# **Algorithms**

# Programming Assignment #1 Sorting

## **Introduction:**

In this PA, you are required to implement various sorters that we learnt in the class. You can download the *PA1.tar* file from NTU COOL website. Uncompress it using Linux command,

tar xvf PA1.tar

You can see the following directories after uncompressing it.

Name	Description			
bin/	Directory of binary file			
doc/	Directory of document			
inputs/	Directory of unsorted data			
lib/	Directory of library source code			
outputs/	Directory of sorted data			
src/	Directory of source code			
utility/	Directory of checker			

# **Input/output Files:**

In the input file (\*.in), the first two lines starting with '#' are just comments. Except comments, each line contains two numbers: index followed by the unsorted number. The range of unsorted number is between 0 and 1,000,000. Two numbers are separated by a space. For an example, the file 5.case1.in contains five numbers

```
# 5 data points
# index number
0 16
1 13
2 0
3 6
4 7
```

The output file(\*.out) is actually the same as the input file except that the numbers are sorted in *increasing* order. For example, 5.case1.out is like:

```
# 5 data points
# index number
0 0
1 6
2 7
3 13
4 16
```

#### PLOT:

You can visualize your unsorted/sorted numbers by using the gnuplot tool by the command gnuplot. After that, please key in the following

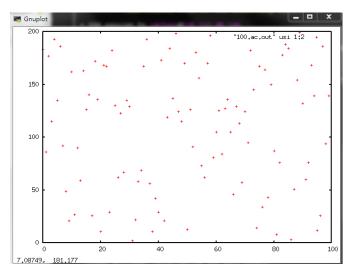
```
set xrange [0:5]
set yrange [0:20]
plot "5.case1.in" usi 1:2
plot "5.case1.out" usi 1:2

# if you want to save to png files
set terminal png
set output "5.case1.out.png"
replot
```

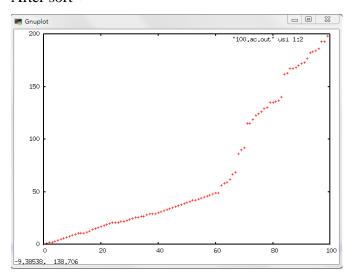
You need to allow X-window display to see the window if you are login remotely. For more gnuplot information, see

http://people.duke.edu/~hpgavin/gnuplot.html

There are two example "before" and "after" sort pictures with 100 numbers benchmark. Before sort:



# After sort:



# **Command line parameters:**

In the command line, you are required to follow this format

```
NTU_sort -[IS|MS|QS|HS] <input_file_name> <output_file_name>
```

where IS represents insertion sort, MS is merge sort, QS is quick sort and HS is heap sort. The square bracket with vertical bar '[IS|MS|QS|HS]' means that only one of the four versions is chosen.

The angle bracket <input\_file\_name> should be replaced by the name of the input file, \*.[case1|case2|case3].in, where case1 represents test case in random order, case2 is test case in increasing order, and case3 is test case in reverse order. For the best case, all the numbers are sorted in increasing order. For the worst case, all numbers are sorted in descending order. For the average case, numbers are in random order.

The output file names are \*.[case1|case2|case3].out. Please note that you do NOT need to add '[|]' or '<>' in your command line. For example, the following command sorts 10000.case1.in to 10000.case1.out using insertion sort.

```
./bin/NTU_sort -IS inputs/10000.case1.in outputs/10000.case1.out
```

#### **Source code files:**

Please notice that all of the source code files have been already finished except sort\_tool.cpp. You only need to complete the different sorting functions of class SortTool in sort\_tool.cpp. You can still modify other source code files if you think it is necessary. The following will simply introduce the source code files.

