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# **The validity of eight neoclassical facial canons in the Turkish adults**

**Running head:** Facial canons in Turkish adults

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## **Abstract**

The neoclassical canons were used to define the proportions between various areas of the head and face. Therefore, this study was done to establish the neoclassical canons of facial proportions in Turkish adults. A total of 200 healthy adults 20 to 35 years of age were examined. Using anthropometric landmarks, 5 horizontal and 9 vertical direct measurements were made on the faces with a sliding caliper. Results have been compared with eight neoclassical facial canons. Compared with both sexes, a significant difference has been found in all measurements except the upper facial width, left eye-fissure width, forehead height I and II ( $P<0.005$ ). The nasofacial proportion has been found to include the most proportional subjects (33%) followed by the orbito-nasal (30%), the orbital proportion (25%) and the naso-oral proportion (17%) in the female. Considering the male, the orbital proportion has been found to include the most proportional subjects (23%) followed by the orbito-nasal proportion (21%), naso-facial proportion (19%) and the naso-oral proportion (17%). The neoclassical canons have been shown to rarely be applicable to Turkish adults and our results may contribute to determine the concepts of transcultural facial structures.

**Key words:** **facial anthropometric norms, anthropometry, facial analysis**

## **Introduction**

The anatomical shape of the face varies depending on genetic factors, age and sex in among individuals. Until now, cephalographs, two-dimensional photogrammetry and direct measurements were used to analyze the symmetry, shape and proportion of the anatomical structures of the face [5, 9, 11, 17]. Anthropometry that uses the standard landmarks of the soft tissues in the face is a direct measurement method. Antropometric studies show that there is a proportional relationship between standard landmarks of the face and these proportions are expressed as neoclassical canons [1, 4, 16, 18]. The neoclassical canons used for proportional evaluation of the face were developed by artists and anatomists in the 17th and 18th centuries and these are defined as the aesthetic proportions of the face. The neoclassical canons have been used as reference by surgeons when planning the surgical treatment [7, 15]. But data from several studies showed that there is a great diversity in the facial proportions among different ethnic groups and the neoclassical canons are insufficient to analyze the soft tissue of face in these groups [1, 4, 16, 18]. Knowledge of anatomic facial structures of different societies is important for planning maxillofacial and reconstructive surgery. So,

surgeons must consider population specific factors and make the appropriate adjustments when planning surgical or non-surgical treatments.

This study was conducted to investigate validity of the neoclassical canons for Turkish adults and to establish the morphological characteristic of their faces by using anthropometry.

## **Materials and methods**

This study was approved by the Etic Committee of the Erciyes University, Turkey (Protocol No: 2009/177). Informed consent was obtained from all participants. The study participants included 200 healthy students and staff (100 females and 100 males) from the Nevsehir University. The participants were selected between the ages of 20 and 35 in order to reduce the effects of aging while calculating the facial proportions. Healthy volunteers were included in this study and body mass index were 20-25 for both sexes. The participants who had major facial trauma, craniofacial abnormalities and history of plastic and reconstructive surgery were excluded.

After determining the anthropometric landmarks, direct measurements were made by using a sliding caliper on the soft tissue of face. Fourteen standard anthropometric measurements were obtained (Table I) (Fig. 1 and 2). The measurements were performed while subjects sitting on a chair in a relaxed mood and standard anthropometric methods were applied for all measurements. The measurements were taken as millimeters. The average values were compared with the neoclassical canons. Following eight neoclassical canons were performed in Turkish adults. Two-section facial canon (vertex-endocanthion= endocanthion-gnathion), Three-section facial canon (trichion-nasion=nasion-subnasale =subnasale-gnathion), Four-section facial canon (vertex-trichion=trichion-glabella=glabella-subnasale=subnasale-gnathion), Naso-aural canon (superaurale-subaurale=nasion-subnasale), Naso-orbital canon (endocanthion-endocantion=alare-alare), Orbital canon (exocanthion-endocanthion=endocanthion-endocanthion), Naso-oral canon ([alare-alare]X1.5=cheilion-cheilion) and Naso-facial canon ([zygion-zygion]X0.25=alare-alare).

