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# **CS2102**

# **Database Systems**

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# Last Lecture

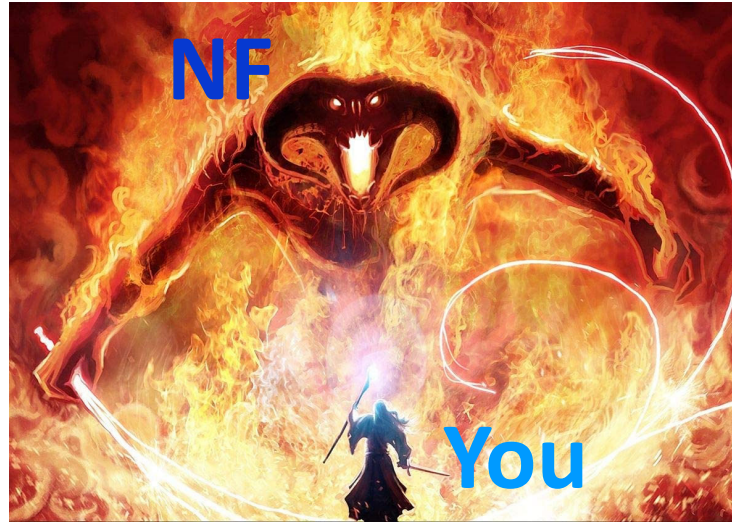
R	A	B	C

$A \rightarrow B, B \rightarrow C$

- Functional dependencies (FD)
  - Example above:  $A \rightarrow B, B \rightarrow C$
- Superkeys of a table R
  - A set of attribute that can decide all other attributes in R
  - Example above: A, AB, AC, ABC are all superkeys of R
- Keys
  - A superkey that is **minimal**
  - Example above: A is the only key of R
- Finding superkeys/keys from R
  - Using closures, based on the given FDs

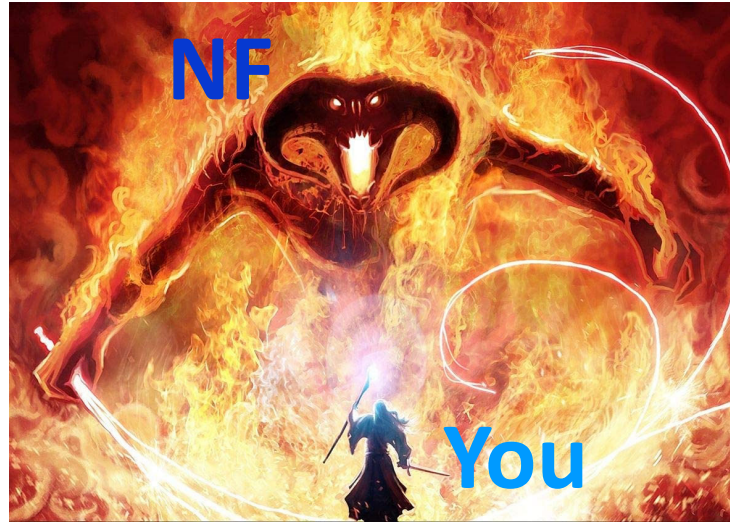
# Coming Next

- Normal forms



# Coming Next

- Normal forms



1NF

2NF

3NF

BCNF

4NF



...

# Normal Forms

- Conditions that a “good” table should satisfy
- Various normal forms  
(in increasing order of strictness)

☐ 1st NF

☐ 2nd NF

→ Easy to satisfy  
May have high redundancy

☐ 3rd NF (3NF)

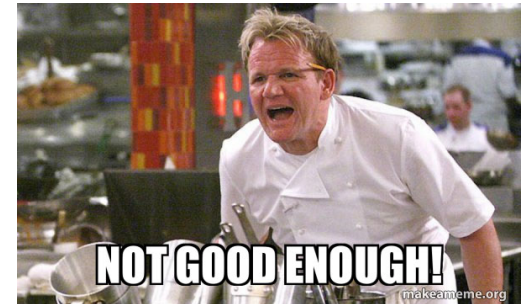
☐ Boyce-Codd NF (BCNF)

☐ 4th NF

☐ 5th NF

☐ 6th NF

→ Very little redundancy  
Not always possible to satisfy



# Normal Forms

- Conditions that a “good” table should satisfy

- Various normal forms  
(in increasing order of strictness)

- ❑ 1st NF

- ❑ 2nd NF

- ❑ 3rd NF (3NF)

- ❑ Boyce-Codd NF (BCNF)

- ❑ 4th NF

- ❑ 5th NF

- ❑ 6th NF



Get rid of most redundancies  
Always possible to satisfy

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# Roadmap

- We will focus on 3NF and BCNF since they are the most commonly used NF
- We will start from BCNF since it is conceptually simpler

# Non-trivial and Decomposed FD

- To simplify our discussions of BCNF and 3NF, we will focus on **non-trivial** and **decomposed** FDs
- Decomposed FD: an FD whose right hand side has only one attribute
  - E.g.,  $A \rightarrow C$ ,  $BC \rightarrow D$ ,  $DEF \rightarrow E$
- Note: a non-decomposed FD can always be transformed into an equivalent set of decomposed FDs
  - E.g.,  $BC \rightarrow DE \iff BC \rightarrow D \text{ and } BC \rightarrow E$



# Non-trivial and Decomposed FD

- To simplify our discussions of BCNF and 3NF, we will focus on **non-trivial** and **decomposed** FDs
- Non-trivial and decomposed FD: a decomposed FD whose right hand side does not appear on the left hand side
  - E.g.,  $A \rightarrow C$ ,  $BC \rightarrow D$
- We will check normal forms based on the non-trivial and decomposed FDs on a table
- How do we derive such FDs?

# Non-trivial and Decomposed FD

- Non-trivial and decomposed FDs can be found using closures, in a way similar to finding keys
- Example:  $R(A, B, C)$ , with  $A \rightarrow B$ ,  $B \rightarrow A$ ,  $B \rightarrow C$  given
- Step 1: Consider all attribute sets in  $R$

□  $\{A\}$

$\{B\}$

$\{C\}$

□  $\{AB\}$

$\{AC\}$

$\{BC\}$

# Non-trivial and Decomposed FD

- Non-trivial and decomposed FDs can be found using closures, in a way similar to finding keys
- Example:  $R(A, B, C)$ , with  $A \rightarrow B$ ,  $B \rightarrow A$ ,  $B \rightarrow C$  given
- Step 2: Compute the closure of each subset

□  $\{A\}$

$\{B\}$

$\{C\}$

□  $\{AB\}$

$\{AC\}$

$\{BC\}$

# Non-trivial and Decomposed FD

- Non-trivial and decomposed FDs can be found using closures, in a way similar to finding keys
- Example:  $R(A, B, C)$ , with  $A \rightarrow B$ ,  $B \rightarrow A$ ,  $B \rightarrow C$  given
- Step 2: Compute the closure of each subset

□ $\{A\}^+ =$	$\{B\}^+ =$	$\{C\}^+ =$
□ $\{AB\}^+ =$	$\{AC\}^+ =$	$\{BC\}^+ =$

# Non-trivial and Decomposed FD

- Non-trivial and decomposed FDs can be found using closures, in a way similar to finding keys
- Example:  $R(A, B, C)$ , with  $A \rightarrow B$ ,  $B \rightarrow A$ ,  $B \rightarrow C$  given
- Step 2: Compute the closure of each subset
  - $\{A\}^+ = \{ABC\}$ ,  $\{B\}^+ = \{ABC\}$ ,  $\{C\}^+ = \{C\}$
  - $\{AB\}^+ = \{ABC\}$ ,  $\{AC\}^+ = \{ABC\}$ ,  $\{BC\}^+ = \{ABC\}$

# Non-trivial and Decomposed FD

- Non-trivial and decomposed FDs can be found using closures, in a way similar to finding keys
- Example:  $R(A, B, C)$ , with  $A \rightarrow B$ ,  $B \rightarrow A$ ,  $B \rightarrow C$  given
- Step 3: From each closure, remove the "trivial" attributes
  - $\{A\}^+ = \{ABC\}$ ,  $\{B\}^+ = \{ABC\}$ ,  $\{C\}^+ = \{C\}$
  - $\{AB\}^+ = \{ABC\}$ ,  $\{AC\}^+ = \{ABC\}$ ,  $\{BC\}^+ = \{ABC\}$

# Non-trivial and Decomposed FD

- Non-trivial and decomposed FDs can be found using closures, in a way similar to finding keys
- Example:  $R(A, B, C)$ , with  $A \rightarrow B$ ,  $B \rightarrow A$ ,  $B \rightarrow C$  given
- Step 3: From each closure, remove the "trivial" attributes
  - $\{A\}^+ = \{ABC\}$ ,  $\{B\}^+ = \{ABC\}$ ,  $\{C\}^+ = \{C\}$
  - $\{AB\}^+ = \{ABC\}$ ,  $\{AC\}^+ = \{ABC\}$ ,  $\{BC\}^+ = \{ABC\}$

# Non-trivial and Decomposed FD

- Non-trivial and decomposed FDs can be found using closures, in a way similar to finding keys
- Example:  $R(A, B, C)$ , with  $A \rightarrow B$ ,  $B \rightarrow A$ ,  $B \rightarrow C$  given
- Step 4: Derive non-trivial and decomposed FDs from each closure
  - $\{A\}^+ = \{ABC\}$ ,  $\{B\}^+ = \{ABC\}$ ,  $\{C\}^+ = \{C\}$
  - $\{AB\}^+ = \{ABC\}$ ,  $\{AC\}^+ = \{ABC\}$ ,  $\{BC\}^+ = \{ABC\}$



# Non-trivial and Decomposed FD

- Non-trivial and decomposed FDs can be found using closures, in a way similar to finding keys
- Example:  $R(A, B, C)$ , with  $A \rightarrow B$ ,  $B \rightarrow A$ ,  $B \rightarrow C$  given
- Step 4: Derive non-trivial and decomposed FDs from each closure
  - $A \rightarrow B$ ,                       $A \rightarrow C$ ,                       $B \rightarrow A$ ,                       $B \rightarrow C$
  - $AB \rightarrow C$ ,                       $AC \rightarrow B$ ,                       $BC \rightarrow A$

