomorphism  $\bigoplus_{\mathcal{F}(X)} \mathcal{O}_X \rightarrow \mathcal{F}$  sending the basis element correspond to  $s$  to  $s|_U$  is surjective. The other direction is the example above.

**Remark 2.7.2** We can also see [17, Chap.5, proof of lemma 1.3, p.158].

## 2.7.2 Quasi-coherent modules

In this section, we introduce an abstract notion of **quasi-coherent**  $\mathcal{O}_X$ -module. This notion is very useful in algebraic geometry, since **quasi-coherent** modules on a scheme have a good description on any affine open.

### Quasi-coherent sheaves

**Definition 2.7.8** Let  $(X, \mathcal{O}_X)$  be a ringed space. Let  $\mathcal{F}$  be a sheaf of  $\mathcal{O}_X$ -modules. We say that  $\mathcal{F}$  is a **quasi-coherent** sheaf of  $\mathcal{O}_X$ -modules if for every point  $x \in X$  there exists an open neighbourhood  $x \in U \subseteq X$  such that  $\mathcal{F}|_U$  is isomorphic to the cokernel of a map

$$\bigoplus_{j \in J} \mathcal{O}_U \rightarrow \bigoplus_{i \in I} \mathcal{O}_U.$$

Note that the direct sum of two quasi-coherent  $\mathcal{O}_X$ -modules is quasi-coherent  $\mathcal{O}_X$ -modules.

**Warning :** It is not true in general that an infinite direct sum of quasi-coherent  $\mathcal{O}_X$ -modules is quasi-coherent (see [29, chap. 17.10.9, Example 10.9]).

**Notation.** We will denote The category of **quasi-coherent**  $\mathcal{O}_X$ -modules by  $\mathcal{Q}\text{Coh}_{\mathcal{O}_X}$ .

**Remark 2.7.3 (Connection to another definition)**  $\mathcal{F}$  is quasi-coherent if and only if there exists an open cover  $\{U_i\}_i$  of  $X$  such that on each  $U_i$ ,  $\mathcal{F}|_{U_i}$  is isomorphic to the cokernel of a map

$$\bigoplus_I \mathcal{O}_{U_i} \rightarrow \bigoplus_J \mathcal{O}_{U_i} \rightarrow \mathcal{F}|_{U_i} \rightarrow 0.$$

$i$  is exact.

**Example 2.7.2** The structure sheaf  $\mathcal{O}_X$  is quasi-coherent.

**Proposition 2.7.5** Let  $f : (X, \mathcal{O}_X) \rightarrow (Y, \mathcal{O}_Y)$  be a morphism of ringed spaces. The pullback  $f^*\mathcal{G}$  of quasi-coherent  $\mathcal{O}_Y$ -module is quasi-coherent.

**Proof.** If we have an exact sequence

$$\bigoplus_{j \in J} \mathcal{O}_Y \rightarrow \bigoplus_{i \in I} \mathcal{O}_Y \rightarrow \mathcal{G} \rightarrow 0.$$

Then upon applying  $f^*$  we get the exact sequence

$$\bigoplus_{j \in J} f^*\mathcal{O}_Y \rightarrow \bigoplus_{i \in I} f^*\mathcal{O}_Y \rightarrow f^*\mathcal{G} \rightarrow 0.$$

**Remark 2.7.4** This gives plenty of examples of quasi-coherent sheaves.



### 2.7.3 Sheaves associated to modules

Since thinking about affine schemes is supposed to be equivalent to thinking about rings (The two categories are equivalent see theorem 2.3.2), we would like our thinking about sheaves of modules on affine schemes to be equivalent to thinking about modules over rings.

In this section, we will define the sheaf to modules.

**Definition 2.7.9** Let  $R$  be a ring and let  $M$  be an  $R$ -module we define the sheaf associated to  $M$  on  $X = \text{Spec}(R)$ , denoted by  $\tilde{M}$ , as follows. For any open subset  $U$  of  $X$  we define

$$\tilde{M}(U) := \{s : U \longrightarrow \coprod_{P \in X} M_P \mid \text{for all } P \in U, \text{ we have } s(P) \in M_P, \text{ and for all } P \in U \text{ there is } a \in M, r \in R, \text{ and } V \subseteq U \text{ such that } V \subseteq D(r) \text{ and } s(Q) = \frac{a}{r} \text{ for all } Q \in V\}$$

Notice that the definition 2.3.1 is the case  $M = R$ .

**Remark 2.7.5** The sheaf  $\tilde{M}$  carries an obvious  $\mathcal{O}_X$ -module structure (see [12, Proposition 5.2, p. 110]). The  $\sim$  is functorial in  $M$ . For any  $R$ -module homomorphism  $f : M \longrightarrow N$  there is an obvious way of obtaining an  $\mathcal{O}_X$ -module homomorphism  $\tilde{f} : \tilde{M} \longrightarrow \tilde{N}$ . Indeed, The maps  $f_r : M_r \longrightarrow N_r$  are  $\mathcal{O}_X(D(r))$ -modules homomorphisms compatible with localization maps i.e the following diagram

$$\begin{array}{ccc} M_r & \xrightarrow{f_r} & N_r \\ \downarrow & & \downarrow \\ M_d & \xrightarrow{f_d} & N_d \end{array}$$

is commutative, and thus induce a map between  $\tilde{M}$  and  $\tilde{N}$ . Moreover, one has  $\widetilde{f \circ g} = \tilde{f} \circ \tilde{g}$ . So We have thus defined a functor from the category of  $R$ -modules to the category of  $\mathcal{O}_X$ -modules.

**Proposition 2.7.6** Let  $R$  be a ring and  $M$  be an  $R$ -modules. The sheaf  $\tilde{M}$  on  $\text{Spec}(R)$  has the following three properties :

i) For all  $r \in R$ , we have a canonical isomorphism

$$\tilde{M}(D(r)) \simeq M_r.$$

ii) If  $d \in R$  and  $d \in (r)$ , then there is a commutative diagram

$$\begin{array}{ccc} \tilde{M}(D(r)) & \xrightarrow{\quad} & \tilde{M}(D(d)) \\ \downarrow \simeq & & \downarrow \simeq \\ \tilde{M}_r & \xrightarrow{\quad} & \tilde{M}_d \end{array}$$

where the vertical isomorphisms come from i).

iii) There is natural isomorphism  $\tilde{M}_P \simeq M_P$  for all  $P \in \text{Spec}(R)$ . This a natural isomorphism fits in a commutative diagram

$$\begin{array}{ccc} \tilde{M}_P & \xrightarrow{\simeq} & M_P \\ \uparrow & & \uparrow \\ \tilde{M}(\text{Spec}(R)) & \xrightarrow{\simeq} & M \end{array}$$

Here the vertical morphisms are the natural ones and the lower horizontal one comes from i).

**Proof.** The proof of this Proposition is similar to the proof of proposition 2.3.1. We can also see [17, Proposition 5.10].

**Remark 2.7.6** In the identification of the principal open subset  $D(r)$  with  $\text{Spec}(R_r)$  (see proof of proposition 2.2.6), the  $\mathcal{O}_X$ -module  $\tilde{M}$  restricts to  $\tilde{M}_r$ .

**Lemma 2.7.1** For any two  $R$ -modules  $M$  and  $N$ . Then

$$\text{Hom}_R(M, N) \simeq \text{Hom}_{\mathcal{O}_X}(\tilde{M}, \tilde{N}).$$

**Theorem 2.7.1** The functor  $M \longrightarrow \tilde{M}$  from the category of  $R$ -modules to the category of  $\mathcal{O}_X$ -modules where  $X = \text{Spec}(R)$  is exact and fully faithful.

**Proof.** \*  $M \longrightarrow \tilde{M}$  is exact. Indeed, Assume given an exact sequence of  $R$ -modules

$$0 \longrightarrow M' \longrightarrow M \longrightarrow M'' \longrightarrow M''' \longrightarrow 0.$$

That the induced sequence of  $\mathcal{O}_X$ -modules

$$0 \longrightarrow \tilde{M}' \longrightarrow \tilde{M} \longrightarrow \tilde{M}'' \longrightarrow \tilde{M}''' \longrightarrow 0.$$

is exact direct consequence of the proposition 2.7.6, and theorem 2.1.3.

### Tensor products, Pushforward and Pullback

**Proposition 2.7.7** Let  $R$  be a ring and let  $X = \text{Spec}(R)$ . Also let  $\psi : R \longrightarrow A$  be a ring homomorphism, and let  $f : \text{Spec}(A) \longrightarrow \text{Spec}(R)$  be the corresponding morphism of spectra. Then :

i) If  $M$  and  $N$  are two  $R$ -modules. Then  $\widetilde{M \otimes_R N} \simeq \tilde{M} \otimes_{\mathcal{O}_X} \tilde{N}$ .

ii) The  $A$ -module  $M$  can be considered as an  $R$ -module via the map  $\psi : R \longrightarrow A$ , and we denote this  $A$ -module by  $M_R$ . Then

$$f_* \tilde{M} = \tilde{M}_R.$$

iii) If  $M$  be an  $R$ -module. Then

$$f^* \tilde{M} = \widetilde{M \otimes_R A}.$$

iv) If  $\{M_i\}$  is any family of  $R$ -modules, then  $\widetilde{\bigoplus_i M_i} = \bigoplus_i \tilde{M}_i$ .

**Proof.** i) Let  $\mathcal{B}$  be the basis for the Zariski topology consisting of principals open sets (see proposition 2.3.2). The tensor product  $\widetilde{M \otimes_R N}$  is the sheaf associated to the presheaf  $\mathcal{G}$  given as

$$U \longmapsto \tilde{M}(U) \otimes_{\mathcal{O}_X(U)} \tilde{N}(U)$$

Over  $U = D(r)$  the sections of  $\widetilde{M \otimes_R N}$  equals  $(N \otimes M)_r$ , so there is a map of  $\mathcal{B}$ -presheaves  $\mathcal{G} \longrightarrow \widetilde{M \otimes_R N}$  coming from the assignment  $\frac{m}{r^t} \otimes \frac{n}{r^k}$  to  $\frac{m \otimes n}{r^{t+k}}$ , which in fact induces an isomorphism  $M_r \otimes_R N_r \simeq (M \otimes_R N)_r$ . Hence After sheafifying and extending the  $\mathcal{B}$ -sheaves, we obtain a the desired map of sheaves

$$\tilde{M} \otimes_R \tilde{N} \longrightarrow \widetilde{M \otimes_R N}.$$

This is an isomorphism since it is an isomorphism over every principals open set (see definition 2.1.6).

ii) Let  $r \in R$ , we have  $f^{-1}(D(r)) = D(\psi(r))$  (see proof of proposition 2.2.4) from which it follows that

$$f_*(D(r)) = \tilde{M}(f^{-1}(D(r))) = M_{\psi(r)}.$$

Moreover, an element  $r \in R$  acts on  $M_R$  as multiplication by  $\psi(r)$  : this means that the module on the right is isomorphic to  $(M_R)_r = \tilde{M}_R$ . Thus there is an isomorphism of  $\mathcal{B}$ -sheaves  $f_* \tilde{M} = \tilde{M}_R$ .

iii) See [9, Proposition 10.18, p.195].

iv) See [12, Proposition 5.2, p.110].

**Remark 2.7.7** For the  $\mathcal{B}$ -sheaves see [9, Section 1.9 "Sheaves defined on a basis", p.44].

**Theorem 2.7.2**  $\tilde{M}$  is *quasi-coherent* sheaf.

**Proof.** Take a presentation

$$\bigoplus_J R \longrightarrow \bigoplus_I R \longrightarrow M \longrightarrow 0.$$

By compatibility with direct sums (see proposition 2.7.7) and exactness (see theorem 2.7.1) it induces a presentation

$$\bigoplus_J \mathcal{O}_X \longrightarrow \bigoplus_I \mathcal{O}_X \longrightarrow \tilde{M} \longrightarrow 0$$

as needed.

Note that For any sheaf of  $\mathcal{O}_X$ -modules on an affine  $X$  there is a canonical homomorphism  $\widetilde{\mathcal{F}(X)} \longrightarrow \mathcal{F}$  (see [9, Lemma 10.10, p.192]).

**Proposition 2.7.8** Suppose  $X = \text{Spec}(R)$  affine, and

$$\bigoplus_J \mathcal{O}_X \longrightarrow \bigoplus_I \mathcal{O}_X \xrightarrow{\beta} \mathcal{F} \longrightarrow 0$$

a presentation. Then

$$\widetilde{\mathcal{F}(X)} \longrightarrow \mathcal{F}$$

is an isomorphism

**Proof.** Write  $M = \text{Im}(\beta(X))$ . So

$$\bigoplus_J R \longrightarrow \bigoplus_I R \longrightarrow M \longrightarrow 0$$

is exact, and so

$$\bigoplus_J \mathcal{O}_X \longrightarrow \bigoplus_I \mathcal{O}_X \longrightarrow \tilde{M} \longrightarrow 0.$$

is exact. Hence  $\tilde{M} \longrightarrow \mathcal{F}$  is an isomorphism and  $M = \mathcal{F}(X)$ .

**Remark 2.7.8** This proposition implies that  $\mathcal{F}$  is *quasi-coherent* if and only if there is some covering by  $U_i = \text{Spec}(R_i)$  with  $\mathcal{F}|_{U_i} = \tilde{M}_i$ .

**Lemma 2.7.2** Let  $\mathcal{F}$  be a quasi-coherent sheaf on a scheme  $X$ . We suppose  $X$  is Noetherian. Then for any  $f \in \mathcal{O}_X(X)$  the canonical homomorphism  $\mathcal{F}(X)_f = \mathcal{F}(X) \otimes_{\mathcal{O}_X(X)} \mathcal{O}_X(X_f) \longrightarrow \mathcal{F}(X_f)$  where  $X_f := \{x \in X | f_x \in \mathcal{O}_{X,x}^*\}$  is an isomorphism.

**Proof.** For every point  $x \in X$  has an affine open neighborhood  $U$  such that the canonical homomorphism  $\tilde{\mathcal{F}}(U) \longrightarrow \mathcal{F}|_U$  is an isomorphism. Indeed, By our assumption on  $X$ , there exist an open affine neighborhood  $U$  of  $x$  and an exact sequence of  $\mathcal{O}_X$ -modules

$$\mathcal{O}_{X|U}^{(J)} \longrightarrow \mathcal{O}_{X|U}^{(I)} \longrightarrow \mathcal{F}|_U \longrightarrow 0.$$

Let  $M = \text{Im}(\beta(U))$ . By theorem 2.7.1 we have an exact sequence

$$\mathcal{O}_{X|U}^{(J)} \longrightarrow \mathcal{O}_{X|U}^{(I)} \longrightarrow \tilde{M} \longrightarrow 0.$$

which implies that  $\mathcal{F}|_U \simeq \tilde{M}$  and we have  $M = \tilde{M}(U) = \mathcal{F}(U)$ . As  $X$  is Noetherian, we can cover  $X$  with a finite number of affine open subsets  $X_i$  (see definition 2.5.1) such that  $\mathcal{F}|_{X_i} \simeq \mathcal{F}(X_i)$ . Let  $Y_i = X_i \cap X_f = D(f|_{X_i})$ . Then  $X_f$  is the union of the  $Y_i := X_i \cap X_f = D(f|_{X_i})$ . To ease notation we still denote by  $f$  its restriction to any

open subset of  $X$ . With  $\mathcal{O}_X(X_i)_f = \mathcal{O}_X(Y_i)$  and the well known exact sequence which characterizes a sheaf, we have a commutative diagram

$$\begin{array}{ccccccc} 0 & \longrightarrow & \mathcal{F}(X)_f & \longrightarrow & \oplus_i \mathcal{F}(X_i)_f & \longrightarrow & \oplus_{i,j} \mathcal{F}(X_i \cap X_j)_f \\ & & \downarrow & & \downarrow \beta & & \downarrow \\ 0 & \longrightarrow & \mathcal{F}(X_f) & \longrightarrow & \oplus_i \mathcal{F}(Y_i) & \longrightarrow & \oplus_{i,j} \mathcal{F}(X_i \cap X_j) \end{array}$$

where the horizontal rows are exact. The homomorphism  $\beta$  is an isomorphism because  $\mathcal{F}|_{X_i} \simeq \widetilde{\mathcal{F}(X_i)}$ . Again we may apply the same reasoning to  $X_i \cap X_j$  since  $X$  is Noetherian

**Corollary 2.7.1** If  $X = \text{Spec}(R)$  is an affine scheme, and  $\mathcal{F}$  be a quasi-coherent  $\mathcal{O}_X$ -module. Let  $D(r) \subseteq X$  be a principal open set.  $\mathcal{F}(D(r)) \simeq \mathcal{F}(X)_r$ .

**Proof.** It follows from lemma 2.7.8.

**Proposition 2.7.9** Let  $X$  be a scheme. Then an  $\mathcal{O}_X$ -module  $\mathcal{F}$  is **quasi-coherent** if and only if for every open affine subset  $U = \text{Spec}(R)$  of  $X$ , there is an  $R$ -module  $M$  such that  $\mathcal{F}|_U \simeq \tilde{M}$ .

**Proof.** Suppose that  $\mathcal{F}$  is quasi-coherent and let  $U$  be an affine open subset of  $X$ . For any  $r \in \mathcal{O}_X(U)$ , we have  $\mathcal{F}(U)_r \simeq \mathcal{F}(\tilde{D}(r))$  by lemma 2.7.8. Thus  $\mathcal{F}|_U \simeq \widetilde{\mathcal{F}(U)}$ . Conversely, let  $X \cup_{i \in I} U_i$  be an affine open covering of  $X$ . By hypothesis, we have  $\mathcal{F}|_{U_i} \simeq \widetilde{\mathcal{F}(U_i)}$  for each  $i \in I$ , this is nothing else but theorem 2.7.2

(\*\*\*) In the language of category, we may rephrase the above proposition 2.7.9 as follows. If  $X = \text{Spec}(R)$ , the functor  $M \longrightarrow \tilde{M}$  induces an equivalence of categories between the category of  $R$ -**modules** and the category of **quasi-coherent**  $\mathcal{O}_X$ -modules. With the global section functor as inverse ( $\tilde{M} \longrightarrow \tilde{M}(X) = M$ )

## 2.7.4 Coherent sheaves

The notion of coherent sheaf was actually introduced by **Henri Cartan**<sup>¶</sup> in the theory of holomorphic functions of several variables around 1944.

**Definition 2.7.10** Let  $X$  be a ringed space, and let  $\mathcal{F}$  be a sheaf of  $\mathcal{O}_X$ -module.

- i) We say that  $\mathcal{F}$  is **finitely generated** if for every  $x \in X$ , there exist an open neighborhood  $U$  of  $x$ , an integer  $n \geq 1$  and a surjective homomorphism on  $\mathcal{O}_{X|U}^n \longrightarrow \mathcal{F}|_U$ .
- ii) We say that  $\mathcal{F}$  is **coherent** if it is finitely generated, and if for every every open subset  $U$  of  $X$ , and for every homomorphism  $\beta : \mathcal{O}_{X|U}^n \longrightarrow \mathcal{F}|_U$ , Let  $(X, \mathcal{O}_X)$  the kernel  $\text{Ker}(\beta)$  is finitely generated.

**Remarks 2.7.4** i)  $\mathcal{O}_X^r := \bigoplus_{i=1}^r \mathcal{O}_X$ .

ii) For simplicity, we will not mention coherent sheaves unless the scheme is noetherian.

iii) Any quasi-coherent sheaf on a Noetherian scheme is the direct limit of its coherent subsheaves. For proof of this statement we refer the reader to [11, Section 6.9].

**Theorem 2.7.3** Let  $X$  be a scheme. Let  $\mathcal{F}$  be a quasi-coherent  $\mathcal{O}_X$ -module. Let us consider the following properties :

- i)  $\mathcal{F}$  is coherent.
- ii)  $\mathcal{F}$  is finitely generated.
- iii) For every affine open subset  $U$  of  $X$ ,  $\mathcal{F}(U)$  is finitely generated over  $\mathcal{O}_X(U)$ .

<sup>¶</sup>**Henri Cartan**, in full Henri-Paul Cartan, (born July 8, 1904, Nancy, France-died Aug. 13, 2008, Paris), French mathematician who made fundamental advances in the theory of analytic functions. Son of the distinguished mathematician Élie Cartan.

Then  $i) \Rightarrow ii) \Rightarrow iii)$ . Moreover, if  $X$  is locally Noetherian then these properties are equivalent.

**Proof.** \*  $i) \Rightarrow ii)$  it's follows from definition. Let us suppose  $\mathcal{F}$  is finitely generated. Let  $U$  be an affine open subset of  $X$ . Then  $U$  can be covered with a finite number of principal open subsets  $D(r_i)$  (see theorem 2.2.1 and lemma 2.2.2) such that there exists an exact sequence

$$\mathcal{O}_{X|D(r_i)}^n \longrightarrow \mathcal{F}|_{D(r_i)} \longrightarrow 0.$$

It follows that the sequence of  $\mathcal{O}_X(D(r_i))$ -modules

$$\mathcal{O}_{X|D(r_i)}^n \longrightarrow \mathcal{F}|_{D(r_i)} \longrightarrow 0.$$

is exact (see theorem 2.7.1). In particular,  $\mathcal{F}(D(r_i))$  is finitely generated over  $\mathcal{O}_X(D(r_i))$ . Since

$$\mathcal{F}(D(r_i)) = \mathcal{F}(U) \otimes_{\mathcal{O}_X(U)} \mathcal{O}_X(D(r_i)).$$

There exists a finitely generated sub- $\mathcal{O}_X$ -module  $M$  of  $\mathcal{F}(U)$  such that

$$\mathcal{F}(D(r_i)) = M \otimes_{\mathcal{O}_X(U)} \mathcal{O}_X(D(r_i))$$

Enlarging  $M$ , if necessary, we may suppose that this equality holds for every  $i$ . Then the sequence

$$\tilde{M} \longrightarrow \mathcal{F}|_U \longrightarrow 0$$

is then exact because it is exact on every  $D(r_i)$ , consequently,  $\tilde{M} \longrightarrow \mathcal{F}|_U$  is surjective and  $ii)$  implies  $iii)$ .

\*  $iii) \Rightarrow i)$  We now suppose  $iii)$  is true and  $X$  is locally noetherian. We want to show that  $\mathcal{F}$  is coherent. Let  $U$  be an open subset of  $X$  and  $\beta : \mathcal{O}_{X|U} \longrightarrow \mathcal{F}|_U$  a homomorphism. We need to show that  $\text{Ker}(\beta)$  is finitely generated. As this is a local property we may assume that  $U$  is affine. Then  $\mathcal{F}|_U = \tilde{M}$  (see proposition 2.7.9). Then  $\text{Ker}(\beta) = \widetilde{(\text{Ker} \beta(U))}$  by Proposition 2.7.8. Now,  $\text{Ker}(\beta(U))$  is finitely generated because  $\mathcal{O}_X(U)$  (see definition 2.5.1) is noetherian. Therefore  $\text{Ker}(\beta)$  is finitely generated and  $\mathcal{F}$  is coherent.

## Coherence of pushforwards

**Proposition 2.7.10** Let  $f : X \longrightarrow Y$  be a finite morphism of schemes.

- i) If  $\mathcal{F}$  be a quasi-coherent sheaf on  $X$ , then  $f_*\mathcal{F}$  is quasi-coherent on  $Y$ .
- ii) If  $X$  and  $Y$  are Noetherian,  $f_*\mathcal{F}$  is even coherent if  $\mathcal{F}$  is.

**Proof.** i) Since  $f$  is finite, we can cover  $Y$  by open affines  $\text{Spec}(R)$  such that each  $f^{-1}(\text{Spec}(R)) = \text{Spec}(A)$  is also affine (see definition 2.5.2), where  $A$  is a finite  $R$ -module. We then have  $f_*\mathcal{F}(\text{Spec}(R)) = \mathcal{F}(\text{Spec}(A))$ . Now, since  $\mathcal{F}$  is quasi-coherent, we have  $\mathcal{F}|_{\text{Spec}(A)} = \tilde{M}$  for some  $A$ -module, which we can view as an  $R$ -module via  $f^\sharp(Y) : R \longrightarrow A$  is quasi-coherent.

- ii) If  $X$  and  $Y$  are noetherian, and  $\mathcal{F}$  is coherent, the module  $M$  is finitely generated as an  $A$ -module, and hence as an  $R$ -module, since  $A$  is a finite  $R$ -module.

**Notation.** The category of coherent  $\mathcal{O}_X$ -modules is denoted  $\text{Coh}(\mathcal{O}_X)$ .

## Sheaves of Ideals

**Definition 2.7.11** A sheaf of ideals on a scheme  $X$  is a subsheaf of  $\mathcal{O}_X$ -modules of  $\mathcal{O}_X$  (just as for a commutative ring  $R$ , an ideal is a sub- $R$ -module of  $R$ ). Let  $X$  be a scheme and  $Z \subseteq X$  a closed subscheme. Then, as a part of the structure, we get a homomorphism of sheaves of rings

$$\psi : \mathcal{O}_X \longrightarrow i_*\mathcal{O}_Z.$$

This makes  $i_*\mathcal{O}_Z$  a sheaf  $\mathcal{O}_X$ -module we call the sheaf of  $\mathcal{O}_X$ -ideals  $\text{ker}(\psi)$  the sheaf of ideals associated with the closed subscheme  $Z \subseteq X$ .

**Notation.** Sometimes, the ideal sheaf associated a subscheme  $Z \subseteq X$  denoted by  $\mathcal{I}_Z$ .

**Lemma 2.7.3** The kernel, cokernel, and image of any morphisms of quasi-coherent sheaves are quasi-coherent.

**Proof.** We may assume  $X$  is affine and the results can be deduced from  $(***)$ .

**Proposition 2.7.11** Let  $X$  be a scheme.

- i) For any closed subscheme  $Z$  of  $X$ , the corresponding ideal sheaf  $\mathcal{I}_Z$  is quasi-coherent sheaf of ideals on  $X$ .
- ii) Conversely, for any sheaf of ideals  $\mathcal{I}$  on  $X$  which is quasi-coherent, there exists a closed subscheme  $Z \subseteq X$  such that  $\mathcal{I}$  is isomorphic to the sheaf of ideals associated with  $Z$ .

**Proof.** i) If  $Z$  is a closed subscheme of  $X$ , then the inclusion morphism  $i : Z \rightarrow X$  is compact and separated so we apply proposition 2.7.10 and thus  $i_*\mathcal{O}_Z$  is quasi-coherent on  $X$ . Hence  $\mathcal{I}_Z$ , being the kernel of morphism of quasi-coherent sheaves, is quasi-coherent by lemma 2.7.3.

- ii) By definition, for  $X = \text{Spec}(R)$  affine, a quasi-coherent sheaf of ideals is of the  $J \otimes_R \mathcal{O}_X$  where  $J$  is an ideal of  $R$ , so it is associated with the closed subscheme  $Z = \text{Spec}(R/J)$ .

As an application of proposition 2.7.11, we can give an easy proof of the following.

**Proposition 2.7.12** Let  $R$  be a **Dedekind domain**<sup>††</sup>. Then every nonzero ideal  $I \subseteq R$  factors, uniquely up to order of terms, as

$$I = \prod_{i=1}^r P_i^{\alpha_i}$$

where  $P_i$  are prime ideals.

**Proof.** Let  $P$  be a maximal ideal of  $R$ . In  $R_P$ , the ideal generated by  $I$  is principal by Consider the generator  $x$ . Since  $R$  is Noetherian,  $I$  is finitely generated, so  $x$  generates the ideal generated by  $I$  in  $r^{-1}R$  for some  $r \notin P$ . Now, Thinking geometrically, the closed subscheme  $Z(I)$  of  $\text{Spec}(R)$  is discrete as a topological space, and hence finite. Finite subschemes of  $\text{Spec}(R)$  are of the form  $Z(P_1^{\alpha_1}, \dots, P_m^{\alpha_m})$  where  $P_i$  are prime ideals. Uniqueness follows from the bijective correspondence between ideals in  $R$  and closed subschemes of  $\text{Spec}(R)$  (see theorem 2.2.2).

## 2.7.5 Invertible Sheaves, Picard Group, Locally Free Sheaves, Algebraic Vector Bundles

The sheaf  $\mathcal{O}_X$  is, of course, a sheaf of modules over itself, and it is the unit (neutral element) with respect to  $\otimes_{\mathcal{O}_X}$ .

**Definition 2.7.12** Let  $X$  be a locally ringed space. An **invertible**  $\mathcal{O}_X$ -module on  $X$  is a sheaf of  $\mathcal{O}_X$ -modules  $\mathcal{L}$  such that every point has an open neighbourhood  $U \subseteq X$  such that  $\mathcal{L}|_U$  is isomorphic to  $\mathcal{O}_U$  as  $\mathcal{O}_U$ -module. We say that  $\mathcal{L}$  is trivial if it is isomorphic to  $\mathcal{O}_U$  as a  $\mathcal{O}_U$ -module.

The set of isomorphism classes of invertible sheaves of  $\mathcal{O}_X$ -modules forms an abelian group with respect to the operation  $\otimes_{\mathcal{O}_X}$ , which is called the **Picard**<sup>\*\*</sup> group and denoted by  $\text{Pic}(X)$ . When  $X$  is an affine scheme, i.e.  $X = \text{Spec}(R)$ , we shall also write  $\text{Pic}(R)$  for the Picard group.

**Remark 2.7.9**  $\text{Pic}(X)$  is an abelian group because  $\mathcal{L} \otimes_{\mathcal{O}_X} \mathcal{F}$  is canonically isomorphic to  $\mathcal{F} \otimes_{\mathcal{O}_X} \mathcal{L}$ .

**Examples 2.7.1** 1) If we take  $X = \text{Spec}(\mathbb{Z})$ . If  $\mathcal{F}$  is any coherent sheaf on  $X$ , then  $\mathcal{F} = \tilde{M}$  for some finitely generated  $\mathbb{Z}$ -module  $M$ , and by the structure theorem for finitely generated abelian groups<sup>††</sup>, we may write  $M = \mathbb{Z}^m \oplus L$ , where  $L$  is a fine direct product of groups of the form  $\mathbb{Z}/n\mathbb{Z}$ . If  $\mathcal{F}$  in addition is required to be locally free, it must hold that  $L = 0$  (otherwise, some of the stalks would not be free). Thus  $\mathcal{F} = \tilde{\mathbb{Z}}^m = \mathcal{O}_X^m$ , and we conclude that every coherent locally free sheaf  $\text{Spec}(\mathbb{Z})$  is trivial. In particular, we get that

$$\text{Pic}(\text{Spec}(\mathbb{Z})) = 0.$$

<sup>††</sup> A **Dedekind domain** is a Noetherian integral domain that is integrally closed and has the property that every nonzero prime ideal is maximal

<sup>\*\*</sup> **Charles-Émile Picard**, (born July 24, 1856, Paris, France-died December 11, 1941, Paris), French mathematician whose theories did much to advance research in analysis, algebraic geometry, and mechanics.

<sup>††</sup> **Fundamental Theorem of Finitely Generated Abelian Groups** : Let  $G$  be a finitely generated abelian group. Then it decomposes as follows :

$$G \simeq \mathbb{Z}^m \times \mathbb{Z}/n_1\mathbb{Z} \times \cdots \times \mathbb{Z}/n_s\mathbb{Z}$$



2) In the same argument of 1) For any **PID** We have : every coherent sheaf on  $X = \text{Spec}(R)$  must have the form  $\tilde{M}$  for  $M = R^m \oplus L$  where  $L$  is a finitely generated torsion module, and if we require  $\tilde{M}$  to be locally free, the torsion part must vanish, i.e. it must hold that  $L = 0$ . In particular, this applies to locally free sheaves on  $\mathbb{A}_k^1 = \text{Spec}(k[X])$  :

**Proposition 2.7.13** Let  $\mathcal{F}$  be a coherent locally free sheaf over  $\mathbb{A}_k^1$  is trivial. Hence, in particular, it holds that  $\text{Pic}(\mathbb{A}_k^1) = 0$ .

It's natural to ask the following question what happens if  $n > 1$  i.e  $\text{Pic}(\mathbb{A}_k^n) = ..?$ . **Quillen–Suslin theorem<sup>††</sup>** gives the answer to our question : In higher dimension any locally free sheaf on  $\mathbb{A}_k^n$  is trivial. In particular  $\text{Pic}(\mathbb{A}_k^n) = 0$ .

**Definition 2.7.13** Let  $R$  be a ring. An **invertible** module  $M$  is an  $R$ -module  $M$  such that  $\tilde{M}$  is an invertible sheaf on the spectrum of  $R$ . We say  $M$  is trivial if  $M \simeq R$  as an  $R$ -module.

**Definition 2.7.14** Let  $M$  sheaf of  $\mathcal{O}_X$ -modules

- i) We say  $M$  is locally free if for every point  $x \in X$  there exist a set  $I$  and an open neighbourhood  $x \in U \subseteq X$  such that  $M|_U$  is isomorphic to  $\bigoplus_{i \in I} \mathcal{O}_{X|U}$  as an  $\mathcal{O}_{X|U}$ -module.
- ii)  $M$  is said **finite locally free** if we may choose the index sets  $I$  to be finite.
- iii)  $M$  is said **finite locally free** of rank  $n$  if we may choose the index sets  $I$  to have cardinality  $n$ .

**Remarks 2.7.5** i) Note that a finite direct sum of (finite) locally free sheaves is (finite) locally free.

ii) It's clear that an invertible module is a locally free sheaf of rank 1.

**We can define some operations on locally free sheaves.**

Most constructions for **vector spaces** and **free modules** have analogies for **locally free sheaves**. For instance, one can define the **tensor algebra**  $T(\mathcal{F})$  for a locally free sheaf  $\mathcal{F}$ . It is the sheaf of graded algebras  $\bigoplus_{n \geq 0} T^n(\mathcal{F})$ , where  $T^n(\mathcal{F}) = \mathcal{F} \otimes_{\mathcal{O}_X} \cdots \otimes_{\mathcal{O}_X} \mathcal{F}$ . From commutative algebra we know that the  $n$ -fold tensor product  $R^m \otimes \cdots \otimes R^m$  is free and isomorphic to  $R^{mn}$ . So restricting  $T^n(\mathcal{F})$  to an open affine  $\text{Spec}(R)$  over which  $\mathcal{F}$  is trivial i.e.  $\mathcal{F} \simeq \mathcal{O}_X$ , we see that  $T^n(\mathcal{F})$  is locally free of rank  $mn$  if  $\mathcal{F}$  is of rank  $m$ . The multiplication is define by the following rule

$$(x_1 \otimes \cdots \otimes x_n) \otimes (y_1 \otimes \cdots \otimes y_m) = x_1 \otimes \cdots \otimes x_n \otimes y_1 \otimes \cdots \otimes y_m$$

These induces a sheaf maps

$$T^n \otimes_{\mathcal{O}_X} T^m(\mathcal{F}) \longrightarrow T^{n+m}(\mathcal{F})$$

So we obtain the structure of a graded  $\mathcal{O}_X$ -algebra.

