### STOCK INFO AND PREDICTOR

### **UCS503 Software Engineering Project**

### **Report End-Semester Evaluation**

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### 1. Project Overview

This Website will be extremely useful for People with basic financial knowledge. This Website will provide the user with all the information required for studying any stock. This Website will fetch data from online stock market database using an API and the user will have an easy and interactive environment. The user can get all the information about a particular stock with the current price and a continuous graph depicting the highs and lows of the particular stock. All the information user needs will just be a click away. The Website also provide feature like saving any favorite stocks and getting there information only so user doesn't need to search for them repeatedly.

With such ease of data to the user this website will also help user in predicting the values of a particular stock by which user can have an ease of purchasing any particular stock. User can find the profit or loss and predicted price of the stock. For our Website LSTM Machine Learning model is used in an attempt to predict values of the stock. No prediction can be accurate but this will give a brief idea to the user about the company by giving the predicted price and the information about it.

Currently this is a small project but it can be changed to large scale in the future and it can be used for continuous display of data and fast responses with close to precise values which can help users significantly.

# Software Requirements Specification

for

# Stock Info and Predictor

Prepared by

**Group-5** 

13-09-2020

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### 1. Introduction

### 1.1 Purpose

The purpose of our project is to provide customers with easy access to statistics of a particular stock in the stock market, we enable users to select their favorite stock of which we'll tell him/her the most predicted value of that in future.

### 1.2 Project Scope

People with basic financial knowledge are the primary targeted audience this enhances them with the right choice of the stock in which they can invest on.

- Users are provided the platform on which they are provided with the most appropriate values of the stock, so this can be used as a financial model.
- We provide the predicted value of the stock. So that common people with the basic financial knowledge can choose wisely while trading.
- Users can manage their stock under their portfolio.

### 1.3 References

The data for the stock has been taken from the API.

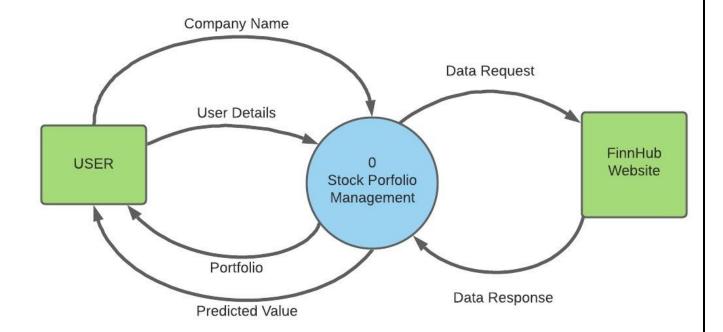
- Complete documentation of the API. <a href="https://finnhub.io/docs/api">https://finnhub.io/docs/api</a>
- key for the API. <a href="https://finnhub.io/register">https://finnhub.io/register</a>

The prediction is based on the LSTM model in ML using python.

### 2. Overall Description

### 2.1 Product Perspective

This is an self-contained product which uses the preexisting API for the data of the stock



### Level 0 Data Flow Diagram of Stock Prediction System

The very basic data flow diagram of the project can be stated as follows,

### 2.2 Product Features

- We'll be providing a portfolio to the user; users are first required to log into the site.
- In the portfolio users will be able to check out their favorite stock and their predicted values.
- Also, we'll be displaying user the stats of the particular stock on their demand.

### 2.3 User Classes and Characteristics

1. User: next one will be the normal user who can access the the other main features of the product.

### **2.4 Operating Environment**

The software components used in our projects are:

- The frontend will be based on HTML5,CSS, Materialize, Jquery, and javaScript.
- For the back-end we are using Node and express as a framework
- The database we are using is MYSQL.

• For hosting we are going to host it locally.

### 2.5 User Documentation

- a) You can enter any of the provided stocks from the list to get the actual price and the predicted price.
- b) Predicted price may not be accurate, they are just for the reference.

### 2.6 Assumptions and Dependencies

- For the time being the ML model is not so dependable so predicted values might not be much trustable
- Since the data fetching is dependent on API's so speed of data displaying is dependent on API
- Also, currently this is a small prototype so the server can't handle too many loads.

### 3. System Features

### 3.1 Displaying the Prices of stocks

### 3.1.1 Description and Priority

Displaying the prices of the stocks which are either owned by the user or shortlisted to be put on watchlist by the user.

This is a high priority task

### 3.1.2 Stimulus/Response Sequences

Users need to sign in to their account to access the features.

### 3.1.3 Functional Requirements

- 3.1.3.1 The software needs to send requests to FINNHUB API, then parse the response sent by the API and present it to the user.
- 3.1.3.2 The API calls need to frequent so the data is up to date.
- 3.1.3.3 The data needs to be presented in a graphical manner using chart.js library.