# BCNF: Definition

- A table R is in BCNF, if every non-trivial and decomposed FD has a superkey as its left hand side
- Example: R(A, B, C), with  $A \rightarrow B$ ,  $B \rightarrow A$ ,  $B \rightarrow C$  given
- Key: A, B
- Non-trivial and decomposed FDs on R:
  - $A \rightarrow B$ ,                       $A \rightarrow C$ ,                       $B \rightarrow A$ ,                       $B \rightarrow C$
  - $AB \rightarrow C$ ,                       $AC \rightarrow B$ ,                       $BC \rightarrow A$
- For each of the above FD, the left hand side is a superkey
- So R satisfies BCNF

# BCNF: Definition

- A table R is in BCNF, if every non-trivial and decomposed FD has a superkey as its left hand side
- Example: R(A, B, C), with  $A \rightarrow B$ ,  $B \rightarrow C$  given
- Key: A
- Non-trivial and decomposed FDs on R:
  - $A \rightarrow B$ ,                       $A \rightarrow C$ ,                       $B \rightarrow C$
  - $AB \rightarrow C$ ,                       $AC \rightarrow B$
- The left hand side of  $B \rightarrow C$  is not a superkey
- So R does **not** satisfy BCNF

# BCNF: Intuition

- BCNF requires that if there is a non-trivial and decomposed FD  $A_1A_2...A_n \rightarrow B$ , then  $A_1A_2...A_n$  must be a superkey
- In other words, any attribute B can depend **only** on superkeys
- "In ~~superman~~ superkeys we trust!"
- Any dependency on non-superkeys is prohibited by BCNF



# BCNF: Intuition

- In other words, any attribute B can depend **only** on superkeys
- Why does this make sense?
- Suppose that B depends on a non-superkey  $C_1C_2...C_n$
- Since  $C_1C_2...C_n$  is not a superkey, the same  $C_1C_2...C_n$  may appear multiple times in the table
- Whenever this happens, the same B would appear multiple times in the table
- This leads to redundancy
- BCNF prevents this from happening

# BCNF: Intuition

Name	<u>NRIC</u>	<u>Phone</u>	Address
Alice	1234	67899876	Jurong East
Alice	1234	83848384	Jurong East
Bob	5678	98765432	Pasir Ris

- Key: {NRIC, Phone}
- $\text{NRIC} \rightarrow \text{Name, Address}$ , which violates BCNF
- Since NRIC is not a superkey, the same NRIC can appear multiple times in the table
- Every time the same NRIC is repeated, the corresponding Name and Address are also be repeated
- This leads to redundancy
- BCNF prevents this

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# Coming Next

- How do we check whether a table is in BCNF?

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# BCNF Check

- A table R is in BCNF, if every non-trivial and decomposed FD has a superkey as its left hand side
- Algorithm for checking BCNF
  - Compute the closure of each attribute subset
  - Derive the keys of R (using closures)
  - Derive all non-trivial and decomposed FDs on R (again, using closures)
  - Check the non-trivial and decomposed FDs to see if they satisfy the BCNF requirement
  - If all of them satisfy the requirement, then R is in BCNF



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# BCNF Check: Example

- $R(A, B, C, D)$  with FDs  $AB \rightarrow C$ ,  $C \rightarrow D$ , and  $D \rightarrow A$

# BCNF Check: Example

- $R(A, B, C, D)$  with FDs  $AB \rightarrow C$ ,  $C \rightarrow D$ , and  $D \rightarrow A$ 
  1. Compute the closure for each subset of the attributes in  $R$ 
    - $\{A\}^+ = \{A\}$ ,  $\{B\}^+ = \{B\}$ ,  $\{C\}^+ = \{ACD\}$ ,  $\{D\}^+ = \{AD\}$
    - $\{AB\}^+ = \{ABCD\}$ ,  $\{AC\}^+ = \{ACD\}$ ,  $\{AD\}^+ = \{AD\}$
    - $\{BC\}^+ = \{ABCD\}$ ,  $\{BD\}^+ = \{ABCD\}$ ,  $\{CD\}^+ = \{ACD\}$
    - $\{ABC\}^+ = \{ABD\}^+ = \{BCD\}^+ = \{ABCD\}$
    - $\{ACD\}^+ = \{ACD\}$
    - $\{ABCD\}^+ = \{ABCD\}$

# BCNF Check: Example

- $R(A, B, C, D)$  with FDs  $AB \rightarrow C$ ,  $C \rightarrow D$ , and  $D \rightarrow A$

2. Derive the keys of  $R$

- $\{A\}^+ = \{A\}$ ,  $\{B\}^+ = \{B\}$ ,  $\{C\}^+ = \{ACD\}$ ,  $\{D\}^+ = \{AD\}$
- $\{AB\}^+ = \{ABCD\}$ ,  $\{AC\}^+ = \{ACD\}$ ,  $\{AD\}^+ = \{AD\}$
- $\{BC\}^+ = \{ABCD\}$ ,  $\{BD\}^+ = \{ABCD\}$ ,  $\{CD\}^+ = \{ACD\}$
- $\{ABC\}^+ = \{ABD\}^+ = \{BCD\}^+ = \{ABCD\}$
- $\{ACD\}^+ = \{ACD\}$
- $\{ABCD\}^+ = \{ABCD\}$

# BCNF Check: Example

- $R(A, B, C, D)$  with FDs  $AB \rightarrow C$ ,  $C \rightarrow D$ , and  $D \rightarrow A$

2. Derive the keys of  $R$ :  $AB$ ,  $BC$ ,  $BD$

- $\{A\}^+ = \{A\}$ ,  $\{B\}^+ = \{B\}$ ,  $\{C\}^+ = \{ACD\}$ ,  $\{D\}^+ = \{AD\}$
- $\{AB\}^+ = \{ABCD\}$ ,  $\{AC\}^+ = \{ACD\}$ ,  $\{AD\}^+ = \{AD\}$
- $\{BC\}^+ = \{ABCD\}$ ,  $\{BD\}^+ = \{ABCD\}$ ,  $\{CD\}^+ = \{ACD\}$
- $\{ABC\}^+ = \{ABD\}^+ = \{BCD\}^+ = \{ABCD\}$
- $\{ACD\}^+ = \{ACD\}$
- $\{ABCD\}^+ = \{ABCD\}$

# BCNF Check: Example

- $R(A, B, C, D)$  with FDs  $AB \rightarrow C$ ,  $C \rightarrow D$ , and  $D \rightarrow A$ 
  2. Derive the keys of  $R$ :  $AB$ ,  $BC$ ,  $BD$
  3. Derive the non-trivial and decomposed FDs on  $R$ 
    - $\{A\}^+ = \{A\}$ ,  $\{B\}^+ = \{B\}$ ,  $\{C\}^+ = \{ACD\}$ ,  $\{D\}^+ = \{AD\}$
    - $\{AB\}^+ = \{ABCD\}$ ,  $\{AC\}^+ = \{ACD\}$ ,  $\{AD\}^+ = \{AD\}$
    - $\{BC\}^+ = \{ABCD\}$ ,  $\{BD\}^+ = \{ABCD\}$ ,  $\{CD\}^+ = \{ACD\}$
    - $\{ABC\}^+ = \{ABD\}^+ = \{BCD\}^+ = \{ABCD\}$
    - $\{ACD\}^+ = \{ACD\}$
    - $\{ABCD\}^+ = \{ABCD\}$

# BCNF Check: Example

- $R(A, B, C, D)$  with FDs  $AB \rightarrow C$ ,  $C \rightarrow D$ , and  $D \rightarrow A$ 
  2. Derive the keys of  $R$ :  $AB$ ,  $BC$ ,  $BD$
  3. Derive the non-trivial and decomposed FDs on  $R$ 
    - $C \rightarrow A$ ,  $C \rightarrow D$ ,  $D \rightarrow A$
    - $\{AB\}^+ = \{ABCD\}$ ,  $\{AC\}^+ = \{ACD\}$ ,  $\{AD\}^+ = \{AD\}$
    - $\{BC\}^+ = \{ABCD\}$ ,  $\{BD\}^+ = \{ABCD\}$ ,  $\{CD\}^+ = \{ACD\}$
    - $\{ABC\}^+ = \{ABD\}^+ = \{BCD\}^+ = \{ABCD\}$
    - $\{ACD\}^+ = \{ACD\}$
    - $\{ABCD\}^+ = \{ABCD\}$

# BCNF Check: Example

- $R(A, B, C, D)$  with FDs  $AB \rightarrow C$ ,  $C \rightarrow D$ , and  $D \rightarrow A$ 
  2. Derive the keys of  $R$ :  $AB$ ,  $BC$ ,  $BD$
  3. Derive the non-trivial and decomposed FDs on  $R$ 
    - $C \rightarrow A$ ,  $C \rightarrow D$ ,  $D \rightarrow A$
    - $AB \rightarrow C$ ,  $AB \rightarrow D$ ,  $AC \rightarrow D$
    - $\{BC\}^+ = \{ABCD\}$ ,  $\{BD\}^+ = \{ABCD\}$ ,  $\{CD\}^+ = \{ACD\}$
    - $\{ABC\}^+ = \{ABD\}^+ = \{BCD\}^+ = \{ABCD\}$
    - $\{ACD\}^+ = \{ACD\}$
    - $\{ABCD\}^+ = \{ABCD\}$