## **Statistical analysis**

The findings of descriptive statistical parameters (Mean, Min. and Max. values, and SD) were calculated for the differences between the male and the female subjects. Independent T-test was used to analyze differences between the two groups (statistical package SPSS 15 for Windows). The statistical significance was set as  $p<0.05$ . A facial canon was considered valid if the difference is not bigger than 1 mm.

## Results

In this study, compared with both sexes, all measurements were higher in the males and the significant differences were observed in all parameters except the upper facial width, left eye-fissure width, forehead height I and II ( $p<0.005$ ) (Table II). In addition, eight formulas for the neoclassical canons of the face were tested in Turkish adults and the results are showed in Table III.

The results for canon I showed that the special head height measure was smaller than the special face height in the majority of our study groups (56% of females, 57% of males) and faces with the 2-section profile was fitted in very few subjects (8% of females, 4% of males). Proportion for the 3-section facial profile (canon II) was not seen in none of groups. The nose length was smaller than the lower facial height in 96% of females and in 100% of males. The forehead height II were smaller than the lower facial height in 35% of females and in 59% of males. The nose length was smaller than the lower facial height and the forehead height II in both of groups.

Proportional equality for four equal profile sections (canon III) was not seen in our subjects. The height of the calvarium was smaller than all other canon measures (forehead height I, special upper facial height, lower facial height) in most of the subjects. The special upper facial height was greater than the lower facial height in 71% of females and in 65% of males. The forehead height I and the height of the calvarium were smaller than the lower facial height (females/males: smaller the forehead height I 92%/96%; smaller the height of the calvarium 100%/99%).

Naso-aural proportion (canon IV) was found in 1% of females and in 4% of males. Our most subjects had an ear length that was bigger than their nose length in 96% of females and in 90% of males. Assessment of naso-orbital proportion (canon V) showed that the nose width was equal to the intercanthal distance in 30% of females and in 21% of males. Most subjects had a greater the nose width than the intercanthal distance.

When we evaluate our results according to the orbital proportion (canon VI) the left eye-fissure length was equal to the intercanthal width in 25% of females and in 23% of males. The left eye-fissure length was greater than the intercanthal width in 54% of females and 49% of males. Naso-oral proportion (canon VII) was exist in 17% of females and males. The 1.5 times the nose width was wider than the mouth width in 43% of females and in 52% of males. Evaluation of naso-facial proportion (canon VIII) showed that the nose width was equal to the

facial width in 33% of females and in 19% of males. The nose width was larger than the facial width of 39% of females and in 73% of males.

Our results with the eight neoclassical canons of face revealed that very few Turkish males fitted the established proportions. Whereas the most Turkish females fitted the neoclassical canons compared to Turkish males.

## **Discussion**

The neoclassical canons were used to define the proportions between various areas of the head and face. And these facial canons have been recommended in current text books on orthodontics, prosthodontics and plastic and reconstructive surgery for the treatment planning. Most of anthropometric data about the neoclassical canons comes from Farkas' work on North American white populations [6, 7, 10, 15]. But another studies of Korean [4], Indian [12], African American [18], Turkish [2, 3] and Chinese subjects [13] as well as the present study, reported that some of the neoclassical canons may fit a few subjects and they do not represent the average facial proportions. In our study, especially the three section and the four section facial proportion could not be found valid even in a single participant. The naso-orbital canon was the most frequently observed canon in females while the orbital canon was the most applicable in males.

The results for vertical canon (canon I) showed that the special face height was greater than the special head height for both males and females in the current study. Whereas special face height was shorter than the special head height for both males and females in African American and North American white people [6, 18, 19]. In addition when we compare our results for canon I with findings that were obtained from other studies in Turkish population the differences were seen between findings [2, 3]. The results show that there may be differences in the facial proportions even in different regions of the same country.

