
CS2102 Database Systems

Previously in CS2102

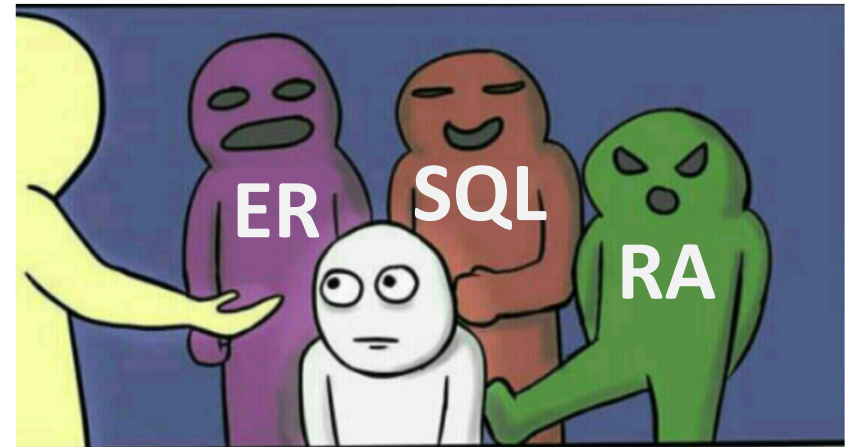
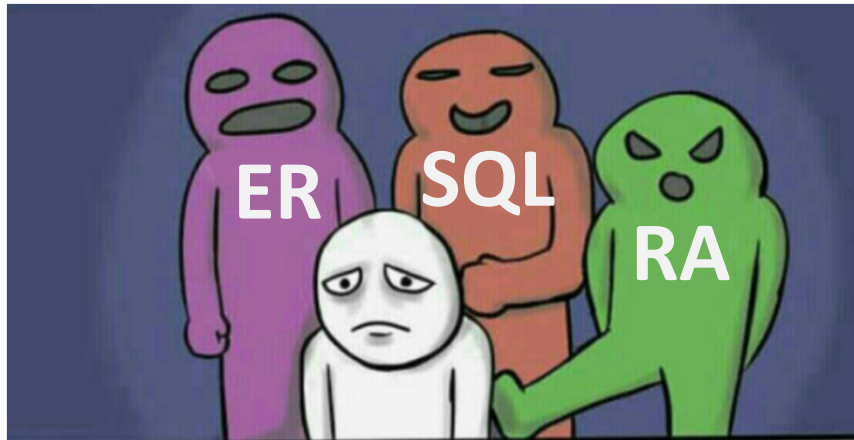
- ER model
- Relational algebra
- SQL
- SQL functions
- Triggers

What is next?

- Normal forms



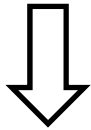
Normal Forms vs. ER, SQL, and RA



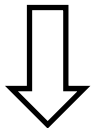
Roadmap

- We will do it step by step:

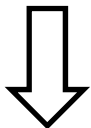
- Functional dependencies (FD)