# BCNF Check: Example

- $R(A, B, C, D)$  with FDs  $AB \rightarrow C$ ,  $C \rightarrow D$ , and  $D \rightarrow A$ 
  2. Derive the keys of  $R$ :  $AB$ ,  $BC$ ,  $BD$
  3. Derive the non-trivial and decomposed FDs on  $R$ 
    - $C \rightarrow A$ ,  $C \rightarrow D$ ,  $D \rightarrow A$
    - $AB \rightarrow C$ ,  $AB \rightarrow D$ ,  $AC \rightarrow D$
    - $BC \rightarrow A$ ,  $BC \rightarrow D$ ,  $BD \rightarrow A$ ,  $BD \rightarrow C$ ,  $CD \rightarrow A$
    - $\{ABC\}^+ = \{ABD\}^+ = \{BCD\}^+ = \{ABCD\}$
    - $\{ACD\}^+ = \{ACD\}$
    - $\{ABCD\}^+ = \{ABCD\}$



# BCNF Check: Example

- R(A, B, C, D) with FDs  $AB \rightarrow C$ ,  $C \rightarrow D$ , and  $D \rightarrow A$ 
  2. Derive the keys of R: AB, BC, BD
  3. Derive the non-trivial and decomposed FDs on R
    - $C \rightarrow A$ ,  $C \rightarrow D$ ,  $D \rightarrow A$
    - $AB \rightarrow C$ ,  $AB \rightarrow D$ ,  $AC \rightarrow D$
    - $BC \rightarrow A$ ,  $BC \rightarrow D$ ,  $BD \rightarrow A$ ,  $BD \rightarrow C$ ,  $CD \rightarrow A$
    - $ABC \rightarrow D$ ,  $ABD \rightarrow C$ ,  $BCD \rightarrow A$

# BCNF Check: Example

- $R(A, B, C, D)$  with FDs  $AB \rightarrow C$ ,  $C \rightarrow D$ , and  $D \rightarrow A$ 
  2. Derive the keys of  $R$ :  $AB$ ,  $BC$ ,  $BD$
  3. Derive the non-trivial and decomposed FDs on  $R$ 
    - $C \rightarrow A$ ,  $C \rightarrow D$ ,  $D \rightarrow A$
    - $AB \rightarrow C$ ,  $AB \rightarrow D$ ,  $AC \rightarrow D$
    - $BC \rightarrow A$ ,  $BC \rightarrow D$ ,  $BD \rightarrow A$ ,  $BD \rightarrow C$ ,  $CD \rightarrow A$
    - $ABC \rightarrow D$ ,  $ABD \rightarrow C$ ,  $BCD \rightarrow A$
  4. For each non-trivial and decomposed FD, check whether its left hand side is a super-key

# BCNF Check: Example

- $R(A, B, C, D)$  with FDs  $AB \rightarrow C$ ,  $C \rightarrow D$ , and  $D \rightarrow A$

2. Derive the keys of  $R$ :  $AB$ ,  $BC$ ,  $BD$

3. Derive the non-trivial and decomposed FDs on  $R$

- $C \rightarrow A$ ,  $C \rightarrow D$ ,  $D \rightarrow A$

- $AB \rightarrow C$ ,  $AB \rightarrow D$ ,  $AC \rightarrow D$

Not in BCNF

- $BC \rightarrow A$ ,  $BC \rightarrow D$ ,  $BD \rightarrow A$ ,  $BD \rightarrow C$ ,  $CD \rightarrow A$

- $ABC \rightarrow D$ ,  $ABD \rightarrow C$ ,  $BCD \rightarrow A$

4. For each non-trivial and decomposed FD, check whether its left hand side is a super-key

# BCNF Check

- The previous algorithm
  - Compute the closure of each attribute subset
  - Derive the keys of R (using closures)
  - Derive all non-trivial and decomposed FDs on R (again, using closures)
  - Check the non-trivial and decomposed FDs to see if they satisfy BCNF requirement
  - If all of them satisfy the requirement, then R is in BCNF
- Observation:
  - The three steps in blue are quite tedious
  - We will simplify it by combining them together, again using closures

# Simplified BCNF Check: How?

- What we need: check if there is a non-trivial and decomposed FD  $A_1A_2...A_k \rightarrow B_1$ , such that  $A_1A_2...A_k$  is not a superkey
- Question: if  $A_1A_2...A_k$  is not a superkey, what would its closure  $\{A_1A_2...A_k\}^+$  look like?
- First, the closure should contain  $B_1$ , which is not in  $\{A_1A_2...A_k\}$ 
  - i.e., the closure contains **more** attributes than  $\{A_1A_2...A_k\}$  does
- Second, the closure should not contain all attributes in the table, since  $A_1A_2...A_k$  is not a superkey
  - i.e., the closure contains **not all** attributes in the table
- Conclusion: we have a violation of BCNF, iff we have a closure that satisfies the "more but not all" condition

# Simplified BCNF Check: Algorithm

- Conclusion: we have a violation of BCNF, iff we have a closure that satisfies the "more but not all" condition
- Simplified Algorithm for BCNF check:
  - Compute the closure of each attribute subset
  - Check if there is a closure  $\{A_1A_2...A_k\}^+$ , such that
    - The closure contains some attribute not in  $\{A_1A_2...A_k\}$
    - The closure does not contain all attributes in the table
    - i.e., a "more but not all" closure
  - If such a closure exists, then R is NOT in BCNF

# Simplified BCNF Check: Example

- $R(A, B, C, D)$  with FDs  $AB \rightarrow C$ ,  $C \rightarrow D$ , and  $D \rightarrow A$

# Simplified BCNF Check: Example

- $R(A, B, C, D)$  with FDs  $AB \rightarrow C$ ,  $C \rightarrow D$ , and  $D \rightarrow A$ 
  1. Compute the closure of each attribute subset
    - $\{A\}^+ = \{A\}$ ,  $\{B\}^+ = \{B\}$ ,  $\{C\}^+ = \{ACD\}$ ,  $\{D\}^+ = \{AD\}$
- Stop right there...
- Take a look at  $\{C\}^+ = \{ACD\}$ 
  - $\{C\}^+$  contains more attributes than  $\{C\}$  does
  - $\{C\}^+$  does not contain all attributes in  $R$
- "More but not all", which is a violation of BCNF
- So  $R$  is not in BCNF



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# Exercise

- $R(A, B, C, D)$  with FDs  $B \rightarrow C, B \rightarrow D$
- Is  $R$  in BCNF?

# Exercise

Not in BCNF

- $R(A, B, C, D)$  with FDs  $B \rightarrow C, B \rightarrow D$ 
  - Compute the closure of each attribute subset
    - $\{A\}^+ = \{A\}, \{B\}^+ = \{BCD\}, \{C\}^+ = \{C\}, \{D\}^+ = \{D\}$
  - $\{B\}^+ = \{BCD\}$  stratifies the "more but not all" property
  - So it indicates a violation of BCNF

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# Exercise

- $R(A, B, C, D)$  with FDs  $A \rightarrow B$ ,  $B \rightarrow C$ ,  $C \rightarrow D$ , and  $D \rightarrow A$
- Is  $R$  in BCNF?

# Exercise

In BCNF

- $R(A, B, C, D)$  with FDs  $A \rightarrow B$ ,  $B \rightarrow C$ ,  $C \rightarrow D$ , and  $D \rightarrow A$ 
  - Compute the closure for each subset of the attributes in  $R$ 
    - $\{A\}^+ = \{ABCD\}$ ,  $\{B\}^+ = \{ABCD\}$ ,  $\{C\}^+ = \{ABCD\}$ ,  $\{D\}^+ = \{ABCD\}$
    - The other closures are all  $\{ABCD\}$
  - There is no closure satisfying the "more but not all" property
  - So there is no violation of BCNF

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# Exercise

- $R(A, B, C, D, E)$  with FDs  $AB \rightarrow C$ ,  $C \rightarrow E$ ,  $E \rightarrow A$ , and  $E \rightarrow D$
- Is  $R$  in BCNF?

# Exercise

Not In BCNF

- $R(A, B, C, D, E)$  with FDs  $AB \rightarrow C$ ,  $C \rightarrow E$ ,  $E \rightarrow A$ , and  $E \rightarrow D$ 
  - Compute the closure for each subset of the attributes in  $R$ 
    - $\{A\}^+ = \{A\}$ ,  $\{B\}^+ = \{B\}$ ,  $\{C\}^+ = \{ACDE\}$ ,  $\{D\}^+ = \{D\}$ ,  $\{E\}^+ = \{ADE\}$
  - $\{E\}^+ = \{ADE\}$  satisfies the "more but not all" property
  - So  $\{E\}^+ = \{ADE\}$  indicates a violation of BCNF

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# Exercise

- $R(A, B, C, D)$  with FDs  $AB \rightarrow D$ ,  $BD \rightarrow C$ ,  $CD \rightarrow A$ , and  $AC \rightarrow B$
- Is  $R$  in BCNF?

