

# Chapter 8: Main Memory

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# Background

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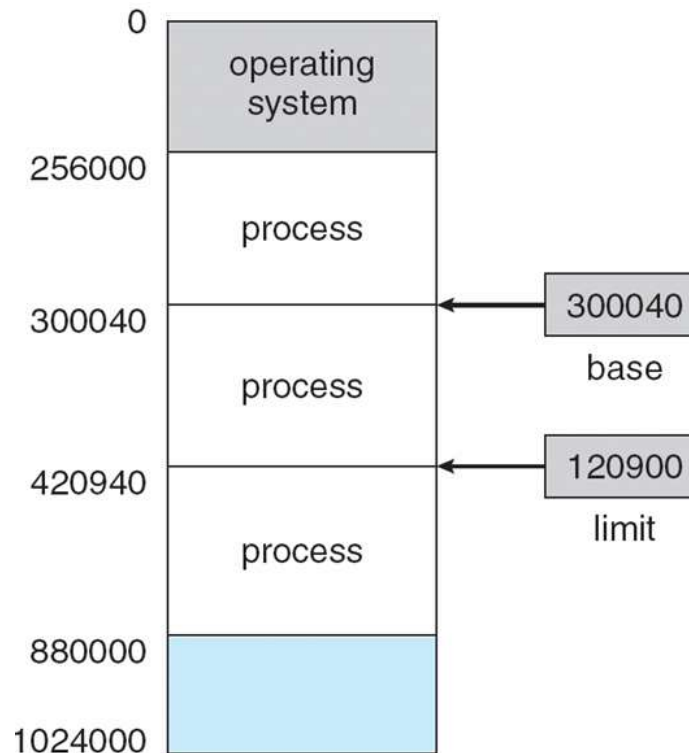
- Program must be brought (from disk) into memory and placed within a process for it to be run
- Main memory and registers are only storage that CPU can access directly
- Memory unit only sees a stream of addresses + read requests, or address + data and write requests
- Register access in one CPU clock (or less)
- Main memory can take many cycles, causing a **stall**
- **Cache** sits between main memory and CPU registers
- Protection of memory required to ensure correct operation





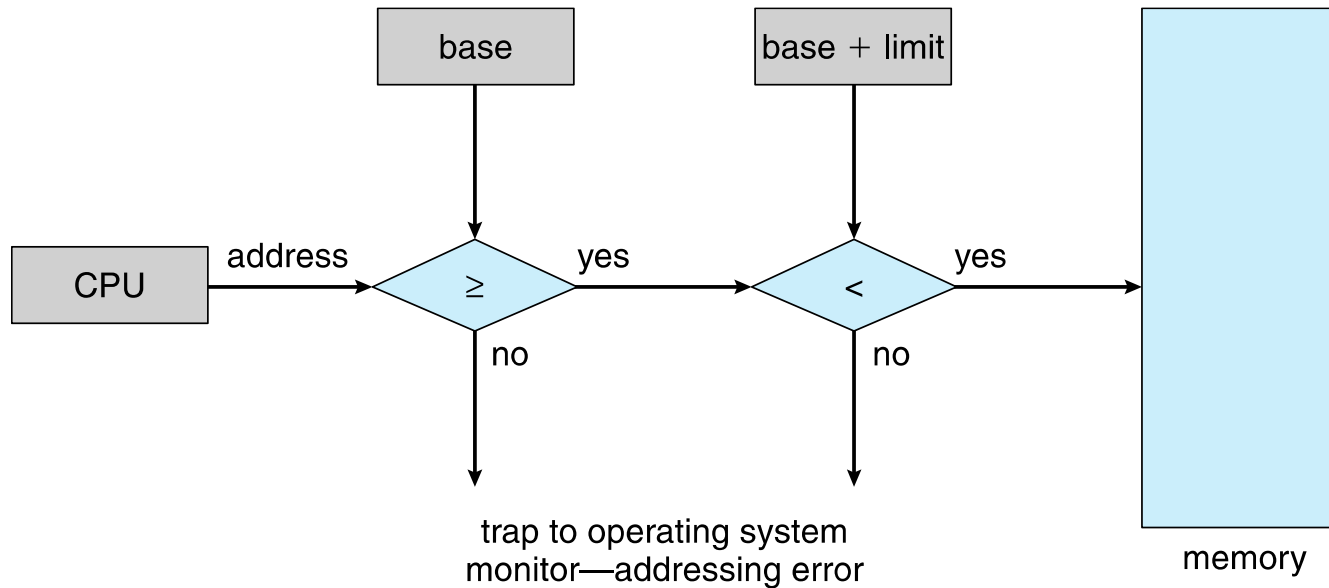
# Base and Limit Registers

- A pair of **base** and **limit registers** define the logical address space
- CPU must check every memory access generated in user mode to be sure it is between base and limit for that user





# Hardware Address Protection





# Address Binding

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- Programs on disk, ready to be brought into memory to execute form an **input queue**
  - Without support, must be loaded into address 0000
- Inconvenient to have first user process physical address always at 0000
  - How can it not be?
- Further, addresses represented in different ways at different stages of a program's life
  - Source code addresses usually symbolic
  - Compiled code addresses **bind** to relocatable addresses
    - ▶ i.e. “14 bytes from beginning of this module”
  - Linker or loader will bind relocatable addresses to absolute addresses
    - ▶ i.e. 74014
  - Each binding maps one address space to another





# Compiler, Linker, Loader

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- A compiler reads, analyses and translates code into either an object file or a list of error messages.
- A linker combines one or more object files and possibly some library code into either some executable, some library or a list of error messages.
- A loader reads the executable code into memory, does some address translation and tries to run the program resulting in a running program or an error message (or both).





# Binding of Instructions and Data to Memory

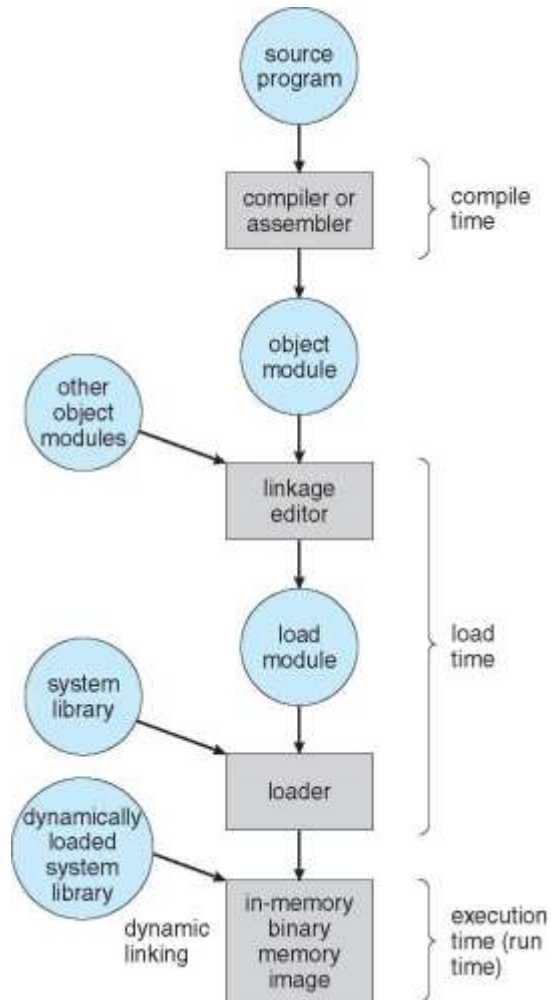
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- Address binding of instructions and data to memory addresses can happen at three different stages
  - **Compile time:** If memory location known a priori, **absolute code** can be generated; must recompile code if starting location changes
  - **Load time:** Must generate **relocatable code** if memory location is not known at compile time
  - **Execution time:** Binding delayed until run time if the process can be moved during its execution from one memory segment to another
    - ▶ Need hardware support for address maps (e.g., base and limit registers)