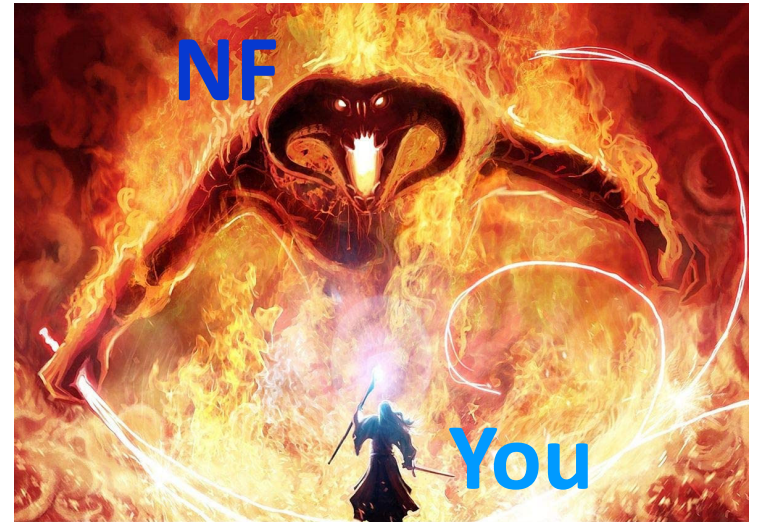
- Closures



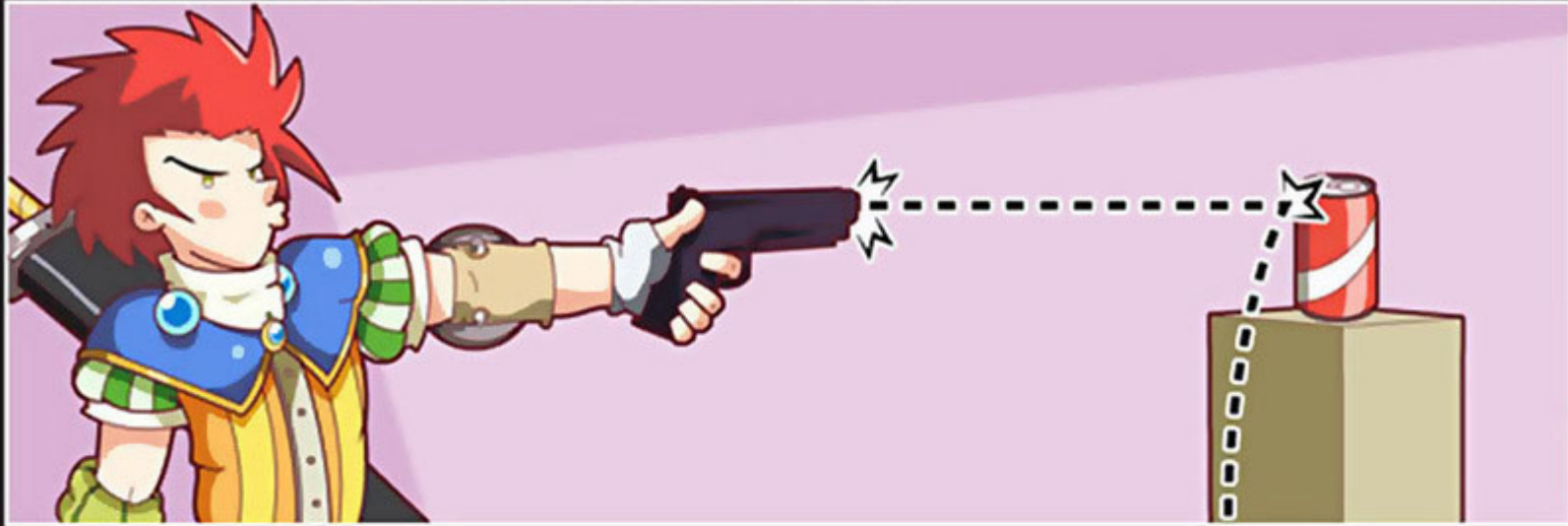
- Keys, superkeys, and prime attributes



- Normal forms and schema refinement



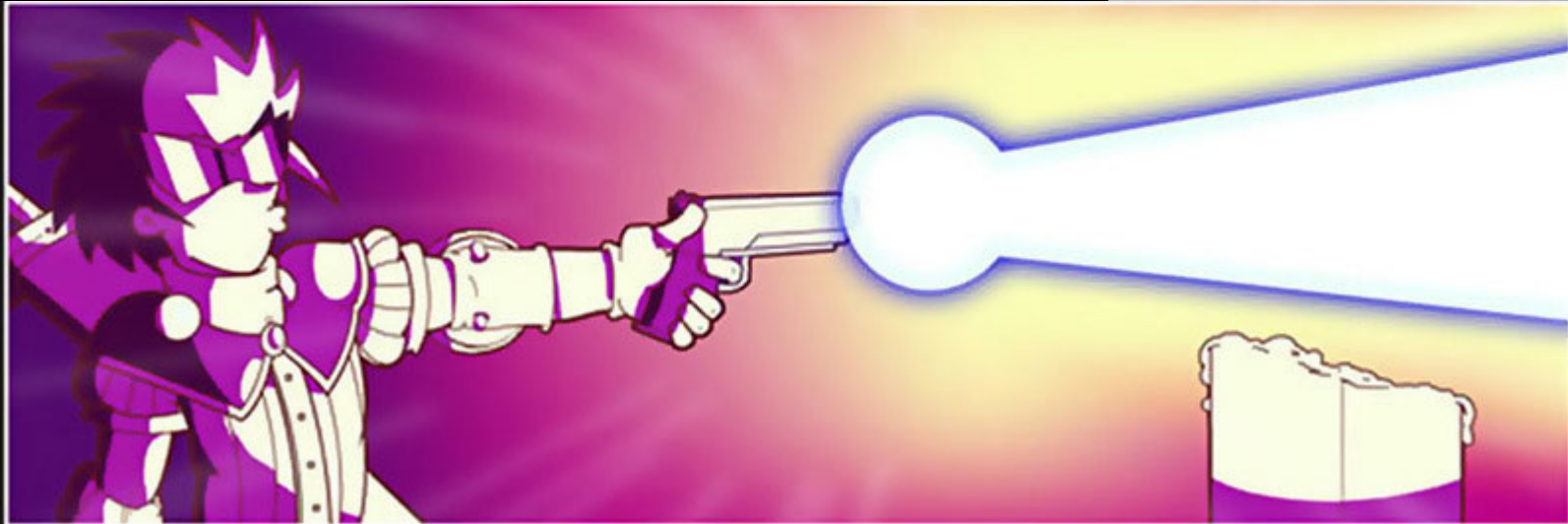
Doing normal forms without knowing FD, closures, keys...



Doing normal forms without knowing FD, closures, keys...



Doing normal forms after knowing FD, closures, keys...



Motivation

- Suppose that we give an ER diagram to Alice and Bob
- Each of them translates the diagram into a relational schema
 - And claims that it is the best relational schema of all time
- How do we decide which one is better?



Motivation

- There could many different ways to evaluate whether a relational schema is good
 - Different people may have different opinions
- But there are things that just should not be done
 - i.e., there are some minimum requirements to meet
- A **normal form** is a definition of minimum requirements in terms of **redundancy**

Redundancy: Example

Name	<u>NRIC</u>	<u>PhoneNumber</u>	HomeAddress
Alice	1234	67899876	Jurong East
Alice	1234	83848384	Jurong East
Bob	5678	98765432	Pasir Ris

- Primary key of the table:
(NRIC, PhoneNumber)
- There is some **redundancy** in terms of Alice's address: it is **unnecessarily** stored twice
- This could lead to several **anomalies**

Update Anomalies

Name	<u>NRIC</u>	<u>PhoneNumber</u>	HomeAddress
Alice	1234	67899876	Jurong East
Alice	1234	83848384	Jurong East
Bob	5678	98765432	Pasir Ris

- Primary key of the table:
(NRIC, PhoneNumber)
- First, update anomalies:
 - We may accidentally update one of Alice's addresses, leaving the other unchanged

Deletion Anomalies

Name	<u>NRIC</u>	<u>PhoneNumber</u>	HomeAddress
Alice	1234	67899876	Jurong East
Alice	1234	83848384	Jurong East
Bob	5678	98765432	Pasir Ris

