

# Complexity of enumeration: saturation problems

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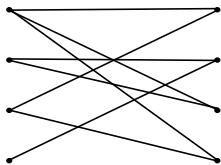
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Orléans, STACS 2016

# Enumeration problems

- **Enumeration problems:** list all solutions rather than just deciding whether there is one.

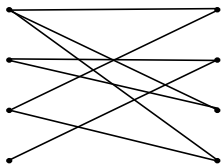
Perfect matching ?



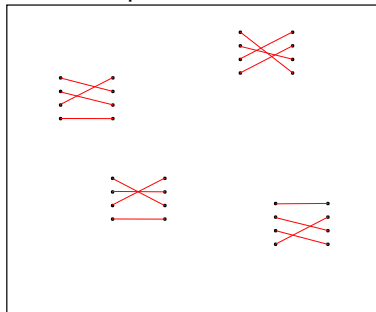
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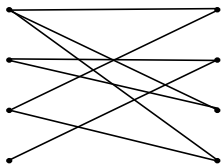
Solution space:



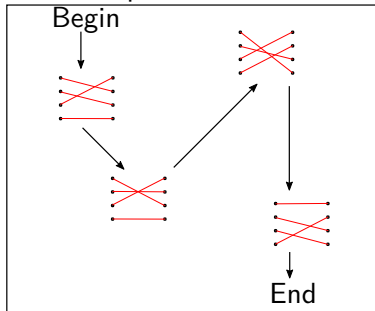
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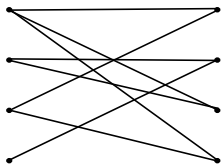
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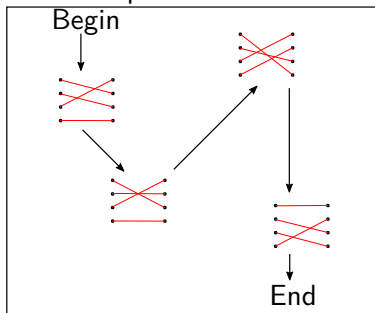
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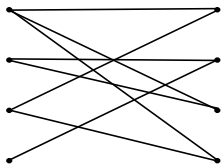
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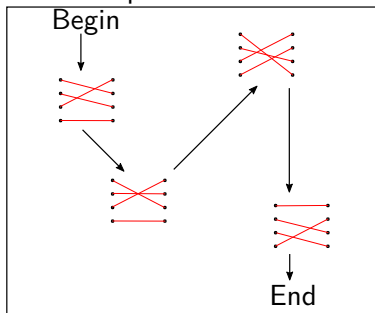
# Enumeration problems

- ▶ **Enumeration problems:** list all solutions rather than just deciding whether there is one.
- ▶ Complexity measures: total time and **delay** between solutions.
- ▶ **Motivations:** database queries, optimization, building libraries.

Perfect matching ?



Solution space:



# Framework

An **enumeration problem**  $A$  is a function which associates to each input a set of solutions  $A(x)$ .

An **enumeration algorithm** must generate every element of  $A(x)$  one after the other **without repetition**.

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## Concrete complexity classes:

A polynomial time precomputation is allowed.

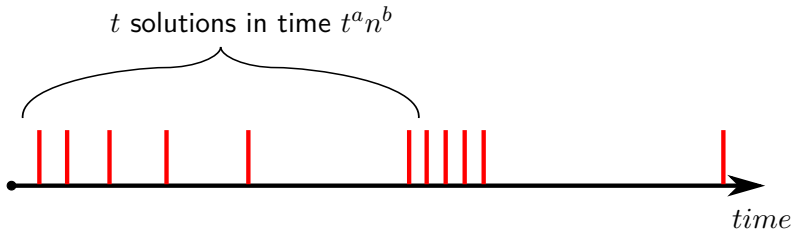
1. Polynomial total time:  $\text{TOTALP}$
2. **Incremental polynomial time:  $\text{INCP}$**
3. **Polynomial delay:  $\text{DELAYP}$**



# Incremental time

## Definition (Incremental polynomial time)

INCP is the set of enumeration problems such that there is an algorithm which for all  $t$  produces  $t$  solutions (if they exist) from an input of size  $n$  in time  $O(t^a n^b)$  with  $a, b$  constants.



