

# An Introduction to Inertial Confinement Fusion (ICF)

2019 SULI Introductory Course on Plasma Physics

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National Ignition Facility  
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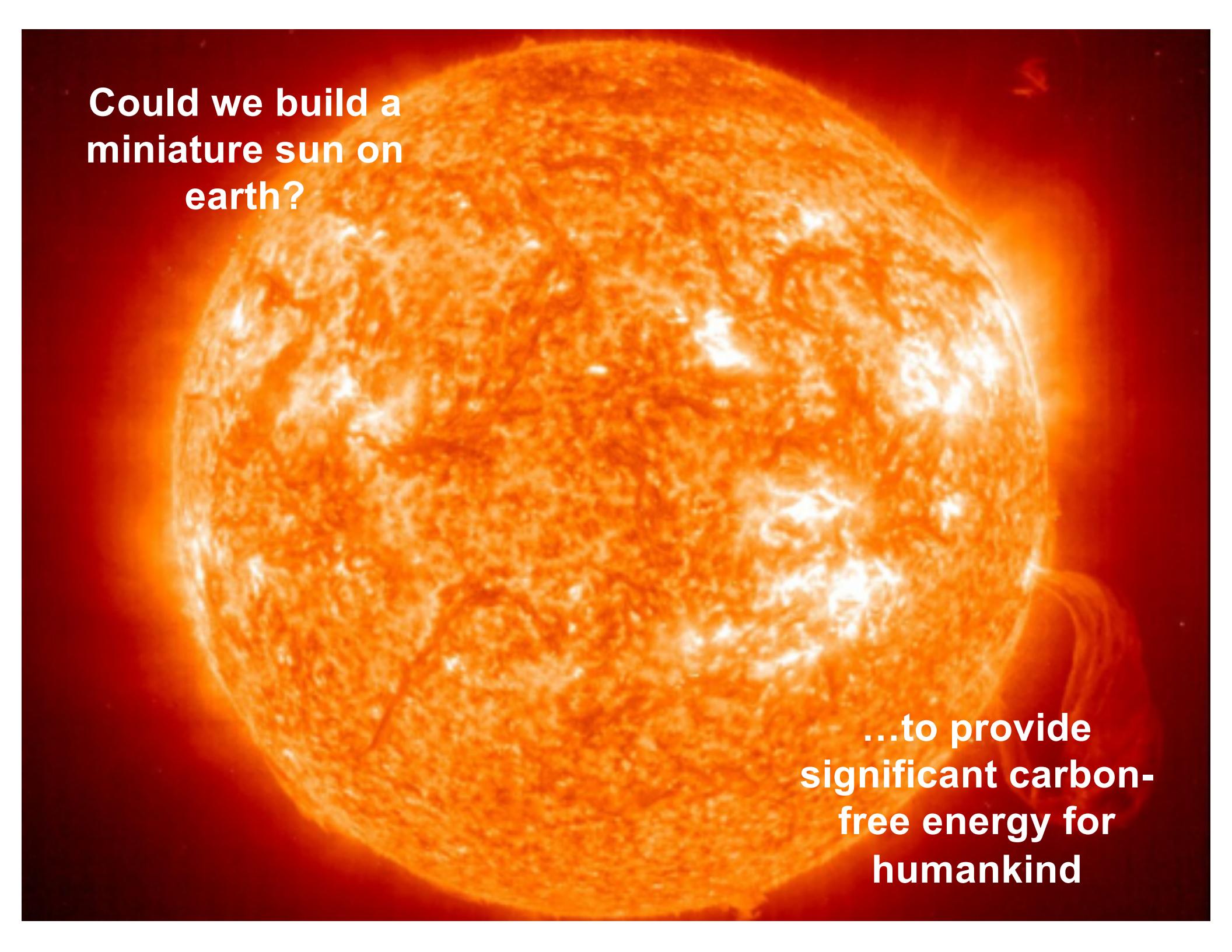
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 Lawrence Livermore  
National Laboratory



**Could we build a  
miniature sun on  
earth?**

**...to provide  
significant carbon-  
free energy for  
humankind**

# Outline

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## Fusion Basics

### Inertial Confinement Fusion (ICF) Principles

#### ICF Facilities

- The OMEGA Laser Facility
- The Z Pulsed Power Machine
- The National Ignition Facility (NIF)

#### Status of NIF Indirect-Drive ICF Experiments



# Outline

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### Inertial Confinement Fusion (ICF) Principles

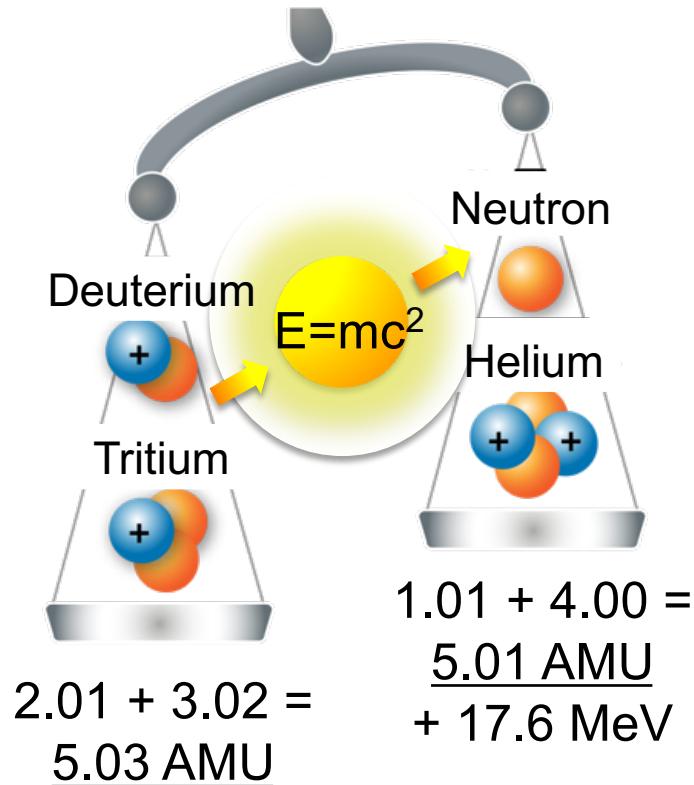
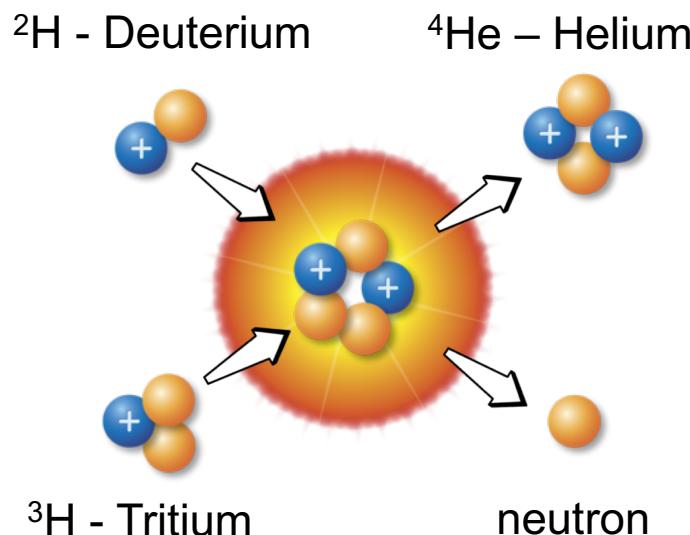
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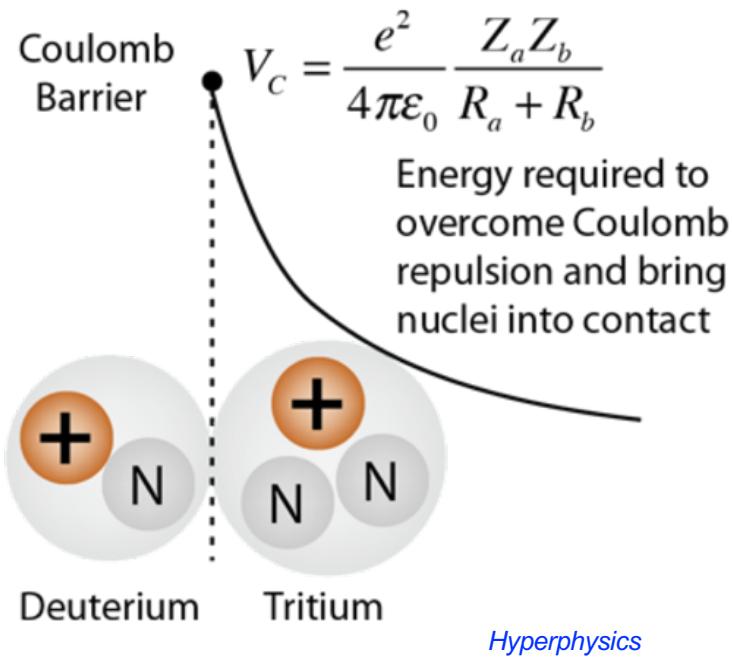
# Fusion combines light nuclei into a heavier nucleus and releases huge amounts of energy



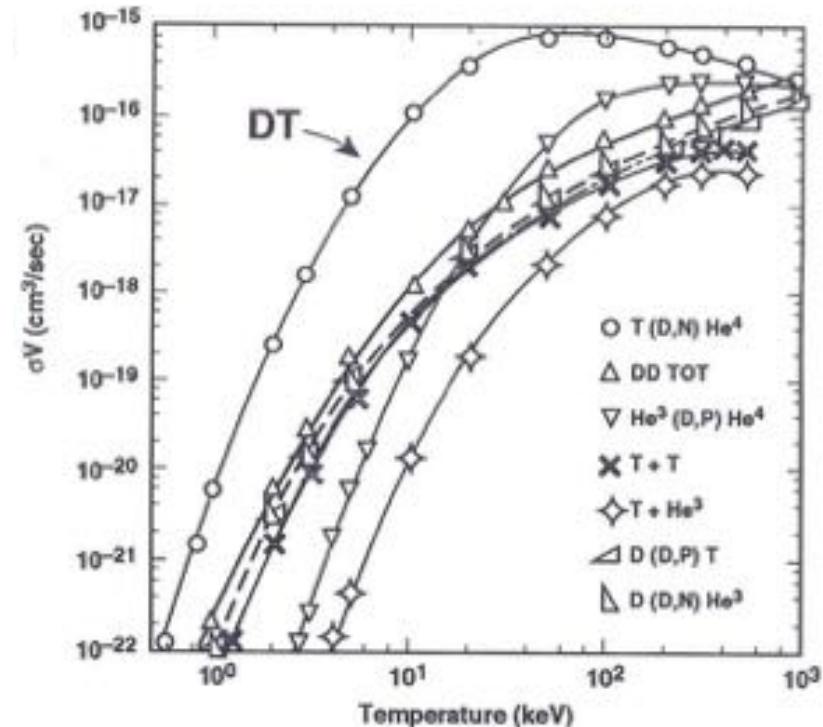
$$D + T \rightarrow \alpha(3.5\text{MeV}) + n(14.1\text{MeV})$$

$$Q_{fusion} = 3.3 \times 10^{11} \text{ J/g}$$

# The Coulomb barrier makes high temperatures necessary for DT thermonuclear fusion



Fusion Rate vs. Temperature



Atzeni and Meyer-Ter-Vehn  
The Physics of Inertial Fusion

$$\text{Yield} = n_i \times n_j \times \langle \sigma v \rangle \times \text{Volume} \times \text{time}$$

The plasma also needs to be at high enough density and confined for a long enough time...



# The Lawson criterion defines the conditions required to achieve ignition

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Assuming the plasma consists of deuterons and tritons of density  $n/2$  each, the rate of fusion processes ( $W$ ) in such a hot dense plasma state is:

$$W = \frac{n^2}{4} \langle \sigma v \rangle$$



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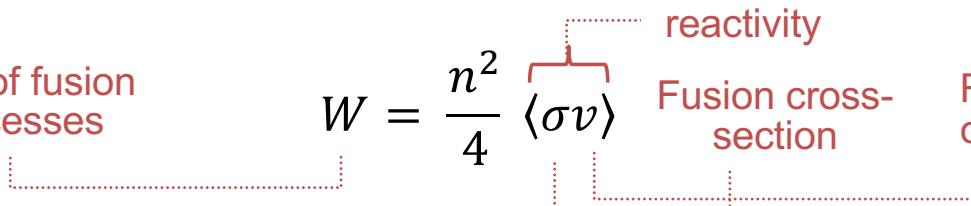
Rate of fusion processes      reactivity  
Fusion cross-section      Relative velocity of the two nuclei

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The particles in the plasma have Maxwell-Boltzmann distributed velocities with an average kinetic energy of

$$E_k = \frac{3k_B T}{2}$$

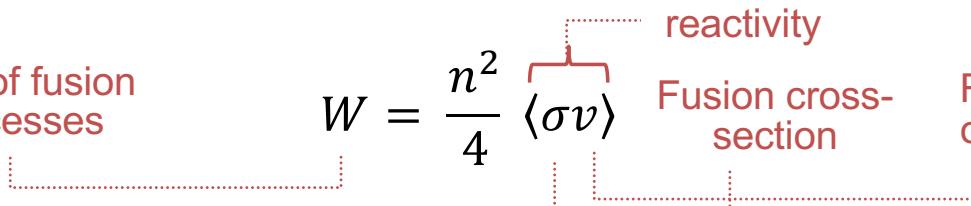


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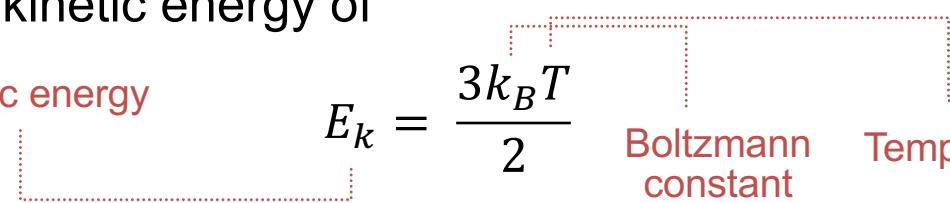
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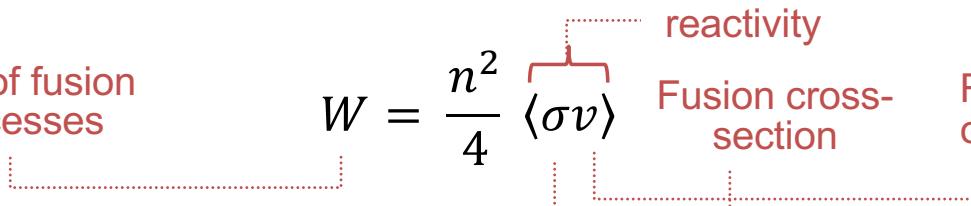


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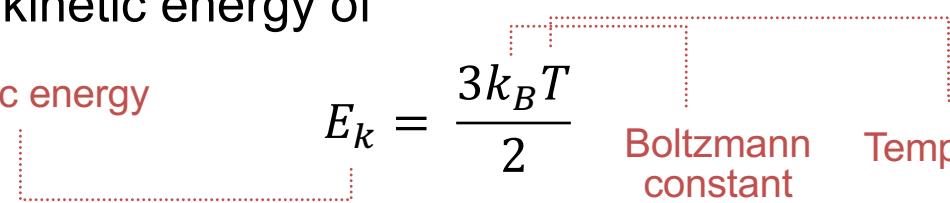
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The energy produced per unit time ( $t$ ) depends on the kinetic energy ( $Q$ ) of the reaction products and the rate of the fusion processes ( $W$ ) and is given by

$$E = W\tau Q = \frac{n^2}{4} \langle \sigma v \rangle \tau Q$$

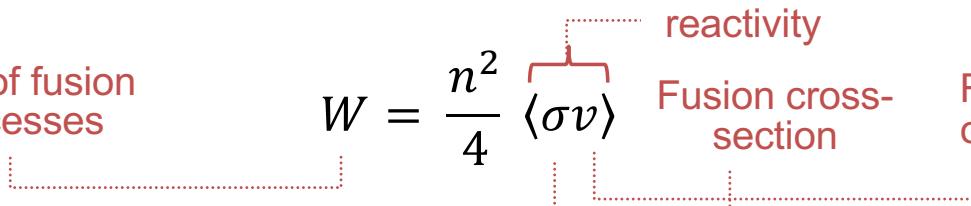


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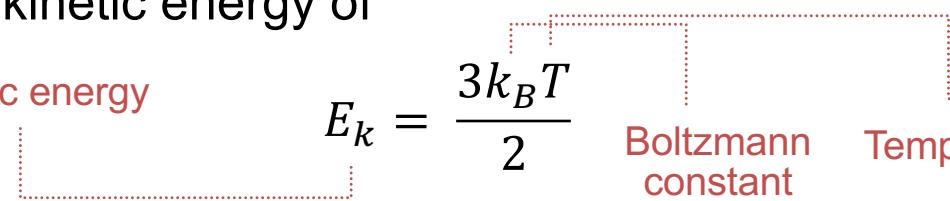
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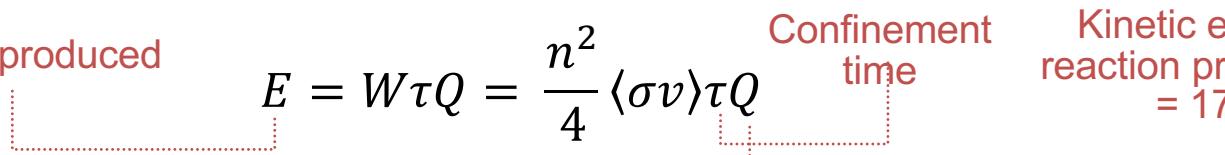
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Energy produced      Confinement time      Kinetic energy of the reaction products; for DT = 17.6 MeV



# The Lawson criterion defines the conditions required to achieve ignition

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The ultimate aim in ICF is to produce energy, so the energy obtained from the fusion process has to be greater than the energy to heat the plasma to such temperatures

$$3nk_B T < \frac{n^2}{4} \langle \sigma v \rangle \tau Q$$

$$n\tau > \frac{12k_B T}{\langle \sigma v \rangle Q}$$

**Lawson criterion**



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**Lawson criterion**

In addition to the problem of confinement, the fusion particles have to have enough kinetic energy for a sufficient number of fusion reactions to take place. For DT fuel this implies a temperature of approximately 5 keV.

