# **Zhewei Yao** | Curriculum Vitae

City Center, Redmond, WA 98052

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I am currently working as a Senior Researcher at Microsoft. I was a Ph.D. student in the RISELab (former AMPLab), BDD, BAIR, and Math Department at University of California at Berkeley. I was advised by Prof. Michael Mahoney and worked closely with Prof. Kurt Keutzer. My research interest lies in optimization and machine learning. Currently, I am interested in leveraging tools from randomized linear algebra to provide efficient and scalable solutions for large-scale optimization and learning problems. I apply second order methods for model compression as well as neural network analysis/optimization. I am also working on the theory and application of deep learning.

### **Education**

University of California at Berkeley

CA, USA

Ph.D. in Applied Mathematics, Department of Mathematics

Sep. 2016-May. 2021

Shanghai Jiao Tong University

Shanghai China

B.S. in Applied Mathematics, Zhiyuan Honor College

Sep. 2012-Jun. 2016

# Publications (\*: equal contribution) [Google Scholar]

Hessian-Aware Pruning and Optimal Neural Implant

[1] S. Yu\*, **Z. Yao**\*, A. Gholami\*, Z. Dong\*, M. W. Mahoney, K. Keutzer arXiv, code

Proc. WACV 2022

Conference

What's Hidden in a One-layer Randomly Weighted Transformer?

[2] S. Shen\*, **Z. Yao**\*, D. Kiela, K. Keutzer, M. W. Mahoney arXiv, code

Proc. EMNLP 2021

#### ActNN: Reducing Training Memory Footprint via 2-Bit Activation Compressed

[3] Training

J. Chen, L. Zheng, Z. Yao, D. Wang, I. Stoica, M. W. Mahoney, J. E. Gonzalez arXiv, code

Proc. ICML 2021 (Oral)

I-BERT: Integer-only BERT Quantization

[4] S. Kim\*, A. Gholami\*, **Z Yao**\*, M. W. Mahoney, Kurt Keutzer arXiv, code (fairseq), code (transformers)

Proc. ICML 2021 (Oral)

#### HAWQ-V3: Dyadic Neural Network Quantization in Mixed Precision

[5] Z. Yao\*, Z. Dong\*, Z. Zheng\*, A. Gholami\*, J. Yu, E. Tan, L. Wang, Q. Huang, Y. Wang, M. W. Mahoney, K. Keutzer arXiv. code

Proc. ICML 2021

ADAHESSIAN: An Adaptive Second Order Optimizer for Machine Learning

[6] **Z. Yao\***, A. Gholami\*, S. Shen, K. Keutzer, and M. W. Mahoney arXiv. code

Proc. AAAI 2021

# A Statistical Framework for Low-bitwidth Training of Deep Neural Networks

J. Chen, Y. Gai, Z. Yao, M. W. Mahoney, and J. E. GonZalez arXiv, code

Proc. NeurIPS 2020

#### MAF: Multimodal Alignment Framework for Weakly-Supervised Phrase Ground-

[8] ing

Q. Wang, H. Tan, S. Shen, M. W. Mahoney, and Z. Yao arXiv, code

Proc. EMNLP 2020

#### PowerNorm: Rethinking Batch Normalization in Transformers

[9] S. Shen\*, **Z. Yao**\*, A. Gholami, M. W. Mahoney, and K. Keutzer arXiv. code

Proc. ICML 2020

#### ZeroQ: A Novel Zero Shot Quantization Framework

[10] Y. Cai\*, Z. Yao\*, Z. Dong\*, A. Gholami, M. W. Mahoney, and K. Keutzer arXiv, code

Proc. CVPR 2020

#### PyHessian: Neural Networks Through the Lens of the Hessian

[11] **Z. Yao**, A. Gholami, K. Keutzer, M. W. Mahoney

arXiv, code

Proc. BigData 2020

#### HAWQ-V2: Hessian Aware trace-Weighted Quantization of Neural Networks

[12] Z. Dong, **Z. Yao**, Y. Cai, D. Arfeen, A. Gholami, M. W. Mahoney, K. Keutzer arXiv, code

Proc. NeurIPS 2020

[13] Q-BERT: Hessian Based Ultra Low Precision Quantization of BERT S. Shen, Z. Dong, J. Ye, L. Ma, Z. Yao, A. Gholami, M. W. Mahoney, K. Keutzer  $\operatorname{arXiv}$ 

Proc. AAAI 2020.