# Multistep Processing of a User Program



Compiler converts source code to Assembly Code. You now have a object file.

Linker links the compiled code with libraries and load it into memory.

Running process has base address.







# Logical vs. Physical Address Space

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- The concept of a logical address space that is bound to a separate **physical address space** is central to proper memory management
  - **Logical address** – generated by the CPU; also referred to as **virtual address**
  - **Physical address** – address seen by the memory unit
- Logical and physical addresses are the same in compile-time and load-time address-binding schemes; logical (virtual) and physical addresses differ in execution-time address-binding scheme
- **Logical address space** is the set of all logical addresses generated by a program
- **Physical address space** is the set of all physical addresses generated by a program





# Memory-Management Unit (MMU)

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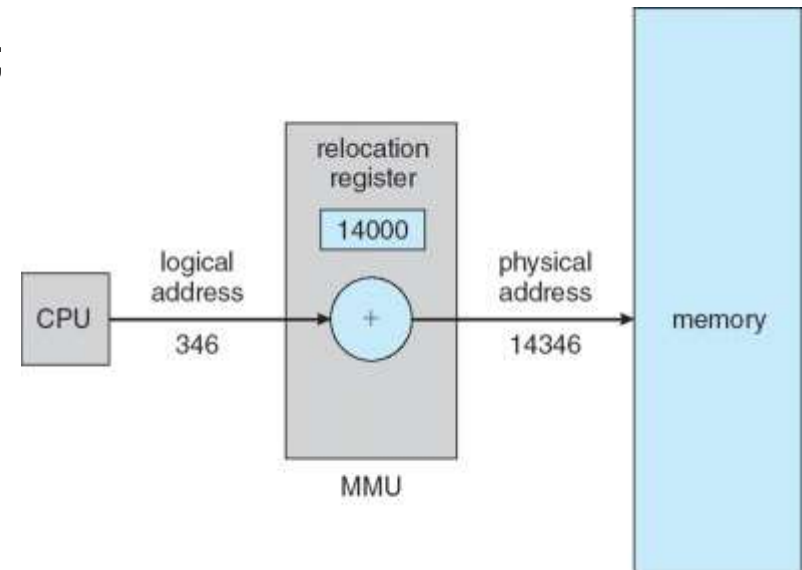
- Hardware device that at run time maps virtual to physical address
- Many methods possible, covered in the rest of this chapter
- To start, consider simple scheme where the value in the relocation register is added to every address generated by a user process at the time it is sent to memory
  - Base register now called **relocation register**
  - MS-DOS on Intel 80x86 used 4 relocation registers
- The user program deals with *logical* addresses; it never sees the *real* physical addresses
  - Execution-time binding occurs when reference is made to location in memory
  - Logical address bound to physical addresses





# Dynamic relocation using a relocation register

- Routine is not loaded until it is called
- Better memory-space utilization; unused routine is never loaded
- All routines kept on disk in relocatable load format
- Useful when large amounts of code are needed to handle infrequently occurring cases
- No special support from the operating system is required
  - Implemented through program design
  - OS can help by providing libraries to implement dynamic loading





# Dynamic Linking

- **Static linking** – system libraries and program code combined by the loader into the binary program image
- Dynamic linking –linking postponed until execution time
- Small piece of code, **stub**, used to locate the appropriate memory-resident library routine
- Stub replaces itself with the address of the routine, and executes the routine
- Operating system checks if routine is in processes' memory address
  - If not in address space, add to address space
- Dynamic linking is particularly useful for libraries
- System also known as **shared libraries**
- Consider applicability to patching system libraries
  - Versioning may be needed





# Swapping

- A process can be **swapped** temporarily out of memory to a backing store, and then brought back into memory for continued execution
  - Total physical memory space of processes can exceed physical memory
- **Backing store** – fast disk large enough to accommodate copies of all memory images for all users; must provide direct access to these memory images
- **Roll out, roll in** – swapping variant used for priority-based scheduling algorithms; lower-priority process is swapped out so higher-priority process can be loaded and executed
- Major part of swap time is transfer time; total transfer time is directly proportional to the amount of memory swapped
- System maintains a **ready queue** of ready-to-run processes which have memory images on disk





# Swapping (Cont.)

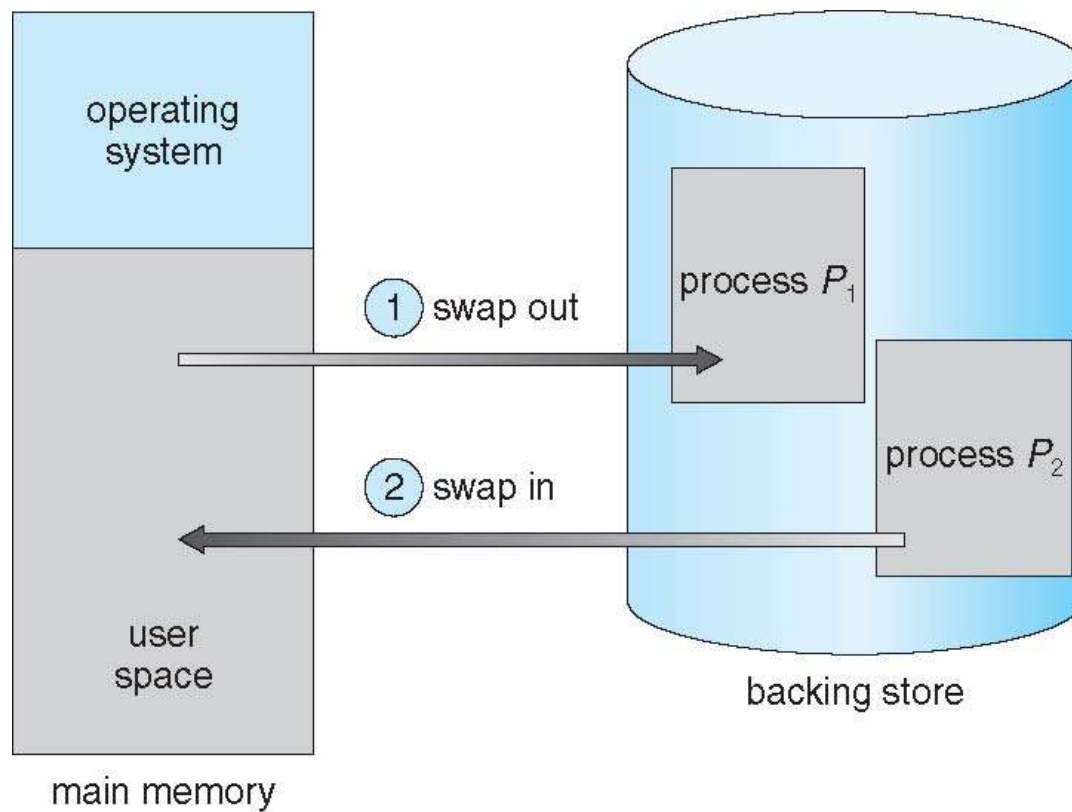
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- Does the swapped out process need to swap back in to same physical addresses?
- Depends on address binding method
  - Plus consider pending I/O to / from process memory space
- Modified versions of swapping are found on many systems (i.e., UNIX, Linux, and Windows)
  - Swapping normally disabled
  - Started if more than threshold amount of memory allocated
  - Disabled again once memory demand reduced below threshold