# Exercise

In BCNF

- $R(A, B, C, D)$  with FDs  $AB \rightarrow D$ ,  $BD \rightarrow C$ ,  $CD \rightarrow A$ , and  $AC \rightarrow B$ 
  - Compute the closure for each subset of the attributes in R
    - $\{A\}^+ = \{A\}$ ,  $\{B\}^+ = \{B\}$ ,  $\{C\}^+ = \{C\}$ ,  $\{D\}^+ = \{D\}$
    - $\{AB\}^+ = \{BD\}^+ = \{CD\}^+ = \{AC\}^+ = \{ABCD\}$ ,
    - $\{AD\}^+ = \{AD\}$ ,  $\{BC\}^+ = \{BC\}$
    - $\{ABC\}^+ = \{ABD\}^+ = \{BCD\}^+ = \{ACD\}^+ = \{ABCD\}$
    - $\{ABCD\}^+ = \{ABCD\}$
  - There is no closure satisfying the "more but not all" property
  - So there is no violation of BCNF



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# Roadmap

- Now we know how to check whether a table is in BCNF
- But if a table is not in BCNF, how can we improve it?
- We can **decompose** it into smaller tables
  - This is also called a **normalization**

# BCNF Decomposition

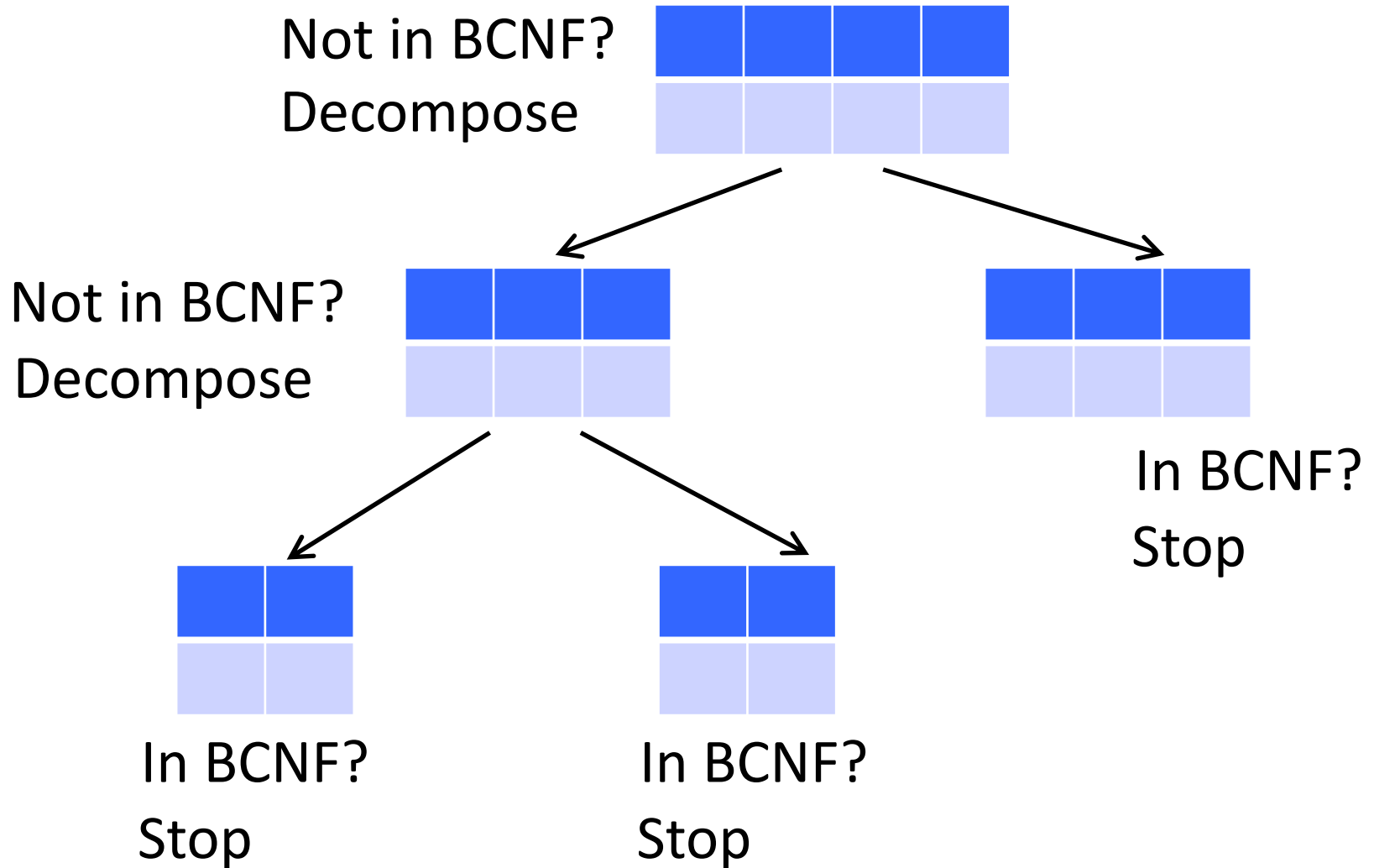
Name	<u>NRIC</u>	<u>PhoneNumber</u>	HomeAddress
Alice	1234	67899876	Jurong East
Alice	1234	83848384	Jurong East
Bob	5678	98765432	Pasir Ris

- Decomposing non-BCNF tables into smaller ones in BCNF

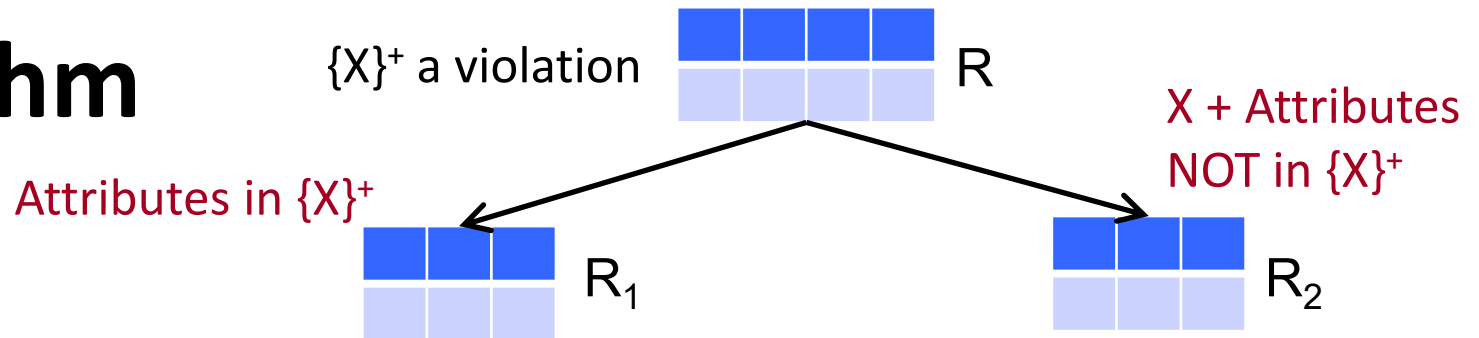
Name	<u>NRIC</u>	HomeAddress
Alice	1234	Jurong East
Bob	5678	Pasir Ris

<u>NRIC</u>	<u>PhoneNumber</u>
1234	67899876
1234	83848384
5678	98765432

# Decompose, until all are in BCNF



# Algorithm



- Input: a table  $R$
- 1. Find a subset  $X$  of the attributes in  $R$ , such that its closure  $\{X\}^+$  (i) contains more attributes than  $X$  does, but (ii) does not contain all attributes in  $R$
- 2. Decompose  $R$  into two tables  $R_1$  and  $R_2$ , such that
  - $R_1$  contains all attributes in  $\{X\}^+$
  - $R_2$  contains all attributes in  $X$  as well as the attributes not in  $\{X\}^+$
- 3. If  $R_1$  is not in BCNF, further decompose  $R_1$ ;  
If  $R_2$  is not in BCNF, further decompose  $R_2$

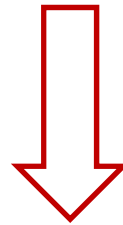
# BCNF Decomposition: Example

Name	<u>NRIC</u>	<u>PhoneNumber</u>	HomeAddress
Alice	1234	67899876	Jurong East
Alice	1234	83848384	Jurong East
Bob	5678	98765432	Pasir Ris

- FD:  $\text{NRIC} \rightarrow \text{Name}, \text{HomeAddress}$ 
  1. Find a subset  $X$  of the attributes in  $R$ , such that its closure  $X^+$  (i) contains more attributes than  $X$ , but (ii) does not contain all attributes in  $R$
- $\{\text{NRIC}\}^+ = \{\text{Name}, \text{NRIC}, \text{HomeAddress}\}$ 
  2. Decompose  $R$  into two tables  $R_1$  and  $R_2$ , such that
    - $R_1$  contains all attributes in  $X^+$
    - $R_2$  contains all attributes in  $X$  as well as the attributes not in  $X^+$
- $R_1(\text{Name}, \text{NRIC}, \text{HomeAddress}), R_2(\text{NRIC}, \text{PhoneNumber})$ 
  3. Check if  $R_1$  and  $R_2$  are in BCNF, and so on. (Spoiler: they are in BCNF)

# BCNF Decomposition: Example

Name	<u>NRIC</u>	<u>PhoneNumber</u>	HomeAddress
Alice	1234	67899876	Jurong East
Alice	1234	83848384	Jurong East
Bob	5678	98765432	Pasir Ris



Name	<u>NRIC</u>	HomeAddress
Alice	1234	Jurong East
Bob	5678	Pasir Ris

<u>NRIC</u>	<u>PhoneNumber</u>
1234	67899876
1234	83848384
5678	98765432

# BCNF Decomposition: Example

- $R(A, B, C, D)$  with FDs  $A \rightarrow B$ ,  $B \rightarrow C$

1. Find a subset  $X$  of the attributes in  $R$ , such that its closure  $\{X\}^+$  (i) contains more attributes than  $X$ , but (ii) does not contain all attributes in  $R$

- $\{A\}^+ = \{A, B, C\}$

2. Decompose  $R$  into two tables  $R_1$  and  $R_2$ , such that

- $R_1$  contains all attributes in  $\{X\}^+$
- $R_2$  contains all attributes in  $X$  as well as the attributes not in  $\{X\}^+$

