

## CS3230 Chapter 6 Notes

Wednesday, 4 March 2020 12:35 PM

Order Statistics: Unsorted list of  $n$  elements,  $i^{\text{th}}$  order statistic is the  $i^{\text{th}}$  smallest element

- naive approach: merge sort, get the smallest element.
- randomized divide and conquer:

rand-select( $A[p..q], i$ )  $\rightarrow$   $i^{\text{th}}$  smallest of  $A[p..q]$ .

if  $p=q$  return  $A[p]$ .

$r = \text{randpartition}(A[p..q])$ .

$k = r - p + 1$ .

if  $i=k$  return  $A[r]$ .

if  $i < k$  return rand-select( $A[p..r-1], i$ ).

else return rand-select( $A[r+1..q], i-k$ ).



- Best case:  $T(\frac{n}{2}) + O(n)$ ,  $n = \log_2 n = O(\log n)$

- Worst case:  $T(n-1) + O(n)$ ,  $= T(n-1) + \sum_{i=1}^n i = O(n) + \frac{n(n-1)}{2} = O(n^2)$ .

- Analysis of expected time:

define the indicator random variable

$$X_k = \begin{cases} 1 & \text{if partition generates } k: n-k-1 \text{ split} \\ 0 & \text{otherwise} \end{cases}$$

To get upper bound, assume  $i^{\text{th}}$  element always in larger side.

when  $X_k=1$ ,  $T(n) = T(\max(k, n-k-1)) + O(n)$ .

$$\therefore T(n) = \begin{cases} T(\max(0, n-1)) + O(n) & X_0=1 \\ T(\max(1, n-2)) + O(n) & X_1=1 \\ \vdots \end{cases}$$

$$\begin{aligned} & T(\max(n-1, 0)) + O(n) \quad X_{n-1}=1 \\ & = \sum_{k=0}^{n-1} T(\max(k, n-k-1)) + O(n) \end{aligned}$$

Calculating expectation,

$$\begin{aligned} E(T(n)) &= E \left[ \sum_{k=0}^{n-1} X_k (T(\max(k, n-k-1)) + O(n)) \right] \\ &= \sum_{k=0}^{n-1} E(X_k (T(\max(k, n-k-1)) + O(n))) \end{aligned}$$

by linearity of expectation.

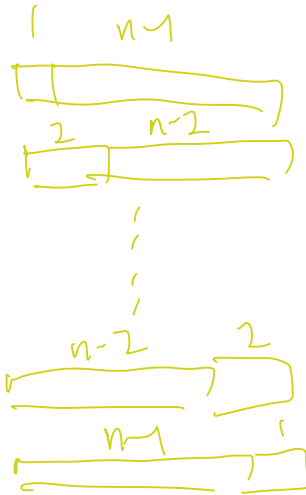
$$= \sum_{k=0}^{n-1} E[X_k] \cdot E[T_{\text{max}}(k, n-k-1) + \Theta(m)].$$

by independence of  $X_k$  from other random choices.

$$= \frac{1}{n} \sum_{k=0}^{n-1} E[T_{\text{max}}(k, n-k-1)] + \frac{1}{n} \sum_{k=0}^{n-1} \Theta(m).$$

by linearity of expectation,  $E[X_k] = \frac{1}{n}$

$$\leq \frac{2}{n} \sum_{k=\lfloor \frac{n}{8} \rfloor}^{\lfloor \frac{7n}{8} \rfloor} E[T(k)] + \Theta(m)$$



$$E[T(m)] \leq cn \text{ for constant } c > 0,$$

$$\sum_{k=\lfloor \frac{n}{8} \rfloor}^{\lfloor \frac{7n}{8} \rfloor} k \leq \frac{3}{8} n^2.$$

substitution method:

$$E[T(m)] \leq \frac{2}{n} \sum_{k=\lfloor \frac{n}{8} \rfloor}^{\lfloor \frac{7n}{8} \rfloor} ck + \Theta(m).$$

$$\leq \frac{2c}{n} \left( \frac{3}{8} n^2 \right) + \Theta(m) \leq cn.$$

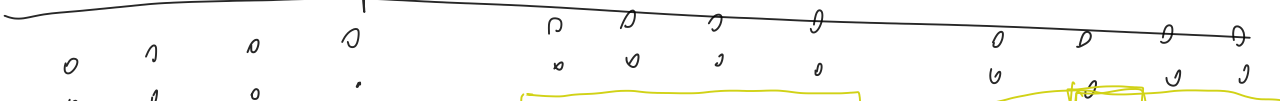
— works fast, but worst case is very bad. Generate a good pivot.

