



华南理工大学  
South China University of Technology

# Intro to Computer Science and Software Engineering

## Operating Systems

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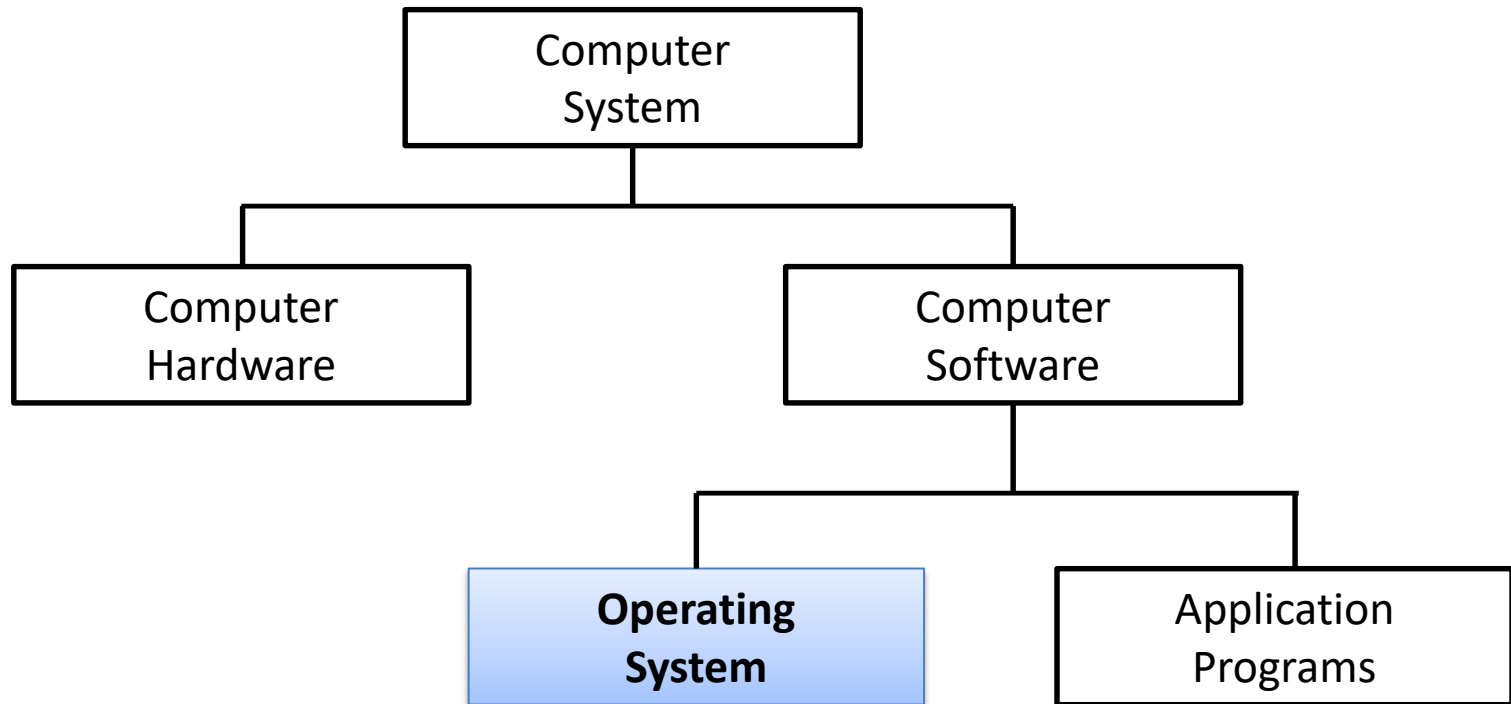
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School of Software Engineering

# Computer System



- Software: the collection of programs that allows the hardware to do its job.