### 3.2 Predicting the Prices of stocks

### 3.2.1 Description

Predicting the prices of the stocks which are either owned by the user or shortlisted to be put on watchlist by the user. This is a low priority task

### **3.2.2 Response Sequences**

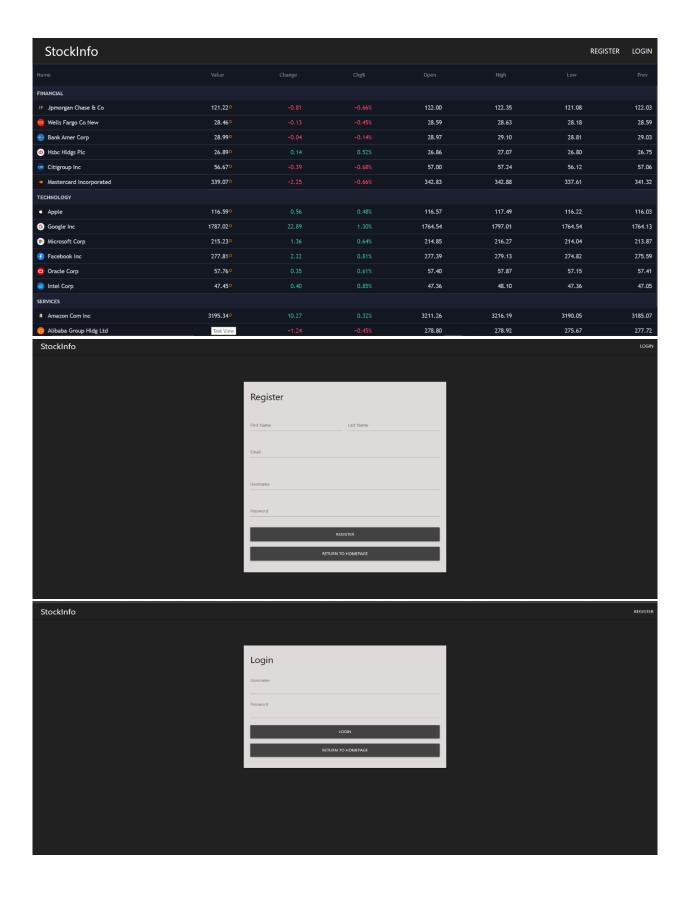
Users need to log in to their account and click on "more info icon" for the stock they want to get the predicted value of.

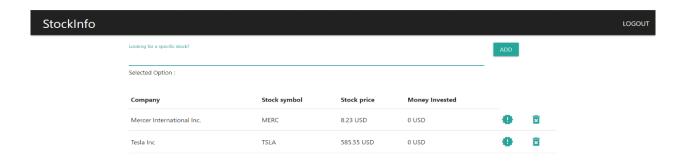
### **3.2.3 Functional Requirements**

- 3.2.3.1 The software needs to access previous price history of the stock
- 3.2.3.2 Process that information with the help of a ML model.
- 3.2.3.3 Display the predicted price.

### 4. External Interface Requirements

### 4.1 User Interfaces







### 4.2 Hardware Interfaces

- 4.2.1 The product requires graphics usage with just a simple keypad and mouse/touch for taking the user input.
- 4.2.2 The product does not require usage of sound or animation.
- 4.2.3 There are no specific OS requirements but a modern web browser is recommended and a desktop is preferred for the best user experience.
- 4.2.4 Sound is not an essential feature but it can be considered for future variants of the system for user notifications.

#### 4.3 Software Interfaces

- https://finnhub.io/ Is required to get the information of the stocks
- Any modern web browser used to access the site.

#### 4.4 Communications Interfaces

- HTTP will be used to communicate between the user and the product.
- HTTP will also be used to make request and get responses from the API

### 5. Other Nonfunctional Requirements

### **5.1 Performance Requirements**

- Only textual information will be handled by the software. Amount of information to be handled can vary from user to user.
- Specified companies will be displayed as per the requirement.
- Model will take a certain amount of time for predicting the data.

### **5.2 Safety Requirements**

Our product is advised to be used by users above 18 years as minors are not legal to do the transactions of the money and can potentially lose the transactions

### **5.3 Security Requirements**

The product has a login feature to ensure the user credentials and portfolio are safe and the user is authorized only when the username and password credentials match, password is secured and encrypted.

