

CS 577- Intro to Algorithms

Greed (Part 4)

Dieter van Melkebeek

October 22, 2020

Outline

Discrete multivariate optimization

- ▶ System consisting of n components.
- ▶ Each component can be in any of a finite number of states.
- ▶ Want to set the states of the components so as to optimize an certain objective under certain constraints.

Paradigm

- ▶ Consider components in some order.
- ▶ Locally optimize setting of each component.

Correctness argument

- ▶ Greed stays ahead: interval scheduling, shortest paths
- ▶ Exchanges: interval scheduling, minimizing maximum lateness, optimal binary codes

Outline

Discrete multivariate optimization

- ▶ System consisting of n components.
- ▶ Each component can be in any of a finite number of states.
- ▶ Want to set the states of the components so as to optimize an certain objective under certain constraints.

Paradigm

- ▶ Consider components in some order.
- ▶ Locally optimize setting of each component.

Correctness argument

- ▶ Greed stays ahead: interval scheduling, shortest paths
- ▶ Exchanges: interval scheduling, minimizing maximum lateness, optimal binary codes, minimum spanning tree

Minimum Spanning Tree

Minimum Spanning Tree

Problem

Input: connected graph $G = (V, E)$ and $w : E \rightarrow \mathbb{R}$

Minimum Spanning Tree

Problem

Input: connected graph $G = (V, E)$ and $w : E \rightarrow \mathbb{R}$

Output: tree $T = (V, F)$ with $F \subseteq E$ such that
 $w(T) \doteq \sum_{e \in F} w(e)$ is minimized

Minimum Spanning Tree

Problem

Input: connected graph $G = (V, E)$ and $w : E \rightarrow \mathbb{R}$

Output: tree $T = (V, F)$ with $F \subseteq E$ such that
 $w(T) \doteq \sum_{e \in F} w(e)$ is minimized

Greedy algorithm

Minimum Spanning Tree

Problem

Input: connected graph $G = (V, E)$ and $w : E \rightarrow \mathbb{R}$

Output: tree $T = (V, F)$ with $F \subseteq E$ such that
 $w(T) \doteq \sum_{e \in F} w(e)$ is minimized

Greedy algorithm

- ▶ Tree growing
 - Maintain minimum spanning tree T for connected subgraph induced by $S \subseteq V$.
 - Grow S until it reaches V .

Minimum Spanning Tree

Problem

Input: connected graph $G = (V, E)$ and $w : E \rightarrow \mathbb{R}$

Output: tree $T = (V, F)$ with $F \subseteq E$ such that
 $w(T) \doteq \sum_{e \in F} w(e)$ is minimized

Greedy algorithm

- ▶ Tree growing
 - Maintain minimum spanning tree T for connected subgraph induced by $S \subseteq V$.
 - Grow S until it reaches V .
- ▶ Tree joining
 - Maintain minimum spanning forest T of G .
 - Join two trees of the forest until there is only a single tree left.

Tree Growing

Tree Growing

Grow set $S \subseteq V$ and maintain MST T for subgraph induced by S .

- ▶ Start with $S = \{s\}$ and trivial MST T .
- ▶ While $S \neq V$, find $(u^*, v^*) = \arg \min_{(u,v) \in E \cap S \times \bar{S}} (w(u, v))$ and connect v^* to T via (u^*, v^*) .

Tree Growing

Grow set $S \subseteq V$ and maintain MST T for subgraph induced by S .

- ▶ Start with $S = \{s\}$ and trivial MST T .
- ▶ While $S \neq V$, find $(u^*, v^*) = \arg \min_{(u,v) \in E \cap S \times \bar{S}} (w(u, v))$ and connect v^* to T via (u^*, v^*) .

Implementation

Priority queue for $v \in \bar{S}$ with key $\lambda(v) \doteq \min_{u \in S: (u,v) \in E} (w(u, v))$

Tree Growing

Grow set $S \subseteq V$ and maintain MST T for subgraph induced by S .

- ▶ Start with $S = \{s\}$ and trivial MST T .
- ▶ While $S \neq V$, find $(u^*, v^*) = \arg \min_{(u,v) \in E \cap S \times \bar{S}} (w(u, v))$ and connect v^* to T via (u^*, v^*) .

