### ROOTS AND IRREDUCIBLES

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#### 1. Introduction

This handout discusses relationships between roots of irreducible polynomials and field extensions. Throughout, the letters K, L, and F are fields and  $\mathbf{F}_p = \mathbf{Z}/(p)$  is the field of p elements. When  $f(X) \in K[X]$ , we will say f(X) is a polynomial "over" K. Sections 2 and 3 describe some general features of roots of polynomials. In the later sections we look at roots to polynomials over the finite field  $\mathbf{F}_p$ .

### 2. Roots in larger fields

For most fields K, there are polynomials in K[X] without a root in K. Consider  $X^2 + 1$  in  $\mathbf{R}[X]$  or  $X^3 - 2$  in  $\mathbf{F}_7[X]$ . If we are willing to enlarge the field, then we can discover some roots. This is due to Kronecker, by the following argument.

**Theorem 2.1.** Let K be a field and f(X) be nonconstant in K[X]. There is a field extension of K containing a roof of f(X).

*Proof.* It suffices to prove the theorem when  $f(X) = \pi(X)$  is irreducible (why?).

Set  $F = K[t]/(\pi(t))$ , where t is an indeterminate. Since  $\pi(t)$  is irreducible in K[t], F is a field. Inside of F we have K as a subfield: the congruence classes represented by constants. There is also a root of  $\pi(X)$  in F, namely the class of t. Indeed, writing  $\bar{t}$  for the congruence class of t in F, the congruence  $\pi(t) \equiv 0 \mod \pi(t)$  becomes the equation  $\pi(\bar{t}) = 0$  in F.  $\square$ 

**Example 2.2.** Consider  $X^2 + 1 \in \mathbf{R}[X]$ , which has no root in  $\mathbf{R}$ . The ring  $\mathbf{R}[t]/(t^2 + 1)$  is a field containing  $\mathbf{R}$ . In this field  $\bar{t}^2 = -1$ , so the polynomial  $X^2 + 1$  has the root  $\bar{t}$  in the field  $\mathbf{R}[t]/(t^2 + 1)$ . The reader should recognize  $\mathbf{R}[t]/(t^2 + 1)$  as an algebraic version of the complex numbers: congruence classes are represented by a + bt with  $\bar{t}^2 = -1$ .

When an irreducible polynomial over a field K picks up one root in a larger field, there need not be more roots in that field. This is an important point to keep in mind. A simple example is  $X^3 - 2$  in  $\mathbf{Q}[X]$ , which has only one root in  $\mathbf{R}$ , namely  $\sqrt[3]{2}$ . There are two more roots in  $\mathbf{C}$ , but they do not live in  $\mathbf{R}$ . (Incidentally, the field extension of  $\mathbf{Q}$  constructed by Theorem 2.1 which contains a root of  $X^3 - 2$ , namely  $\mathbf{Q}[t]/(t^3 - 2)$ , is much smaller than the real numbers, e.g., it is countable.)

By repeating the construction in the proof of Theorem 2.1 several times, we can always create a field with a full set of roots for our polynomial. We state this as a corollary, and give a proof by induction on the degree.

**Corollary 2.3.** Let K be a field and  $f(X) = c_m X^m + \cdots + c_0$  be in K[X] with degree  $m \ge 1$ . There is a field  $L \supset K$  such that in L[X],

$$(2.1) f(X) = c_m(X - \alpha_1) \cdots (X - \alpha_m).$$

*Proof.* We induct on the degree m. The case m = 1 is clear, using L = K. By Theorem 2.1, there is a field  $F \supset K$  such that f(X) has a root in F, say  $\alpha_1$ . Then in F[X],

$$f(X) = (X - \alpha_1)g(X),$$

where  $\deg g(X) = m - 1$ . The leading coefficient of g(X) is also  $c_m$ .