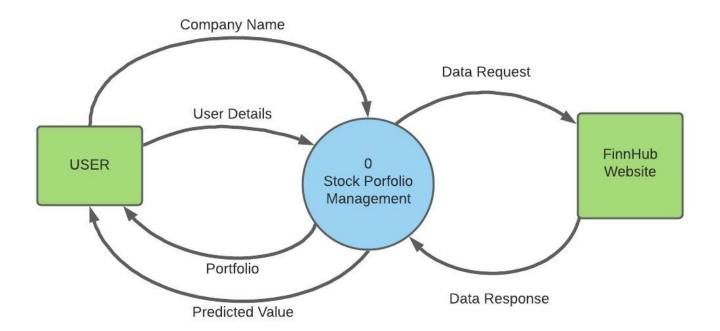
### **5.4 Software Quality Attributes**

The product is targeted towards a wide variety of users with basic financial knowledge. The product must load quickly and work well on a variety of terminals. The product must be available to all the users without crashing by the traffic. Our product will work on all the browsers without any problems. It must also tolerate a wide variety of input possibilities from a user, such as incorrect responses or unforeseen keystrokes like incorrect logins and company details inputted by the user here. This product can be used by users with basic knowledge as predictions will be provided so it has an ease of use over ease of learning the stock market.

### 3. Structured Analysis

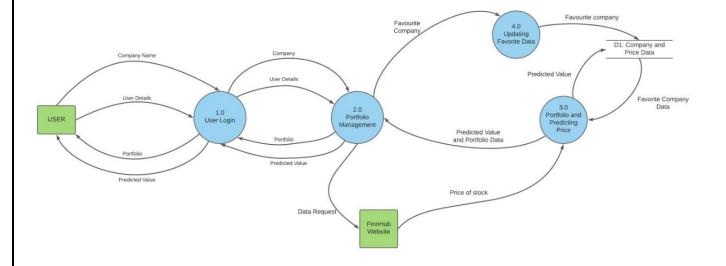
### 3.1 Data Flow Diagrams

### **3.1.1 DFD Level 0**



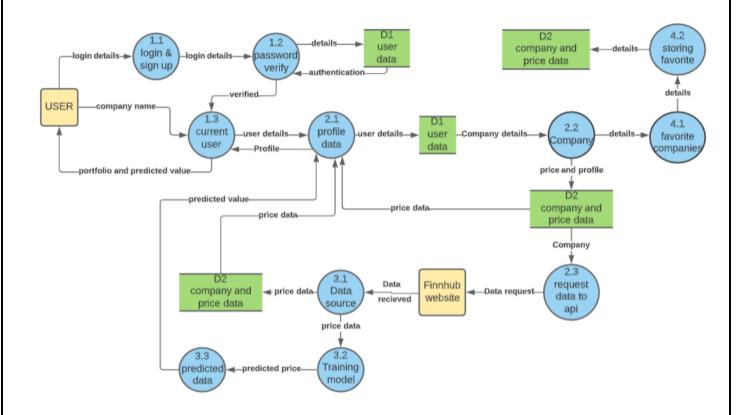
Level 0 Data Flow Diagram of Stock Prediction System