Note also  $\text{Sym}(\mathcal{F}) := T(\mathcal{F})/\mathcal{I}$  denoted symmetric algebra of  $\mathcal{F}$  where  $\mathcal{I}$  is the ideal in the tensor algebra  $\mathcal{F}$  generated by  $s_1 \otimes s_2 - s_2 \otimes s_1$  where  $s_1$  and  $s_2$  are sections of  $\mathcal{F}$  over some open.

Note that there exists another operations on locally free sheaves. For more details, we refer the reader to [9, Section 11.4 "operations on locally free sheaves", p.221].

**Proposition 2.7.14** Let  $(X, \mathcal{O}_X)$ .

- i) Let  $\mathcal{F}$  be a sheaf of  $\mathcal{O}_X$ -modules. If  $\mathcal{F}$  is locally free then it is quasi-coherent.
- ii) Let  $f : (X, \mathcal{O}_X) \longrightarrow (Y, \mathcal{O}_Y)$  be a morphism of ringed spaces. If  $\mathcal{G}$  is a locally free  $\mathcal{O}_Y$ -module, then  $f^*\mathcal{G}$  is a locally free  $\mathcal{O}_X$ -module.

**Proof.** i) If  $\mathcal{F}$  is locally free then for any  $x \in X$  there exists an open subset  $x \in U \subseteq X$  such that  $\mathcal{F}|_U \simeq \bigoplus_{i \in I} \mathcal{O}_{X|U}$ . Since exact sequence

- ii) Let  $U$  be an open subset of  $Y$  such that  $\mathcal{G}|_U \simeq \bigoplus_{i \in I} \mathcal{O}_{Y|U}$ . Then, since  $f^*\mathcal{O}_Y = \mathcal{O}_X$  (see remarks 2.7.3) and  $f^*\mathcal{G}|_U \simeq f^*(\bigoplus_{i \in I} \mathcal{O}_{Y|U}) = \bigoplus_{i \in I} f^*\mathcal{O}_{Y|U} = \bigoplus_{i \in I} \mathcal{O}_{X|f^{-1}(U)}$ .

**Definition 2.7.15** Let  $X$  be a scheme. A finite-dimensional locally free sheaf  $M$  of  $\mathcal{O}_X$  is called **algebraic vector bundle**.

**Remark 2.7.10** For more motivation about the notion of **algebraic vector bundle**, we refer to [26, chap.2, p.194].

<sup>††</sup>The **Quillen–Suslin theorem**, also known as Serre's problem or Serre's conjecture, is a theorem in commutative algebra concerning the relationship between free modules and projective modules over polynomial rings. In the geometric setting it is a statement about the triviality of vector bundles on affine space.

## Quasi-coherent Sheaves on Proj Schemes

Our following concern is to study *quasi-coherent* on the Proj of a graded ring. As within the case of Spec, there's a connection between modules over the ring and sheaves of modules on the space, but it is more complicated. Let  $R$  be a graded ring and let  $\text{GrMod}_R$  denote the category of graded  $R$ -modules.

Let a scheme  $X$  be of the form  $X = \text{Proj}(R)$  (see section 2.5.8 "Projective schemes"). Let  $M$  be a graded module of  $R$ .

**Definition 2.7.16** We define the sheaf associated to  $M$  on  $\text{Proj}(R)$ , denoted by  $\tilde{M}$ , as follows. For each  $P \in \text{Proj}(R)$ , let  $M_{(P)}$  be the group of elements of degree 0 in the localization  $S^{-1}M$ , where  $S$  is the multiplicative system of homogeneous elements of  $R$  not in  $P$  (see definition of Proj in section 2.5.8). For any open subset  $U \subseteq \text{Proj}(R)$  we define  $\tilde{M}(U)$  to be the set of functions  $s$  from  $U$  to  $\coprod_{P \in U} M_{(P)}$  which are locally fractions. This means that for every  $P \in U$ , there is a neighborhood  $V$  of  $P$  in  $U$ , and homogeneous elements  $m \in M$  and  $f \in R$  of the same degree, such that for every  $Q \in V$ , we have  $f \notin Q$ , and  $s(Q) = \frac{m}{f}$  in  $M_{(Q)}$ . We make  $\tilde{M}$  into a sheaf with the obvious restriction maps.

**Proposition 2.7.15** Let  $R$  be a graded ring, and  $M$  a graded  $R$ -module. Let  $X = \text{Proj}(R)$ .

- i) For any  $P \in X$ , the stalk  $(\tilde{M})_P = M_{(P)}$ .
- ii) Under the isomorphism between  $D_+(f)$  and  $\text{Spec}(R_f)_0$  one has

$$\tilde{M}|_{D_+(f)} \simeq \widetilde{(M_f)_0}.$$

- iii)  $\tilde{M}$  is a quasi-coherent  $\mathcal{O}_X$ -module. If  $R$  is noetherian and  $M$  is finitely generated, then  $\tilde{M}$  is coherent.

**Proof.** For i) and ii), just repeat the proof of proposition 2.7.6. Then iii) follows from ii). For more details about this proof, we refer to [9, Proposition 12.4, p.226].

**Remark 2.7.11** The canonical isomorphism  $D_+(f) \simeq \text{Spec}(R_f)_0$  in ii), it is from Proposition 2.5.27.

If  $\psi : M \rightarrow N$  is a morphism of graded  $R$ -modules then  $\frac{m}{r} \mapsto \frac{\psi(m)}{r}$  defines a morphism of  $R_{(P)}$ -modules  $\psi_{(P)} : M_{(P)} \rightarrow N_{(P)}$ . Now, we give the following result on homogeneous localization that could be useful later.

**Lemma 2.7.4** Let  $R$  be a graded ring, and suppose we have an exact sequence of graded  $R$ -modules

$$M \rightarrow N \rightarrow T.$$

Then for any  $P \in \text{Proj}(R)$ , the sequence

$$M_{(P)} \rightarrow N_{(P)} \rightarrow T_{(P)}.$$

of  $R_{(P)}$ -module is exact.

**Proof.** Let  $\psi : M \rightarrow N$  and  $\varphi : N \rightarrow T$  be morphisms of  $R$ -modules forming the exact sequence, i.e.  $\text{Im}(\psi) = \ker(\varphi)$ . For any  $\frac{m}{r} \in M_{(P)}$ , we have  $\psi(\frac{m}{r}) = \frac{\psi(m)}{r} = \frac{0}{r} = 0$ . So  $\text{Im}(\psi_{(P)}) \subseteq \ker(\varphi_{(P)})$ . Now, let  $n, d$  are homogeneous of same degree  $t$  with  $n \in N$  and  $d \notin P$  such that  $\frac{\varphi(n)}{d} = \varphi_{(P)}(\frac{n}{d}) = 0$ . This implies that  $d' \varphi(n) = 0$  for some homogeneous  $d' \notin P$  say of degree  $t'$ . Thus  $\varphi(d'n) = 0$ ,  $d'n = \psi(m)$  for some  $m$  of degree  $t + t'$ . Then in  $N_{(P)}$ , we have  $\frac{n}{d} = \frac{d'n}{d'd} = \varphi(\frac{m}{d}) = \psi_{(P)}(\frac{m}{dd'})$ . Hence  $\ker(\varphi_{(P)}) \subseteq \text{Im}(\psi_{(P)})$  and  $M_{(P)} \rightarrow N_{(P)} \rightarrow T_{(P)}$  is exact sequence.

**Definition 2.7.17** Let  $R$  be a graded ring, and let  $X = \text{Proj}(R)$ .

- i) For any  $n \in \mathbb{Z}$  we define the sheaf  $\mathcal{O}_X(n)$  to be  $\tilde{R}(n)$ . We call  $\mathcal{O}_X(1)$  the *twisting sheaf* of Serre.
- ii) For any sheaf of  $\mathcal{O}_X$ -modules, we denote by  $\mathcal{F}(n)$  the twisted sheaf  $\mathcal{F} \otimes_{\mathcal{O}_X} \mathcal{O}_X(n)$ .

Note that if  $R$  is generated in degree one, then the natural map

$$\tilde{M} \otimes_{\text{Proj}(R)} \tilde{N} \longrightarrow \widetilde{M \otimes_R N} \quad (2.8)$$

is an isomorphism. For proof of (2.8) see [9, Proposition 12.9, p.228].

**Proposition 2.7.16** Let  $R$  be a graded ring and let  $X = \text{Proj}(R)$ . Assume that  $R$  is generated by  $R_1$  as  $R_0$ -algebra. Then the sheaf  $\mathcal{O}_X(n)$  is invertible for every  $n$ . Moreover, there are canonical isomorphisms

$$\mathcal{O}_X(m+n) \simeq \mathcal{O}_X(m) \otimes_{\mathcal{O}_X} \mathcal{O}_X(n).$$

**Proof.** \* Recall that invertible means locally free of rank 1 (see remark 2.7.5). Let  $r \in R_1$ , and consider the restriction  $\mathcal{O}_X(n)|_{D_+(r)}$ . By proposition 2.7.15 this is isomorphic to  $\widetilde{R(n)_{(r)}}$  on  $\text{Spec}(R_{(r)})$ . We will show that this restriction is free of rank 1. Indeed,  $R(n)$  is a free  $R(n)$ -module of rank 1. For  $R_{(r)}$  is the group of elements of degree 0 in  $R_1$ , and  $R(n)_{(r)}$  is the group of elements of degree  $n$  in  $R_1$ . We obtain an isomorphism of one to the other by sending  $s$  to  $r^n s$ . This makes sense, for any  $n \in \mathbb{Z}$ , because  $r$  is invertible in  $R_1$ . Now, since  $R$  is generated by  $R_1$  as an  $R_0$ -algebra,  $X$  is covered by the open sets  $D_+(r)$  (see proposition 2.5.26 ii)) for  $r \in R_1$ . Hence  $\mathcal{O}_X(n)$  is invertible.

\* Indeed, if  $R$  is generated in degree one, (2.8) shows that  $\mathcal{O}_X(n) \otimes \mathcal{O}_X(m)$  is the sheaf associated to  $R(m) \otimes_R R(n) \simeq R(n+m)$  that is, associated to  $\mathcal{O}_X(n+m)$ .

So this is a big difference between affine schemes and projective schemes :  $\text{Proj}(R)$  comes equipped with lots of invertible sheaves.

**Proposition 2.7.17** Every invertible sheaf on  $\mathbb{P}_k^1$  is isomorphic to  $\mathcal{O}_{\mathbb{P}_k^1}(n)$  for some  $n \in \mathbb{Z}$ , and sending  $\mathcal{O}_{\mathbb{P}_k^1}(n)$  to  $n$  yields an isomorphism  $\text{Pic}(\mathbb{P}_k^1) \simeq \mathbb{Z}$ .

**Proof.** See [9, Proposition 11.18, p.219].

We end this section with a result that will be useful in the next section.

**Definition 2.7.18** Let  $X = \text{Proj}(S)$ . For any  $\mathcal{O}_X$ -module  $\mathcal{F}$ , we define the graded  $S$ -module :

$$\Gamma_*(\mathcal{F}) := \bigoplus_{n \in \mathbb{Z}} \Gamma(X, \mathcal{F}(n))$$

Note that if  $s \in S_d$  and  $t \in \Gamma(X, \mathcal{F}(n))$ , then we can see  $s$  as a section aggregate of  $\mathcal{O}_X(d)$ , so  $s \cdot t \in \Gamma(X, \mathcal{F}(n+d))$  makes sense since  $\mathcal{O}_X(d) \otimes \mathcal{F}(n) = \mathcal{F}(n+d)$ .

In the case of a ring of polynomials, we know how to describe  $\Gamma_*(\mathcal{O}_X)$  :

**Proposition 2.7.18** Let  $R$  be a ring and  $S = R[T_0, \dots, T_m]$  the graduated ring associate (with  $d > 0$ ). Let  $X = \text{Proj}(S)$ . Then  $\Gamma_*(\mathcal{O}_X) = S$ .

**Proof.** This is to show that  $\mathcal{O}_X(n)(X) = S_n$  if  $n \geq 0$  and  $\mathcal{O}_X(n)(X) = 0$  if  $n < 0$ . Let  $B := A[T_0, \dots, T_m, T_0^{-1}, \dots, T_m^{-1}]$ . Then a global section of  $\mathcal{O}_X(n)(X)$  is in particular an element  $f$  of  $B$  which is in  $T_1^n \mathcal{O}_X(D_+(T_1))$ , so  $f$  is of the form  $\frac{P}{T_1^n}$  where  $P$  is a polynomial, as  $f$  is also in  $T_0^n \mathcal{O}_X(D_+(T_0))$ , we see that  $f$  must be a polynomial homogeneous of degree  $n$  if  $n \geq 0$ , and  $f = 0$  if  $n < 0$ . Conversely such  $f$  is indeed in  $\mathcal{O}_X(n)(X)$ .

## 2.8 Some cohomology interpretations

In this section, we consider the theory of **cohomology** in algebraic geometry. It is an extremely rich and varied theory. In this section we are interested in one of the most elementary cohomology theories, the **Čech cohomology** of quasi-coherent sheaves.

## 2.8.1 Some homological algebra

### Complexes of abelian groups

- \* Recall that a **complex** of abelian groups  $A^\bullet$  is a sequence of groups together  $A^i$  with maps between them

$$\dots \longrightarrow A^{i-1} \xrightarrow{d_i} A^i \xrightarrow{d_{i+1}} A^{i+1} \longrightarrow \dots$$

such that  $d^{i+1} \circ d^i = 0$  for each  $i$ .

- \* A morphism of complexes  $A^\bullet \xrightarrow{f^\bullet} B^\bullet$  is a collection  $f_i^\bullet : A^i \longrightarrow B^i$  of maps making the following diagram commutative :

$$\begin{array}{ccccccc} \dots & \longrightarrow & A^{i-1} & \xrightarrow{d^i} & A^i & \xrightarrow{d^{i+1}} & A^{i+1} \longrightarrow \dots \\ & & \downarrow f_{i-1}^\bullet & & \downarrow f_i^\bullet & & \downarrow f_{i+1}^\bullet \\ \dots & \longrightarrow & B^{i-1} & \xrightarrow{\eta^i} & B^i & \xrightarrow{\eta^i} & B^{i+1} \longrightarrow \dots \end{array}$$

- \* We say that an element  $\sigma \in A^i$  is a **cocycle** if it lies in the kernel of the map  $d^i$ , i.e  $d^i(\sigma) = 0$ .
- \* A **coboundary** is an element in the image of  $d^{i-1}$ , i.e  $\sigma = d^{i-1}(\tau)$ , for some  $\tau \in A^{i-1}$ . These form subgroups of  $A^n$ , denoted by  $Z^i(A^\bullet)$ , and  $B^i(A^\bullet)$ , respectively. Since  $d^i(d^{i-1}(x)) = 0$  for all  $x$ , all coboundaries are cocycles, so that  $B^i(A^\bullet) \subseteq Z^i(A^\bullet)$ .
- \* The **cohomology groups** of the complex  $A^\bullet$ , are set up to measure the difference between these two notions. We the  $i$ -The cohomology group as the quotient group

$$H^i(A^\bullet) := Z^i(A^\bullet) / B^i(A^\bullet).$$

- \* An exact sequence of complexes noted :  $0 \longrightarrow A^\bullet \xrightarrow{f^\bullet} B^\bullet \xrightarrow{g^\bullet} C^\bullet \longrightarrow 0$  is the given for all  $i$  of an exact sequence of Abelian groups  $0 \longrightarrow A^i \xrightarrow{f_i^\bullet} B^i \xrightarrow{g_i^\bullet} C^i \longrightarrow 0$ .
- \* Given the previous definition, we deduce that the images and kernels of the  $d^i$  are sent by the  $\eta^i$  in those of the  $i$  : So we have morphisms  $\hat{f}_i^\bullet : H^i(A^\bullet) \longrightarrow H^i(B^\bullet)$

**Theorem 2.8.1** We consider the exact sequence of complexes  $0 \longrightarrow A^\bullet \xrightarrow{f^\bullet} B^\bullet \xrightarrow{g^\bullet} C^\bullet \longrightarrow 0$ . Then there is a long exact sequence of cohomology groups

$$\longrightarrow H^i(A^\bullet) \longrightarrow H^i(B^\bullet) \longrightarrow H^i(C^\bullet) \xrightarrow{\quad} H^{i+1}(A^\bullet) \longrightarrow H^{i+1}(B^\bullet) \longrightarrow H^{i+1}(C^\bullet) \longrightarrow$$

**Proof.** For each  $i \in \mathbb{Z}$ , consider the commutative diagram

$$\begin{array}{ccccccc} 0 & \longrightarrow & A^i & \xrightarrow{f_i^\bullet} & B^i & \xrightarrow{g_i^\bullet} & C^i \longrightarrow 0 \\ & & \downarrow d^i & & \downarrow \eta^i & & \downarrow \theta^i \\ 0 & \longrightarrow & A^{i+1} & \xrightarrow{f_{i+1}^\bullet} & B^{i+1} & \xrightarrow{g_{i+1}^\bullet} & C^{i+1} \longrightarrow 0 \end{array}$$

where the rows are exact by assumption. By the Snake lemma, we obtain a sequence

$$0 \longrightarrow Z^i(A^\bullet) \xrightarrow{f_i} Z^i(B^\bullet) \xrightarrow{g_i} Z^i(C^\bullet) \longrightarrow A^{i+1}/B^i(A^\bullet)$$

$$\xrightarrow{f_{i+1}} B^{i+1}/B^i(B^\bullet) \xrightarrow{g_{i+1}} C^{i+1}/B^i(C^\bullet) \longrightarrow 0$$

Consider now the diagram

$$\begin{array}{ccccccc}
 0 & \longrightarrow & A^i/B^i(A^\bullet) & \xrightarrow{f_i^\bullet} & B^i/B^i(B^\bullet) & \xrightarrow{g_i^\bullet} & C^i/B^i(C^\bullet) \longrightarrow 0 \\
 & & \downarrow d^i & & \downarrow \delta^i & & \downarrow \theta^i \\
 0 & \longrightarrow & Z^{i+1}(A^\bullet) & \xrightarrow{f_{i+1}^\bullet} & Z^{i+1}(B^\bullet) & \xrightarrow{g_{i+1}^\bullet} & Z^{i+1}(C^\bullet)
 \end{array}$$

where the rows are exact by the above. For the maps in this diagram,  $H^i(A^\bullet) = \ker(d^i)$  and  $H^{i+1}(A^\bullet) = \text{Coker}(d^i)$ . Hence applying the Snake lemma one more time, we get the desired exact sequence.

### Complexes of sheaves

**Remark 2.8.1** The definitions and arguments of the previous subsection apply much more generally (to any abelian category). In particular, we make the following sheaf analogue.

**Definition 2.8.1** A **complex** of **sheaves**  $\mathcal{F}^\bullet$ , is a sequence of sheaves with maps between

$$\cdots \xrightarrow{d^{i-2}} \mathcal{F}_{i-1} \xrightarrow{d^{i-1}} \mathcal{F}_i \xrightarrow{d^i} \mathcal{F}_{i+1} \xrightarrow{d^{i+1}} \cdots$$

such that  $d^{i+1} \circ d^i = 0$  for each  $i$ .

**Definition 2.8.2** Given a complex, we define the cohomology sheaves  $H^p(\mathcal{F}^\bullet)$ , as  $\text{Ker}(d^p)/\text{Im}(d^{p-1})$ .

As in theorem 2.8.1, a short exact sequence of complexes of sheaves gives rise to a long exact sequence of cohomology sheaves.

### 2.8.2 The Čech cohomology

Nothing is free in mathematics, each thing that is introduced draws its importance from somewhere.

This principle, which has never been lacking until now, still applies to the case of cohomology, which has been effectively designed to remedy a problem of **surjectivity**. Which will be detailed below :

If we give ourselves an exact sequence of sheaf of Abelian groups

$$0 \longrightarrow \mathcal{F} \xrightarrow{\psi} \mathcal{G} \xrightarrow{\phi} \mathcal{H} \longrightarrow 0 \quad (2.9)$$

It would have been tempting to assert that the following sheaf sequence is exact.

$$0 \longrightarrow \Gamma(X, \mathcal{F}) \xrightarrow{\psi_X} \Gamma(X, \mathcal{G}) \xrightarrow{\phi_X} \Gamma(X, \mathcal{H}) \longrightarrow 0 \quad (2.10)$$

Unfortunately this is not the case since  $\phi_X$  is not always surjective. In fact, we cite this counter-example- no doubt the most relevant in history-as a argument :

$$0 \longrightarrow \mathbb{Z} \xrightarrow{\psi} \mathcal{O} \xrightarrow{\exp} \mathcal{O}^* \longrightarrow 0 \quad (2.11)$$

is not an exact sequence for  $X = \mathbb{C} \setminus \{0\}$  because we cannot define the **logarithm** over all  $\mathbb{C}^*$ . It is in a way the same argument that is provided to justify the introduction of the associated sheaf (see definition 2.1.8) with a presheaf, when we wanted to give a meaning to the image sheaf. To all we useful, let's detail.

For  $X = \mathbb{C}$  we note :

- \*  $\mathcal{F} := \mathcal{O}_{\mathbb{C}}$  the sheaf of **holomorphic functions** on the open sets of  $\mathbb{C}$ .
- \*  $\mathcal{G} := \mathcal{O}_{\mathbb{C}}^*$  the sheaf of **holomorphic functions** on the open sets of  $\mathbb{C}$  but this time which do not vanish.

We therefore have a homomorphism  $\exp : \mathcal{F} \longrightarrow \mathcal{G}$ . If ever we wanted to define the image sheaf by  $(\text{Im } f)(U) = \text{Im}(f(U))$  we notice that we just get a presheaf and not a sheaf (see remark 2.1.6), the reason lies in the fact that the gluing condition is not verified. Indeed, we will cover  $U = \mathbb{C} \setminus \{0\}$  by the open sets  $V_1$  and  $V_2$ ,  $V_1$  (respectively  $V_2$ ) being  $\mathbb{C}$  deprived of the positive real semi-axis (respectively negative).

We mention in passing that the two open sets in question are **simply connected**, we therefore have a function  $\log(z)$  : On  $V_1$  and  $V_2$  the identity function  $z$  is in  $\text{Im}(\exp)$ , but  $z$  is not in the image of  $\exp$  on  $U$  because  $U$  is not simply connected.

**Cohomology** was invented to overcome this difficulty. Is none other than We therefore introduce other groups  $H^i(X, \mathcal{F})$  for  $i > 0$  and  $H^0(X, \mathcal{F}) = \Gamma(X, \mathcal{F})$ .

These new groups were designed to give rise to a long exact sequence :

$$0 \longrightarrow H^0(X, \mathcal{F}) \xrightarrow{\pi} H^0(X, \mathcal{G}) \xrightarrow{\delta} H^0(X, \mathcal{H}) \longrightarrow H^1(X, \mathcal{F}) \longrightarrow H^1(X, \mathcal{G}) \longrightarrow$$

This new sequence is supposed to help us calculate the image of  $\pi$ -thing that was impossible in (2.10) a calculation which will only be feasible if certain groups  $H^i(X, \mathcal{F})$  are zero.

Still it will be necessary to justify the existence and the uniqueness of the groups  $H^i(X, \mathcal{F})$  : To do this we return the reader [12].

Otherwise, there are several types of **cohomologies**, however we will opt for the study of the Čech cohomology because it is easier to handle compared to the others.

**Notation.** Let  $X$  be a topological space, and let  $\mathcal{F}$  be a sheaf of abelian group on  $X$ . Let  $\mathcal{U} := \{U_i\}_{i \in I}$  be an open cover of  $X$ .

\* We denote by  $U_{ij} = U_i \cap U_j$  and more generally  $U_{i_0 \dots i_p} = U_{i_0} \cap \dots \cap U_{i_p}$ .

**Definition 2.8.3** i) For all  $p \geq 1$ , we denoted by

$$C^p(\mathcal{U}, \mathcal{F}) := \prod_{i_0 < \dots < i_p} \mathcal{F}(U_{i_0 \dots i_p}) = \prod_{i_0 < \dots < i_p} \mathcal{F}(U_{i_0} \cap \dots \cap U_{i_p}).$$

We have thus constructed a complex of abelian groups  $C^\bullet(\mathcal{U}, \mathcal{F})$ .

ii) The elements of  $C^p(\mathcal{U}, \mathcal{F})$  are called **cochains**.  $C^p(\mathcal{U}, \mathcal{F})$  is called also group of  **$p$ -cochains** with values in  $\mathcal{F}$ .

iii) We also define the differential :

$$\begin{array}{ccc} \delta^p : C^p(\mathcal{U}, \mathcal{F}) & \longrightarrow & C^{p+1}(\mathcal{U}, \mathcal{F}) \\ s & \longmapsto & \delta s \end{array}$$

by

$$(\delta^p s)_{i_0 \dots i_{p+1}} = \sum_{k=0}^{p+1} (-1)^k s_{i_0 \dots \hat{i}_k \dots i_{p+1}}|_{U_{i_0 \dots \hat{i}_k \dots i_{p+1}}}.$$

For example  $(\delta^0 s)_{i_0 i_1} = s_{i_1} - i_{i_0}$

**Lemma 2.8.1** For  $p \geq 0$ , we have  $\delta^{p+1} \circ \delta^p = 0$

**Proof.** To simplify the notations, we will set :  $g_{i_0 \dots i_p} = \delta^p(s_{i_0 \dots i_p})$  and  $f_{i_0 \dots i_p} = \delta^{p+1}(g_{i_0 \dots i_p})$

$$\begin{aligned} f_{i_0 \dots i_p} &= \sum_{l=0}^{p+2} (-1)^l g_{i_0 \dots \hat{i}_l \dots i_{p+2}}|_{U_{i_0 \dots \hat{i}_l \dots i_{p+2}}} \\ &= \sum_{l=0}^{p+2} (-1)^l \left( \sum_{k=0}^{p+2} \alpha s_{i_0 \dots \hat{i}_l \dots \hat{i}_k \dots i_{p+2}}|_{U_{i_0 \dots \hat{i}_l \dots \hat{i}_k \dots i_{p+2}}} \right) |_{U_{i_0 \dots \hat{i}_l \dots \hat{i}_k \dots i_{p+2}}} \\ &= \sum_{k < l} (-1)^{l+k} s_{i_0 \dots \hat{i}_k \dots \hat{i}_l \dots i_{p+2}}|_{U_{i_0 \dots \hat{i}_k \dots \hat{i}_l \dots i_{p+2}}} + \sum_{k > l} (-1)^{l+k-1} s_{i_0 \dots \hat{i}_l \dots \hat{i}_k \dots i_{p+2}}|_{U_{i_0 \dots \hat{i}_l \dots \hat{i}_k \dots i_{p+2}}} \\ &= \sum_{k < l} (-1)^{l+k} s_{i_0 \dots \hat{i}_k \dots \hat{i}_l \dots i_{p+2}}|_{U_{i_0 \dots \hat{i}_k \dots \hat{i}_l \dots i_{p+2}}} - \sum_{k > l} (-1)^{l+k} s_{i_0 \dots \hat{i}_l \dots \hat{i}_k \dots i_{p+2}}|_{U_{i_0 \dots \hat{i}_l \dots \hat{i}_k \dots i_{p+2}}} \\ &= 0 \end{aligned}$$

The index  $\alpha$  used previously is worth  $\begin{cases} (-1)^k & \text{if } k < l \\ (-1)^{k-1} & \text{if } k > l \end{cases}$



As before, we say that an element  $\sigma \in C^p(\mathcal{U}, \mathcal{F})$  is a **cocycle** if  $\delta^p(\sigma) = 0$ , and a **coboundary** if  $\sigma = \delta^{p-1}(\tau)$ .

**Notation.**  $* Z^p(\mathcal{U}, \mathcal{F}) = \{\sigma \in C^p(\mathcal{U}, \mathcal{F}) \mid \delta^p(\sigma) = 0\}$

$$* B^p(\mathcal{U}, \mathcal{F}) = \begin{cases} \delta(C^{p-1}(\mathcal{U}, \mathcal{F})) & \text{if } p > 0 \\ 0 & \text{otherwise} \end{cases}$$

**Definition 2.8.4** The  $p$ -th Čech cohomology of  $\mathcal{F}$  with respect to  $\mathcal{U}$  is defined as

$$H^p(\mathcal{U}, \mathcal{F}) = Z^p(\mathcal{U}, \mathcal{F}) / B^p(\mathcal{U}, \mathcal{F}) = \ker(\delta^p) / \text{Im}(\delta^{p-1})$$

**Remark 2.8.2** Note that a sheaf homomorphism  $\psi : \mathcal{F} \rightarrow \mathcal{G}$  induces a mapping of Čech cohomology groups, so we obtain functors  $\mathcal{F} \rightarrow H^p(\mathcal{U}, \mathcal{F})$  from abelian sheaves to abelian groups. In fact, it is clear that it induces maps  $C^i(\mathcal{U}, \mathcal{F}) \rightarrow C^i(\mathcal{U}, \mathcal{G})$ , and an easy computations shows that the induced maps commutes with the **coboundary** maps, hence pass to the **cohomology**.

**Proposition 2.8.1** For any open cover  $\mathcal{U}$  of  $X$  we have :

$$H^0(\mathcal{U}, \mathcal{F}) = \Gamma(X, \mathcal{F}).$$

**Proof.** By definition  $H^0(\mathcal{U}, \mathcal{F})$  is the kernel of  $\delta^1$ . Thus  $H^0(\mathcal{U}, \mathcal{F}) = Z^0(\mathcal{U}, \mathcal{F})$ . It is therefore the form of  $s = (s_j) \in C^0(\mathcal{U}, \mathcal{F})$  such that  $\delta^1(s) = 0$ . For all  $i, j$  we have  $(\delta s)_{ij} = s_j - s_i = 0$ . Hence  $s_j = s_i$  on  $U_{ij}$ . As  $\mathcal{F}$  is a sheaf the  $s_j$  glue together in a global section  $s \in \Gamma(X, \mathcal{F}) = \mathcal{F}(X)$ .

**Examples 2.8.1** 1) Let  $X = S^1$  be the **unit circle** and equip it with a standard covering  $\mathcal{U} = \{U_1, U_2\}$ , consisting of two intervals (intersecting in two intervals  $S$  and  $N$ ) and let  $\mathcal{F} = \mathbb{Z}_X$  be the constant sheaf. Here we have

$$* C^0(\mathcal{U}, \mathcal{F}) = \mathbb{Z}_X(U_1) \times \mathbb{Z}_X(U_2) \simeq \mathbb{Z} \times \mathbb{Z}$$

$$* C^1(\mathcal{U}, \mathcal{F}) = \mathbb{Z}_X(U_1 \cap U_2) \simeq \mathbb{Z} \times \mathbb{Z}.$$

\* The map  $\delta^0 : C^0(\mathcal{U}, \mathcal{F}) \rightarrow C^1(\mathcal{U}, \mathcal{F})$  is the map  $\phi : \mathbb{Z}^2 \rightarrow \mathbb{Z}^2$  given by  $\delta^0(x, y) = (y - x, y - x)$ . Hence

$$H^0(\mathcal{U}, \mathcal{F}) = \ker(\delta^0) = \mathbb{Z}(1, 1) \simeq \mathbb{Z} \text{ and } H^1(\mathcal{U}, \mathcal{F}) = \text{Coker}(\delta^0) = (\mathbb{Z} \times \mathbb{Z}) / \mathbb{Z}(1, 1) \simeq \mathbb{Z}$$

2) Let  $X$  be an irreducible topological space. Then for any finite covering  $\mathcal{U}$  of  $X$  we have for a constant sheaf  $A_X$

$$H^p(\mathcal{U}, A_X) = 0$$

for  $p > 0$ . (See [9, Proposition 13.11, p.251]).

**Remark 2.8.3** Note that in definition 2.8.4 the cohomology group depend on the open covering  $\mathcal{U}$  of  $X$  and the sheaf  $\mathcal{F}$ . More importantly, it is not clear that the definition 2.8.4 really captures the desired information about  $\mathcal{F}$ .

These questions lead us to look for a way to vary the covering, so we will define what **geometers** call "**refinement**". However, we will not discuss immediately, it will first be necessary to arrive at the passage to gather tools likely. To make the notion of "**refinement**" agreeable to us : these are "**inductive limits**". For those who are impatient, we start by justifying the introduction of inductive limits, the following definition is known to do this :

Let  $X$  be a topological space and  $\mathcal{F}$  be a sheaf of Abelian groups on  $X$ . Let  $\mathcal{U} = (U_i)_{i=1 \dots n}$  an open covering of  $X$ . We then have

$$H^p(X, \mathcal{F}) := \varinjlim_{\mathcal{U}} H^p(\mathcal{U}, \mathcal{F})$$

## Inductive limits

First of all, the notion of **inductive limit** is closely related to that of systems inductive, another detail that should be pointed out is that we can talk about inductive limits (or systems) with respect to commutative Abelian groups or vector spaces. The construction is however the same in both cases.

**Definition 2.8.5** (**inductive system**) An inductive system of abelian groups is the given of two things :

- i) A family  $(G_i)_{i \in I}$  of Abelian groups indexed by an ordered set  $I$  such that for all  $i, j \in I$  there exists  $k \in I$  smaller than  $i$  and  $j$ , i.e verifying  $k \leq i$  and  $k \leq j$
- ii) A homomorphism  $\rho_i^j : G_j \longrightarrow G_i$  for all  $i, j \in I$  such that  $i \leq j$ . They are required to satisfy :
  - a)  $\rho_i^j = \rho_i^k \circ \rho_k^j$ , for all  $i \leq k \leq j$ .
  - b)  $\rho_i^i = \text{id}$ , for all  $i \in I$ .

This inductive system will be noted by  $((G_i)_{i \in I}, (\rho_i^j)_{i \leq j})$ .

**Definition 2.8.6** (**Inductive limit**) An inductive limit of this family is the given of an Abelian group  $G$  denoted by  $\varinjlim G_i$  and a sequence of homomorphisms  $\rho : G_i \longrightarrow G$  such that  $\rho_j = \rho_i \circ \rho_i^j$ .

If there exists  $H$  a group and  $h_i : G_i \longrightarrow H$  be group homomorphisms satisfies  $h_j = h_i \circ \rho_i^j$ , for all  $i < j$ , then there exists a unique group homomorphism

$$h : G \longrightarrow H$$

such that, for all  $i \in I$ , we have

$$h \circ \rho_i = h_i.$$

## Construction of inductive limits

Suppose that we have an inductive system of abelian groups  $((G_i)_{i \in I}, (\rho_i^j)_{i \leq j})$ .

**Theorem 2.8.2** i) The construction of  $G$  :

Let us define an equivalence relation as follows :  $\forall x_i \in G_i, \forall y_j \in G_j$  then

$$x_i \sim y_j \iff \exists k \in I, k \leq i, j \text{ such that } \rho_k^i(x_i) = \rho_k^j(y_j).$$

We mean by  $\varinjlim G_i$  the quotient of the disjoint union of  $G_i$  by the equivalence relation  $\sim$ . i.e

$$G = \frac{\coprod_{i \in I} G_i}{\sim}$$

ii) Homomorphisms :

- \*  $i : G_i \longrightarrow \coprod_{i \in I} G_i$  the canonical injection.
- \*  $\pi : \coprod_{i \in I} G_i \longrightarrow \frac{\coprod_{i \in I} G_i}{\sim}$  the canonical projection.