The three section facial proportion could not be found even in a single participant in this study. The forehead height II was greater than the lower face height in females (57%) and in males (36%). In similar to our findings in another study the forehead height II was greater than the lower face height in African American females (63%) and males (39%) [18, 19]. However it was shown that forehead height II was shorter than the lower face height in North Americans [1, 7]. Some studies found that lower face heights was greater than the upper face heights in North American white females (68%), Korean American females (42%), and Indian Americans (37%), African American males (98%) and females (75%) [4, 6, 12, 19]. Contrary

to this findings that were obtained from other studies, the lower face height was greater than the upper face heights only 21% of females, 25% of males in our study.

Our results according to the nasoaural canon (canon IV), were similar to the nasoaural canon analysis results of African American people, North American white people, Indian American and Korean American females [4, 6, 12]. In these studies, the ear length was greater than nose length in most subjects.

In terms of the naso-orbital canon, 41% of North American white people validated the naso-orbital canon, which states that the nose width equals the intercanthal distance [6]. In our study the nose width was equal to the intercanthal distance in 30% of females and in 21% of males. The descriptive analysis results for naso-orbital canon showed that the intercanthal distance was shorter than the nose width for males (58%) and females (41%) in the current study, in African American people (99% of males, 93% of females), in North American white females (38%) and in Indian American females (86%) [6, 12, 18]. In the Asian people, the intercanthal distance was greater than the nose width in Korean American females (61%) and in Southern Chinese people (56% of males, 90% of females) [4, 13].

The orbital canon purports that the width of the eye fissure should be equivalent to the intercanthal distance, and this fitted in 25% of females and in 23% of males in our samples. Similarly, 33% of North American white females, 21% of Indian American females, 30% of African American females validated the orbital canon [12, 18]. For some Turkish adults the eye fissure length was greater (54% of females, 49% of males) than the intercanthal distance. The similar results were also found in Africans (42%) [18] and in another study for Turkish population [3]. In contrast to our findings, Korean American females (100%), Southern Chinese people (100%) and North American white people (52%) have intercanthal distance greater than eye widths [4, 6, 13]. The dominant characteristics of the Asian face type include a long intercanthal distance and a short palpebral fissure connection [14, 16].

According to the results obtained from the naso-oral proportions, 1.5 times the nose width was wider than the mouth width in Turkish adults (43% of females, 52% of males), in Korean American females (68%), in Southern Chinese people (87%) and in African American females (87%) [4, 13, 19]. Narrow mouth with wide nose variant of the naso-oral proportion was common among all the East Asian ethnic groups and the African Americans. While these people have narrow mouth with wide nose, majority of the North American white females (60%) have wide mouth and narrow nose [6]. Similar to naso-oral proportion, the nose width was wider than one quarter of the facial width in most subjects in this study. Our results were similar to facial analysis results of African American people, Indian American and Korean

American females [4, 12, 18]. Our findings show that contrast results of naso-facial proportions of North American white people. In another study, the nose was very or extremely significantly wide in both sexes of Asian and Black ethnic groups [8].

In conclusion, the applicability of the neoclassical canons was tested in our study. It was found that these canons were not applicable to most of Turkish adults. Thus these facial proportions cannot be a guide for planning surgical or non-surgical treatments. The knowledge of the facial characteristic of Turkish adults is important to compare the facial soft tissues before and after treatment.