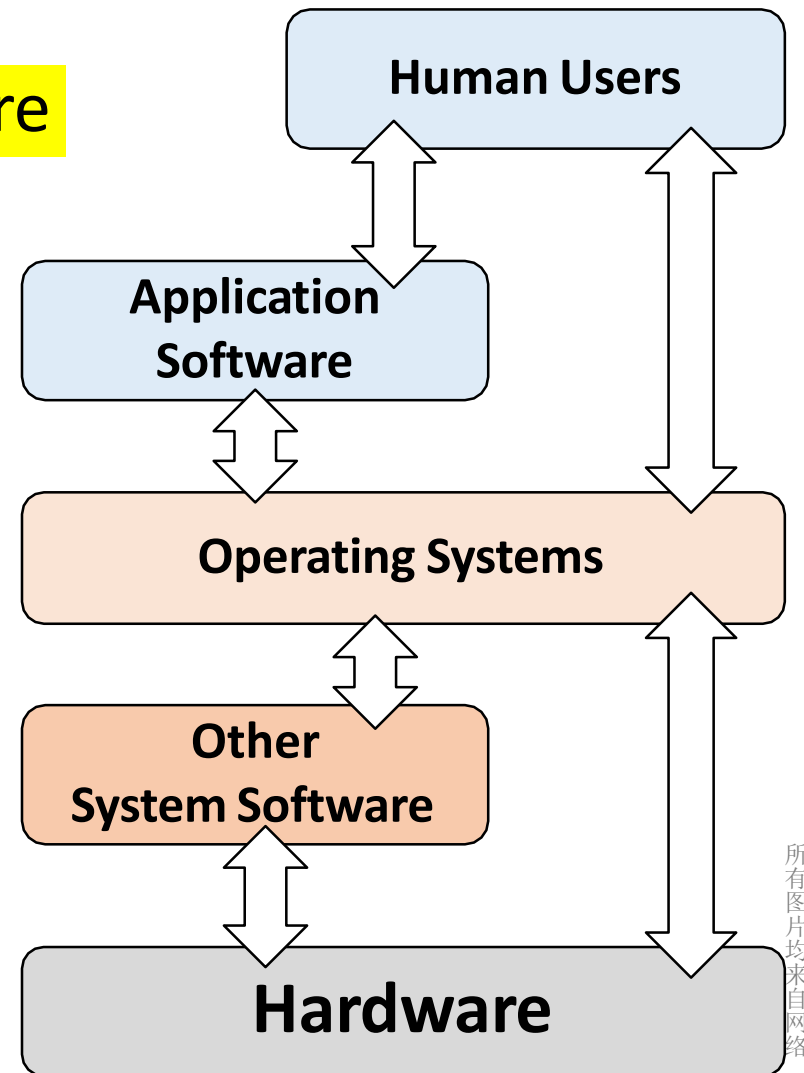
# Operating Systems



## Operating Systems: System software

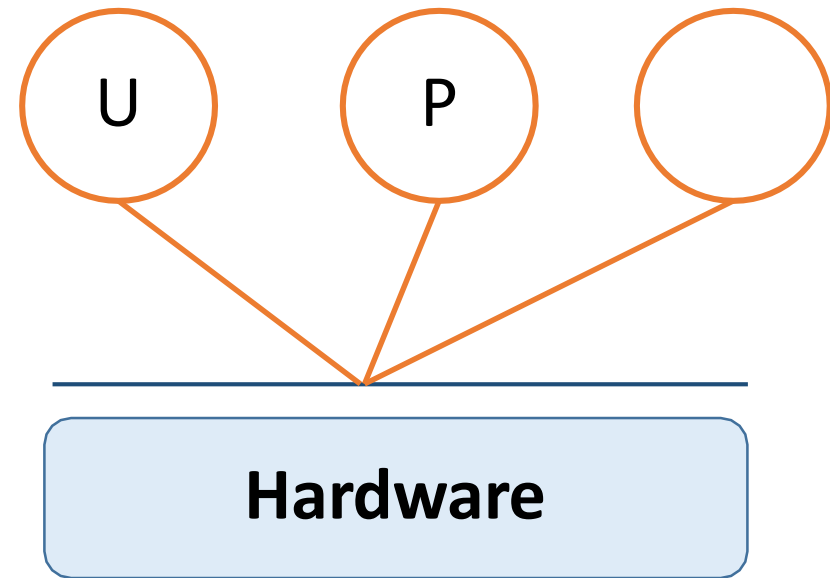
1. manages computer resources, such as memory and input/output devices
2. provides an interface through which a human can interact with the computer
3. allows an application program to interact with resources

What operating systems have you used?



# Roles of Operating System

The various roles of an operating system generally revolve around the idea of “**sharing nicely**”. An operating system manages resources, and these resources are often shared in one way or another among programs that want to use them.



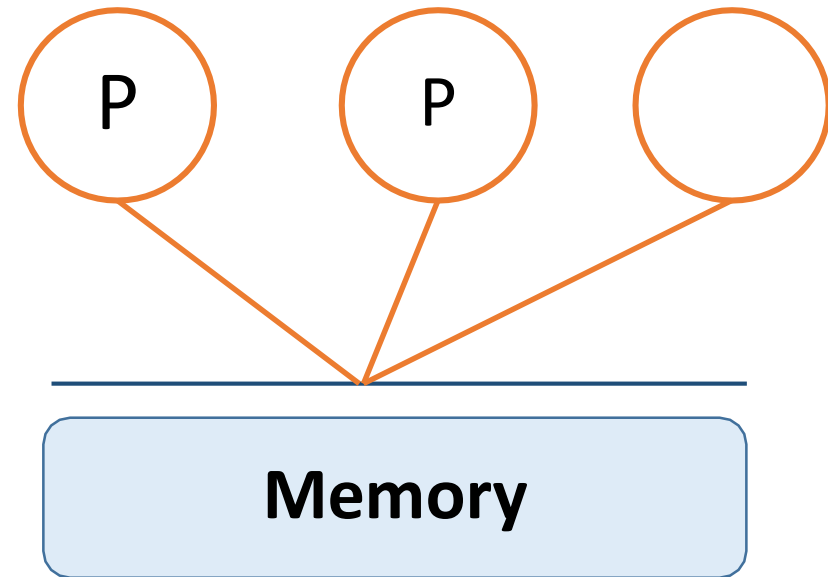
Why operating systems?

# Resource Management



## Multiprogramming

The technique of keeping multiple programs that compete for access to the CPU in main memory at the same time so that they can execute.



## Memory management

The process of keeping track of what programs are in memory and where in memory they reside