Implementation

Priority queue for $v \in \bar{S}$ with key $\lambda(v) \doteq \min_{u \in S: (u,v) \in E} (w(u, v))$

Running time with binary heap

- ▶ Initialization: $O(n)$
- ▶ n min extractions: $O(n \log n)$
- ▶ $m = \frac{1}{2} \sum_{v \in V} \deg(v)$ key updates: $O(m \log n)$
- ▶ Total: $O((n + m) \log n) = O(m \log n)$ as $m \geq n - 1$

Tree Joining

Tree Joining

Coarsen partition of G into connected subgraphs, and maintain collection T of MSTs for each of the subgraphs.

- ▶ Start with partition consisting of all individual vertices, and trivial spanning forest T .
- ▶ While T has more than one subgraph, add (u^*, v^*) to T where $(u^*, v^*) = \arg \min_{(u,v) \in E: u \not\sim_T v} (w(u, v))$.

Tree Joining

Coarsen partition of G into connected subgraphs, and maintain collection T of MSTs for each of the subgraphs.

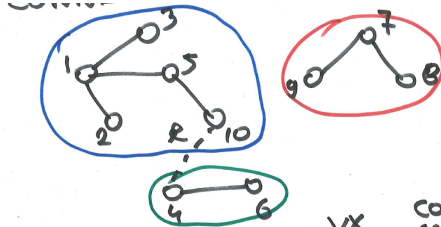
- ▶ Start with partition consisting of all individual vertices, and trivial spanning forest T .
- ▶ While T has more than one subgraph, add (u^*, v^*) to T where $(u^*, v^*) = \arg \min_{(u,v) \in E: u \not\sim_T v} (w(u, v))$.

Implementation

- ▶ Consider edges $(u, v) \in E$ in order of nondecreasing weight.
- ▶ Add (u, v) to T if $u \not\sim_T v$.

Maintaining Connected Components

Maintaining Connected Components



CONN
COMP

①

VXS

4, 6

②

1, 3, 5, 8, 10

③

7, 9

WHEN JOINING
COMPONENTS,
RELABEL SMALLER
ONE BY THE LARGER ONE

VX

1

2

3

4

5

6

7

8

9

10

CONN
COMP

2

2

2

~~1~~ 2

2

~~1~~ 2

3

3

3

2

Maintaining Connected Components

Lazy relabeling

- ▶ When merging connected components, relabel smaller one by the larger one.
- ▶ Each time vertex gets relabeled, its connected component grows by a factor at least 2 in size.
- ▶ Number of times each given vertex gets relabeled $\leq \log n$.

Maintaining Connected Components

Lazy relabeling

- ▶ When merging connected components, relabel smaller one by the larger one.
- ▶ Each time vertex gets relabeled, its connected component grows by a factor at least 2 in size.
- ▶ Number of times each given vertex gets relabeled $\leq \log n$.

Running time

Maintaining Connected Components

Lazy relabeling

- ▶ When merging connected components, relabel smaller one by the larger one.
- ▶ Each time vertex gets relabeled, its connected component grows by a factor at least 2 in size.
- ▶ Number of times each given vertex gets relabeled $\leq \log n$.

Running time

- ▶ Sorting the edges: $O(m \log m)$

Maintaining Connected Components

Lazy relabeling

- ▶ When merging connected components, relabel smaller one by the larger one.
- ▶ Each time vertex gets relabeled, its connected component grows by a factor at least 2 in size.
- ▶ Number of times each given vertex gets relabeled $\leq \log n$.

Running time

- ▶ Sorting the edges: $O(m \log m)$
- ▶ Testing edges: $O(m)$

Maintaining Connected Components

Lazy relabeling

- ▶ When merging connected components, relabel smaller one by the larger one.
- ▶ Each time vertex gets relabeled, its connected component grows by a factor at least 2 in size.
- ▶ Number of times each given vertex gets relabeled $\leq \log n$.

Running time

- ▶ Sorting the edges: $O(m \log m)$
- ▶ Testing edges: $O(m)$
- ▶ Maintaining connected components: $O(n \log n)$

Maintaining Connected Components

Lazy relabeling

- ▶ When merging connected components, relabel smaller one by the larger one.
- ▶ Each time vertex gets relabeled, its connected component grows by a factor at least 2 in size.
- ▶ Number of times each given vertex gets relabeled $\leq \log n$.

