

# THE SIGN OF A PERMUTATION

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## 1. INTRODUCTION

Throughout this discussion,  $n \geq 2$ . Any cycle in  $S_n$  is a product of transpositions: the identity (1) is (12)(12), and a  $k$ -cycle with  $k \geq 2$  can be written as

$$(i_1 i_2 \cdots i_k) = (i_1 i_k)(i_1 i_{k-1}) \cdots (i_1 i_3)(i_1 i_2)$$

or

$$(i_1 i_2 \cdots i_k) = (i_{k-1} i_k) \cdots (i_2 i_k)(i_1 i_k).$$

For example, a 3-cycle  $(abc)$  can be written as

$$(abc) = (bc)(ac) = (ac)(ab).$$

Since any permutation in  $S_n$  is a product of disjoint cycles, any permutation in  $S_n$  is a product of transpositions, although this product will generally not be into disjoint transpositions. Unlike the unique decomposition of a permutation into disjoint cycles, there are many ways a permutation can be written as a product of transpositions.

**Example 1.1.** Let  $\sigma = (15243)$ . Then

$$\sigma = (13)(14)(12)(15)$$

and

$$\sigma = (12)(34)(23)(12)(23)(34)(45)(34)(23)(12).$$

**Example 1.2.** Let  $\sigma = (13)(132)(243)$ . Then

$$\sigma = (24)$$

and

$$\sigma = (13)(12)(13)(34)(23).$$

Write a permutation  $\sigma \in S_n$  as

$$\sigma = \tau_1 \tau_2 \cdots \tau_r,$$

where the  $\tau_i$ 's are transpositions. Although the  $\tau_i$ 's are not determined uniquely, there is a fundamental parity constraint:  $r \bmod 2$  is determined uniquely. For instance, the two expressions for (15243) in Example 1.1 involve 4 and 10 transpositions, which are both even. It is impossible to write (15243) as the product of an odd number of transpositions. In Example 1.2, the permutation (13)(132)(243) is written as a product of 1 and 5 transpositions, which are both odd. It is impossible to write (13)(132)(243) as a product of an even number of transpositions.

Once we see that  $r \bmod 2$  is intrinsic to  $\sigma$ , we will be able to assign a label (even or odd) or a sign (1 or  $-1$ ) to each permutation. This will lead to an important subgroup of  $S_n$ , the alternating group  $A_n$ , whose size is  $n!/2$ .

## 2. DEFINITION OF THE SIGN

**Theorem 2.1.** Write  $\sigma \in S_n$  as a product of transpositions in two ways:

$$\sigma = \tau_1 \tau_2 \cdots \tau_r = \tau'_1 \tau'_2 \cdots \tau'_{r'}.$$

Then  $r \equiv r' \pmod{2}$ .

*Proof.* We can combine the products to get a representation of the identity permutation as a product of  $r + r'$  transpositions:

$$(1) = \sigma \sigma^{-1} = \tau_1 \tau_2 \cdots \tau_r \tau'_{r'} \tau'_{r'-1} \cdots \tau'_1.$$

(Note  $\tau^{-1} = \tau$  for any transposition  $\tau$  and inverting a product reverses the order of multiplication.) Thus, it suffices to show the identity permutation can only be written as a product of an *even* number of transpositions. Then  $r + r'$  is even, so we will have  $r \equiv r' \pmod{2}$ .

Starting anew, in  $S_n$  write the identity as some product of transpositions:

$$(2.1) \quad (1) = (a_1 b_1)(a_2 b_2) \cdots (a_k b_k),$$

where  $k \geq 1$  and (without loss of generality)  $a_i \neq b_i$  for all  $i$ . We will prove  $k$  is even.

The product on the right side of (2.1) can't have  $k = 1$  since it is the identity. It could have  $k = 2$ . Suppose, by induction, that  $k \geq 3$  and we know any product of fewer than  $k$  transpositions which equals the identity involves an even number of transpositions.