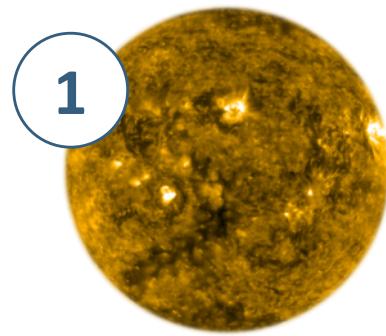
So in the case of a DT reaction with  $Q=17.6$  MeV and an operating temperature of a reactor of  $\sim 5-10$  keV, the Lawson criterion becomes

$$n\tau \simeq 10^{14} - 10^{15} \text{ s/cm}^3$$



# There are at least three ways to achieve nuclear fusion

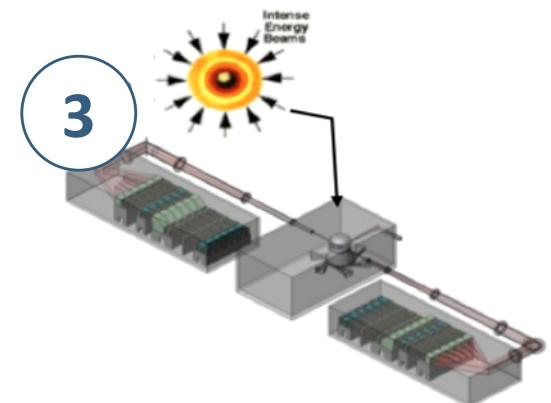
## Gravitational Confinement



## Magnetic Confinement



## Inertial Confinement



Density  $10^4 \times$  solid

solid /  $10^8$

$10^3 \times$  solid

Temperature 1 keV

10 keV

10 keV

Confinement time  $10^5$  years

seconds

$10^{-10}$  seconds

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#### **ICF Facilities**

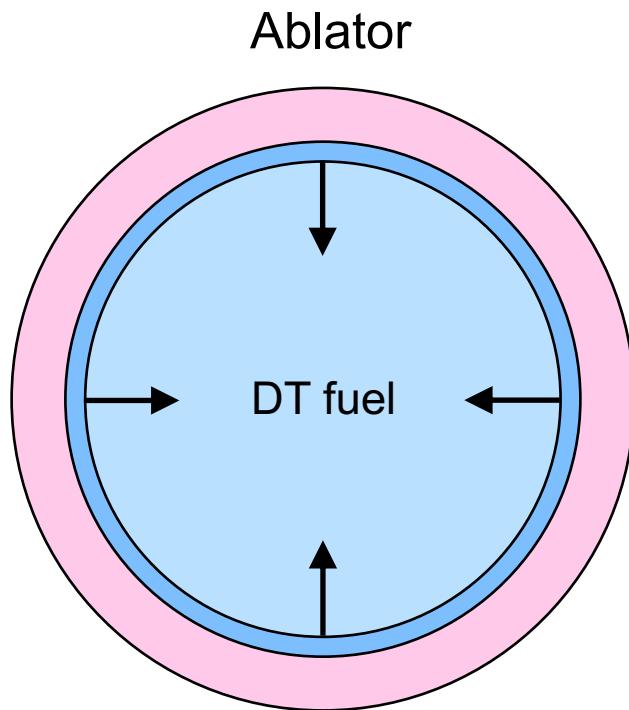
- **The OMEGA Laser Facility**
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#### **Status of NIF Indirect-Drive ICF Experiments**



# ICF uses the inertia of the dense fuel itself to confine the plasma before it blows apart under its own pressure

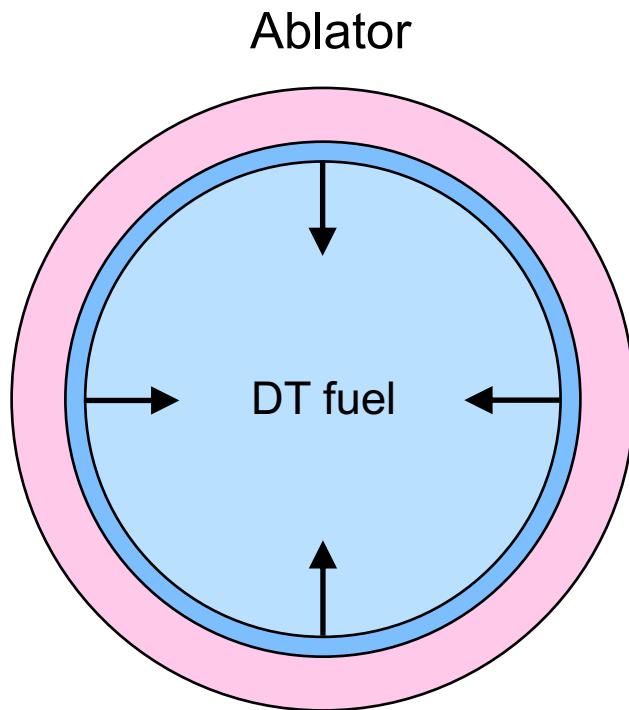
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The confinement time ( $\tau$ ) can be expressed in terms of the sound speed  $c_s$ :

$$\langle \tau \rangle \simeq \frac{1}{M} \int_0^R \rho \frac{R - r}{c_s} 4\pi r^2 dr$$

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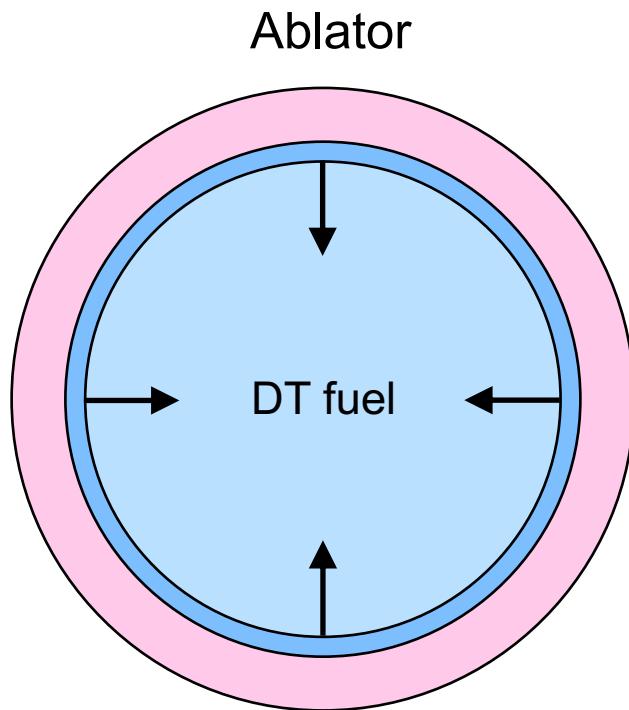
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Legend:

- initial radius (dotted red line)
- final radius (solid red line)
- mass of fuel (dotted red line)
- density (dashed red line)

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| initial radius  
| final radius  
| density  
| mass of fuel

$$= \frac{4\pi \frac{\rho}{c_s} \left( \frac{Rr^3}{3} - \frac{r^4}{4} \right) \Big|_0^R}{\frac{4\pi}{3} \rho R^3}$$

$$= \frac{R}{4c_s} \quad c_s = 2.7 \times 10^7 \sqrt{T \text{ (keV)}} \text{ cm/s}$$

| temperature

# Areal density, or $\rho R$ , is a key performance parameter in ICF

The number density  $n$  is related to the fuel density by  $n = \rho/m$ , so the confinement parameter  $n\tau$  can now be expressed as:

$$n\tau \simeq \frac{nR}{4c_s} = \frac{\rho R}{4Mc_s}$$

Areal density ( $\rho R$ ) is defined as the line-averaged density:

$$\rho R = \int_0^R \rho \, dr$$

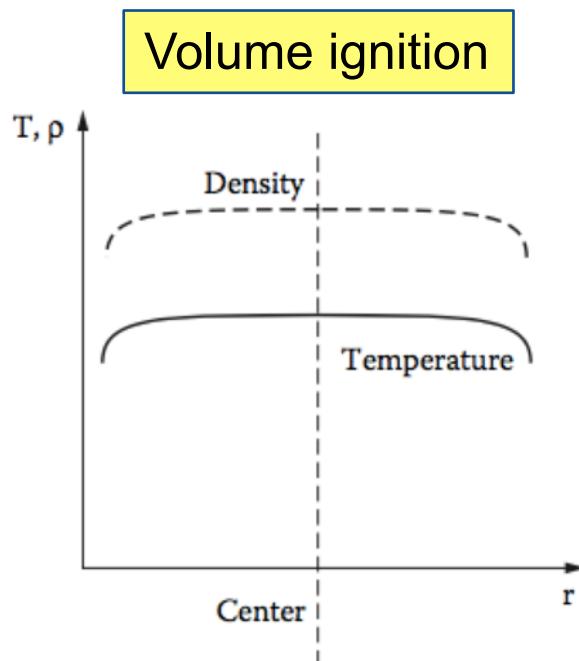
Efficient burn requires  $n\tau$  to be well above the Lawson criterion. Using  $n\tau \sim 2 \times 10^{15}$  s/cm<sup>3</sup> leads to a first rough estimate of

$$\rho R \simeq 3 \text{ g/cm}^2$$



# The idea of ICF is to compress fuel to thermonuclear conditions

In the early days of fusion research, we thought the whole fuel should be compressed to fusion conditions at the end of the compression phase



This would take an unrealistically high driver energy!

Pfalzner, An Introduction  
to Inertial Confinement Fusion



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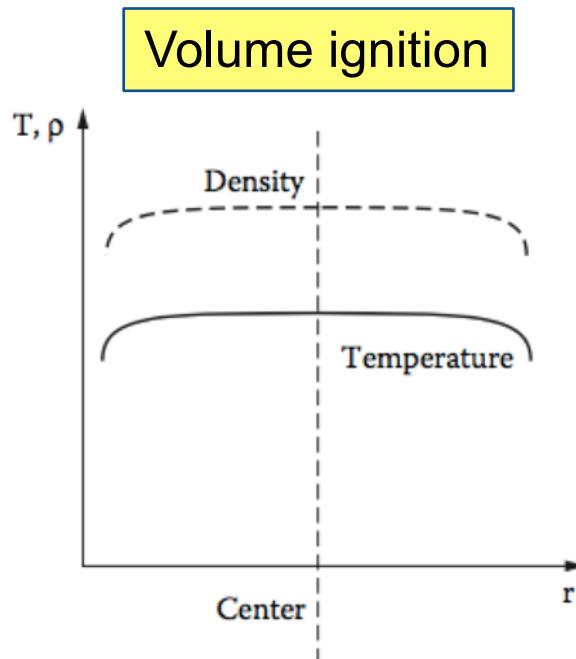


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It turns out, that

- (1) It takes more energy to heat fuel than to compress it, and
- (2) The compression of hot material is more energy-consuming than for cold material



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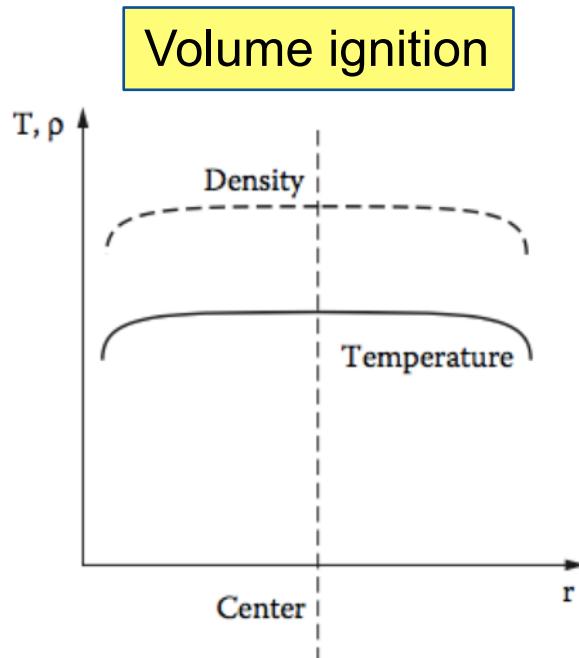
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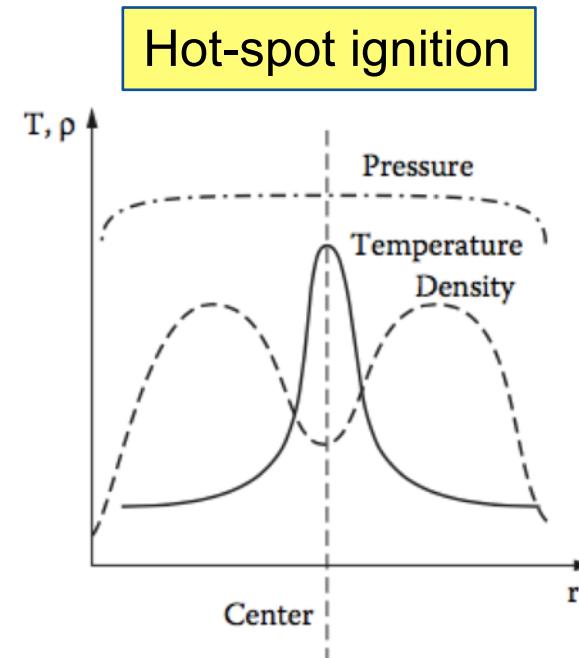
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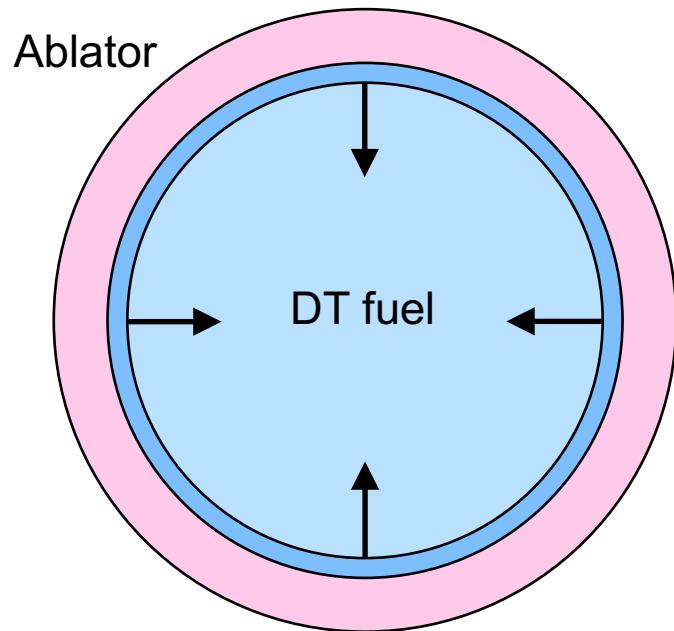
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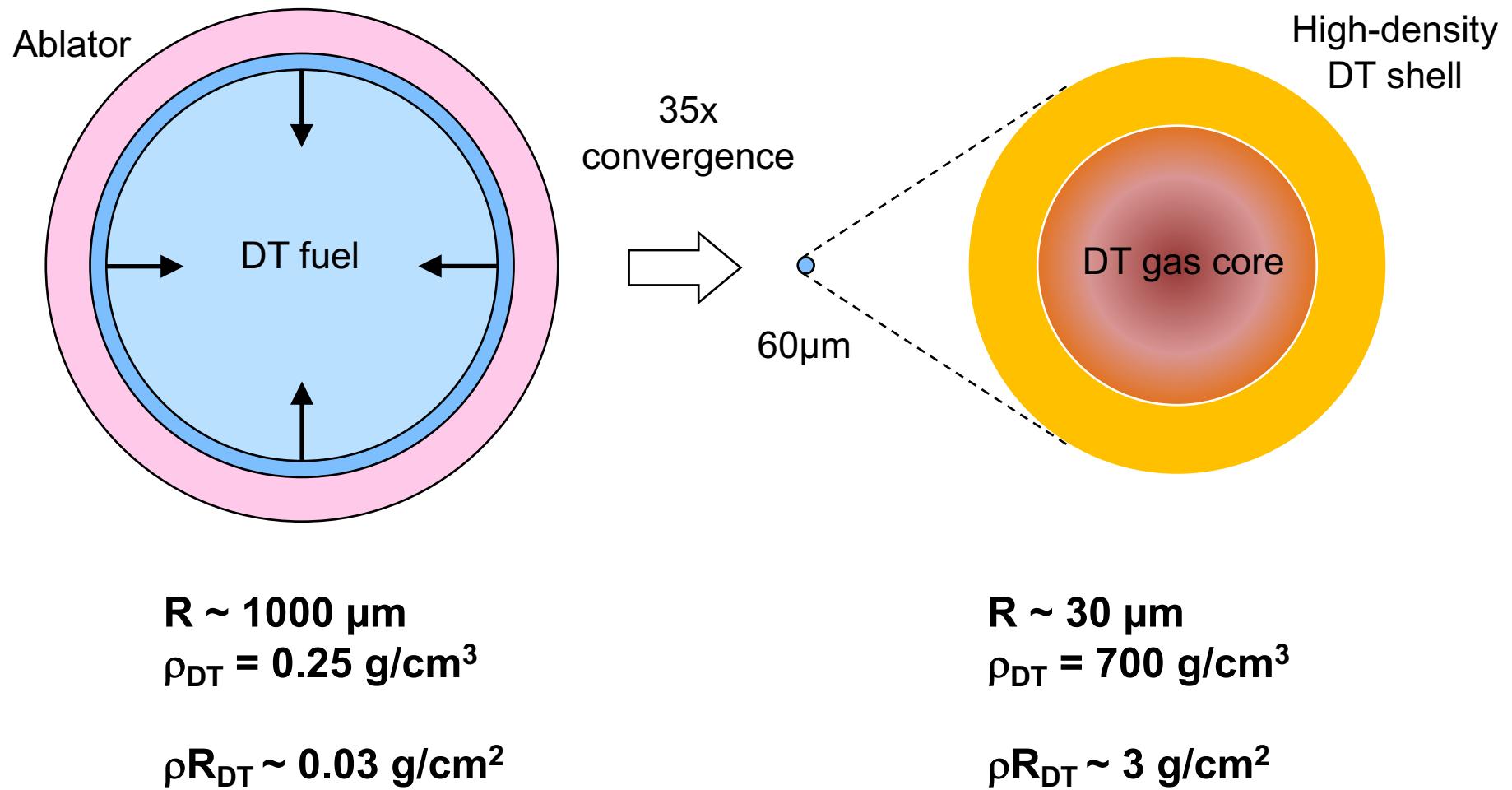
$$R \sim 1000 \mu\text{m}$$

$$\rho_{\text{DT}} = 0.25 \text{ g/cm}^3$$

$$\rho R_{\text{DT}} \sim 0.03 \text{ g/cm}^2$$



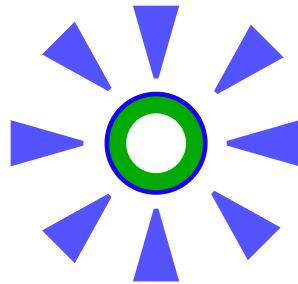
# The idea of ICF is to compress fuel to thermonuclear conditions



# There are two different laser-drive schemes

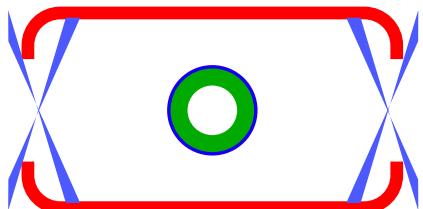
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## Direct Drive



Laser directly irradiates fuel capsule

## Indirect Drive



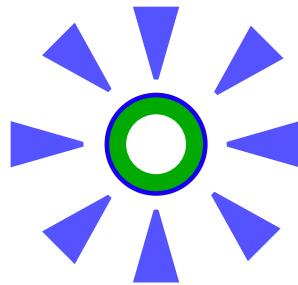
Laser produces x-rays inside a hohlraum, or cavity, which irradiate the fuel capsule



# There are two different laser-drive schemes

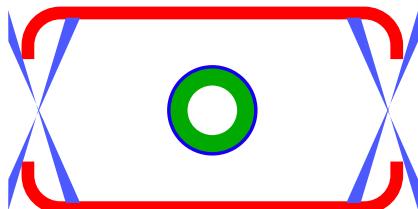
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## Direct Drive



- ~8% efficiency
- Reduced laser-plasma interaction effects

## Indirect Drive

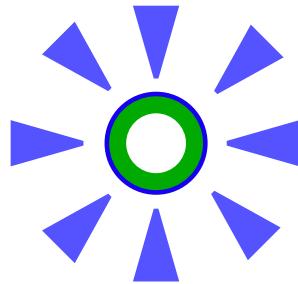


- ~4% efficiency
- Relaxed beam uniformity
- Reduced hydrodynamic instability



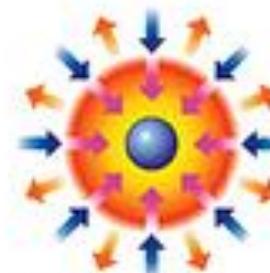
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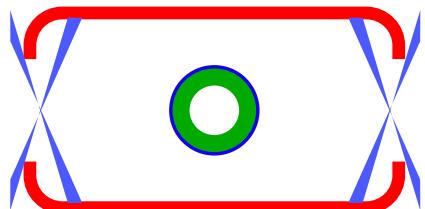
Fuel is compressed by blowoff in rocket-like reaction



Thermonuclear burn spreads, yielding many times the input energy



## Indirect Drive



- ~4% efficiency
- Relaxed beam uniformity
- Reduced hydrodynamic instability

Fuel core reaches 20x density of lead, ignites at 100,000,000 °C

*Image taken from "Matter at High-Energy Densities," Univ of Rochester, Laboratory for Laser Energetics*