main.cpp: main program for PA1

```
// *********************
         // File
                             [main.cpp]
         //
              Author
                              [Yu-Hao Ho]
                              [The main program of 2019 fall Algorithm PA1]
         //
              Synopsis
                              [2019/9/6 Cheng-Yun Hsieh]
              Modify
                                                                     ************
          #include <cstring>
          #include <iostream>
10.
         #include <fstream>
#include "../lib/tm_usage.h"
#include "sort_tool.h"
11.
12.
13.
14.
15.
16.
17.
18.
         using namespace std;
         void help_message() {
               rich_incsage() (Tu_sort -[IS|MS|QS|HS] <input_file> <output_file>" << endl;
cout << "options:" << endl;
cout << " IS - Insersion Sort" << endl;
cout << " MS - Merge Sort" << endl;
cout << " QS - Quick Sort" << endl;
19.
20.
21.
               cout << "
22.
23.
24.
25.
26.
27.
28.
                               HS - Heap Sort" << endl;
         int main(int argc, char* argv[])
               if(argc != 4) {
                    help_message();
29.
                    return 0;
30.
31.
                CommonNs::TmUsage tmusg;
32.
33.
34.
35.
                CommonNs::TmStat stat;
               //////// read the input file //////////
36.
                char buffer[200];
37.
                fstream fin(argv[2]);
38.
39.
40.
                fstream fout;
                fout.open(argv[3],ios::out);
               fin.getline(buffer,200);
fin.getline(buffer,200);
41.
42.
43.
               int junk,num;
                vector<int> data;
44.
45.
46.
47.
48.
49.
                while (fin >> junk >> num)
                      data.push_back(num); // data[0] will be the first data.
                                                     // data[1] will be the second data and so on.
                //////// the sorting part //////////
               tmusg.periodStart();
SortTool NTUSortTool;
50.
51.
52.
53.
54.
55.
56.
57.
58.
                if(!strcmp(argv[1],"-QS")) {
                     NTUSortTool.QuickSort(data);
                else if(!strcmp(argv[1],"-IS")) {
    NTUSortTool.InsertionSort(data);
                else if(!strcmp(argv[1],"-MS")) {
59.
                     NTUSortTool.MergeSort(data);
60.
61.
62.
63.
64.
65.
66.
67.
68.
70.
71.
72.
73.
                else if(!strcmp(argv[1],"-HS")) {
                      NTUSortTool.HeapSort(data);
                else {
                     help_message();
                      return 0;
                tmusg.getPeriodUsage(stat);
                cout <<"The total CPU time: " << (stat.uTime + stat.sTime) / 1000.0 << "ms" << endl;
                cout <<"memory: " << stat.vmPeak << "KB" << endl; // print peak memory
                //////// write the output file ////////
                fout << "# " << data.size() << " data points" <<endl;
75.
76.
77.
78.
79.
                fout << "# index number" << endl;
                for (int i = 0; i < data.size(); i++)
fout << i << " " <<data[i] << endl;
                fin.close();
               fout.close();
80.
                return 0:
```

- Line 36-46: parse unsorted data from input file and push them into the vector.
- Line 52-67: call different function depending on given command.

Line 74-77: write the sorted data file.

#### *sort\_tool.h*: the header file for the SortTool Class

```
// **************************
2.
3.
4.
5.
6.
7.
8.
9.
10.
11.
12.
13.
14.
       // File
                       [sort_tool.h]
                        [Yu-Hao Ho]
       //
           Author
       //
                       [The header file for the SortTool Class]
           Synopsis
                        [2019/9/6 Cheng-Yun Hsieh]
           Modify
                                                        ***********
       #ifndef _SORT_TOOL_H
       #define _SORT_TOOL_H
       #include<vector>
       using namespace std;
       class SortTool {
            public:
16.
                               SortTool(); // constructor
17.
                               InsertionSort(vector<int>&); // sort data using insertion sort
                 void
18.
                 void
                               MergeSort(vector<int>&); // sort data using merge sort
19.
                               QuickSort(vector<int>&); // sort data using quick sort
                 void
20.
                 void
                               HeapSort(vector<int>&); // sort data using heap sort
21.
            private:
22.
23.
24.
                 void
                               QuickSortSubVector(vector<int>&, int, int); // quick sort subvector
                 int
                              Partition(vector<int>&, int, int); // partition the subvector
                               MergeSortSubVector(vector<int>&, int, int); // merge sort subvector
                 void
25.
                 void
                               Merge(vector<int>&, int, int, int, int); // merge two sorted subvector
26.
27.
                 void
                               MaxHeapify(vector<int>&, int); // make tree with given root be a max-heap
                                                                      //if both right and left sub-tree are max-heap
28.
29.
                               BuildMaxHeap(vector<int>&); // make data become a max-heap
                 void
                              heapSize; // heap size used in heap sort
30.
31.
       };
32.
       #endif
```