Since g(x) has smaller degree than f(X), by induction on the degree there is a field  $L \supset F$  (so  $L \supset K$ ) such that g(X) decomposes into linear factors in L[X], so we get the desired factorization of f(X) in L[X].

**Corollary 2.4.** Let f(X) and g(X) be nonconstant in K[X]. They are relatively prime in K[X] if and only if they do not have a common root in any extension field of K.

*Proof.* Assume f(X) and g(X) are relatively prime in K[X]. Then we can write

$$f(X)u(X) + g(X)v(X) = 1$$

for some u(X) and v(X) in K[X]. If there were an  $\alpha$  in an field extension of K which is a common root of f(X) and g(X), then substituting  $\alpha$  for X in the above polynomial identity makes the left side 0 while the right side is 1. This is a contradiction, so f(X) and g(X) have no common root in any field extension of K.

Now assume f(X) and g(X) are not relatively prime in K[X]. Say  $h(X) \in K[X]$  is a (nonconstant) common factor. There is a field extension of K in which h(X) has a root, and this root will be a common root of f(X) and g(X).

Although adjoining one root of an irreducible in  $\mathbf{Q}[X]$  to the rational numbers does not always produce the other roots in the same field (such as with  $X^3 - 2$ ), the situation in  $\mathbf{F}_p[X]$  is much simpler. We will see later (Theorem 5.4) that for an irreducible in  $\mathbf{F}_p[X]$ , a larger field which contains one root must contain *all* the roots. Here are two examples.

**Example 2.5.** The polynomial  $X^3 - 2$  is irreducible in  $\mathbf{F}_7[X]$ . It has a root in  $F = \mathbf{F}_7[t]/(t^3 - 2)$ , namely  $\bar{t}$ . It also has two other roots in F,  $2\bar{t}$  and  $4\bar{t}$ .

**Example 2.6.** The polynomial  $X^3 + X^2 + 1$  is irreducible in  $\mathbf{F}_5[X]$ . In the field  $F = \mathbf{F}_5[t]/(t^3+t^2+1)$ , the polynomial has the root  $\bar{t}$  and also the roots  $2\bar{t}^2+3\bar{t}$  and  $3\bar{t}^2+\bar{t}+4$ .

# 3. Divisibility and roots in K[X]

There is an important connection between roots of a polynomial and divisibility by *linear* polynomials. For  $f(X) \in K[X]$  and  $\alpha \in K$ ,  $f(\alpha) = 0 \iff (X - \alpha)|f(X)$ . The next result is an analogue for divisibility by higher degree polynomials in K[X], provided they are irreducible. (All linear polynomials are irreducible.)

**Theorem 3.1.** Let  $\pi(X)$  be irreducible in K[X] and let  $\alpha$  be of a root of  $\pi(X)$  in some larger field. For h(X) in K[X],  $h(\alpha) = 0 \iff \pi(X)|h(X)$  in K[X].

*Proof.* If  $h(X) = \pi(X)g(X)$ , then  $h(\alpha) = \pi(\alpha)g(\alpha) = 0$ .

Now assume  $h(\alpha) = 0$ . Then h(X) and  $\pi(X)$  have a common root, so by Corollary 2.4 they have a common factor in K[X]. Since  $\pi(X)$  is irreducible, this means  $\pi(X)|h(X)$  in K[X]. To see this argument more directly, suppose  $h(\alpha) = 0$  and  $\pi(X)$  does not divide h(X). Then (because  $\pi$  is irreducible) the polynomials  $\pi(X)$  and h(X) are relatively prime in K[X] so we can write

$$\pi(X)u(X) + h(X)v(X) = 1$$

for some  $u(X), v(X) \in K[X]$ . Substitute  $\alpha$  for X and the left side vanishes. The right side is 1 so we have a contradiction.

