

VE320 Mid1 RC_part2

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June 12, 2024

1 Chapter 3

- Effective Mass
- Density of States Function
- Statistical Mechanics

2 Chapter 4

- Charge Carriers in Semiconductors
- Intrinsic Semiconductor
- Extrinsic Semiconductor

Effective Mass

- $F_{\text{ext}} = m^* a$

- for electrons in free space:

$$E = \frac{\hbar^2 k^2}{2m}, \quad \frac{1}{\hbar} \frac{dE}{dk} = v, \quad \frac{1}{\hbar^2} \frac{d^2 E}{dk^2} = \frac{1}{m}$$

- for electrons in crystalline semiconductors:

use parabola approximation for electrons near the bottom of the conduction band and the top of the valence band

$$\frac{1}{\hbar^2} \frac{d^2 E}{dk^2} = \frac{1}{m^*}$$

- $E(k) = E_c + \frac{\hbar^2}{2m_n^*} (k - k_1)^2$

- $E(k) = E_v - \frac{\hbar^2}{2m_p^*} (k - k_2)^2$

- k_1, k_2 : k axis coordinate of bottom point and top point
 $m_n^*, m_p^* > 0$

Density of States Function

- for electrons in the lattice:

$$g(E) = \frac{4\pi(2m)^{3/2}\sqrt{E}}{h^3}$$

- for electrons at the bottom of conduction band:

$$g_c(E) = \frac{4\pi(2m_n^*)^{3/2}\sqrt{E - E_c}}{h^3}$$

- for electrons at the top of valence band:

$$g_v(E) = \frac{4\pi(2m_p^*)^{3/2}\sqrt{E_v - E}}{h^3}$$

Fermi-Dirac Probability Distribution

- Fermi-Dirac probability distribution function describes the probability density that a quantum state with energy E is occupied.

$$\frac{N(E)}{g(E)} = f_F(E) = \frac{1}{1 + \exp\left(\frac{E - E_F}{kT}\right)}$$

- E_F is the Fermi energy level
- $N(E)$: number of particles per unit volume per unit energy
 $g(E)$: number of quantum states per unit volume per unit energy
 $f_F(E)$: probability that a quantum state at energy level E is occupied by an electron
- probability that a state is empty: $1 - f_F(E)$

Fermi-Dirac Probability Distribution

Temperature T determines the shape of $f_F(E)$:

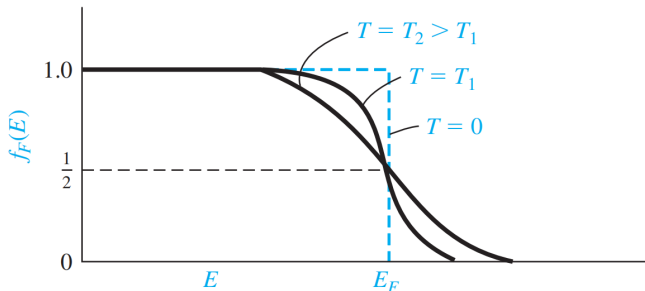


Figure 3.33 | The Fermi probability function versus energy for different temperatures.

Maxwell-Boltzmann Approximation

- Approximation can be applied when

$$E - E_F > 3kT$$

- approximated probability density:

$$f_F(E) = \exp\left(-\frac{E - E_F}{kT}\right)$$

Charge Carriers in Semiconductors

- $n(E) = g_c(E)f_F(E)$
- $p(E) = g_v(E)(1 - f_F(E))$
- concentration for the whole band: integrate the above equations over the entire conduction/valence band
- thermal equilibrium electron concentration:

$$n_0 = \int g_c(E)f_F(E)dE$$

- thermal equilibrium hole concentration:

$$p_0 = \int g_v(E)(1 - f_F(E))dE$$

Charge Carriers in Semiconductors

- $n_0 = N_c \exp\left(\frac{E_F - E_c}{kT}\right)$
- $p_0 = N_v \exp\left(\frac{E_v - E_F}{kT}\right)$
- $N_c = 2 \frac{(2\pi m_n^* kT)^{3/2}}{h^3}$
- $N_v = 2 \frac{(2\pi m_p^* kT)^{3/2}}{h^3}$
- N_c : effective density of states function in the conduction band
- N_v : effective density of states function in the valence band

Intrinsic Semiconductor

- $n_0 = p_0 = n_i$
- $n_0 p_0 = n_i^2 = N_c N_v \exp\left(\frac{E_v - E_c}{kT}\right)$
- $n_i = \sqrt{N_c N_v} \exp\left(-\frac{E_g}{2kT}\right)$
- intrinsic Fermi-level position: $E_{Fi} - E_{midgap} = \frac{3}{4} kT \ln\left(\frac{m_p^*}{m_n^*}\right)$

