Project: Byzantine Chain Replication PHASE 1: PSEUDO-CODE

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# **Assumptions:**

- 1.) There exists only one olympus server, one client and 2t+1 replicas
- 2.) Clients sends its request for operations to head usually in failure free cases.
- 3.) Some of the initialization variables are statically defined in the classes
- 4.) Some of the functions are not defined assuming they are very basic to write

```
Class Client:
```

```
__init__main ():
    self.olympusId = //Statically defined
    self.current_config = get_configuration_from_olympus(self.olympusId)
    self.request_timer_map = {}
    self.clientId = get_clienId()
    // get_replicas_public_key() gets all replica's public keys from current config
    self.replica_public_keys = get_replicas_public_key(self.current_config)
    // This map allocates timer value to each request
    self.timer_threshold_map = {}
    self.requestId_to_request_map = {}
    start_listening_for_application_requests()
```

/\* This function is used to listen indefinitely to application requests, start the timer for them, check for their expiration and also send requests to head. It also generates threshold generates the threshold based on the request size \*/

```
def start_listening_for_application_requests ():
```

```
while True:
    check_liveness_of_current_config()
    check_for_all_timers()
    request = get_next_request_from_application()
    If request is not empty:
        requestId = generate_new_requestId()
        process_request(requestId, request)
```

/\* This function is used to process a new request on client side \*/
def process\_request (requestId, request):

```
timer = start_timer()
self.requestId_to_request_map[requestId] = request
self.request_timer_map[requestId] = timer
self.timer_threshold_map[requestId] = generate_threshold()
head = get_head_from_current_config()
```

send(request = "execute\_operation\_of\_client",
param={requestId, request, clientId}, to = head)

```
/* This function gets configuration object from the olympus using its id */ def get_configuration_from_olympus ():
```

// config object contains sequence no of config and ordered list of replicas info config = receive(request="get\_current\_config", to=self.olympusId) return config

/\* This function receives result of operation from tail and checks its validity, if found conflicting then transmit misbehaviour to olympus \*/

## def recieve\_result\_for\_the\_operation (requestId, result\_proof, result):

If requestId in self.request\_timer\_map:

/\* This function runs indefinitely and check for all existing timers if expired or not , if expired retransmits the operation to all replicas \*/

## def check\_for\_all\_timers ():

/\* Run a while loop and check for all timers , if expired retransmit to all replicas and if threshold of retries crossed drop request \*/ for each requestId in self.request timer map:

/\* This function retransmit the operation to all replicas in case of failures \*/

#### def retransmit\_to\_all\_replicas (request, requestld):

```
replicas = get_all_replicas_from_config(self.current_config)
for each replica in replicas:
    send(request = "execute_retransmitted_operation_of_client",
    param={requestId, request, self.clientId}, to = replica)
```

/\* This function checks for new config periodically and if a head is updated then retransmit pending operations \*/

### def check\_liveness\_of\_current\_config ():

```
/* This function checks the validity of result proof at the client side */
def check_the_validity_of_result_proof (result_proof, result):
              resulthash = hash(result)
              for index in range(0,len(result_proof)):
                      if check_valid_signature(
                              result proof[index], self.replicas public keys[index]):
                              // or pair is a tuple of operation and hash of result
                              if resulthash != result_proof[index].or_pair.second:
                                     return false
                      else:
                              return false
               return true
/* This function receives result for the retried operation and in case of error get latest
config from olympus and again retries */
def recieve_result_for_retried_operation (requestId, result_proof, result, type):
       If type == "ok":
              check_flag = check_the_validity_of_result_proof(result_proof, result)
              If !check flag:
                      send(request="recieve proof of mishbehaviour from client",
                      params={result_proof, result}, to = self.olympusId)
       elif type == "error":
               self.config = receive(request="get current config", to=olympusId)
              process_request(requestId,
               self.requestId_to_request_map[requestId])
```

