# HIPAA QUESTIONS

Test Assignment

# TASK DESCRIPTION

#### PRIMARY OBJECTIVE

Build a Retrieval-Augmented Generation (RAG) solution that effectively processes and queries HIPAA documentation which is represented as a single combined PDF file.

#### SOLUTION MANDATORY CAPABILITIES

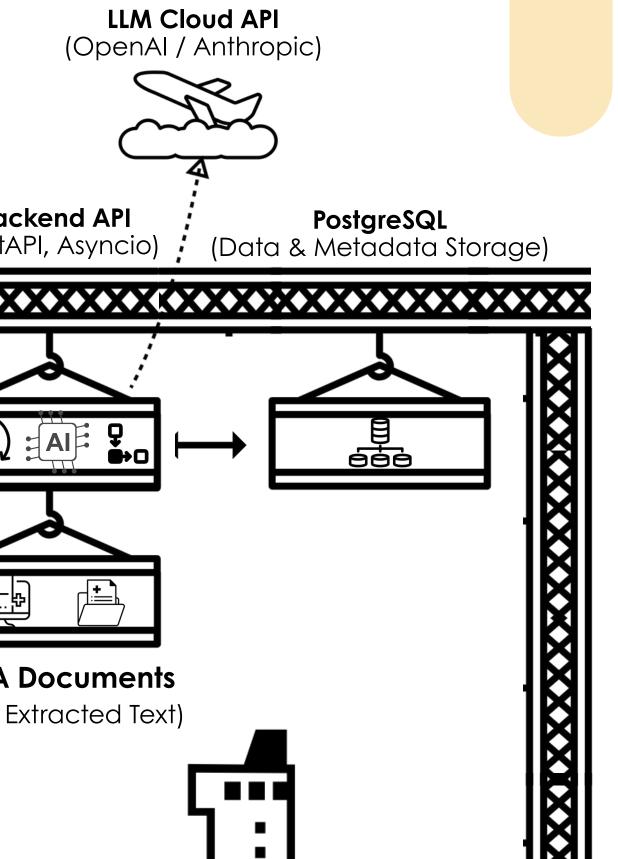
The solution should be orchestrated under docker-compose and have following capabilities:

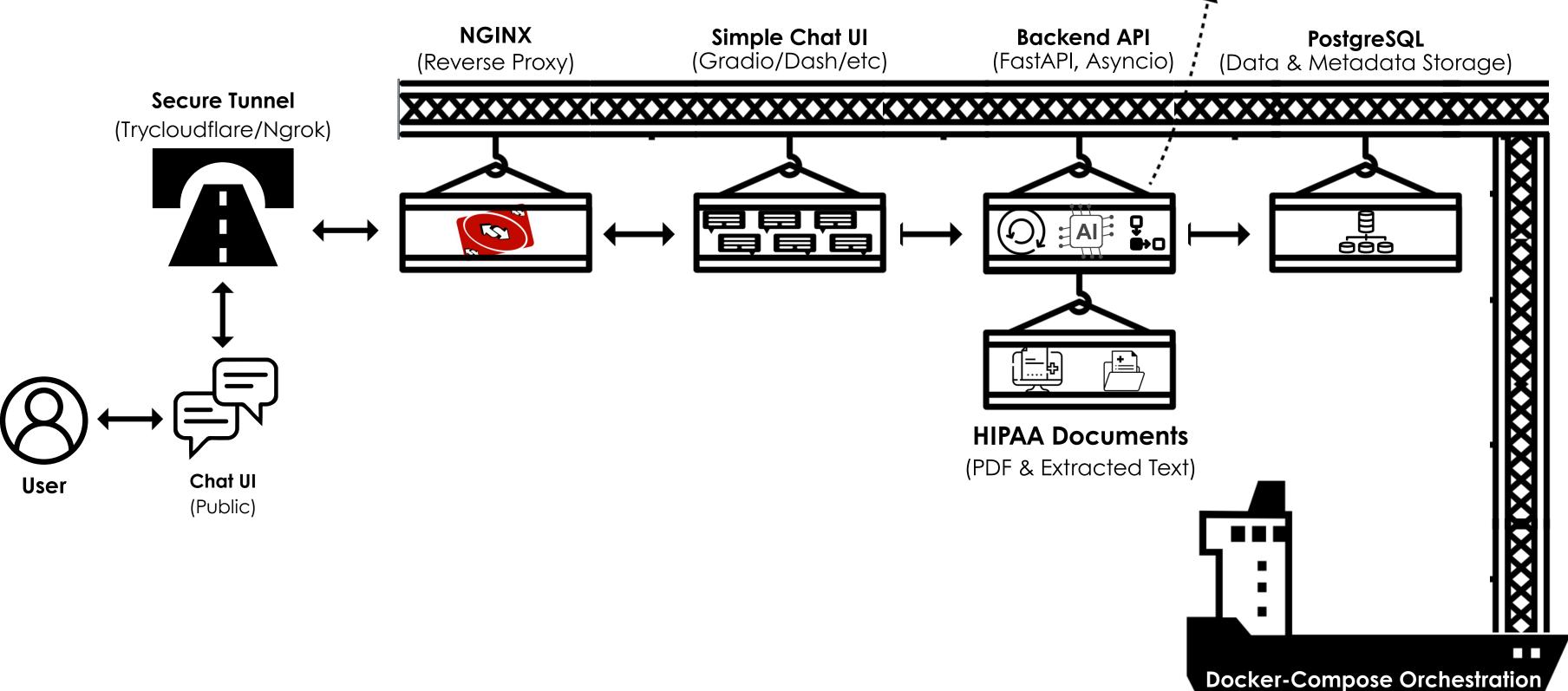
- Efficiently parsing, structuring, and indexing the HIPAA combined document to facilitate targeted information retrieval.
- Accurately answering user-submitted questions by returning precise, context-informed responses sourced from the provided HIPAA documentation.