#### sort\_tool.h

- Line 17-20: sort function which will be called in *main.cpp*.
- Line 22: This function will be used in quick sort. It will sort sub vector with given lower and upper bound. This function should be implemented to partition the sub vector and recursively call itself.
- Line 23: This function will be used in quick sort and should be implemented to partition the sub vector.
- Line 24: This function will be used in merge sort. It will sort sub vector with given lower and upper bound. This function should be implemented to call itself for splitting and merging the sub vector.
- Line 25: This function will be used in merge sort and should be implemented to merge two sorted sub vector.
- Line 26: This function will be used in heap sort and should be implemented to make the tree with given root be a max-heap if both of its right subtree and left subtree are max-heap.
- Line 28: This function will be used in heap sort and should be implemented to make input data

be a max-heap.

sort\_tool.cpp: the implementation of the SortTool Class

```
// ************************
        // File
                           [sort_tool.cpp]
        //
             Author
                           [Yu-Hao Ho]
        //
                           [The implementation of the SortTool Class]
             Synopsis
             Modify
                           [2019/9/6 Cheng-Yun Hsieh]
                                                               ***********
         #include "sort_tool.h"
         #include<iostream>
10.
11.
12.
         // Constructor
        SortTool::SortTool() {}
13.
14.
15.
16.
         // Insertsion sort method
         void SortTool::InsertionSort(vector<int>& data) {
              // Function : Insertion sort
17.
18.
              // TODO : Please complete insertion sort code here
19.
20.
        // Quick sort method
21.
         void SortTool::QuickSort(vector<int>& data){
22.
23.
24.
25.
26.
27.
28.
              QuickSortSubVector(data, 0, data.size() - 1);
        // Sort subvector (Quick sort)
        void SortTool::QuickSortSubVector(vector<int>& data, int low, int high) {
// Function : Quick sort subvector
              // TODO : Please complete QuickSortSubVector code here
              // Hint : recursively call itself
29.
                          Partition function is needed
30.
31.
32.
33.
34.
35.
        int SortTool::Partition(vector<int>& data, int low, int high) {
              // Function: Partition the vector
              // TODO : Please complete the function
              // Hint : Textbook page 171
36.
37.
38.
39.
40.
41.
42.
43.
        // Merge sort method
        void SortTool::MergeSort(vector<int>& data){
              MergeSortSubVector(data, 0, data.size() - 1);
        // Sort subvector (Merge sort)
44.
45.
46.
47.
48.
49.
         void SortTool::MergeSortSubVector(vector<int>& data, int low, int high) {
              // Function : Merge sort subvector
              // TODO : Please complete MergeSortSubVector code here
              // Hint : recursively call itself
              //
                          Merge function is needed
50.
51.
52.
53.
54.
         void SortTool::Merge(vector<int>& data, int low, int middle1, int middle2, int high) {
              // Function : Merge two sorted subvector
              // TODO : Please complete the function
55.
56.
57.
58.
        // Heap sort method void SortTool::HeapSort(vector<int>& data) {
59.
60.
61.
62.
63.
64.
65.
66.
              // Build Max-Heap
              BuildMaxHeap(data);
              // 1. Swap data[0] which is max value and data[i] so that the max value will be in correct location
              // 2. Do max-heapify for data[0]
              for (int i = data.size() - 1; i >= 1; i--) { swap(data[0],data[i]);
                    heapSize--
                    MaxHeapify(data,0);
67.
68.
69.
70.
71.
72.
73.
74.
        //Max heapify
         void \ Sort Tool :: Max Heapify (vector < int > \& \ data, \ int \ root) \ \{
              // Function: Make tree with given root be a max-heap if both right and left sub-tree are max-heap
              // TODO : Please complete max-heapify code here
75.
76.
77.
78.
79.
        //Build max heap
         void SortTool::BuildMaxHeap(vector<int>& data) {
              heapSize = data.size(); /\!/\ initialize\ heap\ size
              // Function : Make input data become a max-heap
80.
              // TODO : Please complete BuildMaxHeap code here
```