- Primary key of the table:
(NRIC, PhoneNumber)
- Second, deletion anomalies:
 - Bob no longer uses a phone
 - Can we remove Bob's phone number?
 - No. (Note: Primary key attributes cannot be NULL)

Insertion Anomalies

Name	<u>NRIC</u>	<u>PhoneNumber</u>	HomeAddress
Alice	1234	67899876	Jurong East
Alice	1234	83848384	Jurong East
Bob	5678	98765432	Pasir Ris

- Primary key of the table:
(NRIC, PhoneNumber)
- Third, insertion anomalies:
 - Name = Cathy, NRIC = 9394, HomeAddress = YiShun
 - Can we insert this information into the table?
 - No. (Note: Primary key attributes cannot be NULL)

Normalization

Name	<u>NRIC</u>	<u>PhoneNumber</u>	HomeAddress
Alice	1234	67899876	Jurong East
Alice	1234	83848384	Jurong East
Bob	5678	98765432	Pasir Ris

- How do we get rid of those anomalies?
- **Normalize** the table (i.e., decompose it)

Name	<u>NRIC</u>	HomeAddress
Alice	1234	Jurong East
Bob	5678	Pasir Ris

<u>NRIC</u>	<u>PhoneNumber</u>
1234	67899876
1234	83848384
5678	98765432

Effects of Normalization

Name	<u>NRIC</u>	HomeAddress
Alice	1234	Jurong East
Bob	5678	Pasir Ris

<u>NRIC</u>	<u>PhoneNumber</u>
1234	67899876
1234	83848384
5678	98765432

- Redunancy?
 - No. (Alice's address is no longer duplicated.)
- Update anomalies?
 - No. (There is only one place where we can update the address of Alice)
- Deletion anomalies?
 - No. (We can freely delete Bob's phone number)
- Insertion anomalies?
 - No. (We can insert an individual with a phone)

Effects of Normalization

Name	<u>NRIC</u>	HomeAddress
Alice	1234	Jurong East
Bob	5678	Pasir Ris

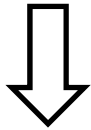
<u>NRIC</u>	<u>PhoneNumber</u>
1234	67899876
1234	83848384
5678	98765432

- How do we do such normalizations?
- Following some procedures designed according to **normal forms**

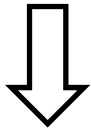
Roadmap

- We will do it step by step:

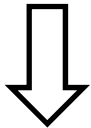
- Functional dependencies (FD)



- Closures



- Keys, superkeys, and prime attributes



- Normal forms and schema refinement

Previous Example

Name	<u>NRIC</u>	<u>PhoneNumber</u>	HomeAddress
Alice	1234	67899876	Jurong East
Alice	1234	83848384	Jurong East
Bob	5678	98765432	Pasir Ris

- We mentioned that this table is bad because of the redundancy in HomeAddress
- What causes this redundancy?
 - Some dependency between NRIC and HomeAddress
- In particular, NRIC uniquely decides HomeAddress
- This is called a **functional dependency** (FD)
 - Denoted as **NRIC → HomeAddress**

Formal Definition of FD

- Let $A_1, A_2, \dots, A_m, B_1, B_2, \dots, B_n$ be some attributes
- We say that $A_1A_2\dots A_m \rightarrow B_1B_2\dots B_n$, if:
 - Whenever two objects have the same values on A_1, A_2, \dots , and A_m ,
 - they always have the same values on B_1, B_2, \dots, B_n
- Example: $\text{NRIC} \rightarrow \text{Name}$
 - Read as "NIRC decides Name" or "NIRC determines Name"
- Meaning: If two tuples have the same NRIC value, then they have the same Name value

Examples

- $\text{Matric_Number} \rightarrow \text{Student_Name}$
- $\text{Postal_Code} \rightarrow \text{Building_Name}$
- $\text{Matric_Number} \twoheadrightarrow \text{Degree}$
 - We have double degrees
- $\text{Postal_Code} \twoheadrightarrow \text{Unit_Number}$

FDs on Tables

- An FD may hold on one table but does not hold on another
- Example:
 - Supervise(eid, pid)
 - pid denotes the id of the project
 - eid denotes the employee id of the supervisor for the project
 - We have $\text{eid} \rightarrow \text{pid}$ on Supervise
 - Work(eid, pid)
 - pid denotes the id of the project
 - eid denotes the id of an employee who work on the project
 - We don't have $\text{eid} \rightarrow \text{pid}$ on Work

Name	Category	Color	Department	Price
Gizmo	Gadget	Green	Toys	49
Tweaker	Gadget	Black	Toys	99
Gizmo	Stationary	Green	Office Supplies	59

- Find the functional dependencies are **FALSE** on the above table
 - ❑ Category \rightarrow Department
 - ❑ Category, Color \rightarrow Price
 - ❑ Price \rightarrow Color
 - ❑ Name \rightarrow Color
 - ❑ Department, Category \rightarrow Name
 - ❑ Color, Department \rightarrow Name, Price, Category
-

Where Do FDs Come From?