# Resource Management



## Process

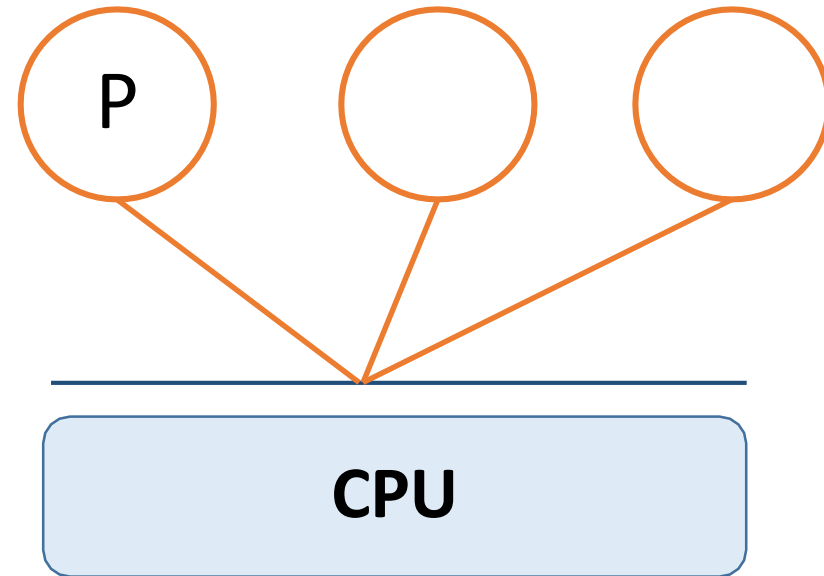
A program in execution

## Process management

The act of carefully tracking the progress of a process and all of its intermediate states

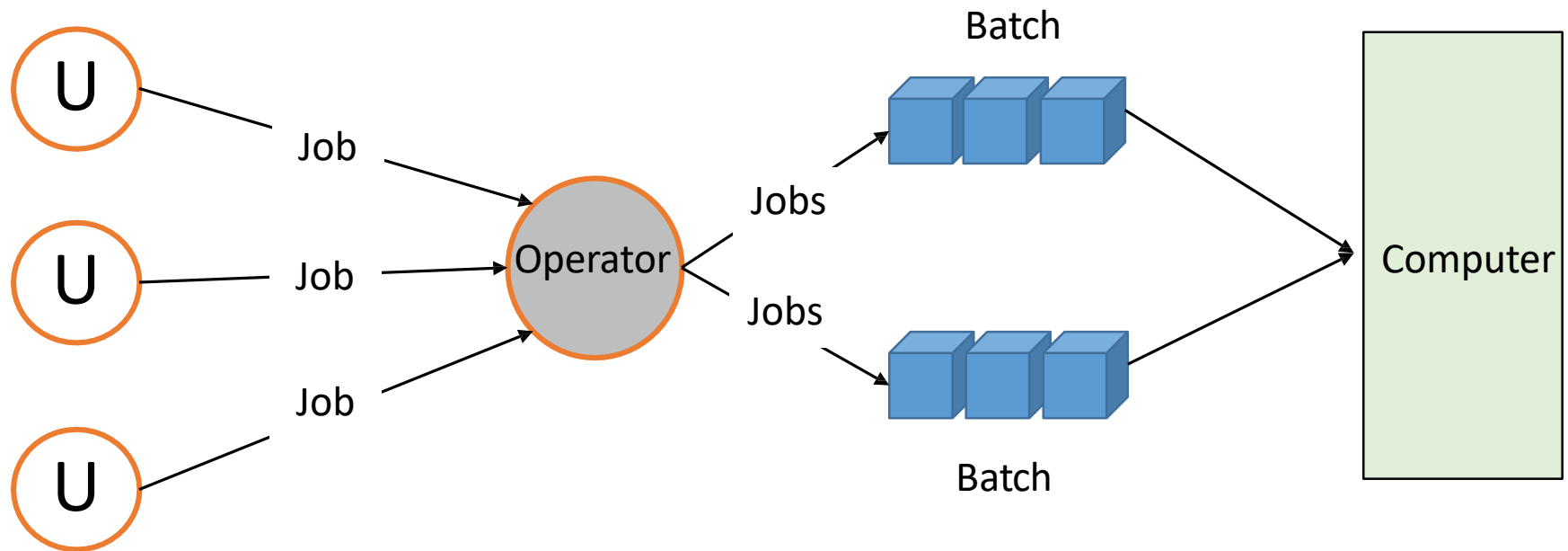
## CPU scheduling

Determining which process in memory is executed by the CPU at any given point



# History: Batch Processing

The first operating system was a **human operator**, who organized various jobs from multiple users into *batches* of jobs that needed the same resources.



As computer speed increased, the human operator became the bottleneck

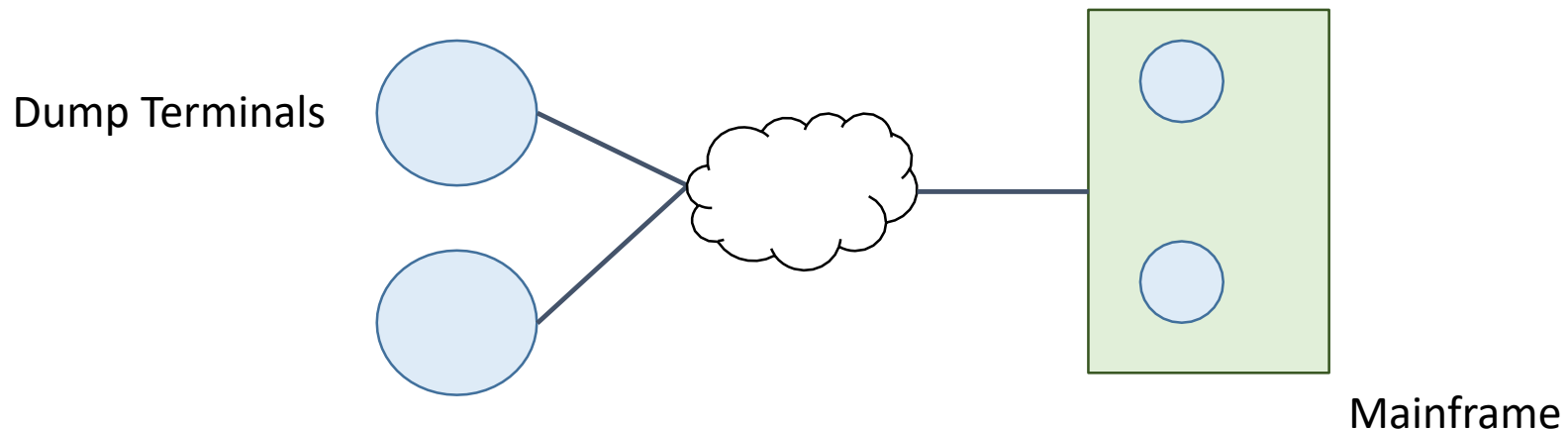
# History: Timesharing

## Timesharing system

A system that allows multiple users to interact with a computer at the same time

## Virtual machine

The illusion created by a time-sharing system that each user has his/her own machine