One of the transpositions  $(a_i b_i)$  for  $i = 2, 3, \dots, k$  has to move  $a_1$  (otherwise the overall product on the right side of (2.1) is not the identity permutation). That is,  $a_1$  must be one of the  $a_i$ 's for  $i > 1$  (after interchanging the roles of  $a_i$  and  $b_i$  if necessary). Using different letters to denote different numbers, the formulas

$$(cd)(ab) = (ab)(cd), \quad (bc)(ab) = (ac)(bc)$$

show any product of two transpositions in which the second factor moves  $a$  and the first factor does not can be written as a product of two transpositions in which the first factor moves  $a$  and the second factor does not.

Therefore, without changing the number of transpositions in (2.1), we may now *assume*  $a_2 = a_1$ . If  $b_2 = b_1$ , then the product  $(a_1 b_1)(a_2 b_2)$  is the identity and we can remove it. This reduces (2.1) to a product of  $k - 2$  transpositions. By induction,  $k - 2$  is even so  $k$  is even. On the other hand, if  $b_2 \neq b_1$  then  $(a_1 b_1)(a_1 b_2) = (a_1 b_2)(b_1 b_2)$ , so we can write (2.1) as

$$(2.2) \quad (1) = (a_1 b_2)(b_1 b_2)(a_3 b_3) \cdots (a_k b_k),$$

where only the first two factors on the right have been changed from (2.1).

Now run through the argument again with (2.2) in place of (2.1). It involves the same number  $k$  of transpositions, but there are fewer transpositions in the product which move  $a_1$  since we used to have  $(a_1 b_1)$  and  $(a_1 b_2)$  in the product and now we have  $(a_1 b_2)$  and  $(b_1 b_2)$ .<sup>1</sup> Some transposition other than  $(a_1 b_2)$  in the new product (2.2) must move  $a_1$ , so by the same argument as before either we will be able to reduce the number of transpositions by 2 and be done by induction or we will be able to rewrite the product to have the same total number of transpositions but drop by 1 the number of them which move  $a_1$ . This rewriting process eventually has to fall into the case where the first two transpositions cancel out,

<sup>1</sup>Since  $(a_1 b_1)$  and  $(a_1 b_2)$  were assumed all along to be honest transpositions,  $b_1$  and  $b_2$  do not equal  $a_1$ , so  $(b_1 b_2)$  doesn't move  $a_1$ .

since we can't wind up with (1) as a product of transpositions where only the first one moves  $a_1$ . Thus we will be able to see that  $k$  is even.  $\square$

**Remark 2.2.** The bibliography at the end contains references to many different proofs of Theorem 2.1. The proof given above is adapted from [11].

**Definition 2.3.** When a permutation in  $S_n$  can be written as a product of  $r$  transpositions, we call  $(-1)^r$  its *sign*:

$$\sigma = \tau_1 \tau_2 \cdots \tau_r \implies \text{sgn}(\sigma) = (-1)^r.$$

Permutations with sign 1 are called *even* and those with sign  $-1$  are called *odd*. This label is also called the *parity* of the permutation.

Theorem 2.1 tells us that the  $r$  in Definition 2.3 has a well-defined value modulo 2, so the sign of a permutation does make sense.

**Example 2.4.** The permutation in Example 1.1 has sign 1 (it is even) and the permutation in Example 1.2 has sign  $-1$  (it is odd).

**Example 2.5.** Any transposition in  $S_n$  has sign  $-1$  and is odd.

**Example 2.6.** The identity is (12)(12), so it has sign 1 and is even.

**Example 2.7.** The permutation (143)(26) is (13)(14)(26), a product of three transpositions, so it has sign  $-1$ .

**Example 2.8.** The 3-cycle (123) is (13)(12), a product of 2 transpositions, so  $\text{sgn}(123) = 1$ .

**Example 2.9.** What is the sign of a  $k$ -cycle? Since

$$(i_1 i_2 \cdots i_k) = (i_1 i_k)(i_1 i_{k-1}) \cdots (i_1 i_3)(i_1 i_2),$$

which involves  $k - 1$  transpositions,

$$\text{sgn}(i_1 i_2 \cdots i_k) = (-1)^{k-1}.$$

In words, if a cycle has even length then its sign is  $-1$ , and if a cycle has odd length its sign is 1. This is because the exponent in the sign formula is  $k - 1$ , not  $k$ . To remember that the parity of a cycle is ‘opposite’ to the parity of its length (a cycle of odd length is even and a cycle of even length is odd), just remember that 2-cycles (the transpositions) are odd.