- From common sense
 - From the application's requirements
 - Example
 - Purchase(CustomerID, ProductID, ShopID, Price, Date)
 - Requirement: Each shop can sell at most one product
 - FD implied: ShopID \rightarrow ProductID
-

Example

- Purchase(CustomerID, ProductID, ShopID, Price, Date)
 - Requirement: No two customers buy the same product
 - FD implied: ProductID \rightarrow CustomerID
-

Example

- Purchase(CustomerID, ProductID, ShopID, Price, Date)
 - Requirement: No two shops sell the same product
 - FD implied: ProductID \rightarrow ShopID
-

Example

- Purchase(CustomerID, ProductID, ShopID, Price, Date)
 - Requirement: No two shops sell the same product on the same date
 - FD implied: ProductID, Date \rightarrow ShopID
-

Example

- Purchase(CustomerID, ProductID, ShopID, Price, Date)
 - Requirement: No shop should sell the same product to the same customer on the same date at two different prices
 - FD implied:
CustomerID, ProductID, ShopID, Date → Price
-

Roadmap

- Now we know what FDs are
- Next, we will discuss how to do reasoning with FDs

FD Reasoning: Example

- We know that
 - $\text{NRIC} \rightarrow \text{Matric_Number}$, and
 - $\text{Matric_Number} \rightarrow \text{Name}$
- We can derive
 - $\text{NRIC} \rightarrow \text{Name}$, by transitivity
- FD reasoning: given a set of FDs, figure out what other FDs they can **imply**
- This is important for normal forms

Armstrong's Axioms

- Three fundamental axioms for FD reasoning
- **Axiom of Reflexivity**
 - A set of attributes \rightarrow A subset of the attributes
- Example
 - NRIC, Name \rightarrow NRIC
 - StudentID, Name, Age \rightarrow Name, Age
 - ABCD \rightarrow ABC
 - ABCD \rightarrow BCD
 - ABCD \rightarrow AD

Armstrong's Axioms

- Three fundamental axioms for FD reasoning
- **Axiom of Augmentation**
 - If $A \rightarrow B$
 - then $AC \rightarrow BC$ for any C
- Example
 - If $\text{NRIC} \rightarrow \text{Name}$
 - Then $\text{NRIC, Age} \rightarrow \text{Name, Age}$
 - and $\text{NRIC, Salary, Weight} \rightarrow \text{Name, Salary, Weight}$
 - and $\text{NRIC, Addr, Postal} \rightarrow \text{Name, Addr, Postal}$

Armstrong's Axioms

- Three fundamental axioms for FD reasoning
- **Axiom of Transitivity**
 - If $A \rightarrow B$ and $B \rightarrow C$
 - then $A \rightarrow C$
- Example
 - If $\text{NRIC} \rightarrow \text{Addr}$, and $\text{Addr} \rightarrow \text{Postal}$
 - Then $\text{NRIC} \rightarrow \text{Postal}$

Additional Rules

- Reflexivity: $AB \rightarrow A$
- Augmentation: If $A \rightarrow B$ then $AC \rightarrow BC$
- Transitivity: If $A \rightarrow B$ and $B \rightarrow C$ then $A \rightarrow C$

■ Rule of Decomposition

- If $A \rightarrow BC$, then $A \rightarrow B$ and $A \rightarrow C$

■ Proof:

- By reflexivity, we have $BC \rightarrow B$ and $BC \rightarrow C$
- By transitivity, we have
 - $A \rightarrow BC$ and $BC \rightarrow B \implies A \rightarrow B$
 - $A \rightarrow BC$ and $BC \rightarrow C \implies A \rightarrow C$

Additional Rules

- Reflexivity: $AB \rightarrow A$
- Augmentation: If $A \rightarrow B$ then $AC \rightarrow BC$
- Transitivity: If $A \rightarrow B$ and $B \rightarrow C$ then $A \rightarrow C$
- Decomposition: If $A \rightarrow BC$ then $A \rightarrow B$ and $A \rightarrow C$

■ Rule of Union

- If $A \rightarrow B$ and $A \rightarrow C$, then $A \rightarrow BC$

■ Proof:

- By augmentation, $A \rightarrow B \implies A \rightarrow AB$
- By augmentation, $A \rightarrow C \implies AB \rightarrow BC$
- By transitivity, $A \rightarrow AB$ and $AB \rightarrow BC \implies A \rightarrow BC$

Exercise

■ Given $A \rightarrow B$, $BC \rightarrow D$

■ Prove that $AC \rightarrow D$

■ Proof

□ Given $A \rightarrow B$, we have $AC \rightarrow BC$ (Augmentation)

□ Given $AC \rightarrow BC$ and $BC \rightarrow D$, we have $AC \rightarrow D$
(Transitivity)

- Reflexivity: $AB \rightarrow A$
- Augmentation: If $A \rightarrow B$ then $AC \rightarrow BC$
- Transitivity: If $A \rightarrow B$ and $B \rightarrow C$ then $A \rightarrow C$
- Decomposition: If $A \rightarrow BC$ then $A \rightarrow B$ and $A \rightarrow C$
- Union: If $A \rightarrow B$ and $A \rightarrow C$ then $A \rightarrow BC$

Reasoning with FD

- Given $A \rightarrow B, D \rightarrow C$
- Prove that $AD \rightarrow BC$
- Proof

- Reflexivity: $AB \rightarrow A$
- Augmentation: If $A \rightarrow B$ then $AC \rightarrow BC$
- Transitivity: If $A \rightarrow B$ and $B \rightarrow C$ then $A \rightarrow C$
- Decomposition: If $A \rightarrow BC$ then $A \rightarrow B$ and $A \rightarrow C$
- Union: If $A \rightarrow B$ and $A \rightarrow C$ then $A \rightarrow BC$

- Given $A \rightarrow B$, we have $AD \rightarrow BD$ (Augmentation)
- Given $AD \rightarrow BD$, we have $AD \rightarrow B$ (Reflexivity)
- Given $D \rightarrow C$, we have $AD \rightarrow AC$ (Augmentation)
- Given $AD \rightarrow AC$, we have $AD \rightarrow C$ (Reflexivity)
- Given $AD \rightarrow B$ and $AD \rightarrow C$, we have $AD \rightarrow BC$ (Union)