## ANODEV2: A Coupled Neural ODE Evolution Framework

T. Zhang\*, Z. Yao\*, A. Gholami\*, K. Keutzer, J. Gonzalez, G. Biros, and M. W. Mahoney arXiv, code

Proc. NeurIPS 2019

HAWQ: Hessian AWare Quantization of Neural Networks with Mixed-Precision

Z. Dong\*, Z. Yao\*, A. Gholami\*, M. W. Mahoney, K. Keutzer arXiv, code

Proc. ICCV 2019

Inefficiency of K-FAC for Large Batch Size Training

[16] L. Ma, G. Montague, J. Ye, **Z. Yao**, A. Gholami, K. Keutzer, M. W. Mahoney arXiv

Proc. AAAI 2020.

JumpReLU: A Retrofit Defense Strategy for Adversarial Attacks

N. B. Erichson\*, Z. Yao\*, M. W. Mahoney

arXiv

Proc. ICPRAM 2020.

Trust Region Based Adversarial Attack on Neural Networks

Z. Yao, A. Gholami, P. Xu, K. Keutzer, M. W. Mahoney

arXiv. code

Proc. CVPR 2019

Hessian-based Analysis of Large Batch Training and Robustness to Adversaries [19]

Z. Yao\*, A. Gholami\*, Q. Lei K. Keutzer, M. W. Mahoney

arXiv, code

Proc. NeurIPS 2018

#### Shallow Learning for Fluid Flow Reconstruction with Limited Sensors and Limited

[1] Data

N. B. Erichson, L. Mathelin, Z. Yao, S. L. Brunton, M. W. Mahoney, J. N. Kutz

Proceedings of the Royal Society A.

**Inexact non-convex Newton-type methods** 

[2] **Z. Yao**, P. Xu, F. Roosta-Khorasani, M. W. Mahoney arXiv. code

INFORMS Journal on Optimization.

A hybrid adaptive MCMC algorithm in function spaces

[3] Q. Zhou, Z. Hu, **Z. Yao**, J. Li

arXiv

SIAM/ASA Journal on Uncertainty Quantification 5 (1), 621-639

On an adaptive preconditioned Crank-Nicolson MCMC algorithm for infinite

[4] dimensional Bayesian inference

Z. Hu\*, **Z. Yao**\*, J. Li

arXiv

Journal of Computational Physics 332, 492-503

A TV-Gaussian prior for infinite-dimensional Bayesian inverse problems and its

[5] numerical implementation

**Z. Yao\***, Z. Hu\*, J. Li

arXiv

Inverse Problems 32 (7), 075006 (Highlight Paper of 2016)

# Book Chapter....

A Survey of Quantization Methods for Efficient Neural Network Inference

<sup>[1]</sup> A. Gholami\*, S. Kim\*, Z. Dong\*, **Z. Yao**\*, M. W. Mahoney, K. Keutzer arXiv

Book Chapter: Low-Power Computer Vision: Improving the Efficiency of Artificial Intelligence, 2021.

#### Workshop

Parameter Re-Initialization through Cyclical Batch Scheduling

[1] N. Mu\*, Z. Yao\*, A. Gholami, K. Keutzer, M. W. Mahoney

Proc. MLSYS Workshop at NeurIPS 2018

#### An Empirical Exploration of Gradient Correlations in Deep Learning.

[2] D. Rothchild, R. Fox, N. Golmant, J. Gonzalez, M. W. Mahoney, K. Rothauge, I. Stoica, Z. Yao

Integration of Deep Learning Theories, NeurIPS 2018

#### Preprint and Technical Report.....

- [1] Inexact Newton-CG Algorithms With Complexity Guarantees Z. Yao, P. Xu, F. Roosta, S. J. Wright, M. W. Mahoney arXiv
- [2] How Much Can CLIP Benefit Vision-and-Language Tasks?