# Saturation algorithm

Most algorithms with an incremental delay are **saturation** algorithms:

- ▶ **begin** with a polynomial number of simple solutions
- ▶ **for each**  $k$ -uple of already generated solutions apply a rule to produce a new solution
- ▶ **stop** when no new solutions are found

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  3. Generate all possible unions of sets:
    - ▶  $\{12, 134, 23, 14\}$
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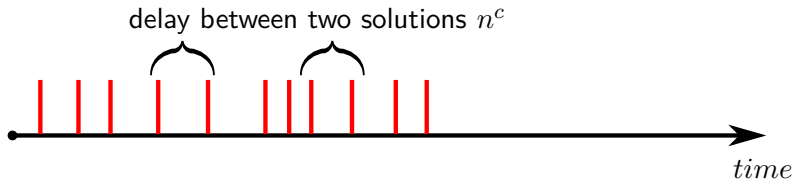
# Polynomial Delay

The **delay** is the maximum time between the production of two consecutive solutions in an enumeration.

## Definition (Polynomial delay)

DELAYP is the set of enumeration problems such that there is an algorithm whose delay is polynomial in the input.

$$\text{DELAYP} \subseteq \text{INCP}$$



# Unions in polynomial delay

Closure by union **revisited**.

**Instance:** a set  $S = \{s_1, \dots, s_m\}$  with  $s_i \subseteq \{1, \dots, n\}$ .

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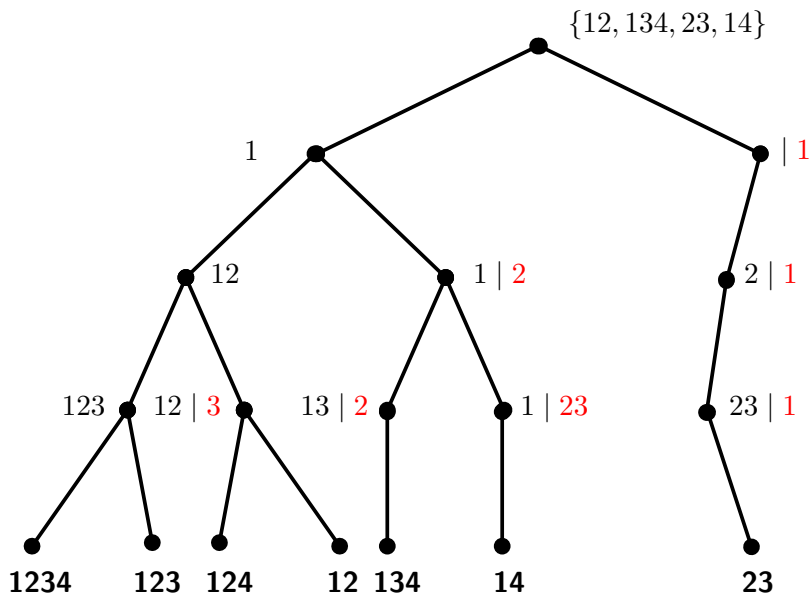
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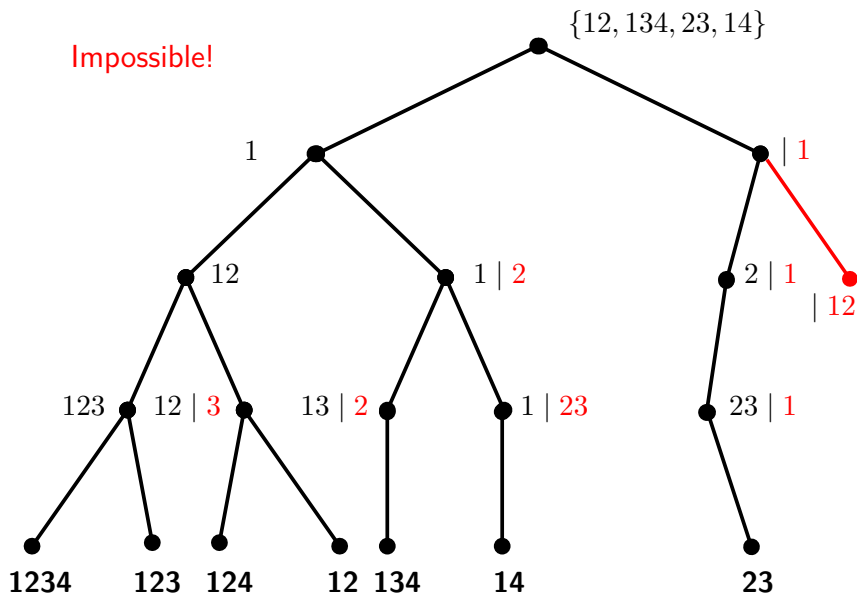
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4. The extension problem is easy to solve in time  $O(mn)$  thus the **backtrack search** has delay  $O(mn^2)$ .