The sign is a function  $S_n \rightarrow \{\pm 1\}$ . It takes on both values (when  $n \geq 2$ ): the identity has sign 1 and any transposition has sign  $-1$ . Moreover, the sign is multiplicative in the following sense.

**Theorem 2.10.** For  $\sigma, \sigma' \in S_n$ ,  $\text{sgn}(\sigma\sigma') = \text{sgn}(\sigma)\text{sgn}(\sigma')$ .

*Proof.* If  $\sigma$  is a product of  $k$  transpositions and  $\sigma'$  is a product of  $k'$  transpositions, then  $\sigma\sigma'$  can be written as a product of  $k + k'$  transpositions. Therefore

$$\text{sgn}(\sigma\sigma') = (-1)^{k+k'} = (-1)^k (-1)^{k'} = \text{sgn}(\sigma)\text{sgn}(\sigma').$$

$\square$

**Corollary 2.11.** *Inverting and conjugating a permutation do not change its sign.*

*Proof.* Since  $\text{sgn}(\sigma\sigma^{-1}) = \text{sgn}(1) = 1$ ,

$$\text{sgn}(\sigma)\text{sgn}(\sigma^{-1}) = 1.$$

Therefore  $\text{sgn}(\sigma^{-1}) = \text{sgn}(\sigma)^{-1} = \text{sgn}(\sigma)$ . Similarly, if  $\sigma' = \pi\sigma\pi^{-1}$ , then

$$\text{sgn}(\sigma') = \text{sgn}(\pi)\text{sgn}(\sigma)\text{sgn}(\pi^{-1}) = \text{sgn}(\sigma).$$

□

Theorem 2.10 lets us compute signs without having to decompose permutations into products of transpositions or into a product of disjoint cycles. *Any* decomposition of the permutation into a product of cycles will suffice: disjointness of the cycles is not necessary! Just remember the parity of a cycle is determined by its length and has opposite parity to the length (*e.g.*, transpositions have sign  $-1$ ). For instance, in Example 1.1,  $\sigma$  is a 5-cycle, so  $\text{sgn}(\sigma) = 1$ . In Example 1.2,

$$\text{sgn}((13)(132)(243)) = \text{sgn}(13)\text{sgn}(132)\text{sgn}(243) = \text{sgn}(13) = -1.$$

### 3. A SECOND DESCRIPTION OF THE SIGN

One place signs of permutations show up elsewhere in mathematics is in a formula for the determinant. Given an  $n \times n$  matrix  $(a_{ij})$ , its determinant is a long sum of products taken  $n$  terms at a time, and assorted plus and minus sign coefficients. These plus and minus signs are exactly signs of permutations:

$$\det(a_{ij}) = \sum_{\sigma \in S_n} \text{sgn}(\sigma) a_{1,\sigma(1)} a_{2,\sigma(2)} \cdots a_{n,\sigma(n)}.$$

For example, taking  $n = 2$ ,

$$\det \begin{pmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{pmatrix} = \text{sgn}(1)a_{11}a_{22} + \text{sgn}(12)a_{12}a_{21} = a_{11}a_{22} - a_{12}a_{21}.$$

In fact, determinants provide an alternate way of thinking about the sign of a permutation. For  $\sigma \in S_n$ , let  $T_\sigma: \mathbf{R}^n \rightarrow \mathbf{R}^n$  by the rule

$$T_\sigma(c_1\mathbf{e}_1 + \cdots + c_n\mathbf{e}_n) = c_1\mathbf{e}_{\sigma(1)} + \cdots + c_n\mathbf{e}_{\sigma(n)}.$$

In other words, send  $\mathbf{e}_i$  to  $\mathbf{e}_{\sigma(i)}$  and extend by linearity to all of  $\mathbf{R}^n$ . This transformation permutes the standard basis of  $\mathbf{R}^n$  according to the way  $\sigma$  permutes  $\{1, 2, \dots, n\}$ . Writing  $T_\sigma$  as a matrix provides a realization of  $\sigma$  as a matrix where each row and each column has a single 1. These are called permutation matrices.