- $R_1(A, B, C)$ ,  $R_2(A, D)$

3. Check if  $R_1$  and  $R_2$  are in BCNF

- $R_1$ : No,  $R_2$ : Yes

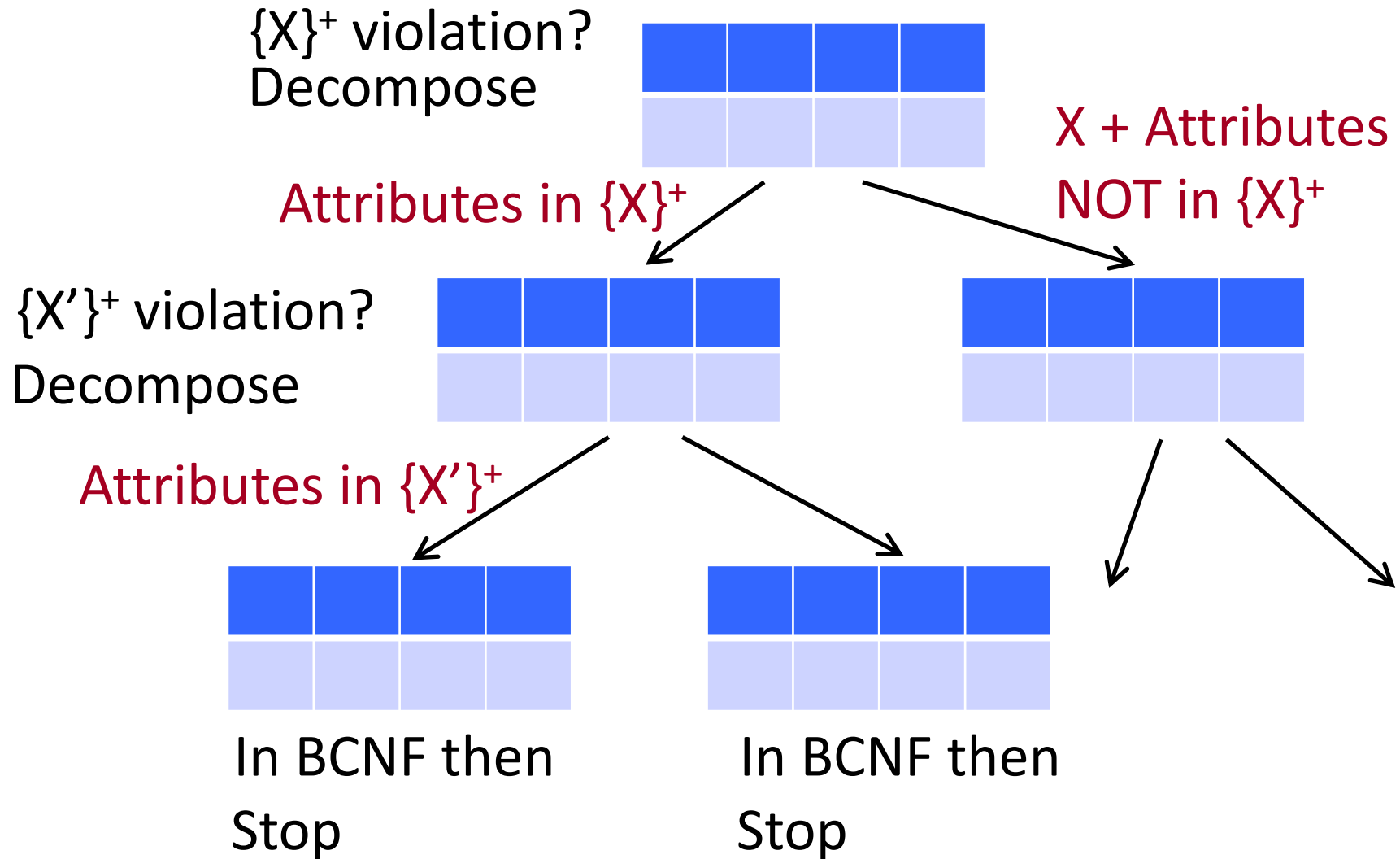
4. Further decompose  $R_1$

# BCNF Decomposition: Example

- $R(A, B, C, D)$  with FDs  $A \rightarrow B, B \rightarrow C$
- $R_1(A, B, C), R_2(A, D)$
- Further decompose  $R_1$ 
  1. Find a subset  $X$  of the attributes in  $R_1$ , such that its closure  $\{X\}^+$  (i) contains more attributes than  $X$ , but (ii) does not contain all attributes in  $R$ 
    - $\{A\}^+ = \{A, B, C\}, \{B\}^+ = \{B, C\}$
  2. Decompose  $R_1$  into two tables  $R_3$  and  $R_4$ , such that
    - $R_3$  contains all attributes in  $\{X\}^+$
    - $R_4$  contains all attributes in  $X$  as well as the attributes not in  $\{X\}^+$
  - $R_3(B, C), R_4(A, B)$
- 3. Check if  $R_1$  and  $R_2$  are in BCNF
  - $R_3$ : Yes,  $R_4$ : Yes
  - Final results:  $R_3(B, C), R_4(A, B), R_2(A, D)$



# Decompose, until all are in BCNF



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# Notes

- The BCNF decomposition of a table may not be unique
- If a table has only two attributes, then it must be in BCNF
  - Therefore, you do not need to check tables with only two attributes

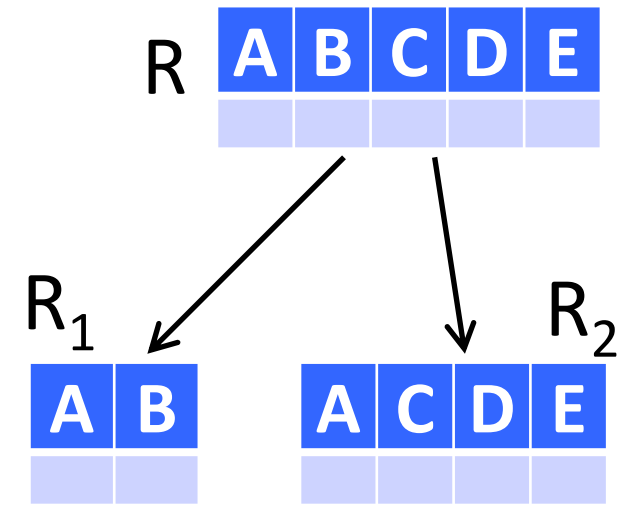
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## BCNF Decomposition: One More Issue

- Recall that, whenever we decompose a table  $R$  into two smaller tables  $R_1$  and  $R_2$ , we need to check whether  $R_1$  and  $R_2$  satisfies BCNF
- This requires us to check the closures on  $R_1$  and  $R_2$
- We will explain how this can be done using an example

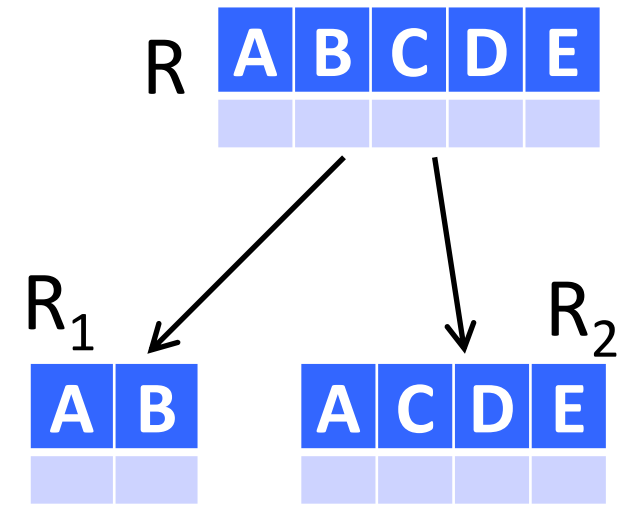
# BCNF Decomposition: One More Issue

- Given:  $A \rightarrow B$ ,  $BC \rightarrow D$
- Step 1: Check if there is closure that indicates a violation of BCNF
  - $\{A\}^+ = \{A, B\}$
- Step 2: Decompose the table into two
  - First one: include all attributes in the closure, i.e,  $\{A, B\}$
  - Second one: include A and all attributes NOT in the closure, i.e.,  $\{A, C, D, E\}$
- Now we need to check whether  $R_1$  and  $R_2$  are in BCNF
- $R_1$  is in BCNF; but what about  $R_2$ ?



# BCNF Decomposition: One More Issue

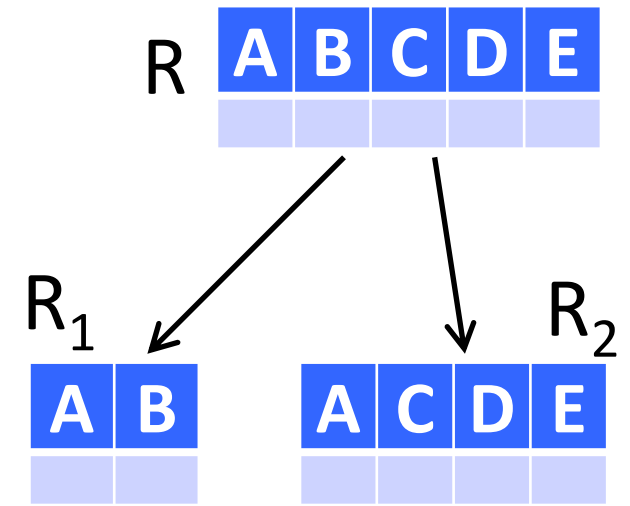
- Given:  $A \rightarrow B$ ,  $BC \rightarrow D$
- To check whether  $R_2$  is in BCNF, we need to derive the closures for  $R_2$
- But we don't know what FDs are there on  $R_2$
- Solution:
  - Derive the closures on  $R$
  - Then, **project** them onto  $R_2$



# BCNF Decomposition: One More Issue

- Given:  $A \rightarrow B$ ,  $BC \rightarrow D$
- Deriving closures for  $R_2$ 
  - Step 1: enumerate the attribute subsets in  $R_2$

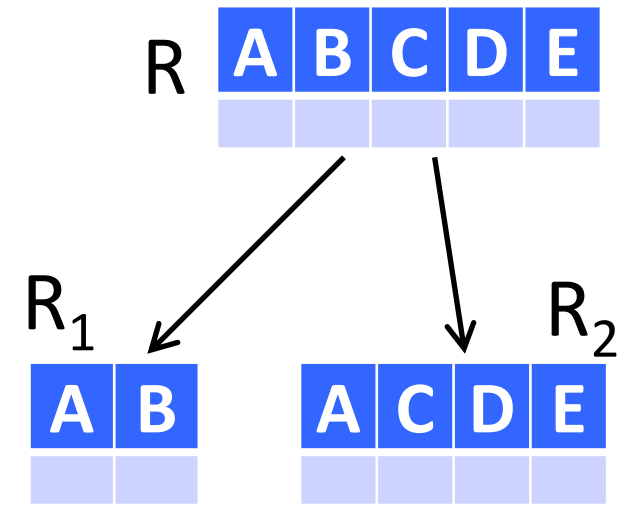
- |         |       |
|---------|-------|
| ■ {A}   | {C}   |
| ■ {D}   | {E}   |
| ■ {AC}  | {AD}  |
| ■ {AE}  | {CD}  |
| ■ {CE}  | {DE}  |
| ■ {ACD} | {ACE} |
| ■ {ADE} | {CDE} |



