

If we do many many searches,  
how to minimize total  
search time?

If node  $x$  is a more  
frequent search than  
 $y$ , we want  $x$  to have the  
lower depth.

If some nodes are searched for much more frequently, best tree could have depth  $\Omega(n)$ .

# Optimal Binary Search Tree

Given is A sorted array  $A[1..n]$  of keys for the nodes.

2) An array  $f[1..n]$  of access frequencies.

We search for  $A[i]$  a total of  $f[i]$  times.

Goal: Build best static BST to minimize total search time.

For a given BST  $T$ ,

let  $v_1, v_2, \dots, v_n$  be its nodes  
in sorted order so  $v_i$  stores  
 $A[i]$ .

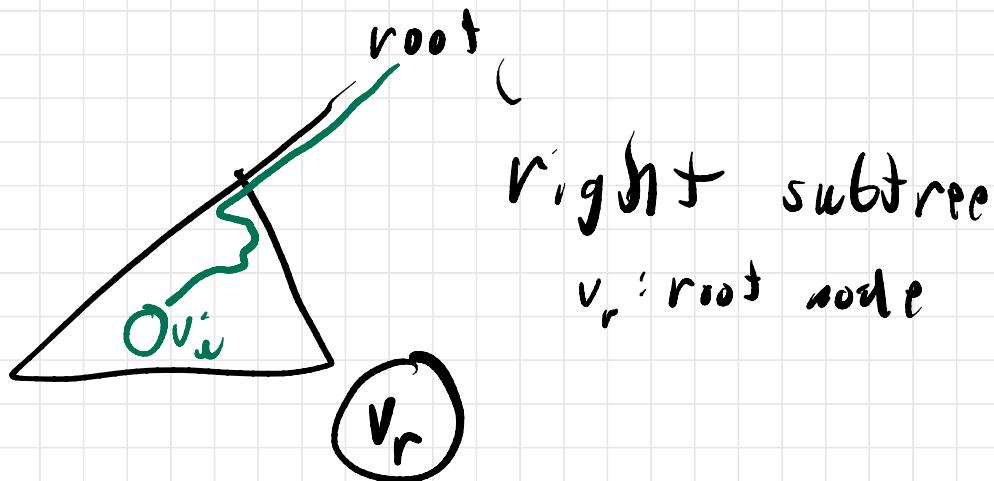
$\text{Cost}(T, f[1..n]) :=$

$$\sum_{i=1}^n f[i] \cdot \left( \begin{array}{l} \text{ancestors of} \\ v_i \text{ in } T \end{array} \right)$$

(node's depth + 1)

(root has one ancestor:  
itself)

binary search tree:



If  $i < r$ , all ancestors of  $v_i$  except  $v_r$  are in left subtree.

$$\begin{aligned} \text{Cost}(T, f[1..n]) &= \sum_{i=1}^n f[i] \cdot 1 \\ &+ \sum_{i=1}^{r-1} f[i] \cdot \left( \begin{array}{l} \# \text{ ancestors in} \\ \text{left}(T) \end{array} \right) \\ &+ \sum_{i=r+1}^n f[i] \cdot \left( \begin{array}{l} \# \text{ ancestors in} \\ \text{right}(T) \end{array} \right) \end{aligned}$$

$$\text{Cost}(T, f[1..n]) = \sum_{i=1}^n f[i] +$$

$$\begin{aligned} & \text{Cost}(\text{left}(T), f[1..r-1]) \\ & + \text{Cost}(\text{right}(T), f[r+1..n]) \end{aligned}$$

$$\text{Cost}(T, f[1..0]) = 0$$

$\text{OptCost}(i, k)$ : optimal cost for BST over  $A[i..k]$ .

$\text{OptCost}(i, k) =$

$$\begin{cases} 0 & \text{if } i > k \\ \sum_{j=i}^k f[j] + \min_{i \leq r \leq k} \left\{ \begin{array}{l} \text{OptCost}(i, r-1) \\ + \text{OptCost}(r+1, k) \end{array} \right\} & \text{otherwise} \end{cases}$$

O.W.

Final goal: Compute  $\text{OptCost}(1, n)$

$$F[i..k] := \sum_{j=i}^k f[j].$$

$$F[i..k] = \begin{cases} 0 & \text{if } i > k \\ F[i..k-1] + f[k] & \text{o.w.} \end{cases}$$

Goal: Fill array  $F[1..n, 1..n]$ .

$O(n^2)$  subproblems in  $O(1)$  time each.