## Partial solution tree



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We need to restrict the saturation rules. Since it works for the union, we will consider **set operations**.

Our goal is to design the **largest** possible toolbox of **efficient** enumeration algorithms.

# Set operations

A set over  $\{1, \dots, n\}$  will be represented by its **characteristic vector** of size  $n$ .

A **set operation** is a boolean operation  $\{0, 1\}^k \rightarrow \{0, 1\}$  applied componentwise to  $k$  boolean vectors.

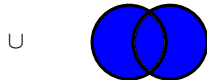


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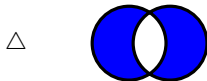
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$$\vee \quad \begin{pmatrix} 1 \\ 0 \\ 1 \end{pmatrix} \vee \begin{pmatrix} 1 \\ 1 \\ 0 \end{pmatrix} = \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix}$$



$$+ \quad \begin{pmatrix} 1 \\ 0 \\ 1 \end{pmatrix} + \begin{pmatrix} 1 \\ 1 \\ 0 \end{pmatrix} = \begin{pmatrix} 0 \\ 1 \\ 1 \end{pmatrix}$$



$$\text{maj}(x, y, z) \quad \text{maj}\left(\begin{pmatrix} 1 \\ 0 \\ 1 \end{pmatrix}, \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}, \begin{pmatrix} 1 \\ 1 \\ 0 \end{pmatrix}\right) = \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}$$



## Closure by set operation

Let  $\mathcal{S}$  be a set of boolean vectors of size  $n$  and  $\mathcal{F}$  be a finite set of boolean operations.

**Closure:**

- ▶  $\mathcal{F}^0(\mathcal{S}) = \mathcal{S}$
- ▶  $\mathcal{F}^i(\mathcal{S}) = \{f(v_1, \dots, v_t) \mid v_1, \dots, v_t \in \mathcal{F}^{i-1}(\mathcal{S}) \text{ and } f \in \mathcal{F}\}$
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Our **enumeration problem** is then to list the elements of  $Cl_{\mathcal{F}}(\mathcal{S})$ .

# Extension problem

CLOSURE $_{\mathcal{F}}$ :

**Input:**  $\mathcal{S}$  a set of vectors of size  $n$ , and a vector  $v$  of size  $n$

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**Goal:** prove that **Closure** $_{\mathcal{F}} \in \mathbf{P}$  for as many sets  $\mathcal{F}$  as possible, to use the backtrack search.

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## Definition

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## Lemma

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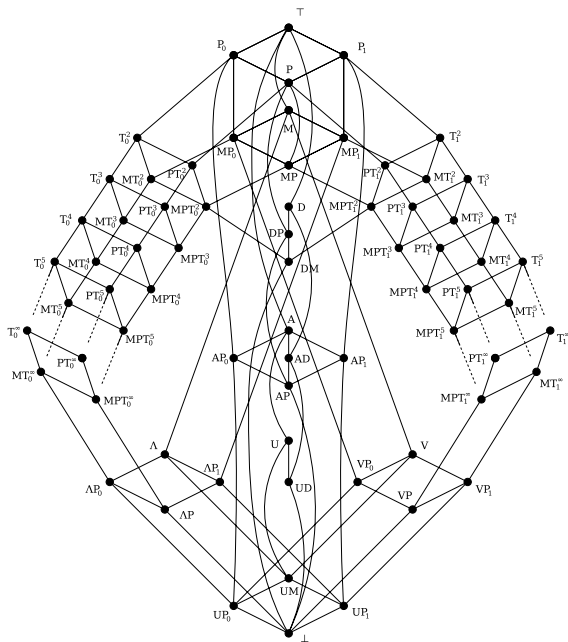
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There are less clones than families and they are well described and organized in **Post's lattice**.