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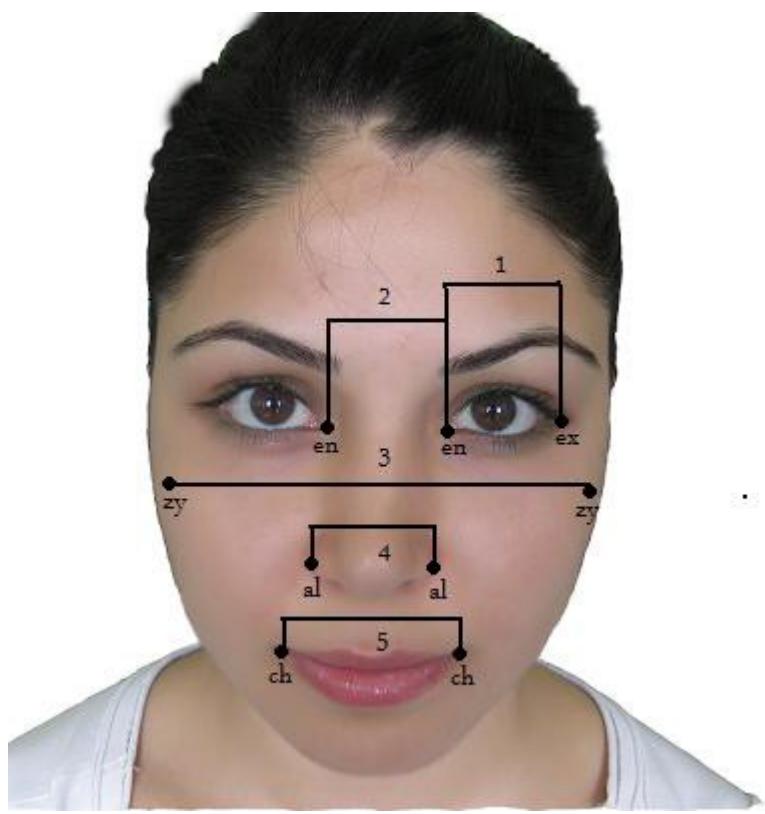
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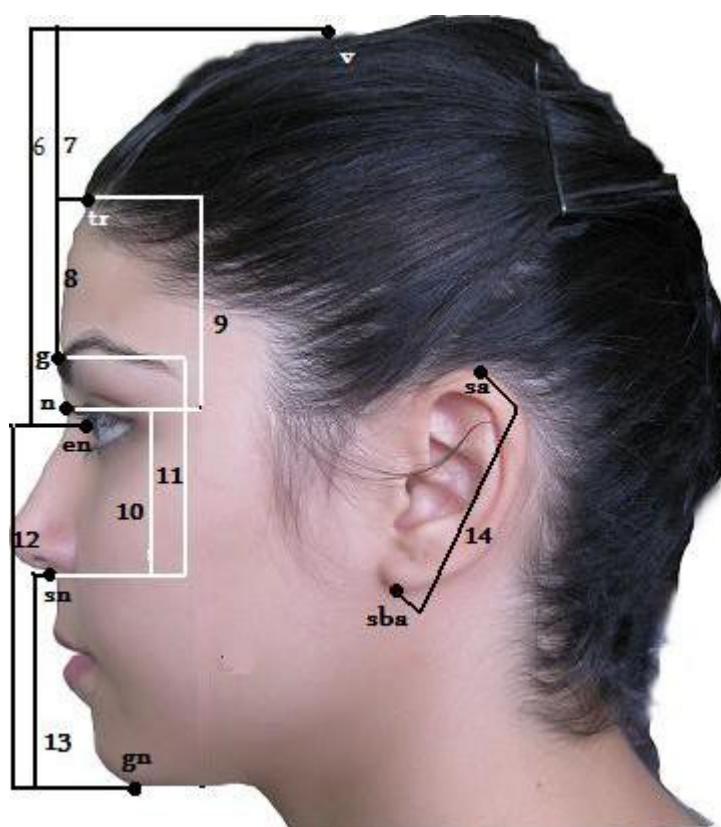
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## **FIGURE LEGENDS**

**Figure 1:** Anthropometric landmarks (1-5)

**Figure 2:** Anthropometric landmarks (5-14)





**Table I** Craniofacial anthropometric landmarks

NO	Measurement Names	Landmarks	
1	Eye-Fissure Width	exocanthion- endocanthion	ex-en
2	Intercanthal Distance	endocanthion-endocanthion	en-en
3	Upper Facial Width	zygion-zygion	zy-zy
4	Nose Width	alare-alare	al-al
5	Mouth Width	cheilion-cheilion	ch-ch
6	Special Head Height	vertex-endocanthion	v- en
7	Height of Calvarium	vertex-trichion	v-tr
8	Forehead Height I	trichion-glabella	tr-g
9	Forehead Height II	trichion-nasion	tr-n
10	Nose Length	nasion-subnasale	n-sn
11	Special Upper Face Height	glabella-subnasale	g-sn
12	Special Face Height	endocantion-gnathion	en-gn
13	Lower Face Height	subnasale- gnathion	sn-gn
14	Ear Length	superaurale- subaurale	sa-sba