Reasoning with FD

- Reflexivity: $AB \rightarrow A$
- Augmentation: If $A \rightarrow B$ then $AC \rightarrow BC$
- Transitivity: If $A \rightarrow B$ and $B \rightarrow C$ then $A \rightarrow C$
- Decomposition: If $A \rightarrow BC$ then $A \rightarrow B$ and $A \rightarrow C$
- Union: If $A \rightarrow B$ and $A \rightarrow C$ then $A \rightarrow BC$

■ Given

$A \rightarrow C, AC \rightarrow D, AD \rightarrow B$

■ Prove that $A \rightarrow B$

■ Proof

- Given $A \rightarrow C$, we have $A \rightarrow AC$ (Augmentation)
 - Given $A \rightarrow AC$ and $AC \rightarrow D$, we have $A \rightarrow D$ (Transitivity)
 - Given $A \rightarrow D$, we have $A \rightarrow AD$ (Augmentation)
 - Given $A \rightarrow AD$ and $AD \rightarrow B$, we have $A \rightarrow B$ (Transitivity)
-

Reasoning with FD

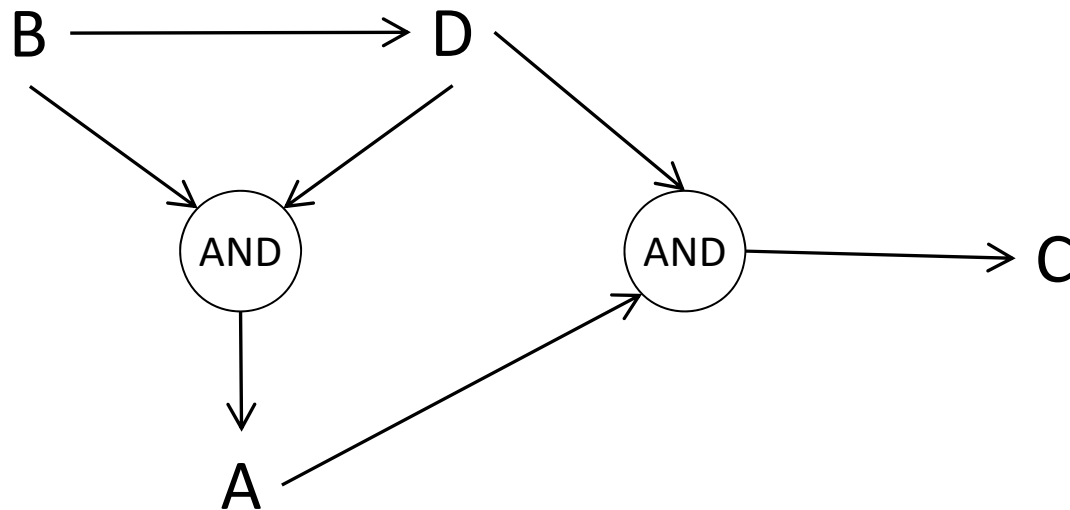
- Use Armstrong's axioms to do FD reasoning is a bit cumbersome
 - As shown in the previous slide
- We will discuss a more convenient approach:
closure

Closure: Motivating example

- Question:
 - Given $B \rightarrow D$, $DB \rightarrow A$, $AD \rightarrow C$, check if $B \rightarrow C$ holds
- Observation: intuitively, FDs are kind of like components on a circuit board

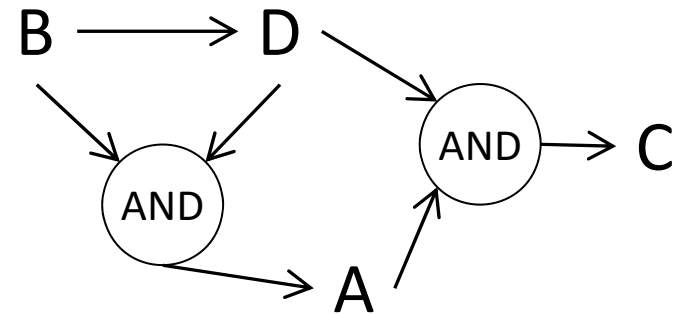
Closure: Motivating Example

- Four attributes: A, B, C, D
 - Given: $B \rightarrow D$, $DB \rightarrow A$, $AD \rightarrow C$
 - Check if $B \rightarrow C$ holds
-



Closure: Motivating Example

- Four attributes: A, B, C, D
- Given: $B \rightarrow D$, $DB \rightarrow A$, $AD \rightarrow C$
- Check if $B \rightarrow C$ holds



-
- First, activate B
 - Activated set = { B }
 - Second, activate whatever B can activate
 - Activated set = { B, D }, since $B \rightarrow D$
 - Third, use all activated elements to activate more
 - Activated set = { B, D, A }, since $DB \rightarrow A$
 - Repeat the third step, until no more activation is possible
 - Activated set = { B, D, A, C }, since $AD \rightarrow C$; done
 - { B, D, A, C } is referred to as the **closure** of {B}

Closure

- Let $S = \{A_1, A_2, \dots, A_n\}$ be a set of attributes
- The closure of S is the set of attributes that can be decided by A_1, A_2, \dots, A_n (directly or indirectly)
- Notation: $\{A_1, A_2, \dots, A_n\}^+$
- Example
 - Given $A \rightarrow B, B \rightarrow C, C \rightarrow D, D \rightarrow E$
 - $\{A\}^+ = \{A, B, C, D, E\}$
 - $\{B\}^+ = \{B, C, D, E\}$
 - $\{D\}^+ = \{D, E\}$
 - $\{E\}^+ = \{E\}$

