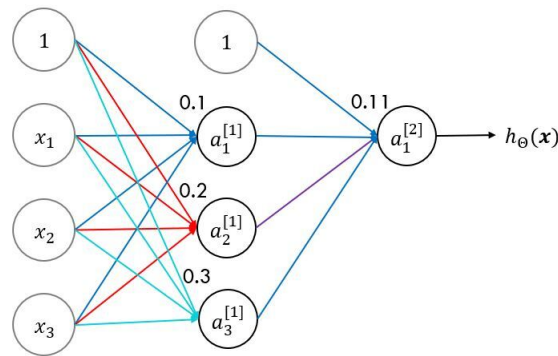


1. (MCQ; 2 marks) We stated that validation generally produces an optimistic estimate of J_{test} . Why?
 - (a) Because possibly many parameters are tested in the validation process.
 - (b) Because we choose the model based on their performance.
 - (c) Because possibly many values of parameters are tested in the validation process.
2. (MRQ; 3 marks) Which of the following statements are true regarding the ethics of data sharing?
 - (a) Ensuring the quality of collected data; and holding sources accountable for low-quality or actively misleading data.
 - (b) Equitable and ethical access to data once it's collected.
 - (c) Transparency around the collection of data and how this collected data will be used.
 - (d) Clear provenance of data so that data scientists are always aware of where their datasets come from.

[Questions 3–4] Suppose we are using a neural network with an input vector of length 3, one hidden layer with three neurons and one output neuron. Additionally, the hidden neurons and the input include a bias. We use the ReLU function as the nonlinearity. The basic structure is shown below:



Suppose there is a data input $\mathbf{x} = (1, 2, 3)$ and the actual output label is $\mathbf{y} = (0.8)$. The bias weights are included in the figure, and the remaining weights for the network are:

$$\Theta^{[1]} = \begin{bmatrix} -0.1 & 0.3 & 0.1 \\ 0.3 & -0.4 & -0.2 \\ 0.2 & -0.2 & 0.2 \end{bmatrix}, \Theta^{[2]} = [0.6 \quad -0.7 \quad 0.5],$$

3. (MCQ; 3 marks) Calculate the value of $J(\mathbf{a}^{[2]}, \mathbf{y})$ after forward propagation when using squared loss.
 - (a) $J(\mathbf{a}^{[2]}, \mathbf{y}) = 0.4$.
 - (b) $J(\mathbf{a}^{[2]}, \mathbf{y}) = 0.02$.
 - (c) $J(\mathbf{a}^{[2]}, \mathbf{y}) = 0.04$.
 - (d) $J(\mathbf{a}^{[2]}, \mathbf{y}) = 0.03$.
 - (e) None of these are correct.

Continuing from the above, if we are given that $\frac{\partial J(\mathbf{a}^{[2]}, \mathbf{y})}{\partial a_1^{[2]}} = 0.5$,

4. (MCQ; 3 marks) Calculate the gradient of $J(\mathbf{a}^{[2]}, \mathbf{y})$ with respect to $\Theta_{1,1}^{[2]}$.

- (a) $\frac{\partial J(\mathbf{a}^{[2]}, \mathbf{y})}{\partial \Theta_{1,1}^{[2]}} = 0.55$.
- (b) $\frac{\partial J(\mathbf{a}^{[2]}, \mathbf{y})}{\partial \Theta_{1,1}^{[2]}} = 0.30$.
- (c) $\frac{\partial J(\mathbf{a}^{[2]}, \mathbf{y})}{\partial \Theta_{1,1}^{[2]}} = 0.45$.
- (d) $\frac{\partial J(\mathbf{a}^{[2]}, \mathbf{y})}{\partial \Theta_{1,1}^{[2]}} = 0.40$.
- (e) None of these are correct.

[Questions 5–6] Consider the **vanilla** character level classification **Recurrent Neural Network** seen in our Deep Learning (W09) Colab notebook, which takes a name as input and outputs the score over each language.

5. (MRQ with 4 options; 4 marks) Which of the following statements are true?

- (a) It cannot regress continuous output.
 - (b) While it can model short term history, it is ineffective at remembering long term states.
 - (c) It can only take input of a fixed length.
 - (d) It cannot be trained in parallel.
6. (MCQ; 3 marks) If we decide to use truncated backpropagation in the above RNN, rather than the full backpropagation through time (BTT),
- (a) The training time per epoch will be more uniform, regardless of input length.
 - (b) Training will necessarily converge faster.
 - (c) The weights at the beginning of the sequence will never be trained.
 - (d) Incorrect outputs at the beginning of the sequence will count for less in the loss.