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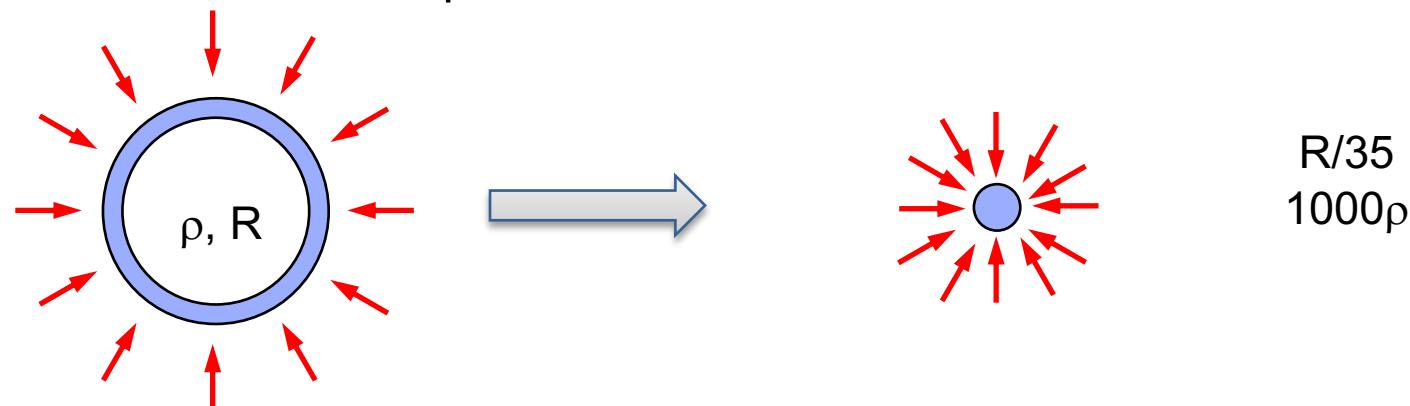


# The most efficient compression is spherical

Must exploit  $R^3$  compression with spheres –  $R^2$  or  $R^1$  scaling with cylindrical or planar compression is not adequate

$$M = \frac{4\pi}{3} \rho_{init} R_{init}^3 = \frac{4\pi}{3} \rho_{final} R_{final}^3 \rightarrow \frac{\rho_{final}}{\rho_{init}} = \left( \frac{R_{init}}{R_{final}} \right)^3$$

In practice, a hollow shell has more surface area and is easier to push with a given pressure than a solid sphere of the same mass



Goal: Convert shell kinetic energy to compression energy to thermal energy

$$\frac{1}{2} M v_{imp}^2 \rightarrow E_{comp} \rightarrow heat$$

**The capsule must be compressed 35x in radius, or  
40,000x in volume**



This is equivalent to compressing a basketball to a pea in  $1 \mu\text{s}$  while keeping it round

Keeping the implosion round requires a highly spherical drive and extremely smooth capsules



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# The most efficient compression is isentropic

From thermodynamics:

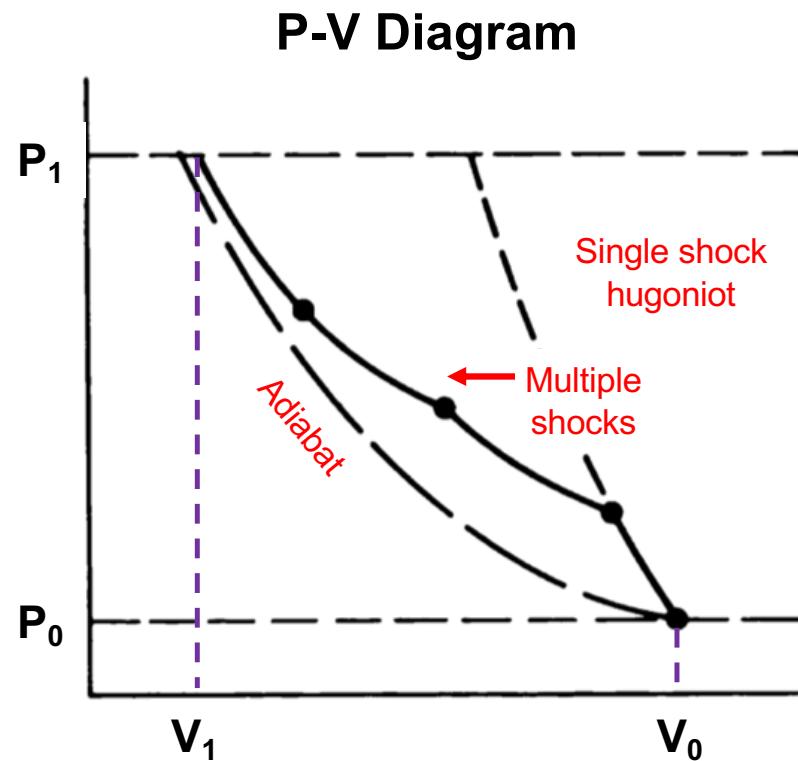
$$dU = Tds - PdV$$

$$PdV = Tds - dU$$

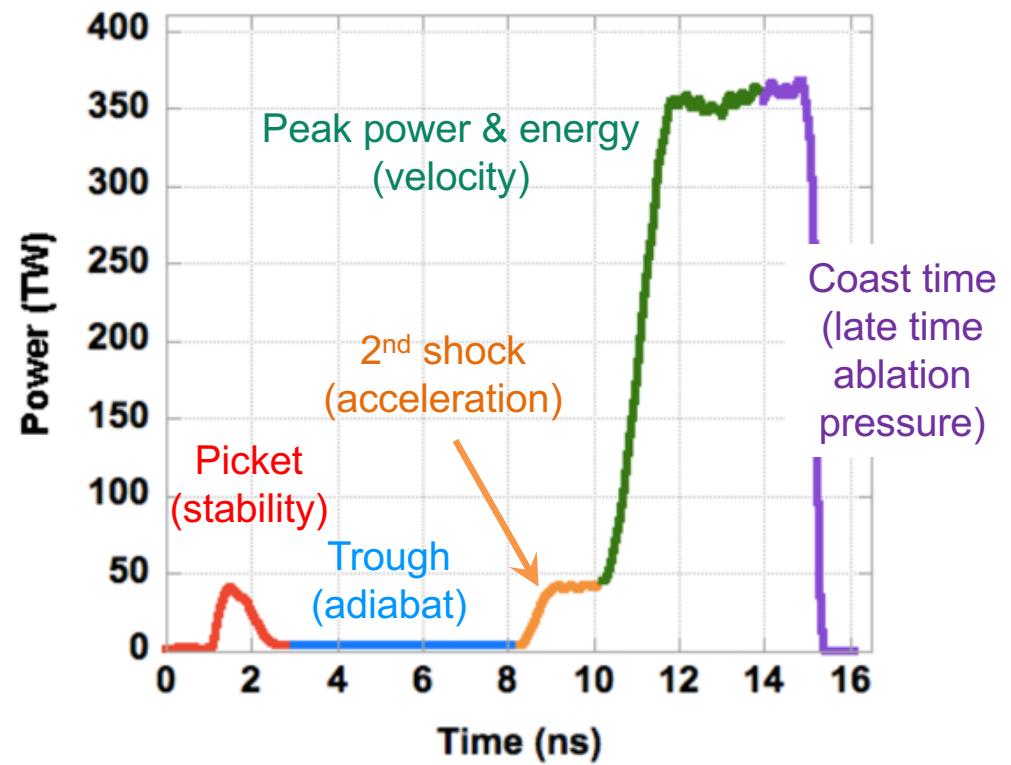
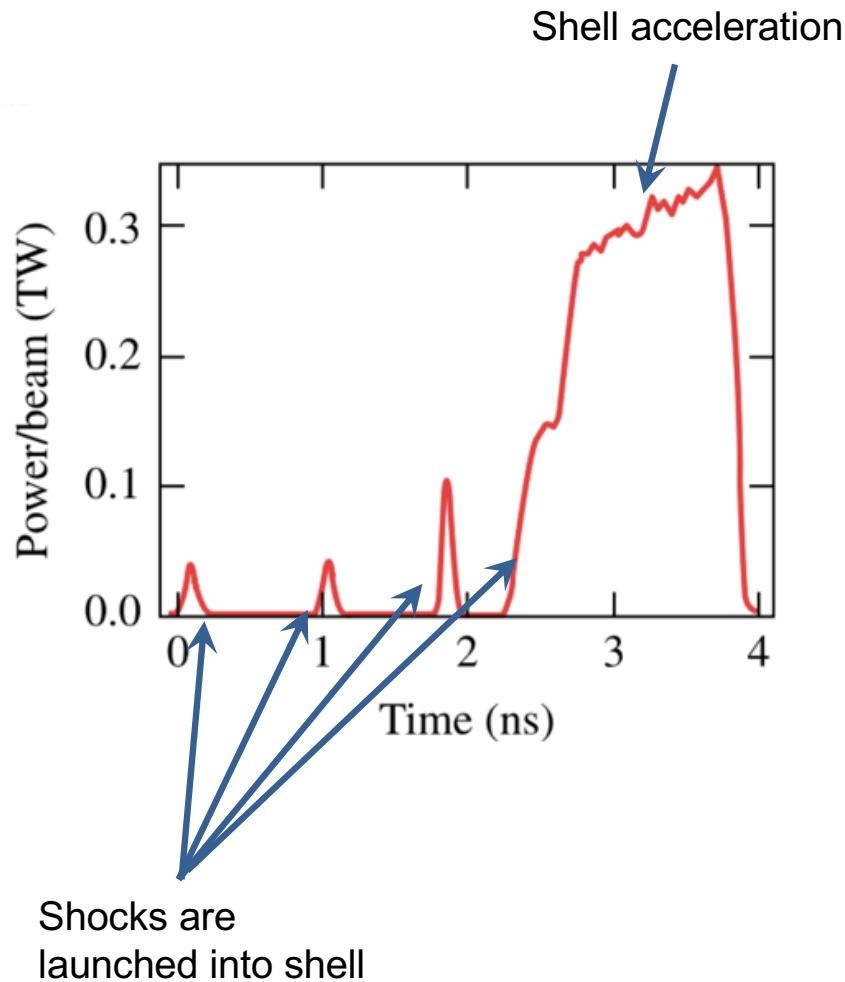
Minimize  
work needed  
to compress



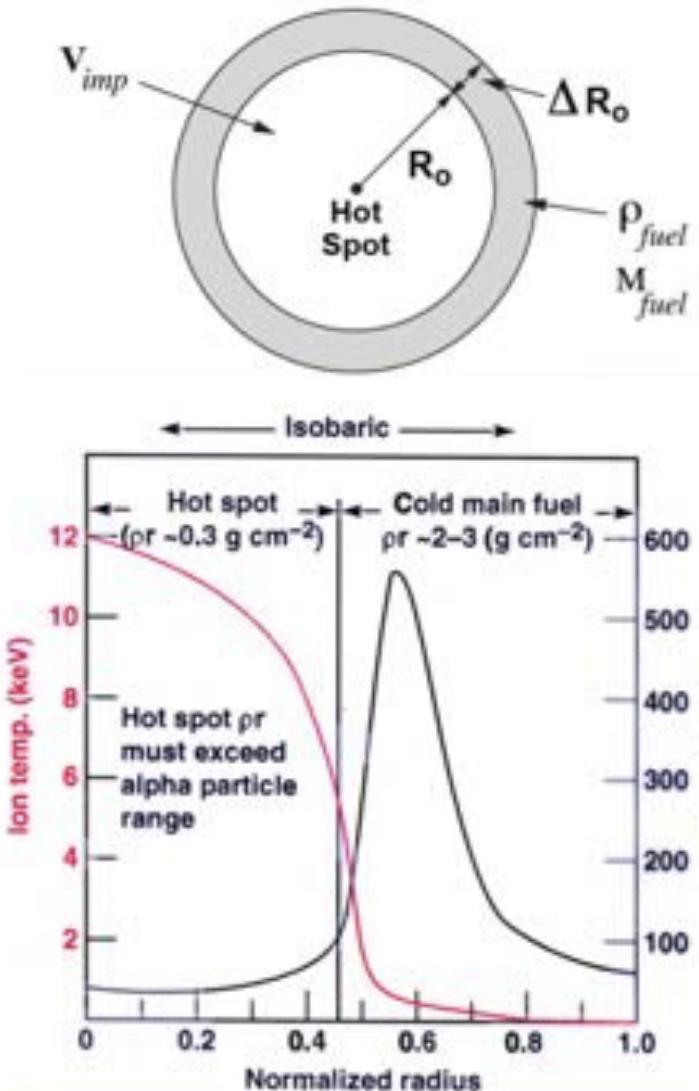
Minimize  
entropy  
generation



# Shaped laser pulses are therefore used



# The most efficient implosion is fast



## Implosion velocity for Fermi degenerate case

$$KE = \frac{1}{2} M_{fuel} v_{imp}^2 \approx (\text{ignition margin}) \times E_{fuel} \approx 2E_{fuel}$$
$$\Rightarrow v_{imp} = \sqrt{\frac{4E_{fuel}}{M_{fuel}}} \approx 3.6 \times 10^7 \text{ cm/s}$$

$E_{fuel}$  determined by pressure equilibrium with hot spot

## Ablation pressure to generate implosion velocity

$$KE = \frac{1}{2} M_{fuel} v_{imp}^2 = P_{abl} \Delta V$$
$$\Rightarrow P_{abl} \sim 100 \text{ Mbar}$$

$\Delta V$  corresponds to  $R_0 \rightarrow R_0/2$   
(useful area for compression)

# The most efficient implosion does not mix

## Rayleigh-Taylor

- Low density attempts to push high density



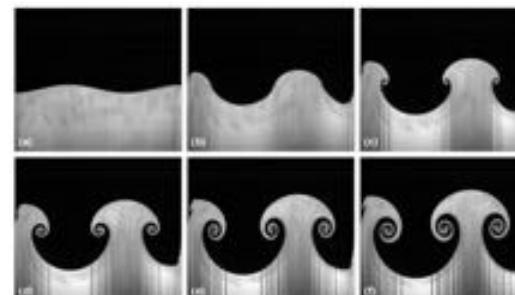
Evolution of RTI in two immiscible fluids



Rayleigh-Taylor “fingers” in  
Crab Nebula

## Richtmyer-Meshkov

- Shock-driven vorticity



Evolution of Richtmyer-  
Meshkov at the  
interface of two fluids

## Kelvin-Helmholtz

- Shear



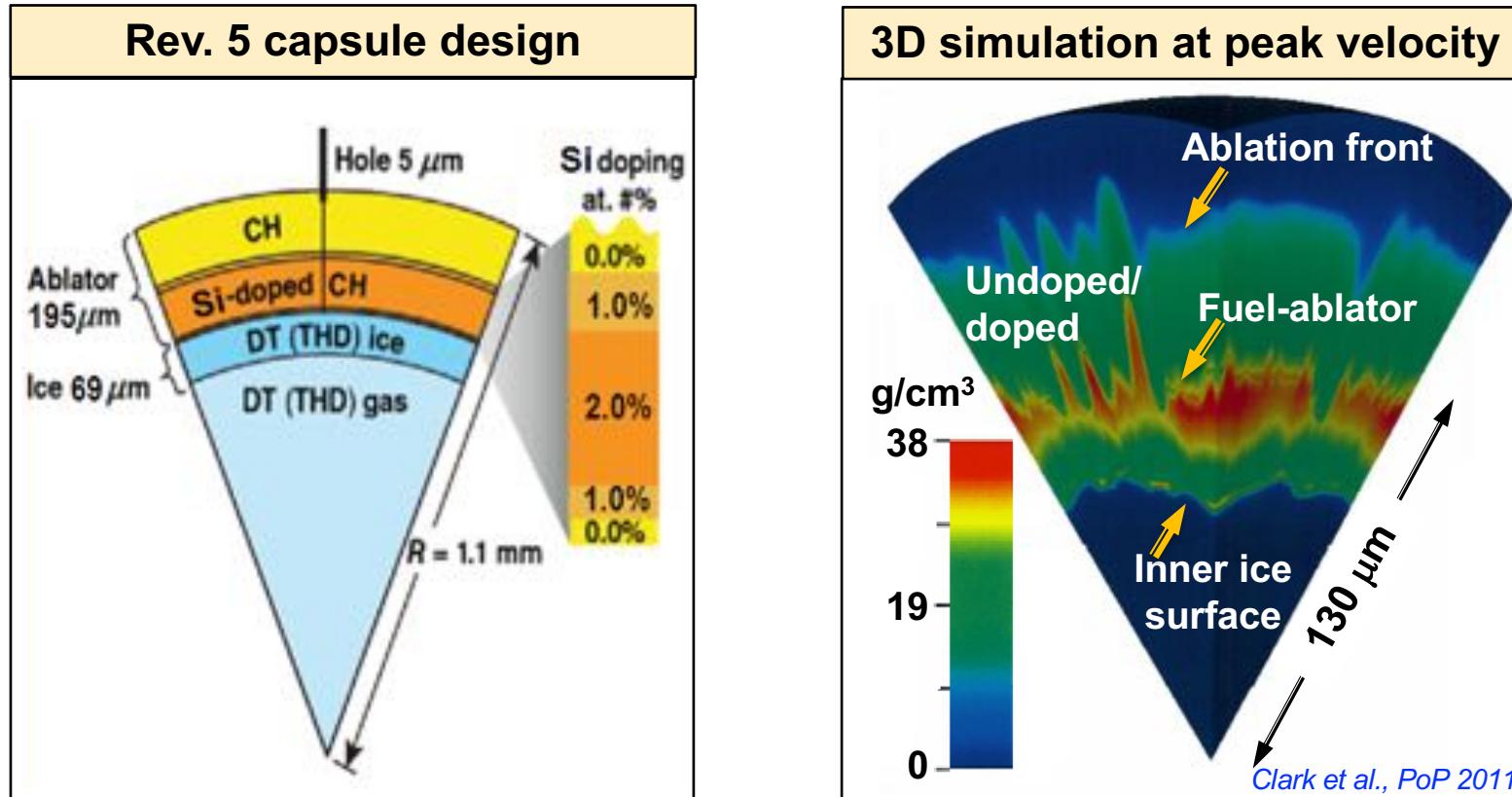
Kelvin-Helmholtz roll-up  
in clouds



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# Mix is caused by hydrodynamic instabilities that grow at various capsule interfaces

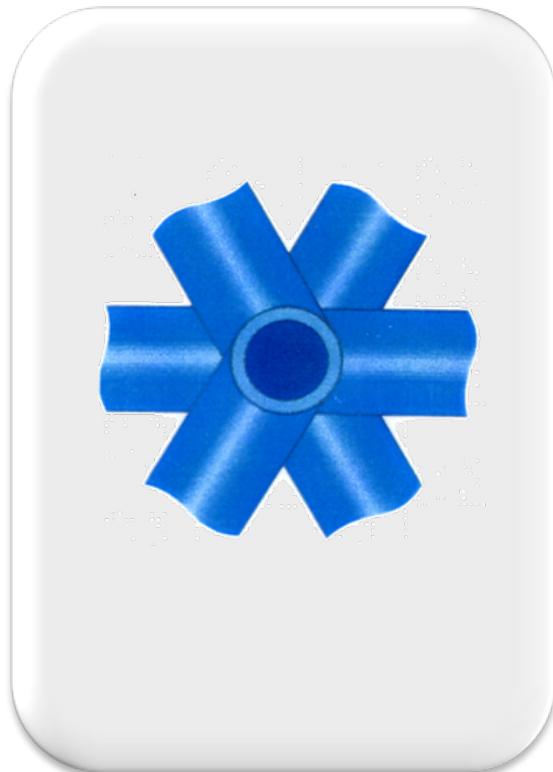


Mixing of ablator material into the hot spot due to the hydrodynamic instabilities can increase the radiative cooling and degrade capsule performance in ICF implosions