### **3.1.2 DFD** Level 1

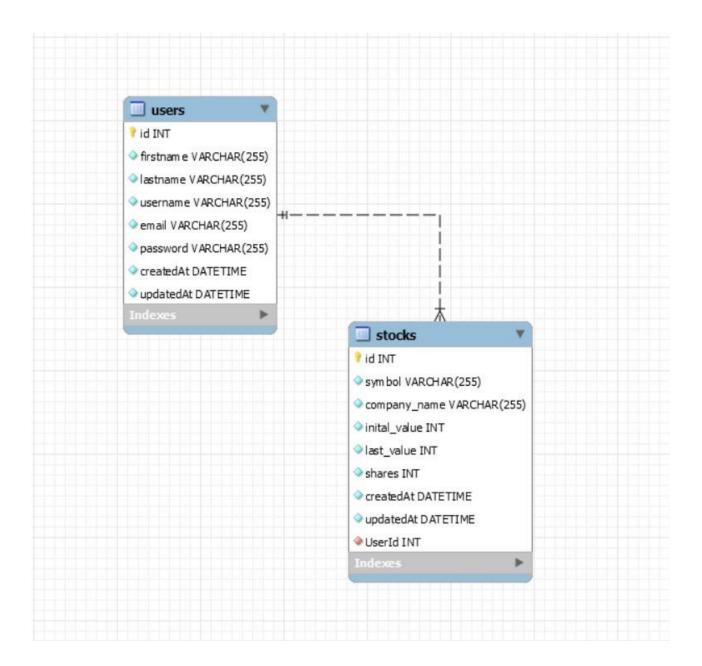


Level 1 Data Flow Diagram of Stock Prediction System

### **3.1.3 DFD Level 2**

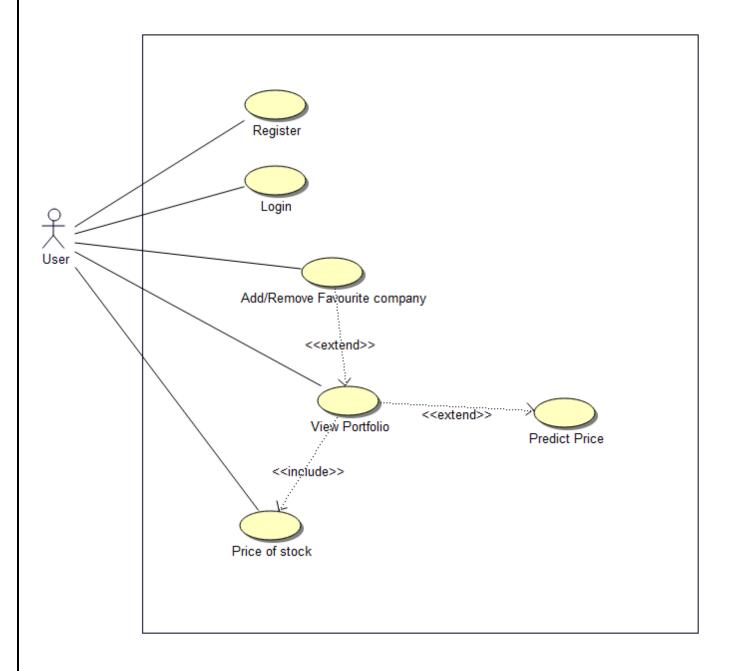


### 3.2 ER Diagram



### 4. Object Oriented Analysis

### **4.1 Use Case Diagram**



### **4.2** Use Case Templates

### Use Case Scenario

Use Case ID:	1
Use Case Name:	Register
Created By:	Group_5
Date Created:	16-11-2020
Description:	This use case allows user to Register in the system.
Primary Actor:	User
Secondary Actor:	None
Include use cases:	None
Extends use cases:	None
Preconditions:	User must have a valid Email address, name, username and password
Postconditions:	User will be able to access his portfolio
	Details Asked
	Details Entered
	Email verified
	Duplicates checked
	User registered
Task Sequence:	Portfolio displayed

### Normal Flow of Events:

- 1. (SR) System asks the user to enter the details
- 2. (AA) User submits the details
- 3. (SR) System verifies the Email address
- 4. (SR) System checks for duplication.
- 5. (SA) System register the user.
- 6. (SA) System displays the Portfolio.

### Alternative Flow of Events:

- 3a. (SR) System did not accept the invalid Email address
  - 1. (SA) System prompts to enter valid email.
  - 2. Use case resumes at main flow step 2.
- 4a. (SR) System did not accept the Duplicate entry
  - 1. (SA) System prompts to enter valid details.
  - 2. Use case resumes at main flow step 2.

Login
Group_5
16-11-2020
This use case allows user to Login in the system
User
None
None
None
User must have a valid account
User will be able to access his portfolio
•
User Credentials Requested
Credentials entered
Credentials verified Portfolio Displayed

### Normal Flow of Events:

- $1. \ (SR) \ System \ asks the user to enter the username and password.$
- 2. (AA) User submits the details
- 3. (SR) System verifies the details.
- 4. (SA) System displays the Portfolio.

Use Case ID:		3
Use Case Name:	View Portfolio	
Created By:	Group_5	
Date Created:	16-11-2	2020
Description:	This use case allows user to access portfolio	
Primary Actor:	User	
Secondary Actor:	None	
Include use cases:	Price of stock	
Extends use cases:	Add/remove fav company, Predict Price	
Preconditions:	User must be logged in	
Postconditions:	User will be able to view the portfolio	
	Favourite companies Retrieved	
	Stock price triggered	
	Favourite companies triggered	
Took Saguanas	Add/Remove favourite companies Predict Price	
Task Sequence:	Predict Price	

### Normal Flow of Events:

- 1. (SR) System retrieve the favorite companies of the user.
- 2. (SA) System Triggers Price of stock use case.
- 3. (SA) System displays the favorite companies along with prices.
- 4. (AA) Extension point: Add/Remove favorite companies.
- 5. (AA) Extension point: Predict Price.

Use Case ID:	4
Use Case Name:	Add/Remove Favorite Companies
Created By:	Group_5
Date Created:	16-11-2020
Description:	This use case allows user to add and remove companies to portfolio
Primary Actor:	User
Secondary Actor:	None
Include use cases:	None
Extends use cases:	None
Preconditions:	User must be logged in and Portfolio is already loaded
Postconditions:	User gets modified Portfolio
	Novy Commony ontoned
	New Company entered Possible options displayed
	Option submitted
Task Sequence:	Company added

### Normal Flow of Events:

- 1. (AA) User enters the new Company.
- 2. (SR) System displays the possible options.
- 3. (AA) User submits one of the options.
- 4. (SA) System adds the Company to the Portfolio.