The homomorphisms  $\rho_i$  of the inductive limit are given by the composition  $\rho_i = \pi \circ i$ .

iii) The group structure on  $G$  :

If  $x, y \in G$  such that  $x = \rho_i(x_i)$  and  $y = \rho_j(y_j)$ , there exists  $k \in I$  such that  $k \leq i, j$ . Then we can set  $x * y = \rho_k(\rho_k^i(x_i) * \rho_k^j(y_j))$

**Proof.** i) We start by verifying that  $G$  is well-defined, and that it is an Abelian group, to do this we first ensure that  $\sim$  is indeed an equivalence relation

\* **Reflexivity** :

We know from the definition of the inductive system that  $\rho_i^i = \text{id}$ . So  $\forall i \in I, \forall x_i \in G_i$  we have  $x_i \sim x_i$

\* **Symmetry** :

$$\begin{aligned} y_j \sim x_i &\iff \exists k \in I \text{ such that } k \leq i, j \text{ and } \rho_k^j(y_j) = \rho_k^i(x_i) \\ &\Rightarrow \exists k \in I \text{ such that } k \leq i, j \text{ and } \rho_k^i(x_i) = \rho_k^j(y_j) \end{aligned}$$

Hence  $x_i \sim y_j$ .

\* **Transitivity** : Let  $x_i \in G_i, y_j \in G_j$  and  $z_k \in G_k$ . such that  $x_i \sim y_j$  and  $y_j \sim z_k$

$$\Rightarrow \begin{cases} \exists l \in I \text{ such that } l \leq i, j \text{ and } \rho_l^i(x_i) = \rho_l^j(y_j) \\ \exists l' \in I \text{ such that } l' \leq j, k \text{ and } \rho_{l'}^j(y_j) = \rho_{l'}^k(z_k) \end{cases} \quad \text{As the inductive system there exists } l'' \in I \text{ such that } l'' \leq l, l', \text{ we then have}$$

$$\rho_{l''}^i(x_i) = \rho_{l''}^l \circ \rho_l^i(x_i) = \rho_{l''}^l \circ \rho_l^j(y_j) = \rho_{l''}^{l'}(y_j) = \rho_{l''}^{l'} \circ \rho_{l'}^j(y_j) = \rho_{l''}^{l'} \circ \rho_{l'}^k(z_k) = \rho_{l''}^k(z_k).$$

Hence  $x_i \sim z_k$

ii) **Homomorphisms** :

The homomorphisms  $\rho_i$  are of course group homomorphisms.

iii)  $G$  is a group Since the  $G_i$  are abelian groups then  $G$  is also one.

On the other hand the  $*$  is associative on  $G$  because it is on each  $G_i$ . To show the existence of a neutral element of  $e \in G$  it suffices to set  $e = \rho_i(e_i)$ , where  $e_i \in G_i$  for the index  $i \in I$ . Choose another index  $j$  distinct from  $i$ , always in the same state of mind there will exist a  $k$  in  $I$  smaller than  $i$  and  $j$  such that  $\rho_k^i(e_i) = e_k = \rho_k^j(e_j)$ , then  $e_i \sim e_j$ . Hence from where  $e$  is well defined. To make sure that it is indeed the neutral element of  $G$ , take a  $x \in G$  and calculate  $x * e$ . We'll have  $x * e = \rho_i(x_i * e_i) = \rho_i(x_i) = x$ . and also we have  $x^{-1} = \rho_i(x_i^{-1})$ .

iv) Does  $((G_i)_{i \in I}, (\rho_i^j)_{i \leq j})$  thus defined satisfy the conditions of an inductive limit?

Let  $i, j \in I$  and  $i \leq j$  do we have  $\rho_j = \rho_i \circ \rho_i^j$ ?

Let  $x \in G$ , we have  $\rho_i^j(x) = \rho_i \circ \rho_i^j(x)$

$$\begin{aligned} &\Rightarrow x \sim \rho_i^j(x) \\ &\Rightarrow \rho_j(x) = \rho_i(\rho_i^j(x)) \end{aligned}$$

Now, let  $H$  be an abelian group and  $h_i : G_i \rightarrow H$  be a group homomorphism satisfies

$$h_j = h_i \circ \rho_i^j, \text{ for } i \leq j.$$

So we get for  $g \in G$ , there exists of index  $i \in I$  and  $x \in G_i$  such that  $g = \rho_i(x)$  we search  $h : G \rightarrow H$  such that  $\forall j \in J$   $h \circ \rho_j = h_j$ .

If  $h$  exists, we will obtain  $h(g) = h_i(x)$ . So if  $h$  exists then  $h$  will be unique.

If  $\rho_i(x) = \rho_j(y)$  we know that  $x \sim y$  implies there exists  $k \in I$ , with  $k \leq i, k \leq j$  and  $\rho_k^i(x) = \rho_k^j(y)$ . We then have

$$h_i(x) = h_k \circ \rho_k^i(x) = h_k \circ \rho_k^j(y) = h_j(y).$$

Hence  $h$  is well defined.

## Inductive limit of morphisms

**Definition 2.8.7** Let  $A' = ((A_i)_{i \in I}, (\beta_i^j)_{i \leq j})$  and  $B' = ((B_i)_{i \in I}, (\gamma_i^j)_{i \leq j})$  be two inductive systems. For every  $i \in I$  we give a family of morphisms  $f_i : A_i \rightarrow B_i$  such that for two pair  $(i, j) \in I \times I$  where  $i \leq j$  we have  $\gamma_i^j \circ f_i = f_j \circ \beta_i^j$ , i.e the following diagram

$$\begin{array}{ccc} A_i & \xrightarrow{f_i} & B_i \\ \beta_i^j \downarrow & & \downarrow \gamma_i^j \\ A_j & \xrightarrow{f_j} & B_j \end{array}$$

is commutative.

If  $(A, (\beta_i)_{i \in I})$  is inductive limit of inductive system  $A'$  and  $(B, (\gamma_i)_{i \in I})$  is also inductive limit of  $B'$ . Then by definition of limit inductive there exists a unique  $f : A \longrightarrow B$  such that  $\forall i \in I f \circ \beta_i = \gamma_i \circ f$ , i.e the following diagram

$$\begin{array}{ccc} A_i & \xrightarrow{f_i} & B_i \\ \beta_i \downarrow & & \downarrow \gamma_i \\ A & \xrightarrow{f} & B \end{array}$$

is commutative.

**Notation.**  $f = \varinjlim f_i$  denotes the inductive limit of morphisms  $(f_i)_{i \in I}$

### Exact sequence of inductive limits

The objective of this paragraph is to state a theorem which relates the exactness of a sequence of morphisms to the exactness of a sequence of limits.

It is used to show the existence of a long exact sequence in cohomology.

**Theorem 2.8.3** Let  $((A_i)_{i \in I}, (\beta_i^j)_{i \leq j})$ ,  $((B_i)_{i \in I}, (\gamma_i^j)_{i \leq j})$  and  $((C_i)_{i \in I}, (\delta_i^j)_{i \leq j})$  be three inductive systems. We note  $(A, (\beta_i)_{i \in I})$ ,  $(B, (\gamma_i)_{i \in I})$  and  $(C, (\delta_i)_{i \in I})$  their inductive limits respectively. Let  $(f_i : A_i \longrightarrow B_i)_{i \in I}$  and  $(g_i : B_i \longrightarrow C_i)_{i \in I}$  be a family of morphisms satisfies :  $\forall i, j \in I$  such that  $i \leq j$ , we have the following diagram

$$\begin{array}{ccccc} A_j & \xrightarrow{f_j} & B_j & \xrightarrow{g_j} & C_j \\ \downarrow \beta_i^j & & \downarrow \gamma_i^j & & \downarrow \delta_i^j \\ A_i & \xrightarrow{f_i} & B_i & \xrightarrow{g_i} & C_i \end{array} \quad \begin{array}{l} (E_j) \\ \\ (E_i) \end{array}$$

is commutative.

Suppose that for all  $i \in I$  there exists  $k \in I$  such that  $k \leq i$  and  $(E_k)$  be an exact sequence at  $B_k$ . Then

$$A \xrightarrow{f} B \xrightarrow{g} C \quad \text{where } f = \varinjlim f_i \text{ and } g = \varinjlim g_i \text{ is exact at } B.$$

**Proof.** We have to show that  $\text{Im}(f) = \ker(g)$ .

i)  $\text{Im}(f) \subseteq \ker(g)$  :

Let  $y \in \text{Im}(f)$ ,  $\exists x \in A$  such that  $f(x) = y$ . Let  $i \in I$  such that  $x_i \in A_i$  and  $\beta_i(x_i) = x$ . Then we have  $\gamma_i(f_i(x_i)) = f(\beta_i(x_i)) = f(x) = y$  by hypothesis, there exists  $k \in I$  such that  $k \leq i$  and  $(E_k)$  is an exact sequence at  $B_k$ . So  $g(y) = g \circ \gamma_i \circ f_i(x_i) = g \circ \gamma_k \circ \gamma_k^i \circ f_i(x_i) = \gamma_k(g_k \circ f_k) \circ \delta_k^i(x_i)$  and  $y \in \text{Ker}(g)$ .

ii)  $\ker(g) \subseteq \text{Im}(f)$  :

Let  $y \in \text{Ker}(g)$ , let  $y_i \in B_i$  such that  $\gamma_i(y_i) = y$ , then we have  $\delta_i(g_i(y_i)) = g(\gamma_i(y_i)) = g(y) = 0$ . So there exists  $j \in I$  such that  $i \leq j$  and  $\delta_j^i(g_i(y_i)) = 0 \in C_j$  by construction of  $\varinjlim$ . We know that there exists  $k \leq j$  such that  $(E_k)$  be an exact sequence at  $B_k$ . Since  $g_k(\gamma_k^i(y_i)) = \gamma_k^i(g_i(y_i)) = \gamma_k^i \circ \delta_j^i(g_i(y_i)) = 0$  and that  $(E_k)$  exact at  $B_k$ , we know that there exists  $x_k \in A_k$  such that  $f_k(x_k) = \gamma_k^i(y_i)$ . Hence  $f(\beta_k(x_k)) = \gamma_k \circ f_k(x_k) = \gamma_k \circ \gamma_k^i(y_i) = \gamma_i(y_i) = y$  and  $y \in \text{Im}(f)$ . From that i) and ii) we conclude that  $\text{Im}(f) = \ker(g)$ .

### The inductive system of $H^p(\mathcal{U}, \mathcal{F})$

We will describe in this paragraph the inductive system which will allow us to define the  $H^p(\mathcal{U}, \mathcal{F})$ .

**Definition 2.8.8 (Refinement function)** If  $\mathcal{U} \subseteq \Omega$ , with  $\mathcal{U} = (V_j)_{j \in J}$  and  $\Omega = (U_i)_{i \in I}$  then there exists a function  $\tau$  so-called **refinement function** satisfies  $\tau : J \longrightarrow I$  such that  $V_j \subseteq U_{\tau(j)}$ . This function will allow us to define :

$$\begin{array}{ccc} \tau^p : C^p(\Omega, \mathcal{F}) & \longrightarrow & C^p(\mathcal{U}, \mathcal{F}) \\ (s_{j_0 \dots j_p}) & \longmapsto & (s_{\tau(j_0) \dots \tau(j_p)})|_{V_{j_0 \dots j_p}} \end{array}$$

**Remark 2.8.4** The *refinement function* is not necessarily unique. And we have the following commutative diagram :

$$\begin{array}{ccccccc}
 \longrightarrow & C^{p-1}(\mathcal{U}, \mathcal{F}) & \longrightarrow & C^p(\mathcal{U}, \mathcal{F}) & \longrightarrow & C^{p+1}(\mathcal{U}, \mathcal{F}) & \longrightarrow \\
 & \tau^{p-1} \uparrow & & \tau^p \uparrow & & \tau^{p+1} \uparrow & \\
 \longrightarrow & C^{p-1}(\Omega, \mathcal{F}) & \longrightarrow & C^p(\Omega, \mathcal{F}) & \longrightarrow & C^{p+1}(\Omega, \mathcal{F}) & \longrightarrow
 \end{array}$$

The horizontal morphisms are the coboundary morphisms.

The diagram above informs us that the refinement function sends the coboundarys in coboundarys and cocycles in cocycles :  $\left\{ \begin{array}{l} \tau^p(B^p(\Omega, \mathcal{F})) \subseteq B^p(\mathcal{U}, \mathcal{F}) \\ \tau^p(Z^p(\Omega, \mathcal{F})) \subseteq Z^p(\mathcal{U}, \mathcal{F}) \end{array} \right.$  That said, the latter induces a function  $H^p(\Omega, \mathcal{F}) \longrightarrow H^p(\mathcal{U}, \mathcal{F})$ .

**Theorem 2.8.4** Let  $\tau, \tilde{\tau} : J \longrightarrow I$  be two refinement functions such that  $V_j \subseteq U_{\tau(j)} \cap U_{\tilde{\tau}(j)}$ . Then  $\tau$  and  $\tilde{\tau}$  induces the same function  $\phi_{\mathcal{U}}^{\Omega} : H^p(\Omega, \mathcal{F}) \longrightarrow H^p(\mathcal{U}, \mathcal{F})$ .

**Proof.** If  $\mathcal{U} = (V_i)_{i \in J}$  and  $\Omega = (U_i)_{i \in I}$  be two open cover of  $X$ . Then we can construct the cover  $\Lambda = (U_i \cap V_j)_{(i,j) \in I \times J}$ . This covering is such that  $\Lambda \subseteq \Omega$  and  $\Lambda \subseteq \mathcal{U}$ . Moreover, if  $\Lambda \subseteq \mathcal{U} \subseteq \Omega$  are three covers of  $X$ , we have  $\phi_{\Lambda}^{\mathcal{U}} \circ \phi_{\mathcal{U}}^{\Omega} = \phi_{\Lambda}^{\Omega}$ . Indeed, to realize  $\phi_{\Lambda}^{\mathcal{U}} \circ \phi_{\mathcal{U}}^{\Omega}$  refinement functions are used to  $\mathcal{U} \subseteq \Omega$  and  $\Lambda \subseteq \mathcal{U}$  which gives us a refinement function for  $\Lambda \subseteq \mathcal{U}$ . The data of groups  $H^p(\Omega, \mathcal{F})$  for all open covers  $\Omega$  of  $X$  and the data of morphisms  $\phi_{\mathcal{U}}^{\Omega}$  constitute an inductive system. It is from this inductive system that the inductive limit must be made to obtain the  $p^{ieme}$  cohomology group  $H^p(\Omega, \mathcal{F})$  from  $X$  to coefficient in the sheaf  $\mathcal{F}$ .

### Long exact sequence in cohomology

**Theorem 2.8.5** Let  $\mathcal{F}, \mathcal{G}$  and  $\mathcal{H}$  be sheaves on  $X$  and  $\mathcal{F} \xrightarrow{\alpha} \mathcal{G}$  and  $\mathcal{G} \xrightarrow{\beta} \mathcal{H}$  be two morphisms of sheaves.

If for any covering  $\Omega$  of  $X$  there exists a covering  $\Omega' \subseteq \Omega$  such that for any finite intersection  $W$  of open sets of  $\Omega'$  the following sequence

$$0 \longrightarrow \mathcal{F}(W) \xrightarrow{\alpha} \mathcal{G}(W) \xrightarrow{\beta} \mathcal{H}(W) \longrightarrow 0$$

is exact. Then the following infinite sequence

$$0 \longrightarrow H^0(X, \mathcal{F}) \xrightarrow{\tilde{\alpha}} H^0(X, \mathcal{G}) \xrightarrow{\tilde{\beta}} H^0(X, \mathcal{H}) \xrightarrow{\Delta} H^1(X, \mathcal{F}) \longrightarrow \dots$$

$$\dots \longrightarrow H^p(X, \mathcal{F}) \xrightarrow{\tilde{\alpha}} H^p(X, \mathcal{G}) \xrightarrow{\tilde{\beta}} H^p(X, \mathcal{H}) \xrightarrow{\Delta} H^{p+1}(X, \mathcal{F}) \longrightarrow \dots$$

is exact.

**Proof.** Let  $\Omega$  be an open cover of  $X$  for which each of the finite intersections  $W$  is open makes the sequence

$$0 \longrightarrow \mathcal{F}(W) \xrightarrow{\alpha} \mathcal{G}(W) \xrightarrow{\beta} \mathcal{H}(W) \longrightarrow 0$$

is exact.

The associated sequence

$$0 \longrightarrow C^p(\Omega, \mathcal{F}(W)) \xrightarrow{\alpha^p} C^p(\Omega, \mathcal{G}(W)) \xrightarrow{\beta^p} C^p(\Omega, \mathcal{H}(W)) \longrightarrow 0$$

is therefore exact for all  $p$ .

Consider the associated sequence

$$0 \longrightarrow H^p(X, \mathcal{F}) \xrightarrow{\tilde{\alpha}_{\Omega}} H^p(X, \mathcal{G}) \xrightarrow{\tilde{\beta}_{\Omega}} H^p(X, \mathcal{H}) \xrightarrow{\Delta_{\Omega}} H^{p+1}(X, \mathcal{F}) \longrightarrow 0$$

Let us show that it is exact :

\*  $\ker(\Delta_\Omega) \subseteq \text{Im}(\tilde{\beta}_\Omega)$  :

Let  $h \in Z^p(\Omega, \mathcal{H})$  such that  $h + B^p(\Omega, \mathcal{H}) \in \text{Ker}(\Delta_\Omega)$ , there exists  $g \in C^p(\Omega, \mathcal{G})$  such that  $\beta^p(g) = h$  and  $f \in C^{p+1}(\Omega, \mathcal{F})$  such that  $\alpha^{p+1}(f) = \delta(g)$ . By definition of  $\Delta_\Omega$ , we have  $\Delta(h + B^p(\Omega, \mathcal{H})) = f + B^{p+1}(\Omega, \mathcal{F})$ . So  $f \in B^{p+1}(\Omega, \mathcal{F})$ .

So there exists  $f' \in C^p(\Omega, \mathcal{F})$  such that  $\delta(f') = f$ , hence  $\delta(g) = \alpha^{p+1}(f) = \alpha^{p+1} \circ \delta(f') = \delta(\alpha^p(f'))$ , this gives  $g - \alpha^p(f') \in Z^p(\Omega)$ . As  $\ker(\beta^p) = \text{Im}(\alpha^p)$   $\tilde{\beta}(g - \alpha^p(f') + \beta^p(\Omega, \mathcal{F})) = \beta^p(g) - \beta^p \circ \alpha^p(f') + B^p(\Omega, \mathcal{H}) = h - 0 + B^p(\Omega, \mathcal{H}) = h + B^p(\Omega, \mathcal{H})$ . Thus  $h + B^p(\Omega, \mathcal{H}) \in \text{Im}(\tilde{\beta}_\Omega)$

\*  $\text{Im}(\tilde{\beta}_\Omega) \subseteq \ker(\Delta_\Omega)$  :

Let  $y \in \text{Im}(\tilde{\beta}_\Omega)$ , let  $g \in Z^p(\Omega, \mathcal{G})$  such that  $y = \beta^p(g) + B^p(\Omega, \mathcal{H}) \in \text{Im}(\tilde{\beta}_\Omega)$ . So we have  $\Delta_\Omega(\beta^p(g) + B^p(\Omega, \mathcal{H})) = 0 + B^{p+1}(\Omega, \mathcal{F})$  because  $\alpha^{p+1}(0) = 0 = \delta(g)$ . Hence  $y = \beta^p(g) + B^p(\Omega, \mathcal{H}) \in \text{Ker}(\Delta_\Omega)$ .

\*  $\text{Im}(\tilde{\beta}_\Omega) \subseteq \ker(\tilde{\alpha}_\Omega)$  :

If  $g \in Z^p(\Omega, \mathcal{G})$  and  $g + B^p(\Omega, \mathcal{G}) \in \ker(\tilde{\beta}_\Omega)$  we have  $\beta^p(g) \in B^p(\Omega, \mathcal{H})$ , so there exists  $h' \in C^{p-1}(\Omega, \mathcal{H})$  such that  $\delta(h') = \beta^p(g)$ . Since  $\beta^{p-1}$  is surjective, there exists  $g' \in C^{p-1}(\Omega, \mathcal{G})$  such that  $\beta^{p-1}(g') = h'$ . We have  $\beta^p(g - \delta(g')) = \beta^p(y) - \delta \circ \beta^{p-1}(g') = \delta(h - h') = 0$ . As  $\text{Im}(\alpha^p) = \ker(\beta^p)$  there exists  $f \in C^p(\Omega, \mathcal{F})$  such that  $\alpha^p(f) = g - \delta(g')$ . To see that  $\delta(f) = 0$ , we use the injectivity of  $\alpha^{p+1}$  and we show that  $\alpha^{p+1} \circ \delta(f) = 0$ . Indeed, we have  $\alpha^{p+1}(\delta(f)) = \delta \circ \alpha^p(f) = \delta(g) - \delta \circ \delta(g') = 0$  with  $g$  is cocycle. Hence  $\tilde{\alpha}(f + B^p(\Omega, \mathcal{F})) = \alpha^{p+1}(f) + B^p(\Omega, \mathcal{G}) = g - \delta(g') + B^p(\Omega, \mathcal{G}) = g + B^p(\Omega, \mathcal{G}) \in \text{Im}(\tilde{\alpha}_\Omega)$ .

\*  $\text{Im}(\tilde{\alpha}_\Omega) \subseteq \text{Ker}(\tilde{\beta}_\Omega)$  :

If  $f \in Z^p(\Omega, \mathcal{F})$ , we have  $\tilde{\beta}_\Omega \circ \tilde{\alpha}_\Omega(f + B^p(\Omega, \mathcal{F})) = \beta^p \circ \alpha^p(f) + B^p(\Omega, \mathcal{H}) = B^p(\Omega, \mathcal{H})$ , because  $\text{Im}(\alpha^p) = \ker(\beta^p)$ .

\*  $\ker(\tilde{\alpha}_\Omega) \subseteq \text{Im}(\Delta_\Omega)$  :

Let  $f \in Z^{p+1}(\Omega, \mathcal{F})$  such that  $\tilde{\alpha}^{p+1}(f + B^{p+1}(\Omega, \mathcal{F})) = 0$ . Thus, we have  $g \in C^p(\Omega, \mathcal{G})$  such that  $\delta(g) = \alpha^{p+1}(f)$ , so we have  $\delta(\beta^{p+1}(\delta(g))) = \beta^{p+1} \circ \alpha^{p+1}(f) = 0$  because  $\ker(\beta^{p+1}) = \text{Im}(\alpha^{p+1})$ . So we have  $\beta^p(g) \in Z^{p+1}(\Omega, \mathcal{G})$  and by definition of  $\Delta_\Omega$ , we have  $\Delta(\beta^p(g) + B^p(\Omega, \mathcal{G})) = f + B^{p+1}(\Omega, \mathcal{F})$ . Finally,  $f + B^{p+1}(\Omega, \mathcal{F}) \in \text{Im}(\Delta_\Omega)$ .

\*  $\text{Im}(\Delta_\Omega) \subseteq \ker(\tilde{\alpha}_\Omega)$  :

Let  $f \in Z^{p-1}(\Omega, \mathcal{F})$  and  $f + B^{p+1}(\Omega, \mathcal{F}) \in \text{Im}(\Delta_\Omega)$  by definition we have  $h \in Z^p(\Omega, \mathcal{H})$  and  $g \in C^p(\Omega, \mathcal{G})$  such that  $\alpha^{p+1}(f) = \delta(g)$  and  $\beta^p(g) = h$ . So we have  $\tilde{\alpha}_\Omega(f + B^{p+1}(\Omega, \mathcal{F})) = \alpha^{p+1}(f) + B^{p+1}(\Omega, \mathcal{F}) = \delta(g) + B^{p+1}(\Omega, \mathcal{G}) = B^{p+1}(\Omega, \mathcal{G})$ . Hence  $f + B^{p+1}(\Omega, \mathcal{F}) \in \text{Ker}(\tilde{\alpha}_\Omega)$ .

**Theorem 2.8.6** Let  $X$  be a topological space and let  $\mathcal{F}$  be a sheaf on  $X$ .

i) The Čech cohomology groups are functors  $H^i(X, \cdot) : \text{AbSh}_X \longrightarrow \text{AbG}$ .

ii) (**Leray's theorem**) If  $\mathcal{F}$  is a sheaf and  $\mathcal{U}$  is a covering such that  $H^i(U_{i_1} \cap \cdots \cap U_{i_p}, \mathcal{F}) = 0$  for all  $i > 0$  and multi-indices  $i_1 < \cdots < i_p$ , then

$$H^i(X, \mathcal{F}) = H^i(\mathcal{U}, \mathcal{F})$$

.

**Proof.** See [9, Theorem 13.13, p.254].

**Theorem 2.8.7 (Serre)** Let  $R$  be a **Noetherian** ring, let  $X = \text{Spec}(R)$  and let  $\mathcal{F}$  be a quasi-coherent sheaf on  $X$ . Then for the Čech cohomology one has

$$H^p(X, \mathcal{F}) = 0.$$

for all  $p > 0$ .

**Proof.** See [9, Theorem 14.1, p.256].

**Corollary 2.8.1** Let  $X$  be a **Noetherian** affine scheme and

$$0 \longrightarrow \mathcal{F} \longrightarrow \mathcal{G} \longrightarrow \mathcal{H} \longrightarrow 0$$



be an exact sequence of  $\mathcal{O}_X$ -modules with  $\mathcal{F}$  is quasi-coherent. Then the following sequence

$$0 \longrightarrow \mathcal{F}(X) \longrightarrow \mathcal{G}(X) \longrightarrow \mathcal{H}(X) \longrightarrow 0$$

is exact.

**Proof.** The only point to show is the surjectivity of  $\mathcal{G}(X) \longrightarrow \mathcal{H}(X)$ . But its cokernel is  $H^1(X, \mathcal{F})$  which is zero according to theorem 2.8.7.

The next result is another "*vanishing theorem*". It a general result, due to *Grothendieck*, that the cohomology groups vanish above the dimension of base space  $X$ , at least for spaces  $X$  that are Noetherian. In other words, if we take  $X$  be a *Noetherian* topological space of Krull dimension equal  $m$  and  $\mathcal{F}$  be a sheaf of Abelian groups. Then for every  $p > m$ , we have  $H^p(X, \mathcal{F}) = 0$ .

**Theorem 2.8.8** (*Grothendieck*) Let  $X$  be a *Noetherian* topological space of dimension  $m$ , and let  $\mathcal{F}$  be an abelian sheaf on  $X$ . Then

$$H^p(X, \mathcal{F}) = 0$$

for all  $p > m$ .

The proof of this theorem needs some preliminary results. There are not found in this work. So the reader can found this in [29, Proposition 20.20.7 "*Grothendieck*"].

**Lemma 2.8.2** Let  $X$  be a topological space and let  $Y \subseteq X$  be a closed subset. Then for any abelian sheaf  $\mathcal{F}$  on  $Y$ , it holds true that  $H^p(Y, \mathcal{F}) = H^p(X, i_*\mathcal{F})$ .

**Proof.** Let  $\{U_i\}_{i \in I}$  be an open cover of  $X$ . It is clearly that this open cover induces an open cover of  $Y$ , and all open covers of  $Y$  arise like this. The lemma then follows from the basic fact that for each open subset  $V \subseteq X$  it holds that  $i_*\mathcal{F}(V) = \mathcal{F}(V \cap Y)$  so the two *cohomology groups* arise from the same Čech complexes.

**Theorem 2.8.9** Let  $X$  be a *quasi-projective* scheme of dimension  $m$ . Then  $X$  admits an open cover  $\mathcal{U}$  consisting of at most  $m + 1$  affine open subsets. In particular, it holds true that

$$H^p(X, \mathcal{F}) = 0 \text{ for } p > m$$

for any *quasi-coherent* sheaf  $\mathcal{F}$  on  $X$ .

**Proof.** See [9, Theorem 14.6, p.260].

### Cohomology of sheaves on projective space

Let  $R$  be a Noetherian ring and  $S$  the graded ring  $R[x_0, \dots, x_m]$ . We pose  $X = \text{Proj}(S)$ .

**Theorem 2.8.10** Let  $X = \mathbb{P}_R^m$ , with  $m \in \mathbb{N}$ . Then :

- i)  $H^i(X, \mathcal{O}_X(n)) = 0$ , for all  $i > m$ .
- ii)  $H^i(X, \mathcal{O}_X(n)) = 0$  for  $0 < i < m$  and  $n \in \mathbb{Z}$ .
- iii)  $H^m(X, \mathcal{O}_X(-m-1)) \simeq R$ .
- iv) For  $n \geq 0$ , there is a perfect pairing of  $R$ -modules

$$H^0(X, \mathcal{O}_X(n)) \times H^m(X, \mathcal{O}_X(-n-m-1)) \longrightarrow H^m(X, \mathcal{O}_X(-m-1))$$

Recall that a bilinear map  $M \times N \longrightarrow R$  is a perfect pairing if the induced map  $M \longrightarrow \text{Hom}_R(N, R)$  is an isomorphism.

**Proof.** Let  $\mathcal{F}$  be quasi-coherent sheaf defined by  $\mathcal{F} := \bigoplus_{n \in \mathbb{Z}} \mathcal{O}_X(n)$ . On a Noetherian topological space, the cohomology commutes with the direct sums, so we will calculate the cohomology of  $\mathcal{F}$  to obtain that of the different  $\mathcal{O}_X(n)$  (via the graduation of  $\mathcal{F}$ ). In particular  $H^0(X, \mathcal{F}) = \Gamma_*(\mathcal{O}_X)$  is isomorphic to  $S$  (proposition 2.7.18). Note that all the cohomology groups involved here can be considered like  $R$ -modules. To compute the Čech cohomology of  $\mathcal{F}$ , we will be using the covering  $\mathcal{U}$  of  $X$  by the affine open sets  $U_i = D_+(x_i)$ . Here for any family of indices  $i_0, \dots, i_p$ , the set  $U_{i_0 \dots i_p}$  is just  $D_+(x_{i_0 \dots i_p})$ , so we have

$$\mathcal{F}(U_{i_0 \dots i_p}) \simeq S_{x_{i_0} \dots x_{i_p}}.$$

(indeed for any homogeneous  $f$  in  $S$ ,  $\mathcal{O}_X(n)(D_+(f))$  is made up of elements of degree  $n$  of localized  $S_f$ ) and moreover the graduation on  $\mathcal{F}$  corresponds via this isomorphism to the natural graduation on  $S_{x_{i_0} \dots x_{i_p}}$ . Finally the Čech complex  $C^\bullet(\mathcal{U}, \mathcal{F})$  is given by

$$\prod S_{x_{i_0}} \longrightarrow \prod S_{x_{i_0 i_1}} \longrightarrow \dots \longrightarrow S_{x_{i_0} \dots x_{i_m}}$$

the graduation of all  $R$ -modules being compatible with that of  $\mathcal{F}$ . We then treat the different cases separately.

\* For **ii**) : The group  $H^m(X, \mathcal{F})$  is the cokernel of the last arrow of the Čech complex :

$$\delta^{m-1} : \prod_k S_{x_0 \dots x_k \dots x_m} \longrightarrow S_{x_0 \dots x_m}$$

We can see  $S_{x_0 \dots x_m}$  as a basic free  $R$ -module  $x_0^{l_0} \dots x_m^{l_m}$  with the  $l_i$  in  $\mathbb{Z}$ . The image of  $\delta^{m-1}$  is the free submodule generated by the elements of the base for which at least one of the  $l_i$  is positive or zero. So we can see  $H^m(X, \mathcal{F})$  as the basic free  $R$ -module  $x_0^{l_0} \dots x_m^{l_m}$  such as all the  $l_i$  are  $< 0$ , the graduation being given by  $\sum l_i$ . In particular the only monome of degree  $-m-1$  is  $x_0^{-1} \dots x_m^{-1}$ , so that  $H^m(X, \mathcal{O}_X(-m-1))$  is a free  $R$ -module of rank 1, which proves **iii**).

\* For **iv**) from the description of  $H^m(X, \mathcal{F})$  above, we have  $H^m(X, \mathcal{O}_X(-n-m-1)) = 0$  if  $n < 0$ , and we already knew that  $H^0(X, \mathcal{O}_X(n)) = 0$  if  $n < 0$ . Thus if  $n < 0$ , the statement is trivial. For  $n \geq 0$ ,  $H^0(X, \mathcal{O}_X(n))$  a basis consisting of the monomes  $x_0^{d_0} \dots x_m^{d_m}$  with  $d_i \geq 0$  and  $Q$ . We have a natural pairing of  $H^0(X, \mathcal{O}_X(n))$  with  $H^m(X, \mathcal{O}_X(-n-m-1))$ , to values in  $H^m(X, \mathcal{O}_X(-m-1))$ , defined by

$$(x_0^{d_0} \dots x_m^{d_m}) \cdot (x_0^{l_0} \dots x_m^{l_m}) = x_0^{l_0+d_0} \dots x_m^{l_m+d_m}$$

it being understood that  $x_i^{d_i+l_i} = 0$  if  $d_i + l_i \geq 0$ . We therefore have a coupling perfect, the dual base of  $(x_0^{d_0} \dots x_m^{d_m})$  being  $(x_0^{-d_0-1} \dots x_m^{-d_m-1})$ .

\* For **i**) follows from theorem 2.8.8.

\* For **ii**) : See [9, Theorem 14.7, p.261].

## 2.9 Divisors defined by means of schemes

We previously described in the first chapter divisors on curves. We give here the interpretation (and generalization) of these divisors in the language of schemes. We present then in this section Weil and Cartier Divisors and some relations between them.

### 2.9.1 Cartier Divisors

**Definition 2.9.1** (*Sheaf of meromorphic functions*)

Let  $R$  be a commutative ring. We denote by  $\mathcal{R}(R)$  for the nonzero divisors of  $R$ . Let  $X$  be a scheme, the sheaf  $\mathcal{R}_X$  is defined as : For any open subset  $U \subseteq X$

$$\mathcal{R}_X(U) := \{f \in \mathcal{O}_X(U) \mid \forall x \in U, f_x \in \mathcal{R}(\mathcal{O}_{X,x})\}$$

Moreover,  $\mathcal{K}'_X$  is defined to the presheaf such that  $\mathcal{K}'_X(U) := \mathcal{R}_X(U)^{-1} \mathcal{O}_X(U)$  and  $\mathcal{K}_X$  is the *sheafification* of  $\mathcal{K}'_X$ . Then we call  $\mathcal{K}_X$  the sheaf of *meromorphic functions* on  $X$ .

