# Zhewei Yao | Curriculum Vitae

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I am a Ph.D. student in the RISELab (former AMPLab), BDD, BAIR and Math Department at University of California at Berkeley. I am advised by Prof. Michael Mahoney and also working closely with Prof. Kurt Keutzer. My research interest lies in optimization and machine learning. Currently, I am interested in leveraging tools from randomized linear algebra to provide efficient and scalable solutions for large-scale optimization and learning problems. I apply second order methods for model compression as well as neural network optimization. I am also working on the theory and application of deep learning.

#### **Education**

University of California at Berkeley

CA, USA

Ph.D. in Applied Mathematics, Department of Mathematics

Sep. 2016-Present

Shanghai Jiao Tong University

B.S. in Applied Mathematics, Zhiyuan Honor College

Shanghai China Sep. 2012–Jun. 2016

# Publications (\*: equal contribution) [Google Scholar]

#### HAWQ-V3: Dyadic Neural Network Quantization in Mixed Precision

o **Z. Yao**\*, Z. Dong\*, Z. Zheng\*, A. Gholami\*, E. Tan, J. Li, L. Yuan, Q. Huang, Y. Wang, M. W. Mahoney, K. Keutzer
Under submission

#### **Benchmarking Semi-supervised Federated Learning**

Z. Zhang\*, **Z. Yao**\*, Y. Yang, Y. Yan, J. E. Gonzalez, and M. W. Mahoney arXiv

### A Statistical Framework for Low-bitwidth Training of Deep Neural Networks

J. Chen, Y. Gai, **Z. Yao**, M. W. Mahoney, and J. E. GonZalez Proc. NeurIPS 2020, coming soon

#### An Effective Framework for Weakly-Supervised Phrase Grounding

Q. Wang, H. Tan, S. Shen, M. W. Mahoney, and **Z. Yao**Proc. EMNLP2020, coming soon

#### ADAHESSIAN: An Adaptive Second Order Optimizer for Machine Learning

**Z. Yao**\*, A. Gholami\*, S. Shen, K. Keutzer, and M. W. Mahoney arXiv, code

#### **Rethinking Batch Normalization in Transformers**

S. Shen\*, **Z. Yao**\*, A. Gholami, M. W. Mahoney, and K. Keutzer

arXiv, code

Proc. ICML2020

#### ZeroQ: A Novel Zero Shot Quantization Framework

Y. Cai\*, **Z. Yao**\*, Z. Dong\*, A. Gholami, M. W. Mahoney, and K. Keutzer arXiv, code

Proc. CVPR2020

#### PyHessian: Neural Networks Through the Lens of the Hessian

Z. Yao, A. Gholami, K. Keutzer, M. W. Mahoney arXiv. code

A short version was accepted as a spotlight paper at ICML'20 workshop on Beyond First-Order Optimization Methods in Machine Learning

## HAWQ-V2: Hessian Aware trace-Weighted Quantization of Neural Networks

Z. Dong, Z. Yao, Y. Cai, D. Arfeen, A. Gholami, M. W. Mahoney, K. Keutzer arXiv

Proc. NeurIPS 2020

#### Q-BERT: Hessian Based Ultra Low Precision Quantization of BERT

S. Shen, Z. Dong, J. Ye, L. Ma, **Z. Yao**, A. Gholami, M. W. Mahoney, K. Keutzer arXiv

Proc. AAAI 2020.

#### ANODEV2: A Coupled Neural ODE Evolution Framework

T. Zhang\*, **Z. Yao**\*, A. Gholami\*, K. Keutzer, J. Gonzalez, G. Biros, and M. W. Mahoney arXiv, code

Proc. NeurIPS 2019

#### Residual Networks as Nonlinear Systems: Stability Analysis using Linearization

K. Rothauge, **Z. Yao**, Z. Hu, and M. W. Mahoney arXiv

#### HAWQ: Hessian AWare Quantization of Neural Networks with Mixed-Precision

<sup>o</sup> Z. Dong\*, **Z. Yao**\*, A. Gholami\*, M. W. Mahoney, K. Keutzer arXiv

Proc. ICCV 2019

#### Inefficiency of K-FAC for Large Batch Size Training

L. Ma, G. Montague, J. Ye, **Z. Yao**, A. Gholami, K. Keutzer, M. W. Mahoney arXiv

Proc. AAAI 2020.