# Post's lattice



# How to reduce Post's lattice

To an operation  $f$  we can associate its dual  $\overline{f}$  defined by  $\overline{f}(s_1, \dots, s_t) = \neg f(\neg s_1, \dots, \neg s_t)$ .

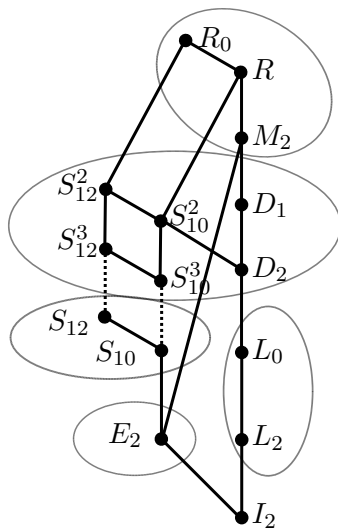
## Proposition

*The following problems can be polynomially reduced to  $\text{CLOSURE}_{\mathcal{F}}$ :*

1.  $\text{CLOSURE}_{\overline{\mathcal{F}}}$
2.  $\text{CLOSURE}_{\mathcal{F} \cup \{\neg\}}$  when  $\mathcal{F} = \overline{\mathcal{F}}$
3.  $\text{CLOSURE}_{\mathcal{F} \cup \{0\}}$ ,  $\text{CLOSURE}_{\mathcal{F} \cup \{1\}}$ ,  $\text{CLOSURE}_{\mathcal{F} \cup \{0,1\}}$

# Reduced Post's lattice

Clone	Base
$I_2$	$\emptyset$
$L_2$	$x + y + z$
$L_0$	$+$
$E_2$	$\wedge$
$S_{10}$	$x \wedge (y \vee z)$
$S_{10}^k$	$Th_k^{k+1}, x \wedge (y \vee z)$
$S_{12}$	$x \wedge (y \rightarrow z)$
$S_{12}^k$	$Th_k^{k+1}, x \wedge (y \rightarrow z)$
$D_2$	$\text{maj}$
$D_1$	$\text{maj}, x + y + z$
$M_2$	$\vee, \wedge$
$R_2$	$x ? y : z$
$R_0$	$\vee, +$



**Figure:** Reduced Post's lattice, the edges represent inclusions of clones

## Union revisited bis

The case of  $\langle \vee \rangle$  is done and is equivalent to  $E_2 = \langle \wedge \rangle$ . The delay is  $O(mn^2)$ , can we improve it?

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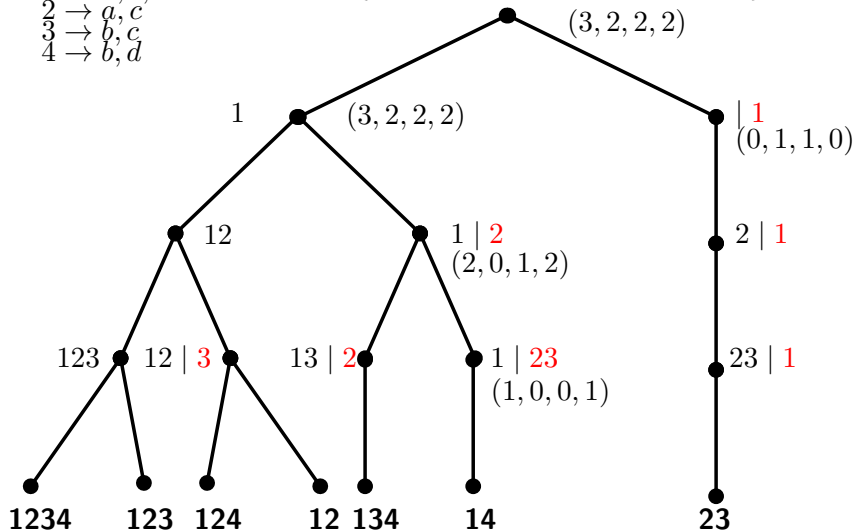
- ▶ Complexity comes from solving repeatedly the extension problem.
- ▶ We can set up datastructures to solve it faster.
- ▶ During a branch of the backtrack search we go over the instance **once**.
- ▶ Therefore the delay is improved to  $O(mn)$ .