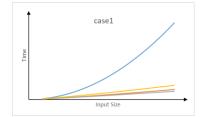
- Line 15-18: please complete the function of insertion sort here.
- Line 21-23: the function of quick sort will call function of Sorting sub-vector and give initial lower/upper bound.
- Line 25-30: please complete the function of sorting sub-vector using quick sort algorithm here.
- Line 32-36: please complete the function of partition here.
- Line 39-41: the function of merge sort will call function of Sorting sub-vector and give initial lower/upper bound.
- Line 44-49: please complete the function of sorting sub-vector using merge sort algorithm here.
- Line 52-55: please complete the function of merging two sorted sub-vector here.
- Line 58-68: the function of heap sort will build max-heap first. And then, exchange data iteratively.
- Line 71-74: please complete the function of max-heapify which makes the tree with given root be a max-heap if its right and left sub-tree are both max-heap.
- Line 77-81: please complete the function of building max-heap with given input data.

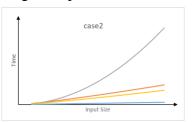
# **Requirements:**

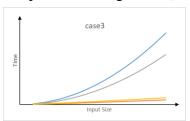
- 1. Please check the source code files under the src directory. You may need to complete the functions of class SortTool in *sort\_tool.cpp*. You can also modify *main.cpp* and *sort\_tool.h* if you think it is necessary.
- 2. Your source code must be written in C or  $C^{++}$ . The code must be executable on EDA union lab machines.
- 3. In your report, compare the running time of four versions of different input sizes. Please fill in the following table. Please use -O2 optimization and turn off all debugging message.

Input size	IS		MS		QS		HS	
	CPU time	Memory						
	(s)	(KB)	(s)	(KB)	(s)	(KB)	(s)	(KB)
4000.case2								
4000.case3								
4000.case1								
16000.case2								
16000.case3								
16000.case1								
32000.case2								
32000.case3								
32000.case1								
1000000.case2								
1000000.case3								
1000000.case1								

4. Draw figures to show the growth of running time as a function of input size and try to analyze the curve (as the following example, where each curve represents an algorithm.)







You can skip the test case if the run time is more than 10 minutes.

5. Notice: You are not allowed to include the header <algorithm> or <queue> in STL!

# **Compile**

We expected your code can compile and run in this way.

Type the following commands under <student\_id>\_pa1 directory,

make
cd bin
./NTU\_sort -[IS|MS|QS|HS] <input\_file\_name> <output\_file\_name>

We provide the sample makefile, please modify into yours if needed.

```
# CC and CFLAGS are varilables
2.
3.
4.
5.
6.
7.
8.
9.
        CC = g++
       CFLAGS = -c
       AR = ar
        ARFLAGS = rcv
        # -c option ask g++ to compile the source files, but do not link.
       # -g option is for debugging version
       # -O2 option is for optimized version
       DBGFLAGS = -g - D_DEBUG_ON_
       OPTFLAGS = -O2
11.
12.
13.
       # make all
       all: bin/NTU_sort
             @echo -n "
14.
15.
16.
       # optimized version
       bin/NTU_sort: sort_tool_opt.o main_opt.o lib
17.
             $(CC) $(OPTFLAGS) sort_tool_opt.o main_opt.o -ltm_usage -Llib -o bin/NTU_sort
18.
19.
       main_opt.o: src/main.cpp lib/tm_usage.h
             $(CC) $(CFLAGS) $< -Ilib -o $@
20.
21.
22.
23.
24.
25.
26.
27.
28.
30.
31.
32.
33.
34.
35.
36.
37.
38.
        sort_tool_opt.o: src/sort_tool.cpp src/sort_tool.h
             $(CC) $(CFLAGS) $(OPTFLAGS) $< -0 $@
       # DEBUG Version
       dbg: bin/NTU_sort_dbg
             @echo -n ""
       bin/NTU_sort_dbg: sort_tool_dbg.o main_dbg.o lib
            $(CC) $(DBGFLAGS) sort_tool_dbg.o main_dbg.o -ltm_usage -Llib -o bin/NTU_sort_dbg
       main_dbg.o: src/main.cpp lib/tm_usage.h
             $(CC) $(CFLAGS) $< -Ilib -o $@
        sort_tool_dbg.o: src/sort_tool.cpp src/sort_tool.h
             $(CC) $(CFLAGS) $(DBGFLAGS) $< -o $@
       lib: lib/libtm_usage.a
       lib/libtm_usage.a: tm_usage.o
             $(AR) $(ARFLAGS) $@ $<
       tm_usage.o: lib/tm_usage.cpp lib/tm_usage.h
39.
             $(CC) $(CFLAGS) $<
40.
41.
       # clean all the .o and executable files
42.
            rm -rf *.o lib/*.a bin/*
```