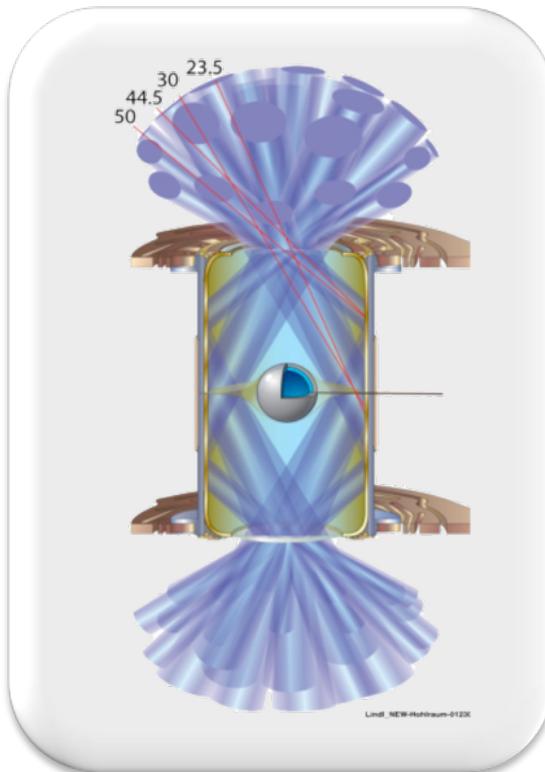


In addition to the two laser drives, there is a magnetic drive scheme, for a total of three primary approaches to ICF

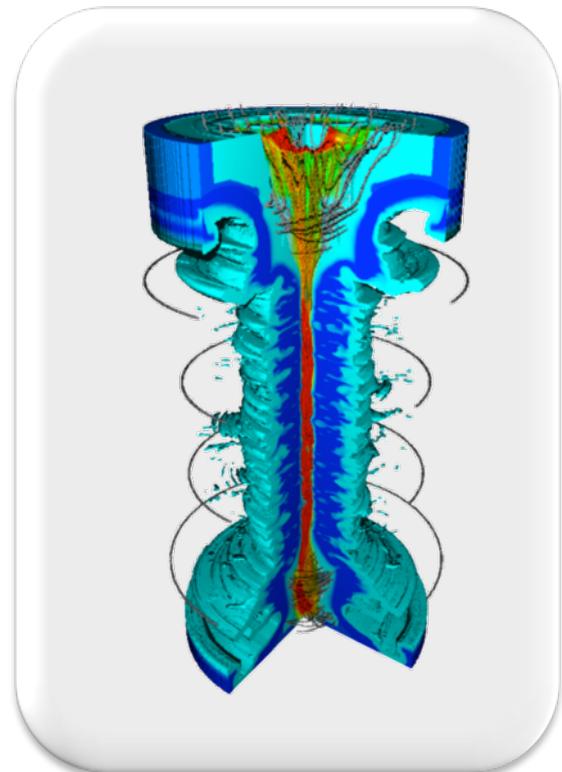
Laser Direct Drive



Laser Indirect Drive



Magnetic Direct Drive



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#### ICF Facilities

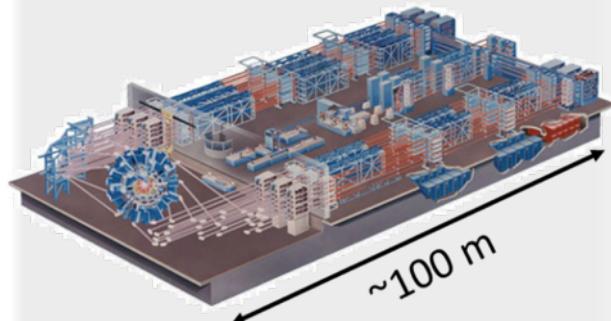
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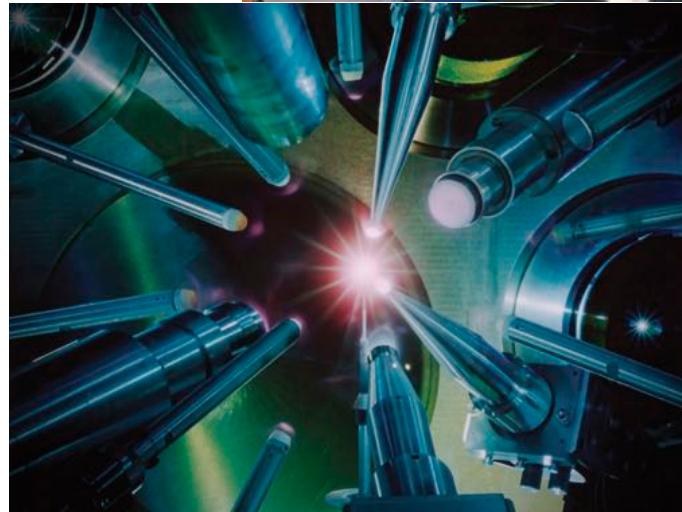


# The OMEGA laser facility at the University of Rochester, NY, uses the direct-drive approach

## OMEGA Facility



- 60 laser beams (351 nm)
- Up to ~30 kJ of laser energy
- Optimized for direct drive illumination



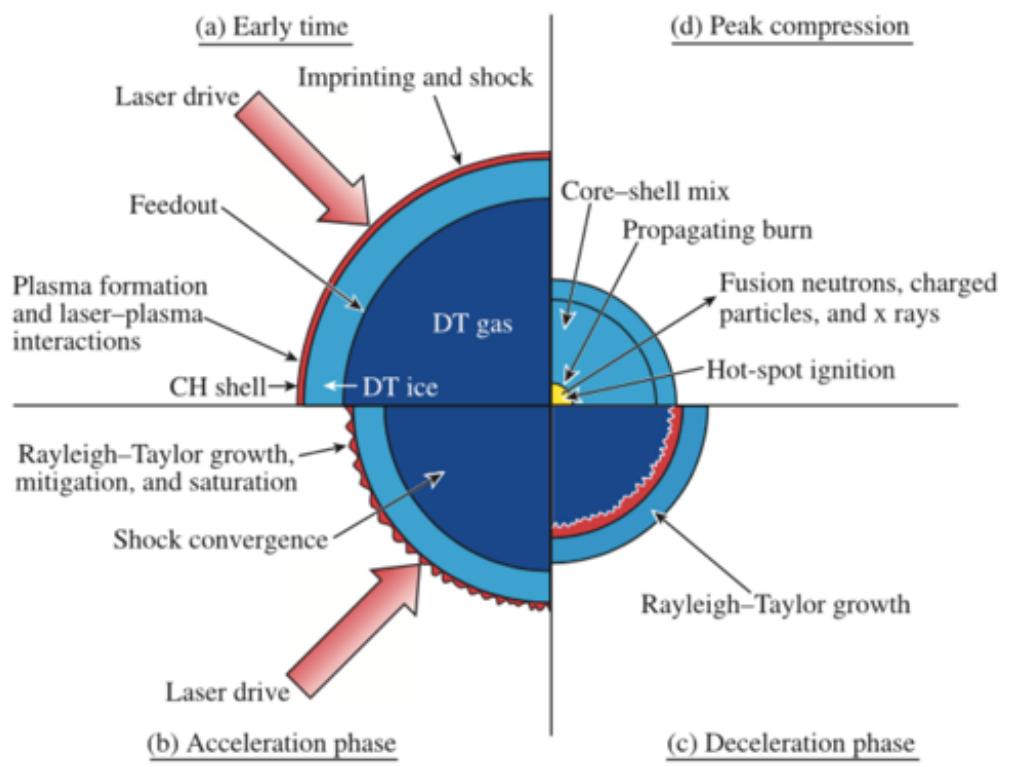
# The goal of experiments at OMEGA is to demonstrate and understand the physics of laser direct drive

**30 kJ energy available on OMEGA.**

**If laser energy was scaled up to 2 MJ, would the direct drive implosions ignite?**

**Currently trying to demonstrate this by reaching 100 Gbar pressure.**

**56 Gbar demonstrated so far.**



E9886J1

Craxton et al., PoP 2015



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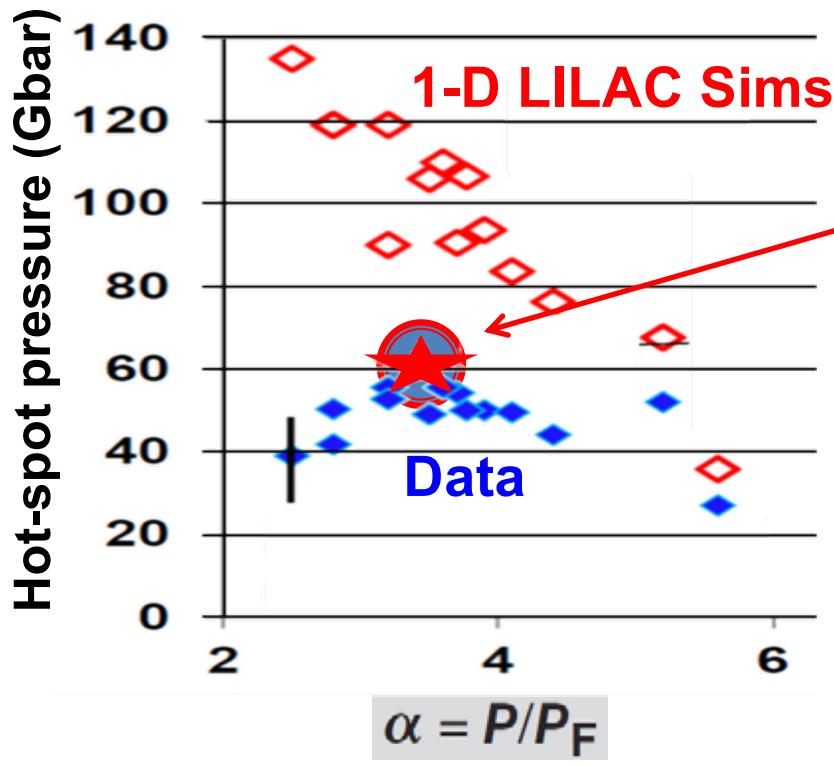
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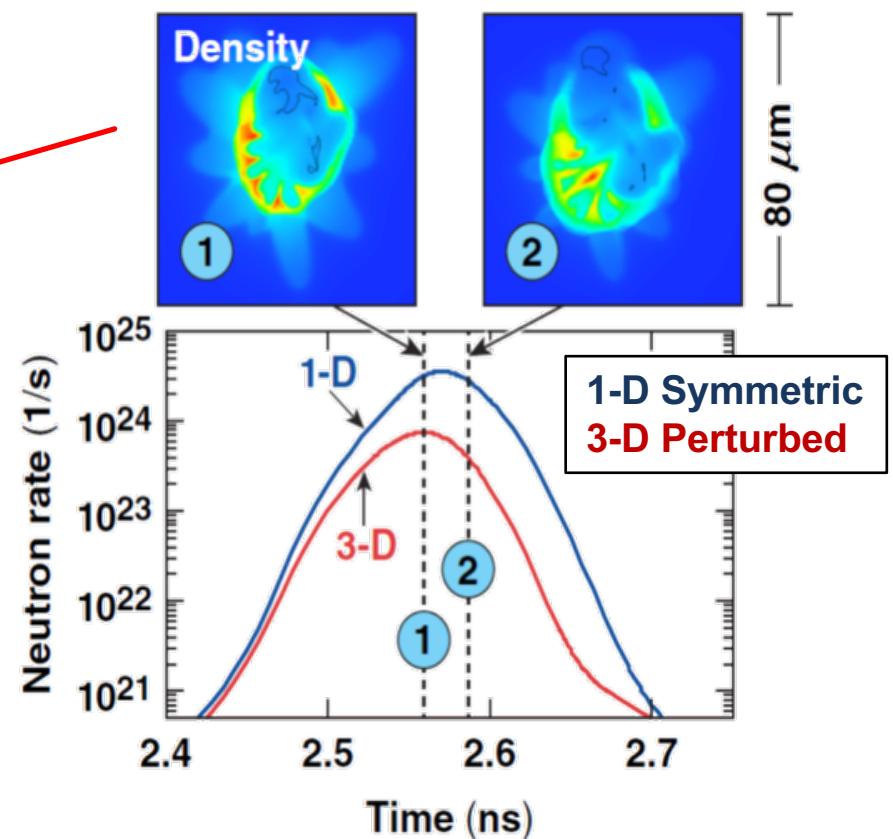
39

# The 3D morphology of the direct drive implosion is one of the main challenges

## Stagnation Pressure vs. Fuel Adiabat

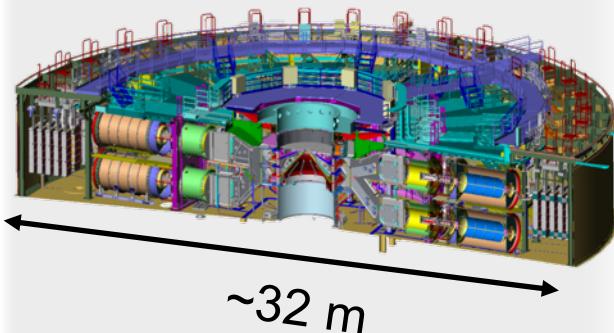


3-D ASTER simulations show effect of errors in beam pointing, power balance, and capsule placement

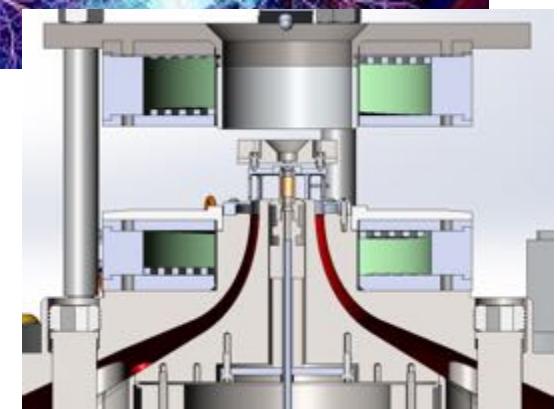
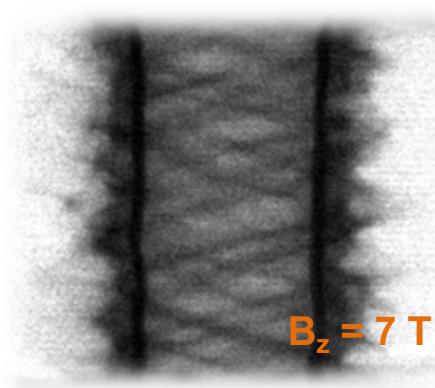
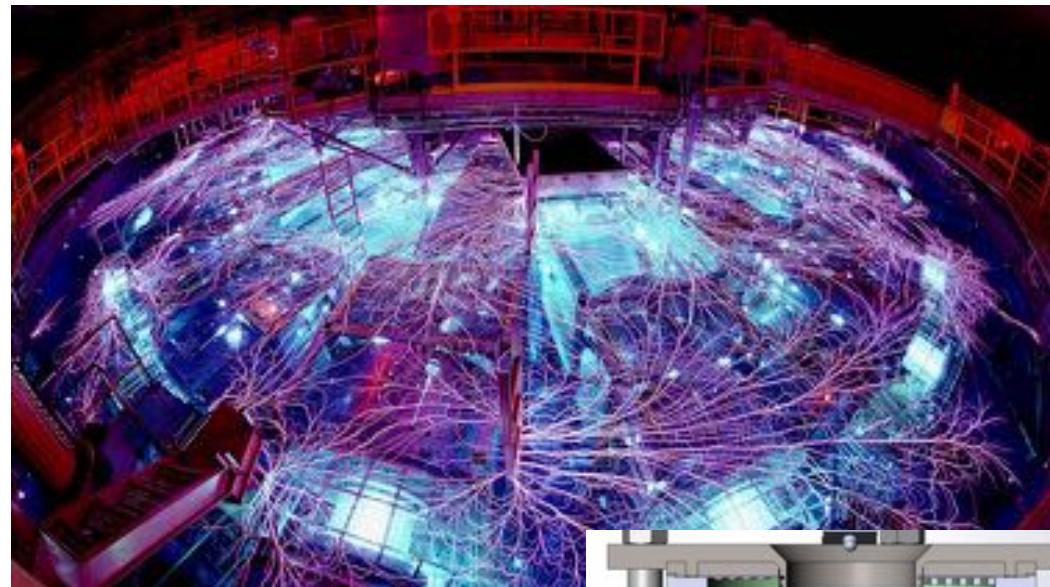


# Magnetic drive ICF is being pursued at the Z pulsed power facility at Sandia National Labs, NM

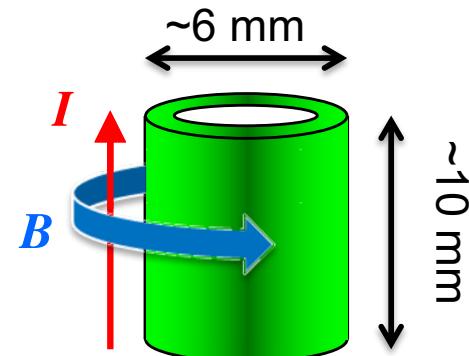
**Z Facility**



- 80 TW peak electrical power
- Up to ~1 MJ of electrical energy
- Optimized for magnetic drive



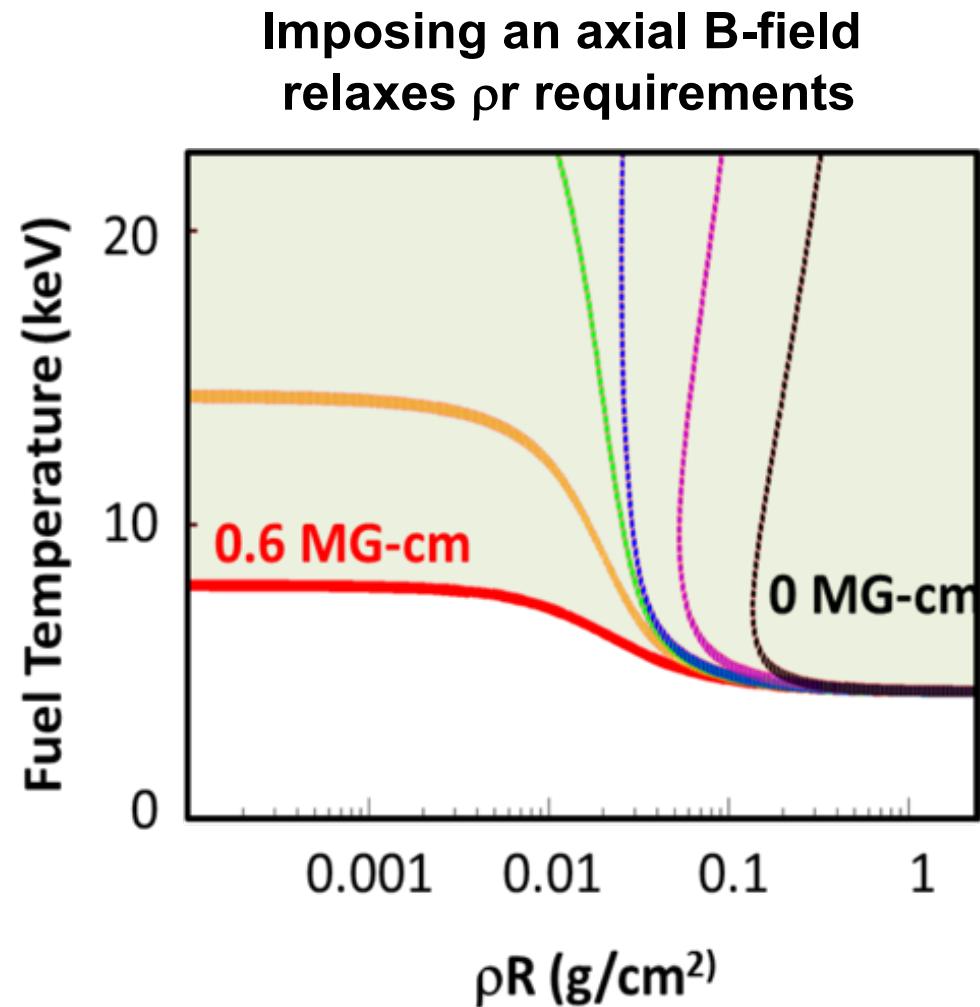
In magnetic drive ICF, an axial current creates a  $J \times B$  force that is used to implode a gas-filled, pre-magnetized target



