

{ Shannon, Fano, Huffman }.

Now we know  $L \geq H_D(X)$ ,

but we have to prove

$$H_D(X) \leq L \leq H_D(X) + 1$$

$l_i \geq -\log_D p(x_i)$  } may not be an integer.

$\Rightarrow l_i \geq \lceil -\log_D p(x_i) \rceil$  } for opt:  $l_i = \lceil -\log_D p(x_i) \rceil$

$$\begin{aligned} \sum_i D^{-l_i} &= \sum_i D^{-\lceil -\log_D p(x_i) \rceil} \\ &\leq \sum_i D^{-(-\log_D p(x_i))} = \sum_i p(x_i) = 1 \end{aligned}$$

The code with  $l_i = \lceil -\log_D p(x_i) \rceil$  is called Shannon code

The above proof shows that such code is possible ( $\sum p^{-l_i} \leq 1$ )

$$-\log_D p(x_i) \leq l_i \leq -\log_D p(x_i) + 1$$

$$E[-\log_D p(x_i)] \leq E[l_i] \leq E[-\log_D p(x_i) + 1]$$

$$H_D(X) \leq L \leq H_D(X) + 1$$

$$x \leq \lceil x \rceil \leq x + 1$$

and

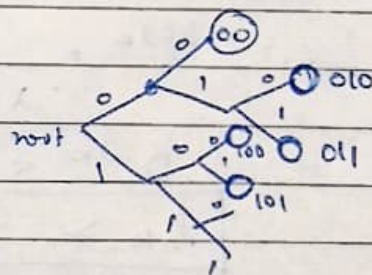
$$l_i = \lceil -\log_D p(x_i) \rceil$$

|         |       |      |      |      |      |
|---------|-------|------|------|------|------|
| Symbol: | A     | B    | C    | D    | E    |
| freq:   | 15    | 7    | 6    | 6    | 5    |
| Prob    | 15/39 | 7/39 | 6/39 | 6/39 | 5/39 |

$$-\log_2 p(x_i) \quad 1.379 \quad 2.480 \quad 2.7 \quad 2.7 \quad 2.963$$

$$\begin{aligned} \lceil -\log_2 p(x_i) \rceil & \quad 2 \quad 3 \quad 3 \quad 3 \quad 3 \\ & = l_i \end{aligned}$$

codewords: 00 010 011 100 101



$$\begin{aligned} H(X) &= 0.530 + 0.445 + 0.830 + 0.379 = 2.184 \\ L(X) &= \frac{30 + 21 + 36 + 15}{39} = \frac{102}{39} = \frac{34}{13} = 2.61 \end{aligned} \quad \left. \vphantom{\begin{aligned} H(X) &= 0.530 + 0.445 + 0.830 + 0.379 = 2.184 \\ L(X) &= \frac{30 + 21 + 36 + 15}{39} = \frac{102}{39} = \frac{34}{13} = 2.61 \end{aligned}} \right\} \text{check}$$



## Shannon Code

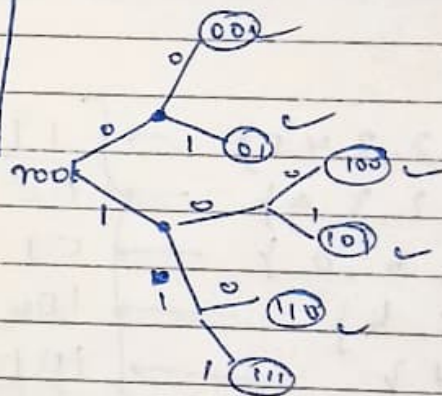
Suppose we have an alphabet  $(x_1, x_2, \dots, x_n)$  with prob.  $(p_1, p_2, \dots, p_n)$ . The desired codeword lengths are

$$l_i = \lceil -\log_2 p_i \rceil.$$

\* Construct Shannon code

| x              | 1    | 2    | 3    | 4     | 5     |
|----------------|------|------|------|-------|-------|
| $p(x)$         | 0.25 | 0.25 | 0.20 | 0.15  | 0.15  |
| $-\log_2 p(x)$ | 2    | 2    | 2.32 | 2.736 | 2.736 |
| $l_i$          | 2    | 2    | 3    | 3     | 3     |
| Code           | 00   | 01   | 100  | 101   | 110   |

| x | $p(x)$ |
|---|--------|
| 1 | 0.25   |
| 2 | 0.25   |
| 3 | 0.20   |
| 4 | 0.15   |
| 5 | 0.15   |



## ► Huffman Code:

Suppose there is an alphabet

(Step 1)  $A = \{x_1, x_2, \dots, x_n\}$ . Pick up

two  $x_i$  &  $x_j$  which have smallest

prob  $p_i$  &  $p_j$ . Create a subtree that has two characters  $x_i$  and  $x_j$  at leaves.