## makefile

- Line 38-39: compile the object file tm\_usage.o from tm\_usage.cpp and tm\_usage.h
- Line 36-37: archive *tm\_usage.o* into a static library file *libtm\_usage.a*. Please note that library must start with *lib* and ends with *.a*.
- Line 37: this small library has only one objet file. In a big library, more than one objective files can be archived into a single *lib\*.a* file like this

```
ar rcv libx.a file1.o [file2.o ...]
```

- Lines 12-21: When we type 'make' without any option the makefile will do the first command (line.12 in this sample). Thus, we can compile the optimization version when we type 'make'. This version invokes options '-O2' for speed improvement. Also '\_DEBUG\_ON\_' is not defined to disable the printing of arrays in *sort\_tool.cpp*.
- Lines 23-32: Compile the debug version when we type 'make dbg'. This version invokes options '-g' (for DDD debugger) and also '-D\_DEBUG\_ON\_' to enable the printing of arrays in *sort\_tool.cpp*.
- Lines 13,25: @echo -n "" will print out the message in "". In this sample we print

nothing.

```
Notice: $< represent the first dependency.
```

\$@ represent the target itself.

You can find some useful information here.

http://mrbook.org/tutorials/make/

#### Validation:

You can verify your answer very easily by comparing your output with case2 which is the sorted input. Or you can see the gnuplot and see if there is any dot that is not sorted in order.

Also, you can use our result checker which is under utility directory to check whether your result is correct or not. To use this checker, simply type

```
./PA1 Result Checker <input file> <your output file>
```

Please notice that it will not check whether the format of result file is correct or not. You have to check the format by yourself if you modify the part of writing output file in *main.cpp*.

### **Submission:**

Please submit a single \*.tgz file to NTU COOL system before 10/13(Su) 13:00. Your submission must contain:

- 1. <student\_id>\_pa1 directory contains your source code in *src* directory. By simply typing "make" can compile.
- 2. A report in the *doc* directory. <student\_id>\_pa1\_report.doc or pdf.
- 3. A README file that explains your files.
- 4. We will use our own test cases so do NOT include the input files.
- 5 In summary, you should at least have the following item in your \*.tgz file.

```
src/<all your source code>
lib/<library file>
```

```
bin/NTU_sort
```

doc/<student\_id>\_pa1\_report.doc

makefile

**README** 

The submission filename should be compressed in a single file <student\_id>\_pa1.tgz. (e.g. b90901000\_pa1.tgz). You can use the following command to compress a whole directory:

```
tar zcvf <filename>.tgz <dir>
```

For example, goto the same level as PA1 directory, and type

```
tar zcvf b99901000 pa1.tgz PA1
```

6. You are required to run the checksubmitPA1 script to check if your .tgz submission file is correct. Suppose you are in the same level as PA1 directory

```
./PA1/utility/checkSubmitPA1.sh b99901000 pa1.tgz
```

Please note the path must be correct. If you are located in the  $\sim$ / directory, then './PA1/utility/checkSubmitPA1.sh' means the path  $\sim$ /PA1/utility/checkSubmitPA1.sh and b99901000\_pa.tgz means the path  $\sim$ /b99901000\_pa1.tgz

We have so many students in the class so we need automatic grading. Any mistake in the submission will result in cost 20% off your score. Please be very careful in your submission.

# **Grading:**

60% correctness (including submission correctness)
20% file format and location
20% report

# **NOTE:**

TA will check your source code carefully. Copying other source code can result in zero grade for all students involved.