Computing Closures

- Given A_1, A_2, \dots, A_n , the closure $\{A_1, A_2, \dots, A_n\}^+$ can be computed as follows:
 1. Initialize the closure to $\{A_1, A_2, \dots, A_n\}$
 2. If there is an FD: $A_i, A_j, \dots, A_m \rightarrow B$, such that A_i, A_j, \dots, A_m are all in the closure, then put B into the closure
 3. Repeat step 2, until we cannot find any new attribute to put into the closure
- Example
 - A Table with five attributes A, B, C, D, E
 - $A \rightarrow B, C \rightarrow D, BC \rightarrow E$
 - $\{A\}^+ =$
 - $\{A, C\}^+ =$
 - $\{B\}^+ =$

Computing Closures

- Given A_1, A_2, \dots, A_n , the closure $\{A_1, A_2, \dots, A_n\}^+$ can be computed as follows:
 1. Initialize the closure to $\{A_1, A_2, \dots, A_n\}$
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 3. Repeat step 2, until we cannot find any new attribute to put into the closure
- Example
 - A Table with five attributes A, B, C, D, E
 - $A \rightarrow B, C \rightarrow D, BC \rightarrow E$
 - $\{A\}^+ = \{A, B\}$
 - $\{A, C\}^+ = \{A, B, C, D, E\}$
 - $\{B\}^+ = \{B\}$

Closure & FD

- To prove that $X \rightarrow Y$ holds, we only need to show that $\{X\}^+$ contains Y
 - $AB \rightarrow C, AD \rightarrow E, B \rightarrow D, AF \rightarrow B$
 - Prove that $AF \rightarrow D$
 - $\{AF\}^+ = \{AFBCDE\}$, which contains D
 - Therefore, $AF \rightarrow D$ holds
-

Closure & FD

- To prove that $X \rightarrow Y$ **does not** hold, we only need to show that $\{X\}^+$ **does not** contain Y
- $AB \rightarrow C, AD \rightarrow E, B \rightarrow D, AF \rightarrow B$
- Prove that $AD \rightarrow F$ does not hold
- $\{AD\}^+ = \{ADE\}$, which does not contain F
- Therefore, $AD \rightarrow F$ does not hold

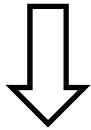
Exercise

- Given: $C \rightarrow D$, $AD \rightarrow E$, $BC \rightarrow E$, $E \rightarrow A$, $D \rightarrow B$
- Check if $C \rightarrow A$ holds
- We start with $\{C\}$
- Since $C \rightarrow D$, we have $\{C, D\}$
- Since $D \rightarrow B$, we have $\{C, D, B\}$
- Since $BC \rightarrow E$, we have $\{C, D, B, E\}$
- Since $E \rightarrow A$, we have $\{C, D, B, E, A\}$
- So A must be in $\{C\}^+$, hence, $C \rightarrow A$ holds

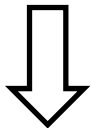
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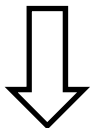
- Functional dependencies (FD)



- Closures



- Keys, superkeys, and prime attributes



- Normal forms and schema refinement

Superkeys of a Table

Name	NRIC	Postal	Address
Alice	1234	939450	Jurong East
Bob	5678	234122	Pasir Ris
Cathy	3576	420923	Yishun

- Definition: A set of attributes in a table that decides all other attributes
- Example:
 - {NRIC} is a superkey
 - Since $\text{NRIC} \rightarrow \text{Name, Postal, Address}$
 - {NRIC, Name} is a superkey
 - Since $\{\text{NRIC, Name}\} \rightarrow \text{Postal, Address}$

Keys of a Table

Name	NRIC	Postal	Address
Alice	1234	939450	Jurong East
Bob	5678	234122	Pasir Ris
Cathy	3576	420923	Yishun

- Definition: A superkey that is **minimal**
- i.e., if we remove any attribute from the superkey, it will not be a superkey anymore
- Example:
 - {NRIC} is a superkey
 - Since $\text{NRIC} \rightarrow \text{Name, Postal, Address}$
 - {NRIC, Name} is a superkey
 - Since $\{\text{NRIC, Name}\} \rightarrow \text{Postal, Address}$
 - NRIC is a key, but {NRIC, Name} is not a key

Keys of a Table

Name	NRIC	Postal	Address
Alice	1234	939450	Jurong East
Bob	5678	234122	Pasir Ris
Cathy	3576	420923	Yishun

- Note: Not to be confused with the keys of entity sets

Keys of a Table

Name	NRIC	StudentID	Postal	Address
Alice	1234	1	939450	Jurong East
Bob	5678	2	234122	Pasir Ris
Cathy	3576	3	420923	Yishun

- A table may have multiple keys
- Example:
 - {NRIC} is a key
 - Since NRIC \rightarrow Name, StudentID, Postal, Address
 - {StudentID} is a key
 - Since StudentID \rightarrow Name, NRIC, Postal, Address
 - Both {NRIC} and {StudentID} are keys

Keys of a Table: Exercise

- We have
 - A table $T(A, B, C)$ with three attributes A, B, C
 - Two FDs: $A \rightarrow BC$ and $BC \rightarrow A$
- Find the key(s) of T
- Answer: there are two keys
 - A
 - BC
- Note: BC is a key even though it contains more attribute than A
 - Because BC is a **minimal** superkey

Why are we talking about keys?