# Other Factors

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## **Real-time System**

A system in which response time is crucial given the nature of the application

## **Response time**

The time delay between receiving a stimulus and producing a response

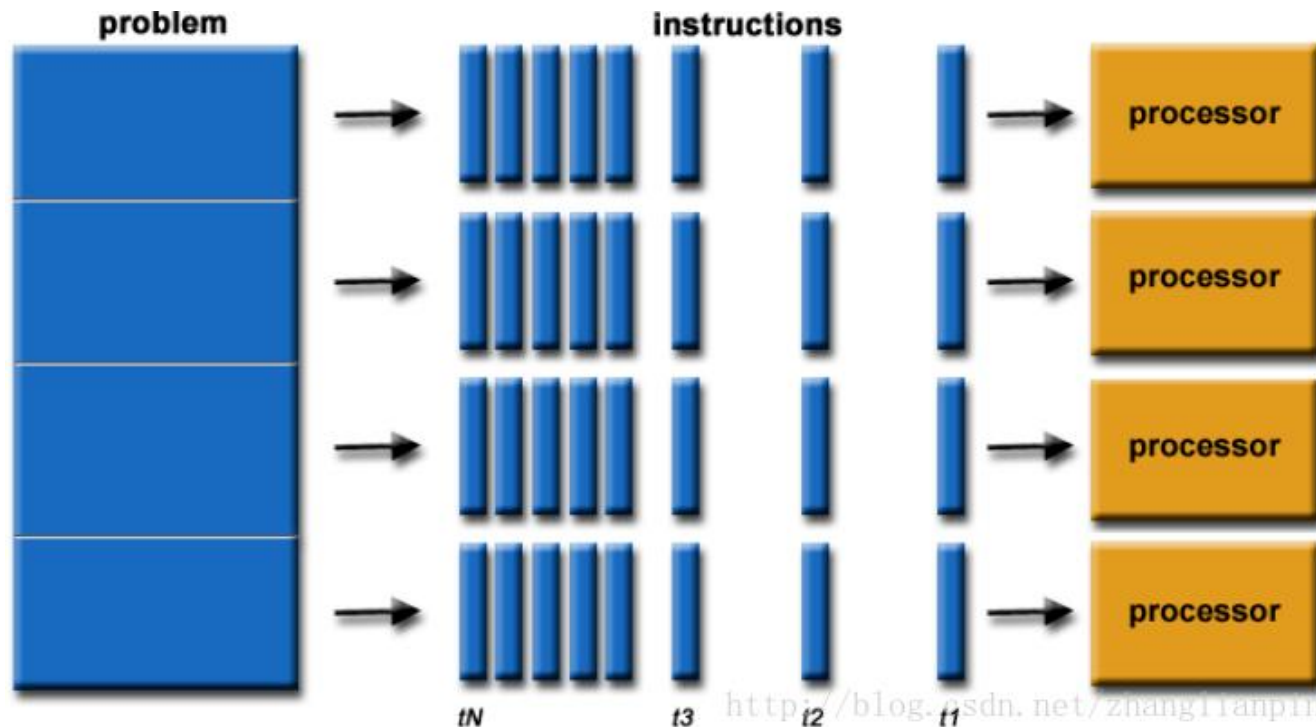
## **Device driver**

A small program that “knows” the way a particular device expects to receive and deliver information

# Parallel Systems



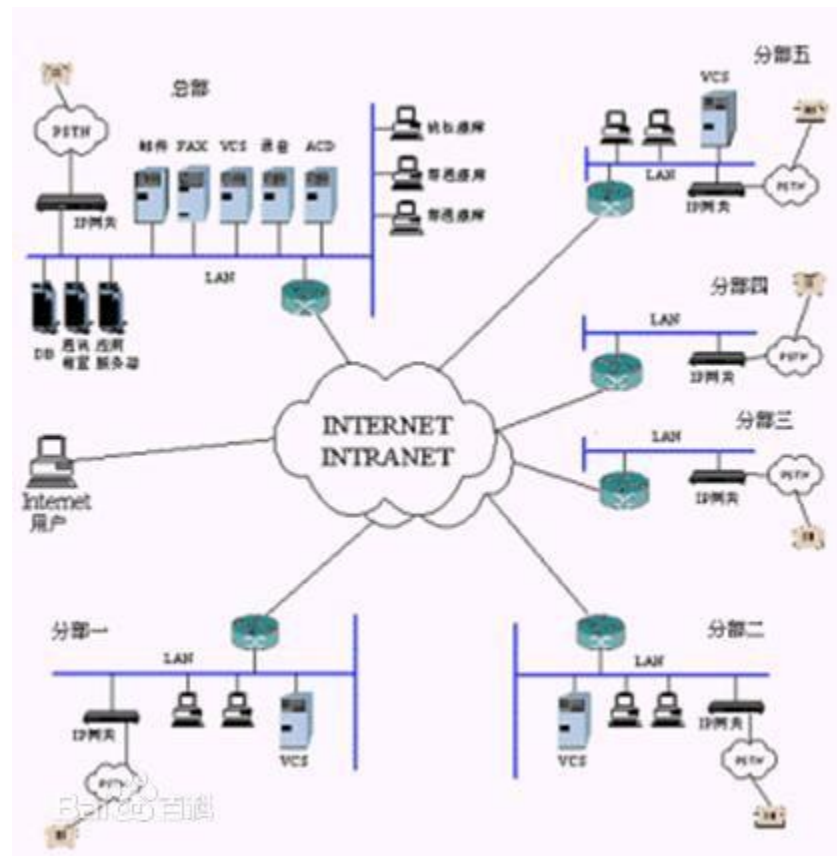
- Parallel systems
  - Multiple CPUs
  - Parallel instead of serially: one program uses one CPU



