

# Laser & Optical Fibers

## Introduction to Laser

The term LASER is an acronym for Light Amplification by Stimulated Emission of Radiation. A laser is a device that emits light through a process of optical amplification based on the stimulated emission of electromagnetic radiation. Lasers produce coherent, monochromatic, and highly directional light beams with applications spanning from medicine and industry to communications and research.

The theoretical foundation of laser operation was established by Albert Einstein in 1917 when he described the process of stimulated emission. However, the first working laser was not demonstrated until 1960 by Theodore Maiman, who created a ruby laser. Since then, laser technology has evolved rapidly, leading to numerous types of lasers operating across different wavelengths and power levels.

## Einstein's A and B Coefficients

### Historical Background

In 1917, Einstein developed his theory of radiation to explain blackbody radiation and the interaction between light and matter. He introduced probability coefficients to describe three fundamental processes: spontaneous emission, stimulated emission, and absorption.

### The Three Processes

#### 1. Spontaneous Emission (Coefficient $A_{21}$ ):

An atom in an excited state  $E_2$  spontaneously transitions to a lower energy state  $E_1$ , emitting a photon of energy  $h\nu = E_2 - E_1$ . This process is random and independent of external radiation.

**Rate of spontaneous emission:**

$$R_{spontaneous} = A_{21}N_2$$

#### 2. Stimulated Absorption (Coefficient $B_{12}$ ):

An atom in the ground state  $E_1$  absorbs a photon and transitions to the excited state  $E_2$ . This process requires incident radiation.

**Rate of stimulated absorption:**

$$R_{absorption} = B_{12}N_1\rho(\nu)$$

#### 3. Stimulated Emission (Coefficient $B_{21}$ ):

An atom in the excited state  $E_2$  is stimulated by incident radiation to emit a photon and transition to the lower state  $E_1$ . The emitted photon has the same frequency, phase, polarization, and direction as the stimulating photon.

**Rate of stimulated emission:**

$$R_{stimulated} = B_{21}N_2\rho(\nu)$$

Where:

- $N_1$  and  $N_2$  are the populations in states  $E_1$  and  $E_2$  respectively
- $\rho(\nu)$  is the energy density of radiation per unit frequency
- $A_{21}$ ,  $B_{12}$ , and  $B_{21}$  are Einstein coefficients.

## Einstein Relations

At thermal equilibrium, the rates of upward and downward transitions must balance:

$$A_{21}N_2 + B_{21}N_2\rho(\nu) = B_{12}N_1\rho(\nu)$$

Einstein showed that for thermal equilibrium to be maintained, the coefficients must be related by:

$$\frac{A_{21}}{B_{21}} = \frac{8\pi h\nu^3}{c^3}$$
$$B_{12} = B_{21}$$

These relations demonstrate that:

1. Stimulated emission and absorption have equal probabilities ( $B_{12} = B_{21}$ )
2. The ratio  $A_{21}/B_{21}$  depends only on the cube of frequency, making spontaneous emission dominant at high frequencies.

## Population Inversion

### Concept

In thermal equilibrium, the population of energy states follows the Boltzmann distribution:

This means that under normal conditions, the lower energy state has a higher population than the upper state. **Population inversion** occurs when this natural distribution is reversed, i.e.,  $N_2 > N_1$ .

### Necessity for Laser Action

For stimulated emission to dominate over absorption and produce light amplification, we need:

Since  $B_{12} = B_{21}$ , this simplifies to:

This condition of population inversion is essential for laser operation. Without population inversion, absorption would dominate, and no net amplification would occur.

## Methods of Achieving Population Inversion

### 1. Three-Level Systems:

- Ground state ( $E_1$ ), metastable state ( $E_2$ ), and pump level ( $E_3$ )
- Atoms are pumped from  $E_1$  to  $E_3$

- Rapid non-radiative transition from  $E_3$  to  $E_2$
- Population builds up in metastable state  $E_2$
- Laser action occurs between  $E_2$  and  $E_1$
- Example: Ruby laser

## 2. Four-Level Systems:

- More efficient than three-level systems
- Laser action occurs between  $E_3$  and  $E_2$
- Lower laser level ( $E_2$ ) is above ground state
- Easier to achieve population inversion
- Example: Helium-neon laser

## Pumping Mechanisms

1. **Optical Pumping:** Using intense light (flash lamps, other lasers)
2. **Electrical Pumping:** Using electrical discharge
3. **Chemical Pumping:** Using chemical reactions
4. **Thermal Pumping:** Using thermal energy

## Ruby Laser

### Introduction

The ruby laser, developed by Theodore Maiman in 1960, was the first working laser. It uses a synthetic ruby crystal (aluminum oxide with chromium impurities) as the active medium. Ruby laser operates as a three-level system and produces pulsed output in the red region of the spectrum at 694.3 nm.