[Questions 7–9] (MCQ; 2 marks each) Mark (a) for true and (b) for false for each of the following statements on **Decision Trees**.

Let's examine decision tree learning as taught in lecture, with categorical inputs X and output Y . Here we assume we do not employ pruning.

- 7. The depth of the tree cannot exceed $n + 1$.
- 8. If $IG(Y|X_i) = 0$, then X_i will not be used in the decision tree.
- 9. Suppose one of the attributes has a unique value in each instance. Then the decision tree must have depth 0 or 1.

10. (MRQ with 4 options; 3 marks) Which of the following statements are true regarding Principal Component Analysis (PCA)?
- (a) We can visualize our high-dimensional data by using PCA to project them to a low-dimensional space.
 - (b) In PCA, we should select the principal components with minimum variance.
 - (c) Before using PCA, we should perform feature normalization.
 - (d) In PCA, we should select the principal components with maximum variance.
11. (MRQ with 4 options; 3 marks) Which of the following factors can impact the accuracy of clustering?
- (a) Algorithm, e.g., use K-Means or hierarchical clustering.
 - (b) Distance metric, such as Euclidean distance and Manhattan distance.
 - (c) Feature selection.
 - (d) The quality of labels.

Assume the following dataset of data points is given: $(0, 4)$, $(2, 2)$, $(4, 0)$, $(4, 4)$, $(6, 6)$ and $(10, 10)$. K-Means is run with $k = 3$ to cluster the dataset. Moreover, Euclidean distance is used as the distance function to compute distances between centroids and points in the dataset. The centroid for a set of n data points $((x_i, y_i), i = 1, 2, \dots, n)$ can be calculated as $(\frac{\sum_1^n x_i}{n}, \frac{\sum_1^n y_i}{n})$.

At some iteration, C1, C2 and C3 of K-Means are as follows:

- C1 : $\{(2, 2), (4, 4)\}$
 - C2 : $\{(0, 4), (4, 0)\}$
 - C3 : $\{(6, 6), (10, 10)\}$
12. (Calculation Response; 5 marks) If we run K-Means to completion, what are the a) resulting clusters and b) cluster centroids? Show your work.
13. (Code Response; 7 marks) Write pseudocode or Python code for the bootstrapping method which takes two parameters: a dataset D (a matrix of size $m \times n$) and sample size s (an non-negative integer), and returns a dataset D_tilde (of dimension $s \times n$).

Let us define a **Convolutional Neural Network** with a filter $F1$ and $F2$ for 2 channels as specified below:

$$F1 = \begin{bmatrix} 1 & 1 & -1 \\ -1 & 1 & -1 \\ -1 & 1 & 1 \end{bmatrix}, F2 = \begin{bmatrix} -1 & 1 & 1 \\ -1 & -1 & -1 \\ 1 & -1 & 1 \end{bmatrix}$$

Let us now give a 2-channel input defined by the 2 matrices, respectively:

$$X1 = \begin{bmatrix} 2 & 2 & 0 & 1 & 1 \\ -1 & 1 & -1 & 0 & 2 \\ -1 & -2 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 2 & 2 & 1 & 2 & 0 \end{bmatrix}, X2 = \begin{bmatrix} 2 & -2 & 0 & -1 & 1 \\ -1 & 1 & -2 & 0 & 2 \\ -1 & 0 & 1 & 2 & 2 \\ 0 & 0 & 2 & 1 & 0 \\ 1 & 2 & 1 & -2 & 0 \end{bmatrix}$$

The stride is 1 and there is no padding and let Y be the output matrix. $Y_{i,j}$ refers to the element in the i th row and j th column where we start indexing from 1.

14. (Calculation Response; 10 marks) Calculate the 3 missing values in the output matrix: $Y_{1,1}$, $Y_{2,2}$ and $Y_{3,3}$. Show your work.
15. (Text Response; 3 marks) Name one of the guest stars featured in the lectures and briefly describe their research interests that they mention in their outro video.

**This marks the end of this part of the exam.
These is no additional material beyond this point.**