# Distributed Systems



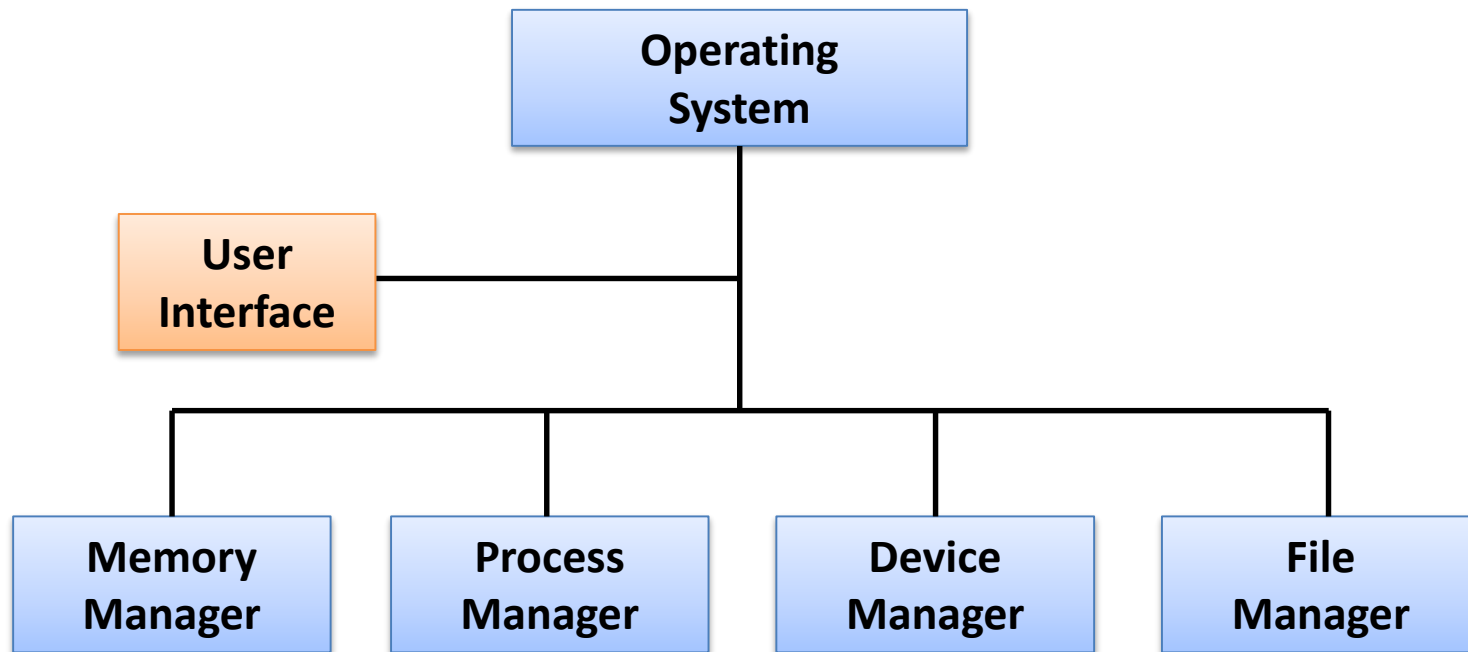
- Distributed systems
  - A job is run across multiple network-connected computers



# Components of OS



- An operating system needs to manage different resources in a computer system.



# Memory Management

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Operating systems must employ techniques to

1. Track where and how a program resides in memory
2. Convert logical addresses into actual addresses

## Logical address

Reference to a stored value relative to the program making the reference

## Physical address

Actual address in main memory

## Address Binding

The mapping from a logical address to a physical address

# Memory Management

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- The size of physical memory is fixed and limited!
- Can you run 'unlimited' number of programs?
  - Yes, in demand paging and segmentation
- Virtual memory
  - Logical view of memory: unlimited size!
- Virtual Address to Physical Address?

# Memory Management



P1:  
int a = -109;  
a: reference ~ logical address = 0000

P2:  
int a = 109;  
a: reference ~ logical address = 0000

0000

0001

0002

0003

0004

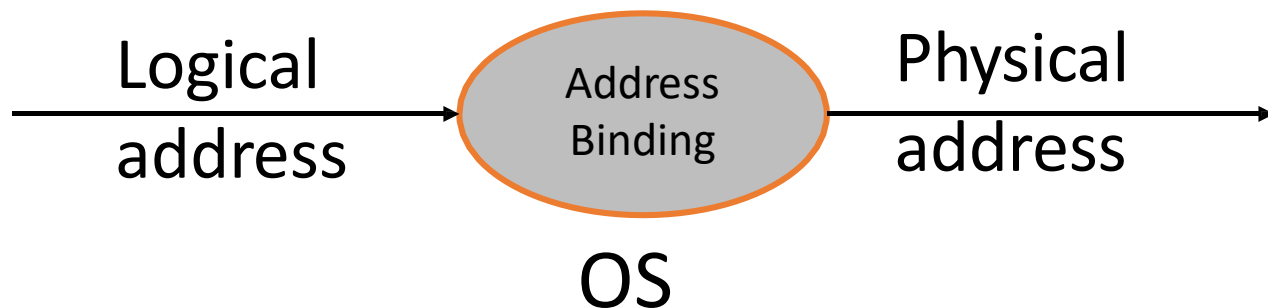
0005

.....