**Example 3.2.** Take  $K = \mathbf{Q}$  and  $\pi(X) = X^2 - 2$ . It has a root  $\sqrt{2} \in \mathbf{R}$ . For any  $h(X) \in \mathbf{Q}[X], \ h(\sqrt{2}) = 0 \iff (X^2 - 2)|h(X)$ . This equivalence breaks down if we allow h(X) to come from  $\mathbf{R}[X]$ : try  $h(X) = X - \sqrt{2}$ .

The following theorem, which we will not explicitly use further in this handout, shows that divisibility relations in K[X] can be checked by working over any larger field.

**Theorem 3.3.** Let K be a field and L be a larger field. For f(X) and g(X) in K[X], f(X)|g(X) in K[X] if and only if f(X)|g(X) in L[X].

*Proof.* It is clear that divisibility in K[X] implies divisibility in the larger L[X]. Conversely, suppose f(X)|g(X) in L[X]. Then

$$q(X) = f(X)h(X)$$

for some  $h(X) \in L[X]$ . By the division algorithm in K[X],

$$g(X) = f(X)q(X) + r(X),$$

where q(X) and r(X) are in K[X] and r(X) = 0 or  $\deg r < \deg f$ . Comparing these two formulas for g(X), the uniqueness of the division algorithm in L[X] implies q(X) = h(X) and r(X) = 0. Therefore g(X) = f(X)q(X), so f(X)|g(X) in L[X].

Notice how the uniqueness in the division algorithm for polynomials (over any field) played a role in the proof.

### 4. Raising to the p-th power in characteristic p

The rest of this handout is concerned with applications of the preceding ideas to polynomials in  $\mathbf{F}_p[X]$ . What we see will be absorbed later into the general ideas of Galois theory, but already at this point some interesting results can be made rather explicit (e.g., Corollary 4.5 and Theorem 5.4) without a lot of general machinery.

The most important operation in characteristic p is the p-th power map  $x \mapsto x^p$  because is not just multiplicative, but also additive:

**Lemma 4.1.** Let A be a commutative ring with prime characteristic p. Pick any a and b in A.

- a)  $(a+b)^p = a^p + b^p$ .
- b) When A is a domain,  $a^p = b^p \Longrightarrow a = b$ .

*Proof.* a) By the binomial theorem,

$$(a+b)^p = a^p + \sum_{k=1}^{p-1} \binom{p}{k} a^{p-k} b^k + b^p.$$

For  $1 \le k \le p-1$ , the integer  $\binom{p}{k}$  is a multiple of p (why?), so the intermediate terms are 0 in A.

b) Now assume A is a domain and  $a^p = b^p$ . Then  $0 = a^p - b^p = (a - b)^p$ . (Note  $(-1)^p = -1$  for  $p \neq 2$ , and also for p = 2 since  $2 = 0 \Longrightarrow -1 = 1$  in A.) Since A is a domain, a - b = 0, so a = b.

**Lemma 4.2.** Let  $F \supset \mathbf{F}_p$ . For  $c \in F$ ,  $c \in \mathbf{F}_p \iff c^p = c$ .

*Proof.* Every element c of  $\mathbf{F}_p$  satisfies the equation  $c^p = c$ . Conversely, solutions to this equation are the roots of  $X^p - X$ , which has at most p roots in F. The elements of  $\mathbf{F}_p$  already fulfill this upper bound, so there are no further roots in characteristic p.

**Theorem 4.3.** For any  $f(X) \in \mathbf{F}_p[X]$ ,  $f(X)^{p^r} = f(X^{p^r})$  for  $r \geq 0$ . If F is a field of characteristic p other than  $\mathbf{F}_p$ , this is not always true in F[X].