**Remarks 2.9.1** i)  $\mathcal{K}_X$  is called also sheaf of **total quotient ring** of  $\mathcal{O}_X$ .

ii) Note that if  $U$  is affine open. Then  $\mathcal{R}_X(U) = \mathcal{R}(\mathcal{O}_X(U))$

iii) Note there is a natural morphism of sheaves  $\mathcal{O}_X \rightarrow \mathcal{K}_X$ . which is a monomorphism because of the nonzerodivisor condition.

**Lemma 2.9.1** Let  $X$  be a **locally Noetherian scheme**, then for any  $x \in X$ ,  $\mathcal{K}'_{X,x} \simeq \text{Frac}(\mathcal{O}_{X,x})$ , where  $\text{Frac}(\mathcal{O}_{X,x})$  denote for the totally ring of fraction of  $\mathcal{O}_{X,x}$ .

**Proof.** Let  $X$  be a **locally Noetherian** scheme, and let  $x \in X$ . We have  $\mathcal{K}'_{X,x} = \mathcal{R}_{X,x}^{-1} \mathcal{O}_{X,x}$  and  $\mathcal{R}_{X,x} \subseteq \mathcal{R}(\mathcal{O}_{X,x})$  it suffices to show that  $\mathcal{R}(\mathcal{O}_{X,x}) \subseteq \mathcal{R}_{X,x}$ . Let  $f_x \in \mathcal{R}(\mathcal{O}_{X,x})$  then  $f_x$  comes from  $f \in \mathcal{O}_X(U)$  where  $U$  is affine open subset in  $X$ . Let  $J$  be the annihilator of  $f$ , then  $J\mathcal{O}_{X,x} = 0$ . Since  $X$  is locally Noetherian, we may assume that  $\mathcal{O}_X(U)$  is Noetherian, therefore  $J$  is finitely generated. This then implies there exists an affine open subset  $W \subseteq U$  such that  $J\mathcal{O}_X(W) = 0$ . Then  $f|_W \in \mathcal{R}_X(\mathcal{O}_X(W))$ , and hence  $f_x \in \mathcal{R}_{X,x}$ .

**Example 2.9.1** Let  $k$  is a field and  $Y = \text{Spec}(k[x])$ . Then  $\mathcal{O}_Y(U)$  is the ring of **rational functions** on an open set  $U$  in  $Y$ . The image of any nonzero  $f \in \mathcal{O}_Y(U)$  in  $\mathcal{O}_{Y,x} = k[x]_{\mathfrak{p}}$  ( $x$  corresponds to a prime  $\mathfrak{p} \subseteq k[x]$ ) is a nonzerodivisor for any  $x$ , since the localization of an **integral domain** is again an integral domain, so  $\mathcal{K}_Y(U)$  is the fraction field of  $\mathcal{O}_Y(U)$ , which is clearly  $k(x)$ . As such,  $\mathcal{K}'_Y = \mathcal{K}_Y$  is just the **constant sheaf**  $k(x)$ , which is also isomorphic to  $\mathcal{O}_{Y,\epsilon} = k[x]_{(0)}$ , where  $\epsilon$  is the **generic point**  $(0)$ .

**Remark 2.9.1** In fact, for any **integral scheme**  $X$ ,  $\mathcal{K}_X$  is the constant sheaf associated to  $\mathcal{O}_{X,\epsilon}$ , by the same argument in the example 2.9.1

**Definition 2.9.2** Let  $\mathcal{K}_X^\times$  be the subsheaf of **invertible elements** of  $\mathcal{K}_X$  and  $\mathcal{O}_X^\times$  be the subsheaf of invertible elements of  $\mathcal{O}_X$ . We denote  $\mathcal{K}_X^\times / \mathcal{O}_X^\times$  to be the sheafification of the presheaf  $U \rightarrow \mathcal{K}_X^\times(U) / \mathcal{O}_X^\times(U)$ . Then there is a natural morphism  $\mathcal{K}_X^\times \rightarrow \mathcal{K}_X^\times / \mathcal{O}_X^\times$ .

i) The group of **Cartier divisors** on  $X$  is defined to be  $\text{CaDiv}(X) := H^0(X, \mathcal{K}_X^\times / \mathcal{O}_X^\times)$ .

ii) The natural morphism above yields a homomorphism

$$\text{div} : H^0(X, \mathcal{K}_X^\times) \rightarrow H^0(X, \mathcal{K}_X^\times / \mathcal{O}_X^\times).$$

A **Cartier divisor**  $D$  is called to be a **principal Cartier divisor** if and only if  $D \in \text{Im}(\text{div})$ . Note that a principal divisor can be described with the singleton collection  $\{(X, f)\}$  for  $f \in \mathcal{K}_X^*(X)$ .

iii) We denote the group law on  $\text{CaDiv}(X)$  as addition. Then for any  $D, D' \in \text{CaDiv}(X)$ , one say  $D$  and  $D'$  are **linearly equivalent**,  $D \sim D'$ , if and only if  $D - D' \in \text{Im}(\text{div})$ .

iv) Let  $D \in \text{CaDiv}(X)$ ,  $D$  is said to be **effective** if and only if  $D \in \text{Im}(H^0(X, \mathcal{O}_X \cap \mathcal{K}_X^\times) \rightarrow H^0(X, \mathcal{K}_X^\times / \mathcal{O}_X^\times))$ . We then write  $D \geq 0$ , and the set of **effective Cartier divisors** is denoted by  $\text{CaDiv}_+(X)$ .

v) The group of **Cartier divisors** mod principal divisors is denoted  $\text{Cl}(X) := \text{CaDiv}(X) / \sim$ . Also  $\text{Cl}(X)$  is called **Cartier divisor class group**.

**Remarks 2.9.2** i) To a sheaf of rings  $\mathcal{F}$  on  $X$ , we can construct the sheaf  $\mathcal{F}^\times$  of **invertible elements**, which is a sheaf of abelian groups, by defining

$$\mathcal{F}^\times(U) := \{s \in \mathcal{F}(U) \mid st = 1_U \text{ for some } t \in \mathcal{F}(U)\}$$

Note if  $st = 1_U$  in  $\mathcal{F}(U)$  and  $W \subseteq U$ , then  $s|_W t|_W = 1_W$ .

ii) The definition 2.9.2 allows us to represent a Cartier divisors by a system  $\{(U_i, f_i)\}$  where  $\{U_i\}$  forms a open cover of  $X$  and each  $f_i \in H^0(U_i, \mathcal{K}_X^\times)$  such that  $f_i f_{ij}^{-1} \in \mathcal{O}_X^\times(U_{ij})$ , where  $U_{ij} = U_i \cap U_j = U_{ji}$ . In other words, there are units  $h_{ij} \in \mathcal{O}_X(U_{ij})$  such that  $f_i = h_{ij} f_j$  over  $U_{ij}$ .

**Definition 2.9.3** The pairs  $(U_i, f_i)$  are called the local defining data or the local equations for the divisor  $D$  (with respect to the covering  $U_i$ ).

Not that the local defining data are not unique : Suppose now we have two systems  $\{(U_i, f_i)\}$  and  $\{(W_j, g_j)\}$  which representing a same Cartier divisor  $D$ . Then on  $U_i \cap W_j$ ,  $f_i = h_{ij}g_j$  for some  $h_{ij} \in \mathcal{O}_X^\times(U_i \cap W_j)$ . Therefore, for convenience, we denote  $D = [\{(U_i, f_i)\}]$ .

Now, the set of **Cartier divisors** naturally form an abelian group. Indeed, Let  $D = [\{(U_i, f_i)\}]$  and  $D' = [\{(V_j, g_j)\}] \in \text{CaDiv}(X)$ , then

$$D + D' := [\{(U_i \cap V_j, f_i g_j)\}].$$

Moreover, the inverse  $-D$  will be defined as  $[\{(U_i, f_i^{-1})\}]$ .

Additionally, let  $D = [\{(U_i, f_i)\}] \in \text{CaDiv}(X)$ . Then  $D \in \text{CaDiv}_+(X)$  if and only if  $f_i \in \mathcal{O}_X(U_i)$ . And  $D$  is **principal** if  $[\{(U_i, f_i)\}] = [\{(X, f)\}]$ .

**Example 2.9.2** On  $\mathbb{P}^1$  we can take the standard covering  $U_0 = \text{Spec}(k[s])$  and  $U_1 = \text{Spec}(k[s^{-1}])$ . Then there is a **Cartier divisor**  $D$  given by  $(U_0, s)$  and  $(U_1, 1)$ .

## Correspondence Between Sheaves and Cartier Divisors

We would like to reinterpret **Cartier divisors** in the language of sheaves.

For any  $D \in \text{CaDiv}(X)$ , we would like to associate a sheaf to  $D$ . Namely, let  $D = [\{(U_i, f_i)\}]$ ,  $\mathcal{O}_X(D)$  is the sheaf on  $X$  defined by

$$\mathcal{O}_X(D)|_{U_i} := f_i^{-1} \mathcal{O}_X|_{U_i} = f_i^{-1} \mathcal{O}_{U_i}.$$

It follows that the sheaves  $f_i^{-1} \mathcal{O}_{U_i}$  glue to a sheaf  $\mathcal{O}_X(D)$  defined on all of  $X$ . It is by construction **invertible**, since it is **invertible** on each  $U_i$ .

This construction is independent to the choice of the representatives. Indeed, Two different representatives  $(U_i, f_i)$  and  $(W_j, g_j)$  for the same divisor  $D$  give rise to the same **invertible sheaf**. This is because over  $U_i \cap W_j$ , we have  $f_i = h_{ij}g_j$  for some sections  $h_{ij} \in \mathcal{O}_X^\times(U_i \cap W_j)$ . This means that  $f_i^{-1} \mathcal{O}_{U_i \cap W_j} = g_j^{-1} \mathcal{O}_{U_i \cap W_j}$ , and so the sheaf is uniquely determined as a subsheaf of  $\mathcal{K}_X$ .

Recall that from subsection 2.7.5 the **Picard group** is the set of isomorphism classes of invertible sheaves of  $\mathcal{O}_X$ -modules.

**Proposition 2.9.1** (**Cartier divisors and the Picard group**) Let  $X$  be a scheme. Then

i) The assignment  $\eta : D \longmapsto \mathcal{O}_X(D)$  is additive, namely,

$$\eta(D + D') = \mathcal{O}_X(D) \mathcal{O}_X(D') \simeq \mathcal{O}_X(D) \otimes_{\mathcal{O}_X} \mathcal{O}_X(D').$$

ii)  $\eta$  induces an injective homomorphism  $\text{CaCl}(X) \longrightarrow \text{Pic}(X)$ .

iii) The image of  $\eta$  corresponds to the invertible sheaves contained in  $\mathcal{K}_X$ .

**Proof.** i) Let  $D = [\{(U_i, f_i)\}]$ ,  $D' = [\{(W_j, g_j)\}]$ , then  $D + D' = [\{(U_i \cap W_j, f_i g_j)\}]$ . Thus  $\eta : D + D' \longmapsto \mathcal{O}_X(D + D')$ ,  $\mathcal{O}_X(D + D')|_{U_i \cap W_j} = f_i^{-1} g_j^{-1} \mathcal{O}_{U_i \cap W_j}$ . On the other hand, we may consider

$$\begin{aligned} D &= [\{(U_i \cap W_j, f_i)\}], \mathcal{O}_X(D)|_{U_i \cap W_j} = f_i^{-1} \mathcal{O}_{U_i \cap W_j} \\ D' &= [\{(U_i \cap W_j, g_j)\}], \mathcal{O}_X(D')|_{U_i \cap W_j} = g_j^{-1} \mathcal{O}_{U_i \cap W_j}. \end{aligned}$$

The tensor product is locally given as  $f_i^{-1} \mathcal{O}_{U_i \cap W_j} \otimes g_j^{-1} \mathcal{O}_{U_i \cap W_j}$  which is clearly isomorphic to  $x f_i^{-1} \otimes y g_j^{-1} \longmapsto x y f_i^{-1} g_j^{-1}$ .

ii) For any principal divisor  $\text{div}(f)$ , it can be represented by  $\{(U_i, f|_{U_i})\}$ . Then  $\mathcal{O}_X(\text{div}(f))|_{U_i}$  yields that  $\mathcal{O}_X(\text{div}(f))$  as an  $\mathcal{O}_X$ -module. Therefore  $\eta$  indeed induces a group homomorphism  $\text{CaCl}(X) \longrightarrow \text{Pic}(X)$ . Now let  $D \in \ker(\eta)$ , then  $\mathcal{O}_X(D) \simeq \mathcal{O}_X$  as  $\mathcal{O}_X$ -modules yields that there exists  $f \in H^0(X, \mathcal{K}_X)$  such that  $\mathcal{O}_X(D) = f \mathcal{O}_X$ . Write  $D = [\{(U_i, f_i)\}]$  then  $f|_{U_i} = f_i^{-1} \in H^0(U_i, \mathcal{K}_X^\times)$ . Therefore  $f \in H^0(X, \mathcal{K}_X^\times)$  and  $D = \text{div}(f)$  follows immediately.

iii) The construction of  $\mathcal{O}_X(D)$  for any  $D \in \text{CaDiv}(X)$  yields that  $\mathcal{O}_X(D)$  is a locally of free rank one. Let  $\mathcal{L} \subseteq \mathcal{K}_X$  be an invertible subsheaf, and  $\{U_i\}$  be an open cover of  $X$  such that  $\mathcal{L}|_{U_i}$  is free of rank one and is generated by an element  $f_i \in \mathcal{K}'_X(U_i)$  for each  $i$ . Then  $f_i \in \mathcal{K}'(U_i)^\times \subseteq \mathcal{K}_X(U_i)^\times$  because  $\mathcal{L}$  is invertible. By letting  $D = [\{(U_i, f_i)\}]$ , then we obtained the result.

There is a nice correspondence between **Cartier divisors** and **invertible sheaves**.

**Theorem 2.9.1** The map  $D \mapsto \eta(D)$  gives a one-to-one correspondence between **Cartier divisors** on  $X$  and **invertible subsheaves** on  $\mathcal{K}_X$ .

**Proof.** Let  $\mathcal{L}$  be an invertible subsheaf of  $\mathcal{K}_X$  and let  $(U_i)$  be an open cover of  $X$  such that the restriction of  $\mathcal{L}$  at each  $U_i$  is free of rank 1. Let  $f_i \in \mathcal{K}'_X(U_i)$  a generator of  $\mathcal{L}$  on  $U_i$  and let  $g_i = f_i^{-1}$ . Then  $D = (U_i, g_i)$  is a Cartier divisor (two generators of  $\mathcal{L}$  on  $U_i \cap U_j$  different by an element from  $\mathcal{K}'^{\text{times}}(U_i \cap U_j)$ ). We thus obtain a map between invertible subsheaf of  $\mathcal{K}_X$  and Cartier divisors, which is clearly the inverse of  $D \mapsto \eta(D)$ .

In proposition 2.9.1 ii), It may happen that this map is not **surjective** because an invertible sheaf "abstract" is not necessarily isomorphic to a subsheaf of  $\mathcal{K}_X$ , however, these situations are quite pathological. In particular, we have the following proposition :

**Proposition 2.9.2** Let  $X$  be an **integral** scheme. Then the map  $\eta : \text{CaCl}(X) \rightarrow \text{Pic}(X)$  is an isomorphism.

**Proof.** By proposition 2.9.1  $\eta$  is injective. Then We need to show that  $\eta$  is surjective. It suffices to show that any invertible  $\mathcal{O}_X$ -module  $\mathcal{L}$  is isomorphic to a submodule of  $\mathcal{K}_X$  : If  $\mathcal{L} \subseteq \mathcal{K}_X$ , let  $U_i$  be a trivializing cover of  $\mathcal{L}$  and let  $f_i$  be its local generators. Then we have  $\mathcal{L}|_{U_i} = f_i \mathcal{O}_{U_i}$  and the  $f_i$  are rational functions on  $U_i$ . On  $U_{ij} = U_i \cap U_j$ , we have  $f_i \mathcal{O}_{U_{ij}} = \mathcal{L}|_{U_{ij}} = f_j \mathcal{O}_{U_{ij}}$ , and it follows that  $f_i = h_{ij} f_j$  for  $h_{ij} \in \mathcal{O}_{U_{ij}}^\times$ . Consequently,  $(U_i, f_i^{-1})$  forms a set of local defining data for a Cartier divisor  $D$ , and of course we have  $\mathcal{L} = \mathcal{O}_X(D)$ .

Let  $\mathcal{L}$  be an invertible sheaf and consider the sheaf  $\mathcal{L} \otimes_{\mathcal{O}_X} \mathcal{K}_X$ . Let  $U_i \subseteq X$  be an open cover such that  $\mathcal{L}|_{U_i} = \mathcal{O}_{X|U_i}$ . Note that the restriction of  $\mathcal{L} \otimes_{\mathcal{O}_X} \mathcal{O}_X$  to each  $U_i$  is a constant sheaf (isomorphic to  $\mathcal{K}_X$ . Since  $X$  is **irreducible**, any sheaf whose restriction to opens in a covering is constant, is in fact a constant sheaf, and therefore  $\mathcal{L} \otimes_{\mathcal{O}_X} \mathcal{K}_X \simeq \mathcal{K}_X$  as sheaves on  $X$ . Now we can regard  $\mathcal{L}$  as a rank 1 subsheaf of  $\mathcal{K}_X$  using the composition  $\mathcal{L} \hookrightarrow \mathcal{L} \otimes_{\mathcal{O}_X} \mathcal{K}_X \simeq \mathcal{K}_X$ . Hence by the above paragraph,  $\eta$  is **surjective**.

## 2.9.2 Weil Divisors

In this subsection, we will introduce Weil divisors. We consider the schemes satisfying the following condition :  
(\*)  $X$  is a **Noetherian integral separated** scheme which is **regular** in codimension one (We say a scheme  $X$  is **regular in codimension one** (or sometimes nonsingular in codimension one) if every local ring  $\mathcal{O}_{X,x}$  of  $X$  of dimension one is regular.)

Recall that this means that each local ring  $\mathcal{O}_{X,x}$  is an integral domain, which is integrally closed in its function field  $K = k(X)$ . Recall that if  $Z$  is an **irreducible closed** subset of a scheme  $X$ , then the codimension of  $Z$  in  $X$  is equal to the dimension of the local ring  $\mathcal{O}_{X,\epsilon}$ , where  $\epsilon \in Z$  is the **generic point** (see proposition 2.5.15).

**Definition 2.9.4** Let  $X$  satisfy (\*)

i) A **prime divisor** on  $X$  is a closed integral subscheme  $Z$  of codimension one.  
We denote by  $X^{(1)}$  the set of closed integral subschemes of codimension 1, or equivalently, their generic points.

ii) A **Weil divisor** on  $X$  is a finite formal sum

$$D = \sum_i n_i Y_i \quad (2.12)$$

where  $n_i \in \mathbb{Z}$  and  $Y_i$  are prime divisors. Then the set of **Weil divisors**  $\text{Div}(X)$  is the **free abelian** group on  $X^{(1)}$ .

iii) We say  $D$  is **effective** if all the  $n_i$  are non-negative in (2.12).

iv) The **support** of a **Weil divisor**  $D$ , denoted  $\text{Supp}(D)$ , is the subset  $\cup_{n_i \neq 0} Y_i$ .



**Remark 2.9.2** If  $Z$  is a **prime divisor** on  $X$  and  $V \subseteq X$  is an open set, then  $Z \cap V$  is naturally a prime divisor on  $V$ . It follows that we obtain a presheaf  $V \mapsto \text{Div}(V)$ .

Our next task is to define the Weil divisor associated to a **rational function**.

The assumption  $(*)$  "**regular in codimension one**" implies that  $Z \subseteq X$  is a **prime divisor** with generic point  $\epsilon \in X$ , the local ring  $\mathcal{O}_{X,\epsilon}$  is a **discrete valuation** ring, with a corresponding valuation  $\mathcal{V} : K^\times \rightarrow \mathbb{Z}$ . The concept of a valuation is a generalization of the "**order**" of a **zero** or a **pole** of a meromorphic function in **complex analysis**.

In same logical, an element  $f \in K^\times$  has positive valuation  $m$  if it vanishes to order  $m$  along  $Z$ , and negative valuation  $-m$  if it has a pole of order  $m$  there.

To define this properly, let  $Z \subseteq X$  be a prime divisor, and let  $\epsilon \in X$  be its **generic point**. Then we define for a nonzero element  $f \in \mathcal{O}_{X,\epsilon}$ ,

$$\mathcal{V}_Z(f) = d \quad (2.13)$$

where  $d$  is the unique non-negative integer so that  $f \in \mathfrak{m}^d \setminus \mathfrak{m}^{d+1}$

In the function field  $K = k(X)$ , an element  $f$  is represented by a fraction  $h/g$  and we define  $\mathcal{V}_Z(f) = \mathcal{V}_Z(h) - \mathcal{V}_Z(g)$ . With this definition, we have  $\mathcal{O}_{X,\epsilon} = \mathcal{V}_Z^{-1}(\mathbb{Z}_{\geq 0})$ ,  $\mathcal{O}_{X,\epsilon}^\times = \mathcal{V}_Z^{-1}(0)$  and the **maximal ideal** is given by  $\mathfrak{m} = \mathcal{V}_Z^{-1}(\mathbb{Z}_{\geq 1})$ .

**Definition 2.9.5** Let  $f \in K^\times$ , we define its corresponding **Weil divisor** as

$$\text{div}(f) = \sum_Z \mathcal{V}_Z(f)Z.$$

Divisors of the form  $\text{div}(f)$  are called **principal divisors**, and they generate a subgroup  $\text{Div}^0(X) \subseteq \text{Div}(X)$ .

In the definition 2.9.5 the sum is taken over all prime divisors on  $X$ . To see that this is well defined, see the following lemma.

**Lemma 2.9.2** Let  $X$  be an integral noetherian scheme which is regular in codimension one, with fraction field  $K$  and let  $f \in K$ . Then  $\mathcal{V}_Z(f) = 0$  for all but finitely many prime divisors  $Z$ .

**Proof.** See [9, Lemma 15.3, p.275].

**Lemma 2.9.3** Let  $f, g \in K^\times$ . Then

$$\text{div}(fg) = \text{div}(f) + \text{div}(g)$$

as Weil divisors on  $X$ .

**Proof.** This is clear from the additivity of the valuation map.

We see from the lemma 2.9.3 that the maps

$$\begin{array}{ccc} K^\times & \longrightarrow & \text{Div}(X) \\ f & \longmapsto & \text{div}(f) \end{array}$$

is a homomorphism of groups.

**Example 2.9.3** Let  $X = \text{Spec}(k[x]) = \mathbb{A}_k^1$  and  $K = k(x)$ . Here prime divisors in  $X$  correspond to closed points  $Z = [b] \in \mathbb{A}_k^1$  associated to maximal ideals  $(x - b)$ . Let  $f = \frac{x^3(x-1)}{x+1} \in K$ . Then  $\mathcal{V}_Z(f) = 0$  for all  $b$  except when  $b = 0, +1, -1$ , where we have  $\mathcal{V}_{[0]}(f) = 2$ ,  $\mathcal{V}_{[1]}(f) = 1$  and  $\mathcal{V}_{[-1]}(f) = -1$ . Hence the divisor of  $f$  is  $2[0] + [1] - [-1]$ .



## The class group

The term "class group" comes from *algebraic number theory* and its origins be traced back *Kummer's* work on *Fermat's* last theorem.

**Definition 2.9.6** The *Weil divisor class group* of  $X$  is the quotient of the group of Weil divisors by the subgroup of principal Weil divisors. We denote by  $Cl(X) := Div(X) / Div^0(X)$ .

Two Weil divisors  $D, D'$  are said to be *linearly equivalent* (written  $D \sim D'$ ) if they have the same image in  $Cl(X)$ , or equivalently, that  $D - D'$  is principal.

**Remark 2.9.3** By construction we obtain an exact sequence

$$K^\times \xrightarrow{\text{div}} Div(X) \longrightarrow Cl(X) \longrightarrow 0$$

which we can think of as a presentation of  $Cl(X)$ .

Note that from [12], The *divisor class group* of a scheme is a very interesting invariant. In general it is not easy to calculate. In the following propositions we will calculate the *Weil divisor class group* a number of special cases to give some idea of what it is like.

Note that from [9], if  $R$  is a *Dedekind domain* then  $Cl(\text{Spec}(R))$  coincides with the class group  $Cl(R)$  of  $R$ , which measures how far  $R$  is from being a *unique factorization domain*. So for instance  $Cl(\mathbb{Z}) = 0$ .

To prove the result we want, we will need the following two facts from *commutative algebra*.

a) *Hartog's extension theorem* : Let  $R$  be an integrally closed integral domain. Then

$$R = \bigcap_{ht(\mathfrak{p})=1} R_{\mathfrak{p}}. \quad (2.14)$$

where the intersection is taken inside the fraction field of  $R$ .

b) A *Noetherian integral domain*  $R$  is a *unique factorization domain* if and only if every prime ideal  $\mathfrak{p}$  of height 1 is *principal*.

Since  $R_{\mathfrak{p}}$  is *integrally closed*, it is a *discrete valuation ring*, we set  $\mathcal{V}_{\mathfrak{p}} : K^\times \rightarrow \mathbb{Z}$  denote the corresponding valuation, so that  $R_{\mathfrak{p}} = \{f \in K \mid \mathcal{V}_{\mathfrak{p}}(f) \geq 0 \text{ for all } \mathfrak{p}\}$ . So in (2.14)  $R = \{f \in K \mid \mathcal{V}_{\mathfrak{p}}(f) \geq 0 \text{ for all } \mathfrak{p}\}$  and  $R^\times = \{f \in K \mid \mathcal{V}_{\mathfrak{p}}(f) = 0 \text{ for all } \mathfrak{p}\}$ . Hence the following sequence is exact

$$0 \longrightarrow R^\times \longrightarrow K^\times \xrightarrow{\gamma} \bigoplus_{ht(\mathfrak{p})=1} \mathbb{Z} \quad (2.15)$$

where  $\gamma(f) = (\mathcal{V}_{\mathfrak{p}}(f))$ .

Note that from [9], The map to the right is not always surjective, in fact the *cokernel* of that map is exactly the class group  $Cl(R) = Cl(\text{Spec}(R))$ . Indeed, we may identify  $\bigoplus_{ht(\mathfrak{p})} \mathbb{Z}$  with  $Div(\text{Spec}(R))$ , and note that the sequence in (2.15) is part of the following :

$$0 \longrightarrow R^\times \longrightarrow K^\times \xrightarrow{\text{div}} Div(\text{Spec}(R)) \longrightarrow Cl(R) \longrightarrow 0 \quad (2.16)$$

which is exact by the definition of linear equivalence of Weil divisors.

**Proposition 2.9.3** Let  $R$  be a *Noetherian integral domain* and let  $X = \text{Spec}(R)$ . Then the following are equivalent :

- i)  $Cl(X) = 0$  and  $X$  is *normal*.
- ii) Every height one prime ideal in  $R$  is principal
- iii)  $R$  is a *unique factorization domain*.

**Proof.** Recall that If  $R$  is a **UFD**, then it is **integrally closed**, and hence normal. The equivalence of **ii)** and **iii)** was noted above (see **b)**).

We now show that **i)**  $\Leftrightarrow$  **ii)**

If  $Z \subseteq X$  is a prime divisor in  $\text{Spec}(R)$ , then  $Z = V(\mathfrak{p})$  for some prime ideal  $\mathfrak{p} \subseteq A$  of height 1. Hence by assumption  $Z = V(g)$  for some  $g \in R$ , i.e  $Z = \text{div}(g)$ , and so  $\text{Cl}(X) = 0$ . Conversely, If  $\text{Cl}(X) = 0$ , let  $\mathfrak{p}$  be a **prime** of height 1, and let  $Z = V(\mathfrak{p}) \subseteq X$ . By assumption, there exists  $g \in K^\times$  such that  $\text{div}(g) = Z$ . We want to show that in fact  $g \in R$  and that  $\mathfrak{p} = (g)$ . But this follows from the exact sequence (2.16), since  $\mathcal{V}_q(g) = 0$  for all  $\mathfrak{p} \neq \mathfrak{q}$  and  $\mathcal{V}_p(g) = 1$ , so  $g \in A^\times$ . To show that  $g$  generates  $\mathfrak{p}$ : pick any  $f \in \mathfrak{p}$ . Then  $\mathcal{V}_p(f) \geq 1$  and  $\mathcal{V}_q(f) \geq 0$ , for all  $\mathfrak{q} \neq \mathfrak{p}$ . It follows that  $\mathcal{V}_q(\frac{f}{g}) \geq 0$  for all **prime ideals**  $\mathfrak{q} \in \text{Spec}(R)$ . Hence  $\frac{f}{g} \in R_q$  for all  $\mathfrak{q}$  prime of height 1, and hence  $\frac{f}{g} \in R$ , by the same argument as above. It follows that  $f \in gR$  and so  $\mathfrak{p} = gA$  is **principal**.

**Corollary 2.9.1** Let  $X = \mathbb{A}_k^n$  be the affine space. Then  $\text{Cl}(X) = 0$

**Proof.** This it follows from the fact  $k[T_1, \dots, T_n]$  is a **unique factorization domain**, and using proposition 2.9.3, we get  $\text{Cl}(\mathbb{A}_k^n) = 0$

Let  $X$  be a scheme and  $V$  be an open subset of  $X$ . Recall that from remark 2.9.2 the restriction of a **prime divisor** on  $X$  is a **prime divisor** on  $V$ , so it is natural to ask how the two **class groups** are related. The answer for our question is given by the following proposition :

**Proposition 2.9.4** Let  $X$  be a **normal, integral** scheme, let  $Z \subseteq X$  be a closed subscheme and let  $V = X \setminus Z$ . If  $Y_1, \dots, Y_m$  are the **prime divisors** corresponding to the codimension 1 components of  $Z$ , then there is an exact sequence

$$\bigoplus_{i=1}^m \mathbb{Z}Y_i \longrightarrow \text{Cl}(X) \xrightarrow{\rho} \text{Cl}(V) \longrightarrow 0 \quad (2.17)$$

Where the map  $\text{Cl}(X) \longrightarrow \text{Cl}(V)$  is defined by  $[Y] \mapsto [Y \cap V]$

**Proof.** If  $Y$  is a **prime divisor** on  $V$ , the closure in  $X$  is a **prime divisor** in  $X$ , so the map is surjective.

Just we need to check **exactness** in the middle. Suppose  $Y$  is a prime divisor which is principal on  $V$ . Then  $Y|_V = \text{div}(g)$  for some  $g \in k(U) = K = k(X)$ . So  $D = \text{div}(g)$  is a divisor on  $X$  such that  $D|_V = \text{div}(g)|_V$ . Hence  $D - Y$  is a **Weil divisor** supported in  $X \setminus V$ , and hence it must be a linear combination of the  $Z_i$ 's.

Our next task is to relate the **Weil divisor class group** to the **Picard group**.

### The Weil divisor class associated to an invertible sheaf

In this paragraph we go through exactly the same progression as in subsection 2.9.1 to define a canonical map  $\text{Pic}(X) \longrightarrow \text{Cl}(X)$  on a **locally Noetherian integral** scheme.

The reader can find all the results in [29], "**Weil divisors**".

Let  $\mathcal{F}$  be an invertible  $\mathcal{O}_X$ -module. Let  $x \in X$  be a point. If  $s_x, s'_x \in \mathcal{F}_x$ , generate  $\mathcal{F}_x$  as  $\mathcal{O}_{X,x}$ -module, then there exists a unit  $u \in \mathcal{O}_{X,x}^\times$  such that  $s_x = us'_x$ . The stalk of the sheaf of **meromorphic sections**  $\mathcal{K}_X(\mathcal{F})$  of  $\mathcal{F}$  at  $x$  is equal to  $\mathcal{K}_{X,x} \otimes_{\mathcal{O}_{X,x}} \mathcal{F}_x$ . Thus the image of any meromorphic section  $s$  of  $\mathcal{F}$  in the stalk at  $x$  can be written as  $s = gs'_x$  with  $g \in \mathcal{K}_{X,x}$ . Below we will abbreviate this by saying  $g = \frac{s}{s'_x}$ .

Also, if  $X$  is **integral** we have  $\mathcal{K}_{X,x} = K$  is equal to the function field of  $X$ , so  $\frac{s}{s'_x} \in K$ .

If  $s$  is a regular meromorphic section, then actually  $\frac{s}{s'_x} \in K^\times$ .

On an **integral** scheme a regular meromorphic section is the same thing as a nonzero meromorphic section.

Finally, we see that  $\frac{s}{s'_x}$  is independent of the choice of  $s_x$  up to multiplication by a unit of the local ring  $\mathcal{O}_{X,x}$ . we see the following definition makes sense.

**Definition 2.9.7** Let  $X$  be a **locally Noetherian integral** scheme. Let  $\mathcal{F}$  be an invertible  $\mathcal{O}_X$ -module. Let  $s \in \Gamma(X, \mathcal{K}_X(\mathcal{F}))$  be a regular meromorphic section of  $\mathcal{L}$ . For every prime divisor  $Y \subseteq X$  we define the order of vanishing of  $s$  along  $Y$  as the integer

$$\text{ord}_{Y,\mathcal{F}}(s) := \text{ord}_{\mathcal{O}_{X,x}}\left(\frac{s}{s_x}\right)$$

where the right hand side is the notion of Algebra,  $x \in Y$  is the **generic point**, and  $s_x \in \mathcal{F}_x$  is a generator.

**Proposition 2.9.5** Let  $X$  be a *locally Noetherian integral* scheme. Let  $\mathcal{L}$  be an invertible  $\mathcal{O}_X$ -module. Let  $s, s' \in \mathcal{K}_X(\mathcal{L})$  be a regular (i.e. nonzero) meromorphic section of  $\mathcal{L}$ . Then :

- i)  $\{Y \subseteq X \mid Y \text{ a prime divisor with generic point } \epsilon \text{ and } s \text{ not in } \mathcal{L}_\epsilon\}$   
and  $\{Y \subseteq X \mid Y \text{ is a prime divisor and } \text{ord}_{Y,\mathcal{L}}(s) \neq 0\}$  are locally finite in  $X$ .
- ii)  $g = \frac{s}{s'}$  is an element of  $K^\times$  and we have

$$\sum \text{ord}_{Y,\mathcal{L}}(s)Y = \sum \text{ord}_{Y,\mathcal{L}}(s') + \text{div}(g)$$

as *Weil divisors*.

**Proof.** See [29, Lemma 27.2 and Lemma 27.3].

**Definition 2.9.8** Let  $X$  be a *locally Noetherian integral* scheme. Let  $\mathcal{L}$  be an invertible  $\mathcal{O}_X$ -module.

- i) For any nonzero meromorphic section  $s$  of  $\mathcal{L}$  we define the *Weil divisor* associated to  $s$  as

$$\text{div}_{\mathcal{L}}(s) = \sum \text{ord}_{Y,\mathcal{L}}(s)Y \in \text{Div}(X)$$

where the sum is over *prime divisors*.