### Drive Pressure

$$P = \frac{B^2}{8\pi} = 105 \left( \frac{I_{MA}/26}{R_{mm}} \right)^2 \text{ Mbar}$$

- Cylindrical convergence
  - Harder to achieve high  $\rho r$
- Thick liners ( $\sim 500 \mu\text{m}$ )
  - Harder to achieve high velocity



Rochau, IFSA 2017

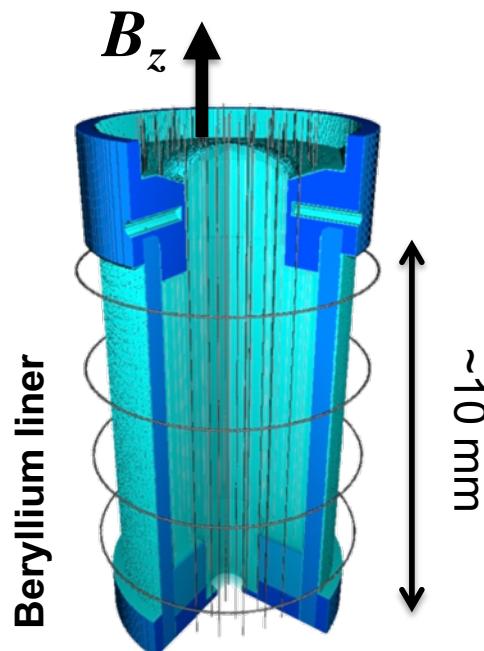


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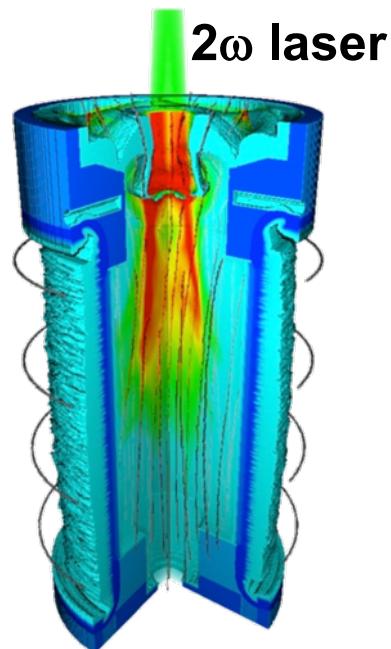


# The US is studying a form of magnetic direct drive called Magnetized Liner Inertial Fusion (MagLIF)

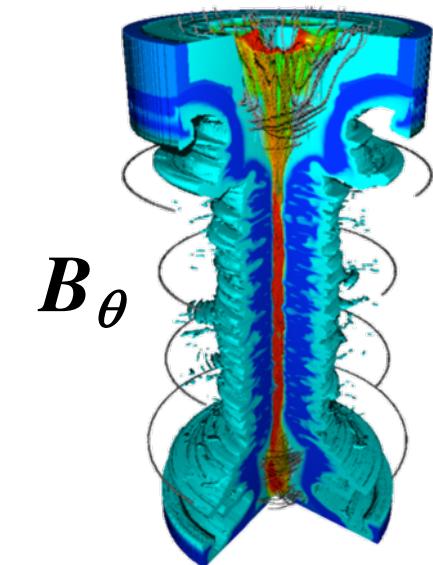
Pre-Magnetize



Preheat



Compress



- $B_z = 10\text{-}30\text{ T}$
- Inhibit  $e^-$  conduction
- Confine  $\alpha$ 's

- Laser Energy = 1-4 kJ
- $T_0 \sim 100\text{'s eV}$
- Reduce required implosion velocity

- CR  $\sim 35$
- $\rho R \sim 0.003\text{ g/cm}^2$
- P  $\sim 5\text{ Gbar}$
- BR  $\sim 0.5\text{ MG-cm}$

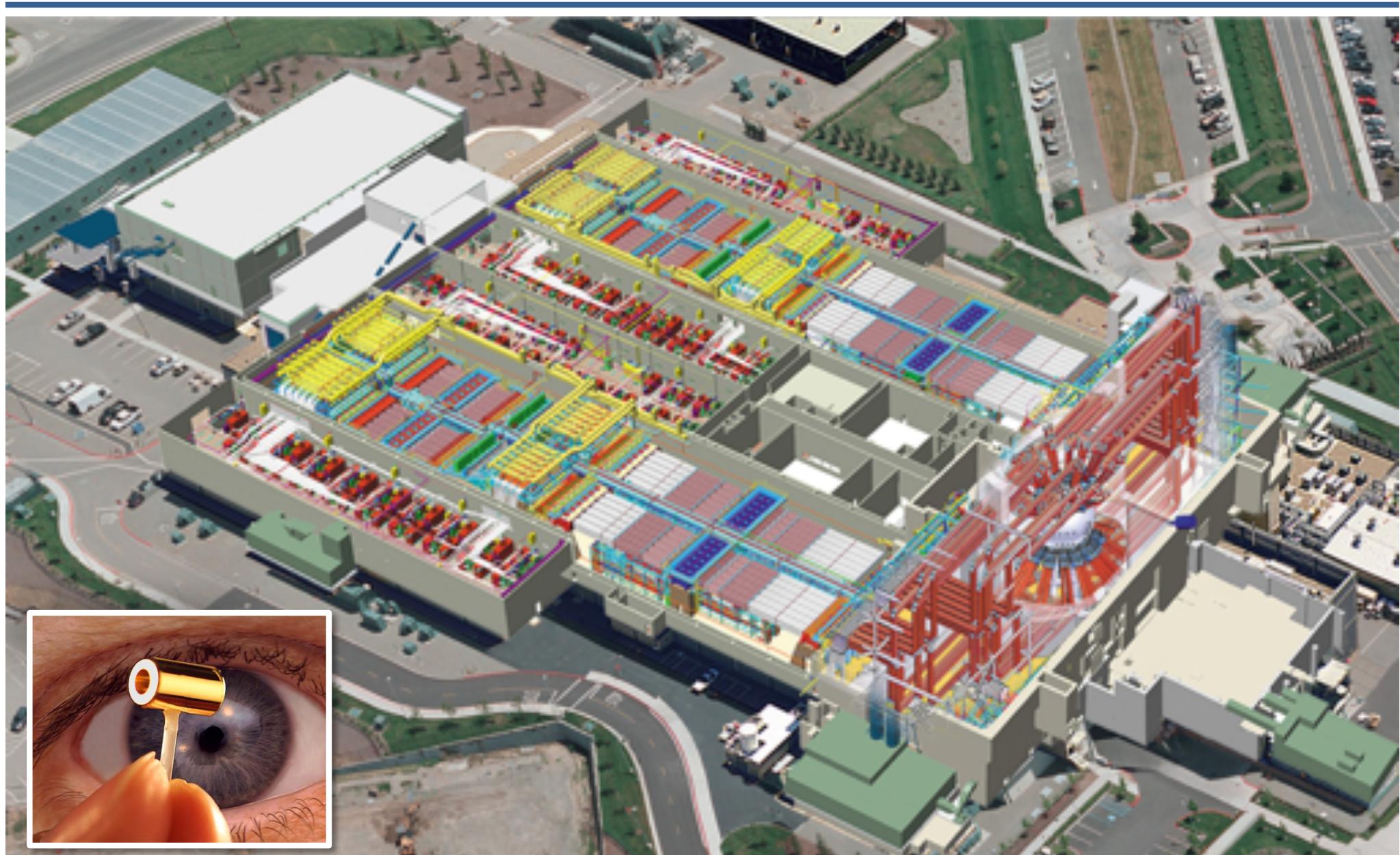
**Goal: demonstrate  $\sim 100\text{ kJ}$  DT-equivalent fusion yield**



# The National Ignition Facility at Lawrence Livermore National Lab, CA is the world's largest, most energetic laser, which uses the indirect-drive approach



**NIF concentrates the energy of 192 laser beams into a  $\text{mm}^2$**





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# NIF in Star Trek: Into Darkness



Lawrence Livermore National Laboratory  
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Courtesy of Scott Chambliss, Paramount Pictures and Bad Robot Productions

NNSA  
National Nuclear Security Administration

# Outline

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## Fusion Basics

### Inertial Confinement Fusion (ICF) Principles

#### ICF Facilities

- The OMEGA Laser Facility
- The Z Pulsed Power Machine
- The National Ignition Facility (NIF)

### Status of NIF Indirect-Drive ICF Experiments



# The interaction of the laser with the hohlraum plasma is complex and highly dynamic

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# The interaction of the laser with the hohlraum plasma is complex and highly dynamic

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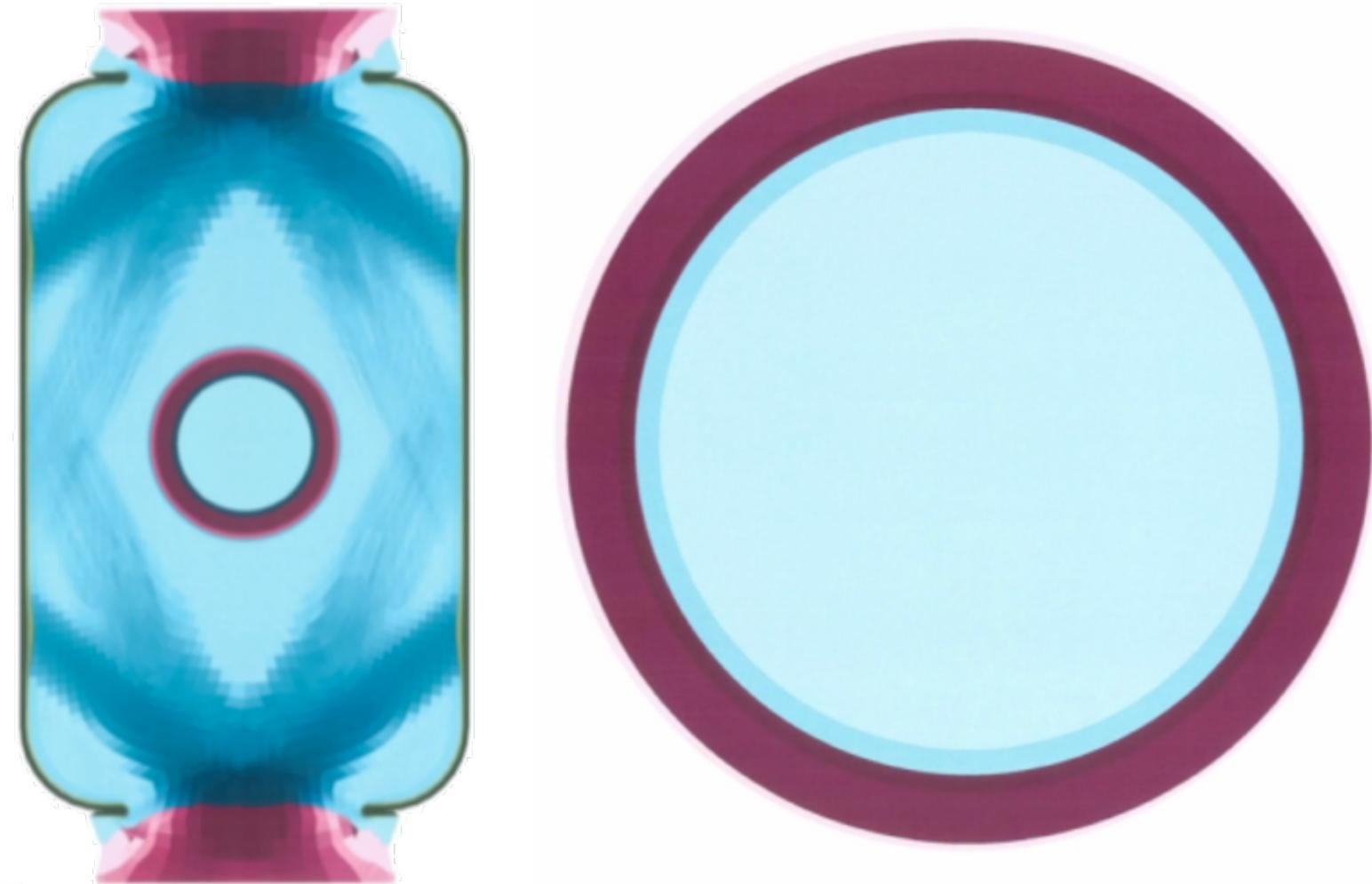


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**The principal challenge with compressing a capsule by >30x convergence is controlling hydro-instabilities**

---

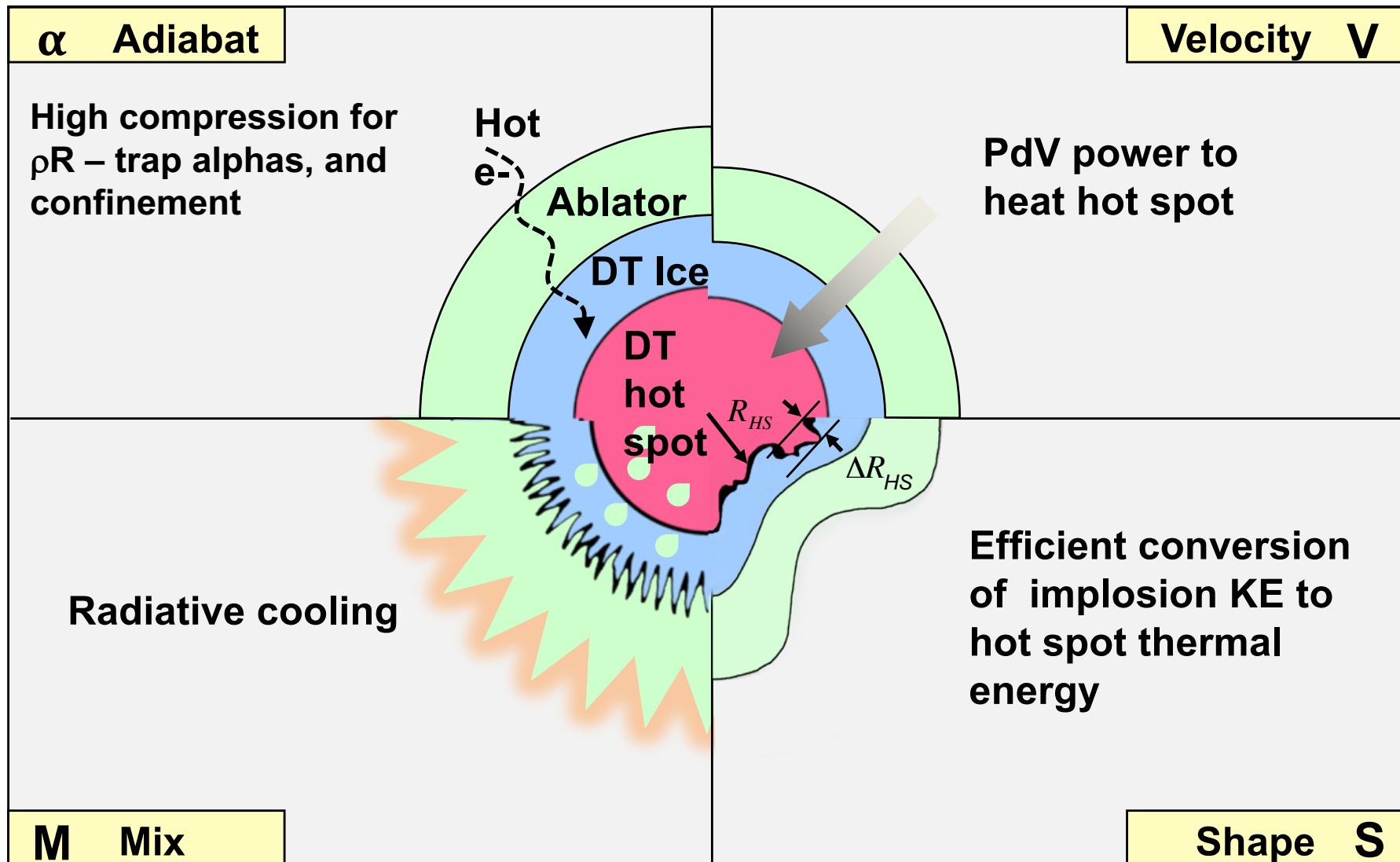


**The principal challenge with compressing a capsule by >30x convergence is controlling hydro-instabilities**

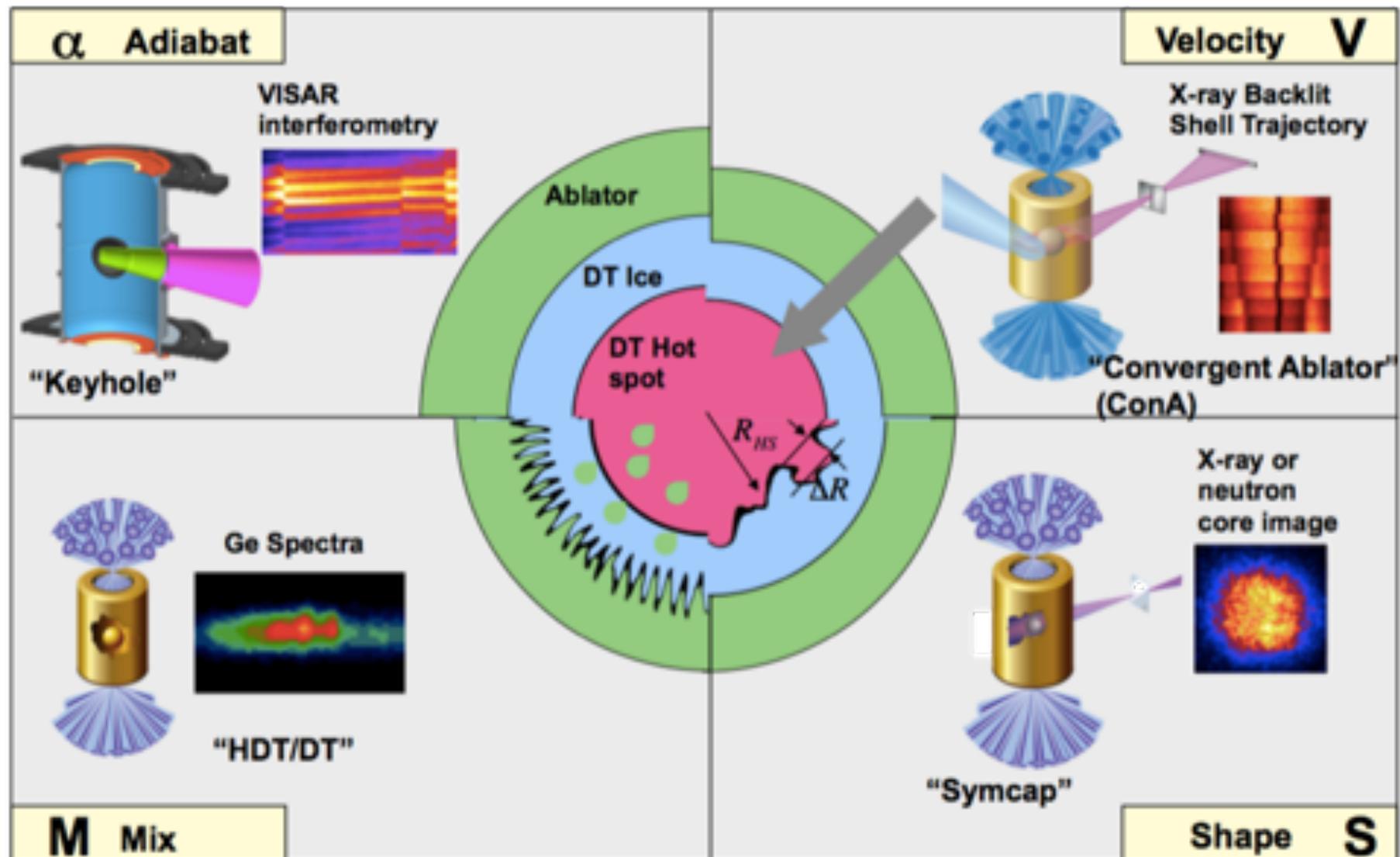
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# Ignition performance is optimized around four key variables