(Step 2) Set up new prob  $\rightarrow (ij)$   $x_{ij}$

$$p_{ij} = p_i + p_j$$

Remove  $x_i$  &  $x_j$  and add  $x_{ij}$

$$A' = A \cup \{x_{ij}\} = \{x_i, x_j\}.$$

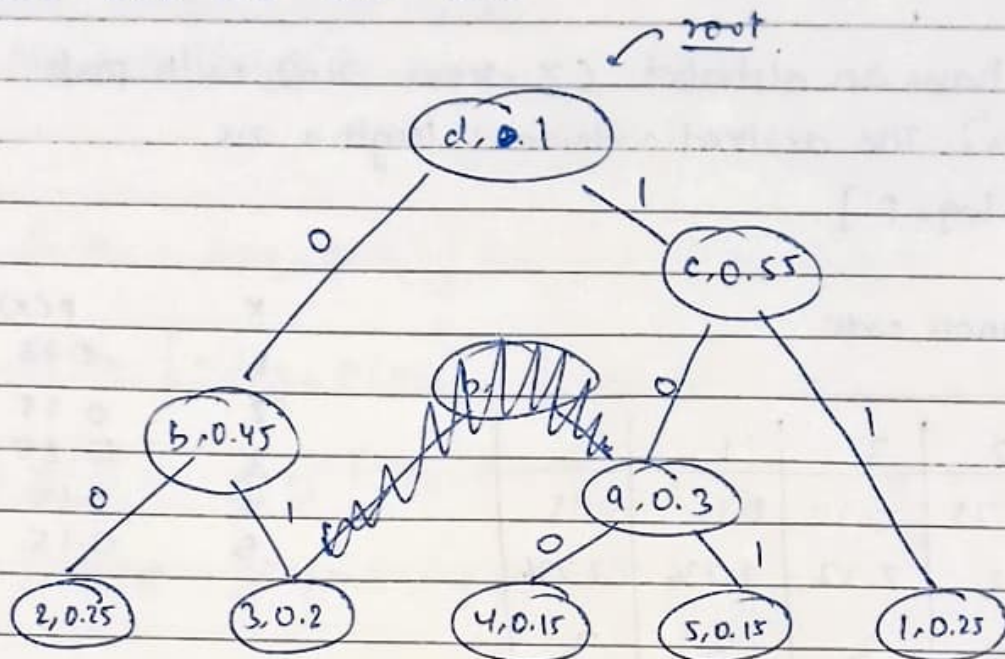
$$|A'| = |A| - 1$$

(Step 3) Repeat this merging until one symbol is left.

$$|A^{(n)}| = 1$$

Q Find Huffman code

|      |      |      |     |      |      |
|------|------|------|-----|------|------|
| x    | 1    | 2    | 3   | 4    | 5    |
| P(x) | 0.25 | 0.25 | 0.2 | 0.15 | 0.15 |



Step

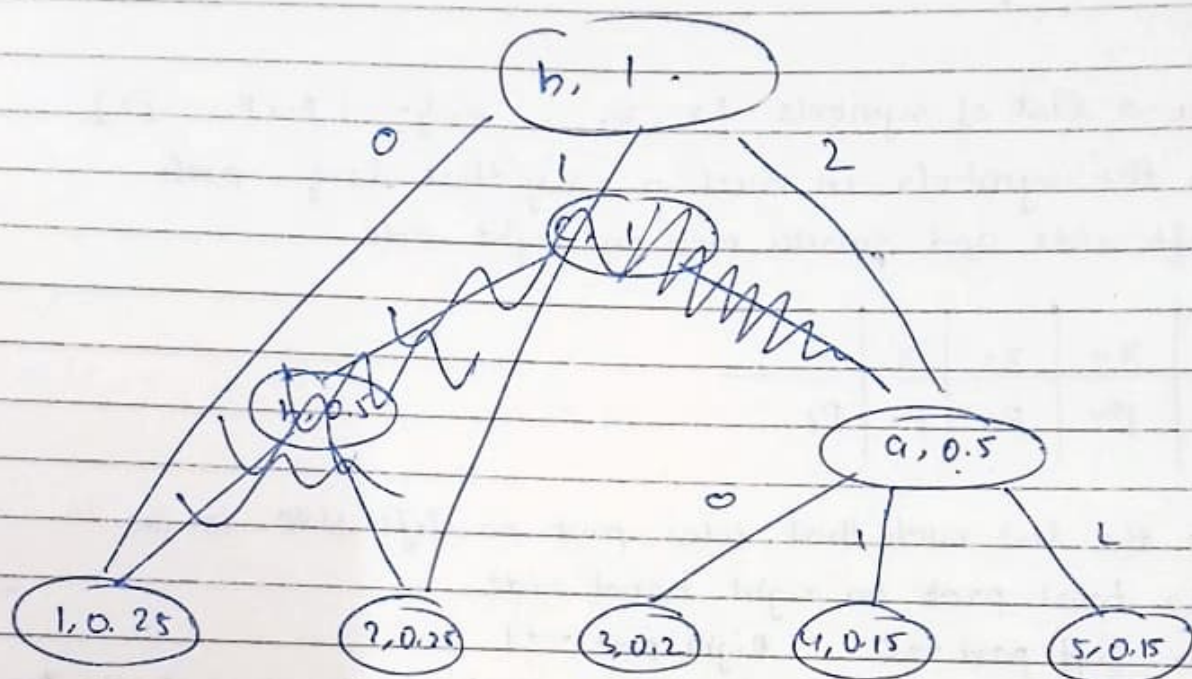
- |   |                 |   |         |
|---|-----------------|---|---------|
| 1 | {1, 2, 3, 4, 5} | → | 11 → ⑦  |
| 2 | {1, 2, 3, a}    | → | 00 → ⑧  |
| 3 | {1, b, a}       | → | 01 → ③  |
| 4 | {c, b}          | → | 100 → ⑨ |
| 5 | {d}             | → | 101 → ⑤ |