- ii) We define *Weil divisor class* associated to  $\mathcal{L}$  as the image of  $\text{div}_{\mathcal{L}}(s)$  in  $\text{Cl}(X)$  where  $s$  is any nonzero meromorphic section of  $\mathcal{L}$  over  $X$ . This is well defined by proposition 2.9.5

**Lemma 2.9.4** Let  $\mathcal{L}, \mathcal{H}$  be invertible  $\mathcal{O}_X$ -modules. Let  $s$ , resp.  $t$  be a nonzero meromorphic section of  $\mathcal{L}$ , resp.  $\mathcal{H}$ . Then  $st$  is a nonzero meromorphic section of  $\mathcal{L} \otimes \mathcal{H}$ , and  $\text{div}_{\mathcal{L} \otimes \mathcal{H}}(st) = \text{div}_{\mathcal{L}}(s) + \text{div}_{\mathcal{H}}(t)$  in  $\text{Div}(X)$ . In particular, the Weil divisor class of  $\mathcal{L} \otimes_{\mathcal{O}_X} \mathcal{H}$  is the sum of the Weil divisor classes of  $\mathcal{L}$  and  $\mathcal{H}$ .

**Proof.** Let  $s$  resp  $t$  be nonzero meromorphic section of  $\mathcal{L}$  resp  $\mathcal{H}$ , then  $st$  is nonzero meromorphic section of  $\mathcal{L} \otimes \mathcal{H}$ . Let  $Y \subseteq X$  be a *prime divisor*. Let  $\epsilon \in Y$  be its *generic point*, choose generators  $s_\epsilon \in \mathcal{L}_\epsilon, t_\epsilon \in \mathcal{H}_\epsilon$ . Then  $s_\epsilon t_\epsilon$  is a generator for  $(\mathcal{L} \otimes \mathcal{H})_\epsilon$ . So  $\frac{st}{s_\epsilon t_\epsilon} = (\frac{s}{s_\epsilon})(\frac{t}{t_\epsilon})$ . Hence we see that  $\text{div}_{\mathcal{L} \otimes \mathcal{H}}(st) = \text{div}_{\mathcal{L},Y}(s) + \text{div}_{\mathcal{H},Y}(t)$  by the additivity of the  $\text{ord}_Y$  function.

**Remark 2.9.4** In this way we obtain a homomorphism of abelian groups

$$\Theta : \text{Pic}(X) \longrightarrow \text{Cl}(X) \tag{2.18}$$

which assigns to an *invertible module* its *Weil divisor class*.

**Proposition 2.9.6** Let  $X$  be a *locally Noetherian integral* scheme.

- i) If  $X$  is *normal*, then  $\Theta$  is *injective*.
- ii) The following are equivalent :
  - a) The local rings of  $X$  are *UFDs*.
  - b)  $X$  is *normal* and  $\Theta : \text{Pic}(X) \longrightarrow \text{Cl}(X)$  is *surjective*.

In this case  $\Theta : \text{Pic}(X) \longrightarrow \text{Cl}(X)$  is an *isomorphism*.

**Proof.** \* Let  $\mathcal{L}$  be an invertible  $\mathcal{O}_X$ -module whose associated Weil divisor class is trivial. Let  $s$  be a regular meromorphic section of  $\mathcal{L}$ . The assumption means that  $\text{div}_{\mathcal{L}}(s) = \text{div}(g)$  for some  $g \in K^\times$ . Then we see that  $t = g^{-1}s$  is a regular meromorphic section of  $\mathcal{L}$  with  $\text{div}_{\mathcal{L}}(t) = 0$ , see proposition 2.9.5 ii). We will show that  $t$  defines a trivialization of  $\mathcal{L}$  which finishes the proof of i). In order to prove this we may work locally on  $X$ . Hence we may assume that  $X = \text{Spec}(R)$  is *affine* and that  $\mathcal{L}$  is trivial. Then  $R$  is a *Noetherian normal domain* and  $t$  is an element of  $K = \text{Frac}(R)$  such that  $\text{ord}_{R_{\mathfrak{p}}}(t) = 0$  for all height 1 primes  $\mathfrak{p}$  of  $R$ . We would like to show that  $t$  is a unit of  $R$ . Since  $R_{\mathfrak{p}}$  is a *discrete valuation* ring for height one primes of  $R$ , the condition signifies that  $t \in R_{\mathfrak{p}}^\times$  for all primes  $\mathfrak{p}$  of height 1. This implies  $t \in R$  and  $t^{-1} \in R$ .

### The sheaf associated to a Weil divisor

As in the subsection 2.9.1, we have been successful to associate any **Cartier divisors** with a sheaf. The same way we would like to form a sheaf, denoted  $\mathcal{O}_X(D)$  where  $D = \sum n_Z Z$  is **Weil divisors**, which should consist of rational functions with poles at worst along  $D$ .

If  $f = \frac{h}{g}$  is such a **rational function** where  $h, g$  are coprime, we have  $\text{div}(f) = \text{div}(h) - \text{div}(g)$ .

So if  $D$  is a **prime divisor**, we want the pole  $\text{div}(g)$  to be 'cancelled out' by  $D$ , i.e.  $D - \text{div}(g)$  is **effective**. In other words, we want  $\text{div}(f) + D$  to be an **effective Weil divisor**. Thus, concretely, we define the sheaf  $\mathcal{O}_X(D)$  as follows :

$$\begin{aligned}\mathcal{O}_X(D)(U) &= \{f \in K \mid (\text{div}(f) + D)|_U \geq 0\} \cup \{0\} \\ &= \{f \in K \mid \mathcal{V}_Z(f) \geq -n_Z, \text{ for all } \epsilon_Z \in U\} \cup \{0\}\end{aligned}$$

Here  $Z$  ranges over all prime divisors in  $X$  and  $\epsilon_Z$  denotes the **generic point** of  $Z$ . Moreover, The sheaf  $\mathcal{O}_X(D)$  is a quasi-coherent sheaf on  $X$  and it is **invertible** if and only if  $D$  is a **Cartier divisor** (see proposition 2.9.1).

### Connection between Weil Divisors and Cartier Divisors

From (2.16) we have for each open subset  $U \subseteq X$  the following exact sequence :

$$0 \longrightarrow \mathcal{O}_X^\times(U) \longrightarrow K^\times \xrightarrow{\text{div}} \text{Div}(U)$$

This gives an exact sequence of sheaves

$$0 \longrightarrow \mathcal{O}_X^\times \longrightarrow \mathcal{K}_X^\times \xrightarrow{\text{div}} \text{Div} \quad (2.19)$$

and we obtain the following injective map of sheaves

$$\Psi : \mathcal{K}_X^\times / \mathcal{O}_X^\times \longrightarrow \text{Div}.$$

If we take global sections, we get an injective map

$$\beta : \text{CaDiv}(X) \longrightarrow \text{Div}(X).$$

Let  $D$  be a **Cartier divisor** given by the data  $(U_i, g_i)$ . If  $Z$  is a **prime divisor** on  $X$ , with **generic point**  $\epsilon$ , then since  $U_i$  is a cover,  $\epsilon \in U_i$  for some  $i$ . We can then define

$$\mathcal{V}_Z(D) = \mathcal{V}_Z(g_i)$$

This is independent of the choice of  $U_i$ . Indeed, If  $\epsilon \in U_i \cap U_j$ , then  $g_i g_j^{-1} \in \mathcal{O}_X^\times(U_i \cap U_j)$ , and so  $\mathcal{V}_Z(g_i g_j^{-1}) = 0$ , hence  $\mathcal{V}_Z(g_i) = \mathcal{V}_Z(g_j)$ . Then  $\beta$  is defined by

$$\beta(D) = \sum_Z \mathcal{V}_Z(D) Z$$

**Remark 2.9.5** So by explicit description above, we may view **Cartier divisors** as a subgroup of the group of **Weil divisors**.

**Theorem 2.9.2** Let  $X$  be an **integral normal** scheme. Then the following statement are equivalent :

i)  $\beta : \text{CaDiv}(X) \longrightarrow \text{Div}(X)$  is an isomorphism.

ii) The exact sequence

$$0 \longrightarrow \mathcal{O}_X^\times \longrightarrow \mathcal{K}_X^\times \xrightarrow{\text{div}} \text{Div}$$

is exact on the right.

iii)  $X$  is **locally factorial** (all the local rings  $\mathcal{O}_{X,x}$  are **UFDs**).

**Proof.** See [9, Proposition 15.27, p. 287].

**Corollary 2.9.2** Let  $k$  be an algebraically closed field. Then  $\text{Pic}(\mathbb{A}_k^n) = \text{Cl}(\mathbb{A}_k^n) = \text{CaCl}(\mathbb{A}_k^n) = 0$ .

**Proof.** Since  $\mathbb{A}_k^n$  is a **Noetherian integral scheme** then by theorem 2.9.2 and proposition 2.9.2 we have  $\text{CaDiv}(X) \simeq \text{Div}(X) \simeq \text{Pic}(X)$ . We know that from **Quillen-Suslin theorem** (see subsection 2.7.5),  $\text{Pic}(\mathbb{A}_k^n) = 0$ . Hence  $\text{Pic}(X) = \text{CaDiv}(X) = \text{Cl}(X) = 0$ .

## Chapter 3

# Introduction to Central Simple Algebra, Severi-Brauer Varieties

The aim of this chapter is to present some basic properties of *central simple algebras* and to introduce *Severi-Brauer varieties* with a special focus on relationships between these varieties and splitting field of central simple algebras. We give at first a brief introduction to simple and semisimple modules, then we prove fundamental theorems on central simple algebras. In particular, this includes *Wedderburn's theorem*, the double centralizer theorem and *Skolem-Noether theorem*. We show how to construct *Brauer group* of a field and show how *crossed products* relate this group to a *second Galois cohomology group*. We define then Severi-Brauer varieties and present some of their properties. In particular, we are interested here in canonical connections between these varieties, central simple algebras and *some cohomological interpretations*.

### 3.1 Simple and semisimple modules

Let  $R$  be a commutative ring. An *associative algebra* over  $R$ , is a pair  $(A, \psi)$  consisting of an associative ring  $A$  and a ring homomorphism

$$\psi : R \longrightarrow Z(A)$$

called the *structure map* of  $A$  over  $R$ , where

$$Z(A) = \{a \in A \mid xa = ax \text{ for all } x \in A\}$$

is called the *center* of  $A$ , which is a subring of  $A$ .

An *algebra homomorphism*  $\phi : A \longrightarrow B$  between two  $R$ -algebras is a ring homomorphism such that the diagram

$$\begin{array}{ccc} A & \xrightarrow{\phi} & B \\ & \swarrow \psi_1 & \searrow \psi_2 \\ & R & \end{array}$$

commutes. This defines the category  $\text{Alg}_R$  of  $R$ -algebras.

#### 3.1.1 Simple Modules

Recall that a ring  $R$  is *simple* if it has no two-sided ideals but 0 and  $R$ .

**Definition 3.1.1** Let  $A$  be an algebra,  $M$  be a left (resp., right)  $A$ -module. We say that  $M$  is *simple* (or *irreducible*) if  $M \neq 0$  and it has no proper nonzero submodules.

**Convention.** In what follows, the word module will mean a *left* module.

**Examples 3.1.1** 1) Any field  $k$  is simple as  $k$ -module.

2) Take  $A = \mathbb{Z}$  and  $M = \mathbb{Z}/5\mathbb{Z}$ . Then  $M$  is a simple  $A$ -module.

3) Let  $J$  be maximal left ideal of  $A$ . Then  $A/J$  is a simple  $A$ -module. Indeed, let  $P$  be a submodule of  $A/J$ , and set  $\tilde{P} := \{a \in A \mid a + J \in P\}$ , then  $\tilde{P}$  is a left ideal of  $A$  containing  $J$  and we have  $\tilde{P}/J = P$ . Since  $J$  is a maximal ideal of  $A$ , then  $\tilde{P} = J$  or  $\tilde{P} = A$ . So  $P = 0$  or  $P = A/J$ . Conversely, let  $I$  be a left ideal of  $A$  such that  $A/J$  is a simple  $A$ -module, then  $J$  is a maximal left ideal. Indeed, Let  $L$  be a left ideal of  $A$  such that  $I \subseteq L$ , then  $L/I$  is a submodule of  $A/I$ . Since  $A/I$  is a simple, then we have  $L/I = \{0\}$  or  $L/I = A/I$ . Hence  $L = I$  or  $L = A$ .

In what follows,  $A$  will denote an algebra (over some commutative ring).

**Proposition 3.1.1** Let  $M$  be a nonzero  $A$ -module, then the followings statements are equivalent :

- i)  $M$  is simple.
- ii) For all  $m \in M \setminus \{0\}$ ,  $Am = M$ .
- iii)  $M = A/J$  for some maximal left ideal  $J$  of  $A$ .

**Proof.** i)  $\Rightarrow$  ii) Since  $Am$  is a nonzero submodule of  $M$  and  $M$  is simple, so  $Am = M$ .  
 ii)  $\Rightarrow$  i) Let  $P$  be a nonzero submodule of  $M$  and let  $m$  be a nonzero element of  $P$ , then we have  $M = Am \subseteq P$ , which shows that  $P = M$ . This proves that  $M$  is a simple  $A$ -module.  
 iii)  $\Rightarrow$  i) This is a direct consequence of examples 3.1.1 3).

**Lemma 3.1.1** (*Schur's lemma*) Let  $M$  and  $N$  be simple  $A$ -modules. If  $\phi : M \rightarrow N$  is a homomorphism of modules, then either  $\phi = 0$  or  $\phi$  is an isomorphism.

**Proof.** Suppose that  $\phi \neq 0$ , then  $\ker(\phi) \neq M$ . It follows that  $\ker(\phi) = 0$  (i.e.,  $\ker(\phi) = \{0\}$ ). Also,  $\text{im}(\phi) \neq 0$ , so  $\text{im}(\phi) = N$ . Thus,  $\phi$  is an isomorphism.

**Corollary 3.1.1** Let  $M, N$  be simple modules. Then  $M \simeq N$  (as  $A$ -modules) or  $\text{Hom}_A(M, N) = 0$ .

**Proof.** Let  $\phi \in \text{Hom}_A(M, N)$ . If  $\phi \neq 0$ , then by lemma 3.1.1  $\phi$  is an isomorphism. Hence  $M$  and  $N$  are isomorphic.

**Definition 3.1.2** A *division algebra*, is an algebra in which every nonzero element has a multiplicative inverse, but multiplication is not necessarily commutative. A ring (which is obviously a  $\mathbb{Z}$ -algebra) that is a division ( $\mathbb{Z}$ -)algebra is also called a division ring or a skew field.

**Corollary 3.1.2** Let  $M$  be a simple  $A$ -module and  $D := \text{End}_A(M)$ , i.e., the algebra of endomorphisms of  $M$  (endowed with its canonical laws). Then  $D$  is a division algebra.

**Proof.** Let  $d \in D \setminus \{0\}$ , then by lemma 3.1.1  $d$  is an isomorphism. So  $d$  is invertible in  $D$ .

### 3.1.2 Semisimple modules

**Definition 3.1.3** A left (resp. right)  $A$ -module  $M$  is *semisimple* if there exist simple  $A$ -modules  $M_i$  ( $i \in I$ ) such that

$$M \simeq \bigoplus_{i \in I} M_i$$

(isomorphism of  $A$ -modules).

**Example 3.1.1** A simple module is semisimple.

**Definition 3.1.4** Let  $M$  be an  $A$ -module. We say that  $M$  is *indecomposable* if writing  $M = P \oplus Q$  for some submodules  $P, Q$  of  $M$ , then necessarily  $P = 0$  or  $Q = 0$ .

**Proposition 3.1.2** Let  $M$  be a semisimple  $A$ -module. Then followings statements are equivalent :

- i)  $M$  is a simple  $A$ -module.



ii)  $\text{End}_A(M)$  is a division algebra.

iii)  $M$  is indecomposable.

**Proof.** i)  $\Rightarrow$  ii) This follows from corollary 3.1.2.

ii)  $\Rightarrow$  iii) Let  $P$  and  $Q$  be two submodules of  $M$ . If we suppose that  $M = P \oplus Q$  with  $P \neq 0$  and  $Q \neq 0$ . Consider the followings homomorphism of  $A$ -modules :

$$\begin{aligned} \alpha := (id_M, 0) : M = P \oplus Q &\longrightarrow M \\ p + q &\longmapsto p \end{aligned}$$

By the hypothesis here  $\alpha$  must be an isomorphism, which is not the case. Therefore  $M$  is indecomposable.

iii)  $\Rightarrow$  i) Immediate.

**Proposition 3.1.3** Let  $M$  be a nonzero  $A$ -module and let  $Q$  be proper submodule of  $M$ . Assume that  $M = \sum_{i \in I} M_i$ , where each  $M_i$  are a simple submodules. Then there exists  $J \subseteq I$  such that  $M = (\oplus_{j \in J} M_j) \oplus Q$

**Proof.** Since  $Q \neq M$ , then there exists  $i \in I$  such that  $M_i \not\subseteq Q$ . In this case, we have  $M_i \cap Q = \{0\}$ , because if  $x \neq 0 (\in M_i \cap Q)$  we obtain  $M_i = Ax \subseteq Q$  (see proposition 3.1.1). So  $M_i + Q = M_i \oplus Q$ . Consider  $J$  be a maximal for the property  $P_1 := \sum_{j \in J} M_j + Q = \sum_{j \in J} M_j \oplus Q$ . Now, let  $i \in I \setminus J$  if we may assume that  $P_1 + M_i = P_1 \oplus M_i = \sum_{k \in J \cup \{i\}} M_k \oplus Q$ . But that contradicts the maximality of  $J$ . Thus  $M_i \cap P_1 \neq 0$ . Let  $z \in M_i \cap P_1$ , we have  $M_i = Az \subseteq P_1$ . So for all  $i \in I$   $M_i \subseteq P_1$ , then  $M \subseteq P_1$ , so  $M = P_1$ . Hence  $M = \sum_{j \in J} M_j \oplus Q$ .

**Remark 3.1.1** In proposition 3.1.3, if we take  $Q = 0$  we obtain  $M = \oplus_{j \in J} M_j$ . Then  $M$  is *semisimple*.

**Definition 3.1.5** Let  $M$  be an  $A$ -module ( $\neq 0$ ). Let  $P$  and  $Q$  be submodules of  $M$ .

i)  $Q$  is called a *complement* of  $P$  if  $P \oplus Q = M$ .

ii) If any submodule of  $M$  has a complement in  $M$ . We say that  $M$  *supplemented*.

**Lemma 3.1.2** Let  $M$  be an  $A$ -module. Then the followings are equivalent :

i)  $M$  is a *supplemented*.

ii) Any submodule of  $M$  is *supplemented*.

**Proof.** i)  $\Rightarrow$  ii) Let  $N$  be a submodule of  $M$ , and let  $P$  be a submodule of  $P$ . Then  $P$  is also be a submodule of  $M$ , since  $M$  is supplemented, then there exists  $Q$  be a submodule of  $M$  such that  $M = P \oplus Q$ , so we have  $N = N \cap M = (P \oplus Q) \cap N = P \oplus (Q \cap N)$ . Hence  $P$  has a complement in  $N$ .

ii)  $\Rightarrow$  i) Immediate.

**Proposition 3.1.4** Let  $M$  be a nonzero  $A$ -module. Then the followings are equivalent :

i)  $M$  is semisimple.

ii)  $M$  is the sum of its simple submodules.

iii)  $M$  is supplemented.

**Proof.** i)  $\Rightarrow$  ii) Immediate.

ii)  $\Rightarrow$  iii) proposition 3.1.3.

iii)  $\Rightarrow$  i) remark 3.1.1.

iii)  $\Rightarrow$  i) Let  $S$  be a maximal (proper) submodule of  $M$ . Since  $M$  is supplemented, then there exists a submodule  $Q \neq 0$  such that  $S \oplus Q = M$ . Also, since  $S$  is maximal in  $M$  then necessarily  $Q$  is a simple submodule of  $M$ . This prove that  $M$  has a simple submodule. Let  $N$  be sum of all simple submodules of  $M$  and let  $N'$  be a submodule of  $M$  such that  $M = N \oplus N'$ . Assume that  $N' \neq 0$ , then  $N'$  is supplemented (see lemma 3.1.2). So for the same reason as in above,  $N'$  has a simple submodule  $P$ . Plainly,  $P$  is also a simple submodule of  $M$ , but this contradicts the fact that  $N$  is the sum of all simple submodules of  $M$ . This shows that  $M$  is the sum of its simple submodules.

**Corollary 3.1.3** Let  $M$  be a semisimple  $A$ –module and let  $P$  be a nonzero submodule of  $M$ , then

- i)  $P$  is semisimple.
- ii)  $M/P$  is semisimple.

**Proof.** i) Since  $M$  is supplemented, then by lemma 3.1.2  $P$  is also supplemented. So by proposition 3.1.4  $P$  is semisimple.

ii) Since  $M$  is supplemented, then there exists a submodule  $Q$  of  $M$  such that  $P \oplus Q = M$ . So  $M/P \simeq Q$ . Hence by i)  $M/P$  is semisimple.

**Corollary 3.1.4** The direct sum of a family of the semisimple  $A$ –modules is a semisimple  $A$ –module.

**Proof.** This corollary is a direct consequence of the definition 3.1.3.

**Proposition 3.1.5** Let  $M = \bigoplus_{i \in I} M_i$  where  $M_i$  are simple  $A$ –modules. Suppose that  $N$  is a simple  $A$ –module and suppose that there exists a nonzero homomorphism of  $A$ –modules  $\psi : N \longrightarrow M$ . Then there exists  $j_0 \in I$  such that  $M = \psi(N) \oplus (\bigoplus_{i \neq j_0} M_i)$ , and  $N \simeq M_{j_0}$  (isomorphism of  $A$ –modules).

**Proof.** By proposition 3.1.3, there exists a subset  $J$  of  $I$  such that  $M = \psi(N) \oplus (\bigoplus_{i \in J} M_i)$ . Since  $N$  is simple, then so is  $\psi(N)$ ; moreover we have the following canonical isomorphisms of  $A$ –modules :

$$\psi(N) \simeq M / \bigoplus_{j \in J} M_j \simeq \bigoplus_{j \in I \setminus J} M_j.$$

so necessarily  $|I \setminus J| = 1$ . So there exists  $j_0 \in I$  such that  $J = I \setminus \{j_0\}$ . The rest of the proof is obvious.

**Notation.** Let  $M$  be an  $A$ –module. We denoted by  $S(M)$  the set for all submodules of  $M$ .

**Definition 3.1.6** Let  $M$  be an  $A$ –module. The **radical** of  $M$  is  $\text{rad}(M) := \bigcap \{N \in S(M) \mid M/N \text{ is simple}\}$ .

**Remark 3.1.2**  $\text{rad}(M)$  is a submodule of  $M$ .

**Proposition 3.1.6** Let  $M$  be an  $A$ –module and  $N$  be a submodule of  $M$ . Then the following statements hold :

- i) If  $\text{rad}(M/N) = 0$ , then  $\text{rad}(M) \subset N$ .
- ii)  $\text{rad}(M/\text{rad}(M)) = 0$ .

**Proof.** See [21].

**Lemma 3.1.3** Let  $M$  be a semisimple an  $A$ –module. The followings are equivalent :

- i)  $M$  is **finitely generated**.
- ii)  $M$  is **Noetherian**.
- iii)  $M$  is **Artinian**.

**Proof.** See [21, Proposition, p.36].

**Theorem 3.1.1** Let  $M$  be an  $A$ –module. The following statements hold : (what you wrote here and the implications you have in the proof have no sense) are equivalent :

- i)  $M$  is semisimple and finitely generated.
- ii)  $\text{rad}(M) = 0$  and  $M$  is Artinian.

**Proof.**  $i) \Rightarrow ii)$  Suppose that  $M$  is semisimple and finitely generated, so by lemma 3.1.3  $M$  is Artinian. Write  $M = \bigoplus_{j \in I} M_j$  with  $M_i$  simple. For  $i \in I$ , put  $P_i = \bigoplus_{j \neq i} M_j$ , then

$$M/P_i \simeq M_i (\text{is simple})$$

So  $\text{rad}(M) \subseteq \bigcap_{j \in I} P_j = 0$ .

$ii) \Rightarrow i)$  Assume that  $\text{rad}(M) = 0$  and  $M$  is Artinian and consider the family of all finite intersections  $M_{i_1} \cap \cdots \cap M_{i_k}$ , where  $M_i$  is a submodule of  $M$  such that  $M/M_i$  is simple. Since  $M$  is Artinian, then this family has a minimal element that we may take to be by  $M_1 \cap \cdots \cap M_r$  for some positive integer  $r$ . Necessarily,  $M_1 \cap \cdots \cap M_r = 0$ . Indeed, for any submodule  $N$  of  $M$  such that  $M/N$  is simple, we have

$$(M_1 \cap \cdots \cap M_r) \cap N = M_1 \cap \cdots \cap M_r$$

because  $M_1 \cap \cdots \cap M_r$  is minimal. So  $M_1 \cap \cdots \cap M_r \subseteq N$ , which yields that  $\text{rad}(M) = M_1 \cap \cdots \cap M_r$ . Now, consider the canonical map :

$$\begin{aligned} \psi: M &\longrightarrow \bigoplus_{i=1}^r M/M_i \\ m &\longmapsto (m + M_i)_{1 \leq i \leq r} \end{aligned}$$

Since  $M/M_i$  are simple, then  $\bigoplus_{i=1}^r M/M_i$  is semisimple. Hence  $\psi(M)$  is semisimple ( because  $\psi(M)$  is submodule of  $\bigoplus_{i=1}^r M/M_i$ ). We have  $\ker(\psi) = M_1 \cap \cdots \cap M_r$ ,  $M \simeq \psi(M)$ . Therefore,  $M$  is semisimple. Moreover, by lemma 3.1.3,  $M$  is also Noetherian, so  $M$  is a finitely generated.

## 3.2 Semisimple and simple algebras

Throughout this section,  $F$  is a field. Recall that all algebras are associative and have an identity, denoted 1 (sometimes denoted  $1_A$ ). Most results will be written in terms of left modules (which we hence often will simply call modules). If we need to work with right modules then this will be specifically stated. The endomorphism ring of an  $A$ -module  $M$  is denoted  $\text{End}_A(M)$ . Similarly, we will use  $\text{Hom}_A(M, N)$  to denote the set of module homomorphism from  $M$  to  $N$ .

### 3.2.1 Semisimple algebras

**Definition 3.2.1** Let  $A$  be an algebra. We say that  $A$  is **semisimple** if  $A$  is semisimple when it is considered (in the natural way) as a left  $A$ -module.

**Remark 3.2.1** Note that if  $A$  is semisimple i.e.,  $A = \bigoplus_{i \in I} A_i$ , where each  $A_i$  is a simple left  $A$ -module (or equivalently, where each  $A_i$  is a left ideal of  $A$ ).

**Definition 3.2.2** Let  $A$  be an algebra, we say that  $A$  is left Artinian (resp. Noetherian ) if  $A$  is an Artinian left  $A$ -module (resp., a Noetherian left  $A$ -module).

**Proposition 3.2.1** An algebra  $A$  is semisimple if and only if it is left Artinian and  $\text{rad}(A) = 0$ .

**Proof.** This follows from theorem 3.1.1 and remark 3.2.1.

**Proposition 3.2.2** Let  $A$  be a **semisimple** algebra. Then every  $A$ -module is semisimple and every image of  $A$  by a homomorphism of algebras is a semisimple algebra. Moreover, every simple  $A$ -module is isomorphic to a **minimal left ideal** of  $A$ .

**Proof.** Since  $A$  is a semisimple  $A$ -module, then the direct sum of  $\beta$  copies of  $A$  is also a semisimple  $A$ -module, for all the cardinal  $\beta$ . Therefore, every free left  $A$ -module is semisimple. Clearly, for any left  $A$ -module  $M$ , there exists a free  $A$ -module  $N$  and submodule  $P$  of  $N$  such that

$$M \simeq N/P$$

As seen above,  $N$  is semisimple, so by corollary 3.1.3  $N/P$  is also a semisimple  $A$ -module. Write the argument here which show that simple  $A$ -modules are isomorphic to minimal left ideal of  $A$ , after showing that the image

of a semisimple algebra by a homomorphism of algebras is a semisimple algebra (see below), then by proposition 3.1.1, there exists a maximal left ideal  $J$  of  $A$  such that  $M \simeq A/J$  (as  $A$ -module). Since  $A$  is semisimple (as  $A$ -module), then  $A$  is supplemented (see proposition 3.1.4). Therefore, there exists a left ideal  $I$  of  $A$  such that  $I \oplus J = A$ , so we have

$$A/J \simeq I \text{ (as } A\text{-module)}$$

Also since  $J$  is a maximal left ideal of  $A$ , then necessarily,  $I$  is a minimal left ideal of  $A$ , so

$$M \simeq A/J \simeq I$$

and  $I$  is a minimal left ideal of  $A$ .

Assume that  $A$  is  $R$ -algebra where  $R$  is a commutative ring. Let  $B$  be a  $R$ -algebra and assume that there exists a homomorphism of  $R$ -algebras

$$\psi : A \longrightarrow B$$

Let's show that  $C := \psi(A)$  is a semisimple algebra. Without losing the generality we can assume that  $\psi$  is surjective i.e  $B = C$ . Note that  $\psi$  induces an action of  $A$  on  $B$  given by

$$a \cdot x := \psi(a)x \text{ for all } a \in A \text{ and } x \in B$$

Therefore  $B$  is a (left)  $A$ -module (left). and so by the above,  $B$  is a semisimple  $A$ -module. We can write  $B = \bigoplus_{i \in I} B_i$  with  $B_i$  simple  $A$ -submodule of  $B$ . Since  $\psi$  is surjective, then each also a simple  $B$ -submodule of  $B$ , so  $B$  is a semisimple algebra.

### 3.2.2 Simple algebras

**Definition 3.2.3** Let  $A$  be an algebra. We say that  $A$  is **simple** algebra if  $A \neq \{0\}$  and the only two-sided ideals of  $A$  are  $\{0\}$  and  $A$ .

**Examples 3.2.1** 1) Let  $D$  be a division algebra (see definition 3.1.2). Then clearly  $D$  is a simple algebra.

2) For any field  $F$  and any positive integer  $n$ , the algebra  $A := M_n(F)$  is simple. Indeed, let  $(e_{ij})_{1 \leq i, j \leq n}$  be the canonical base of  $A$ , i.e.,  $e_{ij}$  is the matrix of  $A$  for which all entries are 0 except the  $ij$ -entry which equals 1. Let  $I$  be a two-sided ideal of  $A$  and suppose that  $I$  contains some nonzero element  $a = (a_{ij})_{1 \leq i, j \leq n}$ . Let  $1 \leq r, s \leq n$  be such that  $a_{rs} \neq 0$ , then for any  $1 \leq i \leq n$ , we have  $a_{rs}^{-1} e_{ir} a e_{si} = e_{ii}$ . It follows that  $I$  contains the unit element of  $A$  and so  $I = A$ .

3) Another important example of a finite dimensional noncommutative algebra over a field that was discovered by **William Rowan Hamilton\*** on **16 October 1843**, is the algebra of quaternions (over the field  $\mathbb{R}$  of real numbers), a 4-dimensional algebra with basis  $1, i, j, k$  over  $\mathbb{R}$ , the multiplication being determined by the rules

$$i^2 = -1, j^2 = -1, ij = -ji = k.$$

This algebra which is often called the Hamilton algebra, is usually denoted by  $\mathbb{H} = (-1, -1)_{\mathbb{R}}$ . One can see that  $\mathbb{H}$  is a division algebra. Indeed, for any nonzero element  $x = \alpha + \beta i + \gamma j + \eta k$  of  $\mathbb{H}$ , where  $\alpha, \beta$  and  $\gamma$  are real numbers, denoting  $\bar{x} := \alpha - \beta i - \gamma j - \eta k$  and  $N(x) := x\bar{x}$  (i.e.,  $N(x) = \alpha^2 + \beta^2 + \gamma^2 + \eta^2$ , called the norm of  $x$ ), one can easily check that  $\frac{\bar{x}}{N(x)}$  is the inverse for  $x$  in  $\mathbb{H}$ .

4) Let  $F$  be a field of characteristic not 2. For any two elements  $a, b \in F^*$ , in a similar way as for the quaternion algebra  $\mathbb{H}$ , the (generalized) quaternion algebra  $(a, b)_F$  is defined to be the 4-dimensional  $F$ -algebra with basis  $1, i, j, k$  and with multiplication being determined by

$$i^2 = a, j^2 = b, ij = -ji = k.$$

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\***William Rowan Hamilton** (4 August 1805-2 September 1865) was an Irish mathematician, Andrews Professor of Astronomy at Trinity College Dublin, and Royal Astronomer of Ireland at Dunsink Observatory. He made major contributions to optics, classical mechanics and abstract algebra. His work was of importance to theoretical physics, particularly his reformulation of Newtonian mechanics, now called Hamiltonian mechanics. It is now central both to electromagnetism and to quantum mechanics. In pure mathematics, he is best known as the inventor of quaternions.

The set  $\{1, i, j, k\}$  is called a **quaternion basis** of  $(a, b)_F$ . The algebra  $(a, b)_F$  is a simple algebra with  $Z((a, b)_F) = F$ . Indeed, let's define on  $(a, b)_F$  a new operation, the **Lie bracket**, by  $[x, y] = xy - yx$  for  $x, y \in (a, b)_F$ . It is clear that  $F \subseteq Z((a, b)_F)$ . Let  $x = \alpha + \beta i + \gamma j + \eta k \in (a, b)_F$ , where  $\alpha, \beta, \gamma, \eta \in F$ . If  $x \in Z((a, b)_F)$ , then in particular,  $[i, x] = [j, x] = [k, x] = 0$ . We have :

$$\begin{aligned} * [i, x] &= 2a\eta j + 2\gamma k. \\ * [j, x] &= -2b\eta - 2\beta k. \\ * [k, x] &= 2b\gamma i - 2a\beta j. \end{aligned}$$

So, if  $x \in Z((a, b)_F)$ , then  $\beta = \gamma = \eta = 0$ , hence  $x = \alpha \in F$ . Thus,  $Z((a, b)_F) = F$ .

Let's now consider a nonzero two-sided ideal  $J$  of  $(a, b)_F$ , and let  $x$  be a nonzero element of  $J$ . Since  $J$  is an ideal of  $A$ , then  $[i, x] = ix - xi \in J$ , also  $[j, x], [k, x] \in J$ . So  $[j, [i, x]], [k, [j, x]], [i, [k, x]] \in J$ . One can easily see that we have :

$$\begin{aligned} * [j, [i, x]] &= -4b\gamma i. \\ * [k, [j, x]] &= 4a\eta j. \\ * [i, [k, x]] &= -4a\beta k. \end{aligned}$$

So,  $J$  contains necessarily an invertible element of  $(a, b)_F$ , which yields. So  $J = (a, b)_F$ . Therefore,  $(a, b)_F$  is simple.