**Example 3.1.** Let  $\sigma = (123)$  in  $S_3$ . Then  $T_\sigma(\mathbf{e}_1) = \mathbf{e}_2$ ,  $T_\sigma(\mathbf{e}_2) = \mathbf{e}_3$ , and  $T_\sigma(\mathbf{e}_3) = \mathbf{e}_1$ . As a matrix,

$$[T_\sigma] = \begin{pmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix}.$$

**Example 3.2.** Let  $\sigma = (13)(24)$  in  $S_4$ . Then

$$[T_\sigma] = \begin{pmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \end{pmatrix}.$$

The correspondence  $\sigma \mapsto T_\sigma$  is multiplicative:  $T_{\sigma_1}(T_{\sigma_2}\mathbf{e}_i) = T_{\sigma_1}(\mathbf{e}_{\sigma_2(i)}) = \mathbf{e}_{\sigma_1(\sigma_2(i))}$ , which is  $T_{\sigma_1\sigma_2}(\mathbf{e}_i)$ , so by linearity  $T_{\sigma_1}T_{\sigma_2} = T_{\sigma_1\sigma_2}$ . Taking determinants,  $\det(T_{\sigma_1})\det(T_{\sigma_2}) = \det(T_{\sigma_1\sigma_2})$ . What is  $\det(T_\sigma)$ ? Since  $T_\sigma$  has a single 1 in each row and column, the sum for  $\det(T_\sigma)$  contains a single non-zero term corresponding to the permutation of  $\{1, 2, \dots, n\}$  associated to  $\sigma$ . This term is  $\text{sgn}(\sigma)$ , so  $\det(T_\sigma) = \text{sgn}(\sigma)$ . In words, *the sign of a permutation is the determinant of the associated permutation matrix*. Since the permutation matrices are multiplicative, as is the determinant, we have a new way of understanding why the sign is multiplicative.

#### 4. A THIRD DESCRIPTION OF $\text{sgn}$

While the sign on  $S_n$  was defined in terms of concrete computations, its algebraic property in Theorem 2.10 turns out to characterize it.

**Theorem 4.1.** *For  $n \geq 2$ , let  $h: S_n \rightarrow \{\pm 1\}$  satisfy  $h(\sigma\sigma') = h(\sigma)h(\sigma')$  for all  $\sigma, \sigma' \in S_n$ . Then  $h(\sigma) = 1$  for all  $\sigma$  or  $h(\sigma) = \text{sgn}(\sigma)$  for all  $\sigma$ . Thus, if  $h$  is multiplicative and not identically 1, then  $h = \text{sgn}$ .*

*Proof.* The main idea is to show  $h$  is determined by its value at a single transposition, say  $h(12)$ . We may suppose  $n > 2$ , as the result is trivial if  $n = 2$ .

Step 1: For any transposition  $\tau$ ,  $h(\tau) = h(12)$ .

Any transposition other than  $(12)$  moves at most one of 1 and 2. First we treat transpositions moving either 1 or 2 (but not both). Then we treat transpositions moving neither 1 nor 2.

Any transposition which moves 1 but not 2 has the form  $(1b)$ , where  $b > 2$ . Check that

$$(1b) = (2b)(12)(2b),$$

so applying  $h$  to both sides of this equation gives us

$$h(1b) = h(2b)h(12)h(2b) = (h(2b))^2h(12) = h(12).$$

Notice that, although  $(12)$  and  $(2b)$  do not commute in  $S_n$ , their  $h$ -values do commute since  $h$  takes value in  $\{\pm 1\}$ , which is commutative. The case of a transposition moving 2 but not 1 is analogous.

Now suppose our transposition moves neither 1 nor 2, so it is  $(ab)$ , where  $a$  and  $b$  both exceed 2. Check that

$$(ab) = (1a)(2b)(12)(2b)(1a).$$

Applying  $h$  to both sides,

$$h(ab) = h(1a)h(2b)h(12)h(2b)h(1a) = h(1a)^2h(2b)^2h(12) = h(12).$$

Step 2: Computation of  $h(\sigma)$  for any  $\sigma$ .