# Schematic View of Swapping





# Contiguous Allocation

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- Main memory must support both OS and user processes
- Limited resource, must allocate efficiently
- Contiguous allocation is one early method
- Main memory usually into two **partitions**:
  - Resident operating system, usually held in low memory with interrupt vector
  - User processes then held in high memory
  - Each process contained in single contiguous section of memory







# Contiguous Allocation (Cont.)

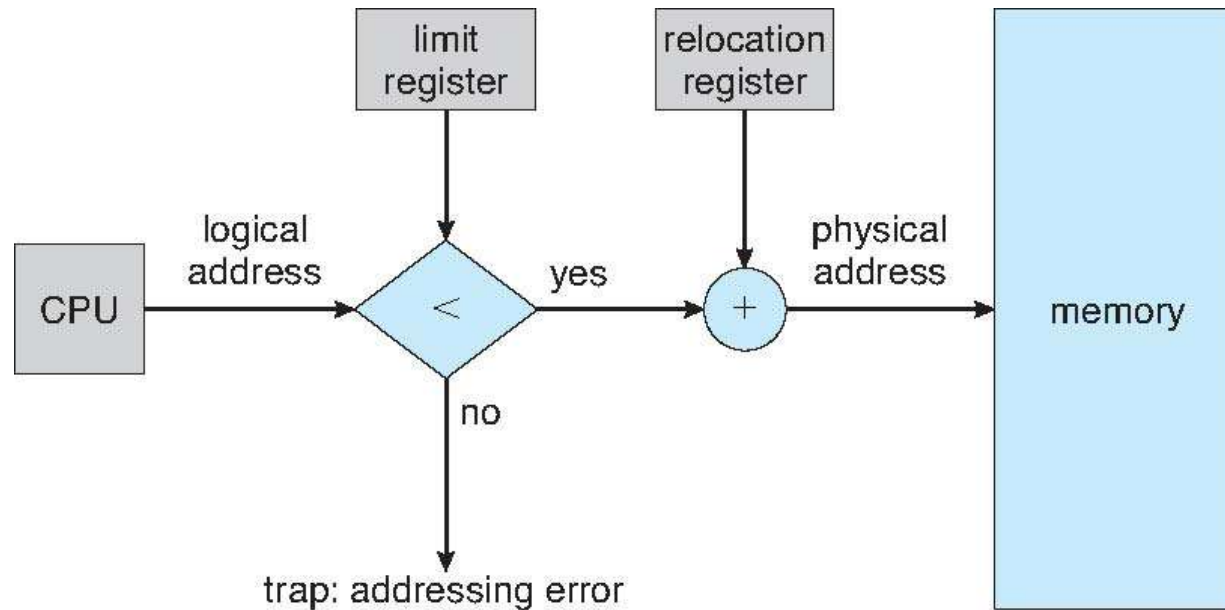
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- Relocation registers used to protect user processes from each other, and from changing operating-system code and data
  - Base register contains value of smallest physical address
  - Limit register contains range of logical addresses – each logical address must be less than the limit register
  - MMU maps logical address *dynamically*





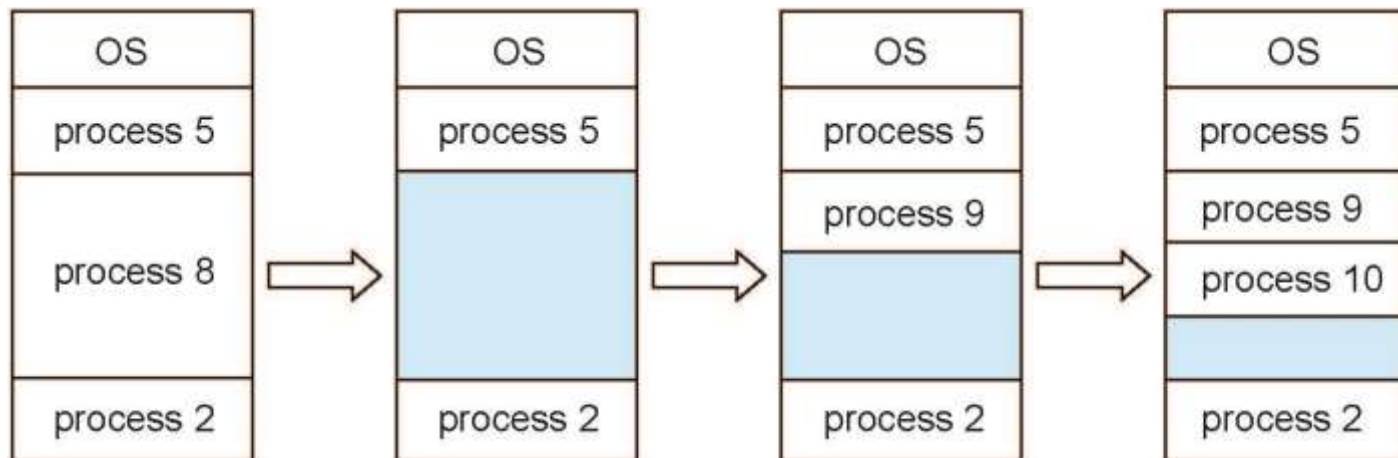
# Hardware Support for Relocation and Limit Registers