Let  $A$  be an algebra and  $M$  be an  $A$ -module. We denote  $\text{ann}_A(M) := \{a \in A \mid ax = 0 \text{ for all } x \in M\}$  that we call the annihilator of  $M$ . We say that  $M$  is a faithful  $A$ -module if  $\text{ann}_A(M) = 0$ . In other words, considering the (canonical) associated representation  $\psi : A \rightarrow \text{End}_A(M)$ , defined by  $a \mapsto l_a$ , where  $l_a : M \rightarrow M$ , is given by  $l_a(x) = ax$ , for all  $x \in M$ ,  $M$  is a faithful  $A$ -module if and only if  $\psi$  is injective. To each module  $M$  over  $A$ , one can associate a faithful module over some algebra  $B$  by proceeding in this way : The ring homomorphism  $\psi : A \rightarrow \text{End}_A(M)$  induces naturally an injective ring homomorphism  $\tilde{\psi} : A / \ker(\psi) \rightarrow \text{End}_A(M)$  where  $\ker(\psi)$  is none but  $\text{ann}(M)$ . This gives rise to a faithful structure on  $M$  as an  $A / \text{ann}(M)$ -module.

**Lemma 3.2.1** Let  $R$  be a ring and let  $e$  be a nonzero idempotent of  $R$ . Then we have a ring isomorphism

$$eRe \simeq \text{End}_R(eR).$$

where  $eR$  is considered as a right  $R$ -module.

**Proof.** Let  $r \in R$ , we define the following map

$$\begin{aligned} \psi_r : R &\longrightarrow R \\ x &\longmapsto rx \end{aligned}$$

It's clear that  $\psi_r$  is a group homomorphism, and also for all  $x, y \in R$ , we have  $\psi_r(xy) = (rx)y = \psi_r(x)y$ . Therefore  $\psi_r \in \text{End}_R(R)$ . Moreover, if  $r \in eRe$ , then clearly  $\psi_r$  restricts to an endomorphism of  $eR$ . So we get a map

$$\begin{aligned} \Phi : eRe &\longrightarrow \text{End}_R(eR) \\ r &\longmapsto \psi_r \end{aligned}$$

One can easily see that  $\Phi$  is a ring isomorphism.

**Lemma 3.2.2** Let  $R$  be a ring and let  $M$  be a right  $R$ -module. For all  $r \geq 1$ , we have a ring isomorphism

$$\text{End}_R(M^r) \simeq M_r(\text{End}_R(M)).$$

**Proof.** See [4, Lemma III.2.6, p.8].

### Wedderburn<sup>†</sup>'s theorem

Our aim here is to prove (a restricted version of) **Wedderburn's theorem**, a fundamental theorem in central simple algebra theory showing that a finite-dimensional central simple algebra over a field is a matrix algebra over this field. We assume throughout the rest, except other mention or other appearance from the context, that all algebras are finite-dimensional nonzero algebras over some fixed field (often denoted by  $F$ ). We continue to assume that an algebra is always associative with a unit element and a homomorphism of algebras from an algebra  $A$  into an algebra  $B$  always map to the unit element of  $A$  on that of  $B$ .

Let  $A$  be a (finite-dimensional)  $F$ -algebra, then clearly  $A$  has a minimal left (resp. right) ideal. Let  $A$  be a  $F$ -algebra and  $M$  be finitely generated free left (resp., right) nonzero  $A$ -module, then  $M \simeq A^r$  for a (uniquely determined) positive integer  $r$ . The integer  $r$  is called the **rank** of  $M$  and will be denoted by  $\text{rank}_A(M)$ .

**Lemma 3.2.3** Let  $A$  be a simple  $F$ -algebra and let  $J$  be a minimal right ideal. Then :

- 1) Every finitely generated right  $A$ -module  $M$  is isomorphic to  $J^n$  for some positive integer  $n$ .
- 2) All finitely generated simple right  $A$ -module is isomorphic to  $J$ .
- 3) A non zero finitely generated right  $A$ -module  $M$  is free (as a right  $A$ -module) if and only if  $\dim_F(A) \mid \dim_F(M)$ .  
Moreover, we have

$$\text{rank}_F(M) = \frac{\dim_F(M)}{\dim_F(A)}.$$

- 4) Two nonzero finitely generated right  $A$ -modules are isomorphic if and only if they have the same dimension over  $F$ .

**Proof.** 1) Let  $M$  be a nonzero finitely generated  $A$ -module. The left ideal generated by the elements of  $J$  is a nonzero two-sided ideal of  $A$ , hence equals  $A$ . In particular one may write

$$1 = \sum_{i=1}^m b_i \alpha_i, b_i \in A, \alpha_i \in J.$$

Thus for all  $x \in A$ , we have

$$x = \left( \sum_{i=1}^m b_i \alpha_i \right) x = \sum_{i=1}^m b_i (\alpha_i x).$$

Since  $J$  is a right ideal, we have  $\alpha_i x \in J$  for all  $1 \leq i \leq m$ , and therefore we have

$$A = \sum_{i=1}^m b_i \cdot J$$

Since  $M$  is finitely generated right  $A$ -module there exists  $m_1, \dots, m_r \in M$  such that

$$M = \sum_{i=1}^r m_i A$$

Therefore,

$$M = \sum_{i=1}^r m_i \sum_{j=1}^m b_j \cdot I = \sum_{i,j} m_i \cdot (b_j \cdot J) = \sum_{i,j} (m_i \cdot b_j) \cdot J.$$

Hence we may then write  $M = \sum_{i=1}^s m_i \cdot J$  with  $s$  minimal for this properties. Now we want to prove that

$$M = \bigoplus_{i=1}^s m_i \cdot J$$

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<sup>†</sup> **Joseph Henry Maclagan Wedderburn** (2 February 1882, Forfar, Angus, Scotland-9 October 1948, Princeton, New Jersey) was a Scottish mathematician, who taught at Princeton University for most of his career. A significant algebraist, he proved that a finite division algebra is a field, and part of the Artin–Wedderburn theorem on simple algebras. He also worked on group theory and matrix algebra.



Assume that  $\sum_{i=1}^s m_i \gamma_i = 0$  for some  $\gamma_i \in J$ . If one of the  $\gamma_i$ 's is nonzero say  $\gamma_s$ , then  $\gamma_s A$  is a nonzero right ideal of  $A$  contained in  $J$  and hence  $J = \gamma_s A$  (for  $J$  is a minimal right  $A$ -ideal of  $A$ ). We obtain :

$$m_s \cdot J = (m_s \cdot \gamma_s) A = - \sum_{i=1}^{s-1} m_i \cdot J.$$

This yields

$$M = \sum_{i=1}^{s-1} m_i \cdot J$$

Contradicting the minimality of  $s$ . So  $\gamma_i = 0$  for all  $i$ . It follows that the  $A$ -linear map

$$\begin{aligned} \Phi : \quad J^s &\longrightarrow M \\ (\gamma_1, \dots, \gamma_s) &\longmapsto \sum_{i=1}^s m_i \gamma_i \end{aligned}$$

is an isomorphism of right  $A$ -modules.

- 2) Let  $M$  be a finitely generated simple right  $A$ -module. In particular,  $M$  is nonzero and by [i](#)) there exists an integer  $s \geq 1$  such that  $M \simeq J^s$  (as  $A$ -module). Since  $M$  is simple we have necessarily  $s = 1$ . Otherwise  $J^s$ , and thus  $M$ , would have a nontrivial submodule. Hence  $M \simeq J$ .
- 3) Let  $M$  be a nonzero finitely generated  $A$ -module. If  $M$  is free, then  $M \simeq A^r$  (as  $A$ -modules) where  $r = \text{rank}_A(M)$ . Since  $M$  and  $A^r$  are isomorphic as  $F$ -vector spaces, we have

$$\dim_F(M) = \text{rank}_A(M) \cdot \dim_F(A).$$

In particular,

$$\dim_F(A) \mid \dim_F(M)$$

and

$$\text{rank}_A(M) = \frac{\dim_F(M)}{\dim_F(A)}$$

Conversely, suppose that  $\dim_F(A) \mid \dim_F(M)$ . Since  $M$  and  $A$  are both nonzero finitely generated  $A$ -modules, then by [1](#)) we have  $M \simeq J^{r_1}$ , and  $A \simeq J^{r_2}$  (as  $A$ -modules) for some integers  $r_1, r_2 \geq 1$ . The assumption implies that  $r_2 \mid r_1$  by comparing dimensions over  $F$ , write  $r_1 = nr_2$ , then we get  $M \simeq J^{r_2 n} \simeq (J^{r_2})^n \simeq A^{r_1}$ . Hence  $M$  is a free (right)  $A$ -module.

- 4) Let  $M$  and  $N$  be two nonzero finitely generated right  $A$ -modules. Then by [1](#))  $M \simeq J^{r_1}$  and  $N \simeq J^{r_2}$  for some integers  $r_1, r_2 \geq 1$ . In particular, if  $M$  and  $N$  have the same dimension as  $F$ -vector spaces, then  $r_1 \dim_F(J) = r_2 \dim_F(J)$  and therefore  $r_1 = r_2$ . So in this case

$$M \simeq J^{r_1} \simeq N.$$

Conversely, if  $M \simeq N$  (as  $A$ -modules), then plainly they are isomorphic as  $F$ -vector spaces. Thus  $M$  and  $N$  have the same dimension over  $F$ .

Note that this lemma is also true if we consider left  $A$ -modules rather than right  $A$ -modules.

**Proposition 3.2.3** Let  $D$  be a division  $F$ -algebra. Then every nonzero finitely generated right  $D$ -module is isomorphic to  $D^r$  for some  $r \geq 1$ .

**Proof.** Since  $D$  is a division algebra, then  $D$  itself is a minimal right ideal. So by lemma [3.2.3](#), any nonzero finitely generated  $D$ -module  $M$  is isomorphic to  $D^r$  for some positive integer  $r$ .

As an application, we can prove the following result :

**Proposition 3.2.4** Let  $m, n$  be two positive integers and  $D_1, D_2$  be two division  $F$ -algebras, then

$$M_n(D_1) \simeq M_m(D_2) \text{ if and only if } D_1 \simeq D_2 \text{ and } n = m.$$

**Proof.** Let  $A_1 = M_m(D_1)$ ,  $A_2 = M_n(D_2)$  and  $e = e_{11}$ , where  $(e_{ij})_{1 \leq i, j \leq m}$  is the canonical basis of  $A_1$ , i.e.,  $e_{ij}$  is the matrix of  $M_m(D_1)$  with all entries equal to 0 but the  $ij$ -entry equal to 1. We have  $e^2 = e$ ,  $eA_1e = D_1e = eD_1$  and that the map

$$\begin{aligned} \Phi: D_1 &\longrightarrow eA_1e \\ d &\longmapsto de \end{aligned}$$

is a ring isomorphism, thus  $D_1 \cong eA_1e$ . Also, we have the following ring isomorphism :

$$eA_1e \simeq \text{End}_{A_1}(eA_1)$$

see lemma 3.2.1. Let  $I_1 = eA_1$  which is easily seen to be the set of matrices whose only possibly nonzero row is the first one. This is a minimal right ideal of  $A_1$  and by the above, we have  $D_1 \cong \text{End}_{A_1}(I_1)$ . Similarly,  $D_2 \simeq \text{End}_{A_2}(I_2)$ , where  $I_2$  is a similar right ideal of  $A_2$ . Now, if  $\psi: A_1 \longrightarrow A_2$  is an isomorphism of  $F$ -algebras, then  $\psi(I_1)$  is a minimal right ideal of  $A_2$ . Since all the minimal right ideals of  $A_2$  are isomorphic by lemma 3.2.3, we have  $I_2 \simeq \psi(I_1)$ . Therefore, we have a ring isomorphism

$$D_1 \simeq \text{End}_{A_1}(I_1) \simeq \text{End}_{A_2}(I_2) \simeq D_2.$$

All these isomorphisms are  $F$ -linear, so  $D_1$  and  $D_2$  are isomorphic as  $F$ -algebras. It follows easily that  $m = n$ .

**Theorem 3.2.1 (Wedderburn's theorem)** Let  $A$  be a simple  $F$ -algebra. Then  $A$  is isomorphic to  $M_n(D)$  for some integer  $m$  and some division  $F$ -algebra  $D$  with  $Z(D) = Z(A)$ .

**Proof.** Let  $J$  be a minimal left ideal of  $A$ . Since  $J$  is a simple left  $A$ -module, then by corollary 3.1.2  $D := \text{End}_A(J)$  is a division algebra. Moreover, since  $A$  is a left  $A$ -module, then by lemma 3.2.3 there exists an integer  $r \geq 1$  such that  $A \simeq J^r$  (as  $A$ -module). So taking  $e = 1$  in lemmas 3.2.1, 3.2.2 we obtain

$$A \simeq \text{End}_A(A) \simeq \text{End}_A(J^r) \simeq M_r(\text{End}_A(J)) \simeq M_r(D).$$

The uniqueness of the positive integer  $r$  and the division algebra  $D$  (up to an algebra isomorphism) comes directly from proposition 3.2.4 and the formula

$$\dim_F(A) = r^2 \dim_F(D).$$

For the second statement, one can easily see that we have the following canonical algebra isomorphisms :

$$Z(D) \simeq_F Z(M_r(D)) \simeq_F Z(A).$$

The division algebra  $D$ , which is unique up to an algebra isomorphism, is called the underlying division algebra of  $A$  (or the division algebra Brauer-equivalent to  $A$ ).

### Central simple algebras

**Definition 3.2.4 (Central simple algebra)** An  $F$ -algebra  $A$  is called a **central simple algebra** over  $F$  if  $A$  is simple and  $Z(A) = F$ .

**Notation.** The class of all central simple algebras over  $F$  we will denote by  $\text{CSA}/F$ .

**Examples 3.2.2** 1)  $M_n(F)$  is central simple algebra over  $F$ .

2) Any division  $F$ -algebra  $D$  is simple and if also  $D$  satisfying  $Z(D) = F$  is a central simple algebra over  $F$ .

3) By examples 3.2.1, for any field  $F$  of characteristic different from 2 and any elements  $a, b \in F^*$ , the quaternion algebra  $(a, b)_F$  is simple algebra and  $Z((a, b)_F) = F$ . Then  $(a, b)_F$  is a central simple algebra over  $F$ .

4) Any field  $F$  is a central simple algebra over itself.

**Corollary 3.2.1** Let  $A$  be a simple  $F$ -algebra. Then there exists a field extension  $E/F$  of finite degree such that  $A$  is a central simple  $E$ -algebra.

**Proof.** By theorem 3.2.1,  $A \simeq M_n(D)$  for some  $D$ . It suffices to take  $E = Z(D)$ , when identifying  $D$  with its canonical image in  $A$ .

**Proposition 3.2.5** Let  $A$  and  $B$  two central simple  $F$ -algebras. For every integer  $r \geq 1$ , we have

$$M_r(A) \simeq M_r(B) \text{ if and only if } A \simeq_F B.$$

**Proof.** By theorem 3.2.1 we may write

$$A \simeq M_{r_1}(D_1) \text{ and } B \simeq M_{r_2}(D_2).$$

where  $D_1, D_2$  are central division  $F$ -algebras and  $r_1, r_2$  are positive integers. Therefore, if  $M_r(A) \simeq M_r(B)$ , then we have  $M_{rr_1}(D_1) \simeq M_{rr_2}(D_2)$ . It follows then by proposition 3.2.3 that  $r_1 = r_2$  and  $D_1 \simeq D_2$  (as  $F$ -algebras) which implies  $A \simeq_F B$ .

**Lemma 3.2.4** Let  $D$  be a finite dimensional division algebra over an algebraically closed field  $F$ . Then,  $D$  is isomorphic to  $F$ .

**Proof.** Let  $d \in D$ ,  $d$  be a nonzero element of  $D$ . As  $D$  is finite dimensional, the powers  $1, d, \dots, d^i, \dots$  are linearly dependent over  $F$ . Therefore, we can write :

$$\sum_{k=0}^{m-1} \alpha_k d^k + d^m = 0.$$

for some  $m$  that can be chosen to be the smallest possible with all  $\alpha_k \in F$ . Now, consider the polynomial  $\pi(x) = \alpha_0 + \alpha_1 x + \dots + x^m$ . Since  $F$  is algebraically closed,  $\pi$  has a root  $r$  in  $F$  i.e  $\pi(x) = (x - r)q(x)$  with  $\deg(q) = \deg(\pi) - 1$ . Evaluating at  $d$  we obtain  $\pi(d) = (d - r)q(d) = 0$ . As  $\pi$  was chosen to be of smallest degree,  $q(d) \neq 0$ . Hence  $d = r \in F$ , thus  $D = F$ .

**Corollary 3.2.2** If  $F$  is algebraically closed, then every central simple  $F$ -algebra is isomorphic to a (square) matrix algebra with entries in  $F$ .

**Proof.** Let  $A$  be an  $F$ -algebra. By theorem 3.2.1,  $A \simeq M_n(D)$  for some integer positive  $n$  and some central division algebra  $D$  over  $F$ . By lemma 3.2.4  $D$  is isomorphic to  $F$ , so  $A$  is isomorphic to the matrix algebra  $M_n(F)$ .

Throughout the rest, we assume familiarity with the properties of tensor products of modules and (associative) algebras. For more details, we refer the reader to Chapter 9 in Pierce book [21]. We now recall the main properties of the tensor product of  $F$ -algebras.

We summarize here some properties of tensor products of algebras that we will need in what follows : Let  $A, B$  and  $C$  be  $F$ -algebras.

\* Note that If  $(e_i)_{i \in I}$  and  $(e'_j)_{j \in J}$  are  $F$ -bases of  $A$  and  $B$ , respectively, then  $(e_i \otimes e'_j)_{(i,j) \in I \times J}$  is a  $F$ -basis of  $A \otimes_F B$ .

\* In particular, the above yields that  $A \otimes_F B$  is finite-dimensional  $F$  if and only  $A$  and  $B$  are so, and in this case we have

$$\dim_F(A \otimes_F B) = \dim_F(A) \dim_F(B) \quad (3.1)$$

\* Let  $f : A \longrightarrow C$ ,  $g : B \longrightarrow C$  be homomorphisms of  $F$ -algebras such that  $f(a)g(b) = g(b)f(a)$  for all  $(a, b) \in A \times B$ . Then there exists a unique homomorphism of  $F$ -algebras  $h : A \otimes_F B \longrightarrow C$  such that

$$h(a \otimes 1) = f(a) \text{ and } h(1 \otimes b) = g(b) \text{ for all } a \in A, b \in B \quad (3.2)$$

\* If  $f : A \longrightarrow B$  and  $g : A' \longrightarrow B'$  are homomorphisms of  $F$ -algebras. Then  $f \otimes g : A \otimes A' \longrightarrow B \otimes B'$  is a homomorphism of  $F$ -algebras satisfying

$$(f \otimes g)(a \otimes b) = f(a) \otimes g(b) \text{ for all } a \in A, b \in B. \quad (3.3)$$

Moreover, if  $f$  and  $g$  are isomorphisms, then so is  $f \otimes g$ .

- \* Let  $E/F$  be a field extension. If  $B$  is also an  $E$ -algebra, then  $A \otimes_F B$  has a natural structure of an  $E$ -algebra, where the structure of  $E$ -vector space is defined by (linearly) extension of the equalities :

$$\alpha(a \otimes b) = a \otimes \alpha b \text{ for all } \alpha \in E, a \in A, b \in B. \quad (3.4)$$

In particular  $A \otimes_F E$  has a natural structure of an  $E$ -algebra. Moreover,  $A \otimes_F E$  is finite dimensional over  $E$  if and only if  $A$  is finite dimensional over  $F$ . Furthermore, in this case we have

$$\dim_E(A \otimes_F E) = \dim_F(A). \quad (3.5)$$

We have also a natural isomorphism of  $E$ -algebras

$$(A \otimes_F B) \otimes_E B \simeq_E A \otimes_E B. \quad (3.6)$$

- \* We have a natural  $E$ -algebra isomorphism

$$(A \otimes_F B) \otimes_F E \simeq_E (A \otimes_F E) \otimes_E (B \otimes_F E) \quad (3.7)$$

Hence, if  $L \subseteq F \subseteq E$  is a tower of field extensions, then we have

$$(A \otimes_L F) \otimes_F E \simeq_E A \otimes_F E.$$

- \* We have (the associativity property of tensor products) :

$$(A \otimes_F B) \otimes_F C \simeq A \otimes_F (B \otimes_F C) \quad (3.8)$$

- \* We have also (the commutativity property of tensor products) :

$$A \otimes_F B \simeq B \otimes_F A \quad (3.9)$$

- \* If  $A$  is an algebra over  $F$ , and  $E/F$  be a field extension. We call the  $E$ -algebra

$$A_E := A \otimes_F E \quad (3.10)$$

the **scalar extension** of  $A$  by  $E$ . We have  $\dim_F(A) = \dim_E(A_E)$ .

- \* For any positive integers  $m, n$ , we have a natural isomorphism of algebras :

$$M_m(A) \otimes_F M_n(B) \simeq M_{mn}(A \otimes_F B). \quad (3.11)$$

- \* We have also a natural isomorphism of  $F$ -algebras :

$$M_m(M_n(A)) \simeq M_{mn}(A) \quad (3.12)$$

- \* For a field extension  $E/F$ , we have a natural  $F$ -algebra isomorphism  $M_n(F) \otimes_F A \simeq_F M_n(A)$ . Also we have a natural  $E$ -algebra isomorphism  $M_n(F) \otimes E \simeq_E M_n(E)$ .

**Proposition 3.2.6** Let  $F$  be a field and let  $A, B$  be  $F$ -algebras. The following statements hold :

- 1) If  $A$  and  $B$  are central, then so is  $A \otimes_F B$ .
- 2) If  $A$  is central simple and  $B$  is simple, then  $A \otimes_F B$  is simple.
- 3) If  $A$  and  $B$  are central simple, then  $A \otimes_F B$  is central simple.
- 4) If  $A \otimes_F B$  is a simple then  $A$  and  $B$  are simple algebras.

**Proof.** 1) Let  $x = \sum_i a_i \otimes b_i \in Z(A \otimes_F B)$ . We may assume  $b_i$  belong to a basis of  $B$ , so that the  $a_i$  are then uniquely determined. For every  $a \in A$ , we have

$$\sum_i aa_i \otimes b_i = (a \otimes 1)x = x(a \otimes 1) = \sum_i a_i a \otimes b_i$$

So, for all  $i$ , we have  $aa_i = a_i a$ , which implies  $a_i \in F$ . We can then write  $x = \sum_i 1 \otimes a_i b_i = 1 \otimes c$  where  $c = \sum_i a_i b_i$ . Using the fact that  $x$  commutes with  $1 \otimes B$ , we get  $c \in F$ . Thus,  $Z(A \otimes_F B) = F$ .

2) Let  $J$  be a nonzero two-sided ideal of  $A \otimes_F B$ . Fix a basis  $(b_i)_i$  of  $B$  and let  $x = \sum_{i=1}^r a_i \otimes b_i \in J$  with  $r$  is minimal. In particular,  $a_1 \neq 0$ , so by the simplicity of  $A$  we have  $Aa_1A = A$ , we may modify  $x$  on both sides by elements of  $A \otimes 1$  to arrange that  $x$  is of the form  $x = 1 \otimes b_1 + \sum_{i \geq 2} a_i \otimes b_i$ . Now, for  $a \in A$ , we have

$$(a \otimes 1)x - x(a \otimes 1) = \sum_{i=2}^n (aa_i - a_i a) \otimes b_i \in J$$

This must be zero (by minimality of  $r$ ), hence  $aa_i = a_i a$  for all  $a \in A$  and for all  $i \geq 2$ . So,  $a_i \in Z(A) = F$ . Therefore, we can write the element  $x = 1 \otimes b$  for some nonzero element of  $B$ . Thus,  $J$  contains an element of the form  $1 \otimes b$  with  $b \neq 0$ . Note that  $B$  being a simple algebra, then so is  $1 \otimes B$ . Note also that  $J \cap (1 \otimes B)$  is a two-sided ideal of  $1 \otimes B$ , it is nonzero because it contains  $1 \otimes b$ , so it must be equal to  $1 \otimes B$ . Therefore,  $J$  contains  $1 \otimes B$ . But then it contains  $(A \otimes 1)(1 \otimes B) = A \otimes B$ .

3) Follows from 1) and 2).

4) Since  $A \otimes_F B$  is simple algebra, then  $A \otimes_F B \neq 0$ , hence  $A \neq 0$  and  $B \neq 0$ . Assume that  $A$  is not a simple algebra. Then, there exists be an  $F$ -algebra  $C$  and a nonzero homomorphism of  $F$ -algebras  $\psi : A \rightarrow C$  such that  $\ker(\psi) \neq 0$ . Let  $\Phi := \psi \otimes \text{id}_B : A \otimes_F B \rightarrow C \otimes_F B$ , then  $\Phi$  is a nonzero homomorphism and we have

$$\ker(\psi) \otimes B \subseteq \ker(\Phi)$$

So,  $\ker(\Phi) \neq 0$ . But this yields that  $A \otimes_F B$  is not a simple algebra, a contradiction.

**Definition and Notation 3.2.1** Let  $A$  be an  $F$ -algebra and  $B$  be a subalgebra of  $A$ . The **centralizer** (or the commutator) of  $B$  in  $A$  is

$$C_A^B = \{a \in A \mid ab = ba, \text{ for all } b \in B\}. \quad (3.13)$$

It is easy to check that  $C_A^B$  is also a subalgebra of  $A$  which contains  $Z(A)$ . Furthermore, we have  $B \subseteq C_A^B$  if and only if  $B$  is commutative. Note that  $C_A^{Z(A)} = A$  and  $C_A^A = Z(A)$ .

**Lemma 3.2.5** Let  $A$  be a (finite-dimensional) central simple  $F$ -algebra,  $B$  be a simple subalgebra of  $A$  with  $E$  and  $C$  a subalgebra of  $C_A^B$ , then the following statements are equivalent :

- 1)  $A = BC$
- 2)  $\dim_F(A) = \dim_F(B)\dim_F(C)$ .
- 3) The canonical injections  $\iota_B : B \rightarrow A$  and  $\iota_C : C \rightarrow A$  induce canonically an isomorphisms of algebras  $\Phi : B \otimes_F C \rightarrow A$ .

**Proof.** 1)  $\Rightarrow$  2) Let  $(e_i)_{i \in I}$  be a basis of  $B$ ,  $(e'_j)_{j \in J}$  be a basis of  $C$  and assume that there exist  $\gamma_{ij} \in F$  such that  $\sum_{i,j} \gamma_{ij} e_i e'_j = 0$ . We have  $\sum_i e_i (\sum_j \gamma_{ij} e'_j) = 0$ , so putting  $d_i = \sum_j \gamma_{ij} e'_j$ , we get  $\sum_i e_i d_i = 0$  with all  $d_i \in C$ , so for all  $d_i = 0$ , i.e.  $\sum_j \gamma_{ij} e'_j = 0$  but since  $(e'_j)_{j \in J}$  is a basis of  $C$ , so for all  $i, j$ , we have  $\forall j \in J \gamma_{ij} = 0$ . This shows that  $(e_i e'_j)_{(i,j) \in I \times J}$  is a free family of elements of  $A$  (over  $F$ ). By assumption, we have  $A = BC$ , so  $(e_i e'_j)_{(i,j) \in I \times J}$  is a basis of  $A$ . Hence  $\dim_F(A) = \dim_E(B)\dim_F(C)$ .

2)  $\Rightarrow$  3) Since  $\iota_B : B \rightarrow A$  and  $\iota_C : C \rightarrow A$  are be homomorphisms of algebras and  $C \subseteq C_A^B$ , then the bilinear map  $b : B \otimes_F C \rightarrow A$ ,  $(b, c) \mapsto bc$ , induces an algebra homomorphism  $\Phi : B \otimes_F C \rightarrow A$ . Since all  $F$ -linearly independent family of elements of  $B$  is still linearly independent over  $C$ , then necessarily  $\Phi$  is injective. Moreover, since  $\dim_F(A) = \dim_F(B)\dim_F(C)$ , then  $\Phi$  is an algebra isomorphism.

3)  $\Rightarrow$  1) Clear.

**Lemma 3.2.6** Let  $A, B$  be two  $F$ -algebras and  $C := A \otimes_F B$ . Then :

- 1)  $C_C^{A \otimes_F F} = Z(A) \otimes_F B$ .
- 2)  $Z(C) = Z(A) \otimes_F Z(B)$

**Proof.** 1) Let  $(e_i)_{i \in I}$  be a basis of  $B$ . Then every element  $d \in A \otimes_F B$  can be written in the form  $d = \sum a_i \otimes e_i$  for some  $a_i \in A$ . In particular, if  $d = 0$ , then  $a_i = 0$ , for all  $i$ . Now if  $d = \sum a_i \otimes e_i \in C_C^{A \otimes_F F}$ , then for any  $a \in A$ , we have  $(a \otimes 1)d = d(a \otimes 1)$ , so  $\sum (aa_i - a_i a) \otimes e_i = 0$ , which implies that  $aa_i = a_i a$ , for all  $i$ , i.e.,  $a_i \in Z(A)$ . Hence  $C_C^{A \otimes_F F} \subseteq Z(A) \otimes_F B$ . The inverse sense is trivial. Thus  $C_C^{A \otimes_F F} = Z(A) \otimes_F B$ .

2) We have  $C = A \otimes_F B = (A \otimes_F F)(F \otimes_F B)$ , so  $Z(C) = C_C^{A \otimes_F F} \cap C_C^{F \otimes_F B} = (Z(A) \otimes B) \cap (A \otimes_F Z(B)) = Z(A) \otimes_F Z(B)$ .

**Proposition 3.2.7** Let  $E/F$  be a field extension and  $A$  be a central simple  $F$ -algebra. Then  $A \otimes_F E$  is a central simple algebra over  $E$  (when we identify  $F \otimes_F E$  with  $E$ ).

**Proof.** By proposition 3.2.6  $A \otimes_F E$  is simple  $E$ -algebra and by lemma 3.2.6  $Z(A \otimes_F E) = Z(A) \otimes E = F \otimes_F E \simeq E$ .

**Definition 3.2.5** (*Opposite algebra*) Given an  $F$ -algebra  $A$ , we denote by  $A^{op}$  the  $F$ -algebra that we get from  $A$  just by reversing the order of multiplication in  $A$  (i.e., the algebra over  $F$  having the same underlying set of element as  $A$  and for which the addition and scalar multiplication are those of  $A$ ). We call this algebra the *opposite algebra* of  $A$ .

**Proposition 3.2.8** Let  $A$  be a central simple algebra over  $F$ . Then,  $A^{op}$  is a central simple algebra over  $F$ .

**Proof.** Clear.

**Proposition 3.2.9** Let  $A$  be a central simple algebra over  $F$ . Then the dimension of  $A$  over  $F$  is a square.

**Proof.** Let  $\bar{F}$  be an algebraic closure of  $F$ , then by corollary 3.2.2, there is a positive integer  $r$  such that  $A_{\bar{F}} \simeq M_r(\bar{F})$  (as  $\bar{F}$ -algebras). Thus,

$$\dim_F(A) = \dim_{\bar{F}}(A_{\bar{F}}) = \dim_{\bar{F}}(M_r(\bar{F})) = r^2 \quad (3.14)$$

**Definition 3.2.6** Let  $A$  be a central simple  $F$ -algebra. The integer  $\sqrt{\dim_F(A)}$  is called the *degree* of  $A$ . The Schur index of  $A$  is the degree of the underlying division algebra of  $A$ . We denote it by  $\text{ind}(A)$ , i.e.,  $\text{ind}(A) = \deg(D)$ , where  $D$  is the underlying division algebra of  $A$ .

**Lemma 3.2.7** Let  $A$  be a central simple algebra over  $F$  with degree  $r$ . Then  $A \otimes_F A^{op} \simeq M_r(F)$  (as  $F$ -algebras).

**Proof.** Let's consider the mapping

$$\begin{aligned} \Psi : A &\longrightarrow \text{End}_F(A) \\ a &\longmapsto \Psi(a) := l_a \end{aligned}$$

where  $l_a(x) = ax$ , for all  $x \in A$ . It is clear that  $\Psi$  is  $F$ -algebra homomorphism. In the same way, we define the  $F$ -algebra homomorphism

$$\begin{aligned} \Phi : A^{op} &\longrightarrow \text{End}_F(A) \\ a &\longrightarrow \Phi(a) := l_a^{op} \end{aligned}$$

where  $l_a^{op}(x) = xa$ , for all  $x \in A$ . One can check that the images of  $\Psi$  and  $\Phi$  commute in  $\text{End}_F(A)$ . So, there is a unique  $F$ -algebra homomorphism  $\Theta : A \otimes_F A^{op} \longrightarrow \text{End}_F(A)$  satisfying  $\Theta(a \otimes b) = \Psi(a)\Phi(b)$ . Since  $A \otimes_F A^{op}$  is simple,  $\Theta$  is injective. Moreover, we have the equalities  $\dim_F(A \otimes_F A^{op}) = \dim_F(\text{End}_F(A)) = r^2$ . So hence,  $\Theta$  is also surjective. It suffices now to see that  $\text{End}_F(A)$  is isomorphic to  $M_r(F)$  (as  $F$ -algebras).

**Theorem 3.2.2** (*Double centralizer theorem (DCT)*) Let  $A$  be a central simple algebra over  $F$  and let  $B$  be a simple subalgebra of  $A$ . Then, the following properties hold :



1) The centralizer  $C_A^B$  of  $B$  in  $A$  is a simple subalgebra of  $A$  having the same center as  $B$ . Moreover, we have

$$\dim_F(A) = \dim_F(B)\dim_F(C_A^B). \quad (3.15)$$

2) We have  $C_A^{C_A^B} = B$ .