*Proof.* Writing

$$f(X) = c_m X^m + c_{m-1} X^{m-1} + \dots + c_1 X + c_0,$$

Lemma 4.1a with  $A = \mathbf{F}_{p}[X]$  gives

$$f(X)^{p} = (c_{m}X^{m} + c_{m-1}X^{m-1} + \dots + c_{1}X + c_{0})^{p}$$

$$= c_{m}^{p}X^{mp} + c_{m-1}^{p}X^{p(m-1)} + \dots + c_{1}^{p}X^{p} + c_{0}^{p}$$

$$= c_{m}(X^{p})^{m} + c_{m-1}(X^{p})^{m-1} + \dots + c_{1}X^{p} + c_{0},$$

since  $c^p = c$  for any  $c \in \mathbf{F}_p$ . The last expression is  $f(X^p)$ . Applying this result r times, we find  $f(X)^{p^r} = f(X^{p^r})$ .

If F has characteristic p and is not  $\mathbf{F}_p$ , then F contains an element c which is not in  $\mathbf{F}_p$ . Then  $c^p \neq c$  by Lemma 4.2, so the constant polynomial f(X) = c (or any monomial  $cX^d$ ) does not satisfy  $f(X)^p = f(X^p)$ .

**Remark 4.4.** Theorem 4.3 is false if the coefficients of f(X) are in a field of characteristic p larger than  $\mathbf{F}_p$  itself. The proof required  $c^p = c$  for all coefficients c, and this equation is true only for the elements of  $\mathbf{F}_p$  (Lemma 4.2).

Let  $f(X) \in \mathbf{F}_p[X]$  be nonconstant, with degree m. Let  $L \supset \mathbf{F}_p$  be a field over which f(X) decomposes into linear factors, *i.e.*, (2.1) holds. It is possible that some of the roots of f(X) are multiple roots. As long as that does not happen, the following corollary says something about the p-th powers of the roots.

**Corollary 4.5.** When  $f(X) \in \mathbf{F}_p[X]$  has distinct roots, raising all roots of f(X) to the p-th power permutes the roots:

$$\{\alpha_1^p,\ldots,\alpha_m^p\}=\{\alpha_1,\ldots,\alpha_m\}.$$

Proof. Let  $S = \{\alpha_1, \dots, \alpha_m\}$ . Since  $f(X)^p = f(X^p)$  by Theorem 4.3, the p-th power of each root of f(X) is again a root of f(X). Therefore raising to the p-th power defines a function  $\varphi \colon S \to S$ . By Lemma 4.1b,  $\varphi$  takes different values on different elements of S. Since S is a finite set,  $\varphi$  must assume each element of S as a value (in the language of set theory, a one-to-one function from a finite set to itself is onto), so  $\varphi$  is a permutation of S.

**Example 4.6.** Consider  $X^3 + X^2 + 1 \in \mathbf{F}_5[X]$ . In Example 2.6, we found a field  $F \supset \mathbf{F}_5$  in which the polynomial has roots  $\alpha_1 = \overline{t}$ ,  $\alpha_2 = 2\alpha_1^2 + 2\alpha_1$ , and  $\alpha_3 = 3\alpha_1^2 + \alpha_1 + 4$ . Check that  $\alpha_1^5 = \alpha_3$ ,  $\alpha_2^5 = \alpha_1$ , and  $\alpha_3^5 = \alpha_2$ .

# 5. Roots of irreducibles in $\mathbf{F}_p[X]$

All the roots of an irreducible polynomial in  $\mathbf{Q}[X]$  are not generally expressible in terms of a particular root, with  $X^3-2$  being a typical example. (The field  $\mathbf{Q}(\sqrt[3]{2})$  contains only one root to this polynomial, not all 3 roots.) However, the situation is markedly simpler over finite fields. In this section we will make explicit the relations among the roots of an irreducible polynomial in  $\mathbf{F}_p[X]$ . In short, we can obtain all roots from any one root by repeatedly taking p-th powers. The precise statement is in Theorem 5.4.

