

# COM121β

## Data Structures and Algorithms

Lecture 12:

### Sorting

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# Outline

- What is sorting
- Sorting Techniques
- Sorting Algorithms
  - Bubble Sort
  - Selection Sort
  - Insertion Sort
  - Shell Sort
  - Merge Sort
  - Heap Sort

# What is Sorting?

- Sorting is an operation that segregates items into groups according to specified criterion.
- Sorting is the process of **arranging items in order**.
  - Arranging things into ascending or descending order is also sorting.

# Important Factors

- **Speed**

- ✓ The simplest algorithms are  $O(n^2)$  while more advanced ones are  $O(n \log(n))$ .
- ✓ No algorithm can make less than  $O(n \log n)$  comparisons between keys.

- **Storage**

- ✓ Algorithms that sort in place are the best, needing memory  $O(n)$ .
- ✓ Those are using linked list representation need extra  $n$  words of memory for references and those that work on a copy of the file needed  $O(2^n)$ .

# Important Factors

- **Simplicity**

- ✓ The simpler algorithms are often easier to implement and often perform more sophisticated ones for small problems.

- **Stability**

- ✓ An algorithm is stable iff it preserves the relative order of records with equal keys.
- ✓ There are ways to convert unstable implementations into stable ones

# Sorting Techniques

1. Sorting by selection
2. Sorting by insertion
3. Sorting by exchange

# Sorting types

- Bubble Sort
- Insertion Sort
- Selection Sort
- Merge Sort
- Quick Sort
- Heap Sort

# Bubble sort

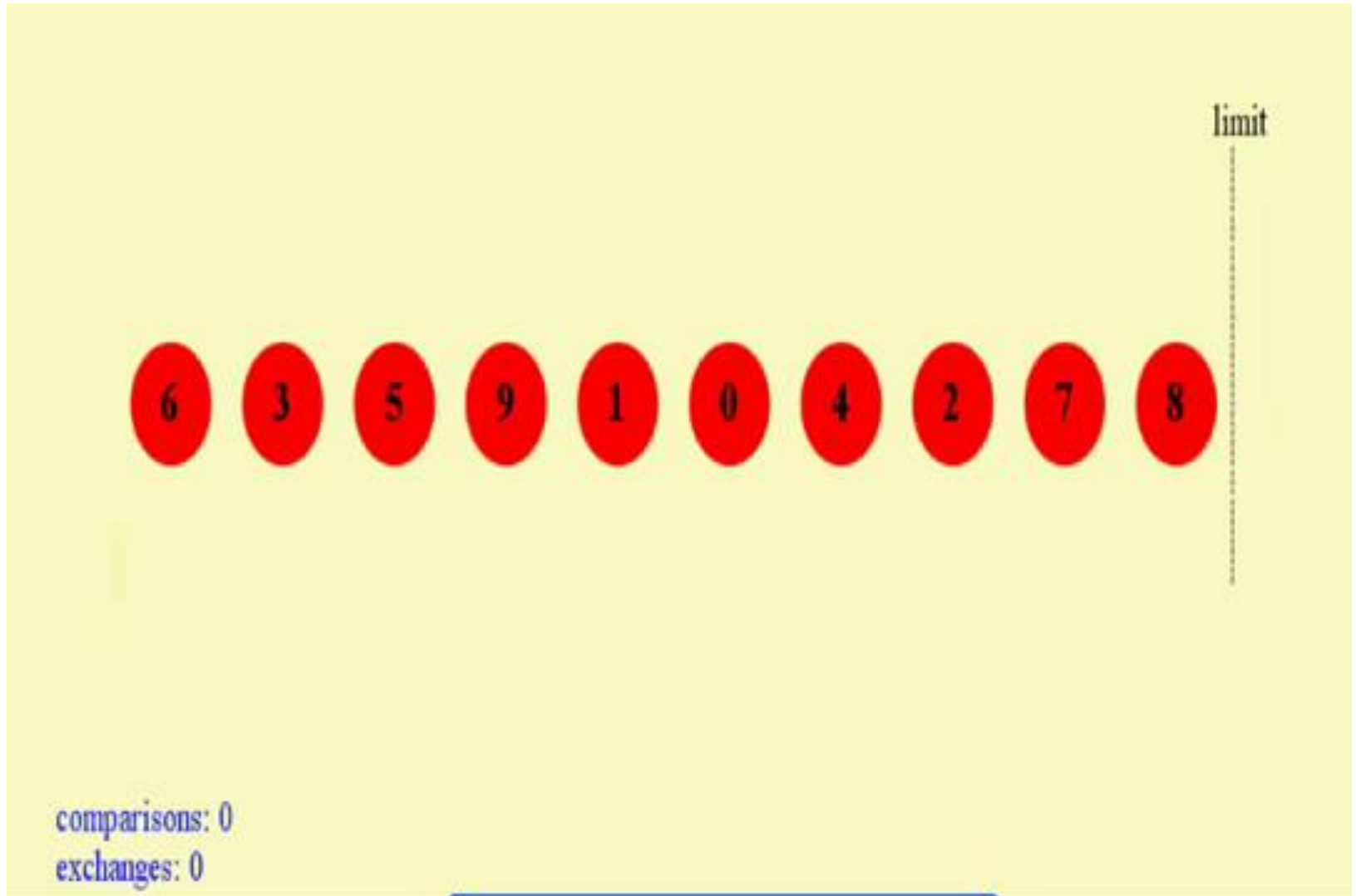
- Simple sorting Algorithm
- Oldest and simplest sort in use.
- Unfortunately it is also the slowest.
- Complexity –  $O(n^2)$



# Bubble sort

- **Compare adjacent elements.** If the first is greater than the second, swap them.
- Do this for each pair of adjacent elements, starting with the first two and ending with the last two. At this point the last element should be the greatest.
- Repeat the steps for all elements except the last one.
- Keep repeating for one fewer element each time, until you have no more pairs to compare.