**Proof.** 1) To show that  $C_A^B$  is simple, we will show that  $C_A^B \simeq \text{End}_C(A)$ , where  $C := B \otimes_F A^{op}$  and where  $A$  is considered as a left  $C$ -module for the operation defined by linearly extending the following equalities :

$$(\alpha \otimes \gamma)x = \alpha x \gamma \text{ for all } \gamma \in A^{op}, \alpha \in B \text{ and } x \in A \quad (3.16)$$

Consider the map

$$\begin{aligned} \Phi : C_A^B &\longrightarrow \text{End}_C(A) \\ c &\longmapsto \Phi(c) \end{aligned}$$

where  $\Phi(c) : x \longmapsto cx$ , for any  $x \in A$ . It is clear that  $\Phi$  is a  $F$ -algebra homomorphism. In particular, we have  $c = \Phi(c)(1) = 0$ , hence  $\Phi$  is injective. One can easily see that  $\Phi$  is also surjective. Indeed, let  $g \in \text{End}_C(A)$  and let  $c = g(1)$ , then for every  $b \in B$ , we have :

$$cb = (1 \otimes b)c = (1 \otimes b)g(1) = g((1 \otimes b)1) = g(b).$$

We have also  $bc = (b \otimes 1)c = (b \otimes 1)g(1) = g((b \otimes 1)1) = g(b)$ , Consequently,  $cb = bc$ , that is  $c \in C_A^B$ . Moreover, for any  $x \in A$ , we have

$$\Phi(c)(x) = cx = (1 \otimes x)c = (1 \otimes x)g(1) = g((1 \otimes x)) = g(x)$$

Thus  $g = \Phi(c)$ . Now, we aim to prove the two  $F$ -algebras  $C_A^B$  and  $\text{End}_C(A)$  have same dimension (over  $F$ ). Note that by proposition 3.2.6  $C$  is a simple algebra. Moreover, since  $C$  is finite-dimensional over  $F$ , then  $C$  is also semisimple, so there is a  $C$ -module  $N$ , up to an isomorphism, such that every  $C$ -module is a finite direct sum of copies of  $N$ . In particular,  $A \simeq N^r$ , for some positive integer  $r$ . Let  $D := \text{End}_C(N)$ . As  $N$  is a simple  $C$ -module, it follows by lemma 3.1.1 that  $D$  is a division algebra. We proved above that  $C_A^B \simeq \text{End}_C(A)$ , so

$$C_A^B \simeq \text{End}_C(A) \simeq \text{End}_C(N^r) \simeq M_r(\text{End}_C(N)) = M_r(D).$$

Therefore, we have

$$\dim_F(C_A^B) = \dim_F(M_r(D)) = r^2 \dim_F(D) \quad (3.17)$$

It is clear that  $N$  is also a  $D$ -module, so we have  $N \simeq D^m$ , for some positive integer  $m$ , so

$$C = \text{End}_D(N) \simeq \text{End}_D(D^m) \simeq M_m(D).$$

Thus  $A \simeq D^{rm}$ , hence

$$\dim_F(A) = r m \dim_F(D) \quad (3.18)$$

On the other hand, we have

$$\dim_F(A)^2 = \dim_F(C)\dim_F(\text{End}_C(A)) = \dim_F(B \otimes_F A^{op})\dim_F(C_A^B) = \dim_F(B)\dim_F(A^{op})\dim_F(C_A^B)$$

Hence

$$\dim_F(A) = \dim_F(B)\dim_F(C_A^B).$$

2) Since  $C_A^B$  is simple, applying 1) gives

$$\dim_F(C_A^B)\dim_F(C_A^{C_A^B}) = \dim_F(A)$$

Since

$$\dim_F(B)\dim_F(C_A^B) = \dim_F(A)$$

We deduce that

$$\dim_F(B) = \dim_F(C_A^{C_A^B})$$

Now, the definition easily imply that  $B \subseteq C_A^{C_A^B}$ . The equality between dimensions then implies that  $B = C_A^{C_A^B}$ .

### The Skolem<sup>‡</sup>-Noether theorem

For a ring  $R$  and unit  $r \in R^\times$ ,  $\text{Int}(r)(x) := r^{-1}xr$  is an automorphism of  $R$ . Such automorphisms are called an *inner* automorphisms of  $R$ .

**Lemma 3.2.8** Let  $A$  be a (finite-dimensional) simple  $F$ -algebra and suppose that  $B$  is an  $F$ -space. Let  $\phi$  and  $\psi$  be two  $F$ -algebras homomorphisms of  $A$  to  $\text{End}_F(B)$ , then there exists  $\theta \in \text{End}_F(B)^\times$  such that  $\phi(a) = \theta^{-1}\psi(x)\theta$  for all  $x \in A$ .

**Proof.** See [21, Lemma, p.230].

**Theorem 3.2.3** Let  $A$  be a central simple algebra over  $F$  and let  $B$  be simple  $F$ -subalgebra of  $A$ . For any  $F$ -algebra homomorphism  $\varphi : B \rightarrow A$  there exists  $a \in A^\times$  such that  $\psi(x) = a^{-1}xa$  for all  $x \in B$ .

**Proof.** By lemma 3.2.7, there is an algebra isomorphism  $\Lambda : A \otimes A^{\text{op}} \rightarrow \text{End}_F(A)$ . Define  $\phi := \Lambda(\text{id} \otimes \varphi) : A^{\text{op}} \otimes B \rightarrow \text{End}_F(A)$  and  $\psi := \Lambda(\text{id} \otimes \text{id}) : A^{\text{op}} \otimes B \rightarrow \text{End}_F(A)$ , where  $\text{id} : B \rightarrow A$  is the inclusion homomorphism. Since  $A^{\text{op}} \otimes B$  is simple (see proposition 3.2.6), it follows from lemma 3.2.8 that there exists  $\theta \in \text{End}_F(A)^\times$  such that  $\phi(x \otimes y) = \theta^{-1}\psi(x \otimes y)\theta$  for all  $x \in A^{\text{op}}, y \in B$ . Let  $z = \Lambda^{-1}(\theta) \in A^{\text{op}} \otimes A$ . Since  $\theta$  is unit, so is  $z$  and  $\theta^{-1} = \Lambda(z^{-1})$ . Moreover,

$$\begin{aligned} \Lambda(z(x \otimes \varphi(y))) &= \Lambda(z)\Lambda(x \otimes \varphi(y)) \\ &= \theta\phi(x \otimes y) \\ &= \psi(x \otimes y)\theta \\ &= \Lambda(x \otimes y)\Lambda(z) \\ &= \Lambda(x \otimes y)z \end{aligned}$$

Since  $\Lambda$  is injective, then

$$x \otimes \varphi(y) = z^{-1}(x \otimes y)z \text{ for all } x \in A^{\text{op}}, y \in B \quad (3.19)$$

By taking  $y = 1$  in (3.19), we get  $z(x \otimes 1) = (x \otimes 1)z$  that is  $z \in C_{A \otimes A^{\text{op}}}^{A^{\text{op}} \otimes F} = F \otimes A$  (see lemma 3.2.6). Similarly,  $z^{-1} \in F \otimes A$ , therefore  $z = 1 \otimes v$  and  $z^{-1} = 1 \otimes v$ , with  $u, v \in A$ . Hence  $uv = 1$ ,  $u \in A^{\text{op}}$  and  $v = u^{-1}$ . Finally, if  $x = 1$  in (3.19) then  $1 \otimes \varphi(y) = 1 \otimes u^{-1}yu$  for all  $y \in B$ , therefore  $\varphi(y) = u^{-1}yu$ .

### 3.3 Cyclic algebras

We will usually denote a cyclic Galois group by  $\langle \sigma \rangle$ , where  $\sigma$  is a generator of the group  $G$ .

**Definition 3.3.1** Let  $M/F$  be a cyclic *Galois field extension* of dimension  $n$  with Galois group  $G = \text{Gal}(M/F)$  generated by  $\sigma$ . Choose an element  $\beta$  a nonzero element of  $E$ . We construct a non-commutative algebra  $A$ , denoted by  $(M/F, \sigma, \beta)$ , as follows :

$$A = M \oplus Me \oplus \dots \oplus Me^{n-1}.$$

where  $e$  is an indeterminate satisfying the multiplicative conditions :

$$e^n = \beta \text{ and } \lambda e = e\sigma(\lambda) \text{ for all } \lambda \in M \quad (3.20)$$

$\oplus$  (the addition and scalar multiplication being defined componentwise). Such an algebra is called a *cyclic algebra*.

**Notation.** When there is no risk of confusion, we omit  $F$  and the algebra  $A$  we will denoted by  $(M, \sigma, \beta)$ .

**Remark 3.3.1** Assume that  $\text{char}(F) \neq 2$ ,  $M = F(\sqrt{d})$  be a quadratic extension, defined by an element  $d \in F^*$ , and let  $\sigma$  be the unique nontrivial  $F$ -automorphism of  $M$ . Then we have  $(M/F, \sigma, \beta) \simeq_F (a, b)_F$ . Hence cyclic algebras may be viewed as a generalization of quaternion algebras. (See [4, Remark VII.1.4, p.130]).

<sup>‡</sup>Thoralf Albert Skolem (Norwegian 23 May 1887-23 March 1963) was a Norwegian mathematician who worked on mathematical logic and set theory.

Let  $A$  be a central simple algebra over  $F$  and let  $K$  be a subfield of  $A$  (i.e., a field extension of  $F$  in  $A$ ), then  $\dim_F(K) \leq \deg(A)$  (see [21, Corollary a, p.236]). Let  $A$  be a central simple algebra over  $F$  and let  $K$  be a subfield of  $A$ . If  $\dim_F K = \deg(A)$ , then we say that  $K$  is a **strictly maximal subfield** of  $A$ . Such subfield does not always exist, but when  $A$  is a division algebra, then any maximal subfield of  $A$  is strictly maximal (see [21, corollary b, p.236]). We say that a field extension  $L$  of  $F$  is a **splitting field** of  $A$  if  $A \otimes_F L$  is isomorphic to a matrix algebra over  $F$ , i.e., if and only if the underlying division algebra of  $A \otimes_F L$  is  $L$ .

If  $K$  is a strictly maximal subfield of  $A$ , then  $K$  is a splitting field of  $A$  (see [21, Corollary, p.241]). In particular, if  $A = (M/E, \sigma, \beta)$  is a cyclic algebra, then  $M$  is a strictly maximal subfield of  $A$ , so  $M$  is a splitting field of  $A$ .

**Example 3.3.1** Consider the real matrix algebra  $A = M_r(\mathbb{H})$  for some positive integer  $r$ . We have  $\dim_{\mathbb{R}}(A) = 4r^2$ . Note that  $\mathbb{R}$  and  $\mathbb{C}$  are the only finite field extensions of  $\mathbb{R}$ . Therefore  $A$  has no strictly maximal subfields for any  $r \in \mathbb{N}^*$ .

**Theorem 3.3.1** The cyclic algebra  $A = (M/F, \sigma, \beta)$  is a central simple algebra over  $F$ .

**Proof.** The arguments of this proof were used before several times. Let

$$x = x_0 + x_1e + \dots + x_{n-1}e^{n-1}$$

be an element of the center of  $A$ . The equation  $xe = ex$  gives rise to the following equalities

$$x_{n-1}\beta + x_0e + \dots + x_{n-2}e^{n-1} = \sigma(x_{n-1})\beta 1 + \sigma(x_0)e + \dots + \sigma(x_{n-2})e^{n-1}.$$

Therefore  $x_i \in F$  for all  $i$ . Now the equation  $x(\alpha 1) = \alpha x$  for all  $\alpha \in M$  gives

$$x_0\alpha 1 + x_1\sigma(\alpha)e + \dots + x_{n-1}\sigma^{n-1}(\alpha)e^{n-1} = \alpha x_0 1 + \alpha x_1e + \dots + \alpha x_{n-1}e^{n-1}.$$

Hence  $x_1 = \dots = x_{n-1} = 0$ . So,  $Z(A) = F$ .

Let  $J$  be a two-sided nonzero ideal of  $A$  and let  $x = x_0 + x_1e + \dots + x_me^m$  be a nonzero element of  $J$  with  $m$  minimal. If  $m = 0$ , then  $x = x_0 \in E$ , so  $J = A$ .

Suppose that  $m > 0$ , and suppose that  $J \neq A$ , then consider an element  $\alpha \in M$  such that  $\sigma^i(\alpha) \neq \alpha$  for all  $\sigma^i \neq \text{id}$ . Then, the following contradicts the minimality of  $m$ :

$$(\alpha x - x\alpha)e^{-1} \in J.$$

**Theorem 3.3.2** A central simple algebra  $A$  of dimension  $n^2$  is isomorphic to a cyclic algebra if  $A$  contains a subfield  $M$  of dimension  $n$  over  $F$  such that  $M/F$  is a cyclic Galois field extension.

**Proof.** Let  $\sigma$  be a generator of the Galois group of  $M/F$ . By Skolem-Noether theorem, there is an invertible element  $e$  of  $A$  such that

$$\sigma(\alpha) = e\alpha e^{-1}.$$

for all  $\alpha \in M$ . Since conjugation by  $e^n$  is the identity on  $M$ , we see  $e^n \in C_A^M = M$ . Since  $ee^n e^{-1} = e^n$ , in fact  $e^n$  is a central element of  $A$ , so  $e^n \in F$ . It remains to prove that  $1, e, \dots, e^{n-1}$  are linearly independent over  $M$ . Otherwise, we consider a relation

$$x = x_0 + x_1e + \dots + x_me^m = 0$$

with  $x_m \neq 0$  and  $m$  minimal. This leads to a contradiction in the same way as above: Choose a primitive element  $\alpha \in E$  and consider the equality  $0 = (\alpha x - x\alpha)e^{-1}$ . This leads to a contradiction with the minimality of  $m$ .

**Definition 3.3.2** (**Norm and Trace**) Let  $M/F$  be a Galois field extension of dimension  $n$ , with  $\sigma_1, \dots, \sigma_n$  denoting all elements of  $\text{Gal}(M/F)$ . For an element  $x$  of  $M$ , the elements  $\sigma_1(x), \sigma_2(x), \dots, \sigma_n(x)$  are called the **conjugates** of  $x$  and

$$N(x) = \prod_{i=1}^n \sigma_i(x), \text{Tr}(x) = \sum_{i=1}^n \sigma_i(x).$$

are called, respectively, the **norm** and the **trace** of  $x$ .

**Remark 3.3.2** Whenever the context is not clear, we write  $N_{M/F}$ , resp.,  $\text{Tr}_{M/F}$  to avoid ambiguity.

**Definition 3.3.3** A cyclic algebra which is also a **division algebra** is called a **cyclic division algebra**.

**Theorem 3.3.3** Let  $M/F$  be a cyclic field extension of dimension  $n$  with Galois group  $\text{Gal}(M/F) = \langle \sigma \rangle$ . If  $0 \neq \beta, \beta^2, \dots, \beta^{n-1}$  are not a norm of elements of  $M$ , then  $(M/F, \sigma, \beta)$  is a cyclic division algebra.

**Proof.** See [21, 45, p.279].

## 3.4 Brauer group and Crossed products

### 3.4.1 The Brauer group

Let  $F$  be a field and let  $\mathbf{CSA}(F)$  be the class of all **central simple algebras** over  $F$ . We say that two central simple  $F$ -algebras  $A$  and  $B$  are similar, denoted by  $A \sim^s B$ , if there are positive integers  $r_1$  and  $r_2$  such that  $M_{r_1}(A)$  is isomorphic to  $M_{r_2}(B)$  as a  $F$ -algebra. In the next lemma we prove that this defines an equivalence relation on  $\mathbf{CSA}(F)$ , which reduces to  $F$ -algebra isomorphism when the two central simple algebras have the same dimension over  $F$ .

**Lemma 3.4.1** *Let  $F$  be a field. Then  $\sim$  is an equivalence relation on  $\mathbf{CSA}(F)$ , which reduces to  $F$ -algebra isomorphism when two central simple algebras have the same dimension over  $F$ .*

**Proof.** It is clearly that  $\sim$  is reflexive and symmetric on  $\mathbf{CSA}(F)$ . Let  $A, B$  and  $C$  be elements of  $\mathbf{CSA}(F)$  such that  $A \sim B$  and  $B \sim C$ . Then there are  $r_1, r_2, r_3, r_4 \in \mathbb{N}^*$  such that

$$M_{r_1}(A) \simeq M_{r_2}(B) \text{ and } M_{r_3}(B) \simeq M_{r_4}(C)$$

So we have

$$M_{r_1 r_3}(A) \simeq M_{r_3}(M_{r_1}(A)) \simeq M_{r_3}(M_{r_2}(B)) \simeq M_{r_2}(M_{r_3}(C)) \simeq M_{r_2}(M_{r_4}(C)) \simeq M_{r_2 r_4}(C).$$

Hence  $A \simeq C$ . Consequently,  $\sim$  is also transitive. The rest follows by applying Wedderburn's theorem.

The next proposition shows that the tensor product is a class invariant under similarity.

**Proposition 3.4.1** *Let  $A, B, A'$  and  $B'$  be central simple  $F$ -algebras such that  $A \sim A'$  and  $B \sim B'$ . Then  $A \otimes_F B \sim A' \otimes_F B'$ .*

**Proof.** There exists  $r_1, r_2, r_3, r_4 \in \mathbb{N}^*$  such that

$$M_{r_1}(A) \simeq M_{r_2}(A') \text{ and } M_{r_3}(B) \simeq M_{r_4}(B')$$

Observe that

$$M_{r_1}(A) \otimes_F M_{r_3}(B) \simeq M_{r_2}(A') \otimes_F M_{r_4}(B').$$

and that (3.11) implies that we have the  $F$ -algebra isomorphism

$$M_{r_1 r_3}(A \otimes_F B) \simeq M_{r_2 r_4}(A' \otimes_F B').$$

Hence  $A \otimes_F B \sim A' \otimes_F B'$ .

**Remark 3.4.1** Observe that for a field  $F$  the class  $\mathbf{CSA}(F)$  is not empty, since for every positive integer  $n$ , the matrix algebra  $M_n(F)$  is an element of  $\mathbf{CSA}(F)$ .

**Theorem 3.4.1** *Let  $F$  be a field. Then there exists a pair  $(G, s)$  consisting of a group  $G$  and a surjective map  $s : \mathbf{CSA}(F) \rightarrow G$  that satisfy for every two central simple  $F$ -algebras  $A$  and  $B$  the following conditions :*

- 1)  $s(A \otimes_F B) = s(A)s(B)$ .
- 2) The equality  $s(A) = s(B)$  holds if and only if  $A$  and  $B$  are Brauer equivalent.

Moreover, the pair  $(G, s)$  is uniquely determined up to a unique isomorphism, that is, if  $(G', s')$  is another pair satisfying the above, then there is a unique group isomorphism  $\beta : G \rightarrow G'$  such that we have the equality  $s' = \beta \circ s$ .

---

<sup>s</sup> When  $A \sim B$  we say also  $A$  and  $B$  are Brauer equivalent.

**Proof.** Let  $K$  be a subclass of  $\mathbf{CSA}(F)$  that is a set such that every element of  $\mathbf{CSA}(F)$  is isomorphic as a  $F$ -algebra to at least one element of  $K$ , and let  $G$  be the quotient set of  $K$  by  $\sim$ , i.e.,  $G := K / \sim$ . For an element  $A$  of  $\mathbf{CSA}(F)$  we let  $[A]$  denote the element of  $G$  that contains the elements of  $K$  that are Brauer equivalent to  $A$ , which gives a surjective map

$$\begin{array}{ccc} \pi : \mathbf{CSA}(F) & \longrightarrow & G \\ C & \longmapsto & [C] \end{array}$$

Now, We will show that  $G$  is an **abelian group** under the tensor product over  $F$ . To this end, observe that the map

$$\begin{array}{ccc} u : G \times G & \longrightarrow & G \\ ([B], [C]) & \longmapsto & [B \otimes_F C] \end{array}$$

is well-defined by proposition 3.4.1, so it remains to prove that  $G$  satisfies the **group axioms** and **commutativity** with respect to the tensor product.

- \* Observe that for any central simple algebra  $A$  over  $F$ , it clearly holds that  $A \otimes_F F$  is isomorphic to  $A$  as a  $F$ -algebra. Hence,  $[F]$  functions as the identity element of  $G$  under the tensor product over  $F$ .
- \* Associativity follows from (3.8), and commutativity follows from (3.9).
- \* The existence of inverse elements in  $G$  is proven by Lemma 3.2.7, which states that the inverse of an element  $[A]$  of  $G$  is given  $[A^{op}]$ , where  $A^{op}$  is the opposite algebra of  $A$ .

Consequently, we have showed that  $G$  is an abelian group under the tensor product over  $F$ .

It is clear that for every  $A, B \in \mathbf{CSA}(F)$  the map  $\pi$  satisfies the equality  $\pi(A \otimes_F B) = \pi(A)\pi(B)$ , hence, we have a pair  $(G, \pi)$  with  $s = \pi$  that satisfies the theorem.

Now, if  $(G', s')$  is another pair that satisfies the theorem, and define

$$\begin{array}{ccc} \beta : G & \longrightarrow & G' \\ [A] & \longmapsto & s'(A) \end{array}$$

It is clear that  $\beta$  is a unique group isomorphism satisfying the equality  $s = \beta \circ s'$ . It follows that  $(G, s)$  is uniquely determined up to isomorphism.

**Definition 3.4.1** The group of the uniquely determined pair  $(G, s)$  is called the **Brauer group** of  $F$ , denoted by  $\text{Br}(F)$ , and is written multiplicatively. For a central simple algebra  $A$  over  $F$ , we denote  $s(A)$  by  $[A]$ . Moreover, an element  $b$  of  $\text{Br}(F)$  is often denoted by  $[A]$ , where  $A$  is an element of  $\mathbf{CSA}(F)$  that is similar to an element of  $b$ .

**Definition 3.4.2** The **exponent** of  $A$  (or **period** of  $A$ ) is the order of  $[A]$  in  $\text{Br}(F)$ .

**Proposition 3.4.2** Every element of  $\text{Br}(F)$  contains a unique central division  $F$ -algebra up to isomorphism.

**Proof.** This follows by applying Wedderburn's theorem.

### Some examples of Brauer groups

- 1) We have already seen in Corollary 3.2.2 that there are no nontrivial central division algebras over an algebraically closed field. So the Brauer group of an algebraically closed field is trivial.
- 2) Let  $F$  be a finite field, then by [Joseph Wedderburn]  $F$  is the unique central division algebra over  $F$ , so the Brauer group of  $F$  is trivial.
- 3) By [15, 6.6 "Die Brauergruppe von  $\mathbb{R}$ , p.54],  $\mathbb{R}$  and  $\mathbb{H}$  are the only central division algebras over  $\mathbb{R}$ . Consequently, the Brauer group of  $\mathbb{R}$  is isomorphic to  $\mathbb{Z}/2\mathbb{Z}$ .

## The Brauer group as a functor

For any nonzero homomorphism  $\psi : F \longrightarrow M$  between fields, one can consider  $M$  as a field extension of  $F$  and then form the tensor product  $A \otimes_F M$  that we denote by  $A_\psi$ . In what follows a homomorphism between fields will always mean a nonzero homomorphism.

**Lemma 3.4.2** Let  $\psi : F \longrightarrow M$  be a field homomorphism. Then the mapping  $Br(\psi) : Br(F) \longrightarrow Br(M)$  defined by  $[A] \longmapsto [A_\psi]$ , is a group homomorphism.

**Proof.** Let  $\psi : F \longrightarrow M$  be a field homomorphism and let  $A$  be a central simple algebra over  $F$ .  $A_\psi$  is central simple over  $E$ . Define the map

$$\begin{aligned} Br(\psi) : Br(F) &\longrightarrow Br(M) \\ [A] &\longmapsto [A_\psi] \end{aligned}$$

and observe that this is a well-defined function by proposition 3.4.1. Moreover, by *associativity* and *commutativity* of the tensor product (see (3.8) and (3.9)), we have

$$\begin{aligned} Br(\psi)([A])Br(\psi)([B]) &= [A \otimes_F M][B \otimes_F M] \\ &= [(A \otimes_F M) \otimes_M (B \otimes_F M)] \\ &= [A \otimes_F (M \otimes_F B)] \\ &= [(A \otimes_F B) \otimes_F M] \\ &= Br(\psi)([A \otimes_F B]) \end{aligned}$$

This shows that  $Br(\psi)$  is a group homomorphism.

**Notation.** Let  $\mathcal{Field}$  denote the category of *fields* with morphisms given by field homomorphisms, and let  $\mathcal{Ab}$  denote the category of *abelian groups* with morphisms given by group homomorphisms.

**Theorem 3.4.2** The *Brauer group* defines a covariant functor  $Br : \mathcal{Field} \longrightarrow \mathcal{Ab}$  that maps a field  $F$  to  $Br(F)$  and maps a field homomorphism  $\psi$  to  $Br(\psi)$ .

**Proof.** Clear.

Let  $K$  be a field extension of  $F$  and consider the canonical group homomorphism  $\phi_{K/F} : Br(F) \longrightarrow Br(K)$ ,  $[A] \longmapsto [A \otimes_F K]$ . Plainly,  $\ker(\phi_{K/F})$  is a subgroup of  $Br(F)$ . We call it the relative Brauer group of  $K/F$ .

## Relative Brauer groups

In this subsection, we show that for every *central simple algebra*  $A$  over a field  $F$  there exists a *finite Galois extension* of  $F$  (i.e., a finite-dimensional Galois field extension of  $F$ ) that splits  $A$ . This enables us to write the Brauer group of  $F$  as a union of *relative Brauer groups* of finite Galois extensions of  $F$ , i.e

$$Br(F) = \bigcup_{K \supseteq F \text{ finite Galois}} Br(K/F)$$

**Remark 3.4.2** Let  $A$  be a central simple algebra over  $F$  and let  $K$  be a field extension of  $F$ . Then, by definition  $K$  is a splitting field of  $A$  if and only if  $[A] \in Br(K/F)$ .

**Theorem 3.4.3** Let  $x$  be an element of  $Br(F)$ . Then there is a separable field extension  $K \supseteq F$  such that  $x$  is an element of  $Br(K/F)$ .

**Proof.** See [15, 5.6 "Existenz eines separablen Zerfällungskörpers, p.47].

**Corollary 3.4.1** Let  $x$  be an element of  $Br(F)$ . Then there is a finite Galois field extension  $E \supseteq F$  such that  $x$  is an element of  $Br(E/F)$ .

**Proof.** Indeed, by the previous theorem, we can consider a separable field extension  $M$  of  $F$  such that  $x \in Br(M/F)$ . It suffices to take a Galois field extension  $K$  of  $F$  that contains  $M$ .

**Corollary 3.4.2** For any field  $F$ . We have the following equality

$$Br(F) = \bigcup_{K \supseteq F \text{ finite Galois}} Br(K/F).$$

**Proof.** Clear.



### 3.4.2 Crossed products

In this section, we will construct a very important type of central simple algebra via a finite **Galois field extension** of  $F$ . This algebra is called **crossed product**. As will be seen later, this algebra will connect the Brauer group of a field  $F$  to a second Galois cohomology group obtained by considering all finite-dimensional Galois field extensions of  $F$ .

Throughout this subsection, when not mentioned, we assume that  $K/F$  is a **finite Galois** field extension. We assume throughout the rest familiarity with basic (Galois) cohomological notions. In particular, recall that when considering a finite Galois field extension with Galois group  $G$ , then a 2-cycle of  $G$  with values in  $K^*$  is a map  $a : G \times G \longrightarrow K^*$  satisfying  $a(\sigma, \tau)a(\sigma\tau, \gamma) = a(\sigma, \tau\gamma)\sigma(a(\sigma, \gamma))$  for all  $\sigma, \tau, \gamma \in G$ .

**Proposition 3.4.3** Let  $K/F$  be a finite Galois extension with Galois group  $\text{Gal}(K/F)$ . Let  $a$  be a 2-cocycle of  $G$  with values in  $K^*$  and let  $A$  be a left vector space over  $E$  with basis  $\{e_\sigma\}_{\sigma \in G}$  the multiplication defined by

$$\left(\sum_{\sigma \in G} x_\sigma e_\sigma\right) \cdot \left(\sum_{\tau \in G} y_\tau e_\tau\right) = \sum_{\sigma \in G} \sum_{\tau \in G} x_\sigma \sigma(y_\tau) a(\sigma, \tau) e_{\sigma\tau} \quad (3.21)$$

where  $x_\sigma, y_\tau \in K$  for  $\sigma, \tau \in G$ . Then,  $A$  is a central simple algebra over  $F$  that contains  $K$  as a strictly maximal subfield.

**Proof.** Let  $\sigma, \tau, \rho \in G$ , Then

$$a(\sigma, \tau)a(\sigma\tau, \rho) = \sigma(a(\tau, \rho))a(\sigma, \tau) \quad (3.22)$$

Using (3.22), one can see that  $A$  is indeed an associative algebra with unit (equal to  $a(\text{id}, \text{id})^{-1}e_{\text{id}}$ ). It is clear that  $\dim_F A = (\dim_F K)^2$ , so  $K$  is a strictly maximal subfield of  $A$ . Also, since for all  $x, y \in K^*$  and  $\sigma, \tau \in G$ , we have  $x_\sigma y_\tau = x\sigma(y)a(\sigma, \tau)e_{\sigma\tau}$ , then one can easily see that  $Z(A) = K^*$  (for  $K/F$  is a Galois field extension).

$A$  is a simple algebra. Indeed, let  $I$  be a nonzero two-sided of  $A$  and let  $x = \sum_{i=1}^r x_{\sigma_i} e_{\sigma_i}$  be a nonzero element of  $I$ , where all  $x_{\sigma_i} \in K$  (with  $r$  is minimal integer). Suppose that  $r > 1$  and choose  $z \in K$  such that  $\sigma_1(z) \neq \sigma_2(z)$

$$\sigma_1(z)^{-1}xz = \sigma_1^{-1}x_{\sigma_1}\sigma_1(z)e_{\sigma_1} + \sigma_1^{-1}(z)x_{\sigma_2}\sigma_2(z)e_{\sigma_2} + \dots$$

We get  $0 \neq x - \sigma_1(z)^{-1}xz \in I$ , which contradicts the minimality of  $r$ , so  $x = ye_\sigma$  for some  $y \in E^*$ ,  $\sigma \in G$ . But in this case,  $x$  will be an invertible element of  $A$ , so  $I = A$ .

**Definition 3.4.3** The central simple algebra  $A$  over  $F$  defined in proposition 3.4.3 is called the **crossed product** algebra over  $F$  of  $K$  and  $G$  with respect to  $a$ , denoted by  $(K, G, a)$ .

**Proposition 3.4.4** Let  $K/F$  be Galois field extension with Galois group  $G$ , and let  $a, b : G \times G \longrightarrow K^*$  be two 2-cocycle. Then

$$(K, G, a) \otimes_F (K, G, b) \sim (K, G, ab).$$

**Proof.** See [15, 8.2, **Multiplikativitätssatz**, p.68].

**Remark 3.4.3** A **cyclic algebra** is an example of a **crossed product**. Indeed, let  $(K, G, \beta)$  be a cyclic algebra as defined in section 3.3. we can define a 2-cocycle as follows :

$$a : G \times G \longrightarrow E^* \\ (\sigma^i, \sigma^j) \longmapsto \begin{cases} 1 & \text{if } i+j < n \\ \beta & \text{if } i+j \geq n \end{cases}$$

One can check that the  $F$ -algebra  $(K, G, a)$  is isomorphic to  $(K, \sigma, \beta)$ . For more details we refer to [15, section 10.3 "**Existenzsatz**", p.83].

### 3.5 Cohomological interpretation of the Brauer group

As claimed in the previous subsection, we will see here that the relative Brauer group  $\text{Br}(K/F)$  of a (finite) Galois field extension  $K/F$  is isomorphic to the second **cohomology group**  $H^2(\text{Gal}(K/F), K^*)$ .

**Proposition 3.5.1** Let  $K \supseteq F$  be a finite Galois extension with Galois group  $G$ . Then two 2-**cocycles**  $a$  and  $b$  of  $G$  with values in  $K^*$  are **cohomologous** if and only if  $(K, G, a)$  and  $(K, G, b)$  are isomorphic as  $F$ -algebras.

**Proof.** See [15, 7.7 "Isomorphiekriterium für verschränkte Produkte", p.63].

**Theorem 3.5.1** Let  $x$  be an element of  $\text{Br}(F)$ . Then for each finite Galois extension  $K \supseteq F$  that splits  $x$ , there exists a 2-cocycle  $a$  of  $\text{Gal}(K/F)$  with values in  $K^*$  that is unique up to cohomology such that the crossed product algebra  $(K, \text{Gal}(K/F), a)$  is Brauer-equivalent to  $x$ .

**Proof.** See [15, 8, Die Isomorphie  $H^2(G, L^*) \simeq \text{Br}(L/K)$ , p.68].

**Theorem 3.5.2** Let  $K/F$  be a **finite Galois field extension**. Then the map

$$\begin{array}{ccc} \Psi : H^2(\text{Gal}(E/F), E^*) & \longrightarrow & \text{Br}(E/F) \\ [a] & \longmapsto & [(E, \text{Gal}(E/F), a)] \end{array}$$

is a group isomorphism.

**Proof.** Using theorem 3.5.1, one sees that the map  $\Psi$  is well-defined and injective.

By theorem 3.5.1, for any element  $x$  of  $\text{Br}(K/F)$  there exists a 2-**cocycle**  $a$  of  $G$  with values in  $K^*$  such that  $x = [(K, G, a)]$ . So  $\Psi$  is **surjective**. Hence  $\Psi$  is **bijection**. Also by proposition 3.5.1, one sees that  $\Psi$  is a group homomorphism, hence a group isomorphism.

### 3.6 Some non-abelian cohomology

In this section we recall elementary facts about **non-abelian group cohomology**. For more details we refer the reader to [23, "Cohomologie Galoisienne"].

**Definition 3.6.1** Let  $G$  be a finite group.

- i) A  $G$ -set  $E$  is a set equipped with a  $G$ -operation from the left. We will use the notation  ${}^g x := g \cdot x$  for  $x \in E$  and  $g \in G$ .
- ii) A morphism of  $G$ -sets, a  $G$ -morphism for short, is a map  $\gamma : E \longrightarrow F$  between  $G$ -sets such that the diagram

$$\begin{array}{ccc} G \times E & \longrightarrow & F \\ \text{id}_G \times \gamma \downarrow & & \downarrow \gamma \\ G \times F & \longrightarrow & F \end{array}$$

commutes.

- iii) A  $G$ -group  $M$  is a  $G$ -set carrying a **group structure** such that  ${}^g(xy) = {}^g x {}^g y$  for every  $g \in G$  and  $x, y \in M$ .

Note that, for all  $g \in G$  this forces  ${}^g 1_M = 1_M$  and for all  $x \in M$   ${}^g(x^{-1}) = ({}^g x)^{-1}$ . If  $M$  is abelian then it is called a  **$G$ -module**.

**Example 3.6.1** Let  $G$  be an abelian group and  $H$  a subgroup of  $G$ . Then we can view  $G$  as a  $H$ -set.

For a  $G$ -set  $M$ , we let  $M^G := \{x \in M \mid {}^g x = x \text{ for all } g \in G\}$

**Definition 3.6.2** Let  $G$  be a **finite group**.

- i) For any  $G$ -module  $M$ , we set  $H^0(G, M) := M^G$ , the **zeroth cohomology** set of  $G$  with coefficients in  $M$  is just the subset of  $G$ -invariants in  $M$ . If  $M$  is a  $G$ -group, then one can see that  $H^0(G, M)$  is a group.

ii) If  $M$  is a  $G$ -group. A map  $\rho : G \longrightarrow M$  is called a **1-cocycle** if for any  $g, h \in G$ , we have

$$\rho(gh) = \rho(g) {}^g\rho(h). \quad (3.23)$$

iii) Let  $M$  be a  $G$ -group. We say that 1-cocycles  $\rho, \rho' : G \longrightarrow M$  are **cohomologous** if there is  $x \in M$

$$\rho(g) = x^{-1} \rho'(g) {}^g x, \text{ for all } g \in G.$$

**Remarks 3.6.1** \* The map  $G \longrightarrow M$  sending every element of  $G$  to  $1_M$  is a 1-cocycle. We call this the **trivial cocycle**.

\* For any  $G$ -group  $M$  and any  $x \in M$ , the map  $G \longrightarrow M$  given by  $g \longmapsto x^{-1} {}^g x$  is a 1-cocycle.