# We use a variety of platforms to tune the capsule shape, adiabat, velocity, and mix



Keyhole



We produce a variety of target types

Shock timing Adiabat

2 mm

Con A



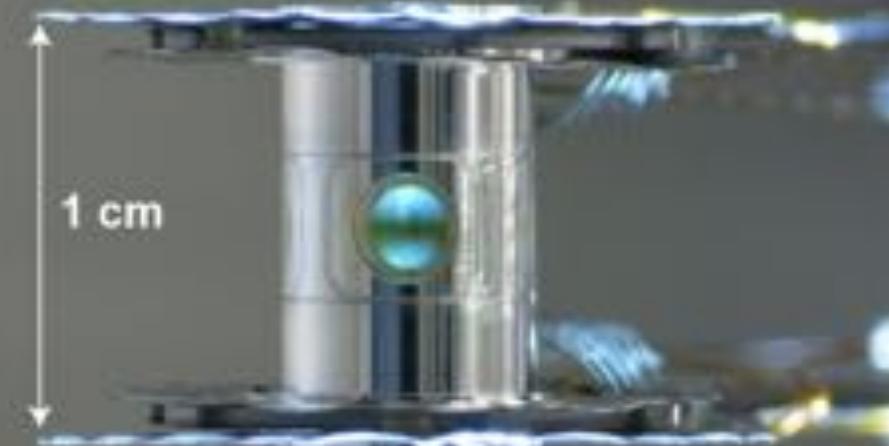
Convergent ablator velocity

Symcap/ignition



Symmetry capsule/  
ignition mix, shape, yield

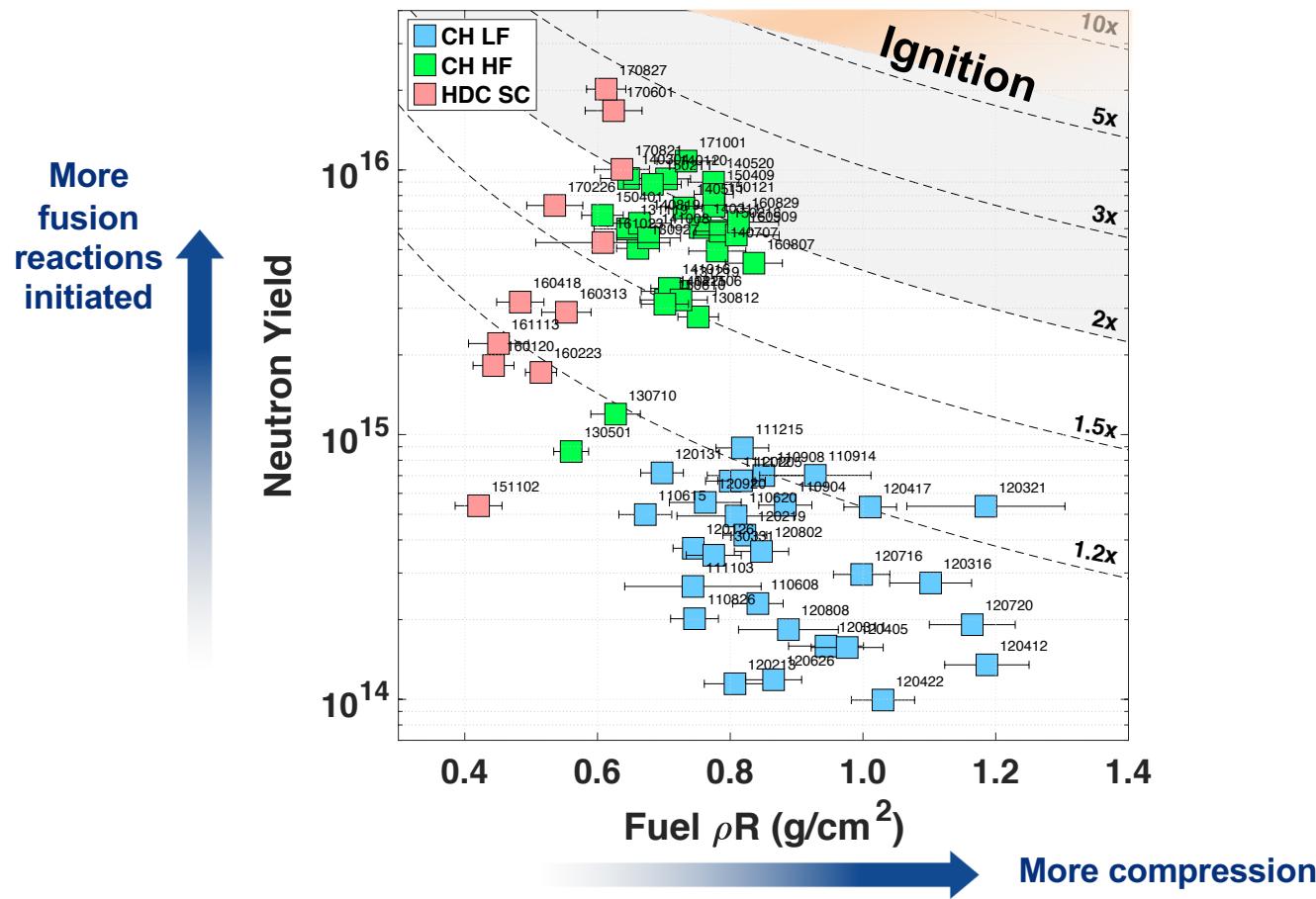
Re-emit



1 cm

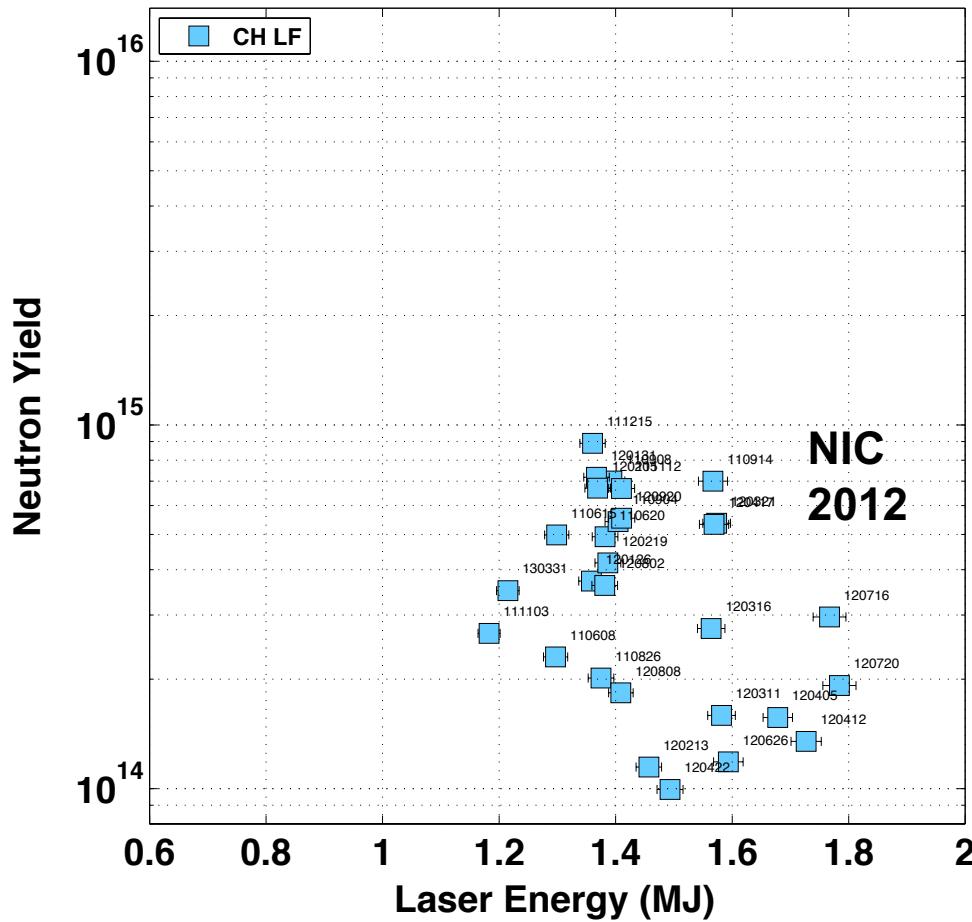
Re-emission shape

**Current status: We recently demonstrated fusion yields > 1e16, and fuel gains > 2**

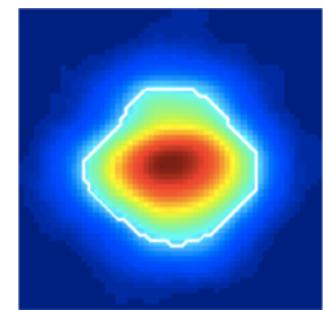
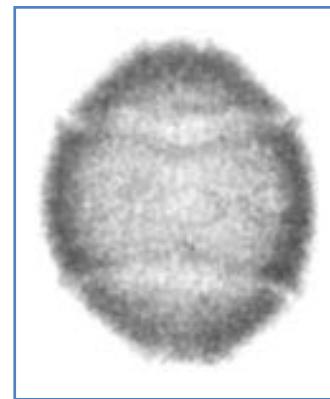


If no further improvement were possible, would need ~ 2x energy in the fuel to ignite: now we need to close the gap

# The NIF implosions in 2012 performed well below expectations

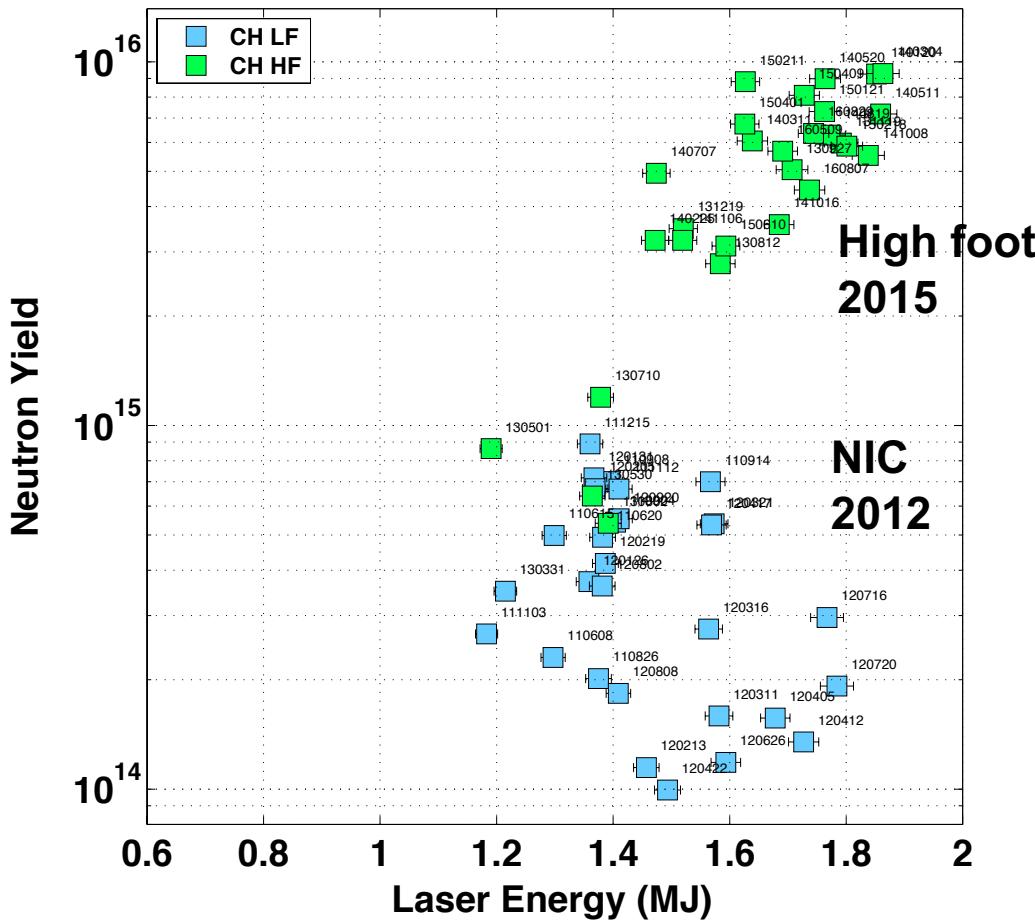


- Drive asymmetry and hydrodynamic instability were suspected, but the exact causes were unknown

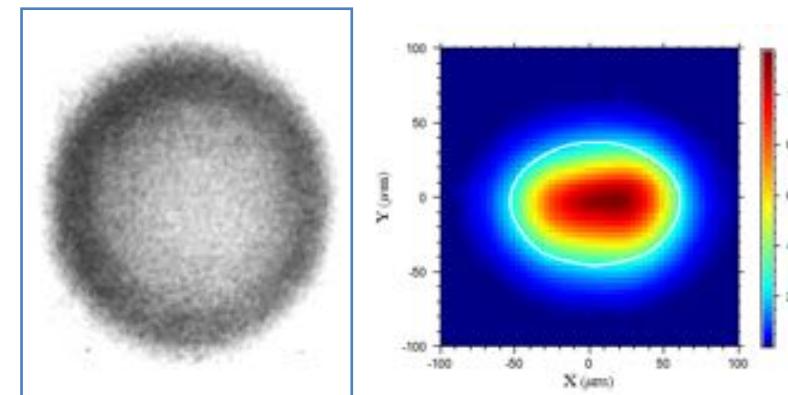


Low-foot  
 $\alpha \sim 1.5$

# The lower convergence, more hydro stable high-foot implosions resulted in higher performance limit

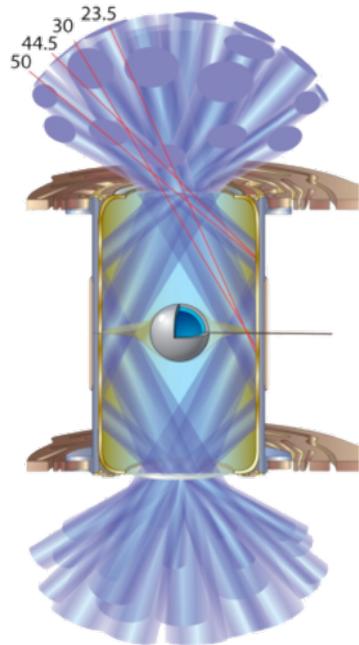


- The HF implosions showed reduced susceptibility to tent imprint
- However, symmetry swings remained

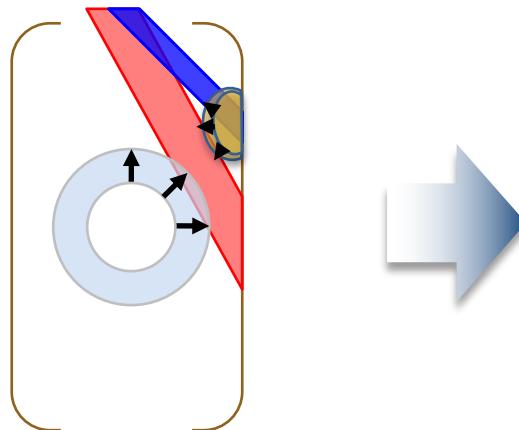


High-foot  
 $\alpha \sim 2.5$

# We are also exploring ways of improving the coupling of laser energy into the hohlraum

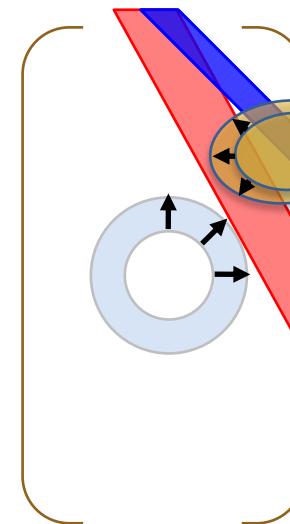


**Smaller hohlraum  
with high density fill**



- Increased drive
- Symmetry difficult to control
- LPI dominated
- Complicated physics, complicated symmetry

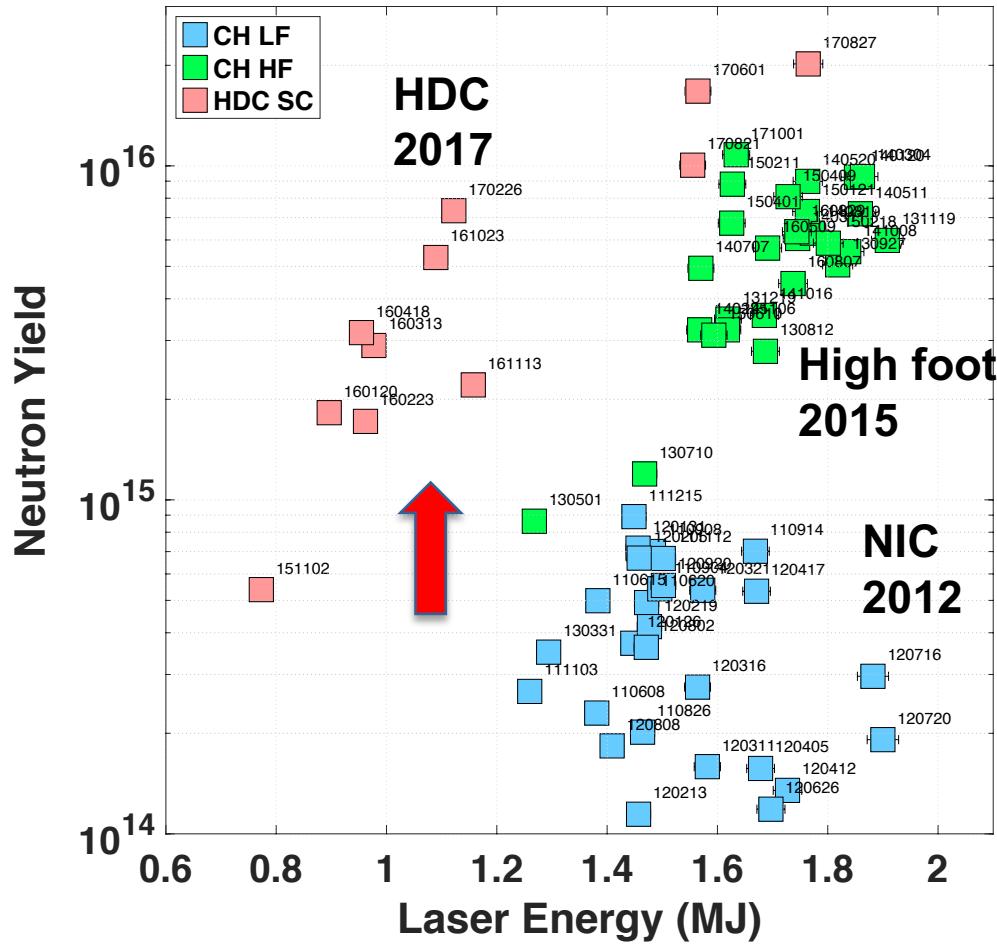
**Larger hohlraum  
with low density fill**



- Increased beam clearance
- More x-ray drive smoothing
- Low LPI, more efficient
- Much simpler physics and symmetry