### Construction

**Active Medium:** Ruby crystal ( $\text{Al}_2\text{O}_3 + 0.05\% \text{Cr}_2\text{O}_3$ )

- Crystal dimensions: typically 2-20 cm length, 0.1-2 cm diameter
- Pink color due to chromium ions ( $\text{Cr}^{3+}$ )
- $\text{Cr}^{3+}$  ions provide the laser transitions

### Optical Cavity:

- Both ends of ruby rod are optically flat and parallel
- One end is fully silvered (100% reflective)
- Other end is partially silvered (95% reflective, 5% transmissive)
- Forms Fabry-Perot resonator

### Pumping Source:

- Xenon flash lamp (helical or linear)
- Emits intense white light pulses
- Only green light (around 550 nm) is absorbed by  $\text{Cr}^{3+}$  ions
- Cooling system required due to heat generation

## Energy Level System

Ruby laser operates on a three-level system:

**Ground State ( $E_1$ ):**  $^4A_2$  level of  $\text{Cr}^{3+}$  ions

**Pump Bands ( $E_3$ ):**  $^4F_1$  and  $^4F_2$  levels

- Green absorption bands at 400-600 nm
- Short lifetime ( $\sim 10^{-8}$  seconds)

**Metastable State ( $E_2$ ):**  $^2E$  level

- Long lifetime ( $\sim 3 \times 10^{-3}$  seconds)
- Laser transition occurs from this level

## Working Principle

1. **Optical Pumping:** Xenon flash lamp emits intense light.  $\text{Cr}^{3+}$  ions absorb green photons and are excited from ground state ( $E_1$ ) to pump bands ( $E_3$ ).
2. **Non-radiative Transition:** Excited ions quickly decay from  $E_3$  to the metastable state  $E_2$  through non-radiative transitions, giving energy to the crystal lattice.
3. **Population Inversion:** Due to the long lifetime of  $E_2$ , population builds up in this level while  $E_1$  is depleted by pumping, creating population inversion between  $E_2$  and  $E_1$ .
4. **Stimulated Emission:** Spontaneous emission from  $E_2 \rightarrow E_1$  triggers stimulated emission. The 694.3 nm photons travel along the crystal axis.
5. **Amplification:** Photons reflect back and forth between the mirrors, stimulating more emissions and building up intensity.
6. **Laser Output:** When gain exceeds losses, laser oscillation begins. Output emerges through the partially reflective end.

## Characteristics

### Advantages:

- High output power (up to several megawatts peak power)
- Simple construction
- Robust and reliable
- Good beam quality

### Disadvantages:

- Low efficiency (~1-4%)
- Pulsed output only (cannot operate continuously)
- Requires high pumping power
- Generates significant heat
- Three-level system requires depleting ground state

## Applications

1. **Material Processing:** Cutting, welding, drilling of metals and ceramics
2. **Medical Applications:** Surgery, dermatology, ophthalmology
3. **Holography:** Recording holograms due to coherent output
4. **Range Finding:** Military and civilian applications
5. **Spectroscopy:** Raman spectroscopy and other analytical techniques

## Helium-Neon (He-Ne) Laser

### Introduction

The helium-neon laser, developed by Ali Javan and colleagues in 1960, was the first gas laser and the first continuous-wave laser. It operates at several wavelengths, with 632.8 nm (red) being the most common. The He-Ne laser is a four-level system that uses electrical discharge for pumping.

### Construction

#### Active Medium:

- Gas mixture: 90% helium, 10% neon at low pressure (~1 Torr)
- Glass discharge tube with internal diameter of 2-8 mm
- Length varies from 15 cm to 1 meter depending on power requirements

#### Electrodes:

- Cathode and anode at opposite ends of the tube
- DC electrical discharge creates plasma
- Operating voltage: typically 1-5 kV
- Current: 5-50 mA

#### Optical Cavity:

- External mirrors (Brewster windows on tube ends)
- One mirror fully reflective, other partially transmissive
- Mirror spacing determines longitudinal modes

#### Windows:

- Brewster angle windows eliminate reflection losses
- Provide polarized output
- Allow optimal coupling with external mirrors

## Energy Level System (Four-Level System)

The He-Ne laser operates through energy transfer between helium and neon atoms:

### Helium Energy Levels:

- Ground state:  $1^1S_0$
- Metastable states:  $2^3S_1$  and  $2^1S_0$  (23S and 21S)
- Long-lived metastable states store energy

### Neon Energy Levels:

- Ground state:  $1s^5$  ( $2p^6$ )
- Upper laser levels: 3s and 2s levels
- Lower laser levels: 3p and 2p levels