### Alternative Flow of Events:

- 1a. (AA) User enters a non-valid Company.
  - 1. (SR) System doesn't display any option.
  - 2. (AA) User submits the non-valid input.
  - 3. (SR) System prompts to enter the valid company.
  - 4. Use case resumes from at main flow step 1.

Use Case ID:	5
Use Case Name:	Price of stock
Created By:	Group_5
Date Created:	16-11-2020
Description:	This use case gets the price of the stock
Primary Actor:	User
Secondary Actor:	None
Include use cases:	None
Extends use cases:	None
Preconditions:	User must be logged in and Portfolio is already loaded
Postconditions:	User gets the price of the stock
	Favorite Companies Retrieved
Tack Sequence:	Prices fetched Companies displayed
Task Sequence:	Companies displayed

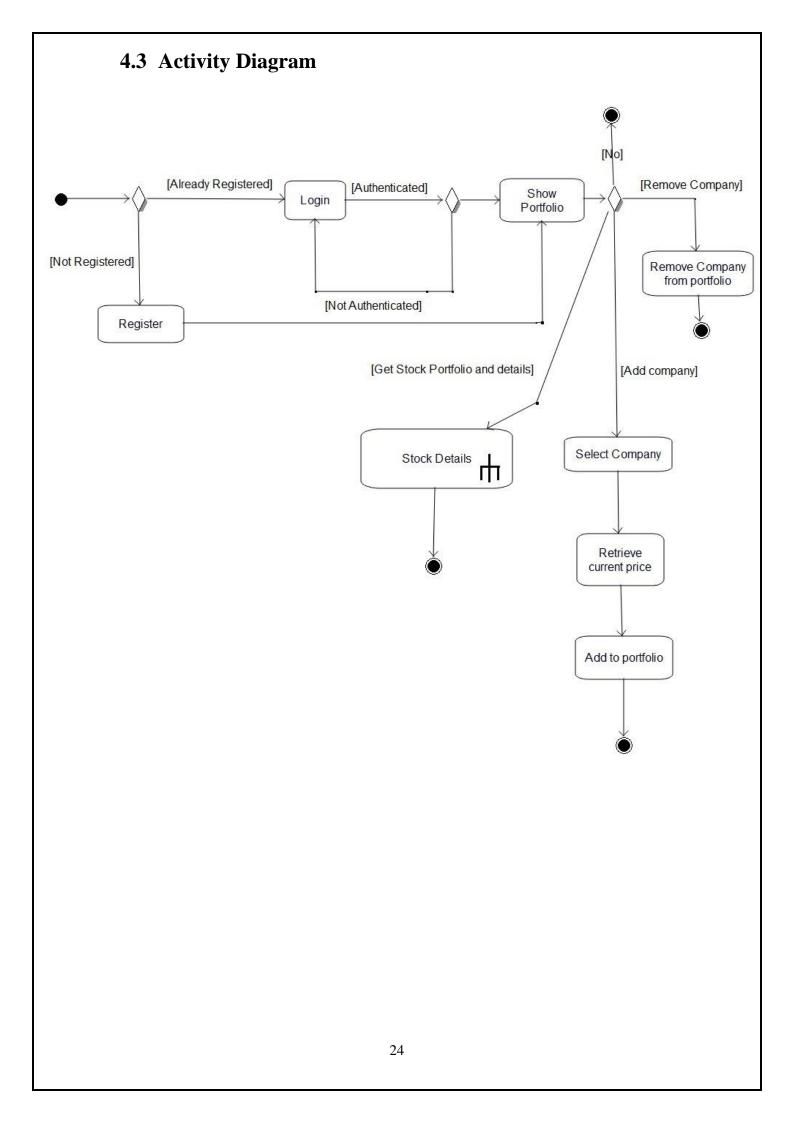
### Normal Flow of Events:

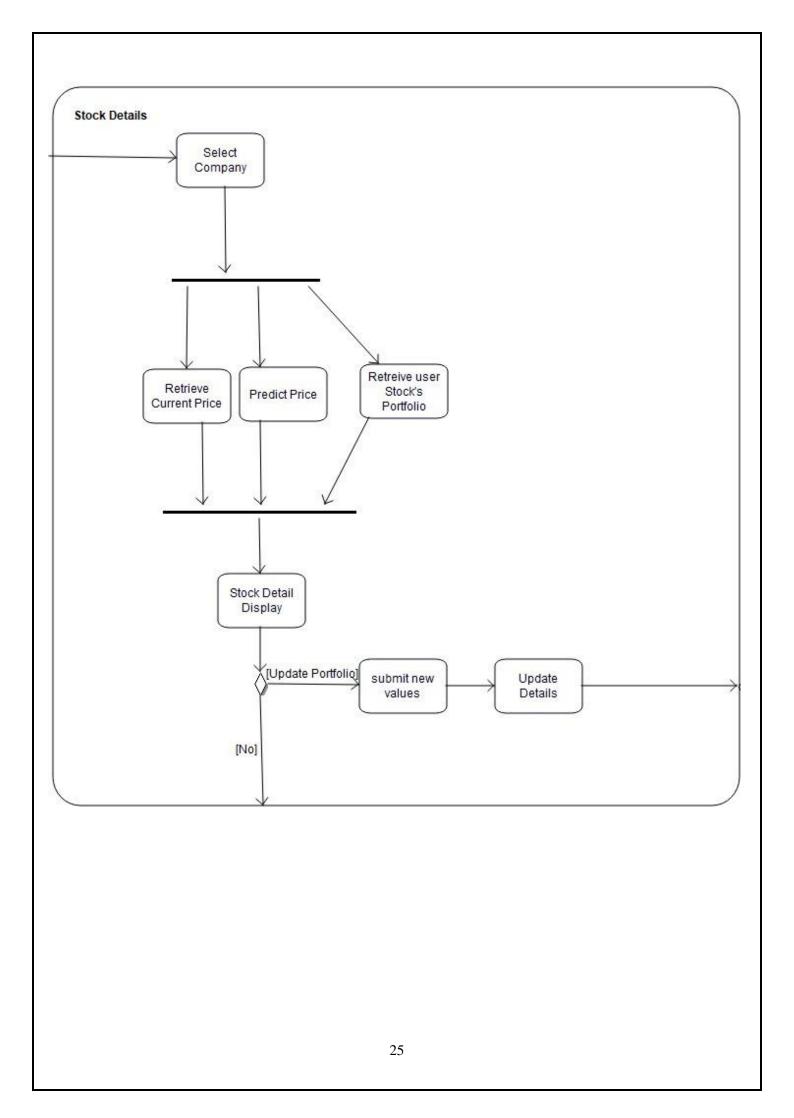
- 1. (SA) System retrieve the user's Favorite companies
- 2. (SA) System fetch the prices of all those companies
- 3. (SA) System displays the favorite companies along with prices.

_	
Use Case ID:	$\epsilon$
Use Case Name:	Predict Price
Created By:	Group_5
Date Created:	16-11-2020
Description:	This use case allows user to Predict Price
Primary Actor:	User
Secondary Actor:	None
Include use cases:	None
Extends use cases:	None
Preconditions:	User must be logged in and Portfolio is already loaded
Postconditions:	User will be able to get the predicted price
	Stock selected
	Data retrieved
	Price predicted
Task Sequence:	Prediction displayed

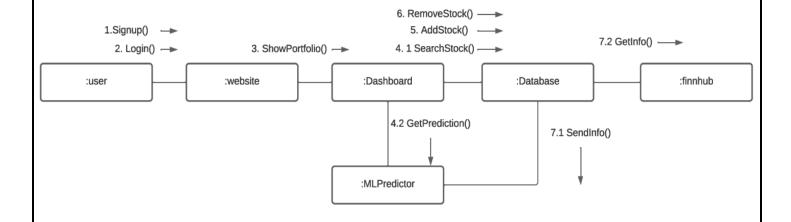
### Normal Flow of Events:

- 1. (AA) User selects the desired stock.
- 2. (SR) System retrieve the data required to predict the price.
- 3. (SA) System predicts the price.
- 4. (SR) System displays the predicted price to the user.