**Larger hohlraums with lower gas fill give us better symmetry control and lower laser-plasma interaction effects**

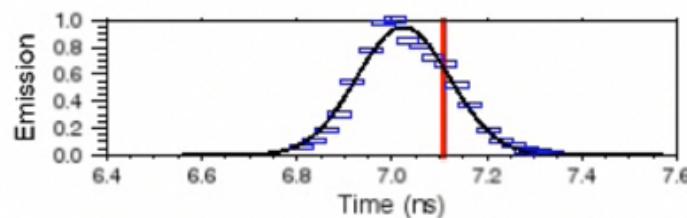
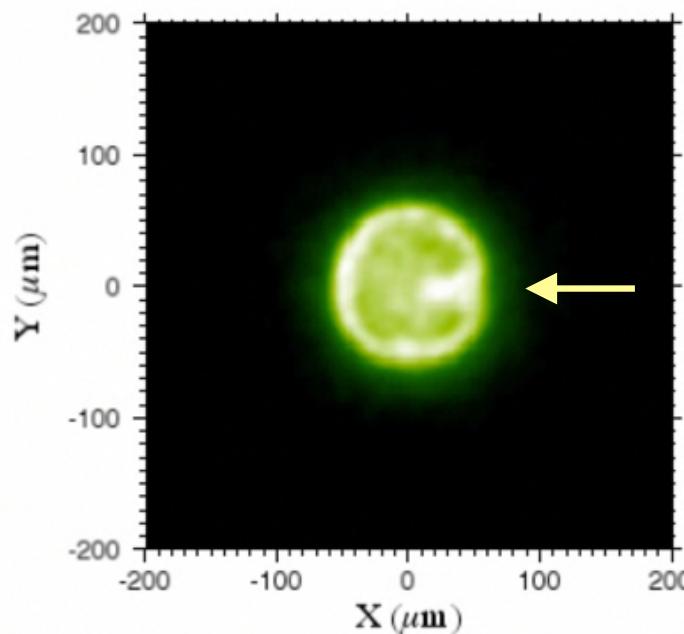
# HDC implosions with low LPI have yields similar to high-foot, but using ~half the laser energy



- Lower density helium gas fill in the hohlraum largely eliminated laser plasma instabilities
- The highest performing HDC implosions are driven fairly symmetrically
- Additional benefit - hohlraum also now more predictable
- Simulations in 2D including a model for the fill tube reproduce observed yield

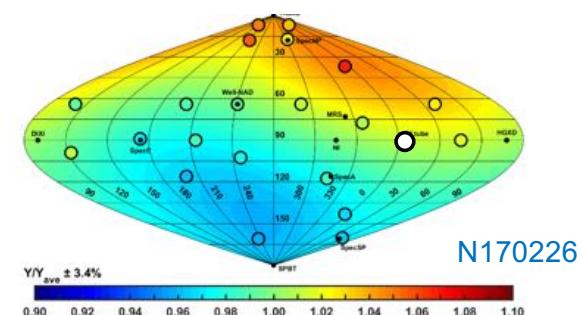
# With improved symmetry, large-scale hydro features are becoming more evident

X-ray image of hot spot  
(gas-filled HDC)

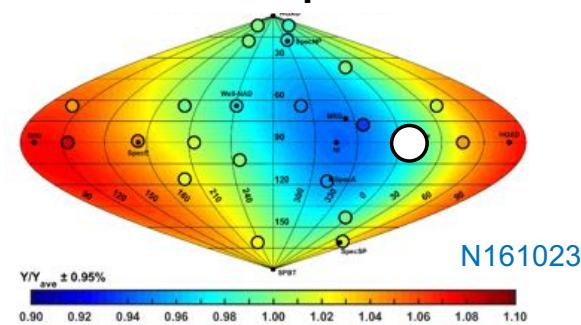


Nuclear Activation maps

HDC DT w/ 5 μm fill tube



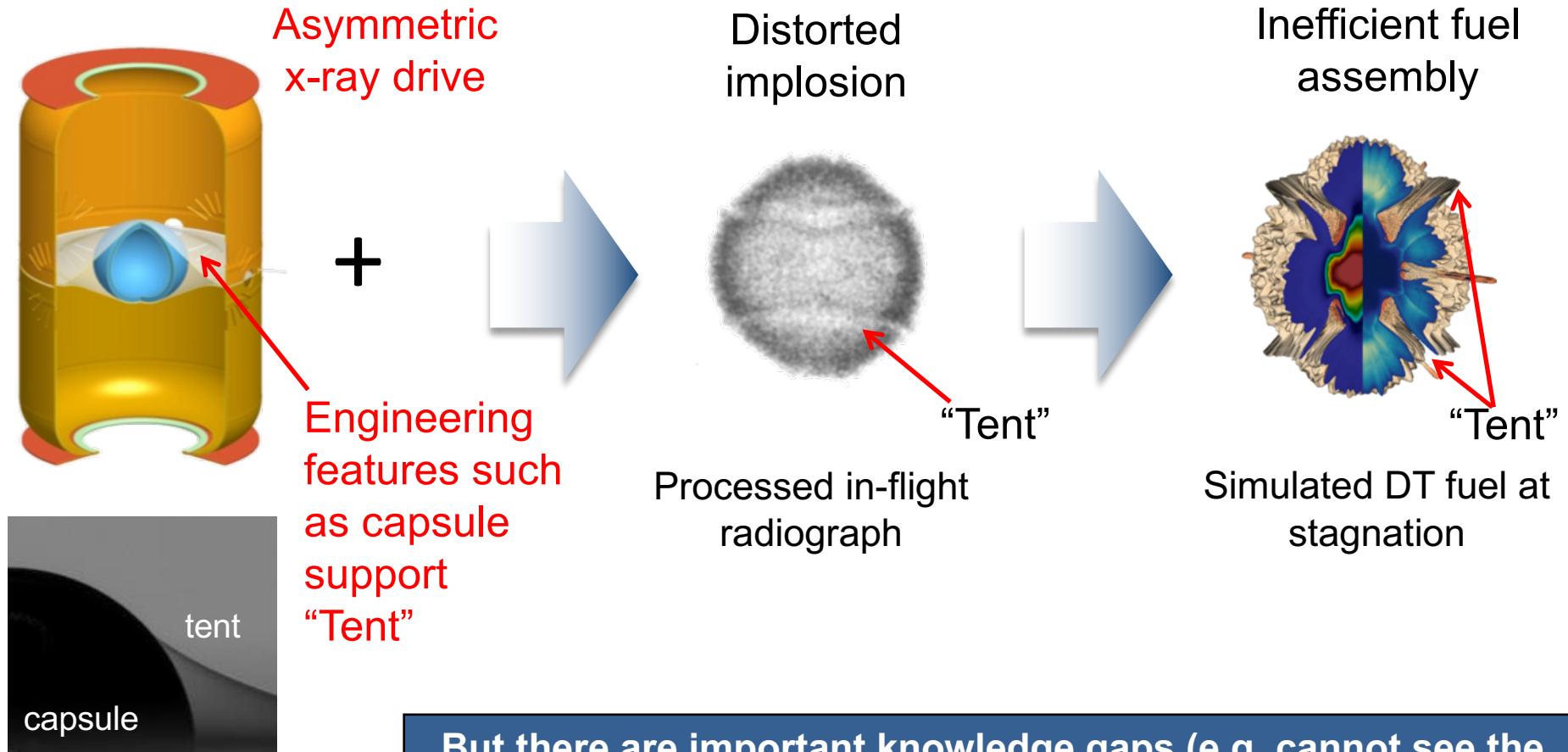
HDC DT w/ 10 μm fill tube



Simulations do not yet predict this a priori for HDC

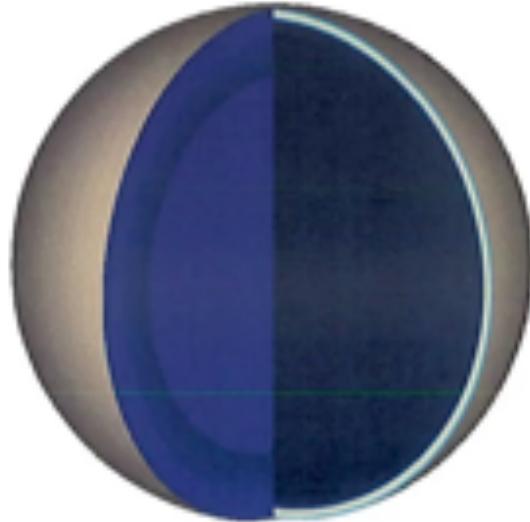


# We currently know of two major factors preventing ignition – others may be found



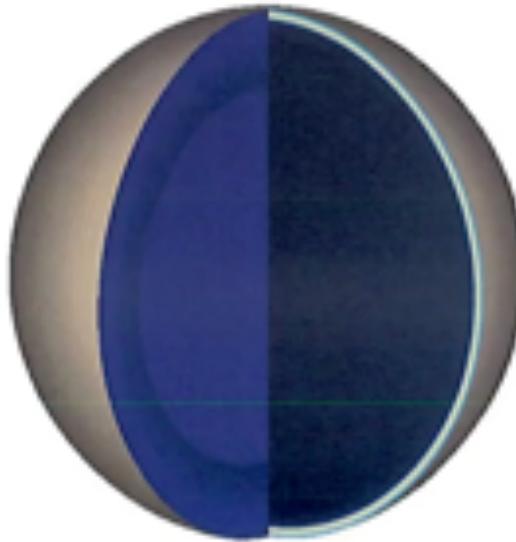
# Tent and asymmetry are predicted to conspire to limit capsule performance – relative contribution depends on design

1D



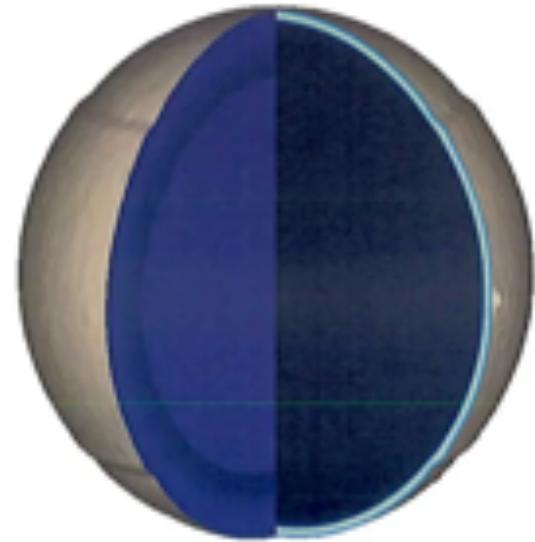
1D  
500 zones  
1 CPU  
5 minutes runtime

3D, including  
low modes



3D low-res.  
7,000,000 zones  
1536 CPUs  
1 day runtime

3D, including all  
perturbations



3D full-res.  
400,000,000 zones  
6144 CPUs  
1 month runtime

Post-shot simulation of N120405  
D. Clark *et al.*, Phys. Plasmas **23**, 056302 (2016).

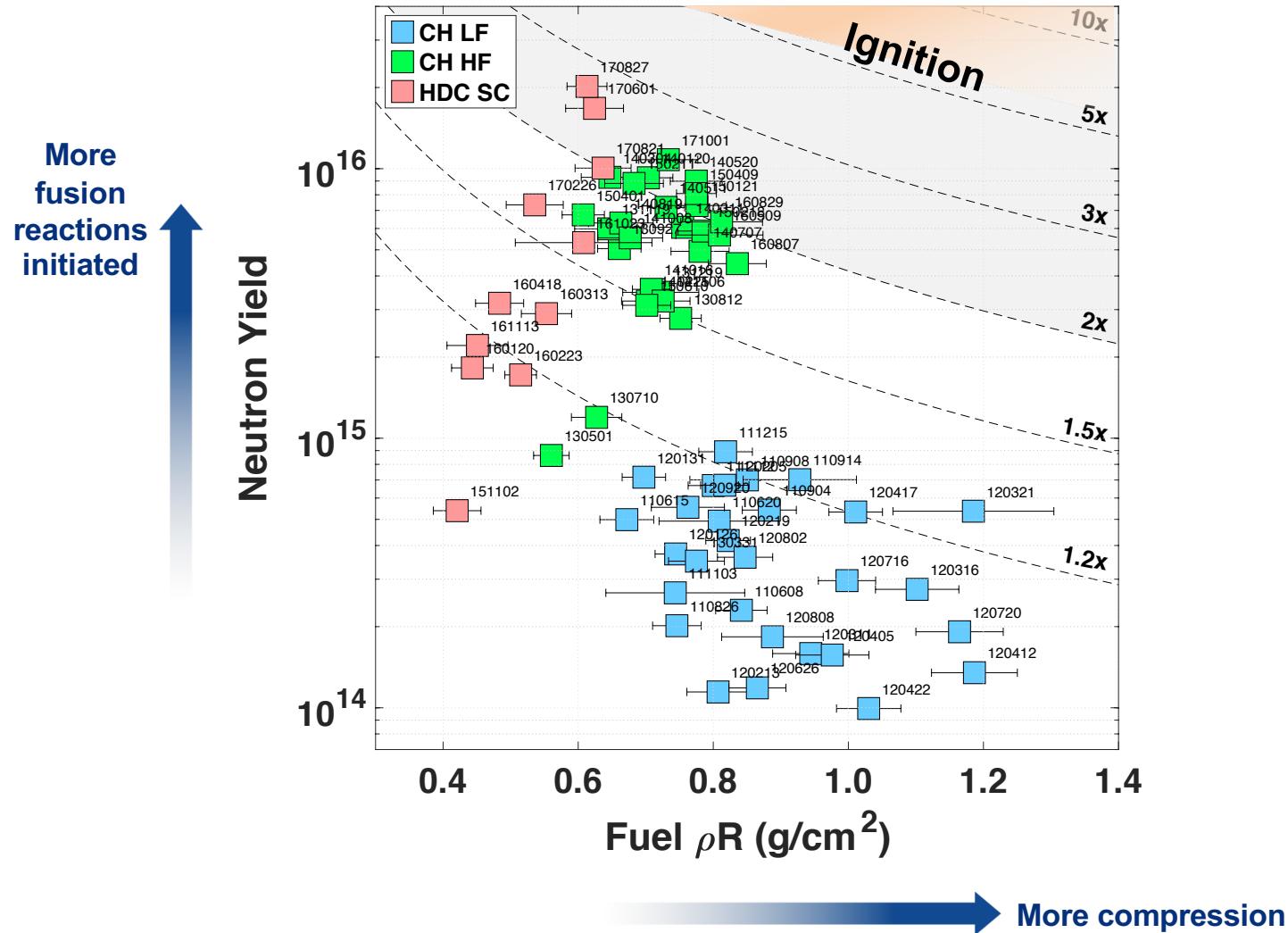
We must rely on simulations to unravel the complex physics of our experiments



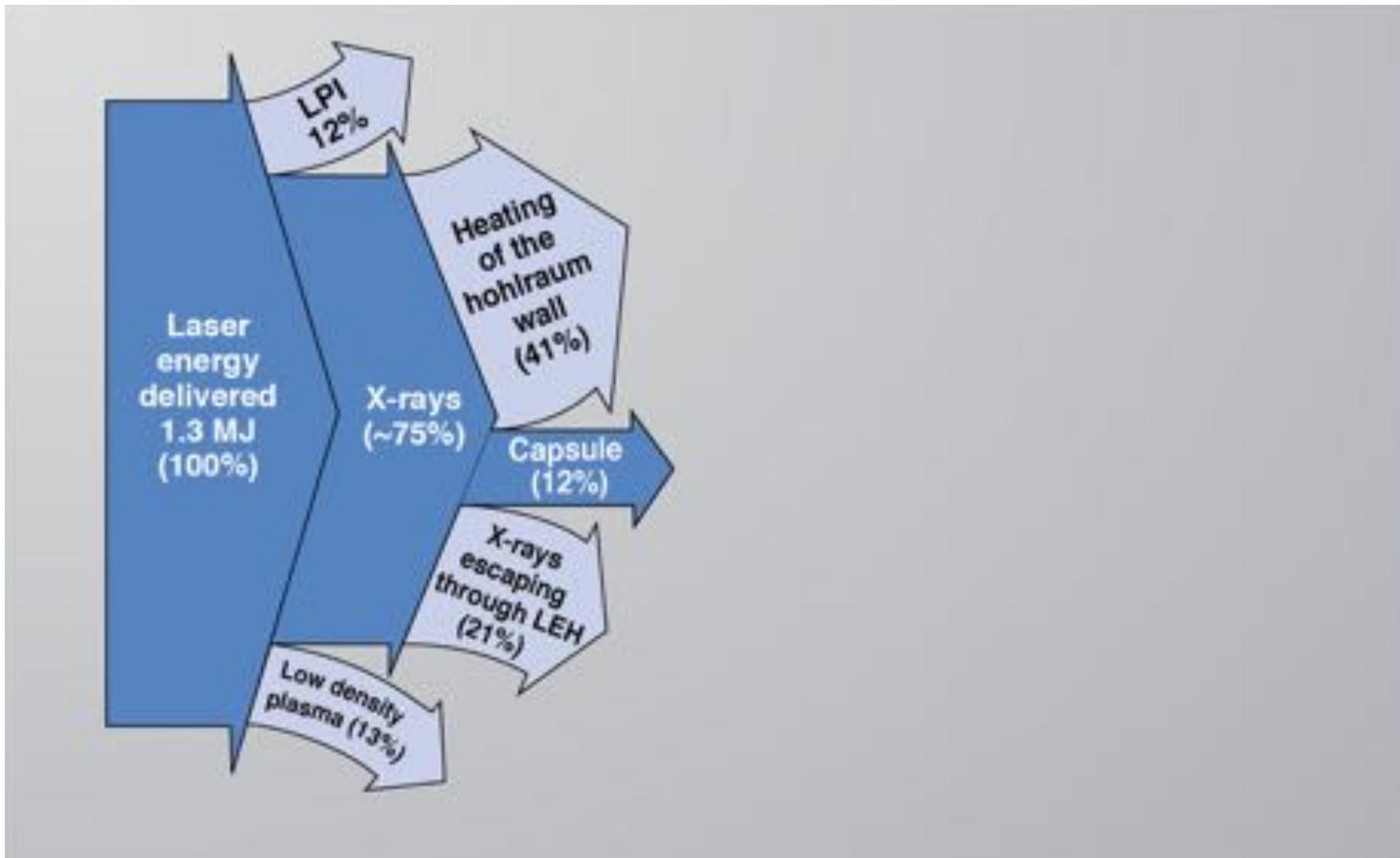
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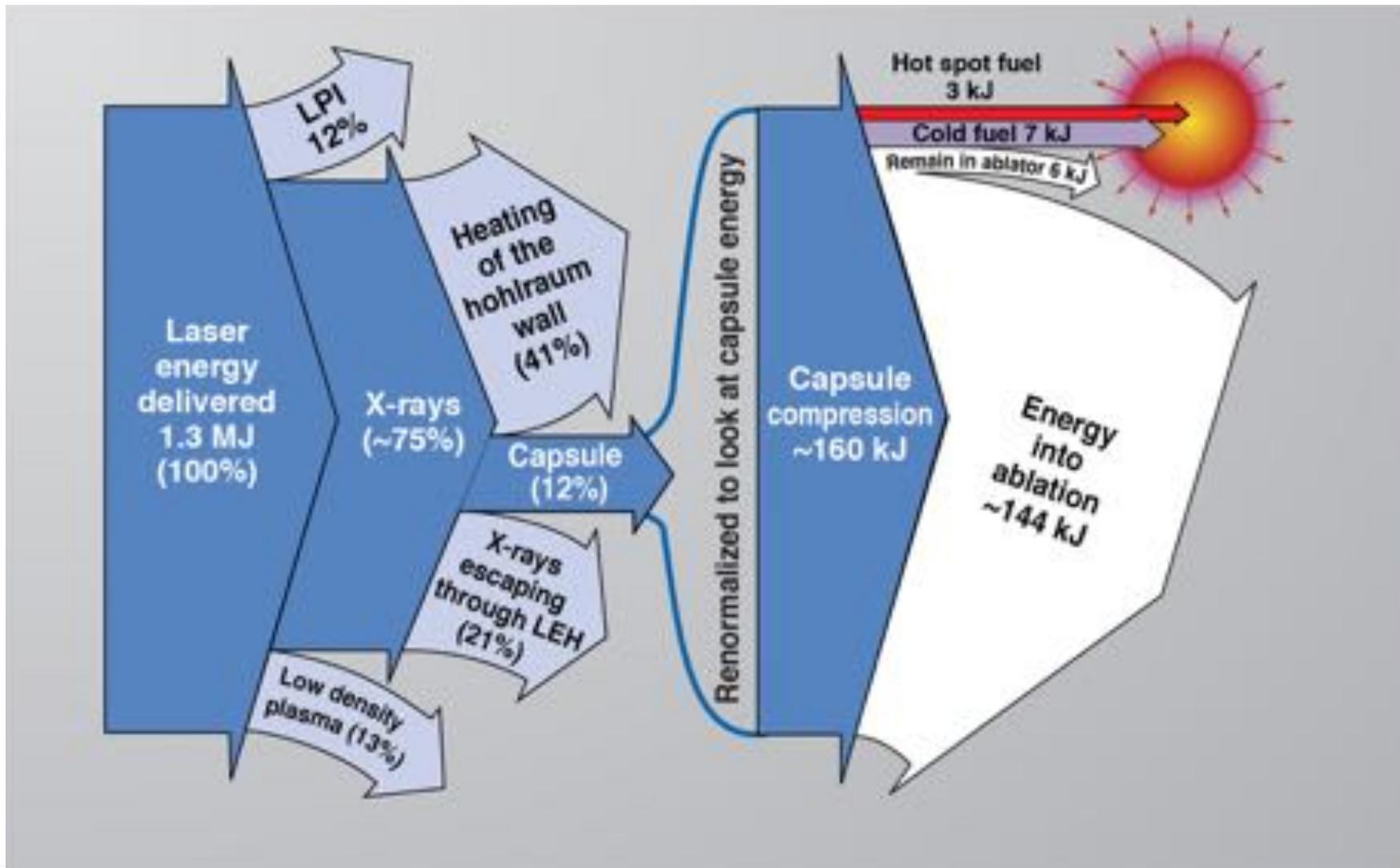
# Simultaneously achieving high compression and high neutron yield is very challenging