Suppose  $\sigma$  is a product of  $k$  transpositions. By Step 1, all transpositions have the same  $h$ -value, say  $u \in \{\pm 1\}$ , so  $h(\sigma) = u^k$ . If  $u = 1$ , then  $h(\sigma) = 1$  for all  $\sigma$ . If  $u = -1$ , then  $h(\sigma) = (-1)^k = \text{sgn}(\sigma)$  for all  $\sigma$ .  $\square$

#### 5. THE ALTERNATING GROUP

The  $n$ -th *alternating group*  $A_n$  is the group of even permutations in  $S_n$ . That is, a permutation is in  $A_n$  when it is a product of an even number of transpositions. Such

products are clearly closed under multiplication and inversion, so  $A_n$  is a subgroup of  $S_n$ . Alternatively,

$$A_n = \{\sigma \in S_n : \text{sgn}(\sigma) = 1\}.$$

Therefore by Theorem 2.10 it is easy to see that  $A_n$  is a group.

**Example 5.1.** Take  $n = 2$ . Then  $S_2 = \{(1), (12)\}$  and  $A_2 = \{(1)\}$ .

**Example 5.2.** Take  $n = 3$ . Then  $A_3 = \{(1), (123), (132)\}$ , which is cyclic (either non-identity element is a generator).

**Example 5.3.** The group  $A_4$  consists of 12 permutations of 1, 2, 3, 4:

$$(1), (123), (132), (124), (142), (134), (143), (234), (243), (12)(34), (13)(24), (14)(23).$$

**Example 5.4.** Any 3-cycle is even, so  $A_n$  contains all 3-cycles when  $n \geq 3$ . In particular,  $A_n$  is non-abelian for  $n \geq 4$  since  $(123)$  and  $(124)$  do not commute.

Although we have not defined the sign on  $S_1$ , the group  $S_1$  is trivial so let's just declare the sign to be 1 on  $S_1$ . Then  $A_1 = S_1$ .

**Remark 5.5.** The reason for the label ‘alternating’ in the name of  $A_n$  is connected with the behavior of the multi-variable polynomial

$$(5.1) \quad \prod_{1 \leq i < j \leq n} (X_j - X_i)$$

under a permutation of its variables. When the variables are permuted, the polynomial will change at most by an overall sign. This sign is in fact the sign of the permutation of the variables:

$$\prod_{i < j} (X_{\sigma(j)} - X_{\sigma(i)}) = \text{sgn}(\sigma) \prod_{i < j} (X_j - X_i).$$

When we transpose any two variables, the polynomial (5.1) changes by a minus sign. A polynomial whose value changes by an overall sign when any two variables are permuted is called an *alternating* polynomial. The product (5.1) is the most basic example of an alternating polynomial in  $n$  variables. A permutation of the variables does not change (5.1) precisely when the sign of the permutation is 1. This is why the group of permutations of the variables which preserve (5.1) is called the alternating group.

How large is  $A_n$ ?

**Theorem 5.6.** For  $n \geq 2$ ,  $\#A_n = n!/2$ .

*Proof.* Pick a transposition, say  $\tau = (12)$ . Then  $\tau \notin A_n$ . If  $\sigma \notin A_n$ , then  $\text{sgn}(\sigma\tau) = (-1)(-1) = 1$ , so  $\sigma\tau \in A_n$ . Therefore  $\sigma \in A_n\tau$ , where we write  $A_n\tau$  to mean the set of permutations of the form  $\pi\tau$  for  $\pi \in A_n$ . Thus, we have a decomposition of  $S_n$  into two parts:

$$(5.2) \quad S_n = A_n \cup A_n\tau.$$

This union is disjoint, since every element of  $A_n$  has sign 1 and every element of  $A_n\tau$  has sign  $-1$ . Moreover,  $A_n\tau$  has the same size as  $A_n$  (multiplication on the right by  $\tau$  swaps the two subsets), so (5.2) tells us  $n! = 2\#A_n$ .  $\square$

Here are the sizes of the smallest symmetric and alternating groups.

$n$	1	2	3	4	5	6	7
$\#S_n$	1	2	6	24	120	720	5040
$\#A_n$	1	1	3	12	60	360	2520

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