$$L(c) = \frac{2}{4} + \frac{2}{4} + \frac{2}{5} + \frac{3 \times 0.15 \times 2}{5}$$

$$= 1 + 0.4 + 0.9 = 2.3$$

$$\begin{array}{r} 3 \\ 15 \\ \times 6 \\ \hline 0.90 \end{array}$$

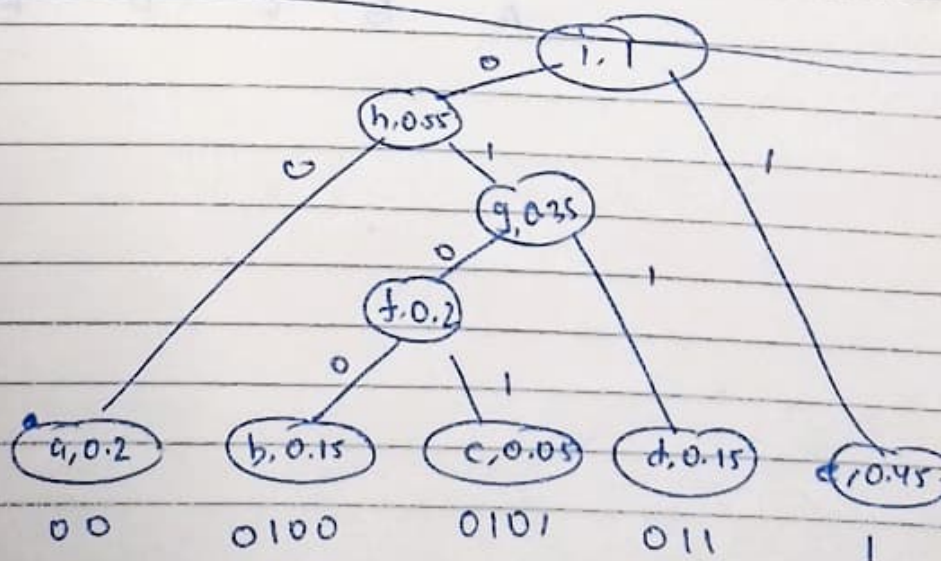




Step    A  
 1    {1, 2, 3, 4, 5}  
 2    {1, 2, a}  
 3    {b, c}  
 4    {d, e}  
 5    {f, g}

1 → 0  
 2 → 1  
 3 → 20  
 4 → 21  
 5 → 22

| A   | freq | P    |
|-----|------|------|
| a   | 20   | 0.2  |
| b   | 15   | 0.15 |
| c   | 5    | 0.05 |
| d   | 15   | 0.15 |
| e   | 45   | 0.45 |
| 100 |      |      |



## ► Fano code:

- ① → We have a list of symbols  $\{x_1, x_2, \dots, x_n\} \rightarrow \{p_1, p_2, \dots, p_n\}$ .
- ② → Divide the symbols in such a way that larger prob on left side and smaller prob on right side

| S | $x_4$ | $x_3$ | $x_1$ | $x_2$ |
|---|-------|-------|-------|-------|
| P | $p_4$ | $p_3$ | $p_1$ | $p_2$ |

- ③ → Divide the list such that total prob on left side is as close as total prob on right hand side.
- ④ → Assign Left part  $\rightarrow 0$  Right part  $\rightarrow 1$
- ⑤ → Apply Recursively  $\rightarrow$  Each symbol will have leaf code in tree.

| symbol | A     | B     | C     | D     | E     |
|--------|-------|-------|-------|-------|-------|
| prob.  | 0.385 | 0.179 | 0.154 | 0.154 | 0.128 |
|        | 0     |       |       | 1     |       |
|        | 0     | 1     | 0     | 1     |       |
|        |       |       |       | 0     | 1     |
|        | 00    | 01    | 10    | 110   | 111   |
|        | A     | B     | C     | D     | E     |