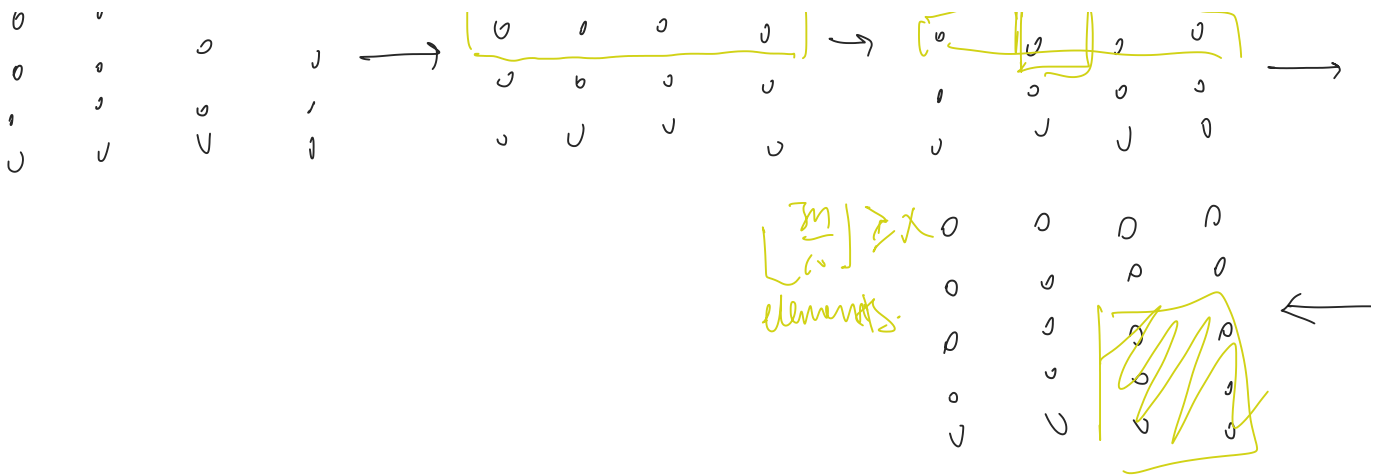
—  $\text{select}(i, n)$ :

- divide  $n$  elements into 5 groups find median of each group
- recursively select median  $x$  of the  $\lfloor \frac{n}{5} \rfloor$  group medians as pivot.
- partition around pivot  $x$ .
- if  $i \leq k$  return  $x$ .

else if  $i < k$ .  
recursively select the  $i$ th smallest element in lower part.

else recursively select  $(i-k)$ th smallest element in upper part.





when  $n \geq 50$ ,  $\lceil \frac{n}{5} \rceil \geq \frac{n}{6}$ .

For  $n \geq 50$ , select is executed recursively on

- Recurrence:  $T(n) = T(\frac{1}{5}n) + T(\frac{7}{6}n) + c$

recursively select median  $x$  of the  $\frac{n}{5}$  group medians to be pivot.

if recurs in  $\frac{7}{6}n$

if divide into groups of 5:

- at least  $\lceil \frac{n}{10} \rceil$  group medians  $\geq x$
- at least  $\lceil \frac{n}{6} \rceil$  elements  $\leq x$  & at least  $\lceil \frac{3n}{4} \rceil$  elements.

if divide into groups of 3:

- at least  $\lceil \frac{n}{6} \rceil$  group median  $\geq x$ .
- at least  $\lceil \frac{2n}{3} \rceil$  group elements  $\geq x$  & at least  $\lceil \frac{n}{3} \rceil$  elements  $\leq x$ .
- recurse on  $\lceil n - \frac{n}{3} \rceil$  elements  $\rightarrow \frac{2n}{3}$ .

if divide into groups of 7:

- at least  $\lceil \frac{n}{14} \rceil$  group median  $\geq x$ .
- at least  $\lceil \frac{4n}{7} \rceil$  group elements  $\leq x$  & at least  $\lceil \frac{n}{7} \rceil$  elements  $\geq x$ .
- recurse on  $\lceil n - \frac{4n}{7} \rceil$  elements  $\rightarrow \frac{3n}{7}$ .