FFFF

1001 0011

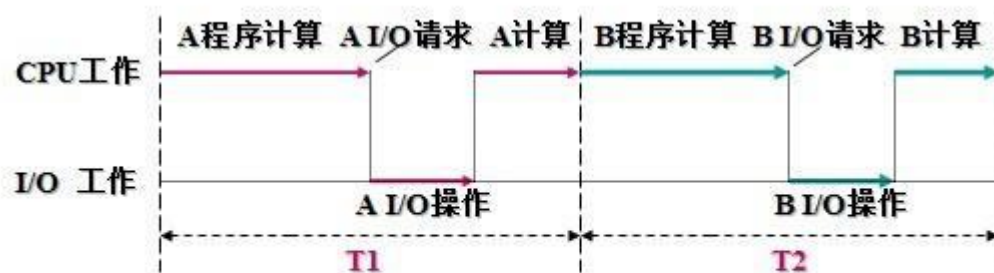
0110 1101



# Memory Management



- Limited memory vs. many programs and data~
  - Running Out of Memory~~
- **Monoprogramming** (单道程序)
  - Not used today
  - One program a time!
  - 特点:
    - 资源独占性
    - 执行的顺序性
    - 结果的可再现性
    - 运行结果的无关性



单道程序工作示例

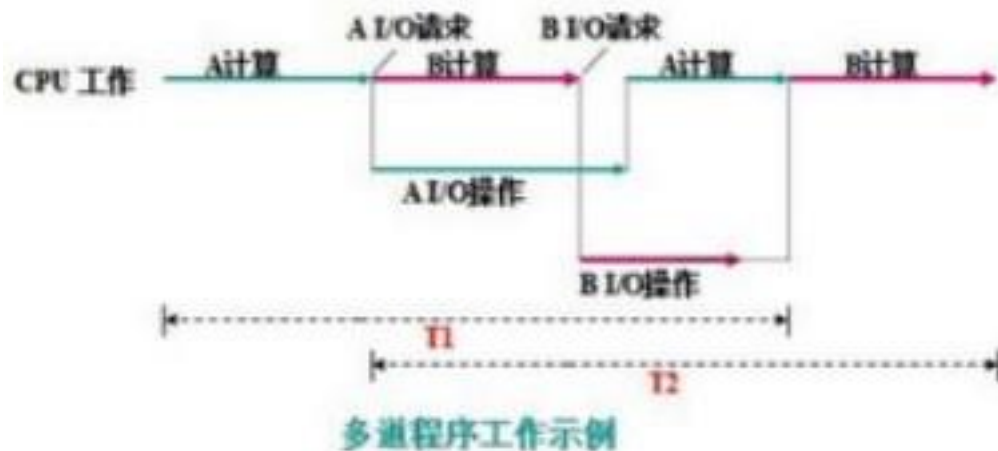


# Memory Management



- Multiprogramming

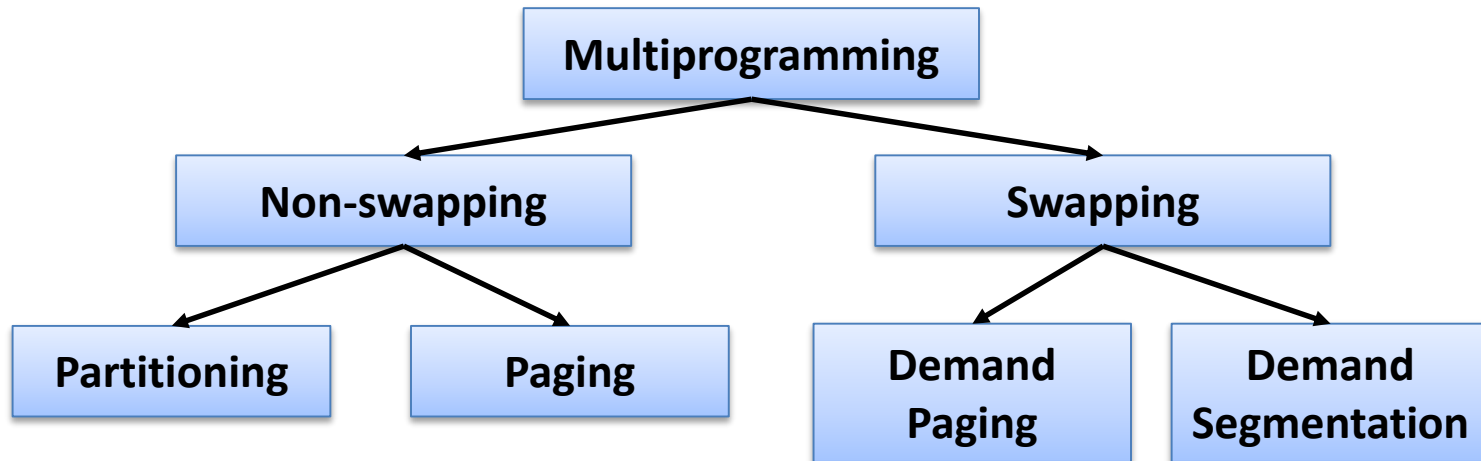
- More than one program is in memory at the same time, and they are executed **concurrently**!
- Concurrent: CPU switches between the programs
  - I/O events
  - Time slot exhausted
  - Terminated
- Context Switching
- 特点:
  - 提高CPU的利用率
  - 提高内存与I/O的利用率
  - 增加系统的吞吐量



# Memory Manager



- Memory management in multiprogramming



- Non-swapping
  - A program must be fully loaded into memory before executed
  - A program only be swapped out of memory when finished.