INITF( $f[1..n]$ ):

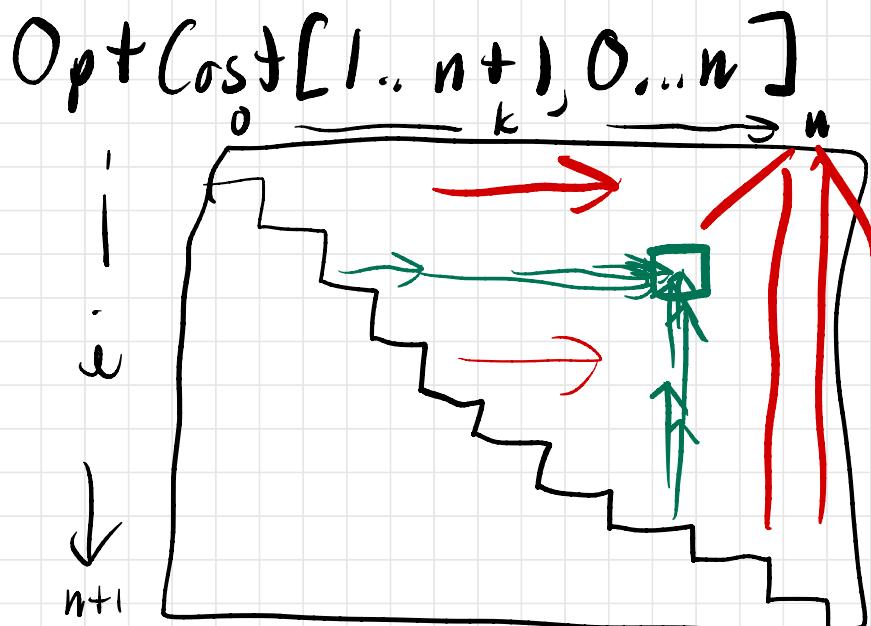
```
for  $i \leftarrow 1$  to  $n$ 
     $F[i, i-1] \leftarrow 0$ 
    for  $k \leftarrow i$  to  $n$ 
         $F[i, k] \leftarrow F[i, k-1] + f[k]$ 
```

$$OptCost(i, k) = \begin{cases} 0 & \text{if } i > k \\ F[i, k] + \min_{i \leq r \leq k} \left\{ OptCost(i, r-1) + OptCost(r+1, k) \right\} & \text{otherwise} \end{cases}$$

Memoization:

subproblems:  $1 \leq i \leq n+1$   
 $0 \leq k \leq n$

memo data structure:



COMPUTEOPTCOST( $i, k$ ):

```
OptCost[ $i, k$ ]  $\leftarrow \infty$ 
for  $r \leftarrow i$  to  $k$ 
    tmp  $\leftarrow OptCost[i, r - 1] + OptCost[r + 1, k]$ 
    if  $OptCost[i, k] > tmp$ 
        OptCost[ $i, k$ ]  $\leftarrow tmp$ 
    OptCost[ $i, k$ ]  $\leftarrow OptCost[i, k] + F[i, k]$ 
```

OPTIMALBST2( $f[1..n]$ ):

```
INITF( $f[1..n]$ )
for  $i \leftarrow n + 1$  downto 1
    OptCost[ $i, i - 1$ ]  $\leftarrow 0$ 
    for  $j \leftarrow i$  to  $n$ 
        COMPUTEOPTCOST( $i, j$ )
return OptCost[ $1, n$ ]
```

$O(n^3)$  time  
 $O(n^2)$  subproblems  
 $O(n)$  per problem

$O(n^2)$  with more work!  
[Erickson D.3]

Independent Set of a  
graph: set of vertices  
that have no shared edges

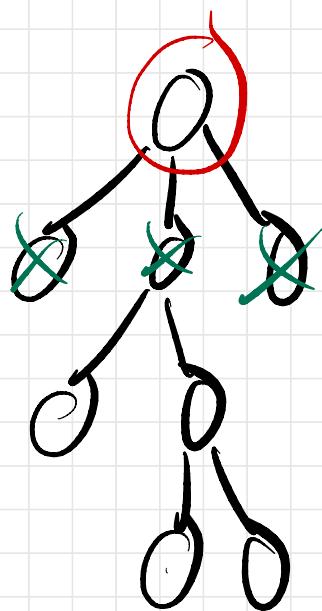
Max ind. set: given a graph,  
find max size ind. set

REALLY HARD

(for arbitrary input graphs)

So, say we're given a tree  $T$ .

Root it...



Do we include the root?

if no, find max ind sets in each subtree independently

if yes... find sets in grandchild subtrees!

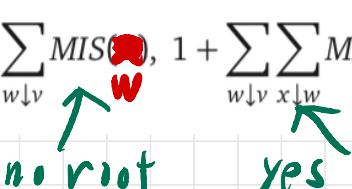
$MIS(v)$ : size of MIS

in subtree rooted at  $v$

$w \downarrow v$ : " $w$  is a child of  $v$ "

a child of  $v$ .

$$MIS(v) = \max \left\{ \sum_{w \downarrow v} MIS(w), 1 + \sum_{w \downarrow v} \sum_{x \downarrow w} MIS(x) \right\}$$



Next time: how to

memoize!