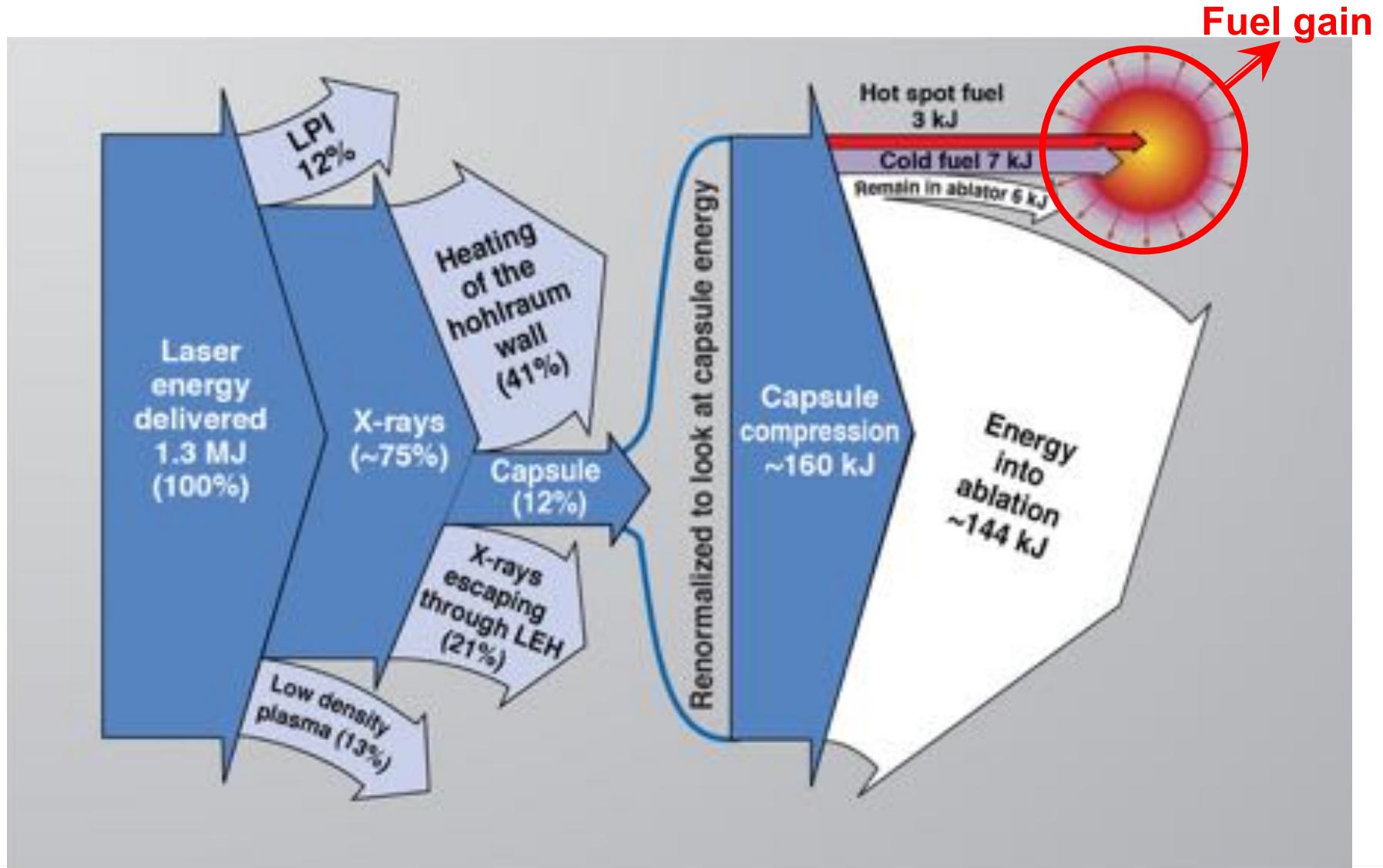
# What is the typical energy flow for an ignition experiment?



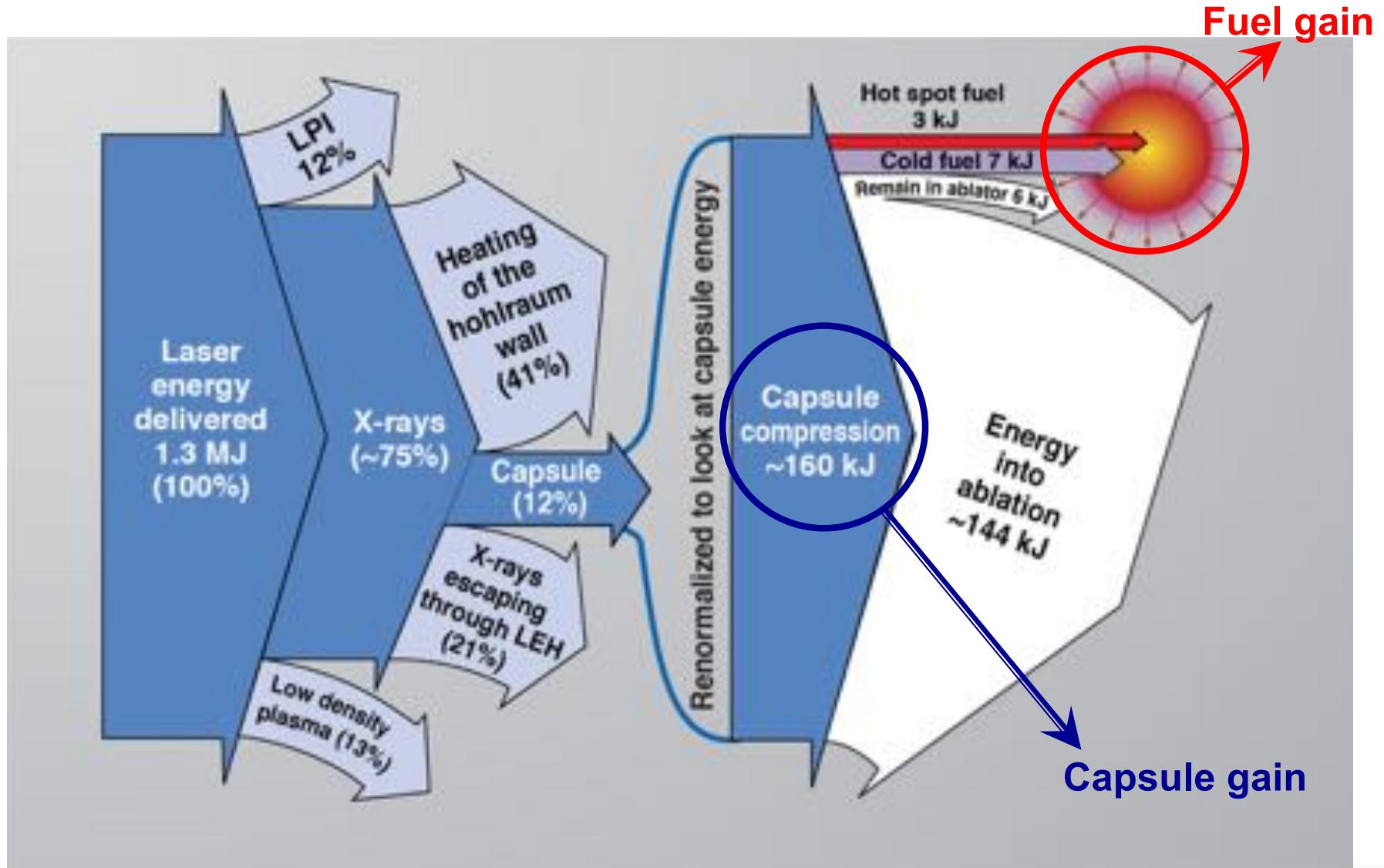
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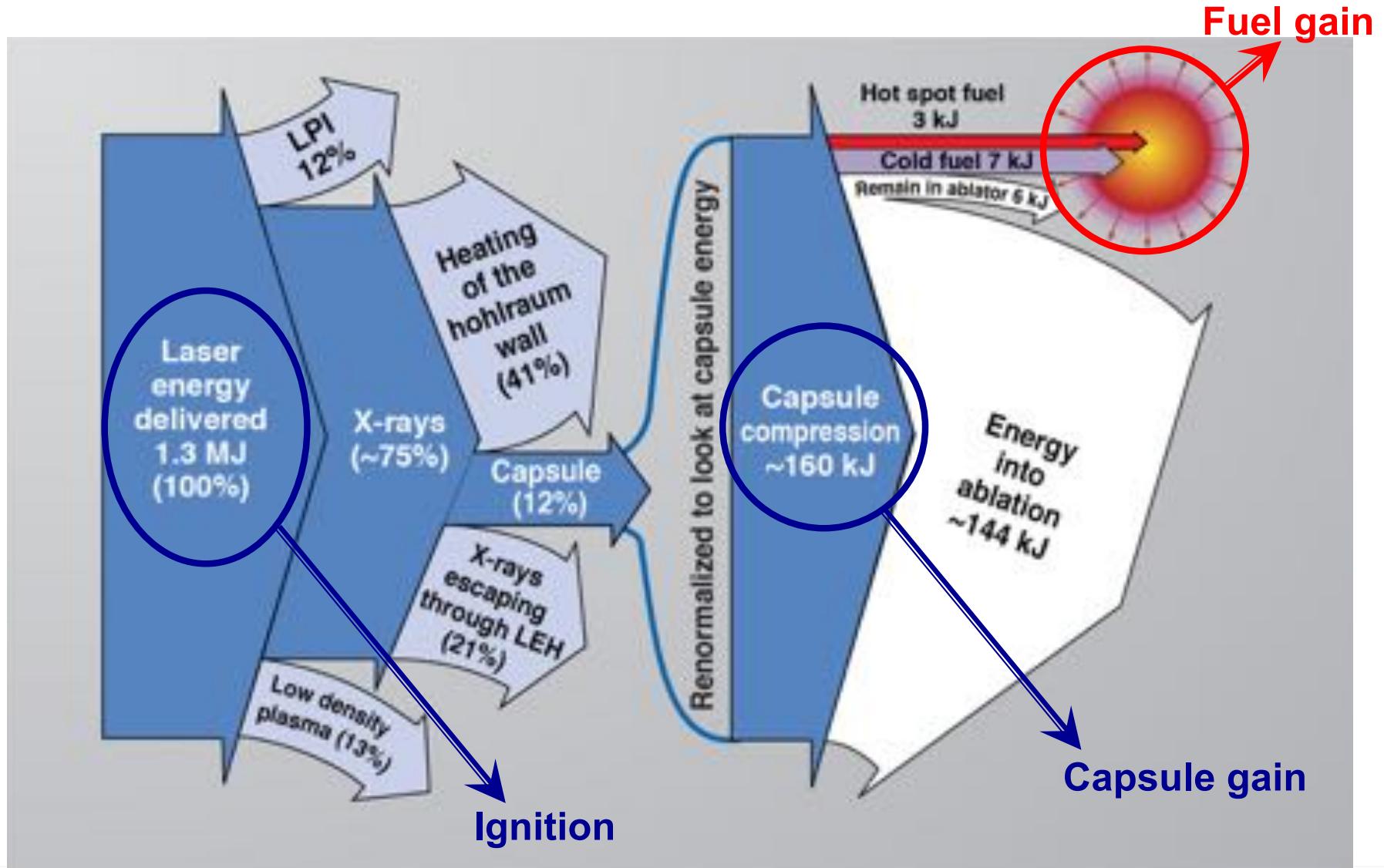
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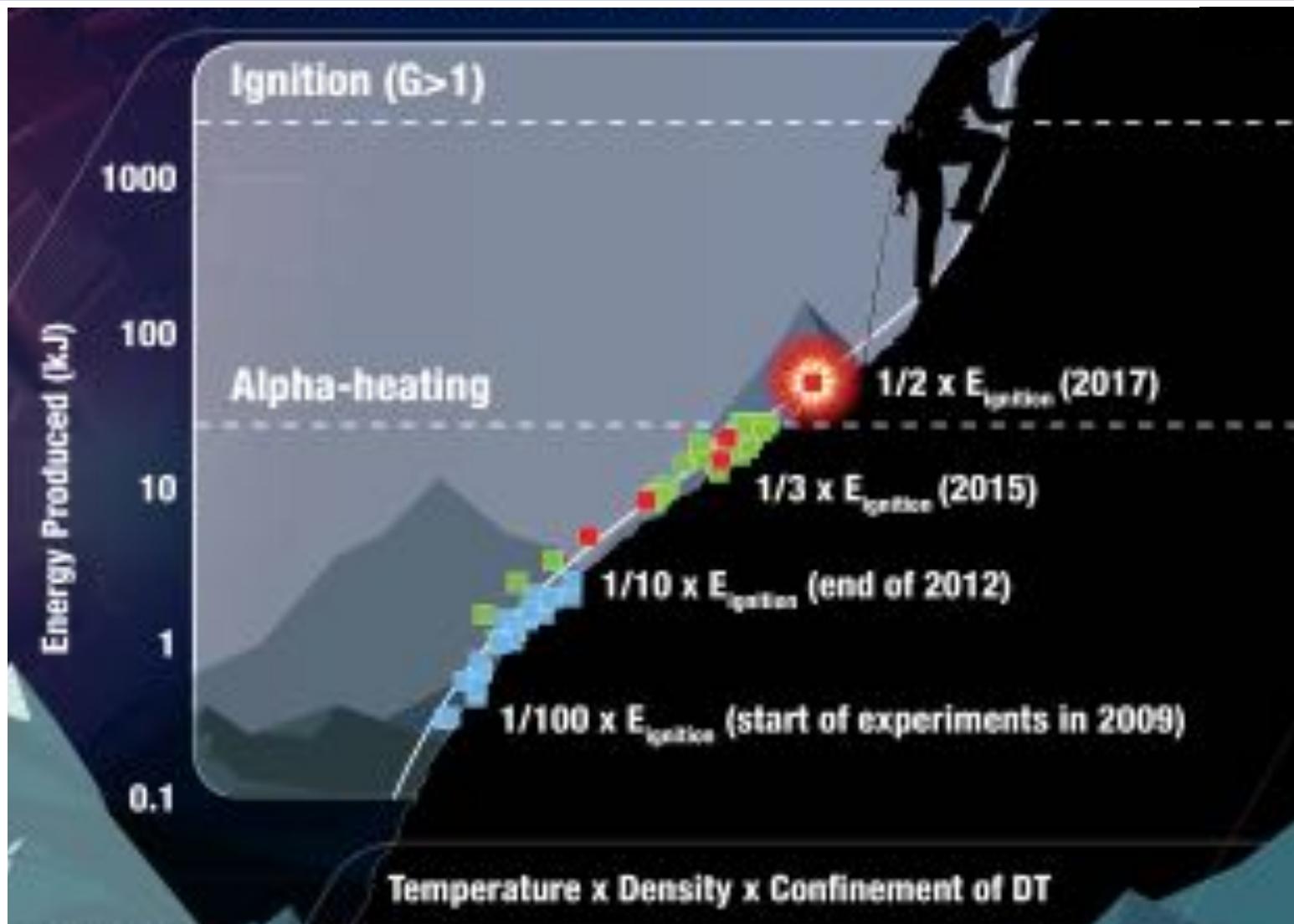
# What is the typical energy flow for an ignition experiment?



# What is the typical energy flow for an ignition experiment?



# We are making considerable progress toward ignition



# Inertial Confinement Fusion is a very challenging, but promising approach to fusion ignition

---

- Experiments are ongoing in the three main schemes of ICF: Laser Indirect-Drive, Laser Direct-Drive ICF, and Magnetized Liner ICF
- Performance on the NIF so far:
  - ~3X yield from alpha-heating
  - Fuel gain > 2
  - Still need better control of the symmetry of the x-ray drive and engineering features
- If no further improvement were possible, would need roughly ~ 2X energy in the fuel to ignite.
- In ~2012 that number was about ~10X more energy while early experiments were ~100X, so we are making progress.
- Our job is to figure out how to close the rest of the gap!



# Opportunities at NIF

- The NIF Discovery Science Program supports a wide variety of fundamental science experiments

<https://lasers.llnl.gov/for-users/nif-user-group>

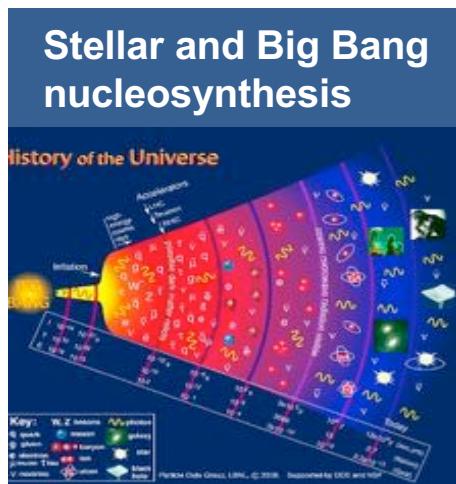
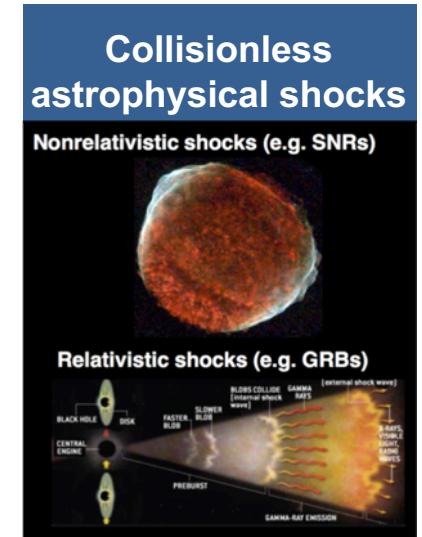
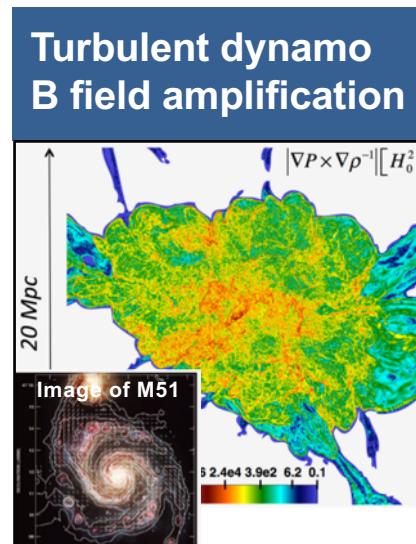
- Summer Scholar Program

<http://students.llnl.gov>

- Jobs

<http://jobs.llnl.gov>

<http://lasers.llnl.gov>





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# Concept for an ICF power plant