**Lemma 5.1.** For h(X) in  $\mathbf{F}_p[X]$  with degree m,  $\mathbf{F}_p[X]/(h(X))$  has size  $p^m$ .

*Proof.* By the division algorithm in  $\mathbf{F}_n[X]$ , every congruence class modulo h(X) contains a unique remainder from division by h(X). These remainders are the polynomials

$$c_{m-1}X^{m-1} + \dots + c_1X + c_0,$$

with  $c_j \in \mathbf{F}_p$ . (Note  $c_{m-1} = 0$  if the remainder has small degree.) There are  $p^m$  such representatives.

**Lemma 5.2.** When F is a finite field with size q,  $c^q = c$  for all c in F.

*Proof.* For  $c \neq 0$  in F,  $c^{q-1} = 1$  (since  $F^{\times}$  is a group of size q-1) so multiplying through by c shows  $c^q = c$ . This last equation is obviously satisfied also by c = 0.

**Theorem 5.3.** Let  $\pi(X)$  be irreducible of degree d in  $\mathbf{F}_p[X]$ .

- a) In  $\mathbf{F}_p[X]$ ,  $\pi(X)|(X^{p^d}-X)$ . b) For  $n \geq 0$ ,  $\pi(X)|(X^{p^n}-X) \Longleftrightarrow d|n$ .

*Proof.* The divisibility in (a) in the same as the congruence  $X^{p^d} \equiv X \mod \pi(X)$ , or equivalently the equation  $\overline{X}^{p^d} = \overline{X}$  in  $\mathbf{F}_p[X]/(\pi(X))$ . Such an equation follows immediately from Lemmas 5.1 and 5.2, using the field  $\mathbf{F}_{p}[X]/(\pi(X))$ .

To prove  $(\Leftarrow)$  in (b), write n = kd. Starting with  $X \equiv X^{p^d} \mod \pi(X)$  (from (a)) and applying the  $p^d$ -th power to both sides k times, we obtain

$$X \equiv X^{p^d} \equiv X^{p^{2d}} \equiv \dots \equiv X^{p^{(k-1)d}} \equiv X^{p^{kd}} = X^{p^n} \mod \pi(X).$$

Thus  $\pi(X)|(X^{p^n}-X)$  in  $\mathbf{F}_p[X]$ .

Now we prove  $(\Longrightarrow)$  in (b). We assume

$$(5.1) X^{p^n} \equiv X \bmod \pi(X)$$

and want to show d|n. Write n = dq + r with  $0 \le r < d$ . We will show r = 0.

We have  $X^{p^n} = X^{p^{dq}p^r} = (X^{p^{\tilde{d}q}})^{p^r}$ . Since d|dq,  $X^{p^{dq}} \equiv X \mod \pi(X)$  by  $(\Leftarrow)$ , so  $X^{p^n} \equiv X^{p^r} \mod \pi(X)$ . Thus, by (5.1),

$$(5.2) X^{p^r} \equiv X \bmod \pi(X).$$

This tells us that one particular element of  $\mathbf{F}_p[X]/(\pi(X))$ , the class of X, is equal to its own  $p^r$ -th power. Let's extend this property to all elements of  $\mathbf{F}_p[X]/(\pi(X))$ . For any  $f(X) \in \mathbf{F}_p[X], f(X)^{p^r} = f(X^{p^r})$  by Theorem 4.3. Combining with (5.2),

$$f(X)^{p^r} \equiv f(X) \bmod \pi(X).$$

Therefore in  $\mathbf{F}_p[X]/(\pi(X))$  the congruence class of f(X) is equal to its own  $p^r$ -th power. As f(X) is a general polynomial in  $\mathbf{F}_p[X]$ , we have proved every element of  $\mathbf{F}_p[X]/(\pi(X))$ is its own  $p^r$ th power (in  $\mathbf{F}_p[X]/(\pi(X))$ ).