**Table II. The results of the craniofacial anthropometric measurements and independent samples t test results between sexes.**

NO	Measurements (mm)	Females (n=100)				Males (n=100)				P Value*
		Min.	Max.	Mean	SD	Min.	Max.	Mean	SD	
1	<b>ex-en (left)</b>	28.50	38.21	33.39	1.84	27.01	38.35	33.91	2.30	<0.082
2	<b>en-en</b>	26.95	38.07	31.86	2.36	27.53	41.44	33.17	2.79	<sup>a</sup> <0.000
3	<b>zy-zy</b>	111.19	141.58	127.20	6.54	115.06	145.45	129.06	7.08	<0.055
4	<b>al-al</b>	24.22	39.57	32.32	2.71	28.94	40.85	35.15	2.92	<sup>a</sup> <0.000
5	<b>ch-ch</b>	39.87	64.74	48.88	3.92	43.09	62.24	51.55	4.06	<sup>a</sup> <0.000
6	<b>v-en</b>	78.12	123.31	101.56	9.86	85.09	137.22	109.70	10.81	<sup>a</sup> <0.000
7	<b>v-tr</b>	15.47	47.93	29.04	7.63	10.47	58.59	33.32	11.47	<sup>a</sup> <0.002
8	<b>tr-g</b>	36.15	69.17	51.29	7.57	29.76	84.74	52.72	9.60	<0.224
9	<b>tr-n</b>	46.15	93.61	66.93	8.13	42.71	95.76	68.80	9.61	<0.140
10	<b>n-sn</b>	42.78	60.27	50.36	3.74	40.07	62.92	53.14	4.41	<sup>a</sup> <0.000
11	<b>g-sn</b>	58.03	79.84	69.21	4.51	61.42	87.60	73.46	5.13	<sup>a</sup> <0.000
12	<b>en-gn</b>	93.66	119.85	104.05	5.36	96.33	129.67	113.17	6.50	<sup>a</sup> <0.000
13	<b>sn-gn</b>	45.33	76.64	63.44	5.88	56.57	81.40	70.54	5.58	<sup>a</sup> <0.000
14	<b>sa-sba</b>	49.39	70.55	58.81	4.29	50.56	70.79	61.49	4.80	<sup>a</sup> <0.000

\* Independent t-test, (p<0.05)

<sup>a</sup> Statistically significant difference.



**Table III.** The neoclassical facial canon measurements in Turkish adults

<b>Neoclassical Canon Category</b>	<b>Females (n=100) %</b>	<b>Males (n=100) %</b>
<b>Vertical</b>		
v-en=en-gn	8	4
v-en>en-gn	36	39
v-en<en-gn	56	57
<b>Vertical</b>		
tr-n=n-sn=sn-gn	0	0
n-sn<sn-gn	96	100
tr-n>n-sn	95	95
tr-n<sn-gn	35	59
tr-n>sn-gn	57	36
<b>Horizontal</b>		
v-tr=tr-g=g-sn=sn-gn	0	0
v-tr<tr-g	97	85
v-tr>tr-g	3	13
v-tr<g-sn	100	100
v-tr<sn-gn	100	99
tr-g<sn-gn	92	96
g-sn>sn-gn	71	65
g-sn<sn-gn	21	25
<b>Naso-aural</b>		
n-sn=sa-sba	1	4
n-sn>sa-sba	3	6
n-sn<sa-sba	96	90
<b>Naso-orbital</b>		
en-en=al-al	30	21
en-en>al-al	29	20
en-en<al-al	41	58
<b>Orbital</b>		
en-en=ex-en	25	23
en-en>ex-en	21	28
en-en<ex-en	54	49
<b>Naso-oral</b>		
ch-ch=1.5 (al-al)	17	17
ch-ch>1.5 (al-al)	40	31
ch-ch<1.5 (al-al)	43	52
<b>Naso-facial</b>		
al-al=0.25 (zy-zy)	33	19
al-al>0.25 (zy-zy)	39	73
al-al<0.25 (zy-zy)	28	8