# Multi programming with fixed tasks(MFT)

- fixed-partition allocation
  - Degree of multiprogramming limited by number of partitions
  - **Variable-partition** sizes for efficiency (sized to a given process' needs)
  - **Hole** – block of available memory; holes of various size are scattered throughout memory
  - When a process arrives, it is allocated memory from a hole large enough to accommodate it
  - Process exiting frees its partition
  - Operating system maintains information about:
    - a) allocated partitions
    - b) free partitions (hole)

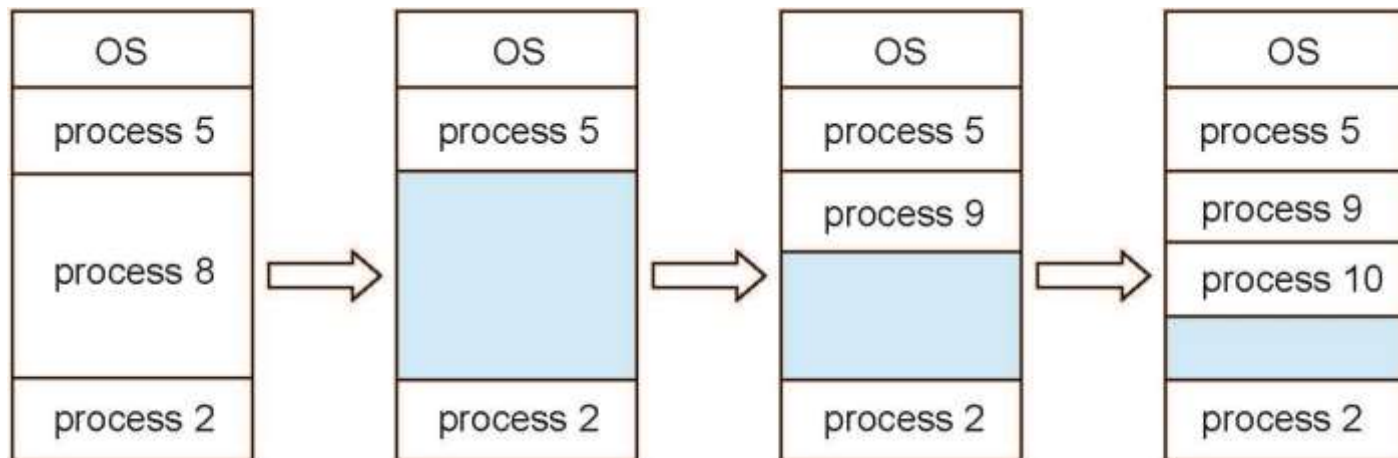




# Multi programming with variable number of tasks

## ■ Multiple-partition allocation

- As jobs come, the partitions are dynamically created as per need.
- Process exiting frees its partition, adjacent free partitions combined
- External fragmentation might still occur.
- If total available space is enough for a job then compaction is done.





# Dynamic Storage-Allocation Problem

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How to satisfy a request of size  $n$  from a list of free holes?

- **First-fit**: Allocate the **first** hole that is big enough
- **Best-fit**: Allocate the **smallest** hole that is big enough; must search entire list, unless ordered by size
  - Produces the smallest leftover hole
- **Worst-fit**: Allocate the **largest** hole; must also search entire list
  - Produces the largest leftover hole

First-fit and best-fit better than worst-fit in terms of speed and storage utilization





# Fragmentation

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- **External Fragmentation** – total memory space exists to satisfy a request, but it is not contiguous
- **Internal Fragmentation** – allocated memory may be slightly larger than requested memory; this size difference is memory internal to a partition, but not being used
- First fit analysis reveals that given  $N$  blocks allocated,  $0.5 N$  blocks lost to fragmentation





# Fragmentation (Cont.)

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- Reduce external fragmentation by **compaction**
  - Shuffle memory contents to place all free memory together in one large block
  - **compaction is possible *only* if relocation is dynamic, and is done at execution time**
  - I/O problem
    - ▶ Latch job in memory while it is involved in I/O
    - ▶ Do I/O only into OS buffers
- Now consider that backing store has same fragmentation problems





# Segmentation

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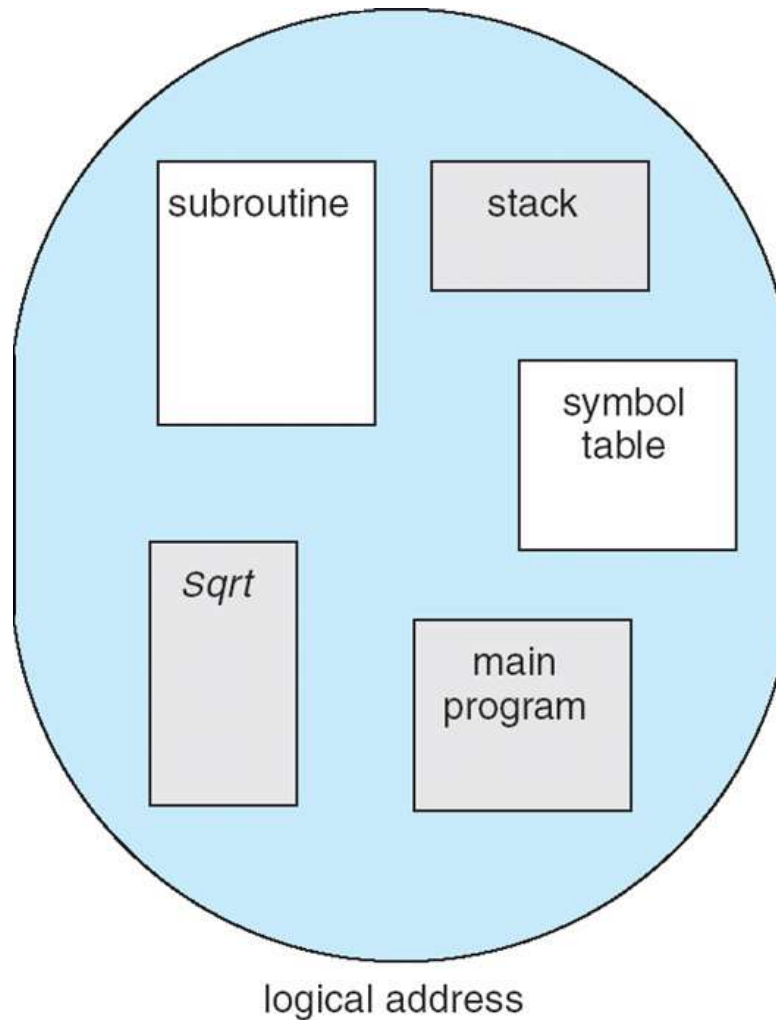
- Memory-management scheme that supports user view of memory
- A program is a collection of segments
  - A segment is a logical unit such as:
    - main program
    - procedure
    - function
    - method
    - object
    - local variables, global variables
    - common block
    - stack
    - symbol table
    - arrays