Running time

- ▶ Sorting the edges: $O(m \log m)$
- ▶ Testing edges: $O(m)$
- ▶ Maintaining connected components: $O(n \log n)$
- ▶ Total: $O(m \log(m) + n \log(n)) = O(m \log n)$ as $m \geq n - 1$

Better Algorithms

Better Algorithms

Based on tree growing

- ▶ Binary heap: $O(m \log n)$
- ▶ Improved data structures (Fibonacci heaps): $O(m + n \log n)$

Better Algorithms

Based on tree growing

- ▶ Binary heap: $O(m \log n)$
- ▶ Improved data structures (Fibonacci heaps): $O(m + n \log n)$

Based on tree joining

- ▶ $O(m \log m)$ due to sorting edges
- ▶ Lazy relabeling: $O(m + n \log n)$ given sorted edges
- ▶ Improved data structures (Union-Find): $O(m \cdot \alpha(n, m))$ given sorted edges, where α is inverse Ackermann

Better Algorithms

Based on tree growing

- ▶ Binary heap: $O(m \log n)$
- ▶ Improved data structures (Fibonacci heaps): $O(m + n \log n)$

Based on tree joining

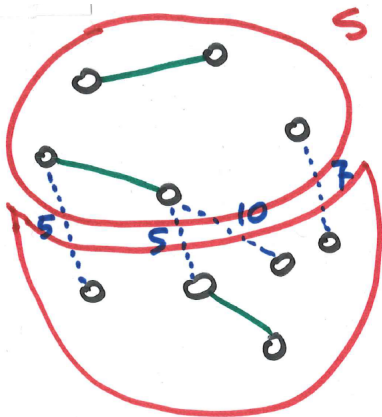
- ▶ $O(m \log m)$ due to sorting edges
- ▶ Lazy relabeling: $O(m + n \log n)$ given sorted edges
- ▶ Improved data structures (Union-Find): $O(m \cdot \alpha(n, m))$ given sorted edges, where α is inverse Ackermann

Other approaches

$O(m \cdot \alpha(n))$ where α is inverse Ackermann

Correctness

Correctness



— EDGES IN F

.... EDGES IN
 $S \times \bar{S}$

Correctness

Common setting

- ▶ Suppose we know a subset $F \subseteq E$ such that there exists an MST T of G that contains F .
- ▶ Consider a subset $S \subseteq V$ such that no edge in F crosses the cut (S, \bar{S}) , i.e., $F \cap S \times \bar{S} = \emptyset$.

Observation

T has to contain an edge in $E \cap S \times \bar{S}$. This edge contributes at least $\min_{e \in E \cap S \times \bar{S}} (w(e))$ to $w(T)$.

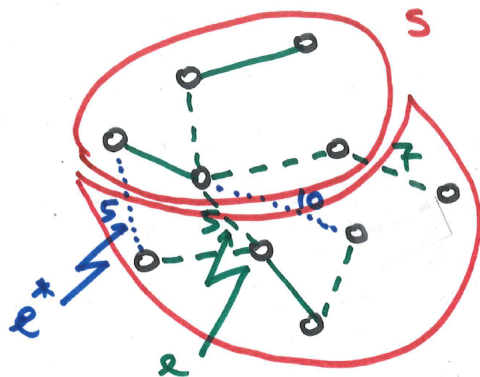
Cut property

Let $e^* = \arg \min_{e \in E \cap S \times \bar{S}} (w(e))$.

There exists an MST T' of G that contains $F \cup \{e^*\}$.

Exchange Argument

Exchange Argument



- EDGES IN F
- EDGES IN T
BUT NOT IN F
- EDGES IN
 $S \times \bar{S}$ NOT IN T

Exchange Argument

Cut property

Let $e^* = \arg \min_{e \in E \cap S \times \bar{S}} (w(e))$.

There exists an MST T' of G that contains $F \cup \{e^*\}$.

Proof

- ▶ Suppose e^* not in T ; otherwise done.
- ▶ Consider adding e^* to T . This induces cycle that crosses (S, \bar{S}) somewhere else, say at $e \in E \cap S \times \bar{S}$.
- ▶ Replacing e by e^* in T yields spanning tree T' of G .
- ▶ Since $w(e^*) \leq w(e)$,

$$w(T') = w(T) + w(e^*) - w(e) \leq w(T).$$

- ▶ $\therefore T'$ is an MST of G containing $F \cup \{e^*\}$

Instantiations

Instantiations

- ▶ Apply cut property with F the set of edges included thus far.

Instantiations

- ▶ Apply cut property with F the set of edges included thus far.
- ▶ Tree growing: S is set of vertices in current tree.

Instantiations

- ▶ Apply cut property with F the set of edges included thus far.
- ▶ Tree growing: S is set of vertices in current tree.
- ▶ Tree joining: S is set of vertices connected to u^* in current forest, where (u^*, v^*) is edge under consideration.