### Class Replica:

```
__init__main():
       // types of replica nodes
       enum replicaType(head, internal, tail)
       // types of replica modes
       enum mode(pending, active, immutable)
       self.history of order proof = {}
       // before intihist statement from olympus in configuration, mode is pending
       self.mode = mode.pending
       self.olympusId = //Statically defined
       // get current configuration object from olympus
       self.config = receive(request="get current config", to=olympusId)
       self.replicald = //Statically defined
       self.request timer map = {}
       self.checkpoint proof = []
       // The cache of recently executed request's Id
       self.requestId cache = []
       // get public key of olympus from configuration object returned by olympus
       self.olympus public key = get olympus key from config(self.config)
       // get head's id from configuration object returned by olympus
       self.headId = get head from config(self.config)
       // the map of result and result shuttle cache for each requestld
       self.result and result shuttle cache = {}
       self.running state = //running state object
       // the map of timer threshold for each request's Id
       self.timer threshold map = {}
       /* get replicaType, successorId and predecessorId for current replica using
       configuration object received from olympus */
       self.replicaType = get replica type(self.config, self.replicald)
       self.succesorId = get successorId(self.config, self.replicald)
       self.predecessorId = get_predecessorId(self.config, self.replicald)
       /* get replicas public key returns order list of tuple of replica and public key
       using the configuration object received from olympus */
       self.replicas public keys = get replicas public key(self.config)
       // The below functions are executed periodically
       drop requestld cache periodically()
       drop result and result shuttle cache periodically()
       initiate checkpoint periodically()
       check_for_all_timers_periodically()
```

```
/* This function receives operation on head from the client and perform action on it */
def execute_operation_of_client (requestId, operation, clientId):
               shuttle = get empty shuttle()
               slotno = get_next_slot_for_operation()
               so_pair = (slotno, operation)
               execute operation(requestld, operation, clientld, shuttle, so pair)
/* This function receives retried operation from a replica and perform action on it */
def execute retried operation of client (requestld, operation, clientld):
               If requestld in self.result and result shuttle cache:
                      result proof =
                              self.result and result shuttle cache[requestId].first
                      result =self.result_and_result_shuttle_cache[requestId].second
                      send result to client(requestId,result proof, result)
               elif (requestld not in self.result and result shuttle cache)
                              and (requestld in self.requestld cache):
                      timer = start timer()
                      self.request timer map[requestId] = timer
                      self.timer_threshold_map[requestId] = generate_threshold()
               else:
                      timer = start timer()
                      self.request timer map[requestId] = timer
                      self.timer threshold map[requestId] = generate threshold()
                      shuttle = get_empty_shuttle()
                      slotno = get next slot for operation()
                      so pair = (slotno, operation)
                      execute operation(
                              requestld, operation, clientld, shuttle, so pair)
/* This function is the actual implementation of action on operation which calculates
result and also forward the shuttle to next replicas */
def execute_operation (requestld, operation, clientld, shuttle, so_pair):
               If self.mode == mode.active:
                      checkflag = check the validity of order proof(shuttle)
                      If checkflag:
                              result = self.running_state.exec(operation)
                              add order proof(shuttle, so pair)
                              add result proof(shuttle, operation, result)
                              self.requestld cache.append(requestld)
                              self.history of order proof[so pair] =
                              shuttle.order proof
                              if self.replicaType != replicaType.tail:
                                     forward shuttle to next replica(
                                       requestId, operation, clientId, shuttle, so_pair)
                              else:
                                     // Send the result with result proof to client
```

```
send_result_to_client(
                                           requestId, shuttle.result_proof, result)
                                    send result shuttle to preceding replica(
                                           shuttle, result, requestld)
                      else:
                             send_reconfigure_request_to_olympus(requestId)
              elif self.mode == mode.immutable:
                      send reconfigure request to olympus(requestId)
/* This function sends reconfiguration request to olympus and removes requestld
specific timer from map */
def send_reconfigure_request_to_olympus(requestId):
       remove from request timer map(requestId)
       send(request="recieve reconfiguration request from replica",
       params={self.replicald}, to = self.olympusId)
/* This function receives the result shuttle and cache it if found valid else send
reconfigure request to olympus */
def execute_shuttle_recieved_from_succeding_replica (
              shuttle, result, requestld):
       If self.mode == mode.active:
              check_flag = check_the_validity_of_result_proof(shuttle, result)
              If check flag:
                      cache shuttle and result(shuttle, result, requestId)
                      If self.replicaType != replicaType.head
                             send result shuttle to preceding replica(
                                    shuttle, result)
                     If requestId in self.request_timer_map:
                             time spent = current time -
                             self.request timer map[requestId]
                             If time_spent < self.timer_threshold_
                                    map[requestId]:
                                    remove from request timer map(requestId)
                                    send_result_to_client(
                                           requestld, result proof, result)
              else:
                      send reconfigure request to olympus(requestId)
       elif self.mode == mode.immutable:
```