# BCNF Decomposition: One More Issue

- Given:  $A \rightarrow B$ ,  $BC \rightarrow D$
- Deriving closures for  $R_2$ 
  - Step 2: derive the closures of these attribute subsets on **R**

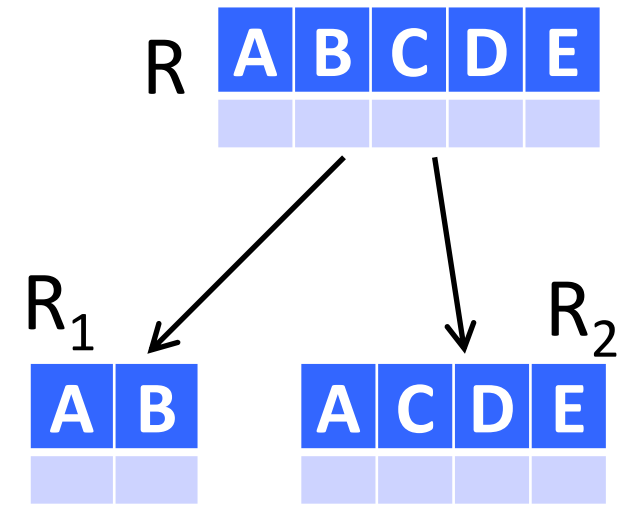
- |         |       |
|---------|-------|
| ■ {A}   | {C}   |
| ■ {D}   | {E}   |
| ■ {AC}  | {AD}  |
| ■ {AE}  | {CD}  |
| ■ {CE}  | {DE}  |
| ■ {ACD} | {ACE} |
| ■ {ADE} | {CDE} |



# BCNF Decomposition: One More Issue

- Given:  $A \rightarrow B$ ,  $BC \rightarrow D$
- Deriving closures for  $R_2$ 
  - Step 2: derive the closures of these attribute subsets on **R**

- $\{A\}^+ = \{AB\}$
- $\{D\}^+ = \{D\}$
- $\{AC\}^+ = \{ABCD\}$
- $\{AE\}^+ = \{ABE\}$
- $\{CE\}^+ = \{CE\}$
- $\{ACD\}^+ = \{ABCD\}$
- $\{ADE\}^+ = \{ABCDE\}$
- $\{C\}^+ = \{C\}$
- $\{E\}^+ = \{E\}$
- $\{AD\}^+ = \{ABD\}$
- $\{CD\}^+ = \{CD\}$
- $\{DE\}^+ = \{DE\}$
- $\{ACE\}^+ = \{ABCDE\}$
- $\{CDE\}^+ = \{CDE\}$

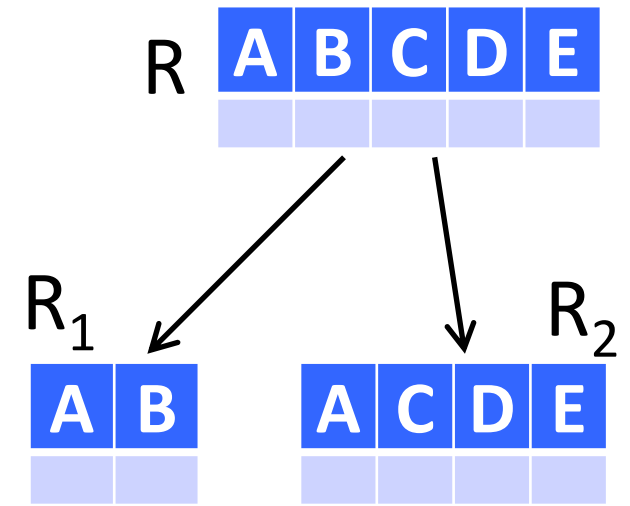




# BCNF Decomposition: One More Issue

- Given:  $A \rightarrow B$ ,  $BC \rightarrow D$
- Deriving closures for  $R_2$ 
  - Step 3: Project these closures onto  $R_2$ , by removing irrelevant attributes

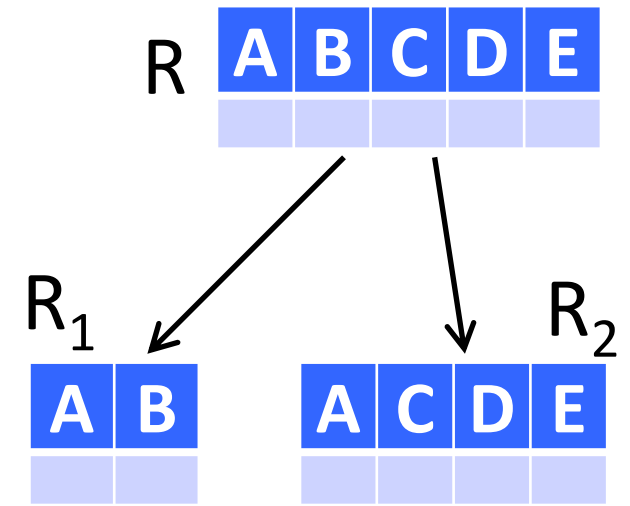
- $\{A\}^+ = \{AB\}$
- $\{D\}^+ = \{D\}$
- $\{AC\}^+ = \{ABCD\}$
- $\{AE\}^+ = \{ABE\}$
- $\{CE\}^+ = \{CE\}$
- $\{ACD\}^+ = \{ABCD\}$
- $\{ADE\}^+ = \{ABCDE\}$
- $\{C\}^+ = \{C\}$
- $\{E\}^+ = \{E\}$
- $\{AD\}^+ = \{ABD\}$
- $\{CD\}^+ = \{CD\}$
- $\{DE\}^+ = \{DE\}$
- $\{ACE\}^+ = \{ABCDE\}$
- $\{CDE\}^+ = \{CDE\}$



# BCNF Decomposition: One More Issue

- Given:  $A \rightarrow B$ ,  $BC \rightarrow D$
- Deriving closures for  $R_2$ 
  - Step 3: Project these closures onto  $R_2$ , by removing irrelevant attributes

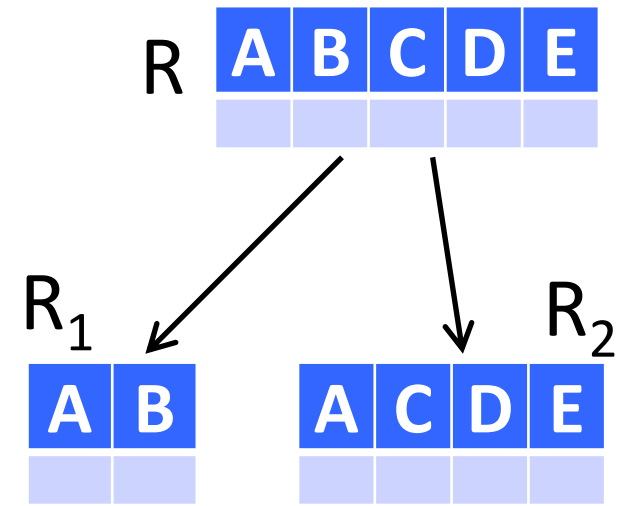
- $\{A\}^+ = \{AB\}$
- $\{D\}^+ = \{D\}$
- $\{AC\}^+ = \{ABCD\}$
- $\{AE\}^+ = \{ABE\}$
- $\{CE\}^+ = \{CE\}$
- $\{ACD\}^+ = \{ABCD\}$
- $\{ADE\}^+ = \{ABCDE\}$
- $\{C\}^+ = \{C\}$
- $\{E\}^+ = \{E\}$
- $\{AD\}^+ = \{ABD\}$
- $\{CD\}^+ = \{CD\}$
- $\{DE\}^+ = \{DE\}$
- $\{ACE\}^+ = \{ABCDE\}$
- $\{CDE\}^+ = \{CDE\}$



# BCNF Decomposition: One More Issue

- Given:  $A \rightarrow B$ ,  $BC \rightarrow D$
- Deriving closures for  $R_2$ 
  - Step 3: Project these closures onto  $R_2$ , by removing irrelevant attributes

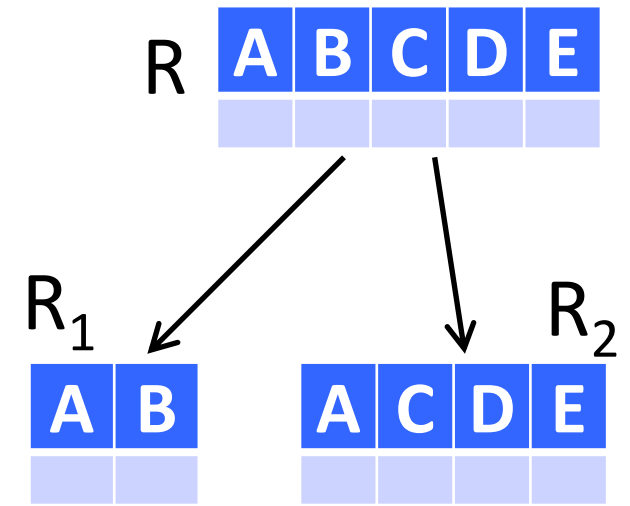
- $\{A\}^+ = \{A\}$
- $\{D\}^+ = \{D\}$
- $\{AC\}^+ = \{ACD\}$
- $\{AE\}^+ = \{AE\}$
- $\{CE\}^+ = \{CE\}$
- $\{ACD\}^+ = \{ACD\}$
- $\{ADE\}^+ = \{ACDE\}$
- $\{C\}^+ = \{C\}$
- $\{E\}^+ = \{E\}$
- $\{AD\}^+ = \{AD\}$
- $\{CD\}^+ = \{CD\}$
- $\{DE\}^+ = \{DE\}$
- $\{ACE\}^+ = \{ACDE\}$
- $\{CDE\}^+ = \{CDE\}$