- Because they are needed in our discussion of normal forms
 - Whether or not a table T has **redundancy** would partially depend on what the keys of T are
- Question: how do we know the keys of T?
- Answer:
 - Check the FDs on the T, and use closures to derive the keys

Algorithm for finding keys

- Definition: a key is a minimal set of attributes that decides all other attributes
 - Given: a table $T(A, B, C, \dots)$ and a set of FDs on T
 - Algorithm for finding keys:
 - Consider every subset of attributes in T :
 - $A, B, C, \dots, AB, BC, CA, \dots, ABC, \dots$
 - Derive the closure of each subset:
 - $\{A\}^+, \{B\}^+, \{C\}^+, \dots, \{AB\}^+, \{BC\}^+, \{AC\}^+, \dots, \{ABC\}^+, \dots$
 - Identify all superkeys based on the closures
 - Identify all keys from the superkeys
-

Algorithm for finding keys: Example

- A table $R(A, B, C)$, with $A \rightarrow B$, $B \rightarrow C$
- Steps for finding keys:
 - Consider every subset of attributes in T:
 - A, B, C, AB, BC, CA, ABC
 - Derive the closure of each subset:
 - $\{A\}^+ =$ $\{B\}^+ =$ $\{C\}^+ =$
 - $\{AB\}^+ =$ $\{BC\}^+ =$ $\{AC\}^+ =$ $\{ABC\}^+ =$
 - Identify all superkeys based on the closures
 -
 - Identify all keys from the superkeys
 -

Algorithm for finding keys: Example

- A table $R(A, B, C)$, with $A \rightarrow B$, $B \rightarrow C$
- Steps for finding keys:
 - Consider every subset of attributes in T:
 - A, B, C, AB, BC, CA, ABC
 - Derive the closure of each subset:
 - $\{A\}^+ = \{ABC\}$, $\{B\}^+ = \{BC\}$, $\{C\}^+ = \{C\}$
 - $\{AB\}^+ = \{ABC\}$, $\{BC\}^+ = \{BC\}$, $\{AC\}^+ = \{ABC\}$, $\{ABC\}^+ = \{ABC\}$
 - Identify all superkeys based on the closures
 - A, AB, AC, ABC
 - Identify all keys from the superkeys
 - A

Exercise: Find the keys

- A table $R(A, B, C, D)$
 - With $AB \rightarrow C$, $AD \rightarrow B$, $B \rightarrow D$
 - First, enumerate all attribute subsets:
 - $\{A\}$, $\{B\}$, $\{C\}$, $\{D\}$
 - $\{AB\}$, $\{AC\}$, $\{AD\}$,
 - $\{BC\}$, $\{BD\}$, $\{CD\}$,
 - $\{ABC\}$, $\{ABD\}$,
 - $\{ACD\}$, $\{BCD\}$,
 - $\{ABCD\}$
-

Exercise: Find the keys

- A table $R(A, B, C, D)$
- With $AB \rightarrow C$, $AD \rightarrow B$, $B \rightarrow D$
- Second, compute the closures of the subsets:
 - $\{A\}$, $\{B\}$, $\{C\}$, $\{D\}$
 - $\{AB\}$, $\{AC\}$, $\{AD\}$,
 - $\{BC\}$, $\{BD\}$, $\{CD\}$,
 - $\{ABC\}$, $\{ABD\}$,
 - $\{ACD\}$, $\{BCD\}$,
 - $\{ABCD\}$

Exercise: Find the keys

- A table $R(A, B, C, D)$
- With $AB \rightarrow C$, $AD \rightarrow B$, $B \rightarrow D$
- Second, compute the closures of the subsets:
 - $\{A\}^+ = \{A\}$, $\{B\}^+ = \{BD\}$, $\{C\}^+ = \{C\}$, $\{D\}^+ = \{D\}$
 - $\{AB\}^+ = \{ABCD\}$, $\{AC\}^+ = \{AC\}$, $\{AD\}^+ = \{ABCD\}$
 - $\{BC\}^+ = \{BCD\}$, $\{BD\}^+ = \{BD\}$, $\{CD\}^+ = \{CD\}$
 - $\{ABC\}^+ = \{ABCD\}$, $\{ABD\}^+ = \{ABCD\}$
 - $\{ACD\}^+ = \{ABCD\}$, $\{BCD\}^+ = \{BCD\}$
 - $\{ABCD\}^+ = \{ABCD\}$

Exercise: Find the keys

- A table $R(A, B, C, D)$
- With $AB \rightarrow C, AD \rightarrow B, B \rightarrow D$
- Third, identify the superkeys:
 - $\{A\}^+ = \{A\}, \quad \{B\}^+ = \{BD\}, \quad \{C\}^+ = \{C\}, \quad \{D\}^+ = \{D\}$
 - $\{AB\}^+ = \{ABCD\}, \quad \{AC\}^+ = \{AC\}, \quad \{AD\}^+ = \{ABCD\}$
 - $\{BC\}^+ = \{BCD\}, \quad \{BD\}^+ = \{BD\}, \quad \{CD\}^+ = \{CD\}$
 - $\{ABC\}^+ = \{ABCD\}, \quad \{ABD\}^+ = \{ABCD\}$
 - $\{ACD\}^+ = \{ABCD\}, \quad \{BCD\}^+ = \{BCD\}$
 - $\{ABCD\}^+ = \{ABCD\}$

Exercise: Find the keys

- A table $R(A, B, C, D)$
- With $AB \rightarrow C, AD \rightarrow B, B \rightarrow D$
- Third, identify the superkeys:
 - $\{A\}^+ = \{A\}, \quad \{B\}^+ = \{BD\}, \quad \{C\}^+ = \{C\}, \quad \{D\}^+ = \{D\}$
 - $\{AB\}^+ = \{ABCD\}, \quad \{AC\}^+ = \{AC\}, \quad \{AD\}^+ = \{ABCD\}$
 - $\{BC\}^+ = \{BCD\}, \quad \{BD\}^+ = \{BD\}, \quad \{CD\}^+ = \{CD\}$
 - $\{ABC\}^+ = \{ABCD\}, \quad \{ABD\}^+ = \{ABCD\}$
 - $\{ACD\}^+ = \{ABCD\}, \quad \{BCD\}^+ = \{BCD\}$
 - $\{ABCD\}^+ = \{ABCD\}$