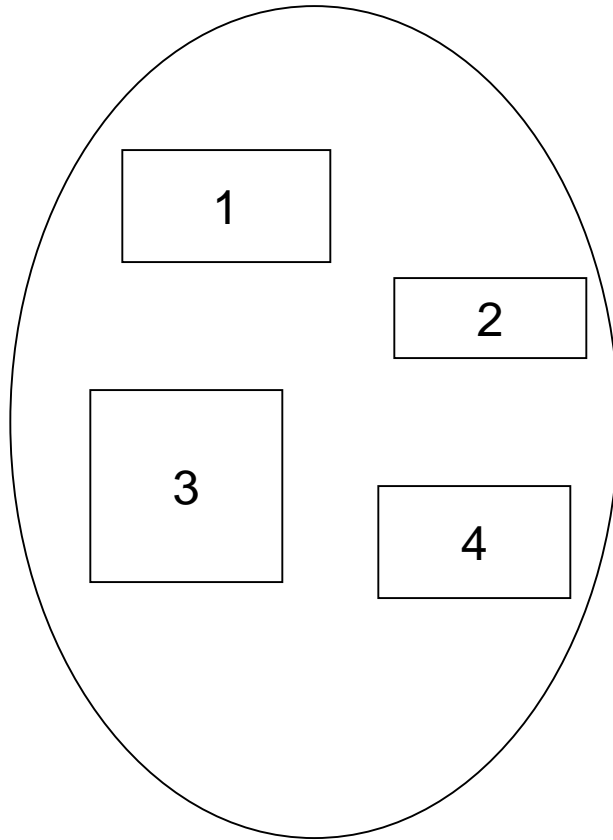


# User's View of a Program\*\* what is symbol table. Adv of segment

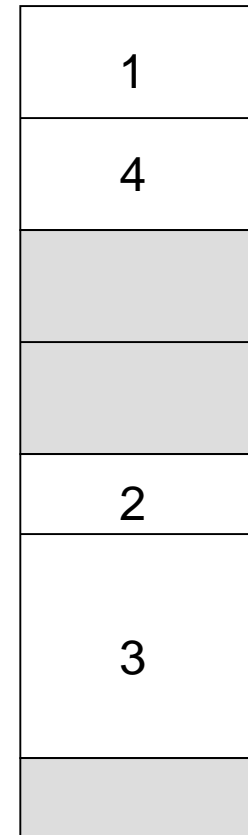




# Logical View of Segmentation



user space



physical memory space





# Segmentation Architecture

- Logical address consists of a two tuple:  
    <segment-number, offset>,
- **Segment table** – maps two-dimensional physical addresses; each table entry has:
  - **base** – contains the starting physical address where the segments reside in memory
  - **limit** – specifies the length of the segment
- **Segment-table base register (STBR)** points to the segment table's location in memory
- **Segment-table length register (STLR)** indicates number of segments used by a program;  
    segment number **s** is legal if **s** < **STLR**





# Segmentation Architecture (Cont.)

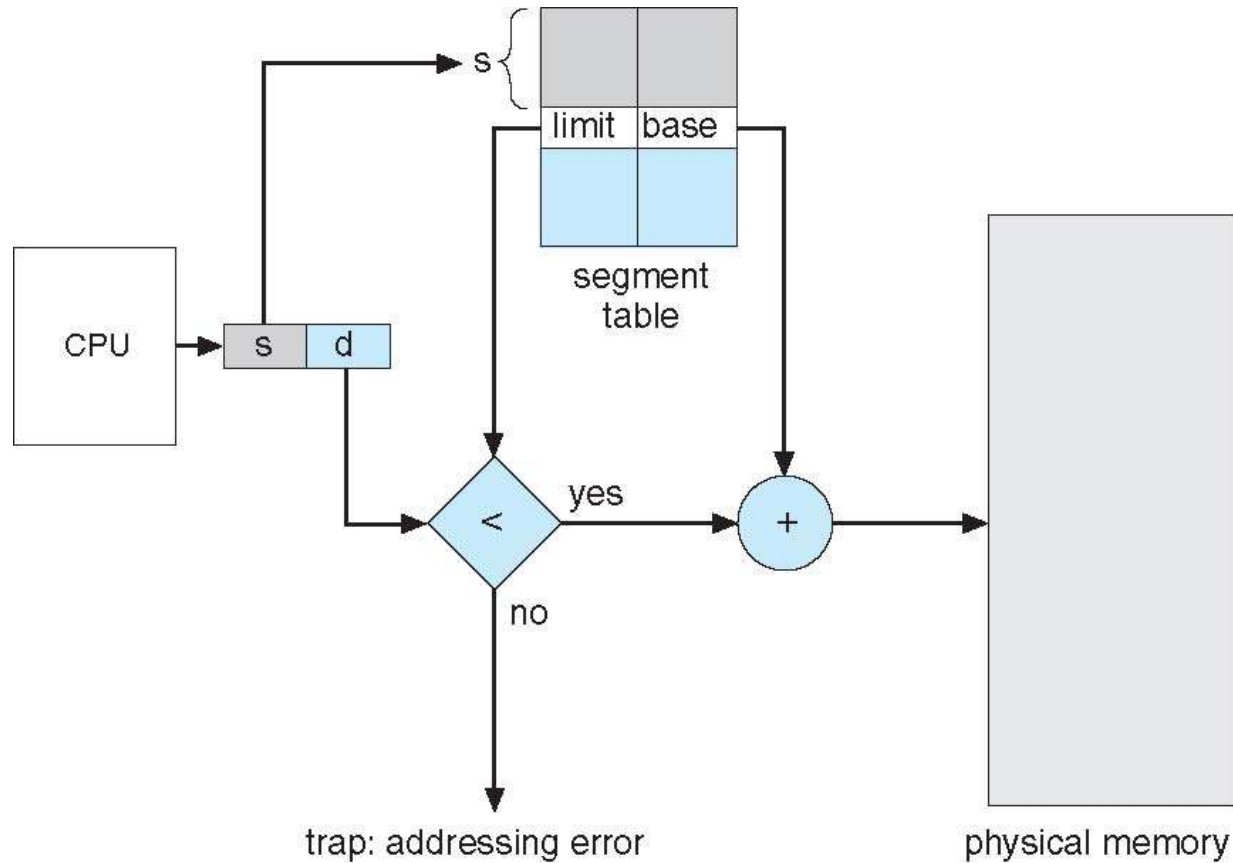
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- Protection
  - With each entry in segment table associate:
    - ▶ validation bit = 0  $\Rightarrow$  illegal segment
    - ▶ read/write/execute privileges
- Protection bits associated with segments; code sharing occurs at segment level
- Since segments vary in length, memory allocation is a dynamic storage-allocation problem
- A segmentation example is shown in the following diagram





# Segmentation Hardware





# Segmentation Drawback

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- Allows non-contiguous memory but Suffers from external fragmentation
- Hence need for Compaction
- Needs to fit memory chunks of varying sizes onto the backing store where backing store has to now do compaction too which is very slow.