# Memory Management

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- **Partitioning**

- Memory is divided into variable length sections
- ‘holes’: waste of memory

- **Paging**

- Memory is divided into equal size frames
- Program is divided into equal size pages
- Normally, frame size = page size
- Why this is more efficient than partitioning?

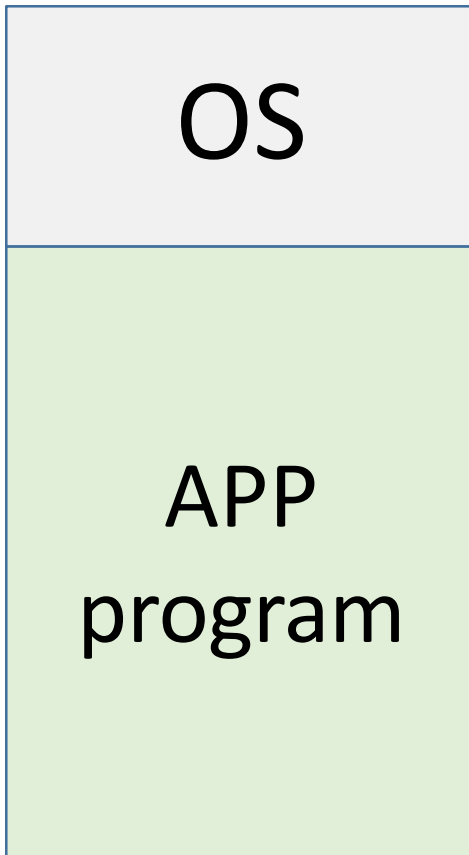
# Memory Management

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- **Demand paging**
  - Only the current needed pages of a program must be loaded into memory for execution
- **Demand segmentation**
  - Logically, a program is divided into modules, not equally sized pages.
  - Memory is divided into segments.
  - A module is entirely loaded into a segment.
- **Demand paging and segmentation**

# Memory Management



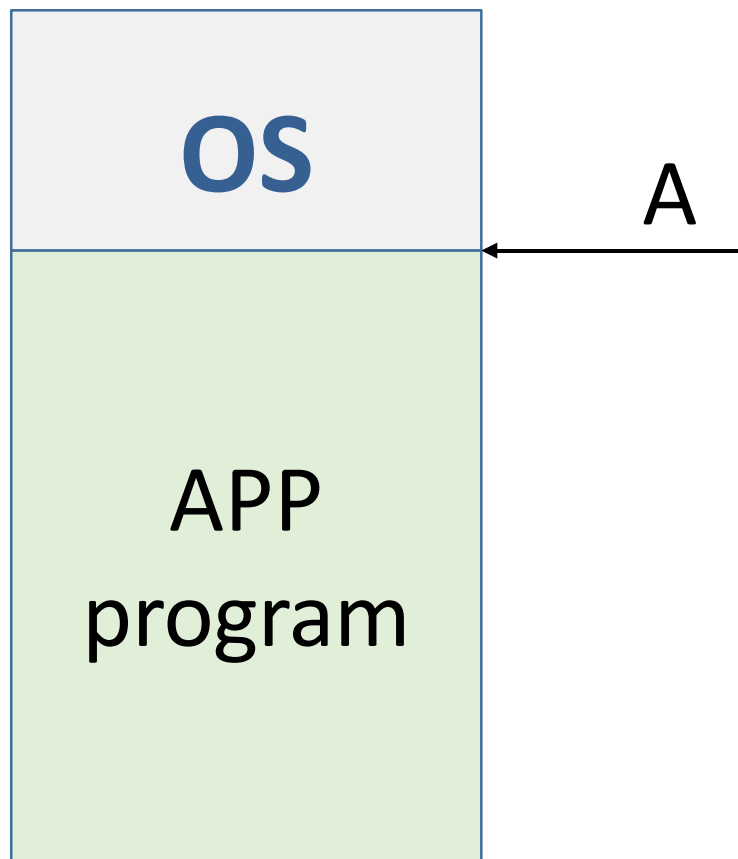
There are only two programs in memory

- The operating system

- The application program

This approach is called **single contiguous memory management**

# Memory Management



Logical address:  $L$

Physical address:  $A+L$

Multiprogramming?