# Bubble Sort



# Time complexity of bubble sort

- In Bubble Sort,  $n-1$  comparisons will be done in 1st pass,  $n-2$  in 2nd pass,  $n-3$  in 3rd pass and so on. So the total number of comparisons will be,

$$(n-1)+(n-2)+(n-3)+\dots+3+2+1$$

$$\text{Sum} = n(n-1)/2$$

$$\text{i.e } O(n^2)$$

Hence the complexity of Bubble Sort is  **$O(n^2)$**

# Selection Sort

- Find the minimum value in the list
- Swap it with the value in the first position
- Repeat the steps above for the remainder of the list (starting at the second position and advancing each time)

0	1	2	3	4	5
<b>77</b>	<b>42</b>	<b>35</b>	<b>12</b>	<b>99</b>	<b>5</b>
<b>5</b>	<b>42</b>	<b>35</b>	<b>12</b>	<b>99</b>	<b>77</b>
<b>5</b>	<b>12</b>	<b>35</b>	<b>42</b>	<b>99</b>	<b>77</b>
<b>5</b>	<b>12</b>	<b>35</b>	<b>42</b>	<b>99</b>	<b>77</b>
<b>5</b>	<b>12</b>	<b>35</b>	<b>42</b>	<b>99</b>	<b>77</b>
<b>5</b>	<b>12</b>	<b>35</b>	<b>42</b>	<b>99</b>	<b>77</b>

# Running time analysis

- Selection sort is  $O(n^2)$  regardless of the initial order of the elements in an array.

# Insertion Sort

- An insertion sort of an array partitions the array into two parts.
- One part is sorted and initially contains just the first element in the array.
- The second part contains the remaining elements.
- The sort inserts one by one the elements in the unsorted part of the array into their proper location within the sorted part of the array.

# Example

*Consider an array arr having 5 elements*

5      4      3      1      2

*Arrange the elements in ascending order*



# Merge Sort

- A divide-and-conquer algorithm.
- Divide the unsorted array into 2 halves until the sub-arrays only contain one element.
- Merge the sub-problem solutions together:
  - ✓ Compare the sub-array's first elements
  - ✓ Remove the smallest element and put it into the result array
  - ✓ Continue the process until all elements have been put into the result array

# Example

*Consider an array arr having 6 elements*

5      4      3      1      2      6

*Arrange the elements in ascending order using  
merge sort algorithm*

# Running time analysis

- The height  $h$  of the merge-sort is  $O(\log n)$
- At each recursive call it divides half the sequence
- The overall amount of work done at the nodes of depth  $i$  is  $n$   
/space complexity  $O(n)$
- It partitions and merges  $2^i$  sequences of size  $n/2^i$
- There are  $2^{i+1}$  recursive calls
- Thus, the worst case total running time of merge-sort is  $O(n \log n)$

# Quick Sort

- Like Merge Sort, QuickSort is a Divide and Conquer algorithm.
- Quicksort is undoubtedly the most popular sorting algorithm, and for good reason:
- In the majority of situations, it's the fastest, operating in  $O(N \log N)$  time

# Quick Sort

- It picks an element as pivot and partitions the given array around the picked pivot.
- There are many different versions of quickSort that pick pivot in different ways.
  - Always pick first element as pivot.
  - Always pick last element as pivot
  - Pick a random element as pivot.
  - Pick median as pivot.



unsorted



pivot value = 7



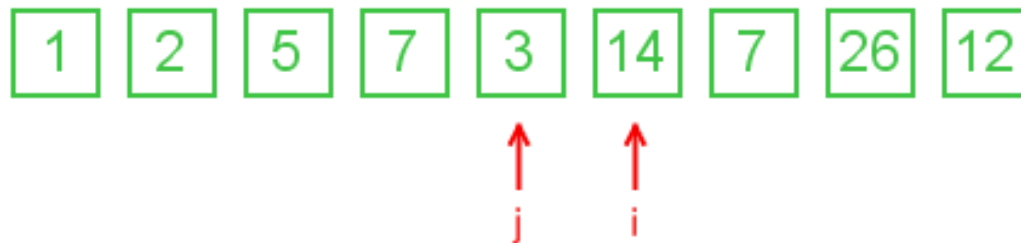
$12 \geq 7 \geq 2$ , swap 12 and 2



$26 \geq 7 \geq 7$ , swap 26 and 7



$7 \geq 7 \geq 3$ , swap 7 and 3



$i > j$ , stop partition



run quick sort recursively

1 2 3 5 7 7 12 14 26 sorted



# Heap Sort

- In simple,
- The heap sort works as its name suggests
- It begins by building a heap out of the data set, and then
  - Removing the largest item and placing it at the end of the sorted array.
- After that removing the largest item : it reconstructs the heap and removes the largest remaining item and places it in the next open position from the end of the sorted array.
- This is repeated until there are no items left in the heap and the sorted array is full.

# Heap Sort

- Heap sort is not stable but,
- The heap sort does not require any extra storage beside the element themselves.
- It is guaranteed to take no longer than  $O(n \log n)$  time, no matter what the input is.
- In general Heap sort is slower than quick sort.