**Open question:** can we get rid or decrease the dependency on  $m$ ?

# The data structures

$1 \rightarrow a, b, d$   
 $2 \rightarrow a, c$   
 $3 \rightarrow b, c$   
 $4 \rightarrow b, d$

$\{a = 12, b = 134, c = 23, d = 14\}$



# Algebras

- ▶  $L_0 = \langle x + y \rangle$ ,  $Cl_{L_0}(\mathcal{S})$  is the **vector space** generated by the vectors in  $\mathcal{S}$ .
- ▶  $CLOSURE_{L_0} \in P$  since it is equivalent to solving a linear system.



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- ▶  $CLOSURE_A \in P$  by computing the union of the atoms corresponding to required elements.
- ▶ In both cases, the base can be turned into explicit solutions by **Gray code enumeration** with a delay  $O(n)$ .

# Majority

## Proposition

*Let  $S$  be a vector set, a vector  $v$  belongs to  $Cl_{<maj>}(S)$  if and only if for all  $i, j \in [n]$ ,  $i \neq j$ , there exists  $x \in S$  such that  $x_{i,j} = v_{i,j}$ .*

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- ▶ The possible values of the pairs can be computed and stored in the precomputation step.
- ▶ At each step of the backtrack search, we fix one element therefore we need to check a linear number of pairs.
- ▶  $CLOSURE_{maj} \in P$  and the delay is  $O(n^2)$ .

# Thank universal algebra

An operation  $f$  is a **near unanimity** of arity  $k$  if it satisfies  $f(x_1, x_2, \dots, x_k) = x$  for each  $k$ -tuple with at most one element different from  $x$ .

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## Theorem (Baker-Pixley)

*Let  $\mathcal{F}$  be a clone which contains a near unanimity term of arity  $k$ , then  $v \in Cl_{\mathcal{F}}(\mathcal{S})$  if and only if for all set of indices  $I$  of size  $k - 1$ ,  $v_I \in Cl_{\mathcal{F}}(\mathcal{S}_I)$ .*

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## Corollary

*For all clones  $\mathcal{F}$  containing a near unanimity,  $CLOSURE_{\mathcal{F}} \in P$ .*

# The result

## Theorem

*For all sets  $\mathcal{F}$  of boolean operations,  $\text{CLOSURE}_{\mathcal{F}} \in \text{P}$ .*

## Corollary

*For all sets  $\mathcal{F}$  of boolean operations, enumerating  $\text{Cl}_{\mathcal{F}}$  is in  $\text{DELAYP}$ .*



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Can we generalize this result to vectors over a finite domain  $D$  with **more than two elements**?

# Larger domains

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## What does work:

- ▶ Near unanimity.
- ▶ Group operations.
- ▶ Associative operations with an alternative algorithm and **exponential space**.

# Take away

## Results:

- ▶ For all sets  $\mathcal{F}$  of boolean operations,  $\text{CLOSURE}_{\mathcal{F}} \in \text{P}$  and we have an efficient enumeration algorithm of  $\text{Cl}_{\mathcal{F}}$ .
- ▶  $\text{CLOSURE}_{\mathcal{F}}$  can be NP-hard for three elements domain.

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## Results:

- ▶ For all sets  $\mathcal{F}$  of boolean operations,  $\text{CLOSURE}_{\mathcal{F}} \in \text{P}$  and we have an efficient enumeration algorithm of  $Cl_{\mathcal{F}}$ .
- ▶  $\text{CLOSURE}_{\mathcal{F}}$  can be NP-hard for three elements domain.

## Open questions:

- ▶ Characterize the complexity of  $\text{CLOSURE}_{\mathcal{F}}$  for larger domains (dichotomy theorem?).
- ▶ Find another enumeration strategy in polynomial delay and polynomial space.
- ▶ Improve the delay of enumerating  $Cl_{\langle \vee \rangle}$ .



Thanks !

Questions ?