# Partition Memory Management



**Single contiguous MM** has only the OS and one other program in memory at one time

**Partition MM** has the OS and any number of other programs in memory at one time

There are two schemes for dividing up memory for programs:

**Fixed partitions** Main memory is divided into a fixed number of partitions into which programs can be loaded

**Dynamic partitions** are created as needed to fit the programs waiting to be loaded

# Partition Memory Management

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Memory is divided into a set of partitions, some empty and some allocated to programs

## Base register

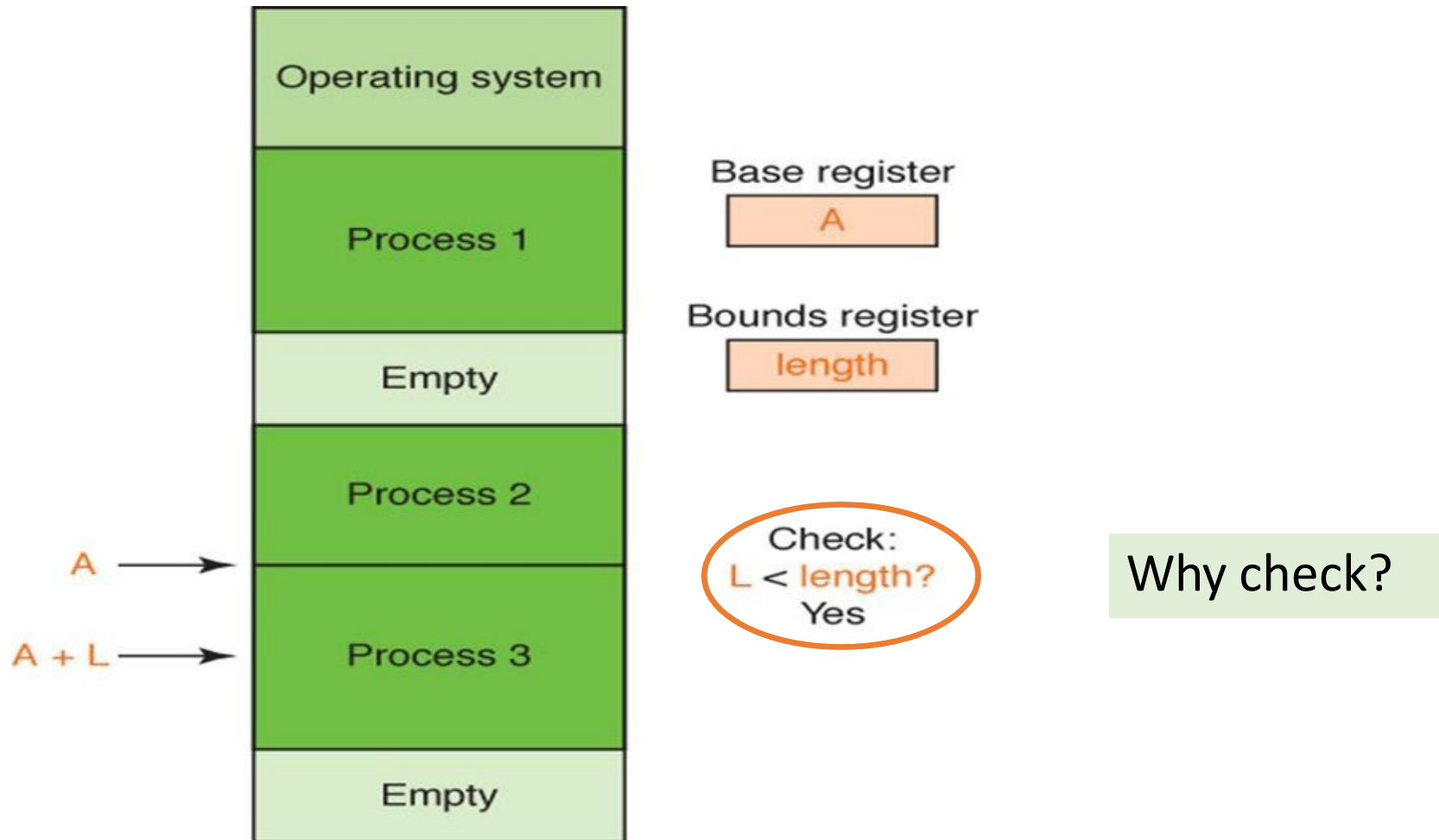
A register that holds the beginning address of the current partition (the one that is running)

## Bounds register

A register that holds the length of the current partition



# Partition Memory Management



# Partition Memory Management



Which partition should we allocate to a new program?

**First fit** Allocate program to the first partition big enough to hold it

**Best fit** Allocated program to the smallest partition big enough to hold it

**Worst fit** Allocate program to the largest partition big enough to hold it

Can you give a rationale for each?



# Paged Memory Management

## Paged memory technique

A technique in which processes are divided into fixed-size **pages** and stored in memory **frames** when loaded

## Frame

A fixed-size portion of *main memory* that holds a process page

## Page

A fixed-size portion of a *process* that is stored into a memory frame

**We assume that a frame and a page are the same size**



# Paged Memory Management

**P1 PMT**

Page    Frame

0	5
1	12
2	15
3	7
4	22

**P2 PMT**

Page    Frame

0	10
1	18
2	1
3	11

**Memory**

Frame    Contents

0	
1	P2/Page2
2	
3	
4	
5	P1/Page0
6	
7	P1/Page3
8	
9	
10	P2/Page0
11	P2/Page3
12	P1/Page1
13	
14	
15	P1/Page2

Integer logical address is mapped into a logical address : <page number, offset>

## Page number

Address divided by the page size (say 1024)

## Offset

The remainder of the address divided by the page size

$$2566 \text{ DIV } 1024 = 2$$

$$2566 \text{ MOD } 1024 = 518 \quad ==>$$

<2, 518>

- 
- Page Management Table for each program.

# Paged Memory Management

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## Demand paging

An extension of paged memory management in which pages are brought into memory on demand

## Page swap

The act of bringing in a page from secondary memory, which often causes another page to be written back to secondary memory

# Paged Memory Management

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## Virtual memory

The illusion that there are no restrictions on the size of a program because an entire process doesn't have to be in memory at the same time

## Thrashing

Inefficient processing caused by constant page swaps