Alternative: Paging

- Allows non-contiguous memory but has no external fragmentation and hence no need for Compaction





# Paging

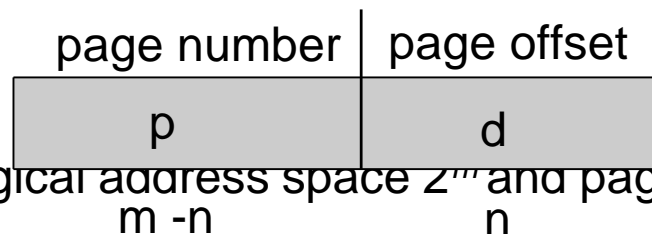
- Physical address space of a process can be noncontiguous; process is allocated physical memory whenever the latter is available
  - Avoids external fragmentation
  - Avoids problem of varying sized memory chunks
- Divide physical memory into fixed-sized blocks called **frames**
  - Size is power of 2, between 512 bytes and 16 Mbytes
- Divide logical memory into blocks of same size called **pages**
- Keep track of all free frames
- To run a program of size  **$N$**  pages, need to find  **$N$**  free frames and load program
- Set up a **page table** to translate logical to physical addresses
- Backing store likewise split into pages
- Still have Internal fragmentation





# Logical Space : Paging

- If size of logical address space is  $2^m$  bytes and page size is  $2^n$  bytes then,  
Address generated by CPU is divided into:
  - **Page number** ( $p$ ) – used as an index into a **page table** which contains base address of each page in physical memory
  - **Page offset** ( $d$ ) – combined with base address to define the physical memory address that is sent to the memory unit



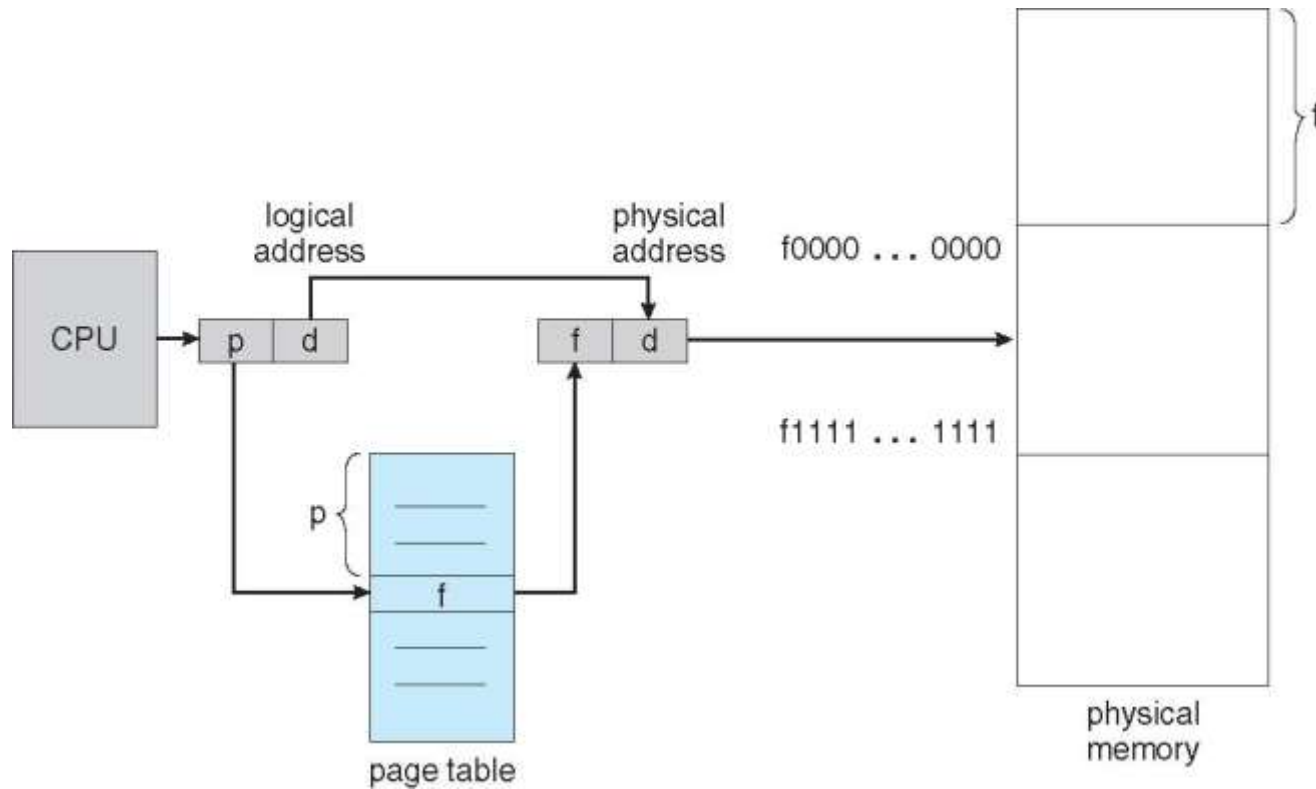
- For given logical address space  $2^m$  and page size  $2^n$