send\_reconfigure\_request\_to\_olympus(requestId)

```
/* This function checks the validity of result proof in the result shuttle */
def check_the_validity_of_result_proof(shuttle, result):
               resulthash = hash(result)
               for index in range(0,len(shuttle.result_proof)):
                      if check_valid_signature(
                      shuttle.result proof[index], self.replicas public keys[index]):
                              If resulthash !=
                      shuttle.result proof[index].second.second:
                                     return false
                      else:
                              return false
               return true
/* This function checks the validity of order proof in the shuttle */
def check_the_validity_of_order_proof(shuttle):
               so pair = get first so pair from order proof(shuttle.order proof)
               for index in range(0,len(shuttle.order proof)):
                      if check_valid_signature(
                      shuttle.order proof[index], self.replicas public keys[index]):
                              If so_pair != shuttle.order_proof[index].second:
                                     return false
                      else:
                              return false
               return true
/* This function adds order proof to the ongoing shuttle by signing the so pair using
replica's key */
def add_order_proof(shuttle, so_pair):
       shuttle.order proof.append(
               sign tuple using key("order", so pair, self.replicald))
/* This function encrypts the result using crypt function and adds result proof to
shuttle by signing the or pair using replica's key */
def add_result_proof(shuttle, operation, result):
       or_pair = (operation, hash(result))
       shuttle.result proof.append(
               sign_tuple_using_key("result",or_pair, self.replicald))
/* This function forwards the shuttle to the successor replica in the chain */
def forward_shuttle_to_next_replica(
       requestld, operation, clientld, shuttle, so pair):
       send(request="execute_operation",
       params={requestId, operation, clientId, shuttle, so_pair}, to=self.successorId)
```

```
/* This function sends result proof and result to the client */
def send_result_to_client(requestId,result_proof, result):
       send(request="recieve result for the operation",
       params={requestId,result proof, result}, to=self.clientId)
/* This function sends result and shuttle to preceding replica in the chain */
def send_result_shuttle_to_preceding_replica(shuttle, result, requestld):
       send(request="execute shuttle recieved from succeding replica",
       params={shuttle, result, requestId}, to=self.predecessorId)
/* This function caches the result shuttle and result itself to deal with failures */
def cache_shuttle_and_result(shuttle, result, requestld):
       self.result_and_result_shuttle_cache[requestId] = tuple(shuttle, result)
/* This function is called when replica finds the misbehaviour and becomes
immutable along with sending wedged statements to olympus */
def become immutable():
       If self.mode == mode.active:
              self.mode = mode.immutable
              send(request="recieve wedge response from replica",
              params={sign tuple using key(self.history of order proof,
              self.checkpoint proof), self.replicald}, to = self.olympusId)
/* This function is used to receive operation from the client in case of failure and send
the result if cached */
def execute retransmitted operation of client(requestld, request, clientld):
       If requestld in self.result and result shuttle cache:
                      result proof = self.result and result shuttle
                      cache[requestId].first
                      result =self.result and result shuttle cache[requestId].second
                      send(request="recieve result for retried operation",
                      params={requestId,result proof, result, "ok"}, to = clientId)
       elif self.mode == mode.immutable:
               send(request="recieve result for retried operation",
              params={requestId,null, null,"error"}, to = clientId)
       else:
              If self.replicaType != replicaType.head:
                      timer = start timer()
                      self.request timer map[requestId] = timer
                      send(request="execute retried operation of client",
                      params={requestId, operation, clientId}, to = headId)
/* This function is used to return the current running state of replica to the olympus */
def get_running_state():
```

send(request="recieve\_running\_state", params={hash(self.running\_state),

replicald}, to = self.olympusld)

/\* This function runs indefinitely and check for all existing timers if expired or not , if expired send reconfiguration request to olympus \*/

```
def check_for_all_timers_periodically():
```

 $/\!^*$  run a while loop and check for all timers , if expired retransmit to all replicas and if threshold of retries crossed drop request \*/

for each requestId in self.request\_timer\_map:

/\* This function receives wedge request from olympus and checks for valid signature, if valid calls become immutable \*/