\* For any 1-cocycle  $\rho : G \longrightarrow M$  we necessarily have  $\rho(1_G) = 1_M$  (this follows by (3.23)).

\* For any  $G$ -group  $M$ , one can easily see that 'to be cohomologous' is an equivalence relation on the set of 1-cocycles of  $G$  in  $M$ .

The quotient set of this equivalence relation, called the **first cohomology set** of  $G$  with coefficients in  $M$ , is denoted by  $H^1(G, M)$ , i.e.  $H^1(G, M) = \{ \text{equivalence classes of 1-cocycles } \rho : G \longrightarrow M \}$ .

\*  $H^0(G, M)$  and  $H^1(G, M)$  are **covariant functors** in  $M$ . If  $\iota : M \longrightarrow M'$  is a morphism of  $G$ -sets then the induced map will be denoted by  $\iota_* : H^0(G, M) \longrightarrow H^0(G, M')$ , resp.,  $\iota_* : H^1(G, M) \longrightarrow H^1(G, M')$ .

\* If  $M$  is abelian then the definitions above coincide with the **usual group cohomology** as one of the possible descriptions for  $H(G, M)$  is just the cohomology of the complex

$$0 \longrightarrow \mathcal{C}^0(G, M) \xrightarrow{\theta_0} \mathcal{C}^1(G, M) \xrightarrow{\theta_1} \dots \longrightarrow \mathcal{C}^n(G, M) \xrightarrow{\theta_n} \mathcal{C}^{n+1}(G, M) \longrightarrow$$

where  $\mathcal{C}^n(G, M) := \{ f : G^n \longrightarrow M \}$ ,  $\mathcal{C}^0(G, M) = M$ , with the differential map  $\theta_n$  defined by  $\theta_n(f)(g_1, \dots, g_{n+1}) := f(g_2, \dots, g_{n+1}) + \sum_{j=1}^n (-1)^j f(g_1, \dots, g_j g_{j+1}, \dots, g_{n+1}) + (-1)^{n+1} f(g_1, \dots, g_n)$ .

**Theorem 3.6.1** Let  $G$  be a **finite group**.

i) If  $N \subseteq M$  is  $G$ -group extension (i.e.,  $M$  and  $N$  are  $G$ -groups and the action of  $g \in G$  on an element  $x \in N$  coincides with the action of  $g$  on  $x$  when  $x$  is considered as an element of  $M$ ) and  $M/N$  is the set of left cosets, then there is a natural exact sequence of pointed sets

$$1 \longrightarrow H^0(G, N) \longrightarrow H^0(G, M) \longrightarrow H^0(G, M/N) \xrightarrow{d} H^1(G, N) \longrightarrow H^1(G, M)$$

ii) If in addition  $N$  is a normal subgroup of  $M$ , then there is a natural exact sequence of pointed sets

$$1 \longrightarrow H^0(G, N) \longrightarrow H^0(G, M) \longrightarrow H^0(G, M/N) \xrightarrow{d} H^1(G, N) \longrightarrow$$

$$H^1(G, M) \longrightarrow H^1(G, M/N)$$

iii) ) If in particular  $N$  is a subgroup of the center of  $M$ , then there is a natural exact sequence of pointed set

$$1 \longrightarrow H^0(G, N) \longrightarrow H^0(G, M) \longrightarrow H^0(G, M/N) \xrightarrow{d} H^1(G, N) \longrightarrow \dots$$

$$\dots \longrightarrow H^1(G, M) \longrightarrow H^1(G, M/N) \xrightarrow{d'} H^2(G, M)$$

Here the abelian group  $H^2(G, M)$  is considered as a pointed set with the unit element.

We note that a sequence

$$(M, a) \xrightarrow{i} (N, b) \xrightarrow{j} (P, c)$$

of pointed sets is said to be exact in  $(N, b)$  if  $i(M) = j^{-1}(P)$ .

**Proof.** See [14, Proposition 1.4, p.6].

**Definition 3.6.3** Let  $\psi : G \longrightarrow G'$  be a homomorphism of finite groups. Then for an arbitrary  $G$ -set  $E$  one has a natural **pull-back** map  $\psi^* : H^0(G', E) \longrightarrow H^0(G, E)$ .

If  $E$  is a  $G$ -group then the **pullback** map is a group homomorphism.

For an arbitrary  $G$ -group  $M$  there is the natural **pullback** map  $\psi^* : H^1(G', M) \longrightarrow H^1(G, M)$  which is a morphism of pointed sets.

- \* If  $\psi$  is the inclusion of a subgroup then the pullback  $\text{res}_G^{G'} := \psi^*$  is usually called the **restriction** map.
- \* If  $\psi$  is the **canonical projection** on a quotient group then  $\text{inf}_G^{G'} := \psi^*$  is said to be the **inflation** map.
- \* The composition of  $\text{res}_G^{G'}$  or  $\text{inf}_G^{G'}$  with some extension of the  $G$ -set  $E$  (the  $G$ -group  $M$ ) is usually called the **restriction**, respectively **inflation**, as well.

**Remark 3.6.1** Note that **Non-abelian group cohomology** can easily be extended to the case where  $G$  is a **profinite group** and  $M$  is a **discrete**  $G$ -set (respectively  $G$ -group) on which  $G$  operates continuously. Indeed, set for  $i = 0, 1$

$$H^i(G, M) := \varinjlim_{G'} H^i(G/G', M^{G'}).$$

where the direct limit is taken over the inflation maps and  $G'$  runs through the normal open subgroups  $G'$  of  $G$  such that the quotient  $G/G'$  is finite.

### 3.7 Some geometric interpetations of Galois descent

Let  $E/F$  be a **finite Galois extension** of fields with Galois group  $G = \text{Gal}(E/F)$ .

The descent problem deals with the following question : When can a scheme  $X$  over  $E$  be descended to  $F$ , that is, is there a scheme  $Y$  over  $F$  such that  $X \simeq Y \times_{\text{Spec}(F)} \text{Spec}(E)$ ? Grothendieck explored the analogy with the classical case, where a **topological space** or a **differentiable manifold** can be constructed by glueing together open subsets via transition functions which satisfy a compatibility condition on triple intersections. A "descent datum" is an analogue of this for schemes.

Throughout  $F$  is a field, and  $E/F$  is usually a **Galois field extension**. we may assume  $E/F$  to be finite.

**Definition 3.7.1** Let  $E$  be a field and  $F \subseteq E$  be a subfield such that  $E/F$  is a **finite Galois extension**. Let  $p_1 : X_1 \longrightarrow \text{Spec}(E)$  and  $p_2 : X_2 \longrightarrow \text{Spec}(F)$  be two  $E$ -schemes. Then, by a morphism from  $p_1$  to  $p_2$  that is twisted by  $\sigma \in \text{Gal}(E/F)$  we will mean a morphism  $\phi : X_1 \longrightarrow X_2$  of schemes such that the diagram

$$\begin{array}{ccc} X_1 & \xrightarrow{\phi} & X_2 \\ \downarrow & & \downarrow \\ \text{Spec}(E) & \xrightarrow{\sigma^\sharp} & \text{Spec}(E) \end{array}$$

commutes. Here  $\sigma^\sharp : \text{Spec}(E) \longrightarrow \text{Spec}(E)$  denotes the morphism of **affine schemes** induced by

$$\sigma^{-1} : E \longrightarrow E.$$

The next theorem gives some equivalences of categories.

**Theorem 3.7.1** Let  $E/F$  be a **finite Galois extension** of fields and  $G := \text{Gal}(E/F)$  be its Galois group. Then :

i) There are the following equivalences of categories

$$\begin{array}{ll}
 \{ \text{F-vector spaces} \} & \longrightarrow \left\{ \begin{array}{l} E - \text{vector spaces with a} \\ G - \text{operation from the left where each} \\ \sigma \in G \text{ operates } \sigma - \text{linearly} \end{array} \right\} \\
 \{ \text{F-algebras} \} & \longrightarrow \left\{ \begin{array}{l} E - \text{algebras} \\ \text{with a } G\text{-operation from the left} \\ \text{where each } \sigma \in G \text{ operates } \sigma - \text{linearly} \end{array} \right\} \\
 \{ \text{central simple algebras over } F \} & \longrightarrow \left\{ \begin{array}{l} E - \text{algebras} \\ \text{with a } G\text{-operation from the left} \\ \text{where each } \sigma \in G \text{ operates } \sigma - \text{linearly} \end{array} \right\} \\
 \{ \text{commutative F-algebras} \} & \longrightarrow \left\{ \begin{array}{l} \text{commutative } E\text{-algebras} \\ \text{with a } G\text{-operation from the left} \\ \text{where each } \sigma \in G \text{ operates } \sigma - \text{linearly} \end{array} \right\} \\
 \{ \text{commutative F-algebras with unit} \} & \longrightarrow \left\{ \begin{array}{l} \text{commutative } E\text{-algebras with unit} \\ \text{with a } G\text{-operation from the left} \\ \text{where each } \sigma \in G \text{ operates } \sigma - \text{linearly} \end{array} \right\} \\
 A & \longmapsto A \otimes_F E
 \end{array}$$

ii) here is the following equivalence of categories,

$$\begin{array}{ll}
 \{ \text{quasi-projective F-schemes} \} & \longrightarrow \left\{ \begin{array}{l} \text{quasi-projective } E\text{-schemes} \\ \text{with a } G\text{-operation from the left} \\ \text{by morphisms of } F\text{-schemes} \\ \text{where each } \sigma \in G \text{ operates} \\ \text{by a morphism twisted by } \sigma \end{array} \right\} \\
 X & \longmapsto X \times_{\text{Spec}(F)} \text{Spec}(E)
 \end{array}$$

iii) Let  $X$  be a  $F$ -scheme and  $r$  be a natural number. Then there are the following equivalences of categories

$$\begin{array}{ll}
 \{ \text{quasi-coherent sheaves on } X \} & \longrightarrow \left\{ \begin{array}{l} \text{quasi-coherent sheaves } \mathcal{M} \\ \text{on } X \times_{\text{Spec}(F)} \text{Spec}(E) \\ \text{together with a system } (i_\sigma)_{\sigma \in G} \\ \text{of isomorphisms } i_\sigma : x_\sigma^* \mathcal{M} \longrightarrow \mathcal{M} \text{ satisfying} \\ i_\tau \circ x_\tau^*(i_\sigma) = i_{\sigma\tau} \\ \text{for every } \sigma, \tau \in G \end{array} \right\} \\
 \{ \text{locally free sheaves of rank } r \text{ on } X \} & \longrightarrow \left\{ \begin{array}{l} \text{locally free sheaves of rank } r \text{ on } X \\ \text{on } X \times_{\text{Spec}(F)} \text{Spec}(E) \\ \text{together with a system } (i_\sigma)_{\sigma \in G} \\ \text{of isomorphisms } i_\sigma : x_\sigma^* \mathcal{M} \longrightarrow \mathcal{M} \text{ satisfying} \\ i_\tau \circ x_\tau^*(i_\sigma) = i_{\sigma\tau} \\ \text{for every } \sigma, \tau \in G \end{array} \right\} \\
 \mathcal{F} & \longmapsto \mathcal{M} := \pi^* \mathcal{F}
 \end{array}$$

Here the morphisms in the categories are the obvious ones, i.e. those respecting all the extra structures  $\pi : X \times_{\text{Spec}(F)} \text{Spec}(E) \longrightarrow X$  is the canonical morphism and  $x_\sigma : X \times_{\text{Spec}(F)} \text{Spec}(E) \longrightarrow X \times_{\text{Spec}(F)} \text{Spec}(E)$  denotes the morphism that is induced by  $\sigma^\# : \text{Spec}(E) \longrightarrow \text{Spec}(E)$ .

**Proof.** See [14, Theorem 2.2, p.7].

**Proposition 3.7.1 (Galois descent-geometric version)** Let  $E/F$  be a *finite Galois extension* of fields and  $G := \text{Gal}(E/F)$  its Galois group Further, let  $Y$  be a *quasi-projective*  $E$ -scheme together with an operation of  $G$  from the left by twisted morphisms, i.e. such that the diagrams

$$\begin{array}{ccc}
 Y & \xrightarrow{\phi_\sigma} & Y \\
 \downarrow & & \downarrow \\
 \text{Spec}(E) & \xrightarrow{\sigma^\#} & \text{Spec}(E)
 \end{array}$$

commute, where  $\sigma^\sharp : \text{Spec}(E) \longrightarrow \text{Spec}(E)$ . Then there exists a **quasi-projective**  $E$ -scheme  $X$  such that there is an isomorphism of  $E$ -schemes

$$X \times_{\text{Spec}(F)} \text{Spec}(E) \xrightarrow{f \sim} Y$$

where  $X \times_{\text{Spec}(F)} \text{Spec}(E)$  is equipped with the  $G$ -operation induced by the one on  $\text{Spec} L$  and  $f$  is compatible with the operation of  $G$ .

**Proof.** See [14, Proposition 2.5, p.9].

**Proposition 3.7.2** (**Galois descent for quasi-coherent sheaves**) Let  $E/F$  be a finite Galois extension of fields and  $G := \text{Gal}(E/F)$  be its Galois group. Further, let  $X$  be a  $F$ -scheme,  $\pi : X \times_{\text{Spec}(F)} \text{Spec}(E) \longrightarrow X$  the canonical morphism and  $x_\sigma : X \times_{\text{Spec}(F)} \text{Spec}(E) \longrightarrow X \times_{\text{Spec}(F)} \text{Spec}(E)$  be the morphism induced by  $\sigma^\sharp : \text{Spec}(E) \longrightarrow \text{Spec}(E)$ .

Let  $\mathcal{M}$  be a quasi-coherent sheaf over  $X \times_{\text{Spec}(F)} \text{Spec}(E)$  together with a system  $(\iota_\sigma)_{\sigma \in G}$  of isomorphism  $\iota_\sigma : x_\sigma^* \mathcal{M} \longrightarrow \mathcal{M}$  that are compatible in the sense that for each  $\sigma, \tau \in G$  there is the relation  $\iota_\tau \circ x_\tau^*(\iota_\sigma) = \iota_{\sigma\tau}$ . Then there exists a **quasi-coherent** sheaf  $\mathcal{F}$  over  $X$  such that there is an isomorphism

$$\pi^* \mathcal{F} \xrightarrow{b} \mathcal{M}$$

under which the canonical isomorphism  $i_\sigma : x_\sigma^* \pi^* \mathcal{F} = (\pi x_\sigma)^* \mathcal{F} : \pi^* \mathcal{F} = \pi^* \mathcal{F} \longrightarrow \pi^* \pi^* \mathcal{F}$  is identified with  $\iota_\sigma$  for each  $\sigma$ , i.e. the diagrams

$$\begin{array}{ccc} x_\sigma^* \pi^* \mathcal{F} & \xrightarrow{x_\sigma^*(b)} & x_\sigma^* \mathcal{M} \\ i_\sigma \downarrow & & \downarrow \iota \\ \pi^* \mathcal{F} & \xrightarrow{b} & \mathcal{M} \end{array}$$

commute.

**Proof.** See [14, Proposition 2.6, p.10].

**Remark 3.7.1** Note there is a **Galois descent-algebraic version**. We refer the reader to [14, Proposition 2.3, p. 8].

The next proposition gives the important result of Galois descent for homomorphisms.

**Proposition 3.7.3** Let  $E/F$  be a finite Galois extension of fields and  $G := \text{Gal}(E/F)$  be its Galois group. Then it is equivalent.

- i) to give a homomorphism  $f : V \longrightarrow V'$  of  $F$ -vector spaces (of algebras over  $F$ , of **central simple algebras** over  $F$ , of commutative  $F$ -algebras, of commutative  $F$ -algebras with unit,  $\dots$ ).
- ii) to give a homomorphism  $f_E : V \times_F E \longrightarrow V' \otimes_F E$  of  $E$ -vector spaces (of algebras over  $E$ , of central simple algebras over  $E$ , of commutative  $E$ -algebras, of commutative  $E$ -algebras with unit,  $\dots$ ) which is compatible with the  $G$ -operations, i.e. such that for each  $\sigma \in G$  the diagram

$$\begin{array}{ccc} V \otimes_F E & \xrightarrow{f_E} & V' \otimes_F E \\ \sigma \downarrow & & \downarrow \sigma \\ V \otimes_F E & \xrightarrow{f_E} & V' \otimes_F E \end{array}$$

commutes.

**Proof.** See [14, Proposition 2.7, p.11].

**Proposition 3.7.4** (**Galois descent for morphisms of schemes**) Let  $E/F$  be a finite Galois extension of fields and  $G := \text{Gal}(E/F)$  be its Galois group. Then it is equivalent.

- i) to give a morphism of  $F$ -schemes  $\psi : X \longrightarrow X'$ .



ii) to give a morphism of  $E$ -schemes  $\psi_E : X \times_{\text{Spec}(F)} \text{Spec}(E) \longrightarrow X' \times_{\text{Spec}(F)} \text{Spec}(E)$  which is compatible with the  $G$ -operations, i.e such that for each  $\sigma \in G$  the diagram

$$\begin{array}{ccc} X \times_{\text{Spec}(F)} \text{Spec}(E) & \xrightarrow{\psi_E} & X' \times_{\text{Spec}(F)} \text{Spec}(E) \\ \sigma \downarrow & & \downarrow \sigma \\ X \times_{\text{Spec}(F)} \text{Spec}(E) & \xrightarrow{\psi_E} & X' \times_{\text{Spec}(F)} \text{Spec}(E) \end{array}$$

commutes.

**Proof.** See [14, Proposition 2.8, p.12].

**Remark 3.7.2** Note that there is a "*Galois descent for morphisms of quasi-coherent sheaves*", we refer the reader to [14, Proposition 2.9, p. 12].

We conclude this section, by giving the following theorem.

**Theorem 3.7.2** (*A.Grothendieck and J. Dieudonné*) Let  $E/F$  be a *finite field extension* and  $X$  be a  $F$ -scheme such that  $X \times_{\text{Spec}(F)} \text{Spec}(E)$  is

- i) *reduced*.
- ii) *irreducible*.
- iii) *compact*.
- iv) *locally of finite type*.
- v) *of finite type*.
- vi) *locally Noetherian*.
- vii) *Noetherian*.
- viii) *proper*.
- ix) *quasi-projective*.
- x) *projective*.
- or
- xi) *regular*.

Then  $X$  admits the same property.

**Proof.** See [14, Lemma 2.12, p.14].

### 3.8 Central simple algebras and non-abelian cohomology

In this section, we will give the relation between *Central simple algebras* and *non-abelian cohomology*.

**Lemma 3.8.1** (*Theorem of Skolem-Noether*) Let  $R$  be a commutative ring with unit. Then  $GL_n(R)$  operates on  $M_n(R)$  by conjugation,

$$(g, m) \longmapsto gmg^{-1}.$$

If  $R = F$  is a field then this defines an isomorphism

$$PGL_n(F) := GL_n(F)/F^* \longrightarrow \text{Aut}_F(M_n(F)).$$

**Proof.** See [14, Lemma 3.4, p.34].

**Definition 3.8.1** Let  $n$  be a natural number.

- i) If  $F$  is a field then we will denote by  $Az_n^F$  the set of all isomorphy classes of **central simple algebras**  $A$  of dimension  $n^2$  over  $F$ .
- ii) Let  $E/F$  be a field extension. Then  $Az_n^{E/F}$  will denote the set of all isomorphy classes of central simple algebras  $A$  which are of dimension  $n^2$  over  $F$  and split over  $E$ . Obviously,  $Az_n^F := \bigcup_{E/F} Az_n^{E/F}$ .

**Theorem 3.8.1** ([24, Section 6, p.165]) Let  $E/F$  be a **finite Galois** extension of fields,  $G := \text{Gal}(E/F)$  its Galois group and  $n$  be a natural number. Then there is a natural bijection of pointed sets.

$$\begin{array}{ccc} a = a_n^{E/F} : & Az_n^{E/F} & \longrightarrow H^1(G, \text{PGL}_n(E)) \\ & A & \longmapsto a_A \end{array}$$

**Proof.** See [14, Theorem 3.6, p.20].

**Proposition 3.8.1** Let  $E/F$  be a **finite Galois** extension of fields and  $n$  be a natural number

- i) Let  $K$  be a field extension of  $E$  such that  $K/F$  is Galois again. Then the following diagram of morphisms of pointed sets commutes,

$$\begin{array}{ccc} Az_n^{E/F} & \xrightarrow{a_n^{E/F}} & H^1(\text{Gal}(E/F), \text{PGL}_n(E)) \\ \downarrow & & \downarrow \text{inf}_{\text{Gal}(E/F)}^{\text{Gal}(K/F)} \\ Az_n^{K/F} & \xrightarrow{a_n^{K/F}} & H^1(\text{Gal}(K/F), \text{PGL}_n(K)) \end{array}$$

- ii) Let  $K$  be an intermediate field of the extension  $E/F$ . Then the following diagram of morphisms of pointed sets commutes,

$$\begin{array}{ccc} Az_n^{E/F} & \xrightarrow{a_n^{E/F}} & H^1(\text{Gal}(E/F), \text{PGL}_n(E)) \\ \downarrow & & \downarrow \text{inf}_{\text{Gal}(E/F)}^{\text{Gal}(E/K)} \\ Az_n^{E/K} & \xrightarrow{a_n^{E/K}} & H^1(\text{Gal}(E/K), \text{PGL}_n(E)) \end{array}$$

**Proof.** See [14, Lemma 3.7, p.21].

**Corollary 3.8.1** Let  $F$  be a field and  $n$  be a natural number. Then there is a unique natural bijection

$$a = a_n^F : Az_n^F \longrightarrow H^1(\text{Gal}(F^{\text{sep}}/F), \text{PGL}_n(F^{\text{sep}})).$$

such that  $a_{n|Az_n^{E/F}}^F = a_n^{E/F}$

**Proposition 3.8.2** Let  $F$  be a field and  $m$  and  $n$  be natural numbers. Then the diagram

$$\begin{array}{ccc} Az_n^F & \xrightarrow{a_n^F} & H^1(\text{Gal}(F^{\text{sep}}/F), \text{PGL}_n(F^{\text{sep}})) \\ A \mapsto M_m(A) \downarrow & & \downarrow (i_{nm}^n)_* \\ Az_{nm}^F & \xrightarrow{a_{nm}^F} & H^1(\text{Gal}(F^{\text{sep}}/F), \text{PGL}_{nm}(F^{\text{sep}})) \end{array}$$

commutes where  $(i_{nm}^n)_*$  is the map induced by the block-diagonal embedding

$$\begin{array}{ccc} i_{nm}^n : & \text{PGL}_n(F^{\text{sep}}) & \longrightarrow \text{PGL}_{nm}(F^{\text{sep}}) \\ \bar{E} & \longmapsto & \begin{pmatrix} E & 0 & \cdots & 0 \\ 0 & E & \cdots & 0 \\ \cdots & \cdots & \ddots & \cdots \\ 0 & 0 & \cdots & E \end{pmatrix} \end{array}$$

**Proof.** See [14, Proposition 3.9, p.22].

**Remark 3.8.1** The proposition above shows

$$\mathrm{Br}(F) \simeq \varinjlim_n H^1(\mathrm{Gal}(F^{\mathrm{sep}}/F), \mathrm{PGL}_n(F^{\mathrm{sep}})).$$

### 3.9 Severi-Brauer varieties

In the final section of this chapter, we arrive at the objects we are most interested in studying; *Severi-Brauer varieties*. We focus here especially in the relation between these *varieties* and *central simple algebras*.

**Definition 3.9.1** Let  $F$  be a field. A scheme  $X$  over  $F$  is called a *Brauer-Severi variety* if there exists a finite, separable field extension  $E/F$  such that  $X_E$  is isomorphic to a projective space  $\mathbb{P}_E^n$ . A field extension  $E$  of  $F$  admitting the property that  $X \times_F E \simeq \mathbb{P}_E^n$  for some  $n \in \mathbb{N}$  is said to be a *splitting field* for  $X$ . In this case one says  $X$  splits over  $E$ .

**Notation.**  $X_E := X \times_F E := X \times_{\mathrm{Spec}(F)} \mathrm{Spec}(E)$ .

**Remark 3.9.1** *Severi-Brauer varieties* are twisted forms of projective space.

We now come to the fundamental result about *Severi-Brauer varieties*.

**Proposition 3.9.1** Let  $X$  be a *Brauer-Severi variety* over a field  $F$ . Then

- i)  $X$  is a variety, i.e. a *reduced* and *irreducible* scheme.
- ii)  $X$  is *projective* and *regular*.
- iii)  $X$  is *geometrically integral*.
- vi) One has  $\Gamma(X, \mathcal{O}_X) = F$ .
- v)  $F$  is *algebraically closed* in the function field  $F(X)$ .

**Remark 3.9.2** For iii) Recall that for  $X$  be a scheme over the field  $F$ . We say  $X$  is *geometrically integral* over  $F$  if the scheme  $X_E$  is integral for every field extension  $E$  of  $F$ .

**Proof.** See [14, Proposition 4.2, p. 23].

**Theorem 3.9.1 (Châtelet)** Let  $X$  be a *Severi-Brauer variety* of dimension  $n - 1$  over the field  $F$ . The following are equivalent :

- i)  $X$  is isomorphic to projective space  $\mathbb{P}_F^{n-1}$  over  $F$ .
- ii)  $X$  is *birationally isomorphic* to projective space  $\mathbb{P}_F^{n-1}$  over  $F$ .
- iii)  $X$  has a  *$F$ -rational point*.
- iv)  $X$  contains a *twisted-linear* subvariety<sup>¶</sup>  $Y$  of codimension 1.

**Proof.** See [10, Theorem 5.1.3, p.115].

Passing to the next paragraph, we will give the relation between *Severi-Brauer varieties* and *non-abelian  $H^1$* .

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<sup>¶</sup>We say that a closed subvariety  $Y \rightarrow X$  defined over  $F$  is a *twisted-linear* subvariety of  $X$  if  $Y$  is a *Severi-Brauer variety* and moreover over  $\bar{F}$  the inclusion  $Y_{\bar{F}} \subseteq X_{\bar{F}}$  becomes isomorphic to the inclusion of a linear subvariety  $\mathbb{P}_{\bar{F}}^{n-1}$ .

## Severi-Brauer varieties and non-abelian $H^1$

**Proposition 3.9.2** Let  $R$  be a commutative ring with unit.

- i) Then  $GL_n(R)$  operates on  $\mathbb{P}_R^{n-1}$  by morphisms of  $R$ -schemes as follows :  $A \in GL_n(R)$  gives rise to the morphism given by the graded automorphism

$$\begin{aligned} \Phi : R[T_0, \dots, T_{n-1}] &\longrightarrow R[T_0, \dots, T_{n-1}] \\ f(T_0, \dots, T_{n-1}) &\longmapsto f((T_0, \dots, T_{n-1}) \cdot A^t) \end{aligned}$$

of the coordinate ring.

- ii) If  $R = E$  is a field then this induces an isomorphism

$$PGL_n(E) \xrightarrow{\simeq} \text{Aut}_{E\text{-schemes}}(\mathbb{P}_E^{n-1})$$

**Proof.** See [14, Lemma 4.3, p.24].

**Definition 3.9.2** Let  $m$  be natural number.

- i) If  $F$  is a field then we will denote by  $BS_m^F$  the set of all isomorphy classes of Brauer-Severi varieties  $X$  of dimension  $m$  over  $F$ .
- ii) Let  $E/F$  be a field extension. Then  $BS_m^{E/F}$  will denote the set of all isomorphy classes of **Severi-Brauer** varieties  $X$  over  $F$  which are of dimension  $m$  and split over  $E$ . Obviously,  $BS_m^F := \bigcup_{E/F} BS_m^{E/F}$ .

**Theorem 3.9.2** Let  $E/F$  be a **finite Galois** extension,  $G := \text{Gal}(E/F)$  its Galois group and  $m$  be a natural number. Then there exists a natural bijection of pointed sets

$$\begin{aligned} \beta = \beta_{m-1}^{E/F} : BS_{m-1}^{E/F} &\longrightarrow H^1(G, PGL_m(E)) \\ X &\longmapsto \beta_X \end{aligned}$$

**Proof.** See [14, Theorem 4.5, p.25].

**Lemma 3.9.1** Let  $E/F$  be a **finite Galois** extension of fields and  $m$  be a natural number.

- i) Let  $E'$  be a field extension of  $E$  such that  $E'/F$  is Galois again. Then the following diagram of morphisms of pointed sets commutes

$$\begin{array}{ccc} BS_{m-1}^{E/F} & \xrightarrow{\beta_{m-1}^{E/F}} & H^1(\text{Gal}(E/F), PGL_m(E)) \\ \downarrow & & \downarrow \text{inf}_{\text{Gal}(E/F)}^{\text{Gal}(E'/F)} \\ BS_{m-1}^{E'/F} & \xrightarrow{\beta_{m-1}^{E'/F}} & H^1(\text{Gal}(E'/F), PGL_m(E')) \end{array}$$

- ii) Let  $K$  be an intermediate field of the extension  $E/F$ . Then the following diagram of morphisms of pointed sets commutes

$$\begin{array}{ccc} BS_{m-1}^{E/F} & \xrightarrow{\beta_{m-1}^{E/F}} & H^1(\text{Gal}(E/F), PGL_m(E)) \\ \downarrow \times_{\text{Spec}(F)} \text{Spec}(K) & & \downarrow \text{inf}_{\text{Gal}(E/F)}^{\text{Gal}(E'/F)} \\ BS_{m-1}^{E/K} & \xrightarrow{\beta_{m-1}^{E/K}} & H^1(\text{Gal}(E/K), PGL_m(E)) \end{array}$$

**Proof.** See [14, Lemma 4.6, p.26].

**Corollary 3.9.1** Let  $F$  be a field and  $m$  be a natural number. Then there is a natural bijection

$$\begin{array}{ccc} \beta = \beta_{m-1}^{E/F} : BS_{m-1}^{E/F} & \longrightarrow & H^1(\text{Gal}(F^{\text{sep}}/F), \text{PGL}_m(F^{\text{sep}})) \\ X & \longmapsto & \beta_X \end{array}$$

**Proposition 3.9.3** Let  $m$  be a natural number. If  $X$  is a **Severi-Brauer** variety of dimension  $m$  over a field  $F$  and  $X(F) \neq \emptyset$  then, necessarily,  $X \simeq \mathbb{P}_F^m$ .

**Proof.** See [24, "exercises 1", (Châtelet), p. 168].

**Proposition 3.9.4** Let  $E/F$  be a **finite Galois** extension of fields,  $G := \text{Gal}(E/F)$  its Galois group and  $m \in \mathbb{N}$ . Then  $H^1(G, \text{GL}_m(E)) = 0$ .

**Proof.** See [14, Lemma 4.10, p.27].

**Definition 3.9.3** Let  $F$  be a field,  $m$  a natural number and  $X$  be a **Brauer-Severi** variety of dimension  $m$ . Then a **linear subspace** of  $X$  is a **closed subvariety**  $Y \subseteq X$  such that  $Y \times_{\text{Spec}(F)} \text{Spec}(F^{\text{sep}}) \subseteq X \times_{\text{Spec}(F)} \text{Spec}(F^{\text{sep}}) \simeq \mathbb{P}_{F^{\text{sep}}}^m$  is a linear subspace of the projective space. This property is independent of the isomorphism chosen.

**Theorem 3.9.3** (F. Châtelet, M. Artin) Let  $F$  be a field,  $m$  and  $d$  be natural numbers,  $X$  be a **Severi-Brauer** variety of dimension  $m$  and  $Y$  a linear subspace of dimension  $d$ . Then the natural boundary maps send the cohomology classes  $\beta_m^F(X) \in H^1(\text{Gal}(F^{\text{sep}}/F), \text{PGL}_{m+1}(F^{\text{sep}}))$  and  $\beta_d^F(Y) \in H^1(\text{Gal}(F^{\text{sep}}/F), \text{PGL}_{d+1}(F^{\text{sep}}))$  to one the same class in the cohomological Brauer group  $H^2(\text{Gal}(F^{\text{sep}}/F), (F^{\text{sep}})^*)$

**Proof.** See [14, Proposition 4.13, p.28].

The next paragraph gives the connection between **Central simple algebras** and **Severi-Brauer varieties**.

### Central simple algebras and Severi-Brauer varieties

**Theorem 3.9.4** Let  $A$  a **central simple** algebra over  $F$  of dimension  $n^2$

i) Then there exists a **Severi-Brauer** variety  $X_A$  of dimension  $n - 1$  over  $F$  satisfying

(+) If  $E/F$  is a **finite Galois** extension being a splitting field for  $A$  then is a splitting field for  $X_A$ , too, and there is one and the same cohomology class

$$a_A = \beta_{X_A} \in H^1(\text{Gal}(E/F), \text{PGL}_n(E)).$$

associated with  $A$  and  $X_A$ .

(+) determines  $X_A$  uniquely up to isomorphism of  $F$ -schemes.

ii) The assignment  $A \longrightarrow X_A$  admits the following properties.

a) It is compatible with extensions  $E/F$  of the base field, i.e

$$X_{A \otimes_F E} \simeq X_A \times_{\text{Spec}(F)} \text{Spec}(E).$$

b)  $E/F$  is a splitting field for  $A$  if and only if  $E/F$  is a splitting field for  $X_A$ .

**Proposition 3.9.5** i) Let  $F$  be a field and  $n$  a natural number. Then  $X$  induces a bijection

$$X_n^F : Az_n^F \longrightarrow BS_{n-1}^F$$

ii) Let  $E/F$  be a field extension. Then  $X$  induces a bijection

$$X_n^{E/F} : Az_n^{E/F} \longrightarrow BS_{n-1}^{E/F}$$

iii) These mappings are compatible with extensions of the base field, i.e. the diagram

$$\begin{array}{ccc}
 Az_n^F & \xrightarrow{X_n^F} & BS_{n-1}^F \\
 \downarrow \otimes_F E & & \downarrow \times_{\text{Spec}(F)} \text{Spec}(E) \\
 Az_n^E & \xrightarrow{X_n^E} & BS_{n-1}^E
 \end{array}$$

commutes for every field extension  $E/F$ .

**Proof.** See [14, Corollary 5.3, p.30].

**Proposition 3.9.6** Let  $F$  be a field,  $n$  be a natural number and  $A$  a central simple algebra of dimension  $n^2$  over  $F$ . Then there is an isomorphism

$$x_A : \text{Aut}_F(A) \longrightarrow \text{Aut}_{F\text{-schemes}}(X_A).$$

**Proof.** See [14, Proposition 5.5, p.30].

**Theorem 3.9.5** Let  $F$  be a field,  $n$  and  $d$  be natural numbers, and  $A$  be a **central simple algebra** of dimension  $n^2$  over  $F$ . Then the **Severi-Brauer** variety  $X_A$  associated with  $A$  admits a linear subspace of dimension  $d$  if and only if  $d \leq n - 1$  and  $d \equiv -1[\text{ind}(A)]$ .

**Proof.** See [14, Proposition 5.6, p.31].



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