  S. Shen, L. H. Li, H. Tan, M. Bansal, A. Rohrbach, K. Chang, Z. Yao, K Keutzer arXiv, code

#### MLPruning: A Multilevel Structured Pruning Framework for Transformer-based

[3] Models

**Z. Yao**, L. Ma, S. Shen, K. Keutzer, M. W. Mahoney arXiv, code

- [4] Q-ASR: Integer-only Zero-shot Quantization for Efficient Speech Recognition S. Kim, A. Gholami, Z. Yao, A. Nrusimha, B. Zhai, T. Gao, M. W. Mahoney, K. Keutzer arXiv
- [5] Benchmarking Semi-supervised Federated Learning Z. Zhang\*, Z. Yao\*, Y. Yang, Y. Yan, J. E. Gonzalez, and M. W. Mahoney arXiv, code
- [6] Residual Networks as Nonlinear Systems: Stability Analysis using Linearization K. Rothauge, Z. Yao, Z. Hu, and M. W. Mahoney

# On the Computational Inefficiency of Large Batch Sizes for Stochastic Gradient Descent

[<sup>[</sup>] N. Golmant, N. Vemuri, **Z. Yao**, V. Feinberg, A. Gholami, K. Rothauge, M. W. Mahoney, J. Gonzalez

#### arXiv

### Large batch size training of neural networks with adversarial training and second-

#### [8] order information

**Z. Yao\***, A. Gholami\*, K. Keutzer, M. W. Mahoney arXiv, code

# **Research Experiences**

Microsoft WA, USA

Senior Researcher

Jun. 2021-Present

- Design efficient training and inference algorithms for extreme large model
- Optimize system for machine learning training

#### University of California at Berkeley

CA, USA

Ph.D. Researcher at RISELab, BAIR, and BDD

Sep. 2016-May. 2021

- Developed second order methods for machine learning and optimization
- Designed efficient training and inference algorithms for deep learning

Facebook CA, USA

Software Engineer

May. 2020-Aug. 2020

- Tried Gauss-Newton method for deep learning
- Investigated different variants of Gauss-Newton methods for computer vision tasks and recommendation systems

Amazon AWS AI CA, USA

Applied Scientist

May. 2019-Aug. 2019

- Applied machine learning algorithm to explore very large scale configurations problems
- Investigated transfer learning and exploration of TVM computation configuration generation with different batch sizes and GPUs
- Investigated reinforce learning to explore fast database query answering, particularly on the Materialized View Update and Vacuum frequency.

#### **Lawrence Berkeley Notional Laboratory**

CA, USA

Researcher intern at NERSC

May. 2018-Aug. 2018

- Implemented CPU Parallelization of PyTorch to train large climate dataset (over 400 Gb)
- Tested robustness on models trained with scientific datasets

# Shanghai Jiao Tong University

Shanghai, China

Undergraduate Researcher

Sep. 2014-Jun. 2016

- Considered MCMC algorithm in infinite-dimensional space
- Designed a TG-prior with better edge-preserving property and two new adaptive algorithms

#### **Others**

- o **Programming Languages:** Python, Pytorch, Tensorflow, C++, Java, Matlab,
- o Reviewer for: NeurIPS 2018/2020/2021, ICLR 2019/2020/2022, ECCV 2020, ICML 2019/2021,

CVPR 2021, ICCV 2021, NLPCC2021, AAAI2022, WACV2022, JMLR, Machine Learning (Springer Netherlands), Journal of Systems Architecture

### o Teaching:

Stat 89A: Linear Algebra for Data Science Graduate Student Instructor UC Berkeley Spring 2018

Math 16A: Analytic Geometry and Calculus

UC Berkeley

Graduate Student Instructor

Spring 2017 & Fall 2016