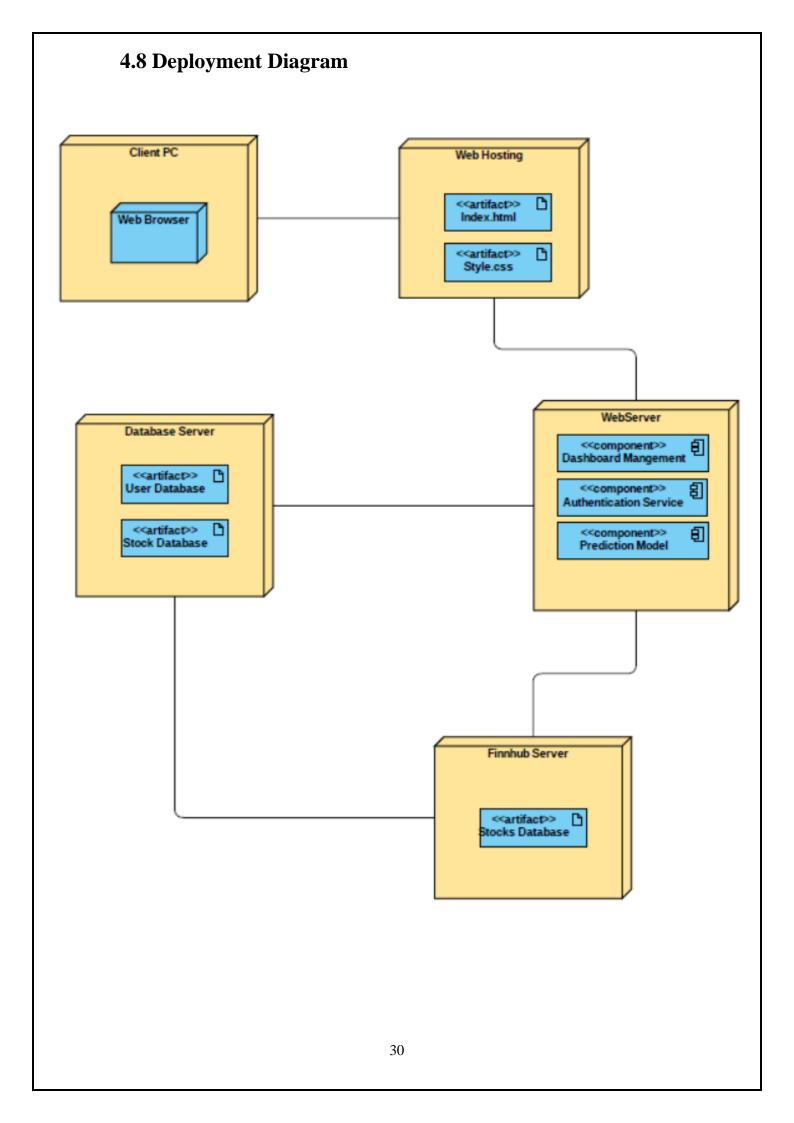
### 4.4 Collaboration Diagram



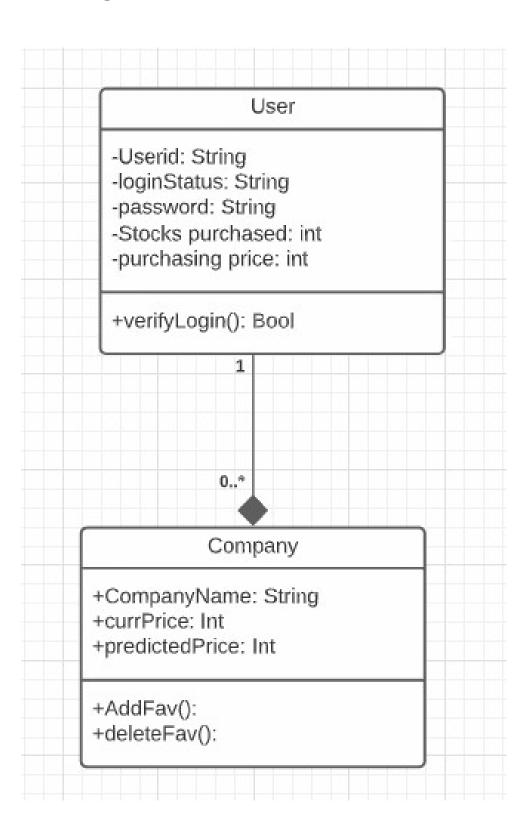
## 4.5 Sequence Diagram :Finnhub Website :Database :User Login Details Check login details Login Unsuccessful Login Unsuccessful Login Successful Show Dashboard Return Request Dashboard Add Stocks Request for Searched Stock Return Request Show Stocks Stock Details Request Price and Details Return Request Show Results 27

### 4.6 State Chart Diagram Home page [New User] [Existing User] [If user exists] Register Login [User doesn't exist] [Error] [Error] [User created] [Login Successful] [Repeat] Stock's Dashboard [If stocks already exists] [Adding New Stocks] Selecting stock [Selecting Stock] Add Stocks Stock Details [Submit] [Add more stocks]

## 4.7 Component Diagram **Stock Database** <<component>> 旨 UTILITY **User Database** <<use>> <<component>> <<use>> Request Process <<component>> 占 <use>> Stock Info Server <reside>> <<component>> Prediction 29



### 4.9 Class Diagram



### 5. Testing

### **5.1 TEST PLAN**

Test cases were run on the Stock info website on a local host. If the website did not crash and it produced the desired result, the test case is considered to be passed. The testing involved the documentation of average time taken by the application to respond to an activity. Testing is also done on Non valid inputs to test the error handling capacity of website

### **Features to be tested (various functionalities)**

- Login
- Register
- Adding the stock
- adding the valid stock quantity and prices
- prediction and graph display

#### Item Pass/Fail criteria

If the testing produces the desired result for every activity without any error, it is considered pass, otherwise fail.