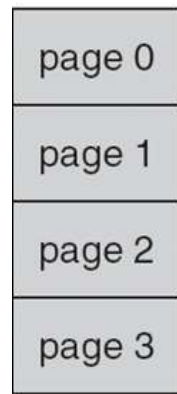


# Paging Hardware





# Paging Model of Logical and Physical Memory

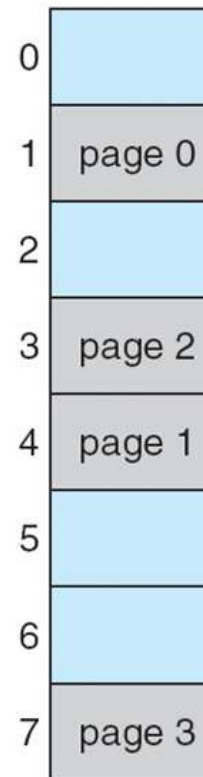


logical  
memory

0	1
1	4
2	3
3	7

page table

frame  
number



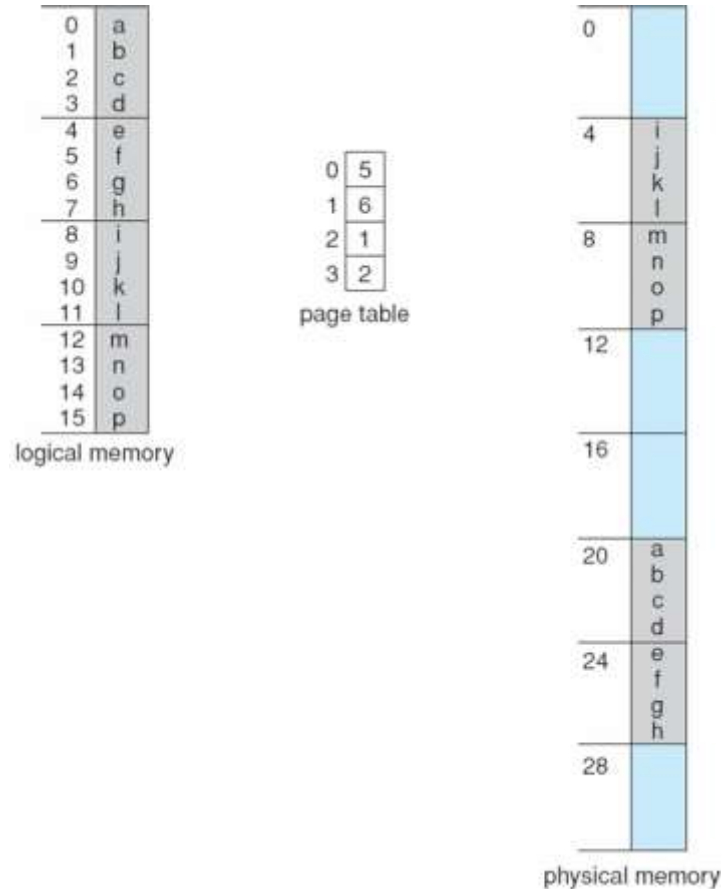
physical  
memory

Logical sapce  $2^4$  bytes  
page/frame size  $2^2$  bytes  
Hence 4 pages in logical space  
physical memory  $2^5$  bytes  
Hence 8 pages in physical space





# Paging Example



$N=2(\text{page/frame size})$  and  $m=4(\text{logical mem size})$  32-byte memory and 4-byte pages





# Paging (Cont.)

- Calculating internal fragmentation
  - Page size = 2,048 bytes
  - Process size = 72,766 bytes
  - 35 pages + 1,086 bytes
  - Internal fragmentation of  $2,048 - 1,086 = 962$  bytes
  - Worst case fragmentation = 1 frame – 1 byte
  - On average fragmentation =  $1 / 2$  frame size
  - So small frame sizes desirable?
  - But each page table entry takes memory to track
  - Page sizes growing over time
    - ▶ Solaris supports two page sizes – 8 KB and 4 MB
- Process view and physical memory now very different
- By implementation process can only access its own memory





# Paging (Cont.)

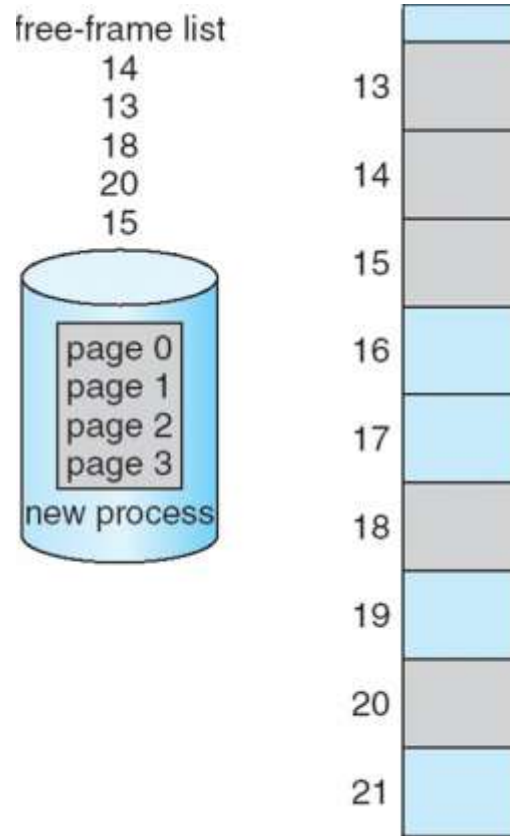
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- No external fragmentation
- Internal fragmentation present



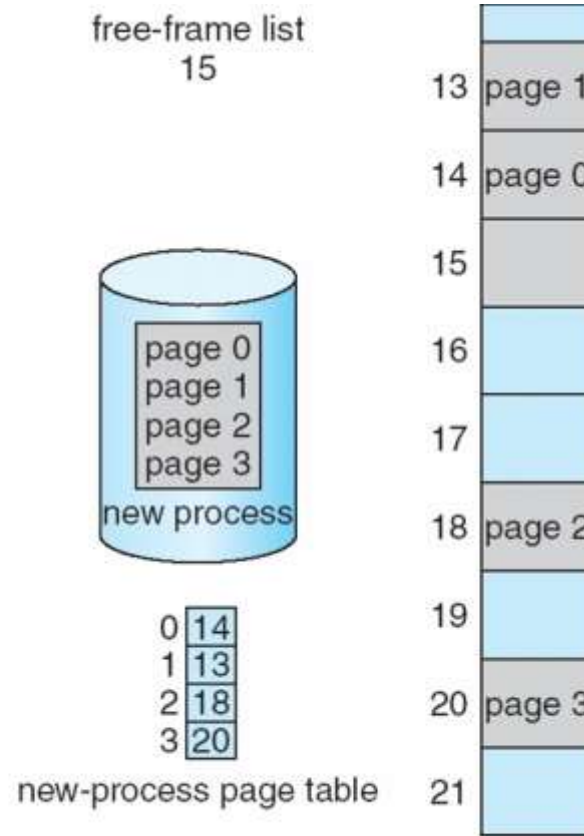


# Free Frames



(a)

Before allocation



(b)

After allocation





# Implementation of Page Table

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- Page table is kept in main memory
- **Page-table base register (PTBR)** points to the page table
- **Page-table length register (PTLR)** indicates size of the page table
- In this scheme every data/instruction access requires two memory accesses
  - One for the page table and one for the data / instruction
- The two memory access problem can be solved by the use of a special fast-lookup hardware cache called **associative memory** or **translation look-aside buffers (TLBs)**





# Shared Pages

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## ■ Shared code

- One copy of read-only (**reentrant**) code shared among processes (i.e., text editors, compilers, window systems)
- Similar to multiple threads sharing the same process space
- Also useful for interprocess communication if sharing of read-write pages is allowed