# BCNF Decomposition: One More Issue

- Given:  $A \rightarrow B$ ,  $BC \rightarrow D$
- Deriving closures for  $R_2$ 
  - Step 3: Project these closures onto  $R_2$ , by removing irrelevant attributes

- |                          |                        |
|--------------------------|------------------------|
| ■ $\{A\}^+ = \{A\}$      | $\{C\}^+ = \{C\}$      |
| ■ $\{D\}^+ = \{D\}$      | $\{E\}^+ = \{E\}$      |
| ■ $\{AC\}^+ = \{ACD\}$   | $\{AD\}^+ = \{AD\}$    |
| ■ $\{AE\}^+ = \{AE\}$    | $\{CD\}^+ = \{CD\}$    |
| ■ $\{CE\}^+ = \{CE\}$    | $\{DE\}^+ = \{DE\}$    |
| ■ $\{ACD\}^+ = \{ACD\}$  | $\{ACE\}^+ = \{ACDE\}$ |
| ■ $\{ADE\}^+ = \{ACDE\}$ | $\{CDE\}^+ = \{CDE\}$  |



- This closure violates BCNF
- So  $R_2$  is not in BCNF

# Projection of Closures/FDs

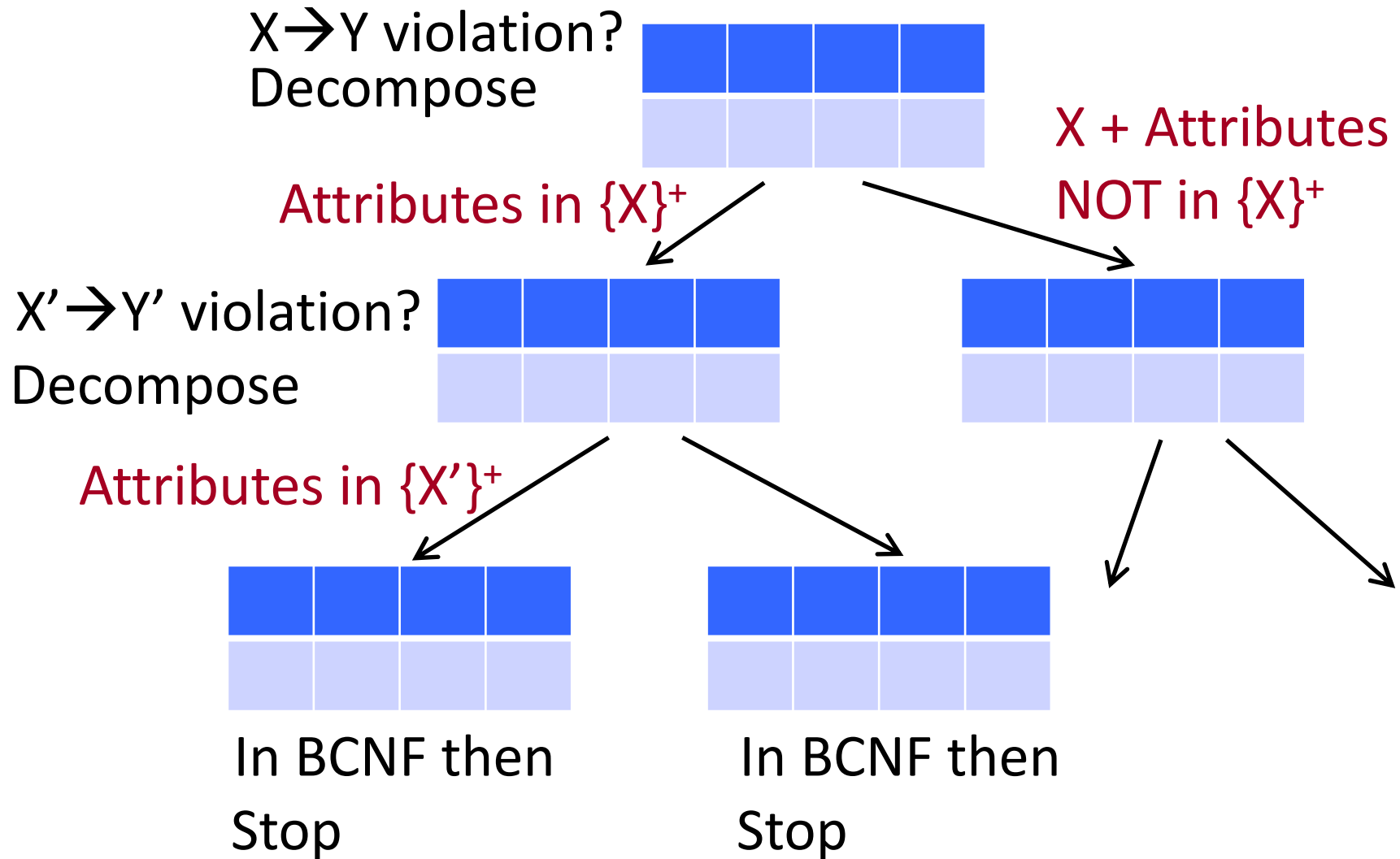
- In general, if we are to derive the closures on a table  $R_i$  that is decomposed from a table  $R$ , we can
  - First, enumerate the attribute subsets of  $R_i$
  - For each subset, derive its closure on  $R$
  - Project each closure onto  $R_i$  by removing those attributes that do not appear in  $R_i$
- These projected closures can then be used to
  - Decide whether  $R_i$  is in BCNF
  - Further decompose  $R_i$  (if  $R_i$  violates BCNF)

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# Question

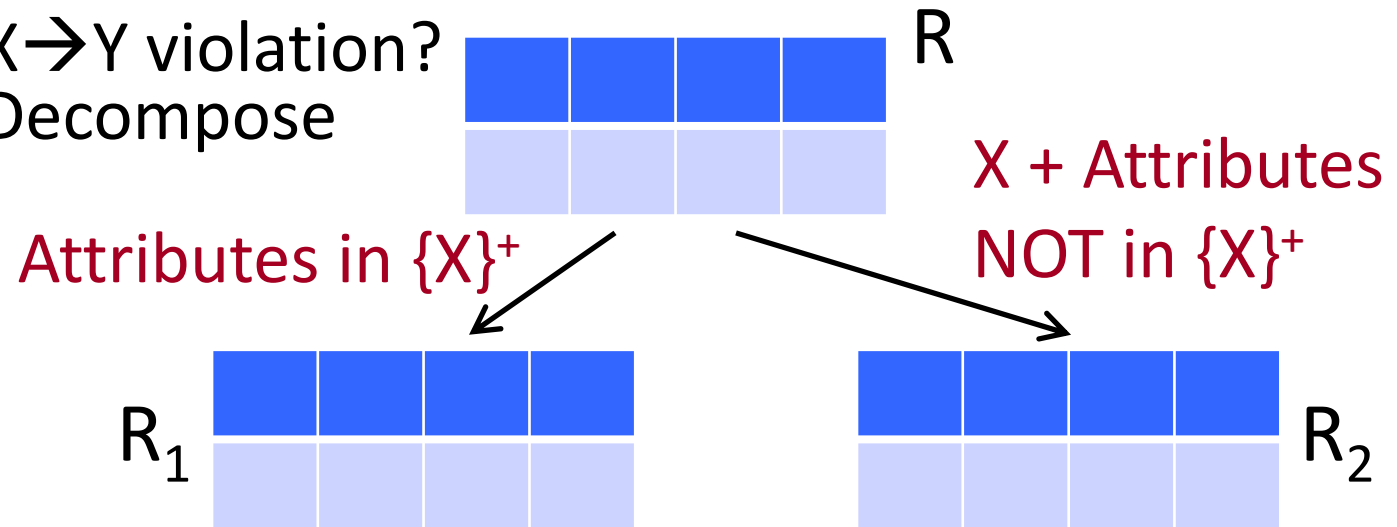
- Why does the BCNF decomposition algorithm work?
- Why can it eliminate violations of BCNF?