### **5.2 TEST CASES:**

Test Case #: 1 Test Case Name: Login System: Stock Info Website Subsystem: Login

**Designed by:** Group 5 **Design Date:** 23/11/2020 **Executed by:** Group 5

Execution Date: 24/11/2020

**Short Description:** Tests the login functionality for the application

### **Pre-conditions**

User has active internet connection

User is already registered and has a valid username and password

Step	Action	<b>Expected System Response</b>	Pass/Fail	Comment
1.	User enters valid username and correct password	User is authenticated and is logged in, showing his dashboard with his Portfolio.	Pass	
3.	Check Post Condition 1			
2.	User enters invalid username but incorrect	System displays "Wrong Credentials" message and asks the user to enter the again	Pass	
4.	User enters valid username and correct password	User is authenticated and is logged in, showing his dashboard with his Portfolio.	Pass	
5.	Check Post Condition 2			

### **Post-conditions**

- 1. User is logged into his Portfolio Page.
- 2.User is logged into his Portfolio Page.

Test Case #: 2 Test Case Name:

Register System: Stock Info Website

Subsystem: Register

**Designed by:** Group 5 **Design Date:** 23/11/2020

**Executed by:** Group 5 **Execution Date:** 24/11/2020

**Short Description:** Tests the register functionality for the application

#### **Pre-conditions**

User has an active internet connection. User must have a valid Email address.

Step	Action	<b>Expected System Response</b>	Pass/Fail	Comment
1.	User enters valid username(unique), E-mail(unique) and Other details	User is registered and is logged in, showing his dashboard with his Portfolio.	Pass	
2.	Check Post Condition 1			
3.	User enters an already existing username.	System displays "username not available" use another user name	Pass	
4.	Check Post Condition 2			
5.	User enters invalid Email address.	System prompts the user to enter valid Email address		
6.	Check Post Condition 3			
7.	User enters an already registered Email address.	System alert the user that it is already registered.		
9.	Check Post Condition 4			

#### **Post-conditions**

- 1. User is logged into his Portfolio Page
- 2. User is able to enter the details
- 3. User is able to enter the details
- 4. User is able to enter the details.

**Test Case #:** 3 **Test Case Name:** Adding the stock

System: Stock Info Website
Designed by: Group 5

Subsystem: Stock Addition
Design Date: 23/11/2020

Executed by: Group 5
Execution Date: 24/11/2020

Short Description: Tests the stock validation functionality for the

application

### **Pre-conditions**

Users have an active internet connection.

User must be logged in.

Step	Action	<b>Expected System Response</b>	Pass/Fail	Comment
1.	User enters valid Stock name	System displays the code of the company	Pass	
2.	User clicks the add button	System adds the stock and displays the success message.	Pass	
3.	Check Post Condition 1			
4.	User enters invalid Stock name	System doesn't displays the code of the company	Pass	
5.	User clicks the add button	System doesn't show any activity and waits for user to enter the valid name.	Pass	
6.	Check Post Condition 2			

### **Post-conditions**

- 1. User successfully adds the stock in his portfolio
- 2. User is able to enter the valid company name

**Test Case #:** 4 **Test Case Name:** Value Prediction

System: Stock Info Website Subsystem: Predictor

**Designed by:** Group 5 **Design Date:** 23/11/2020

**Executed by:** Group 5 **Execution Date:** 24/11/2020

**Short Description:** Tests the stock prediction functionality of the

application.

#### **Pre-conditions**

Users have an active internet connection.

Users must be logged in.

Step	Action	<b>Expected System Response</b>	Pass/Fail	Comment
1.	User clicks on the More info button of the particular stock.	Graph of the stock is displayed along with the predicted price of the stock (with some time delay).	Pass	
2.	Check Post Condition 1			

### **Post-conditions**

1.User is able to view the predicted price and the graph of the particular stock.

Test Case #: 5

**Test Case Name:** Enter valid details of purchased stocks

System: Stock Info Website

Designed by: Group 5

Subsystem: Portfolio Update

Design Date: 23/11/2020

Executed by: Group 5
Execution Date: 24/11/2020

**Short Description:** Tests the valid input functionality of the application

#### **Pre-conditions**

User has an active internet connection.

User must be logged in.

User must be on more info page of the particular stock.

Step	Action	<b>Expected System Response</b>	Pass/Fail	Comment
1.	User enters numeric data in the field.	System updates the details of the Portfolio of the particular stock and displays the info.	Pass	
2.	Check Post Condition 1			
3.	User enters invalid values	System doesn't update the details and wait for the user to enter valid details	Pass	
4.	Check Post Condition 2			

### **Post-conditions**

- 1. User successfully updates the portfolio
- 2. User is able to update his portfolio.

### TEST CASE REPORT

All The above test cases were passed on the website. There were no bugs. All the requirements were met and the website was running as required.

### **5.3 Screenshots:**