## def recieve wedge request from olympus(wedge statement):

/\* This function is used to make the replica active and update its running state \*/ def become\_active(running\_state, history):

```
if check_valid_signature(history, self.olympus_public_key):
    self.mode = mode.active
    self.running_state = running_state
    self.history = history
```

 $^{\prime}$  This function is used to receive checkpoint shuttle from the preceding replica , forward to next replica, also if tail encountered calls delete history and return the shuttle in reverse direction  $^{*}/$ 

### def recieve\_checkpoint\_shuttle\_from\_preceding\_replica(checkpoint\_shuttle):

"checkpoint",running\_state\_hash, slot\_removal\_counts))

If self.replicaType != replicaType.tail:

send(request="recieve\_checkpoint\_shuttle\_from\_preceding\_replica", params={checkpoint\_shuttle}, to=self.successorId)

else:

```
/* This function is used to receive checkpoint shuttle from the succeeding replica and process it */
```

```
def recieve_checkpoint_shuttle_from_succeeding_replica(checkpoint_shuttle):
```

If self.mode == mode.active:

delete\_history\_using\_checkpoint(

checkpoint\_shuttle.checkpoint\_proof)

self.checkpoint\_proof = checkpoint\_shuttle.checkpoint\_proof

elif self.mode == mode.immutable:

send(request="recieve\_reconfiguration\_request\_from\_replica",
params={self.replicald}, to = self.olympusld)

/\* This function is used to initiate the checkpoint periodically from the head \*/ def initiate\_checkpoint\_periodically():

If self.replicaType = replicaType.head:

checkpoint\_shuttle = create\_empty\_checkpoint\_shuttle()

checkpoint\_shuttle.checkpoint\_proof.append(

sign\_tuple\_using\_key("checkpoint", hash(self.running\_state),
self.slot removal counts)

send(request="recieve\_checkpoint\_shuttle\_from\_preceding\_replica", params={checkpoint\_shuttle}, to=self.successorld)

/\* This function is used to delete history using checkpoint proof received from the chain \*/

### def delete\_history\_using\_checkpoint(checkpoint\_proof):

/\* Here third element is the slot\_count i.e amount of slot we have to truncate from the history \*/

slot\_removal\_counts = checkpoint\_proof.third\_element

oldest\_slot\_no = get\_oldest\_slot\_no(self.history\_of\_order\_proof)

for each so pair in self.history of order proof:

/\* so\_pair is a tuple of slot number and operation, so\_pair.first access slot number \*/

If so\_pair.first\_element is in the range of (

oldest\_slot\_no, oldest\_slot\_no + slot\_removal\_counts): remove so pair from the self.history of order proof

/\* This function is used to receive catch up message from olympus and send the running state after executing operations to olympus \*/

#### def catch\_up(operations):

self.running\_state.exec(operations)
send(request="caught\_up", params={hash(self.running\_state), replicald},
to = self.olympusId)

```
Class Olympus:
```

```
___init_main():
    self.replicasId = []
    self.replica_public_keys = {}
    self.replicald_to_public_key_map = {}
    self.olympus_private_key = //statically defined
    self.wedge_responses_from_replicas = {}
    self.quorum_size = //Statically defined
    self.configuration_no = //Statically defined
    self.state_hashes=[]
    self.running_state = null
    self.configuration_in_process_flag = false
```

/\* This function creates new configuration of replicas and running state, for first time the state will be empty but for consecutive it will pass on the latest checkpointed state \*/

```
def generate_new_configuration(running_state, history):
```

```
self.replicasId = generate_new_replicas()
self.configuration_no = get_new_config_no()
for each replicald in self.replicasId:
    send(request="become_active",
    params={sign_using_key(running_state, history)}, to = replicald)
```

/\* This function spawns new processes on the current replicas server , generate new public, private keys of replicas and also sends them these keys \*/

```
def generate_new_replicas()
```

```
spawn_processes_on_replicas()
keys_object = generate_public_private_keys_for_replicas()
self.replica_public_keys = get_public_keys(keys_object)
self.replicald_to_public_key_map =
create_replicald_to_public_key_map(self.replicasId, self.replica_public_keys)
```

/\* This function returns the current configuration no and order list of replicas Info of olympus \*/

#### def get\_current\_config():

```
/* There exists a create_replicas_info which contains all sort of replicas information in it such as id, public key of all, private key */ return (self.configuration no, create replicas info())
```