# BCNF Decomposition Algorithm



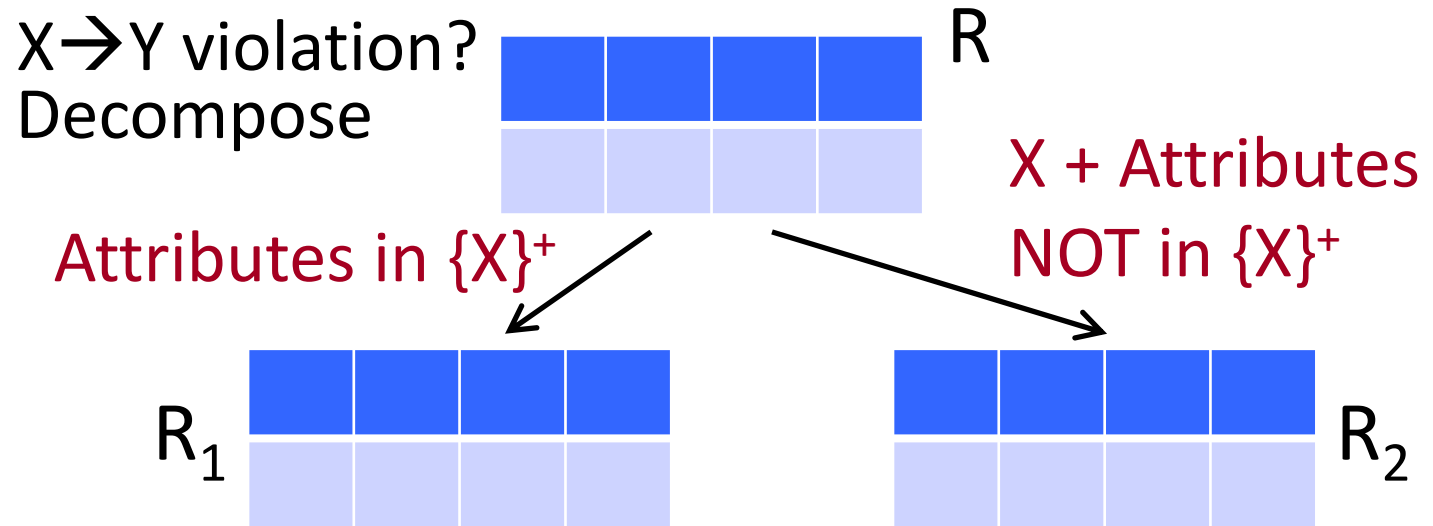
# BCNF Decomposition Algorithm

$X \rightarrow Y$  violation?  
Decompose



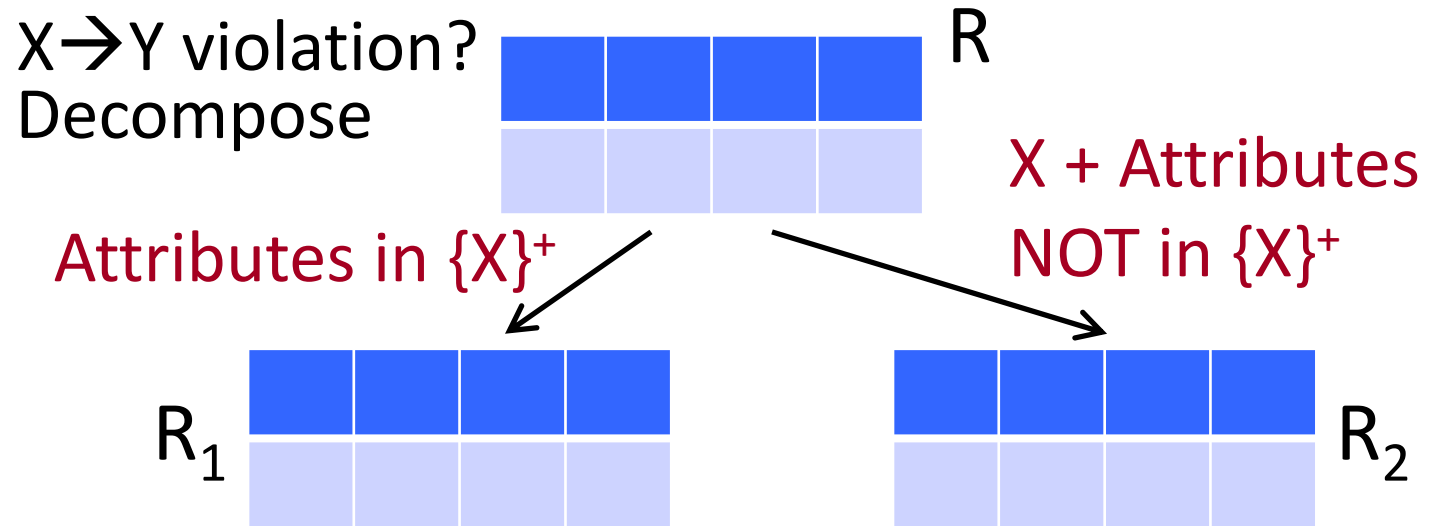


# BCNF Decomposition Algorithm



- $X \rightarrow Y$  is no longer a BCNF violation on  $R_1$
- $X \rightarrow Y$  is no longer an FD on  $R_2$
- So this decomposition step gets rid of one BCNF violation

# BCNF Decomposition Algorithm



- In general, each decomposition step removes at least one BCNF violation
- Recursive decomposition  $\Rightarrow$  all violations will be removed in the end

# Exercise

- $R(A, B, C, D)$  with FDs  $A \rightarrow B, A \rightarrow C$ 
  1. Find a subset  $X$  of the attributes in  $R$ , such that its closure  $X^+$  (i) contains more attributes than  $X$ , but (ii) does not contain all attributes in  $R$
  2. Decompose  $R$  into two tables  $R_1$  and  $R_2$ , such that
    - $R_1$  contains all attributes in  $X^+$
    - $R_2$  contains all attributes in  $X$  as well as the attributes not in  $X^+$
  3. If  $R_1$  is not in BCNF, further decompose  $R_1$ ;  
If  $R_2$  is not in BCNF, further decompose  $R_2$

# Exercise

- $R(A, B, C, D)$  with FDs  $A \rightarrow B, A \rightarrow C$

1. Find a subset  $X$  of the attributes in  $R$ , such that its closure  $X^+$  (i) contains more attributes than  $X$ , but (ii) does not contain all attributes in  $R$

- $\{A\}^+ = \{A, B, C\}$

2. Decompose  $R$  into two tables  $R_1$  and  $R_2$ , such that

- $R_1$  contains all attributes in  $X^+$
- $R_2$  contains all attributes in  $X$  as well as the attributes not in  $X^+$

- $R_1(A, B, C), R_2(A, D)$

3. Check if  $R_1$  and  $R_2$  are in BCNF

- Yes. Final results:  $R_1(A, B, C), R_2(A, D)$

# Exercise

- $R(A, B, C, D)$  with FDs  $BC \rightarrow D$ ,  $D \rightarrow A$ ,  $A \rightarrow B$ 
  1. Find a subset  $X$  of the attributes in  $R$ , such that its closure  $X^+$  (i) contains more attributes than  $X$ , but (ii) does not contain all attributes in  $R$
  2. Decompose  $R$  into two tables  $R_1$  and  $R_2$ , such that
    - $R_1$  contains all attributes in  $X^+$
    - $R_2$  contains all attributes in  $X$  as well as the attributes not in  $X^+$
  3. If  $R_1$  is not in BCNF, further decompose  $R_1$ ;  
If  $R_2$  is not in BCNF, further decompose  $R_2$

# Exercise

- $R(A, B, C, D)$  with FDs  $BC \rightarrow D, D \rightarrow A, A \rightarrow B$

1. Find a subset  $X$  of the attributes in  $R$ , such that its closure  $X^+$  (i) contains more attributes than  $X$ , but (ii) does not contain all attributes in  $R$

- $\{A\}^+ = \{A, B\}$

2. Decompose  $R$  into two tables  $R_1$  and  $R_2$ , such that

- $R_1$  contains all attributes in  $X^+$
- $R_2$  contains all attributes in  $X$  as well as the attributes not in  $X^+$

- $R_1(A, B), R_2(A, C, D)$

3. Check if  $R_1$  and  $R_2$  are in BCNF

- $R_1$ : Yes.  $R_2$ : No
- Further decompose  $R_2$

# Exercise

- $R(A, B, C, D)$  with FDs  $BC \rightarrow D, D \rightarrow A, A \rightarrow B$
- $R_1(A, B), R_2(A, C, D)$
- Further decompose  $R_2$ 
  1. Find a subset  $X$  of the attributes in  $R_2$ , such that its closure  $X^+$  (i) contains more attributes than  $X$ , but (ii) does not contain all attributes in  $R_2$ 
    - $\{A\}^+ = \{A\}, \{C\}^+ = \{C\}, \{D\}^+ = \{A, D\},$
  2. Decompose  $R_1$  into two tables  $R_3$  and  $R_4$ , such that
    - $R_3$  contains all attributes in  $X^+$
    - $R_4$  contains all attributes in  $X$  as well as the attributes not in  $X^+$
  - $R_3(A, D), R_4(C, D)$
  3. Check if  $R_3$  and  $R_4$  are in BCNF
    - Yes. Final results:  $R_3(A, D), R_4(A, C)$

---

# Properties of BCNF

- Good properties
  - No update or deletion or insertion anomalies
  - Small redundancy
  - The original table can always be reconstructed from the decomposed tables



# Table Reconstruction

Name	<u>NRIC</u>	<u>PhoneNumber</u>	HomeAddress
Alice	1234	67899876	Jurong East
Alice	1234	83848384	Jurong East
Bob	5678	98765432	Pasir Ris

■ SELECT \* FROM R1, R2  
WHERE R1.NRIC = R2.NRIC

This is called a  
“Lossless Join”

Name	<u>NRIC</u>	HomeAddress
Alice	1234	Jurong East
Bob	5678	Pasir Ris

R1

<u>NRIC</u>	<u>PhoneNumber</u>
1234	67899876
1234	83848384
5678	98765432

R2

# Lossless Join Decomposition

- Say we decompose a table  $R$  into two tables  $R_1$  and  $R_2$
- The decomposition guarantees lossless join, whenever the common attributes in  $R_1$  and  $R_2$  constitute a superkey of  $R_1$  or  $R_2$
- Example
  - $R(A, B, C)$  decomposed into  $R_1(A, B)$  and  $R_2(B, C)$ , with  $B$  being a superkey of  $R_2$
  - $R(A, B, C, D)$  decomposed into  $R_1(A, B, C)$  and  $R_2(B, C, D)$ , with  $BC$  being a superkey of  $R_1$

# Why BCNF guarantees lossless join?

- Decompose  $R$  into two tables  $R_1$  and  $R_2$ , such that
  - $R_1$  contains all attributes in  $\{X\}^+$
  - $R_2$  contains all attributes in  $X$  as well as the attributes not in  $\{X\}^+$
- Let  $Y = \{X\}^+ - X$ , and  $Z$  be the set of attributes not in  $\{X\}^+$

R	X	Y	Z

$R_1$	X	Y

$R_2$	X	Z

- Suppose that we join  $R_1$  and  $R_2$  on the attributes in  $X$
- For any tuple in  $R$ , it will appear in the join result
- For any tuple in the join result, it will appear in  $R$
- Therefore, joining  $R_1$  and  $R_2$  on  $X$  will reconstruct  $R$  perfectly

---

# Properties of BCNF

- Good properties
  - No update or deletion or insertion anomalies
  - Small redundancy
  - The original table can always be reconstructed from the decomposed tables
- Bad properties
  - Dependencies may not be preserved
  - We will talk about it in the next lecture