Exercise: Find the keys

- A table $R(A, B, C, D)$
- With $AB \rightarrow C, AD \rightarrow B, B \rightarrow D$
- Fourth, identify the keys from the superkeys
 - $\{A\}^+ = \{A\}, \quad \{B\}^+ = \{BD\}, \quad \{C\}^+ = \{C\}, \quad \{D\}^+ = \{D\}$
 - $\{AB\}^+ = \{ABCD\}, \quad \{AC\}^+ = \{AC\}, \quad \{AD\}^+ = \{ABCD\}$
 - $\{BC\}^+ = \{BCD\}, \quad \{BD\}^+ = \{BD\}, \quad \{CD\}^+ = \{CD\}$
 - $\{ABC\}^+ = \{ABCD\}, \quad \{ABD\}^+ = \{ABCD\}$
 - $\{ACD\}^+ = \{ABCD\}, \quad \{BCD\}^+ = \{BCD\}$
 - $\{ABCD\}^+ = \{ABCD\}$

Exercise: Find the keys

- A table $R(A, B, C, D)$
- With $AB \rightarrow C, AD \rightarrow B, B \rightarrow D$
- Fourth, identify the keys from the superkeys
 - $\{A\}^+ = \{A\}, \quad \{B\}^+ = \{BD\}, \quad \{C\}^+ = \{C\}, \quad \{D\}^+ = \{D\}$
 - $\{AB\}^+ = \{ABCD\}, \quad \{AC\}^+ = \{AC\}, \quad \{AD\}^+ = \{ABCD\}$
 - $\{BC\}^+ = \{BCD\}, \quad \{BD\}^+ = \{BD\}, \quad \{CD\}^+ = \{CD\}$
 - $\{ABC\}^+ = \{ABCD\}, \quad \{ABD\}^+ = \{ABCD\}$
 - $\{ACD\}^+ = \{ABCD\}, \quad \{BCD\}^+ = \{BCD\}$
 - $\{ABCD\}^+ = \{ABCD\}$

A Small Trick

- Always check small attribute sets first
 - A table $R(A, B, C, D)$
 - $A \rightarrow B, B \rightarrow C, C \rightarrow D, D \rightarrow A$
 - Compute the closures:
 - $\{A\}^+ = \{ABCD\}, \{B\}^+ = \{ABCD\}, \{C\}^+ = \{ABCD\}, \{D\}^+ = \{ABCD\}$
 - No need to check others
 - The others are all superkeys but not keys
 - Keys: $\{A\}, \{B\}, \{C\}, \{D\}$
-

Another Small Trick

- A table $R(A, B, C, D)$
 - $AB \rightarrow C, AD \rightarrow B, B \rightarrow D$
 - Notice that A does not appear in the right hand side of any functional dependencies
 - In that case, A must be in every key
 - Keys of R: AB, AD (see the previous exercise)
 - In general, if an attribute that does not appear in the right hand side of any FD, then it must be in every key
-

Exercise (Find the Keys)

- A table $R(A, B, C, D)$
- $A \rightarrow B, A \rightarrow C, C \rightarrow D$
- A must be in every key
- Compute the closures:
 - $\{A\}^+ = \{ABCD\}$
 - No need to check others
- Keys: $\{A\}$

Exercise (Find the Keys)

- A table $R(A, B, C, D, E)$
- $AB \rightarrow C, C \rightarrow B, BC \rightarrow D, CD \rightarrow E$
- A must be in every key
- Compute the closures:
 - $\{A\}^+ = \{A\}$
 - $\{AB\}^+ = \{ABCDE\}$
 - $\{AC\}^+ = \{ACBDE\}$
 - $\{AD\}^+ = \{AD\}, \{AE\}^+ = \{AE\}$
 - $\{ADE\}^+ = \{ADE\}$
- Keys: AB, AC

Exercise (Find the Keys)

- A table $R(A, B, C, D, E, F)$
- $AB \rightarrow C, C \rightarrow B, CBE \rightarrow D, D \rightarrow EF$
- A must be in every key
- Compute the closures:
 - $\{A\}^+ = \{A\}$
 - $\{AB\}^+ = \{ABC\}$
 - $\{AC\}^+ = \{ACB\}$
 - $\{AD\}^+ = \{ADEF\}$
 - $\{AE\}^+ = \{AE\}, \{AF\}^+ = \{AF\}$
 - $\{ABC\}^+ = \{ABC\}$
 - $\{ABD\}^+ = \{ABE\}^+ = \{ACD\}^+ = \{ACE\}^+ = \{ABCDEF\}$
 - $\{ADE\}^+ = \{ADEF\}$
- Keys: ABD, ABE, ACD, ACE

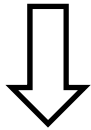
Prime Attributes

- If an attribute appears in a key, then it is a **prime attribute**
- Otherwise, it is a **non-prime** attribute
- This concept will be used when we talk about normal forms

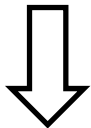
Roadmap

- We will do it step by step:

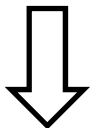
- Functional dependencies (FD)



- Closures



- Keys, superkeys, and prime attributes



- Normal forms and schema refinement