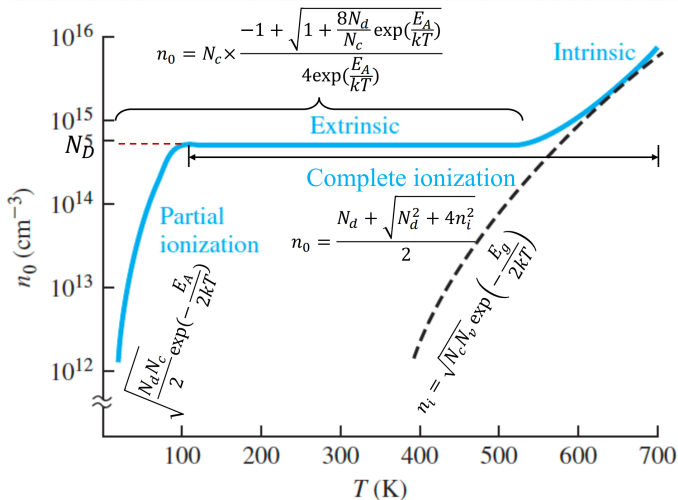
Extrinsic Semiconductor

- n-type: $n_0 > p_0$
- p-type: $p_0 > n_0$
- $n_0 = N_c \exp\left(\frac{E_F - E_c}{kT}\right) = n_i \exp\left(\frac{E_F - E_{Fi}}{kT}\right)$
- $p_0 = N_v \exp\left(\frac{E_v - E_F}{kT}\right) = n_i \exp\left(\frac{-(E_F - E_{Fi})}{kT}\right)$
- $n_0 p_0 = n_i^2$

Statistics of Donors and Acceptors

- $n_d = \frac{N_d}{1 + \frac{1}{g} \exp(\frac{E_d - E_F}{kT})}$, $g = 2$
- $n_a = \frac{N_a}{1 + \frac{1}{g} \exp(\frac{E_F - E_a}{kT})}$, $g = 4$
- $n_d = N_d - N_d^+$
- $n_a = N_a - N_a^-$
- N_d : concentration of donor atoms
 N_d^+ : concentration of ionized donors
- complete ionization: at room temperature, $N_d^+ \approx N_d$
- freeze out: at $0K$, $n_d \approx N_d$

Electron Concentration versus Temperature



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Charge Neutrality

- $n + N_a^- = N_d^+ + p$
- $n_0 p_0 = n_i^2$
- Assume complete ionization:

$$n_0 = \frac{N_d - N_a}{2} + \sqrt{\left(\frac{N_d - N_a}{2}\right)^2 + n_i^2}$$

$$p_0 = \frac{N_a - N_d}{2} + \sqrt{\left(\frac{N_a - N_d}{2}\right)^2 + n_i^2}$$

For more details, please refer to Chapter 4 slides:

Equilibrium electron and hole concentration

$$n_0 = N_d^+$$

$$n_0 = N_c \exp\left(\frac{E_F - E_c}{kT}\right) = N_D^+ = \frac{N_d}{1 + 2 \exp\left(\frac{E_F - E_D}{kT}\right)}$$

$$\exp\left(\frac{E_F - E_c}{kT}\right) = \frac{n_0}{N_c}$$

$$n_0 = \frac{N_d}{1 + 2 \exp\left(\frac{E_c - E_d}{kT}\right) \exp\left(\frac{E_F - E_c}{kT}\right)}$$

$$= \frac{n_0}{N_c}$$



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Equilibrium electron and hole concentration

$$2 \exp\left(\frac{E_A}{kT}\right) n_0^2 + N_c n_0 - N_d N_c = 0$$

$$n_0 = N_c \times \frac{-1 + \sqrt{1 + \frac{8N_d}{N_c} \exp\left(\frac{E_A}{kT}\right)}}{4 \exp\left(\frac{E_A}{kT}\right)}$$

Position of Fermi Energy Level

- E_F is not fixed for a material, depends on doping
- $E_c - E_F = kT \ln\left(\frac{N_c}{n_0}\right)$
- $E_F - E_v = kT \ln\left(\frac{N_v}{p_0}\right)$
- $E_F - E_{Fi} = kT \ln\left(\frac{n_0}{n_i}\right)$
- $E_{Fi} - E_F = kT \ln\left(\frac{p_0}{n_i}\right)$

- ① Semiconductor Physics and Devices: Basic Principles 4th ed. Donald A. Neamen.
- ② 2023Summer Ve320_RC_2, Shuo Deng
- ③ 2023Summer ve320_mid_rc_part2, Shuo Deng
- ④ 2023Summer VE320 RC3, Jiajun Sun
- ⑤ 2023Summer Mid1 RC - part3, Xinyi Zhou