# SOLUTION COMPONENTS

Al Model	OpenAl / Anthropic
Database	PostgreSQL
Frontend	Simple Chat UI with Gradio, Dash, or other UI Builder
Backend	<ul><li>Async FastAPI service</li><li>Nginx as reverse proxy</li><li>TryCloudflare/ngrok for public access</li></ul>
Orchestration	Docker Compose

### HIGH-LEVEL SOLUTION DESIGN





# PDF FILE STRUCTURE

HIPAA documentation is represented as a single PDF that combines three HIPAA parts: 160, 162, and 164.

#### Within this PDF:

- Each of the three parts retains its outline and references to subdivisions (e.g., sub-paragraphs, sections).
- All text-based knowledge is splitted betwen paragraphs & subparagraphs which might contain information or references to another paragraphs
- All table-based information (if any) is out of scope for this assignment and should be ignored
- All picture-based information (if any) is out of scope for this assignment and should be ignored

## **EXPECTED RESULTS**

- 1. Answer any HIPAA-related queries, providing accurate contextual information derived directly from the provided document.
- 2. When users explicitly request the full text of a specific HIPAA requirement or policy, the solution must directly quote exact content and precisely reference the original source sub-paragraph or section contained within the HIPAA combined PDF.
- 3. **Nice-to-have:** Appropriately handle broader user inquiries, such as requests for lists of inquiry-related HIPAA paragraphs.
- 4. Nice-to-have: Include exact quotes & relevant sub-paragraphs references

# LIMITATIONS

Please consider following limitations during development:

- **No user management**: Do not implement registration, credentials management, user authentication, or roles and permissions.
- Minimal security implementation: Beyond basic encryption (HTTPS via tunnel/proxy), you are not required to implement any security controls, alignment protocols, HIPAA compliance infrastructure, or any audits.
- **Single-document focus**: Limitation to one provided combined HIPAA document (Parts 160, 162, and 164); multi-document ingestion and complex document management outside this core document are out of this task scope.
- Low usage/concurrency: Assume minimal concurrency (max a few simultaneous users). Scalability, high-availability, clustering, and performance optimizations are outside the scope.
- **No analytics/telemetry**: Any usage tracking, logging, analytics, and telemetry are out of the scope.

## **EVALUATION**

The quality of your HIPAA RAG solution will be evaluated against these core indicators:

- 1. **Information Accuracy & Completeness**: Ability to deliver correct and precise answers to straightforward HIPAA-related questions, clearly reflecting the factual content of the provided documentation.
- 2. **Precision in Source Attribution & Referencing:** Ability to provide exact quotes from the text whenever specific requirements or policy details are requested, accompanied by clear references to exact source sections/subparagraphs.
- 3. **Robustness & Code Quality**: The solution should be robust, the code should be clear, easy to understand & follow best practices of Python development.
- 4. **Optional: Reference Gathering**: Ability to provide structured lists of all reference subparagraphs related to user inquiry.
- 5. **Optional: Quoting**: Ability to provide exact quotes and reference sub-paragraphs numbers within the answer to user inquiry.

# SAMPLE EVALUATION QUESTIONS

#### Some of possible evaluation questions include:

- 1. What is the overall purpose of HIPAA Part 160?
- 2. Which part covers data privacy measures?
- 3. What does "minimum necessary" mean in HIPAA terminology?
- 4. Which entities are specifically regulated under HIPAA?
- 5. What are the potential civil penalties for noncompliance?
- 6. Does HIPAA mention encryption best practices?
- 7. Can I disclose personal health information to family members?
- 8. If a covered entity outsources data processing, which sections apply?
- 9. Cite the specific regulation texts regarding permitted disclosures to law enforcement.

# WE ARE CONFIDENT IN YOUR ABILITIES

Wishing you success in this final step.