

CS 577- Intro to Algorithms

Divide and Conquer

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Outline

Paradigm

1. Break up given instance into smaller ones.
2. Recursively solve those.
3. Combine their solutions into one for the given instance.

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 - ▶ Sorting (Mergesort)
 - ▶ Counting inversions

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- ▶ Common pattern
 - ▶ Sorting (Mergesort)
 - ▶ Counting inversions
- ▶ Lower bound for sorting

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Problem (specification, method)

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- ▶ Example: Sorting

Input: An array $A[1, \dots, n]$ of length $n \geq 1$.

Output: $\text{Sort}(A)$, i.e., a copy of A sorted from the smallest to the largest element

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- ▶ Examples for Sorting: Selection Sort, Insertion Sort, Bubblesort, Quicksort, Merge Sort, ...

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Program (code, implementation)

- ▶ Precise detailed description in a particular programming language

Mergesort in words

Mergesort in pseudocode

Input: $A[1, \dots, n]$

Output: $\text{Sort}(A)$

```
1: procedure MERGE-SORT( $A$ )
2:   if  $n = 1$  then
3:     return  $A$ 
4:   else
5:      $m \leftarrow \lfloor n/2 \rfloor$ 
6:      $L \leftarrow \text{MERGE-SORT}(A[1, \dots, m])$ 
7:      $R \leftarrow \text{MERGE-SORT}(A[m + 1, \dots, n])$ 
8:     return  $\text{MERGE}(L, R)$ 
```

where Merge

Input: sorted arrays $L[1, \dots, n]$ and $R[1, \dots, m]$ with
 $n, m \geq 1$.

Output: $\text{Sort}(LR)$

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Theorem

For every integer $n \geq 1$, $P(n)$ holds, where

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- Base case: $n = 1$

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- ▶ Induction step:
 - ▶ For every integer $n \geq 1$, $P(n) \Rightarrow P(n + 1)$

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- ▶ Base case: $n = 1$
- ▶ Induction step:
 - ▶ For every integer $n \geq 1$, $P(n) \Rightarrow P(n + 1)$
 - ▶ For every integer $n \geq 1$, $P(1) \wedge P(2) \dots P(n) \Rightarrow P(n + 1)$

Merging Sorted Arrays

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- ▶ PowerPoint presentation
- ▶ Correctness
- ▶ Running time: $O(n + m)$

Mergesort – running time

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- ▶ Recurrence relation

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- ▶ Recurrence relation
- ▶ Recursion tree

Counting Inversions

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$A = [3, 5, 4, 7, 3, 1]$

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Output: $\text{Inv}(A) \doteq$ number of inversions in A

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Bounds on $\text{Inv}(A)$

Count in words

Count in pseudocode

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Output: $\text{Sort}(A)$

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5:      $m \leftarrow \lfloor n/2 \rfloor$ 
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where Count-Cross

Input: sorted arrays $L[1, \dots, n]$ and $R[1, \dots, m]$ with
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Output: $\text{Inv}(LR)$

Count – running time

Improved Count

Input: $A[1 \cdots n]$, an array of length $n \geq 1$

Output: $(\text{Inv}(A), \text{Sort}(A))$

```
1: procedure COUNT-AND-SORT( $A$ )
2:   if  $n = 1$  then
3:     return  $(0, A)$ 
4:   else
5:      $m \leftarrow \lfloor n/2 \rfloor$ 
6:      $(c_L, L) \leftarrow \text{COUNT-AND-SORT}(A[1, \dots, m])$ 
7:      $(c_R, R) \leftarrow \text{COUNT-AND-SORT}(A[m + 1, \dots, n])$ 
8:      $c_{\text{cross}} \leftarrow \text{COUNT-CROSS}(L, R)$ 
9:      $c \leftarrow c_L + c_R + c_{\text{cross}}$ 
10:     $B \leftarrow \text{MERGE}(L, R)$ 
11:    return  $(c_L + c_R + c_{\text{cross}}, B)$ 
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Running time: $O(n \log n)$

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Every comparison-based sorting algorithm takes $\Omega(n \log n)$ comparisons on arrays of length n .

Sorting Lower Bound

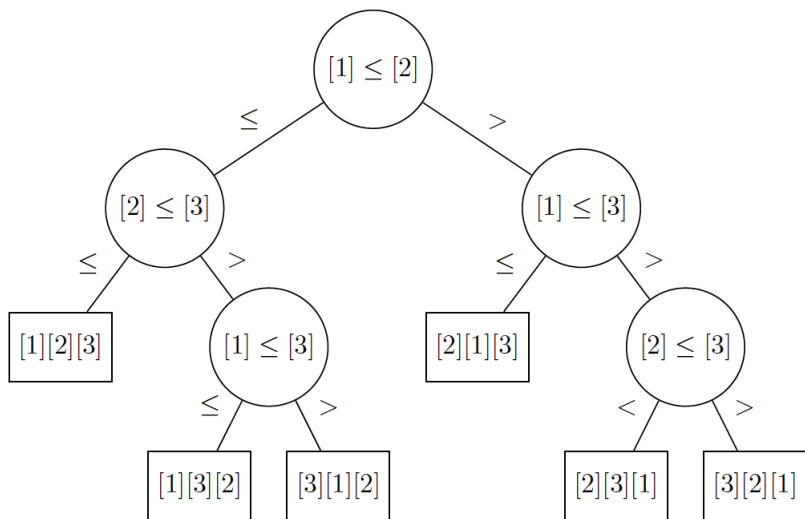
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Proof

- ▶ Every such algorithm can be modeled as a decision tree.

Decision Tree



Sorting Lower Bound

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Theorem

Every comparison-based sorting algorithm takes $\Omega(n \log n)$ comparisons on arrays of length n .

Proof

- ▶ Every such algorithm for a given n can be modeled as a binary decision tree T .
- ▶ Depth d of T is the maximum number c of comparisons that A makes on arrays of length n .
- ▶ Number ℓ of leaves is at least $n! \doteq 1 \cdot 2 \cdot \dots \cdot n$.
- ▶ $\ell \geq 2^d$

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- ▶ $\log(n!) = \Theta(n \log n)$