## ■ Private code and data

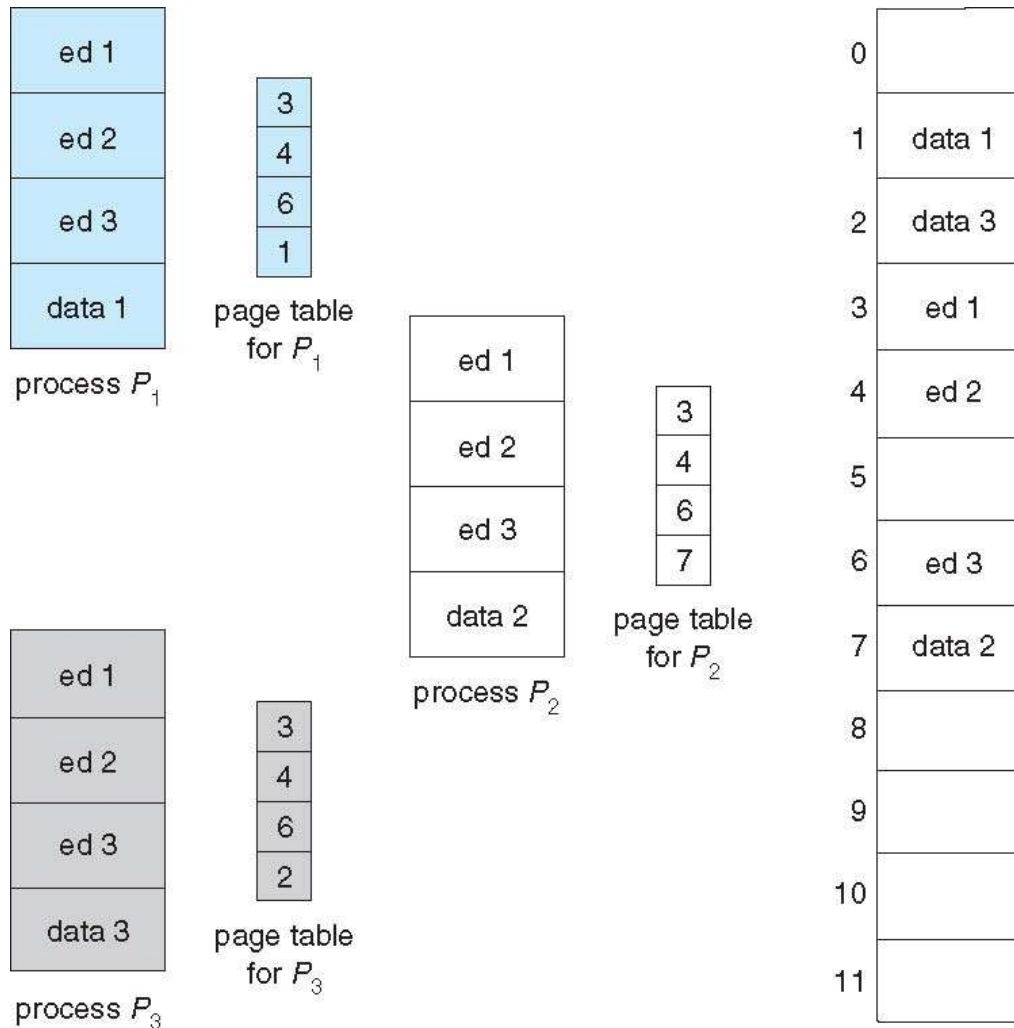
- Each process keeps a separate copy of the code and data
- The pages for the private code and data can appear anywhere in the logical address space







# Shared Pages Example





# Disadvantage of paging and segmentation

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- Paging :No external fragmentation but internal fragmentation present

Disadv: causes a loss in the logical structure of the job as data broken up

Lengthy page table search

- Segmentation: Every partition is modular making maintenance and debugging easier.

No internal fragmentation

Disadv: -Requires variable sized chunks to fit

-Limited option to swap to disk since a swap now requires swapping a whole segment out. Paging provides greater flexibility with swapping.

-No internal fragmentation but external fragmentation present that can be solved through compaction.





# Paged Segmented memory management

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- Map a logical segment onto multiple page frames by paging the segments
- Segment table contains not base address of segment but base address of segments page table. Segment offset refers to page number and an offset on the page.
- Combines the ease of sharing and shorter segment tables from segments with efficient memory utilization we get from pages

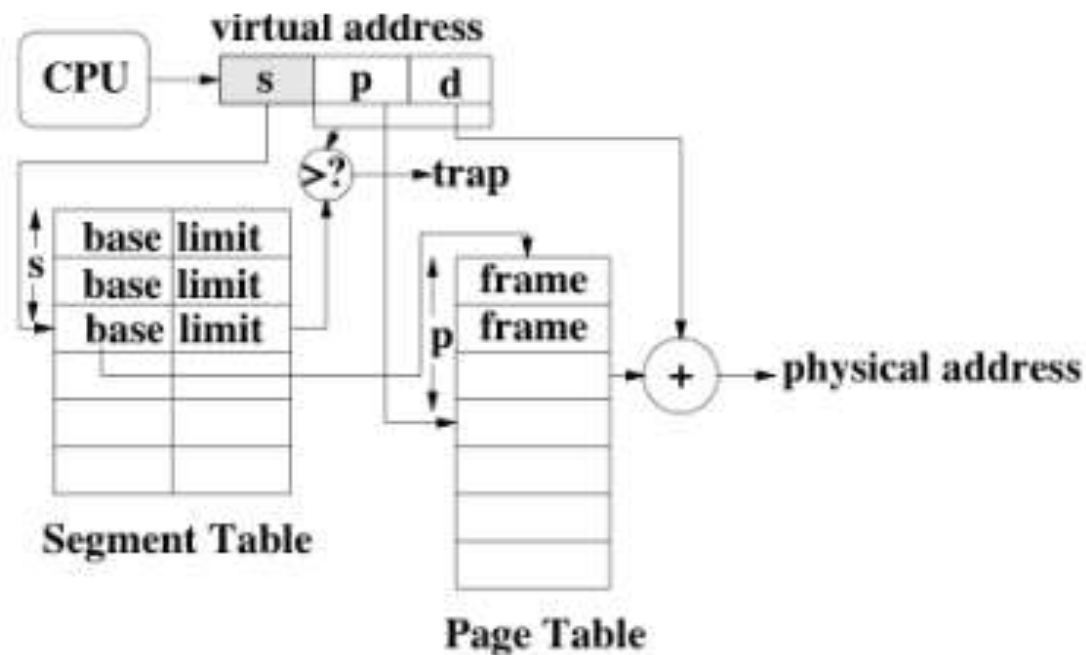




- A virtual address becomes a segment number, a page within that segment, and an offset within the page.
- The segment number indexes into the segment table which yields the base address of the page table for that segment.
- Check the remainder of the address (page number and offset) against the limit of the segment.
- Use the page number to index the page table. The entry is the frame. (The rest of this is just like paging.)
- Add the frame and the offset to get the physical address



# Addresses in a Segmented Paging System





# Adv and Disadv of paged segmentation

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- easy memory allocation, any frame can be used
- sharing at either the page or segment level
- increased internal fragmentation over paging
- two lookups per memory reference





- 32 bit, byte addressed machine means that there are  $2^{32}$  possible addresses. So the array of  $2^{32}$  addresses can be of type byte or bit or word(12 bits). Most modern computers are byte addressed. Hence we have  $2^{32}$  bytes = 4GB