## Working Principle

1. **Electrical Excitation:** DC discharge excites helium atoms from ground state to metastable levels (23S and 21S) through electron collision.
2. **Resonant Energy Transfer:** Excited helium atoms transfer energy to neon atoms through inelastic collisions:
  - $\text{He}(23S) + \text{Ne}(1s^5) \rightarrow \text{He}(1^1S_0) + \text{Ne}(3s)$
  - $\text{He}(21S) + \text{Ne}(1s^5) \rightarrow \text{He}(1^1S_0) + \text{Ne}(2s)$
3. **Population Inversion:** Neon atoms accumulate in 3s and 2s levels (upper laser levels) while lower levels (3p and 2p) remain relatively empty.
4. **Laser Transitions:** Three main transitions produce laser output:
  - $3s_2 \rightarrow 2p_4$ : 632.8 nm (red) - most common
  - $2s_2 \rightarrow 2p_4$ : 1.15  $\mu\text{m}$  (near-infrared)
  - $3s_2 \rightarrow 2p_1$ : 3.39  $\mu\text{m}$  (infrared)
5. **Depopulation:** Lower laser levels quickly decay to ground state through spontaneous emission.
6. **Wall Collisions:** Neon atoms in lower levels collide with tube walls and return to ground state, maintaining population inversion.

## Characteristics

### Advantages:

- Continuous wave (CW) operation
- High coherence (long coherence length)
- Stable output power
- Low noise
- Good beam quality
- Long lifetime (>20,000 hours)
- Four-level system efficiency

### Disadvantages:

- Low output power (typically 0.5-50 mW)
- Low efficiency (~0.1%)
- Requires high voltage power supply
- Gas consumption over time

## Applications

1. **Interferometry:** High coherence ideal for precision measurements
2. **Holography:** Recording and reconstruction of holograms
3. **Alignment:** Construction and surveying applications
4. **Barcode Scanning:** Retail and industrial scanning
5. **Education and Research:** Laboratory demonstrations
6. **Spectroscopy:** Reference wavelength standard
7. **Optical Communications:** Fiber optic systems

## Comparison: Ruby vs He-Ne Laser

Parameter	Ruby Laser	He-Ne Laser
Active Medium	Solid (ruby crystal)	Gas (He-Ne mixture)
Energy Level System	3-level	4-level
Output	Pulsed	Continuous wave
Wavelength	694.3 nm (red)	632.8 nm (red), others
Efficiency	1-4%	~0.1%
Output Power	Very high (MW peak)	Low (mW)
Pumping	Optical (flash lamp)	Electrical discharge
Lifetime	Medium	Long (>20,000 hours)

Parameter	Ruby Laser	He-Ne Laser
Cost	High	Moderate
Applications	Material processing, medical	Alignment, interferometry

## Optical Fibers

### Introduction

Optical fibers are thin, flexible strands of glass or plastic that guide light through the principle of total internal reflection. They have revolutionized telecommunications, enabling high-speed, high-capacity data transmission over long distances with minimal loss. Optical fibers also find extensive applications in medicine, sensing, and industrial applications.

The principle of optical fiber communication was first proposed by Charles Kao and George Hockham in 1966, for which Kao received the Nobel Prize in Physics in 2009. The first practical optical fiber was developed in 1970 by Corning Glass Works.

### Structure of Optical Fiber

An optical fiber consists of three main components:

#### 1. Core:

- Central region where light propagates
- Higher refractive index ( $n_1$ )
- Diameter: 8-100  $\mu\text{m}$  for communication fibers
- Material: Ultra-pure silica glass or plastic

#### 2. Cladding:

- Surrounds the core
- Lower refractive index ( $n_2 < n_1$ )
- Diameter: typically 125  $\mu\text{m}$  for glass fibers
- Ensures total internal reflection

#### 3. Protective Coating:

- Outer layer(s) for mechanical protection
- Materials: acrylate, polyimide, or nylon
- Prevents mechanical damage and contamination



## Principle of Operation

Optical fibers work on the principle of **total internal reflection**. When light travels from a denser medium (higher refractive index) to a rarer medium (lower refractive index) at an angle greater than the critical angle, it is completely reflected back into the denser medium.

**Critical Angle:**

$$\sin \theta_c = \frac{n_2}{n_1}$$

**Numerical Aperture (NA):** The light-gathering ability of an optical fiber:

$$NA = \sqrt{n_1^2 - n_2^2} \approx n_1 \sqrt{2\Delta}$$

where  $\Delta$  is the relative refractive index difference:

$$\Delta = \frac{n_1 - n_2}{n_1}$$

The numerical aperture determines the maximum acceptance angle for light entering the fiber.