### Shallow Learning for Fluid Flow Reconstruction with Limited Sensors and Limited

Data

N. B. Erichson, L. Mathelin, **Z. Yao**, S. L. Brunton, M. W. Mahoney, J. N. Kutz arXiv

#### JumpReLU: A Retrofit Defense Strategy for Adversarial Attacks

N. B. Erichson\*, Z. Yao\*, M. W. Mahoney arXiv

#### Trust Region Based Adversarial Attack on Neural Networks

Z. Yao, A. Gholami, P. Xu, K. Keutzer, M. W. Mahoney

arXiv, code

Proc. CVPR 2019

#### Parameter Re-Initialization through Cyclical Batch Scheduling

N. Mu\*, **Z. Yao**\*, A. Gholami, K. Keutzer, M. W. Mahoney arXiv

Proc. MLSYS Workshop at NeurIPS 2018

# On the Computational Inefficiency of Large Batch Sizes for Stochastic Gradient Descent

N. Golmant, N. Vemuri, **Z. Yao**, V. Feinberg, A. Gholami, K. Rothauge, M. W. Mahoney, J. Gonzalez arXiv

### Large batch size training of neural networks with adversarial training and secondo order information

**Z. Yao**\*, A. Gholami\*, K. Keutzer, M. W. Mahoney arXiv, code

#### Hessian-based Analysis of Large Batch Training and Robustness to Adversaries

**Z. Yao**\*, A. Gholami\*, Q. Lei K. Keutzer, M. W. Mahoney arXiv, code

Proc. NeurIPS 2018

#### Inexact non-convex Newton-type methods

**Z. Yao**, P. Xu, F. Roosta-Khorasani, M. W. Mahoney

Accepted by INFORMS Journal on Optimization, coming soon.

### A hybrid adaptive MCMC algorithm in function spaces

Q. Zhou, Z. Hu, **Z. Yao**, J. Li

arXiv

SIAM/ASA Journal on Uncertainty Quantification 5 (1), 621-639

# On an adaptive preconditioned Crank–Nicolson MCMC algorithm for infinite o dimensional Bayesian inference

Z. Hu\*, **Z. Yao**\*, J. Li arXiv

Journal of Computational Physics 332, 492-503

# A TV-Gaussian prior for infinite-dimensional Bayesian inverse problems and its o numerical implementation

**Z. Yao\***, Z. Hu\*, J. Li

arXiv

Inverse Problems 32 (7), 075006 (Highlight Paper)

# Research Experiences

#### University of California at Berkeley

CA, USA

Ph.D. Researcher at RiseLab and BDD

Sep. 2016-Present

- Develop trust region based adversarial attack and propose statistical based defense method to adversarial attack
- Use ODE method to explain the behavior of residual neural network
- Used Hessian information to (i) analyze large batch training and robustness of neural networks (ii) train neural networks for large batch training (iii) determine mixed-precision and fine-tuning order for quantizing neural network
- Investigated the scaling behavior of stochastic gradient descent and K-FAC with large batch sizes for neural networks
- Proposed stochastic variants of 2nd-order methods for non-convex optimization problem and establish theories
- Applied deep learning to other fields, e.g. scientific datasets and fluid dynamics

Facebook CA, USA

Software Engineer

May. 2020-Aug. 2020

- Tried Gauss-Newton method for deep learning
- Investigated different variants of Gauss-Newton methods for computer vision tasks and recommendation systems

Amazon AWS AI CA, USA

Applied Scientist

May. 2019-Aug. 2019

- Applied machine learning algorithm to explore very large scale configurations problems
- Investigated transfer learning and exploration of TVM computation configuration generation with different batch sizes and GPUs
- Investigated reinforce learning to explore fast database query answering, particularly on the Materialized View Update and Vacuum frequency.

Alibaba Beijing, China

Researcher intern at Alimama

Dec. 2018-Jan. 2019

- Investigated over-fitting of recommendation system
- Investigated large batch training of recommendation system

#### **Lawrence Berkeley Notional Laboratory**

CA, USA

Researcher intern at NERSC

May. 2018-Aug. 2018

- Implemented CPU Parallelization of PyTorch to train large climate dataset (over 400 Gb)
- Tested robustness on models trained with scientific datasets

## Shanghai Jiao Tong University

Shanghai, China

Undergraduate Researcher

Sep. 2014-Jun. 2016

- Considered MCMC algorithm in infinite-dimensional space
- Designed a TG-prior with better edge-preserving property and two new adaptive algorithms

# **Others**

- Programming Languages: C++, Matlab, Python, Pytorch, Tensorflow
- o Reviewer for: NeurIPS 2018/19/20, ICLR 2019/20, ECCV 2020, ICML 2020, JMLR
- o Teaching:

**Stat 89A: Linear Algebra for Data Science** *Graduate Student Instructor* 

UC Berkeley Spring 2018

Math 16A: Analytic Geometry and Calculus

UC Berkeley

Graduate Student Instructor

Spring 2017 & Fall 2016