Consider now the polynomial  $T^{p^r} - T$ . When r > 0, this is a polynomial with degree  $p^r > 1$ , and we have found  $p^d$  different roots of this polynomial in  $\mathbf{F}_p[X]/(\pi(X))$  (namely, every element of this field is a root). Therefore  $p^d \leq p^r$ , so  $d \leq r$ . But, recalling where r came from, r < d. This is a contradiction, so r = 0. That proves d|n. 

**Theorem 5.4.** Let  $\pi(X)$  be irreducible in  $\mathbf{F}_p[X]$  with degree d and  $F \supset \mathbf{F}_p$  be a field in which  $\pi(X)$  has a root, say  $\alpha$ . Then  $\pi(X)$  has roots  $\alpha, \alpha^p, \alpha^{p^2}, \cdots, \alpha^{p^{d-1}}$ . These d roots are distinct; more precisely, when i and j are nonnegative,  $\alpha^{p^i} = \alpha^{p^j} \iff i \equiv j \mod d$ .

*Proof.* Since  $\pi(X)^p = \pi(X^p)$  by Theorem 4.3, we see  $\alpha^p$  is also a root of  $\pi(X)$ , and likewise  $\alpha^{p^2}$ ,  $\alpha^{p^3}$ , and so on by iteration. Once we reach  $\alpha^{p^d}$  we have cycled back to the start:  $\alpha^{p^d} = \alpha$  by Theorem 5.3a. (Write the divisibility in Theorem 5.3a as an equation in  $\mathbf{F}_p[X]$  and then substitute  $\alpha$  for X.)

Now we will show for  $i, j \geq 0$  that  $\alpha^{p^i} = \alpha^{p^j} \iff i \equiv j \mod d$ . Since  $\alpha^{p^d} = \alpha$ , the implication ( $\iff$ ) is straightforward. To argue in the other direction, we may suppose without loss of generality that  $i \leq j$ , say j = i + k with  $k \geq 0$ . Then

$$\alpha^{p^i} = \alpha^{p^{i+k}} = (\alpha^{p^k})^{p^i}.$$

Applying Lemma 4.1b to this equality i times, with A = F, we have  $\alpha = \alpha^{p^k}$ . Therefore  $\alpha$  is a root of  $X^{p^k} - X$ , so  $\pi(X)|(X^{p^k} - X)$  in  $\mathbf{F}_p[X]$  by Theorem 3.1. We conclude d|k by Theorem 5.3b, so  $i \equiv j \mod d$ .

Since  $\pi(X)$  has at most  $d = \deg \pi$  roots in any field, Theorem 5.4 tells us  $\alpha, \alpha^p, \ldots, \alpha^{p^{d-1}}$  are a complete set of roots of  $\pi(X)$  and these roots are distinct.

**Example 5.5.** The polynomial  $X^3 + X + 1$  is irreducible in  $\mathbf{F}_2[X]$ . In the field  $F = \mathbf{F}_2[t]/(t^3 + t + 1)$ , one root of the polynomial is  $\bar{t}$ . The other two roots are  $\bar{t}^2$  and  $\bar{t}^4$ .

If we wish to write the third root without going beyond the second power of  $\bar{t}$ , note  $t^4 \equiv t^2 + t \mod t^3 + t + 1$ . Therefore, the roots of  $X^3 + X + 1$  in F are  $\bar{t}$ ,  $\bar{t}^2$ , and  $\bar{t}^2 + \bar{t}$ .

Now we can remove the mystery behind the discovery of the roots in Example 2.6. There was no guessing or brute-force searching involved. The roots are  $\bar{t}$ ,  $\bar{t}^5$ , and  $\bar{t}^{25}$ . Then remainders modulo  $t^3 + t^2 + 1$  (in  $\mathbf{F}_5[t]$ ) were computed for  $t^5$  and  $t^{25}$ .

# 6. Finding irreducibles in $\mathbf{F}_p[X]$

A nice application of Theorem 5.3 is the next result, which is due to Gauss. It describes all irreducible polynomials of a given degree in  $\mathbf{F}_{p}[X]$  as factors of a certain polynomial.