/\* This function checks for validity of result proof of client \*/

//Do nothing because we have assumed there is no byzantine client

```
/* This function checks the validity of result proof at the olympus */
def check the validity of result proof(result proof, result):
              resulthash = hash(result)
              for index in range(0,len(result_proof)):
                     if check valid signature(
                             result_proof[index], self.replicas_public_keys[index]):
                             if resulthash !=result proof[index].or pair.second:
                                    return false
                     else:
                             return false
              return true
/* This function is used for listening reconfiguration request from replicas */
def recieve_reconfiguration_request_from_replica():
              If self.configuration_in_process_flag == false:
                     send signed wedge request to all replica()
                     self.configuration in process flag = true
/* This function is used to send signs wedge statement with key to all replicas in
configuration */
def send_signed_wedge_request_to_all_replica():
       signed wedge = sign using key("wedge")
       for each replicald in self.replicasld:
              send(request="recieve wedge request from olympus",
              params={signed wedge}, to = self.replicald)
/* This function receives wedge response from replicas after checking valid signature
and then tries to find valid quorum based on responses */
def recieve_wedge_response_from_replica(wedged_history, replicald):
       If check valid signature(
              wedged history, self.replicald to public key map[replicald]):
              // wedged history is a tuple of replica history and checkpoint proof.
              self.wedge responses from replicas[replicald] = wedged history
              check if any valid quorum exists()
/* This function checks validity of quorum and generates a valid quorum from wedge
responses from replica in order to proceed with reconfiguration */
def check_if_any_valid_quorum_exists():
       If len(self.wedge responses from replicas) >= self.guorum size:
              /* generate_quorums_from_current_wedge_responses function
              creates all possible quorums from the current replicas responses */
              list_of_quorums =
              generate_quorums_from_current_wedge_responses()
```

```
quorum = list_of_quorums.get_first()
                             If check valid history in current quorum(quorum) and
                             check_valid_checkpoint_proof_in_current_quorum(
                                     quorum):
                             /* This function gets the longest running state replica
                             from the given quorum */
                             longest running state replica=
                             get_longest_running_state_replica_from_quorum(
                                     quorum)
                             catch up(quorum, longest running state replica)
                             await caught_up from all replicald in quorum
                             for each replicald in quorum:
                                     If replica running state hash !=
                                     hash(longest running state)
                                     continue
                                    // Try next quorum as there is a mismatch in
                                     //the hash of one of the replica
                             for each replicald in quorum:
                                     hash of state = get running state()
                                     If hash of state ==
                                     hash(longest running state):
                                            break
                                     // found some replica with longest running state
                                     break the loop
                             // This kills all old replicas processes
                             kill all old replicas()
                             self.running state = running state
                             /*history is set to null as the running state is updated to
                             catch up to that */
                             generate new configuration(running state, null)
                             self.configuration_in_process_flag = false
              list of quorum.remove(quorum)
/* This function is used to receive caught_up message from the replica during
```

reconfiguration \*/

def caught\_up(hash\_of\_state, replicald):

self.state\_hashes.append(tuple(replicald, hash\_of\_state))

while list\_of\_quorums not empty:

```
/* This function is used to send catch up message from the replica */
def catch_up(quorum, longest_running_state_replica):
       for each replicald in quorum:
              history_diff = get_history_diff_between_two_replicas(
                      longest_running_state_replica, quorum[replicald])
              send(request="catch_up", params={history_diff}, to = replicald)
/* This function is used to check the validity of checkpoint proof of replicas in quorum
def check_valid_checkpoint_proof_in_current_quorum(quorum):
       If checkpoint proof is equal for all replicas in quorum:
              return true
       else:
              return false
/* This function is used to check the validity of histories of replicas in quorum */
def check_valid_history_in_current_quorum(quorum):
       /* Here it checks for the validity of order proof in every replicas i.e if there
       exist at most one order proof for every slot in the quorum */
       for each replicald in quorum:
              history = self.wedge responses from replicas[replicald].first
              If not check for at most one order proof per slot(history):
                      return false
       return true
/* This function is used to construct the history by selecting longest order proof for
each slot in quorum */
def create_history_from_quorum(quorum):
       new history = {}
       for each replicald in quorum:
              history = self.wedge responses from replicas[replicald]
              for each so pair in history:
                      order proof = history[so pair]
                      If len(order proof) > len(new history[so pair])
                             new history[so pair] = order proof
       return new_history
```