## Types of Optical Fibers

### Based on Number of Modes

#### 1. Single-Mode Fiber (SMF):

- Core diameter: 8-10  $\mu\text{m}$
- Only fundamental mode propagates
- No modal dispersion
- Suitable for long-distance, high-bandwidth applications
- Used in telecommunications, cable TV, internet backbone

#### 2. Multimode Fiber (MMF):

- Core diameter: 50-100  $\mu\text{m}$
- Multiple modes propagate simultaneously
- Modal dispersion limits bandwidth
- Suitable for short-distance applications
- Used in LANs, data centers

### Based on Refractive Index Profile

#### 1. Step-Index Fiber:

- Uniform refractive index in core
- Sharp boundary between core and cladding

- Simple structure but higher modal dispersion
- Available in both single-mode and multimode versions

## **2. Graded-Index Fiber:**

- Refractive index decreases gradually from center to edge
- Parabolic index profile
- Reduces modal dispersion in multimode fibers
- Better bandwidth compared to step-index multimode fiber

## **Based on Material**

### **1. Glass Fibers:**

- Core and cladding made of silica glass
- Low loss, high bandwidth
- Used for telecommunications

### **2. Plastic Fibers (POF):**

- Made from polymers like PMMA
- Higher loss but easier to handle
- Used for short-distance applications
- Automotive, home networks

## **Fiber Characteristics and Parameters**

### **1. Attenuation:**

- Loss of optical power along the fiber
- Measured in dB/km
- Typical values: 0.2-0.4 dB/km at 1550 nm for glass fibers
- Causes: absorption, scattering, bending losses

### **2. Dispersion:**

- Broadening of optical pulses during transmission
- Types: modal, chromatic, polarization mode dispersion
- Limits bandwidth and transmission distance

### **3. Bandwidth:**

- Information carrying capacity
- Limited by dispersion
- Higher bandwidth for single-mode fibers

# Applications of Optical Fibers

## Telecommunications

- Long-distance telephone networks
- Internet backbone infrastructure
- Cable television distribution
- Mobile phone tower connections
- Submarine cables

## Medical Applications

- Endoscopy: internal body examination
- Laser surgery: precise light delivery
- Medical imaging: flexible image transmission
- Photodynamic therapy: targeted cancer treatment

## Sensing Applications

- Temperature sensors
- Pressure sensors
- Strain and stress monitoring
- Chemical sensors
- Gyroscopes for navigation

## Industrial Applications

- Illumination in hazardous environments
- Machine vision systems
- Laser material processing
- Automotive applications
- Decorative lighting

## Advantages of Optical Fibers

1. **High Bandwidth:** Enormous information carrying capacity
2. **Low Loss:** Minimal signal attenuation over long distances
3. **Electromagnetic Immunity:** No interference from electrical fields
4. **Security:** Difficult to tap without detection
5. **Light Weight:** Much lighter than copper cables
6. **Flexibility:** Can be bent and routed easily

7. **Corrosion Resistance:** Glass fibers don't corrode
8. **No Crosstalk:** No interference between adjacent fibers

## **Disadvantages of Optical Fibers**

1. **Fragility:** Glass fibers can break easily
2. **Installation Cost:** Requires specialized equipment and training
3. **Connection Complexity:** Precise alignment needed for splicing
4. **Repair Difficulty:** More complex than copper cable repairs
5. **Power Requirements:** Electronic-to-optical conversion needed

## **Fiber Optic Communication System**

A complete fiber optic communication system consists of:

### **1. Transmitter:**

- Light source (LED or laser diode)
- Drive electronics
- Optical coupler to fiber

### **2. Transmission Medium:**

- Optical fiber cable
- Connectors and splices
- Repeaters/amplifiers for long distances

### **3. Receiver:**

- Photodetector (photodiode)
- Amplifier
- Signal processing electronics

## **Wavelength Windows**

Optical fibers have specific wavelength regions with minimum attenuation:

### **First Window: 850 nm**

- Higher loss (~3 dB/km)
- Used for short-distance multimode systems

### **Second Window: 1310 nm**

- Lower loss (~0.5 dB/km)
- Zero chromatic dispersion in standard fiber

### **Third Window: 1550 nm**

- Minimum loss ( $\sim 0.2$  dB/km)
- Longest transmission distances
- Most widely used for long-haul systems

## **Conclusion**

Lasers and optical fibers represent two of the most significant technological developments of the 20th century. Lasers, based on Einstein's principles of stimulated emission and population inversion, provide coherent, monochromatic light sources with applications ranging from industrial processing to medical treatments. The ruby laser and helium-neon laser exemplify different approaches to achieving population inversion and laser action.

Optical fibers, utilizing total internal reflection, have revolutionized communications by enabling high-speed, high-capacity data transmission over vast distances with minimal loss. The combination of various fiber types, from single-mode to multimode and step-index to graded-index, provides solutions for diverse applications from telecommunications to medical procedures.

Together, lasers and optical fibers form the backbone of modern photonic systems, enabling everything from internet communications to precision manufacturing, medical diagnostics, and scientific research. Understanding their principles, characteristics, and applications is essential for anyone working in modern optics and photonics.