Theorem 6.1. Let  $n \geq 1$ . In  $\mathbf{F}_p[X]$ ,

(6.1) 
$$X^{p^n} - X = \prod_{\substack{d \mid n \text{ deg } \pi = d \\ \pi \text{monic}}} \pi(X),$$

where  $\pi(X)$  is irreducible.

Let's look at some examples to understand what the theorem is telling us, before giving the proof.

**Example 6.2.** We factor  $X^{2^n} - X$  in  $\mathbf{F}_2[X]$  for n = 1, 2, 3, 4. We have

$$X^{2} - X = X(X+1),$$

$$X^{4} - X = X(X+1)(X^{2} + X + 1),$$

$$X^{8} - X = X(X+1)(X^{3} + X + 1)(X^{3} + X^{2} + 1),$$

$$X^{16} - X = X(X - 1)(X^2 + X + 1)(X^4 + X + 1)(X^4 + X^3 + 1)(X^4 + X^3 + X^2 + X + 1).$$

The following table lists all the irreducibles of each small degree in  $\mathbf{F}_2[X]$ :

n	Irreducibles of degree $n$ in $\mathbf{F}_2[X]$
1	X, X+1
2	$X^2 + X + 1$
3	$X^3 + X + 1, X^3 + X^2 + 1$
4	$X^4 + X + 1, X^4 + X^3 + 1, X^4 + X^3 + X^2 + X + 1$

*Proof.* From Theorem 5.3, the irreducible factors of  $X^{p^n} - X$  in  $\mathbf{F}_p[X]$  are the irreducibles with degree dividing n. What remains is to show that each monic irreducible factor of  $X^{p^n} - X$  appears only once in the factorization. Let  $\pi(X)$  be an irreducible factor of  $X^{p^n} - X$  in  $\mathbf{F}_p[X]$ . We want to show  $\pi(X)^2$  does not divide  $X^{p^n} - X$ .

 $X^{p^n}-X$  in  $\mathbf{F}_p[X]$ . We want to show  $\pi(X)^2$  does not divide  $X^{p^n}-X$ . There is a field F in which  $\pi(X)$  has a root, say  $\alpha$ . We will work in F[X]. Since  $\pi(X)|(X^{p^n}-X), X^{p^n}-X=\pi(X)k(X),$  so  $\alpha^{p^n}=\alpha$ . Then in F[X],

$$X^{p^n} - X = X^{p^n} - X - 0$$

$$= X^{p^n} - X - (\alpha^{p^n} - \alpha)$$

$$= (X - \alpha)^{p^n} - (X - \alpha) \text{ by Lemma 4.1a}$$

$$= (X - \alpha)((X - \alpha)^{p^n - 1} - 1).$$

The second factor in this last expression does not vanish at  $\alpha$ , so  $(X - \alpha)^2$  does not divide  $X^{p^n} - X$ . Therefore  $\pi(X)^2$  does not divide  $X^{p^n} - X$  in  $\mathbf{F}_p[X]$ .

Let  $N_p(n)$  be the number of monic irreducibles of degree n in  $\mathbf{F}_p[X]$ . For instance,  $N_p(1) = p$ . On the right side of (6.1), for each d dividing n there are  $N_p(d)$  different monic irreducible factors of degree d. Taking degrees of both sides of (6.1),

(6.2) 
$$p^n = \sum_{d|n} dN_p(d)$$

for all  $n \geq 1$ . Looking at this formula over all n lets us invert it to get a formula for  $N_p(n)$ .

Example 6.3. 
$$N_p(2) = \frac{p^2 - p}{2}$$
,  $N_p(3) = \frac{p^3 - p}{3}$ ,  $N_p(12) = \frac{p^{12} - p^6 - p^4 + p^2}{12}$ .

A general formula for  $N_p(n)$  can be written down from (6.1) using the Möbius inversion formula, which we omit.